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**DOI**

[10.1103/PhysRevE.101.062803](https://doi.org/10.1103/PhysRevE.101.062803)

**Publication date**

2020

**Document Version**

Final published version

**Published in**

Physical Review E

[Link to publication](#)

**Citation for published version (APA):**

Armas, J., Hartong, J., Have, E., Nielsen, B. F., & Obers, N. A. (2020). Newton-Cartan submanifolds and fluid membranes. *Physical Review E*, *101*(6), [062803]. <https://doi.org/10.1103/PhysRevE.101.062803>

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**Newton-Cartan submanifolds and fluid membranes**Jay Armas<sup>1,\*</sup>, Jelle Hartong<sup>2,†</sup>, Emil Have<sup>2,‡</sup>, Bjarke F. Nielsen<sup>3,§</sup> and Niels A. Obers<sup>4,3,||</sup><sup>1</sup>*Institute for Theoretical Physics, University of Amsterdam, 1090 GL Amsterdam, the Netherlands,  
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(Received 9 January 2020; accepted 15 May 2020; published 18 June 2020)

We develop the geometric description of submanifolds in Newton-Cartan spacetime. This provides the necessary starting point for a covariant spacetime formulation of Galilean-invariant hydrodynamics on curved surfaces. We argue that this is the natural geometrical framework to study fluid membranes in thermal equilibrium and their dynamics out of equilibrium. A simple model of fluid membranes that only depends on the surface tension is presented and, extracting the resulting stresses, we show that perturbations away from equilibrium yield the standard result for the dispersion of elastic waves. We also find a generalization of the Canham-Helfrich bending energy for lipid vesicles that takes into account the requirements of thermal equilibrium.

DOI: [10.1103/PhysRevE.101.062803](https://doi.org/10.1103/PhysRevE.101.062803)**I. INTRODUCTION**

The dynamics of surfaces and interfaces plays a prominent role in various instances of physical phenomena, ranging from fluid membranes in biological systems [1,2], to the interplay between liquid crystal geometry and hydrodynamics [3], to surface or edge physics in condensed matter systems [4]. Fluid membranes comprising lipid bilayers are essential in the physics of biological systems, and the characterization of their geometric properties has been an active field of research for decades, as well as being key in understanding experimental outcomes (see, e.g., Refs. [5–9] for reviews). Hydrodynamics on curved surfaces has also recently received considerable attention, not only due to its relevance in embryonic processes [10] or cell migration [11] where activity also plays a role, but also due to its relevance in understanding topological properties of wave dynamics such as Kelvin-Yanai waves on the Earth's equator [12], flocking on a sphere [13], or turbulence in active nematics [14–16].

While the geometry and dynamics of surfaces in (pseudo)-Riemannian geometry has been deeply studied in both physics and mathematics, a systematic treatment using covariant and geometrical structures has so far not been developed for Galilean-invariant systems. In view of the relevance of such systems in many branches of physics, and immediate applications in biophysical systems detailed below, the main goal of this paper is to develop the theory of submanifolds in Newton-Cartan spacetime. This can be considered as the Galilean

analog of the (pseudo)-Riemannian case for which the geometry and its embeddings have local Euclidean (Poincaré) symmetry as opposed to Galilean symmetries. The formalism we develop allows for a covariant spacetime formulation of Galilean-invariant hydrodynamics on curved surfaces.

As such it is thus the natural framework to study fluid membranes in thermal equilibrium along with their dynamics away from equilibrium. This includes in particular biophysical membranes such as lipid bilayers, which are membranes composed of lipid molecules that enclose the cytoplasm. The lipid molecules move as a fluid along the membrane surface, which itself behaves elastically when bent. It is well known that at mesoscopic scales, lipid bilayers can be approximated by thin surfaces whose equilibrium configurations are accurately described by geometrical degrees of freedom and a small set of material coefficients that encode the more microscopic biochemical details (see, e.g., Ref. [9]). The shapes of lipid bilayers, such as discoids characterizing the morphology of red blood cells, are found by extremizing the Canham-Helfrich (CH) free energy [5,6], which depends only on geometric properties. The stresses associated to such bilayers have received considerable attention [9,17] as well as deformations of the CH free energy away from equilibrium in order to identify stable deformations [18].

However, despite the CH free energy being taken to represent a system in thermodynamic equilibrium [19] (as well as its analog in nematic liquid crystals, the Frank energy [20]), it disregards the basic lesson of equilibrium thermal field theory: that temperature and mass chemical potential (conjugate to particle number) also have a geometric interpretation. This results in the CH free energy giving rise to inaccurate stresses characterizing the membrane, explicit by the fact that they do not describe the stresses intrinsic to a fluid, and neither do they yield elastic wave dispersion relations when deforming away from equilibrium. In this paper, we argue that the development

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of a spacetime covariant formulation of Galilean-invariant hydrodynamics using Newton-Cartan geometry is a more useful approach to understanding fluid dynamics on curved surfaces and the physics of equilibrium fluid membranes.

Newton-Cartan (NC) geometry was pioneered by Cartan in order to geometrize Newton's theory of gravity [21,22].<sup>1</sup> As a nondynamical geometry its importance stems from the fact that it is the natural background geometry that nonrelativistic field theories couple to [25,26]<sup>2</sup> and thus provides a geometric and covariant formulation of many aspects of nonrelativistic physics including broad classes of long-wavelength effective theories such as hydrodynamics. In particular, in the past few years NC geometry and variants have been applied to the formulation of Galilean-invariant fluid dynamics [33,34], Lifshitz fluid dynamics [35,36] as well as hydrodynamics without boost symmetry [37–40],<sup>3</sup> which encapsulate the former as cases with extra symmetries. Furthermore, in the context of condensed matter systems, it was realized that NC geometry is the natural setting for developing an effective theory of the fractional quantum Hall effect [41–44]. This body of work, together with previous work on Galilean superfluid droplets [45] and connections between black holes and CH functionals [46,47], suggests that NC geometry can also be useful in describing hydrodynamics on curved surfaces.

The development of submanifold calculus in (pseudo-)Riemannian or Euclidean geometry, written in multiple volumes (e.g., Ref. [48]) and furthered in different contexts [49–53], is an essential prerequisite for describing surfaces and hence for formulating and extremizing the CH free energy. Therefore, the majority of the work presented in this paper, in particular Secs. II and III and Appendix A, consists of the development of submanifold calculus in Newton-Cartan geometry, the identification of geometrical properties describing surfaces, and the formulation of appropriate geometric functionals whose extrema are NC surfaces. Thus, the main part of the work presented here is foundational. However, in Sec. IV we apply this machinery to different fluid membrane systems in order to show its usefulness and provide a generalized CH model that takes into account the requirements of thermodynamic equilibrium. The work developed here will be the basis for a more detailed study of effective theories of fluid membranes, which takes into account a larger set of responses including viscosity, providing a more solid foundation for the physics of fluid membranes [54].

<sup>1</sup>See also Ref. [23] for a modern perspective and earlier references, and the recent work [24] for an action principle for Newtonian gravity.

<sup>2</sup>In particular, the most general coupling requires a torsionful generalization of NC geometry, called torsional Newton-Cartan (TNC) geometry which was first observed as the boundary geometry in the context of Lifshitz holography [27–29]. TNC geometry also appears as the ambient space-time for nonrelativistic strings; see, e.g., Refs. [30–32].

<sup>3</sup>The boost-noninvariant hydrodynamics of these papers is formulated in the regime where momentum is conserved, but may be generalized to include further breaking of translation symmetry, in which case it applies to flocking and active matter.

## A. Organization of the paper

A more detailed outline of the paper, including a brief summary of the main results is as follows.

In Sec. II, after reviewing the geometric structure of a Newton-Cartan spacetime, we first define what a submanifold structure is in such spacetimes. In particular, we develop the necessary geometric tools to define an induced NC structure on the submanifold. We highlight in particular how the objects transform under local Galilean boosts, which is a key property for nonrelativistic geometries. We then show, using the affine connection that is known for NC structures, how to construct a covariant derivative along the surface directions, and give an expression for the corresponding surface torsion tensor. With this in hand, we discuss the exterior curvature and show how the (Riemannian) Weingarten identity gets modified in this case.

Section III develops the variational calculus for NC submanifolds, which is essential technology in order to find equations of motion from effective actions. We consider first general variations of the relevant quantities describing the embedding. Subsequently we obtain expressions for embedding map variations as well as Lagrangian variations, which are diffeomorphisms in the ambient NC spacetime that keep the embedding maps fixed. From the corresponding variations of the induced NC structures and the normal vectors we find in particular how the extrinsic curvature transforms under such variations. We subsequently use this technology to consider the dynamics of submanifolds that arises from extremization of an action. The resulting equations of motions for NC submanifolds are thus obtained from the general response to varying the induced NC metric structure on the manifold and the extrinsic curvature. These split up in a set of intrinsic equations, which are conservation equations of the world-volume stress tensor and mass current accompanied by a set of extrinsic equations. We also analyze the boundary terms that appear as a result of varying the general action functional and obtain the resulting boundary conditions.

Then in Sec. IV we apply the action formalism presented in the previous section to describe equilibrium fluid membranes and lipid vesicles as well as their fluctuations. We will show that employing NC geometry for such surfaces is not only natural but also provides a more complete description. First, it introduces (absolute) time and therefore fluctuations of the system can include temporal dynamics in a covariant form. Moreover, the symmetries of the problem are made manifest via the geometry of the submanifold and ambient spacetime. Even more important is the aspect that NC geometry allows to properly introduce thermal field theory of equilibrium fluid membranes. To illustrate all this we first consider equilibrium fluid branes, i.e., stationary fluid configurations on an arbitrary surface and the simplest example with a free energy depending on surface tension only, for which we compute the resulting stresses. We then show that perturbations away from equilibrium yield the standard result for the dispersion of elastic waves. We also briefly consider the case of a droplet, by adding internal or external pressure to the previous case. Then we revisit the celebrated Canham-Helfrich model, which describes equilibrium configurations of biophysical membranes. We show how this model can be described using

Newton-Cartan geometry and generalize it by allowing its (material) parameters to depend on temperature and chemical potential. Finally, we review the classic lipid vesicles using this framework.

We end in Sec. V with a brief discussion and description of further avenues of investigation.

A number of appendices are included containing further details. Since it is known that torsional NC spacetimes can be obtained from Lorentzian spacetime using null reduction, we show in Appendix A a complimentary perspective on NC submanifolds, by null reducing submanifolds of Lorentzian spacetimes. Appendix B describes different classes of NC spacetimes, depending on properties of the torsion. In Appendix C we find the relation between the NC connections of the ambient spacetime and the submanifold (described in Sec. II B 5). Finally, in Appendix D we show how the Gauss–Bonnet theorem reduces the number of independent terms in an effective action for  $(2 + 1)$ -dimensional membranes that appear as closed codimension one surfaces embedded in flat  $(3 + 1)$ -dimensional Newton-Cartan geometry.

## II. THE GEOMETRY OF NEWTON-CARTAN SUBMANIFOLDS

This section is devoted to a proper geometrical treatment of surfaces (or embedded submanifolds) in NC geometry with the goal of subsequently applying it to the description of membrane elasticity and fluidity in later sections. To that aim, we begin by introducing the reader to the essential details of NC geometry. The basic structures that define a given NC geometry are then understood as background fields for the dynamical surfaces or objects, in direct analogy with embedding of surfaces in a (pseudo-)Riemannian geometry with background metric  $g_{\mu\nu}$ . This paves the way for defining the geometric structures that characterize nonrelativistic surfaces.<sup>4</sup> In Appendix A we provide an alternative method for obtaining the theory of NC surfaces directly from the theory of surfaces in Lorentzian geometry.

### A. Newton-Cartan geometry

Let  $\mathcal{M}_{d+1}$  be a  $(d + 1)$ -dimensional manifold endowed with a Newton-Cartan structure, which consists of the fields  $(\tau_\mu, h_{\mu\nu}, m_\mu)$ . Here the Greek indices denote spacetime indices such that  $\mu, \nu, \dots = 0, \dots, d$ . The tensor  $h_{\mu\nu}$  is symmetric with rank  $d$  and has signature  $(0, 1, 1, \dots)$ , while the nowhere vanishing 1-form  $\tau_\mu$  is such that  $-\tau_\mu\tau_\nu + h_{\mu\nu}$  has full rank. The field  $m_\mu$  is the connection of an Abelian gauge symmetry that from the point of view of a Galilean field theory on a NC spacetime can be thought of as the symmetry underlying particle number conservation. Since the latter is

a compact Abelian symmetry we refer to  $m_\mu$  as the  $U(1)$  gauge connection. It is useful to define an inverse NC structure  $(v^\mu, h^{\mu\nu})$ , where  $v^\mu$  spans the kernel of  $h_{\mu\nu}$  and  $\tau_\mu$  spans the kernel of  $h^{\mu\nu}$ . The 1-form  $\tau_\mu$  is sometimes called the *clock 1-form*, while the vector  $v^\mu$  is known as the *Newton-Cartan velocity*. These structures satisfy the completeness relation and normalization condition:

$$\delta_v^\mu = -v^\mu\tau_\nu + h^{\mu\rho}h_{\rho\nu}, \quad \text{so that} \quad v^\mu\tau_\mu = -1. \quad (2.1)$$

It is occasionally useful to introduce vielbeins  $e_\mu^{\underline{a}}, e_\mu^{\underline{b}}$  with  $\underline{a}, \underline{b}, \dots = 1, \dots, d$  (that is, spatial tangent space indices are underlined lowercase Latin letters) such that

$$h_{\mu\nu} = \delta_{\underline{a}\underline{b}}e_\mu^{\underline{a}}e_\nu^{\underline{b}}, \quad h^{\mu\nu} = \delta^{\underline{a}\underline{b}}e_\mu^{\underline{a}}e_\nu^{\underline{b}}, \quad (2.2)$$

which furthermore satisfy the orthogonality relations

$$v^\mu e_\mu^{\underline{a}} = 0, \quad \tau_\mu e_\mu^{\underline{a}} = 0, \quad e_\mu^{\underline{a}}e_\mu^{\underline{b}} = \delta_{\underline{a}\underline{b}}. \quad (2.3)$$

The Newton-Cartan structure on  $\mathcal{M}_{d+1}$  in terms of the fields  $(\tau_\mu, h_{\mu\nu}, m_\mu)$  transforms under diffeomorphisms (coordinate transformations),  $U(1)$  (mass) gauge transformations (akin to gauge transformations in Maxwell theory), local rotations and local Galilean boosts (also known as Milne boosts) in the following way:

$$\begin{aligned} \delta\tau_\mu &= \xi_\xi\tau_\mu, & \delta e_\mu^{\underline{a}} &= \xi_\xi e_\mu^{\underline{a}} + \lambda_{\underline{a}\underline{b}}e_\mu^{\underline{b}} + \lambda^{\underline{a}}\tau_\mu, \\ \delta m_\mu &= \xi_\xi m_\mu + \lambda_{\underline{a}}e_\mu^{\underline{a}} + \partial_\mu\sigma, \\ \delta v^\mu &= \xi_\xi v^\mu + \lambda^{\underline{a}}e_\mu^{\underline{a}}, & \delta e_\mu^{\underline{a}} &= \xi_\xi e_\mu^{\underline{a}} + \lambda_{\underline{a}}e_\mu^{\underline{b}}. \end{aligned} \quad (2.4)$$

Here  $\xi^\mu$  is the generator of diffeomorphisms,  $\sigma$  is the parameter of mass gauge transformations, and  $\lambda^{\underline{a}}$  is the parameter of local Galilean boosts. Finally,  $\lambda_{\underline{a}}^{\underline{b}} = -\lambda_{\underline{b}}^{\underline{a}}$  corresponds to local  $\mathfrak{so}(d)$  transformations. When describing physical systems in NC geometry by means of a Lagrangian or action functional, one requires invariance under the gauge transformations (2.4). In the restricted setting of a flat NC background (i.e., a spacetime with absolute time whose constant time slices are described by Euclidean geometry), which is the most relevant case in the context of biophysical membranes, invariance under (2.4) implies invariance under global Galilean symmetries centrally extended to include mass conservation. The centrally extended Galilei group is known as the Bargmann group. This implies that the geometry can be viewed as originating from “gauging” the Bargmann algebra as detailed in Ref. [23].

### 1. Galilean boost-invariant structures

One may readily check that given (2.4), the NC fields  $h^{\mu\nu}$  and  $h_{\mu\nu}$ , which are constructed out of the vielbeins as in (2.2), transform as

$$\delta h^{\mu\nu} = \xi_\xi h^{\mu\nu}, \quad \delta h_{\mu\nu} = \xi_\xi h_{\mu\nu} + 2\lambda_{(\mu}\tau_{\nu)}, \quad (2.5)$$

where  $\lambda_\mu = e_\mu^{\underline{a}}\lambda_{\underline{a}}$ , immediately implying that  $\lambda_\mu v^\mu = 0$ . We conclude from this that  $h^{\mu\nu}\partial_\mu\partial_\nu$  is an invariant of the geometry, a cometric, while  $h_{\mu\nu}dx^\mu dx^\nu$  is not an invariant because it transforms under the Galilean boosts. On the other hand  $\tau_\mu dx^\mu$  is invariant. This means that NC geometry has a

<sup>4</sup>Intuition originating from the description of surfaces in (pseudo-)Riemannian geometry suggests that geometric structures characterizing surfaces in NC geometry would naively be constructed from pullbacks of NC ambient spacetime fields. It will turn out that this is only true for submanifolds of NC geometry provided we take the pullbacks of quantities that are invariant under the local Galilean boost transformations of the ambient NC geometry.

degenerate metric structure given by  $\tau_\mu \tau_\nu$  and  $h^{\mu\nu}$  and that  $h_{\mu\nu}$  should not be viewed as a metric.<sup>5</sup>

Notice that while  $h_{\mu\nu}$  transforms under Galilean boosts it does not transform under  $U(1)$  gauge transformations. It is possible to define objects that have the opposite property, namely, that they are Galilean boost invariant but not  $U(1)$  invariant. We will often work with these fields, and so we discuss their construction here. We can trade  $U(1)$  gauge invariance for boost invariance by introducing the set of fields

$$\bar{h}_{\mu\nu} = h_{\mu\nu} - 2\tau_{(\mu} m_{\nu)}, \quad \hat{v}^\mu = v^\mu - h^{\mu\nu} m_\nu, \quad (2.6)$$

which transform as<sup>6</sup>

$$\delta \bar{h}_{\mu\nu} = \xi_{\bar{\xi}} \bar{h}_{\mu\nu} - 2\tau_{(\mu} \partial_{\nu)} \sigma, \quad \delta \hat{v}^\mu = \xi_{\bar{\xi}} \hat{v}^\mu - h^{\mu\nu} \partial_\nu \sigma, \quad (2.7)$$

and hence are manifestly Galilean boost invariant. Additionally, it is also possible to construct a boost-invariant scalar, which is the boost-invariant counterpart of the Newtonian potential [56], namely,

$$\tilde{\Phi} = -v^\mu m_\mu + \frac{1}{2} h^{\mu\nu} m_\mu m_\nu. \quad (2.8)$$

The Newtonian potential itself is just the time component of  $m_\mu$ . These quantities will be useful when discussing effective actions for fluid membranes in later sections.

## 2. Covariant differentiation and affine connection

NC geometry provides a way of formulating nonrelativistic physics in curved backgrounds and substrates which has recently become an active research direction in soft matter [12–16]. Additionally, even in the traditional case of lipid membranes sitting in Euclidean space, it is useful to have explicit coordinate independence as it can simplify many problems of interest. Therefore, it is important to introduce a covariant derivative adapted to curved backgrounds. However, in contrast to (pseudo-)Riemannian geometry without torsion, there is no unique metric-compatible connection in Newton-Cartan geometry. Rather, the analog of metric compatibility in NC geometry is

$$\nabla_\mu \tau_\nu = 0, \quad \nabla_\mu h^{\nu\rho} = 0, \quad (2.9)$$

where  $\nabla$  is the covariant derivative with respect to the affine connection  $\Gamma_{\mu\nu}^\rho$ . It is possible to choose the affine connection

<sup>5</sup>We can fix diffeomorphisms such that  $\tau_i = 0$  where we split the spacetime coordinates  $x^\mu = (t, x^i)$ . In this restricted gauge the metric on slices of constant time  $t$  is given by  $h_{ij} dx^i dx^j$  which is invariant under the diffeomorphisms that do not affect time. In this sense the constant time slices are described by standard Riemannian geometry. However, when we include time into the formalism we have to abandon the notion of a metric and instead work with the NC triplet  $(\tau_\mu, h_{\mu\nu}, m_\mu)$ . In this setting, in order to evaluate areas or volumes of given surfaces one can use the integration measure  $e = \sqrt{-\det(-\tau_\mu \tau_\nu + h_{\mu\nu})}$ , which is both Galilean boost and  $U(1)$  invariant.

<sup>6</sup>Note that this is possible because the  $U(1)$  connection  $m_\mu$  also transforms under Galilean boosts. In this sense it is different from the Maxwell potential. The difference comes from the fact that the mass generator forms a central extension of the Galilei algebra whereas the charge  $U(1)$  generator of Maxwell's theory forms a direct sum with in that case the Poincaré algebra. See Refs. [23,55] for more details.

as [57,58]<sup>7</sup>

$$\Gamma_{\mu\nu}^\rho = -\hat{v}^\rho \partial_\mu \tau_\nu + \frac{1}{2} h^{\rho\sigma} (\partial_\mu \bar{h}_{\nu\sigma} + \partial_\nu \bar{h}_{\mu\sigma} - \partial_\sigma \bar{h}_{\mu\nu}). \quad (2.10)$$

Given the connection  $\Gamma$ , covariant differentiation acts on an arbitrary vector  $X^\mu$  in a similar manner as in (pseudo-)Riemannian geometry:

$$\nabla_\mu X^\nu = \partial_\mu X^\nu + \Gamma_{\mu\rho}^\nu X^\rho. \quad (2.11)$$

Notably, and in contradistinction to the Levi-Civita connection of (pseudo-)Riemannian geometry, the connection  $\Gamma_{\mu\nu}^\lambda$  is generally torsionful. This is due to the condition  $\nabla_\mu \tau_\nu = 0$ . In particular, the affine connection has an antisymmetric part given by

$$2\Gamma_{[\mu\nu]}^\lambda = -2\hat{v}^\lambda \partial_{[\mu} \tau_{\nu]} = -\hat{v}^\lambda \tau_{\mu\nu}, \quad (2.12)$$

where we defined the torsion 2-form

$$\tau_{\mu\nu} = 2\partial_{[\mu} \tau_{\nu]}. \quad (2.13)$$

For all physical systems studied in this paper, the torsion vanishes. However, when performing variational calculus (of the NC fields) it is required to keep variations of  $\tau_\mu$  arbitrary.<sup>8</sup>

As written in (2.10) in terms of boost-invariant quantities, the affine connection does not transform under Galilean boosts. However, under the  $U(1)$  gauge transformations (2.7), it transforms as

$$\delta_\sigma \Gamma_{\mu\nu}^\rho = \frac{1}{2} h^{\rho\lambda} (\tau_{\mu\nu} \partial_\lambda \sigma + \tau_{\lambda\nu} \partial_\mu \sigma + \tau_{\lambda\mu} \partial_\nu \sigma). \quad (2.14)$$

In the absence of torsion,  $\tau_{\mu\nu} = 0$ , the connection is invariant under such transformations.

## 3. Absolute time and flat space

Depending on the conditions imposed on the clock 1-form  $\tau_\mu$ , there are different classes of NC geometries [28,58]. We refer the curious reader to Appendix B, which contains a classification of the different classes NC geometries, while in this section we focus on the most relevant case for the purposes of this work. If  $\tau_\mu$  is exact, that is,  $\tau_\mu = \partial_\mu T$  for some scalar  $T$ , the torsion (2.13) vanishes and we are dealing with Newtonian absolute time. This is the simplest kind of Newton-Cartan geometry and the relevant one for the applications we consider in this work, namely, lipid vesicles or fluid membranes. For example, for membrane geometries, which for each instant in time are embedded in three-dimensional Euclidean space, the ambient NC spacetime in Cartesian coordinates can be parametrized as

$$\begin{aligned} \tau_\mu &= \delta_\mu^0, & h_{\mu\nu} &= \delta_\mu^i \delta_\nu^i, & v^\mu &= -\delta_0^\mu, & h^{\mu\nu} &= \delta_i^\mu \delta_i^\nu, \\ m_\mu &= 0. \end{aligned} \quad (2.15)$$

<sup>7</sup>As shown in Refs. [57,58], the most general affine connection satisfying (2.9) takes the form  $\bar{\Gamma}_{\mu\nu}^\rho = \Gamma_{\mu\nu}^\rho + W_{\mu\nu}^\rho$  where  $W_{\mu\nu}^\rho$  is the pseudocontortion tensor, obeying  $\tau_\rho W_{\mu\nu}^\rho = 0$  and  $W_{\mu\lambda}^\nu h^{\lambda\rho} + W_{\mu\lambda}^\rho h^{\nu\lambda} = 0$ . The choice (2.10) corresponds to  $W_{\mu\nu}^\rho = 0$ . This choice is also the natural choice from the perspective of the Noether procedure [55].

<sup>8</sup>The condition that  $\tau_\mu$  be unconstrained is not necessary when we perform variations of embedding scalars in a fixed ambient space geometry.

In the context of nonrelativistic physics in spatially curved backgrounds, the clock 1-form will still have the form  $\tau_\mu = \delta_\mu^0$  but the tensor  $h_{\mu\nu}$  can be nontrivial in the sense that it is not gauge equivalent to flat space. Thus for all practical applications, the first term in the affine connection (2.10) vanishes and the connection is purely spatial. However, while for physically relevant spacetimes we will always require that  $\tau_\mu$  must be of the form  $\tau_\mu = \partial_\mu T$ , when we are dealing with  $\tau_\mu$  as a background source in some action functional for matter fields, we need to require that it is unconstrained in order to be able to vary it freely.

**B. Submanifolds in Newton-Cartan geometry**

In this section we formulate the theory of nonrelativistic NC timelike<sup>9</sup> surfaces (or submanifolds) embedded in arbitrary NC geometries. Following the literature that deals with the relativistic counterpart [53], we focus on the description of a single surface placed in an ambient NC spacetime and not on a foliation of such surfaces. In practice, this means that all geometric quantities, such as tangent and normal vectors, describing the surface are only well defined on the surface and not away from it. In this section we introduce the necessary geometrical structures for dealing with a single surface in a NC spacetime.

**1. Embedding map, tangent, and normal vectors**

A  $(p + 1)$ -dimensional Newton-Cartan submanifold  $\Sigma_{p+1}$  of a  $(d + 1)$ -dimensional Newton-Cartan manifold  $\mathcal{M}_{d+1}$  is specified by the embedding map

$$X^\mu : \Sigma \rightarrow \mathcal{M}, \mu = 0, \dots, d, \tag{2.16}$$

which maps the coordinates  $\sigma^a$  on  $\Sigma_{p+1}$  to  $X^\mu(\sigma^a)$  on  $\mathcal{M}$  (lowercase Latin letters,  $a, b, \dots = 0, \dots, p$ , denote submanifold spacetime indices). Concretely, the embedding map specifies the location of the surface as  $x^\mu = X^\mu(\sigma^a)$  where  $x^\mu$  are coordinates in  $\mathcal{M}$ . The manifold  $\mathcal{M}$  into which the embedding scalars map is usually referred to as the target spacetime. The manifold described by the spacetime coordinates  $x^\mu$  is the ambient spacetime. For simplicity, we will refer to both as ambient spacetime.

Given the embedding map, the tangent vectors to the surface are explicitly defined via  $u_a^\mu = \partial_a X^\mu$ . In turn, the normal 1-forms  $n_\mu^I dx^\mu$  (where  $I$  runs over the  $d - p$  transverse directions) are implicitly defined via the relations

$$n_\mu^I u_a^\mu = 0, \quad h^{\mu\nu} n_\mu^I n_\nu^J = \delta^{IJ}, \quad I = 1, \dots, d - p. \tag{2.17}$$

This normalization implies that in the normal directions we can use  $\delta_{IJ}$  and  $\delta^{IJ}$  to raise and lower transverse indices, meaning that we can write  $Y_I Y^I = Y^I Y^I$  for some arbitrary vector  $Y^I$ . However, Eq. (2.17) does not fix the normal 1-forms uniquely. In fact, the 1-forms  $n_\mu^I$  transform under local  $\text{SO}(d - p)$  rotations such that

$$n_\mu^I \rightarrow \mathcal{M}^I_J n_\mu^J, \tag{2.18}$$

where  $\mathcal{M}^I_J$  is an element of  $\text{SO}(d - p)$ . The transformation (2.18) leaves (2.17) invariant and hence expresses the freedom of choosing the normal 1-forms.<sup>10</sup>

We can furthermore introduce ‘‘inverse objects’’  $u_\mu^a$  and  $n_I^\mu$  to the tangent vectors and normal 1-forms via the completeness relation

$$\delta_\nu^\mu = u_\mu^a u_\nu^a + n_\nu^I n_I^\mu, \tag{2.19}$$

which in turn satisfy the relations

$$u_\mu^a n_I^\mu = 0, \quad u_\mu^a u_\mu^b = \delta_a^b, \quad n_I^\mu n_\mu^J = \delta_I^J. \tag{2.20}$$

The tangent vectors, normal 1-forms and their inverses can be used to project any tensor tangentially or orthogonally to the surface. For instance, we may project some tensor  $X^\mu{}_{\nu\rho}{}^\lambda$  and denote the result as

$$X^a{}_{Ib}{}^J = u_\mu^a n_I^\nu u_\nu^\rho n_\rho^J X^\mu{}_{\nu\rho}{}^\lambda. \tag{2.21}$$

It is also useful to define the tangential spacetime projector

$$P_\nu^\mu = u_\mu^a u_\nu^a = \delta_\nu^\mu - n_\nu^I n_I^\mu, \tag{2.22}$$

which can be shown to be idempotent and of rank  $p + 1$ . The object (2.22) can be used to project arbitrary tensors onto tangential directions along the surface and satisfies  $P_\nu^\mu n_\mu^I = 0$ .

**2. Timelike submanifolds and boost invariance**

Our goal is formulate a theory of nonrelativistic submanifolds  $\Sigma_{p+1}$  characterized by a Newton-Cartan structure that is inherited from the NC structure of the ambient spacetime. We introduce the submanifold clock 1-form as the pullback of the clock 1-form of the ambient spacetime such that

$$\tau_a = u_a^\mu \tau_\mu. \tag{2.23}$$

As mentioned earlier, we focus on timelike submanifolds, by which we mean that the normal vectors  $n_I^\mu$  satisfy

$$\tau_I = n_I^\mu \tau_\mu = 0, \tag{2.24}$$

and so  $\tau_a$  is nowhere vanishing on  $\Sigma_{p+1}$  (see Fig. 1 for an illustration of this condition). Then, taking

$$n^{\mu I} = h^{\mu\nu} n_\nu^I, \tag{2.25}$$

we make (2.24) manifest. We note that these considerations imply that

$$h^{IJ} = h^{\mu\nu} n_\mu^I n_\nu^J = \delta^{IJ}, \tag{2.26}$$

$$h^{aI} = h^{\mu\nu} u_\mu^a n_\nu^I = u_\mu^a n^{\mu I} = 0, \tag{2.27}$$

$$h_{IJ} = h_{\mu\nu} n_\mu^I n_\nu^J = h_{\mu\nu} h^{\nu\rho} n_\rho^I n_{\rho J} = (\delta_\mu^\rho + v^\rho \tau_\mu) n_\rho^I n_{\rho J} = \delta_{IJ}, \tag{2.28}$$

$$h_{aI} = h_{\mu\nu} u_\mu^a n_\nu^I = h_{\mu\nu} u_\mu^a h^{\nu\rho} n_{\rho I} = v_I \tau_a, \tag{2.29}$$

where  $v_I = n_{I\mu} v^\mu$ , which we will denote as the normal velocity.

<sup>9</sup>The submanifolds we consider are timelike in the sense that the normal vectors are required to be spacelike [see (2.24)]. The submanifolds will inherit a NC structure of their own.

<sup>10</sup>More formally, since the orientation of the normal 1-forms can be chosen freely as inward or outward pointing,  $\mathcal{M}^I_J$  is a matrix in  $\text{O}(d - p)$ .

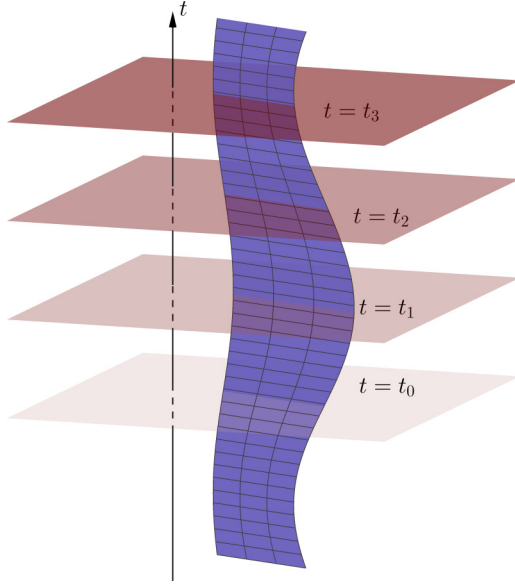


FIG. 1. Graphical depiction of the embedding of timelike Newton-Cartan submanifolds. The vertical direction represents the time  $t$ , and the spatial directions are in the plane orthogonal to the  $t$  axis. The spatial hypersurfaces of constant time are denoted by their corresponding value of  $t$ . Note in particular that the condition (2.24) implies that the submanifold does not “bend” away from the time direction in the ambient spacetime.

The description of submanifolds in NC geometry must be invariant under Galilean boosts, as these just express a choice of frame. This implies that the defining structure of NC submanifolds, namely, (2.17) and (2.20), must be invariant under local Galilean boost transformations. We start by noting that the embedding map does not transform under boosts, that is

$$\delta_G X^\mu = 0 \Rightarrow \delta_G u_a^\mu = 0, \quad (2.30)$$

and hence the tangent vectors to the surface are boost invariant.<sup>11</sup> Specializing to timelike submanifolds, using (2.25), the variations of (2.17) and (2.20), together with (2.30), require

$$\begin{aligned} u_a^\mu \delta_G n_\mu^l &= -n_\mu^l \delta_G u_a^\mu, & n^{\mu l} \delta_G n_\mu^l &= 0 \Rightarrow \delta_G n_\mu^l = 0, \\ u_\mu^a h^{\mu\nu} \delta_G n_{\mu l} &= -n_l^a \delta_G u_\mu^a, \\ u_a^\mu \delta_G u_\mu^b &= -u_\mu^b \delta_G u_a^\mu \Rightarrow \delta_G u_\mu^a = 0, \end{aligned} \quad (2.31)$$

while  $\delta_G n_l^\mu = 0$  follows trivially from (2.25). Thus, Eq. (2.30) ensures that the defining structure of timelike NC submanifolds is boost invariant.<sup>12</sup>

<sup>11</sup>Note that the embedding map specifies the location of the surface such that  $x^\mu = X^\mu(\sigma^a)$ . The spacetime coordinates  $x^\mu$  do not transform under local Galilean boosts and hence neither does the embedding map  $X^\mu(\sigma)$ .

<sup>12</sup>In particular, (2.31) implies that  $\delta_G v^l = n_\mu^l \delta_G v^\mu = n_\mu^l h^{\mu\nu} \lambda_\nu = \lambda^l$ . This is consistent with (2.31) since  $n_\mu^l = n_{***a}^\mu e_\mu^{***a} - v^l \tau_\mu$ , so that  $n_{***a}^\mu = e_\mu^{***a} n_\mu^l$ . Given that  $\delta_G e_\mu^{***a} = \delta_G \tau_\mu = 0$  and  $\delta_G e_\mu^{***a} = \lambda^{***a} \tau_\mu$ , we find that  $\delta_G n_\mu^l = n_{***a}^\mu \lambda^{***a} \tau_\mu - \lambda^l \tau_\mu$  and since  $\lambda_\mu = n_\mu^l \lambda_l + u_\mu^a \lambda_a$ , we get  $n_{***a}^\mu \lambda^{***a} = n_{***a}^\mu e^{***a \mu} \lambda_\mu = \lambda^l$ , thus confirming (2.31).

### 3. Induced Newton-Cartan structures

Besides the defining conditions (2.17) and (2.20), NC submanifolds have other inherent geometric structures, such as induced tensors, that can be introduced via appropriate contractions of ambient tensors with any of the objects  $u_\mu^a$  and  $u_a^\mu$ . We wish to identify the induced NC structures on the submanifold that have the same properties as the NC structures of the ambient spacetime. For instance, these induced structures should transform as in (2.4) and (2.5) but now involving only tangential directions to the submanifold.

The basic building blocks are the clock 1-form  $\tau_a$  in Eq. (2.23) and the normal velocity  $v^l$  in Eq. (2.29) along with the pullbacks of the remaining ambient space fields

$$\begin{aligned} h_{ab} &= u_a^\mu u_b^\nu h_{\mu\nu}, & v^a &= u_\mu^a v^\mu, & h^{ab} &= u_\mu^a u_\nu^b h^{\mu\nu}, \\ m_a &= u_a^\mu m_\mu. \end{aligned} \quad (2.32)$$

It is possible to see that these structures mimic many of the properties of the ambient NC structure. For instance, we have  $\tau_a h^{ab} = 0$  and  $v^a \tau_a = -1$  by virtue of (2.24) and  $\tau_\mu h^{\mu\nu} = 0$  as well as  $v^\mu \tau_\mu = -1$ . Additionally, they give rise to the completeness relation  $h^{ac} h_{cb} = \delta_b^a + v^a \tau_b$ , which in turn implies the relation  $h^{\mu\nu} u_\mu^a = h^{ab} u_b^\nu$ . However, using (2.29), we find that

$$v^a h_{ab} = u_\mu^a v^\mu u_\nu^b h_{\rho\sigma} = -v^l h_{lb} = -v^l v^l \tau_b, \quad (2.33)$$

which is nonzero, contrary to the corresponding ambient NC result  $v^\mu h_{\mu\nu} = 0$ . Hence, the individual structures in (2.32) do not form a NC geometry on the submanifold. Using (2.33) we instead define

$$\check{h}_{ab} = h_{ab} - v^l v_l \tau_a \tau_b, \quad (2.34)$$

which leads to a completeness relation and satisfies the required orthogonality condition, that is

$$h^{ac} \check{h}_{cb} = \delta_b^a + \tau_b v^a, \quad v^a \check{h}_{ab} = 0. \quad (2.35)$$

For  $\check{h}_{ab}$  to be considered a NC structure on the submanifold, one must also ensure that it transforms under Galilean boosts as its ambient space counterpart  $h_{\mu\nu}$  [cf. (2.5)]. Using (2.4), (2.5), (2.31), and<sup>13</sup>  $v^a \lambda_a = -v^l \lambda_l$ , it can be shown that

$$\begin{aligned} \delta_G v^a &= h^{ab} \check{\lambda}_b, & \delta_G(v^a h_{ab}) &= -2\tau_b \lambda^l v_l, & \delta_G h_{ab} &= 2\tau_{(a} \lambda_{b)}, \\ \delta_G \check{h}_{ab} &= 2\tau_{(a} \lambda_{b)} - 2\tau_a \tau_b v^l \lambda_l = 2\tau_{(a} \lambda_{b)} + 2\tau_a \tau_b v^c \lambda_c \\ &= 2\tau_{(a} \check{\lambda}_{b)}, \end{aligned} \quad (2.36)$$

where we have defined

$$\check{\lambda}_a = \lambda_a + v^c \lambda_c \tau_a = \check{h}_{ab} h^{bc} \lambda_c, \quad (2.37)$$

which satisfies  $v^a \check{\lambda}_a = 0$ , analogously to the ambient orthogonality condition  $v^\mu \lambda_\mu = 0$ . Thus  $\check{h}_{ab}$  transforms under submanifold Galilean boosts in the same manner as  $h_{\mu\nu}$  transforms under ambient Galilean boosts.

NC submanifolds admit boost-invariant structures similar to the ambient structures (2.6) and (2.8). Given that the set of tangent and normal vectors is boost invariant [see Eq. (2.31)],

<sup>13</sup>This follows from the statement that  $v^\mu \lambda_\mu = 0$ .

two of these structures are obtained by contractions of the corresponding ambient quantities, namely,

$$\bar{h}_{ab} = u_a^\mu u_b^\nu \bar{h}_{\mu\nu} = \check{h}_{ab} - 2\tau_{(a}\check{m}_{b)}, \quad \hat{v}^a = u_a^\mu \hat{v}^\mu = v^a - h^{ab}\check{m}_b, \quad (2.38)$$

where we have defined the submanifold  $U(1)$  connection

$$\check{m}_a = m_a - \frac{1}{2}v^I v_I \tau_a, \quad (2.39)$$

which transforms under boosts as  $\delta_G \check{m}_a = \check{\lambda}_a$ , analogous to the boost transformation of the ambient connection  $m_\mu$ . Given that in the ambient space we have the identity  $\hat{v}^\nu \bar{h}_{\nu\mu} = 2\check{\Phi}\tau_\mu$  where  $\check{\Phi}$  is defined in (2.8) we require an analog condition of the form  $\hat{v}^a \bar{h}_{ab} = 2\check{\Phi}\tau_b$  for some scalar  $\check{\Phi}$ . Explicit manipulation shows that

$$\begin{aligned} \hat{v}^a \bar{h}_{ab} &= u_a^\mu \hat{v}^\mu u_b^\nu u_\rho^\sigma \bar{h}_{\nu\rho} = \hat{v}^\nu \bar{h}_{\nu\rho} u_b^\rho - n_\mu^I h^{\nu\sigma} n_\sigma^I \hat{v}^\mu u_b^\rho \bar{h}_{\nu\rho} \\ &= 2(\check{\Phi} - 1/2\hat{v}^I \hat{v}^I)\tau_b, \end{aligned} \quad (2.40)$$

which leads us to identify

$$\check{\Phi} = \check{\Phi} - \frac{1}{2}\hat{v}^I \hat{v}^I = -v^a \check{m}_a + \frac{1}{2}h^{ab}\check{m}_a \check{m}_b, \quad (2.41)$$

thus taking the same form as its ambient counterpart (2.8) but now in terms of  $\check{m}_a$ .

In summary, we define the induced Newton-Cartan structure on the submanifold  $\Sigma_{p+1}$  to consist of the fields  $(\tau_a, \check{h}_{ab}, \check{m}_a)$  and  $(v^a, h^{ab})$  along with the boost-invariant combinations  $\hat{v}^a$ ,  $\bar{h}_{ab}$ , and  $\check{\Phi}$ , satisfying the relations

$$\delta_b^a = h^{ac}\check{h}_{cb} - \tau_b v^a, \quad \tau_a h^{ab} = 0, \quad v^a \check{h}_{ab} = 0, \quad (2.42)$$

as well as

$$\hat{v}^a \bar{h}_{ab} = 2\check{\Phi}\tau_b. \quad (2.43)$$

These are related to the ambient Newton-Cartan structures in the following way:

$$\tau_a = u_a^\mu \tau_\mu, \quad \check{h}_{ab} = u_a^\mu u_b^\nu h_{\mu\nu} - v^I v_I \tau_a \tau_b = h_{ab} - v^I v_I \tau_a \tau_b, \quad (2.44)$$

$$\begin{aligned} \check{m}_a &= u_a^\mu m_\mu - \frac{1}{2}v^I v_I \tau_a = m_a - \frac{1}{2}v^I v_I \tau_a, \quad v^a = u_a^\mu v^\mu, \\ h^{ab} &= u_a^\mu u_b^\nu h^{\mu\nu}, \end{aligned} \quad (2.45)$$

$$\begin{aligned} \hat{v}^a &= v^a - h^{ab}\check{m}_b = u_a^\mu \hat{v}^\mu, \quad \bar{h}_{ab} = \check{h}_{ab} - 2\tau_{(a}\check{m}_{b)} \\ &= u_a^\mu u_b^\nu \bar{h}_{\mu\nu}, \end{aligned} \quad (2.46)$$

$$\check{\Phi} = -v^a \check{m}_a + \frac{1}{2}h^{ab}\check{m}_a \check{m}_b = \check{\Phi} - \frac{1}{2}\hat{v}^I \hat{v}^I. \quad (2.47)$$

These structures transform according to

$$\begin{aligned} \delta\tau_a &= \xi_\zeta \tau_a, \quad \delta\check{h}_{ab} = \xi_\zeta \check{h}_{ab} + 2\check{\lambda}_{(a}\tau_{b)}, \\ \delta\check{m}_a &= \xi_\zeta \check{m}_a + \check{\lambda}_a + \partial_a \sigma, \end{aligned} \quad (2.48)$$

$$\delta v^a = \xi_\zeta v^a + h^{ab}\check{\lambda}_b, \quad \delta h^{ab} = \xi_\zeta h^{ab}, \quad (2.49)$$

$$\begin{aligned} \delta\hat{v}^a &= \xi_\zeta \hat{v}^a - h^{ab}\partial_b \sigma, \quad \delta\bar{h}_{ab} = \xi_\zeta \bar{h}_{ab} - 2\tau_{(a}\partial_{b)}\sigma, \\ \delta\check{\Phi} &= \xi_\zeta \check{\Phi} - \hat{v}^a \partial_a \sigma, \end{aligned} \quad (2.50)$$

under submanifold diffeomorphisms  $\zeta^a$ , Galilean boosts  $\check{\lambda}_a$  (satisfying  $v^a \check{\lambda}_a = 0$ ), and  $U(1)$  gauge transformations  $\sigma$ .

#### 4. The role of the transverse velocity $v^I$

In order to elucidate the role of  $v^I$ , we consider for concreteness a codimension one submanifold  $\Sigma$  moving with (constant) linear velocity  $v_\Sigma^\mu = (0, 0, 0, \mathbf{v})$  in the  $z$  direction of a four-dimensional flat ambient Newton-Cartan spacetime, which was introduced in (2.15) and where  $i$  runs only over spatial directions. Defining  $\Sigma$  via the embedding equation

$$F(x, y, z - \mathbf{v}t) = 0, \quad (2.51)$$

we can write the normal 1-form as

$$n = NdF = N\partial_x F + N\partial_y F + N\partial_u F dz - \mathbf{v}\partial_t F dt, \quad (2.52)$$

where we have defined  $u = z - \mathbf{v}t$  and where  $N$  is fixed by the normalization condition (2.17). This means that

$$v^\mu n_\mu = -n_0 = \mathbf{v}N\partial_u F, \quad v_\Sigma^\mu n_\mu = \mathbf{v}n_z = \mathbf{v}N\partial_u F, \quad (2.53)$$

leading us to conclude that  $v^\mu n_\mu = v_\Sigma^\mu n_\mu$ . Thus, the normal projection of the NC velocity is the same as the normal projection of the linear velocity vector  $v_\Sigma^\mu$  of the submanifold  $\Sigma$ .

To illustrate this in the simplest possible setting, we consider an infinitely extended moving flat membrane embedded in  $(3+1)$ -dimensional flat NC space, described by

$$u = z - \mathbf{v}t = 0, \quad (2.54)$$

leading to the normal 1-form

$$n_\mu = -\mathbf{v}\delta_\mu^0 + \delta_\mu^3 \Rightarrow v^\mu n_\mu = \mathbf{v}. \quad (2.55)$$

Therefore, for a flat brane, where the normal vector is the same everywhere, we see that the normal projection of the NC velocity vector is just the magnitude of the linear velocity of the plane.

#### 5. Covariant derivatives, extrinsic curvature, and external rotation

Since we are dealing with the description of a single surface, and not of a foliation, covariant differentiation of submanifold structures only has meaning along tangential directions to the surface. Analogously to Lorentzian surfaces (see, e.g., Ref. [53]), we define a covariant derivative along surface directions that is compatible both with the surface Newton-Cartan structure,  $D_a \tau_b = 0 = D_a h^{bc}$ , and the ambient Newton-Cartan structure,  $D_a \tau_\mu = 0 = D_a h^{\mu\nu}$ , that acts on an arbitrary mixed tensor  $T^{b\mu}$  as

$$D_a T^{b\mu} = \partial_a T^{b\mu} + \gamma_{ac}^b T^{c\mu} + u_a^\rho \Gamma_{\rho\lambda}^\mu T^{b\lambda}, \quad (2.56)$$

where we have introduced the surface affine connection according to

$$\gamma_{ab}^c = -\hat{v}^c \partial_a \tau_b + \frac{1}{2}h^{cd}(\partial_a \bar{h}_{bd} + \partial_b \bar{h}_{ad} - \partial_d \bar{h}_{ab}), \quad (2.57)$$

in analogy with the the spacetime affine connection (2.10). Note in particular that  $D_a$  does not act on transverse indices. The relation between  $\gamma_{ab}^c$  and  $\Gamma_{\rho\lambda}^\mu$  is obtained in Appendix C and is shown to be

$$\gamma_{ab}^c = \Gamma_{ab}^c + u_\mu^c \partial_a u_b^\mu = u_\mu^c u_a^\nu \nabla_\nu u_b^\mu, \quad (2.58)$$

where the corresponding surface torsion tensor is

$$2\gamma_{[ab]}^c = -\hat{v}^c \tau_{ab} = -\hat{v}^c u_a^\mu u_b^\nu \tau_{\mu\nu}, \quad (2.59)$$



and where the last equality follows from the fact that exterior derivatives commute with pullbacks.<sup>14</sup>

It is also convenient to introduce a covariant derivative  $\mathfrak{D}_a$  that acts on all indices, i.e.,  $\mu, a, I$  [53], and whose action on the normal 1-forms and tangent vectors allows for the Weingarten decomposition<sup>15</sup>

$$\begin{aligned}\mathfrak{D}_a n_\sigma^I &= \partial_a n_\sigma^I - \Gamma_{\mu\sigma}^\lambda u_a^\mu n_\lambda^I - \omega_a^I J n_\sigma^J = -u_\sigma^b K_{ab}^I + \frac{1}{2} u_\sigma^b \hat{v}^I \tau_{ab}, \\ \mathfrak{D}_a u_b^\mu &= D_a u_b^\mu = n_J^\mu K_{ab}^I - \frac{1}{2} n_I^\mu \hat{v}^I \tau_{ab},\end{aligned}\quad (2.60)$$

where we have defined the extrinsic curvature to the submanifold according to

$$\begin{aligned}K_{ab}^I &= n_\mu^I D_a u_b^\mu + \frac{1}{2} \hat{v}^I \tau_{ab} = n_\mu^I (\partial_a u_b^\mu + u_a^\nu u_b^\rho \Gamma_{(\nu\rho)}^\mu) \\ &= -u_a^\mu u_b^\nu \nabla_{(\mu} n_{\nu)}^I.\end{aligned}\quad (2.61)$$

The extrinsic curvature tensor, when defined in this manner, is symmetric and invariant under Galilean boosts but transforms under  $U(1)$  gauge transformations according to

$$\delta_\sigma K_{ab}^I = \frac{1}{2} \tau_{Ia} \partial_b \sigma + \frac{1}{2} \tau_{Ib} \partial_a \sigma, \quad (2.62)$$

where we used (2.14). In (2.60) we also introduced the external rotation tensor, which can be interpreted as a  $SO(d-p)$  connection, defined as

$$\omega_a^I J = n_J^\mu D_a n_\mu^I, \quad (2.63)$$

which is antisymmetric in  $I, J$  indices and transforms under  $U(1)$  gauge transformations as

$$\delta_\sigma \omega_a^I J = -\frac{1}{2} (\tau_{aJ} \partial^I \sigma + \tau^I J \partial_a \sigma + \tau^I_a \partial_J \sigma). \quad (2.64)$$

If the submanifold is codimension one, the external rotation vanishes by definition.

Both the extrinsic curvature tensor and the external rotation tensor introduced here are direct analogues of their Lorentzian counterparts [53]. To see that  $\omega_a^I J$  transforms as a connection we examine what happens if we perform a local  $SO(d-p)$  rotation of the normal vectors as in (2.18). If we focus on an infinitesimal rotation  $\mathcal{M}^I J = \delta_J^I + \lambda^I J$  where  $\lambda^I J = -\lambda^J I$ , the extrinsic curvature tensor and external rotation tensor transform as

$$\delta_\lambda K_{ab}^I = \lambda^I J K_{ab}^J, \quad \delta_\lambda \omega_a^I J = \partial_a \lambda^I J + \lambda^I_K \omega_a^K J + \lambda_J^K \omega_a^I K. \quad (2.65)$$

In addition, under a change of sign of the normal vectors  $n_I^\mu \rightarrow -n_I^\mu$ , the extrinsic curvature changes sign.

## 6. Integrability conditions

Certain combinations of geometric structures of Lorentzian submanifolds are related to specific contractions of the Riemann tensor of the ambient space. These are known as integrability conditions. In this section we derive the analogous conditions in the context of NC submanifolds, which are

<sup>14</sup>Alternatively, this conclusion can be reached via the relation  $\partial_a u_b^\mu = \partial_a \partial_b X^\mu = \partial_b \partial_a X^\mu = \partial_b u_a^\mu$ .

<sup>15</sup>The action of  $\mathfrak{D}_a$  on some vector  $T^I$  takes the form  $\mathfrak{D}_a T^I = D_a T^I - \omega_a^I J T^J$ .

known as the Codazzi-Mainardi, Gauss-Codazzi, and Ricci-Voss equations. In order to do so, we note that in the presence of torsion, the Ricci identity takes the form

$$[\nabla_\mu, \nabla_\nu] X_\sigma = R_{\mu\nu\sigma}{}^\rho X_\rho - 2\Gamma_{[\mu\nu]}^\rho \nabla_\rho X_\sigma, \quad (2.66)$$

where the Riemann tensor  $R_{\mu\nu\sigma}{}^\rho$  of the ambient space is given by

$$R_{\mu\nu\sigma}{}^\rho = -\partial_\mu \Gamma_{\nu\sigma}^\rho + \partial_\nu \Gamma_{\mu\sigma}^\rho - \Gamma_{\mu\lambda}^\rho \Gamma_{\nu\sigma}^\lambda + \Gamma_{\nu\lambda}^\rho \Gamma_{\mu\sigma}^\lambda. \quad (2.67)$$

The integrability conditions to be derived below take a nice form if we work with an object that is closely related to the extrinsic curvature, namely,

$$\tilde{K}_{ab}^I = n_\mu^I D_a u_b^\mu = K_{ab}^I - \frac{1}{2} \hat{v}^I \tau_{ab}, \quad (2.68)$$

which has a nonvanishing antisymmetric part  $2\tilde{K}_{[ab]}^J = -\hat{v}^J \tau_{ab}$ .

We begin by deriving the Codazzi-Mainardi equation (see, e.g., Refs. [48,53]) by considering the quantity  $D_a \tilde{K}_{bc}^I - D_b \tilde{K}_{ac}^I$ . We find

$$D_a \tilde{K}_{bc}^I = \tilde{K}_{ab}^I n_I^\rho (\nabla_\rho u_c^\mu) n_\mu^I - \omega_b^I J \tilde{K}_{ac}^J - u_c^\mu u_a^\nu u_b^\sigma \nabla_\rho \nabla_\sigma n_\mu^I, \quad (2.69)$$

where we used (2.63). From here, using (2.66) and the covariant derivative  $\mathfrak{D}_a$  introduced in (2.60) we derive the Codazzi-Mainardi equation

$$\mathfrak{D}_a \tilde{K}_{bc}^I - \mathfrak{D}_b \tilde{K}_{ac}^I = -R_{abc}^I + \hat{v}^d \tau_{ab} \tilde{K}_{dc}^I. \quad (2.70)$$

In order to derive the Gauss-Codazzi equation, we let  $\omega_c$  be any submanifold 1-form that is the pullback of  $\omega_\mu$  whose normal components vanish, i.e.,  $\omega_\mu = u_\mu^c \omega_c$ . The Ricci identity for the submanifold reads

$$[D_a, D_b] \omega_c = \mathcal{R}_{abc}{}^d \omega_d + \hat{v}^d \tau_{ab} D_d \omega_c, \quad (2.71)$$

where  $\mathcal{R}_{abc}{}^d$  is the Riemann tensor of the submanifold and takes the same form as (2.67) but with the connection  $\Gamma_{\nu\sigma}^\rho$  replaced by  $\gamma_{bc}^a$  of (2.57). Using  $u_\mu^a D_b u_c^\mu = 0$  [which follows from (2.58)] and  $n_I^\mu D_b u_\mu^I = h^{de} \tilde{K}_{bel}$ , explicit manipulation leads to

$$\begin{aligned}\mathcal{R}_{abc}{}^d \omega_d + \hat{v}^d \tau_{ab} D_d \omega_c &= h^{ed} \tilde{K}_{ac}^I \tilde{K}_{bel} \omega_d - h^{ed} \tilde{K}_{bc}^I \tilde{K}_{ael} \omega_d \\ &\quad + R_{abc}{}^d \omega_d + \tau_{ab} (-\hat{v}^I n_I^\rho u_c^\mu \nabla_\rho \omega_\mu \\ &\quad + \hat{v}^v u_c^\mu \nabla_v \omega_\mu),\end{aligned}\quad (2.72)$$

where we used (2.66). In this expression, the terms proportional to  $\tau_{ab}$  on both sides cancel and since it must be true for any one form  $\omega_c$ , the Gauss-Codazzi equation becomes

$$\mathcal{R}_{abc}{}^d = \tilde{K}_{ac}^I \tilde{K}_b{}^d{}_I - \tilde{K}_{bc}^I \tilde{K}_a{}^d{}_I + R_{abc}{}^d, \quad (2.73)$$

where  $\tilde{K}_b{}^d{}_I = h^{dc} \tilde{K}_{bcI}$ .

Although we will not use it in this paper, we will briefly discuss the Ricci-Voss equation for completeness. This equation becomes useful for surfaces of codimension higher than one, where we can define the outer curvature in terms of the external rotation tensor (2.63) as

$$\Omega^I{}_{Jab} = 2\partial_{[a} \omega_{b]}^I J - 2\omega_{[a}^I \omega_{b]}^K J. \quad (2.74)$$

In terms of this tensor, the Ricci-Voss equation for Newton-Cartan geometry can be shown to read

$$\Omega^I{}_{Jab} = R_{abJ}{}^I - 2h^{cd}\tilde{K}_{[a|c}{}^I\tilde{K}_{|b]dJ}. \quad (2.75)$$

This completes the description of the geometric structures of NC submanifolds.

### III. VARIATIONS AND DYNAMICS OF NEWTON-CARTAN SUBMANIFOLDS

In the previous section we defined timelike NC submanifolds and their characteristic geometric properties. In this section, closely following the Lorentzian case [53], we develop the variational calculus for NC submanifolds for the geometric structures of interest. These results are necessary to later introduce geometric action functionals capable of describing different types of soft matter systems, including the case of bending energies for lipid vesicles.

#### A. Variations of Newton-Cartan objects on the submanifold

In the following, we consider two types of variations, namely, embedding map variations, which are displacements of the submanifold, and Lagrangian variations which consist of the class of diffeomorphisms that displace the ambient space but keep the embedding map fixed (see, e.g., Refs. [49,50] and also Refs. [46,53]). As in the Lorentzian case [53], the sum of these two types of variations yield the transformation properties of the submanifold structures under full ambient space diffeomorphisms. When considering action functionals that give dynamics to submanifolds, they are equivalent, up to normal rotations.<sup>16</sup>

##### 1. Embedding map variations

Before specializing to any of the two types of variations, it is useful to consider general variations of the normal vectors. In particular, we decompose the variation of the normal vectors as

$$\begin{aligned} \delta n_\mu^I &= u_\mu^a u_a^v \delta n_\nu^I + n_\mu^J n_J^v \delta n_\nu^I \\ &= -u_\mu^a n_\nu^I \delta u_a^v + \frac{1}{2} n_{\mu J} (n^{vJ} \delta n_\nu^I + n^{vI} \delta n_\nu^J) \\ &\quad + \lambda^I{}_J n_\mu^J, \end{aligned} \quad (3.1)$$

where

$$\lambda^I{}_J = \frac{1}{2} (n_J^v \delta n_\nu^I - n^{vI} \delta n_{J\nu}), \quad (3.2)$$

is a local  $\mathfrak{so}(d-p)$  transformation of the normal vectors. By varying the second relation in (2.17), we find the relation  $n^{vJ} \delta n_\nu^I + n^{vI} \delta n_\nu^J = -n_\mu^I n_\nu^J \delta h^{\mu\nu}$  and hence

$$\delta n_\mu^I = -u_\mu^a n_\nu^I \delta u_a^v - \frac{1}{2} n_{\mu J} n_\nu^J \delta h^{\nu\rho} + \lambda^I{}_J n_\mu^J. \quad (3.3)$$

By varying the completeness relation (2.19) one may express variations of  $\delta h^{\nu\rho}$  in terms of variations of  $\tau_\nu$  and  $h_{\nu\rho}$  such that  $\delta h^{\mu\nu} = 2v^{(\mu} h^{\nu)\lambda} \delta \tau_\lambda - h^{\mu\rho} h^{\nu\sigma} \delta h_{\rho\sigma}$ . This leads to

$$\begin{aligned} \delta n_\mu^I &= -v^{(I} n^{J)v} n_{\mu J} \delta \tau_\nu + \frac{1}{2} n^{\rho J} n_{\mu J} n^{vI} \delta h_{\rho\nu} - n_\nu^I u_\mu^a \delta u_a^v \\ &\quad + \lambda^I{}_J n_\mu^J, \end{aligned} \quad (3.4)$$

<sup>16</sup>In the context of continuum mechanics, these two viewpoints are known as the Lagrangian and Eulerian descriptions.

which describes arbitrary infinitesimal variations of the normal vectors.

We now specialise to infinitesimal variations of the embedding map which we denote by

$$\delta X^\mu(\sigma) = -\xi^\mu(\sigma), \quad (3.5)$$

where  $\xi^\mu(\sigma)$  is understood as being an infinitesimal first order variation. Under this variation, the ambient tensor structures evaluated at the surface [i.e.,  $\tau_\mu(X)$ ,  $\bar{h}_{\mu\nu}(X)$ ] vary as

$$\delta_X \tau_\mu(X) = -\xi^\nu \partial_\nu \tau_\mu, \quad \delta_X \bar{h}_{\mu\nu}(X) = -\xi^\rho \partial_\rho \bar{h}_{\mu\nu}, \quad (3.6)$$

which follows from  $\delta_X \tau_\mu(X) = \tau_\mu(X - \xi) - \tau_\mu(X) = -\xi^\nu \partial_\nu \tau_\mu + \mathcal{O}(\xi^2)$ . In turn, the tangent vectors transform as

$$\delta_X u_a^\mu = \partial_a \delta X^\mu = -\partial_a \xi^\mu, \quad (3.7)$$

while variations of the induced metric structures take the form

$$\delta_X \tau_a = -u_a^\mu \xi_\xi \tau_\mu, \quad \delta_X \bar{h}_{ab} = -u_a^\mu u_b^\nu \xi_\xi \bar{h}_{\mu\nu}. \quad (3.8)$$

In other words, for these structures, performing embedding map variations is equivalent to performing a diffeomorphism in the space of embedding maps that keep  $u_a^\mu$  fixed, i.e., they are diffeomorphisms that are independent of  $\sigma^a$ . Using (3.4), we can write the variation of the normal vector as

$$\begin{aligned} \delta_X n_\mu^I &= -n_{\mu J} n_\rho^I n^{J\nu} \nabla_\nu \xi^\rho - n_{\mu J} \delta^{(I} n^{J)\nu} \tau_{\nu\rho} \xi^\rho \\ &\quad + n_\rho^I \partial_\mu \xi^\rho + \tilde{\lambda}^{IJ} n_{\mu J}, \end{aligned} \quad (3.9)$$

where the third term ensures that the orthogonality relation  $u_a^\mu n_\mu^I = 0$  is obeyed after the variation while the last term is a local transverse rotation of the form  $\tilde{\lambda}^{IJ} = \lambda^{IJ} + n_\rho^I n^{J\nu} \partial_\nu \xi^\rho$ .

For the purposes of this work, as mentioned in Sec. II A 3, we will be focusing on ambient NC geometries with absolute time, i.e., zero torsion. This extra assumption greatly simplifies many expressions after variation. We stress, however, that it is in general not possible to assume zero torsion before variation, as variation and setting torsion to zero do not always commute.<sup>17</sup>

However, specifically in the case of embedding map or Lagrangian variations, the variation of  $\tau_{\mu\nu}$  is guaranteed to vanish when the torsion itself vanishes. This means that we can set torsion to zero in the Lagrangian if all we are interested in are the equations of motion for  $X^\mu$ . For example,  $\delta_X \tau_{\mu\nu}(X) = -\xi^\rho \partial_\rho \tau_{\mu\nu}$ , which vanishes when  $d\tau = 0$ . Under the assumption of vanishing torsion, variations of the extrinsic curvature (2.61) take the form

$$\begin{aligned} \delta_X K_{ab}{}^I &= (\delta_X n_\mu^I) \partial_a u_b^\mu - n_\mu^I \partial_a \partial_b \xi^\mu + (\delta_X n_\mu^I) u_a^\rho \Gamma_{\rho\lambda}^\mu u_b^\lambda \\ &\quad - n_\mu^I (\partial_a \xi^\rho) \Gamma_{\rho\lambda}^\mu u_b^\lambda - n_\mu^I u_a^\rho \xi^\kappa \partial_\kappa (\Gamma_{\rho\lambda}^\mu) u_b^\lambda \\ &\quad - n_\mu^I u_a^\rho \Gamma_{\rho\lambda}^\mu \partial_b \xi^\lambda \\ &= -n_\mu^I D_a D_b \xi^\mu + \xi^\rho R_{\rho ab}{}^I + n_\rho^I n^{J\nu} \Gamma_{\nu\sigma}^\rho \xi^\sigma K_{abJ}, \end{aligned} \quad (3.10)$$

<sup>17</sup>For instance, when considering equations of motion for surfaces via extremization of a Lagrangian as in the next section, a term of the form  $X^{\mu\nu} \tau_{\mu\nu}$  in the Lagrangian can give a nonzero contribution to the equation of motion of  $\tau$  as neither  $X^{\mu\nu}$  nor  $\delta \tau_{\mu\nu}$  need to vanish on ambient spaces with zero torsion.

where we have used (3.9) as well as  $\delta_X \Gamma_{\rho\lambda}^\mu(X) = -\xi^\kappa \partial_\kappa \Gamma_{\rho\lambda}^\mu$ . The last term in (3.10) denotes a local  $\mathfrak{so}(d-p)$  transformation, and we have explicitly ignored further rotations by setting  $\lambda^{IJ} = 0$  in (3.4). It is also straightforward to consider variations of the external rotation tensor (2.63), but since we do not explicitly consider this structure in the dynamics of submanifolds, we will not dwell on this.

## 2. Lagrangian variations

In the previous section we have described how to perform variations of the embedding map. In this section we focus on a particular class of diffeomorphisms  $x^\mu \rightarrow x^\mu - \xi^\mu$  that act only on fields with support in the entire ambient spacetime, that is, they only act on the NC triplet  $(\tau_\mu(x), h_{\mu\nu}(x), m_\mu(x))$ . In general, diffeomorphisms also displace the embedding map according to  $\delta_\xi X^\mu = -\xi^\mu$  where  $\delta_\xi$  denotes an infinitesimal diffeomorphism variation. However, here we consider the case of Lagrangian variations for which  $\delta_\xi X^\mu = 0$  (see, e.g., Refs. [46,49,50,53]). In turn, this implies that the tangent vectors do not vary.<sup>18</sup>

$$\delta_\xi u_a^\mu = 0. \quad (3.11)$$

In the remainder of this section, we will explicitly work out Lagrangian variations of submanifold structures and compare them with embedding map variations, thereby extracting the transformation properties under full ambient diffeomorphisms. In particular, using (3.11) and the fact that  $\delta_\xi \tau_\mu = \mathcal{L}_\xi \tau_\mu$  and  $\delta_\xi \bar{h}_{\mu\nu} = \mathcal{L}_\xi \bar{h}_{\mu\nu}$  we find

$$\delta_\xi \tau_a = u_a^\mu \mathcal{L}_\xi \tau_\mu, \quad \delta_\xi \bar{h}_{ab} = u_a^\mu u_b^\nu \mathcal{L}_\xi \bar{h}_{\mu\nu}. \quad (3.12)$$

Comparing this with (3.8), it follows that for pullbacks of Newton-Cartan objects we have the relations

$$(\delta_\xi + \delta_X) \tau_a = (\delta_\xi + \delta_X) \bar{h}_{ab} = 0, \quad (3.13)$$

and thus these objects transform as scalars under ambient diffeomorphisms. For later purposes, we rewrite these results as

$$\delta_\xi \tau_a = \tau_\rho D_a \xi^\rho, \quad (3.14)$$

$$\begin{aligned} \delta_\xi \bar{h}_{ab} &= \bar{h}_{\rho b} D_a \xi^\rho + \bar{h}_{\rho a} D_b \xi^\rho - 2\tau_a \tau_b \xi^\sigma \partial_\sigma \bar{\Phi} \\ &\quad - 2\xi^\sigma \tau_\sigma \tau_{(a} \partial_{b)} \bar{\Phi} - 2\tau_{(a} \bar{\mathcal{K}}_{b)\sigma} \xi^\sigma, \end{aligned} \quad (3.15)$$

where we have used the relation (valid in the absence of torsion)

$$\nabla_\sigma \bar{h}_{\mu\nu} = -2\tau_\mu \tau_\nu \partial_\sigma \bar{\Phi} - 2\tau_\sigma \tau_{(\mu} \partial_{\nu)} \bar{\Phi} - 2\tau_{(\mu} \bar{\mathcal{K}}_{\nu)\sigma}, \quad (3.16)$$

as well as  $\hat{v}^\lambda \bar{h}_{\lambda\mu} = 2\tau_\mu \bar{\Phi}$  and where  $\bar{\mathcal{K}}_{\mu\nu} = -\mathcal{L}_{\hat{v}} \bar{h}_{\mu\nu}/2$ .

Considering the normal 1-forms, using (3.4) we find that

$$\begin{aligned} \delta_\xi n_\mu^I &= -v^{(I} n^{J)\nu} n_{\mu J} \tau_\rho \nabla_\nu \xi^\rho + n^{\lambda J} n_{\mu J} n^{\nu I} h_{\rho(\lambda} \nabla_{\nu)} \xi^\rho \\ &= n_{\mu J} n_\rho^{(J} n^{I)\nu} \nabla_\nu \xi^\rho, \end{aligned} \quad (3.17)$$

where we have used (3.16) as well as the identity  $n_I^\lambda h_{\rho\lambda} = h_{\rho I} = \tau_\rho v_I + n_{\rho I}$  and assumed vanishing torsion. Comparing this to the embedding map variation (3.9), we find that

$$(\delta_\xi + \delta_X) n_\mu^I = \tilde{\lambda}^I{}_J n_\mu^J + n_\rho^I \partial_\mu \xi^\rho, \quad (3.18)$$

where  $\tilde{\lambda}^{IJ} = -n_\rho^{(I} n^{J)\nu} \partial_\nu \xi^\rho$  is a local  $\mathfrak{so}(d-p)$  transformation and we have set  $\lambda^{IJ} = 0$  in (3.4). This implies that, up to a  $\text{SO}(d-p)$  rotation, the normal 1-forms  $n_\mu^I$  transform as 1-forms under ambient diffeomorphisms. This is the expected result (and analogous to the Lorentzian case [53]) as the 1-forms carry a spacetime index  $\mu$ . Repeating this procedure for the extrinsic curvature, we find that

$$\delta_\xi K_{ab}^I = K_{ab}^\mu \delta_\xi n_\mu^I + n_\mu^I u_a^\rho u_b^\lambda \delta_\xi \Gamma_{\rho\lambda}^\mu. \quad (3.19)$$

Since  $\Gamma_{\rho\lambda}^\mu$  is an affine connection, it transforms in the following way under diffeomorphisms

$$\begin{aligned} \delta_\xi \Gamma_{\lambda\nu}^\mu &= \xi^\rho \partial_\rho \Gamma_{\lambda\nu}^\mu - \Gamma_{\lambda\nu}^\rho \partial_\rho \xi^\mu + \Gamma_{\rho\nu}^\mu \partial_\lambda \xi^\rho + \Gamma_{\lambda\rho}^\mu \partial_\nu \xi^\rho + \partial_\lambda \partial_\nu \xi^\mu \\ &= \nabla_\lambda \nabla_\nu \xi^\mu - \xi^\rho R_{\rho\lambda\nu}^\mu, \end{aligned} \quad (3.20)$$

where in the second equality we assumed vanishing torsion. This implies that

$$\begin{aligned} \delta_\xi K_{ab}^I &= n_\mu^I D_a D_b \xi^\mu - \frac{1}{2} n_\mu^I K_{ab}^\sigma \nabla_\sigma \xi^\mu + \frac{1}{2} K_{abJ} n_\rho^J n^I{}^\nu \nabla_\nu \xi^\rho \\ &\quad - n_\mu^I u_a^\lambda u_b^\nu \xi^\rho R_{\rho\lambda\nu}^\mu \\ &= n_\mu^I D_a D_b \xi^\mu - \xi^\rho R_{\rho ab}^I - K_{abJ} n_\rho^J n^I{}^\nu \nabla_\nu \xi^\rho. \end{aligned} \quad (3.21)$$

Comparing this to (3.10), we obtain

$$(\delta_X + \delta_\xi) K_{ab}^I = \tilde{\lambda}^{IJ} K_{abJ}, \quad (3.22)$$

which, as in the Lorentzian case [53], states that the extrinsic curvature transforms as a scalar under ambient diffeomorphisms up to a transverse rotation.

## B. Action principle and equations of motion

Equipped with the variational technology of the previous section, we consider the dynamics of submanifolds that arise via the extremization of an action. In the context of soft matter systems this action can be interpreted as a free energy functional that depends on geometrical degrees of freedom. Examples of such systems are fluid membranes and lipid vesicles, described by Canham-Helfrich-type free energies. The equations of motion that arise from extremization naturally split into tangential energy and mass-momentum conservation equations in addition to the shape equation (which describes the mechanical balance of forces in the normal directions), as well as constraints (Ward identities) arising from  $\text{SO}(d-p)$  rotational invariance and boundary conditions.

<sup>18</sup>If we were working with foliations of surfaces instead of a single surface, we could define a set of vector fields  $u_a^\mu(x)$  where  $x$  is any point in the ambient spacetime. We could then require that the Lie brackets between these vector fields vanish so that their integral curves can be thought of as locally describing a set of curvilinear coordinates for the submanifold. In other words, the restriction of these vector fields to the submanifold obeys the condition that the  $u_a^\mu$  are tangent vectors, i.e.,  $u_a^\mu(x)|_{x=X} = \partial_a X^\mu$ . When we perform ambient diffeomorphisms within the context of a foliation, we must ensure that this condition is respected. This means that  $[\xi^\rho(x) \partial_\rho u_a^\mu(x) - u_a^\rho(x) \partial_\rho \xi^\mu(x)]|_{x=X} = \mathcal{L}_\xi u_a^\mu = \delta_\xi u_a^\mu = 0$ . Lagrangian diffeomorphisms are thus generated by  $\xi^\mu(x)$  such that (3.11) is obeyed. See, e.g., Ref. [52].

### 1. Equations of motion and rotational invariance

Following Ref. [53], we consider an action  $S$  on a  $(p+1)$ -dimensional NC submanifold that is a functional of the metric data  $\tau_a, \bar{h}_{ab}$  (this set contains all the fields  $\tau_a, h_{ab}, m_a$  and is an equivalent choice of NC objects) as well as the extrinsic curvature, that is  $S = S[\tau_a, \bar{h}_{ab}, K_{ab}^I]$ . The variation of this action takes the general form

$$\delta S = \int_{\Sigma} d^{p+1} \sigma e (\mathcal{T}^a \delta \tau_a + \frac{1}{2} \mathcal{T}^{ab} \delta \bar{h}_{ab} + \mathcal{D}^{ab}{}_I \delta K_{ab}^I). \quad (3.23)$$

Here  $e$  is the integration measure given by  $e = \sqrt{-\det(-\tau_a \tau_b + h_{ab})}$  and invariant under local Galilean boosts and  $U(1)$  gauge transformations. The response  $\mathcal{T}^a$  is the energy current,<sup>19</sup> while the response  $\mathcal{T}^{ab}$  is the Cauchy stress-mass tensor [59]. Finally,  $\mathcal{D}^{ab}{}_I$  is the bending moment, encoding elastic responses, and typically takes the form of an elasticity tensor contracted with the extrinsic curvature (strain) [46,53]. Both  $\mathcal{T}^{ab}$  and  $\mathcal{D}^{ab}{}_I$  are symmetric as they

inherit the symmetry properties of  $\bar{h}_{ab}$  and  $K_{ab}^I$ . The temporal projection of the Cauchy stress-mass tensor,  $\tau_b \mathcal{T}^{ab}$ , is the mass current.

We require the action (3.23) to be invariant under  $U(1)$  gauge transformations for which  $\delta_\sigma \bar{h}_{ab} = -2\tau_{(a} \partial_{b)} \sigma$  and invariant under  $SO(d-p)$  rotations for which the extrinsic curvature transforms according to (2.65). Ignoring boundary terms, to be dealt with in Sec. III B 2, this leads to mass conservation and a constraint on the bending moment, respectively:

$$D_b (\mathcal{T}^{ab} \tau_a) = 0, \quad \mathcal{D}^{abI} K_{ab}^J = 0. \quad (3.24)$$

In particular, the latter condition takes exactly the same form as in the Lorentzian context [46,53] and can also be obtained by performing a Lagrangian variation of (3.23) as we shall see. In order to obtain the equations of motion arising from (3.23), we can perform a Lagrangian variation as originally considered in Refs. [49,50] and developed further in Ref. [53].<sup>20</sup> Under a Lagrangian variation, using Sec. III A 2, the action (3.23) varies according to

$$\begin{aligned} \delta_\xi S = & \int_{\Sigma} d^{p+1} \sigma e \xi^\rho [ -\tau_\rho D_a \mathcal{T}^a - D_a (\bar{h}_{\rho b} \mathcal{T}^{ab}) - \mathcal{T}^{ab} \{ \tau_a \tau_b \partial_\rho \check{\Phi} + \tau_\rho \tau_a \partial_b \check{\Phi} + \tau_a \bar{K}_{b\rho} \} + D_a D_b (\mathcal{D}^{ab}{}_I n_\rho^I) - \mathcal{D}^{ab}{}_I R_{\rho ab}^I ] \\ & + \int_{\Sigma} d^{p+1} \sigma e D_a [ \mathcal{T}^a \tau_\rho \xi^\rho + \mathcal{T}^{ab} \bar{h}_{\rho b} \xi^\rho + \mathcal{D}^{ab}{}_I n_\rho^I D_b \xi^\rho - D_b (\mathcal{D}^{ab}{}_I n_\rho^I) \xi^\rho ] + \int_{\Sigma} d^{p+1} \sigma e \mathcal{D}^{ab}{}_I K_{ab}^J n_\rho^I n^J \nabla_\sigma \xi^\rho. \end{aligned} \quad (3.25)$$

In this equation, the second integral gives rise to a boundary term which we consider in Sec. (III B 2). The last integral vanishes due to the requirement of rotational invariance (3.24). However, even if (3.24) was not imposed, given that the last term involves a normal derivative of  $\xi_\mu$ , it cannot be integrated out and hence must vanish independently giving again rise to the second condition in (3.24), as in the Lorentzian case [53].

The first integral in (3.25) must vanish for an arbitrary vector field  $\xi^\mu$  and hence it gives rise to the equation of motion

$$\begin{aligned} -\tau_\rho D_a \mathcal{T}^a - \bar{h}_{\rho b} D_a \mathcal{T}^{ab} - \mathcal{T}^{ab} \bar{h}_{\rho\sigma} K_{ab}^\sigma + 2\tau_\rho \mathcal{T}^{ab} \tau_a \partial_b \check{\Phi} \\ + \tau_\rho \bar{K}_{ab} \mathcal{T}^{ab} + D_a D_b (\mathcal{D}^{ab}{}_I n_\rho^I) - \mathcal{D}^{ab}{}_I R_{\rho ab}^I = 0, \end{aligned} \quad (3.26)$$

where we have used (3.16). In Appendix A we provide the relation (A28) between  $\bar{K}_{ab}$ , which is the pullback of  $\bar{K}_{\mu\nu}$ , and  $\bar{K}_{ab}^\Sigma = -\mathcal{L}_v^\Sigma \bar{h}_{ab}/2$  which is the actual surface-equivalent of  $\bar{K}_{\mu\nu}$ . Here  $\mathcal{L}_v^\Sigma$  denotes the surface Lie derivative along  $\hat{v}^a$ . Using this relation, as well as (2.41), which relates the Newtonian potential on the submanifold  $\check{\Phi}$  to its ambient spacetime counterpart  $\check{\Phi}$ , the equation of motion (3.26) can

be written as

$$\begin{aligned} \tau_\rho D_a \mathcal{T}^a + \bar{h}_{\rho b} D_a \mathcal{T}^{ab} + \mathcal{T}^{ab} \bar{h}_{\rho\sigma} K_{ab}^\sigma - 2\tau_\rho \mathcal{T}^{ab} \tau_a \partial_b \check{\Phi} \\ - \tau_\rho \bar{K}_{ab}^\Sigma \mathcal{T}^{ab} - \tau_\rho \hat{v}^I K_{ab}^I \mathcal{T}^{ab} - D_a D_b (\mathcal{D}^{ab}{}_I n_\rho^I) \\ + \mathcal{D}^{ab}{}_I R_{\rho ab}^I = 0. \end{aligned} \quad (3.27)$$

The equation of motion (3.27) can be projected tangentially or orthogonally to  $\Sigma$ , yielding two independent equations. The tangential projection, known as the intrinsic equation of motion, is given by

$$\begin{aligned} \tau_c [ D_a (\mathcal{T}^a - 2\check{\Phi} \mathcal{T}^{ab} \tau_b) - \mathcal{T}^{ab} \bar{K}_{ab}^\Sigma ] + \bar{h}_{bc} D_a \mathcal{T}^{ab} \\ + 2D_a (K_{bc}^I \mathcal{D}^{ab}{}_I) - \mathcal{D}^{ab}{}_I D_c K_{ab}^I = 0, \end{aligned} \quad (3.28)$$

where we have used the Codazzi-Mainardi equation (2.70), assuming vanishing torsion, in order to eliminate contractions with the Riemann tensor. Equation (3.28) can be further projected along  $h^{cd}$  and  $\hat{v}^c$ , which again yields two independent equations. These projections can be simplified by defining  $\mathcal{T}_m^{ad} = \mathcal{T}^{ad} + 2\mathcal{D}^{b(a}{}_I h^{d)c} K_{bc}^I$  and  $\mathcal{T}_m^a = \mathcal{T}^a - 2\hat{v}^c K_{bc}^I \mathcal{D}^{ab}{}_I$ . In particular, the spatial projection using  $h^{cd}$  gives rise to mass and momentum conservation

$$D_a \mathcal{T}_m^{ad} + 2D_a (\mathcal{D}^{b[a}{}_I h^{d]c} K_{bc}^I) - h^{cd} \mathcal{D}^{ab}{}_I D_c K_{ab}^I = 0, \quad (3.29)$$

where we have used invariance under  $U(1)$  gauge transformations [the first condition in (3.24)]. In turn, the projection along  $\hat{v}^c$  leads to energy conservation

$$D_a \mathcal{T}_m^a - \mathcal{T}_m^{ab} \bar{K}_{ab}^\Sigma - 2\mathcal{T}_m^{ab} \tau_b \partial_a \check{\Phi} + \mathcal{D}^{ab}{}_I \hat{v}^c D_c K_{ab}^I = 0, \quad (3.30)$$

where we have used the identity  $D_a \hat{v}^c = -h^{cd} (\bar{K}_{ad}^\Sigma + \tau_a \partial_d \check{\Phi})$  as well as the first condition in (3.24).

<sup>19</sup>As mentioned throughout this paper, we have focused on the case of vanishing torsion  $\tau_{\mu\nu} = 0$ , meaning that  $\tau_a = \partial_a T$ , where  $T$  is some scalar. Therefore, varying  $\tau_a$  is actually varying  $T$  in (3.23), which in turn implies that we are not able to extract  $\mathcal{T}^a$  from the action but only its divergence. This is sufficient for the purposes of this work.

<sup>20</sup>Alternatively, we may perform embedding map variations.

The intrinsic equations (3.29) and (3.30) result from diffeomorphism invariance along the tangential directions  $\xi^a = u_a^\mu \xi^\mu$  or, equivalently, from tangential reparametrization invariance  $\delta X^\mu = u_a^\mu \delta X^a$ . Since the action only depends on the NC objects  $\tau_a$ ,  $\bar{h}_{ab}$ , and  $K_{ab}^I$ , the intrinsic equations are nothing but Bianchi identities that result from the diffeomorphism invariance of the action and hence are identically satisfied.

Finally, the transverse projection of (3.27) is usually referred to as the *shape equation*, and it is given by

$$\mathcal{T}^{ab} K_{ab}^I = \mathcal{D}_a \mathcal{D}_b \mathcal{D}^{abI} - \mathcal{D}^{ab}{}_J K_{ac}^I K_{bd}^J h^{cd} - \mathcal{D}^{ab}{}_J R_{Iab}^J, \quad (3.31)$$

where we have used the covariant derivative  $\mathcal{D}_a$  introduced in (2.60). Equation (3.31) is valid in the absence of torsion and takes the exact same form as its Lorentzian counterpart [46,53], and it is a nontrivial dynamical equation that determines the set of embedding functions  $n_\mu^I X^\mu$ . This equation, which is one of the main results of the paper, appears extensively in the context of lipid vesicles (see, e.g., Ref. [9]) but without time components.

## 2. Boundary conditions

In the previous section we considered the equations of motion arising from (3.23) on  $\Sigma$ . In this section we consider the possibility of such submanifolds having a boundary. In such cases, the second integral in (3.25) is nontrivial and gives rise to a nontrivial boundary term that must vanish, namely,

$$\int_{\partial\Sigma} d^p y e_\partial \eta_a [(T^a \tau_\rho + \mathcal{T}^{ab} \bar{h}_{\rho b} - D_b \mathcal{D}^{ab}{}_\rho - \mathcal{D}^{ab}{}_I D_b n_\rho^I) \xi^\rho + \mathcal{D}^{ab}{}_I D_b \xi^I] = 0, \quad (3.32)$$

where  $\eta_a$  is a normal covector to the boundary while  $e_\partial$  is the integration measure on  $\partial\Sigma$  (parameterized by  $y$ ). With the help of the boundary completeness relation  $\Pi_b^c = \delta_b^c - \eta_b \eta^c$  where  $\eta^c = h^{cd} \eta_d$ , the boundary term can be rewritten as

$$\int_{\partial\Sigma} d^p y e_\partial \eta_a \eta_b \mathcal{D}^{ab}{}_I \eta^c \partial_c \xi^I + \int_{\partial\Sigma} d^p y e_\partial \eta_a \{ [T^a \tau_\rho + \mathcal{T}^{ab} \bar{h}_{\rho b} - D_b (\mathcal{D}^{ab}{}_I n_\rho^I) - \mathcal{D}^{ab}{}_I D_b n_\rho^I] \xi^\rho + \Pi_b^c \mathcal{D}^{ab}{}_I \partial_c \xi^I \} = 0. \quad (3.33)$$

As in the case of the bulk equations of motion on  $\Sigma$ , normal derivatives to the boundary of the form  $\eta^c \partial_c \xi^I$  cannot be integrated out. Hence the above equation splits into two independent conditions:

$$\eta_a \eta_b \mathcal{D}^{ab}{}_I \Big|_{\partial\Sigma} = 0, \quad (3.34)$$

$$\left\{ \eta_a [T^a \tau_\rho + \mathcal{T}^{ab} \bar{h}_{\rho b} - D_b (\mathcal{D}^{ab}{}_I n_\rho^I) - \mathcal{D}^{ab}{}_I D_b n_\rho^I] - n_\rho^I \Pi_c^d D_d (\eta_a \mathcal{D}^{ab}{}_I \Pi_b^c) \right\} \Big|_{\partial\Sigma} = 0. \quad (3.35)$$

The first boundary condition in (3.34) is a consequence of  $\text{SO}(d-p)$  invariance of the action and can also be derived by keeping track of boundary terms when using (2.65) in (3.23). The second of these conditions can be projected tangentially

and transversely to  $\Sigma$ , yielding, respectively,

$$\eta_a [T^a \tau_c + \mathcal{T}^{ab} \bar{h}_{bc} + 2\mathcal{D}^{ab}{}_I K_{bc}^I] \Big|_{\partial\Sigma} = 0, \\ [\mathcal{D}^{ab}{}_J \Pi_b^c D_c \eta_a - 2\mathcal{D}_b (\eta_a \mathcal{D}^{ab}{}_J)] \Big|_{\partial\Sigma} = 0, \quad (3.36)$$

where we have used the first boundary condition (3.34) as well as  $\eta_a \tau_b \mathcal{T}^{ab} \Big|_{\partial\Sigma} = 0$ , which is a consequence of the  $U(1)$  invariance of (3.23). These boundary conditions can be further projected along  $h^{cd}$  and  $\hat{v}^c$ , leading to

$$[\eta_a \mathcal{T}_m^{ad} + 2\eta_a \mathcal{D}^{b[a} h^{d]c} K_{bc}^I] \Big|_{\partial\Sigma} = 0, \quad \eta_a \mathcal{T}_m^a \Big|_{\partial\Sigma} = 0, \quad (3.37)$$

where  $\mathcal{T}_m^{ad}$  and  $\mathcal{T}_m^a$  were introduced in (3.29) and (3.30), respectively. This completes the analysis of the equations of motion and its boundary conditions. In the specific examples below, however, we will not consider the presence of boundaries.

## IV. APPLICATIONS TO SOFT MATTER SYSTEMS

In this section we apply the action formalism in order to describe equilibrium fluid membranes and lipid vesicles as well as their fluctuations. These systems are such that their deformations, at mesoscopic scales, are described by purely geometric degrees of freedom (see, e.g., Ref. [9]) and few material or transport coefficients, such as the bending modulus  $\kappa$ . The development of Newton-Cartan geometry for surfaces in the previous sections brings several advantages to the description of these systems. First, it introduces absolute time and therefore fluctuations of the system can include temporal dynamics in a covariant form. Second, the symmetries of the problem are manifested via the geometry of the submanifold or ambient spacetime.<sup>21</sup>

More importantly, however, is perhaps the fact that NC geometry allows to properly introduce thermal field theory of equilibrium fluid membranes. Material coefficients such as  $\kappa$  are functions of the temperature  $T$  (see, e.g., Ref. [19]) but also of the mass density  $\mu$ . However, the fact that  $T$  and  $\mu$  can be given a geometric interpretation, via the hydrostatic partition function approach, in which case they are associated with the existence of a background isometry (or timelike Killing vector field), is disregarded in all models of lipid vesicles. However this approach is required in order to understand the correct equations that describe fluctuations. We begin with a simple fluid membrane with only surface tension in order to elucidate these fundamental aspects and end with a generalization of the Canham-Helfrich model.

### A. Fluid membranes

In this section we consider equilibrium fluid membranes, by which we mean stationary fluid configurations that live

<sup>21</sup>This point is reminiscent of the strategy adopted by Son *et al.* in Refs. [41,42,60] where the authors take advantage of the fact that Newton-Cartan geometry is the natural geometric arena for the effective description of the fractional quantum Hall effect. In this way, by coupling a suitable field theory to Newton-Cartan geometry, information about correlation functions involving mass, energy and momentum currents can be extracted via geometric considerations.

on some arbitrary surface.<sup>22</sup> As mentioned above, equilibrium requires the existence of an ambient timelike Killing vector field  $k^\mu$  such that the fluid configuration is time independent. In general, since we wish to describe fluids that are rotating or boosted along some directions, equilibrium requires the existence of a set of symmetry parameters  $K = (k^\mu, \lambda_\mu^K, \Lambda^K)$  such that the transformation on the NC triplet [cf. Eqs. (2.4) and (2.5)] vanishes,

$$\begin{aligned} \mathcal{L}_k \tau_\mu &= 0, & \mathcal{L}_k \bar{h}_{\mu\nu} &= 2\tau_{(\mu} \mathcal{L}_k m_{\nu)} + 2\tau_{(\mu} \partial_{\nu)} \Lambda^K, \\ \mathcal{L}_k m_\mu + \lambda_\mu^K + \partial_\mu \Lambda^K &= 0, \end{aligned} \quad (4.1)$$

and whose pullback  $k^a = u^a_\mu k^\mu$  is also a submanifold Killing vector field satisfying the relations

$$\begin{aligned} \mathcal{L}_k \tau_a &= 0, & \mathcal{L}_k \bar{h}_{ab} &= 2\tau_{(a} \mathcal{L}_k \check{m}_{b)} + 2\tau_{(a} \partial_{b)} \Lambda^K, \\ \mathcal{L}_k \check{m}_a + \check{\lambda}_a^K + \partial_a \Lambda^K &= 0. \end{aligned} \quad (4.2)$$

These relations make sure that the space in which the fluid lives does not depend on time.

The simplest example of  $k^\mu$  in flat NC space (2.15) is the case of a static Killing vector where  $k^\mu = \delta_t^\mu$ .<sup>23</sup> Since the fluid is in equilibrium, it is straightforward to construct an Euclidean free energy<sup>24</sup> from the action  $S$  by Wick rotation  $t \rightarrow it$ , compactification of  $t$  with period  $1/T_0$  and integration over the time circle, where  $T_0$  is the constant global temperature. This means that the Euclidean free energy  $\mathcal{F}$  is given by

$$\mathcal{F}[\tau_a, \bar{h}_{ab}, K_{ab}^I] = T_0 S_{t \rightarrow it}. \quad (4.3)$$

Given the transformations (4.1) and (4.2), the free energy can depend on two scalars, the local temperature  $T$  and chemical potential  $\mu$  (associated with particle number conservation), defined in terms of the symmetry parameters as

$$T = \frac{T_0}{k^a \tau_a}, \quad \frac{\mu}{T} = \frac{\Lambda^K}{T_0} + \frac{1}{2T} \bar{h}_{ab} u^a u^b, \quad u^b = \frac{k^b}{k^a \tau_a}, \quad (4.4)$$

where  $u^\mu$  is the fluid velocity.<sup>25</sup> We will now look at different cases.

<sup>22</sup>We follow previous constructions of relativistic [46,61–63] and nonrelativistic fluids [33,34].

<sup>23</sup>Specific surfaces where the fluid lives, besides a timelike isometry, may have additional translational or rotational isometries. In such situations the Killing vector  $k^\mu$  can have components along those spatial directions. The chemical potential  $\mu$  introduced in (4.4) captures the spatial norm of the Killing vector, which is associated with the presence of linear or angular momenta.

<sup>24</sup>This is also referred to as hydrostatic partition function  $-i \ln \mathcal{Z} = T_0 \mathcal{F}$  [61,62].

<sup>25</sup>The free energy considered here only depends on geometric quantities such as  $T$  and  $\mu$ , where the Killing vector  $K^\mu$  and the gauge parameter  $\Lambda^K$  solve (4.2). It is possible to promote the free energy to an effective action that does not require time independence by treating  $S$  as also being dependent on an arbitrary vector  $\beta^\mu$  and gauge parameter  $\Lambda$  (see Ref. [64]).

### 1. Surface tension

The simplest example of a fluid membrane is one in which the action depends only on the surface tension  $\chi(T, \mu)$ . Such an action describes, for instance, soap films. Thus the free energy (4.3) takes the form

$$\mathcal{F} = \int_{\Sigma_s} d^p \sigma e_s \chi(T, \mu), \quad (4.5)$$

where  $\Sigma_s$  and  $e_s$  denote the spatial part of  $\Sigma$  and the volume form  $e$ , respectively, due to integration over the time direction. We can now use (3.23) to extract the currents at fixed symmetry parameters. It is useful to explicitly evaluate the variations

$$\delta T = -T u^a \delta \tau_a, \quad \delta \mu = \frac{\Lambda^K}{T_0} \delta T + \frac{1}{2} u^a u^b \delta \bar{h}_{ab} + \bar{u}^2 \frac{\delta T}{T}, \quad (4.6)$$

where we have defined  $\bar{u}^2 = \bar{h}_{ab} u^a u^b$ . This allows us to derive the variation of the surface tension as

$$\delta \chi = s \delta T + n \delta \mu = -\left(T s + n \mu + \frac{n}{2} \bar{u}^2\right) u^a \delta \tau_a + \frac{n}{2} u^a u^b \delta \bar{h}_{ab}, \quad (4.7)$$

where we have defined the surface entropy density and surface particle number density (mass density) as

$$s = \left(\frac{\partial \chi}{\partial T}\right)_\mu, \quad n = \left(\frac{\partial \chi}{\partial \mu}\right)_T. \quad (4.8)$$

From (4.7) we also directly extract the Gibbs-Duhem relation  $d\chi = s dT + n d\mu$ . Using (4.7) we also determine the currents

$$\mathcal{T}^a = -\chi \hat{v}^a - \left(\varepsilon + \chi + \frac{n}{2} \bar{u}^2\right) u^a, \quad \mathcal{T}^{ab} = \chi h^{ab} + n u^a u^b, \quad (4.9)$$

where we have defined the internal energy  $\varepsilon$  via the Euler relation  $\varepsilon + \chi = T s + n \mu$ . This defines the constitutive relations of a Galilean fluid living on a submanifold in an ambient NC spacetime. Using the stress-mass tensor in (4.9), the nontrivial shape equation (3.31) in the absence of bending moment becomes

$$\mathcal{T}^{ab} K_{ab}^I = 0 \Rightarrow \chi K^I + n u^a u^b K_{ab}^I = 0. \quad (4.10)$$

Physically relevant fluid membranes are codimension one and so we can omit the transverse index  $I$ . The shape equation (4.10) expresses the balance of forces between the surface tension  $\chi K$  (normal stress) and the normal acceleration  $n u^a u^b K_{ab}$  of the fluid.<sup>26</sup> If we would consider a surface tension with no dependence on the temperature and chemical potential, then  $n = 0$  and the shape equation reduces to the equation of a minimal surface. To complete the thermodynamic interpretation of (4.5), we note that varying the free energy with respect to the global temperature  $T_0$  gives rise to the global entropy

$$S = \frac{\partial \mathcal{F}}{\partial T_0} = \int_{\Sigma_s} d^p \sigma e_s \frac{s}{k^a \tau_a} = \int_{\Sigma_s} d^p \sigma e_s s u^a t_a, \quad (4.11)$$

<sup>26</sup>Using the definition of extrinsic curvature (2.61), we can rewrite  $u^a u^b K_{ab}^I = n^I_\mu u^\nu \nabla_\nu u^\mu$ . Hence the second term in (4.10) is in fact the normal component of the acceleration of the fluid  $u^\nu \nabla_\nu u^\mu$  where  $u^\mu = u^a_\mu u^a$ . If the fluid is rotating along the surface, this term gives rise to centrifugal acceleration.

where we have defined the timelike vector  $t_a = \tau_a / (k^b \tau_b)$ , and where  $su^a$  is the entropy current.

## 2. Surface fluctuations: Elastic waves

The shape equation (4.10) describes equilibrium configurations of fluid membranes in the absence of any bending moment. We consider a fluid at rest in the simplest scenario of a surface with two spatial dimensions embedded in a NC spacetime with 3 spatial dimensions such that  $\tau_a = \delta_a^t$  where  $a = t, 1, 2$ . The fluid thus has a velocity  $u^a = (1, 0, 0)$ . Such a trivial time embedding,  $\tau_a = \delta_a^t$ , is typically the most physically relevant setting for soft matter applications. In this context, we have that  $u^a u^b K_{ab} = 0$  since  $K_{tb} = 0$  trivially. Thus, the second term in (4.10) does not contribute in equilibrium, and it is acceptable to simply ignore the fact that the surface tension depends on the temperature and chemical potential. However, if one is interested in fluctuations away from equilibrium, the second term in (4.10) cannot be ignored. Here we consider the simplest case where the surface is flat and hence also trivially embedded in space such that

$$h_{ab} = \delta_a^i \delta_b^i, \quad m_a = 0, \quad n_\mu = \delta_\mu^3. \quad (4.12)$$

This is an equilibrium configuration that trivially solves (4.10) since  $K_{ab} = 0$ .

We now consider a small fluctuation of the embedding map along the normal direction  $X^3 = X^\perp$ . Using (3.10) we find

$$\delta_X \mathcal{T}^{ab} K_{ab} + \mathcal{T}^{ab} \delta_X K_{ab} = (\chi h^{ab} + nu^a u^b) \partial_a \partial_b \xi^\perp = 0, \quad (4.13)$$

where we have used that  $K_{ab} = 0$  to eliminate the first term and converted  $\mathcal{D}_a \rightarrow \partial_a$  as we are dealing with a flat surface in a flat ambient space. Equation (4.13) is a wave equation, and considering wavelike solutions of the form  $\xi^\perp \sim e^{-i\omega t + i(k_1 \sigma_1 + k_2 \sigma_2)}$  one finds the linear dispersion relation

$$\omega = \pm \sqrt{\frac{-\chi}{n}} k, \quad (4.14)$$

where  $\omega$  is the frequency,  $k_1, k_2$  are wave numbers, and  $k^2 = k_1^2 + k_2^2$ .<sup>27</sup> This is the classical answer for the oscillations of uniform elastic sheets (see, e.g., Ref. [65]).

This result shows the importance of considering NC geometry in the theory of fluid membranes, since omitting the dependence of the surface tension on the temperature and chemical potential would not have allowed for the derivation of (4.14). We note that the result (4.14) is valid for any type of elastic membrane with mass density and does not require any ‘‘flow’’ on the membrane, in particular the initial equilibrium configuration was static  $u^a = (1, 0, 0)$ .<sup>28</sup> In a future publication, we will consider a more general analysis of fluctuations of fluid membranes which will also include the Canham-Helfrich model [54].

<sup>27</sup>Note that in order to match conventions with the classical literature one should redefine  $\chi \rightarrow -\chi$ .

<sup>28</sup>If one was describing an elastic material, the surface tension would also be dependent on the Goldstone modes of broken translations and hence on intrinsic elastic moduli.

## 3. Droplets

Here we briefly consider the case of a droplet (or soap bubble) in which the fluid membrane encloses some volume with uniform internal pressure  $P_{\text{int}}$  separating it from an exterior medium with uniform external pressure  $P_{\text{ext}}$ . In order to describe these situations we augment the action with the bulk pieces

$$S_{\text{bulk}} = \int_{\text{int}(\Sigma)} d^{d+1}x e_b P_{\text{int}} + \int_{\text{ext}(\Sigma)} d^{d+1}x e_b P_{\text{ext}}, \quad (4.15)$$

where  $e_b$  is the bulk measure and  $\text{int}(\Sigma)$  is the interior of the closed surface  $\Sigma$ ,<sup>29</sup> whereas  $\text{ext}(\Sigma)$  is the exterior region of the bulk outside the surface. The variation of the density  $e_b$  with respect to a bulk (or ambient spacetime) diffeomorphism reads

$$\delta_\xi e_b = \partial_\mu (e_b \xi^\mu), \quad (4.16)$$

which, using Stokes theorem, implies that the variation takes the form

$$\delta_\xi S_{\text{bulk}} = -\Delta p \int_\Sigma d^d \sigma n_\mu \xi^\mu, \quad (4.17)$$

where  $\Delta p = P_{\text{ext}} - P_{\text{int}}$  is the constant pressure difference across the surface  $\Sigma$ .<sup>30</sup> In a biophysical context, where the pressure difference is attributable to two different chemical solutions separated by a semipermeable membrane, this pressure is the *osmotic pressure* [66].

From (4.17), we deduce that  $S_{\text{bulk}}$  does not contribute to the intrinsic equations of motion, while it adds the constant term  $-\Delta p$  to the shape equation (4.10) such that

$$\mathcal{T}^{ab} K_{ab} = \chi K + nu^a u^b K_{ab} = -\Delta p. \quad (4.18)$$

This is a generalization of the Young-Laplace equation, which includes the possibility of the fluid having nontrivial acceleration, and was first derived in Ref. [45] in the context of null reduction.

## B. The Canham-Helfrich model revisited

In this section we consider a more elaborate case of fluid membranes, namely, that of the Canham-Helfrich model [1,2]. This model describes equilibrium configurations of biophysical membranes (see, e.g., Ref. [6]) comprised of a phospholipid bilayer [67], and captures several shapes of biophysical interest [6], namely, the sphere (corresponding to spherical vesicles such as liposomes), the torus (toroidal vesicles) and the biconcave discoid (the red blood cell or *erythrocyte*). This model includes, besides the presence of a surface tension  $\chi$ , also the bending modulus  $\kappa$  that incorporates the bending energy of the membrane. We show how to describe this model within Newton-Cartan geometry and generalize it by allowing the material parameters to be functions of  $T, \mu$ . We also review the family of classical lipid vesicles (spherical,

<sup>29</sup>By a closed surface we mean a NC submanifold whose constant time slices are closed.

<sup>30</sup>In order to describe gases or fluids in the interior or exterior, one should consider the dependence of internal or external pressures on bulk temperature and chemical potential as in Ref. [45].

toroidal, discoid) within this framework. We leave a more detailed analysis of this model and its generalizations to a future publication [54].

**1. Generalized Canham-Helfrich model**

The Canham-Helfrich model contains quadratic terms in the extrinsic curvature and a set of material coefficients. It describes lipid vesicles in thermal equilibrium. As in the previous section, a proper description of such systems requires taking into account the dependence of the material coefficients on the temperature and chemical potential. As a starting point we take the more general free energy

$$\mathcal{F}_{\text{CH}} = \int_{\Sigma_s} d^p \sigma e_s [a_0(T, \mu) + a_1(T, \mu)K + a_2(T, \mu)K^2 + a_3(T, \mu)K \cdot K], \tag{4.19}$$

where  $\{a_0, a_1, a_2, a_3\}$  is a set of material coefficients characterizing the phenomenological specifics of the biophysical system under scrutiny. In the expression above, we have defined  $K \cdot K = h^{ac}h^{bc}K_{ab}K_{cd}$ .

It is well known that the last term in (4.19) can usually be ignored due to the Gauss-Codazzi equation (2.73) in flat ambient space, as it can be related to the Gaussian curvature of the membrane and hence integrated out for two-dimensional surfaces (see Appendix D for details). However, this is possible only if  $a_3$  is treated as a constant. Since a proper geometric and thermodynamic treatment requires promoting  $a_3$  to a nontrivial function of  $T, \mu$  this implies that new nontrivial contributions to the equations of motion will appear. Additionally, based solely on effective field theory reasoning, it is possible to augment (4.19) with further terms involving the fluid velocity (see [46] for the relativistic case). We will leave a thorough analysis of this for the future [54]. Here we focus on extracting the stresses on the membrane using (3.23).

We find the energy current

$$\mathcal{T}^a = -(a_0 + a_1K + a_2K^2 + a_3K \cdot K)\hat{v}^a - (L_0 + L_1K + L_2K^2 + L_3K \cdot K)u^a, \tag{4.20}$$

where we have defined the thermodynamic parameters

$$L_i = T s_i + n_i \mu + \frac{n_i}{2} \bar{u}^2, \quad s_i = \left( \frac{\partial a_i}{\partial T} \right)_\mu, \quad n_i = \left( \frac{\partial a_i}{\partial \mu} \right)_T. \tag{4.21}$$

Similarly, we extract the Cauchy stress-mass tensor

$$\begin{aligned} \mathcal{T}^{ab} = & h^{ab}(a_0 + a_1K + a_2K^2 + a_3K \cdot K) \\ & - 2h^{ac}h^{bd}K_{cd}(a_1 + 2a_2K) - 4a_3h^{fd}h^{ca}h^{eb}K_{cd}K_{ef} \\ & + (n_0 + n_1K + n_2K^2 + n_3K \cdot K)u^a u^b. \end{aligned} \tag{4.22}$$

As this model contains terms involving the extrinsic curvature, it has a bending moment of the form

$$\mathcal{D}^{ab} = a_1 h^{ab} + \mathcal{Y}^{abcd} K_{cd}, \quad \mathcal{Y}^{abcd} = 2a_2 h^{ab} h^{cd} + 2a_3 h^{a(c} h^{d)b}, \tag{4.23}$$

where  $\mathcal{Y}^{abcd}$  is the Young modulus of the membrane and has the usual symmetries of a classical elasticity tensor.<sup>31</sup> Equations (4.22) and (4.23) demonstrate that if  $a_3$  is a nontrivial function of  $T, \mu$ , then it will contribute nontrivially to the shape equation (3.31).

Let us be a bit more precise about the role of  $a_3$ . First, we redefine the coefficient  $a_2$  as  $a_2 = \tilde{a}_2 - a_3$  so that  $a_3$  now multiplies the integrand of the Gauss-Bonnet term, the Gaussian curvature. All terms proportional to  $a_3$  in the shape equation can be shown to cancel identically using a set of identities such as the Codazzi-Mainardi and Gauss-Codazzi equations [i.e., (2.70) and (2.73) suitably adapted to the case of a codimension one submanifold] as well as the identity (D6) which expresses the fact that the Einstein tensor of the Riemannian geometry on constant time slices vanishes in two dimensions. This means that  $a_3$  will contribute only to the shape equation through its derivatives that we denoted by  $s_3$  and  $n_3$ . There are only two such terms,  $n_3 K \cdot K u^a u^b K_{ab}$  and  $(h^{ac}h^{bd}K_{cd} - h^{ab}K)D_a D_b a_3$ . In particular the latter is interesting since it will make a contribution to the shape equation even in the case of a static fluid.

We now show how the model (4.19) recovers the standard Canham-Helfrich model.

**2. The standard Canham-Helfrich model**

We focus on three-dimensional flat spacetime (2.15) and surfaces with two spatial dimensions. We also assume that the functions  $\{a_0, a_1, a_2, a_3\}$  are constant. In this case, as explained above and detailed in Appendix D, we can set  $a_3 = 0$ . Additionally, we require the free energy (4.19) to be invariant under a change of the inwards and outwards orientation of normal vectors, that is, invariant under  $n^\mu \rightarrow -n^\mu$ . This leads to

$$\mathcal{F}_{\text{CH}} = \int_{\Sigma_s} d^2 \sigma e_s [\chi + \kappa(K + c_0)^2], \tag{4.24}$$

where we have redefined the coefficients such that

$$a_0 = \chi + \kappa c_0^2, \quad a_1 = 2\kappa c_0, \quad a_2 = \kappa, \tag{4.25}$$

and where  $c_0$  changes sign under  $n^\mu \rightarrow -n^\mu$ . This is the direct analog of the Canham-Helfrich model of lipid bilayer membranes [2]. The constant  $c_0$  is the *spontaneous curvature*, which reflects a preference to adopt a specific curvature due to, e.g., different aqueous environments or lipid densities on the two sides of the bilayer [69]. The parameter  $\chi$  is the surface tension and the parameter  $\kappa$  is the *bending modulus* [6]. In this case,  $s_i = n_i = 0$  and the shape equation (3.31) upon using (4.22) and (4.23) becomes

$$\begin{aligned} -a_0K - a_1K^2 - a_2K^3 + a_1K \cdot K + 2a_2K(K \cdot K) \\ + 2a_2h^{ab}D_a D_b K - \Delta p = 0, \end{aligned} \tag{4.26}$$

where we have added the contribution from constant interior and exterior pressures as in Sec. IV A 3. We will now review particular solutions to this model.

<sup>31</sup>This was first introduced in an effective theory for relativistic fluids in Ref. [46]. The Young modulus tensor also appears when considering finite size effects in the dynamics of black branes [68].



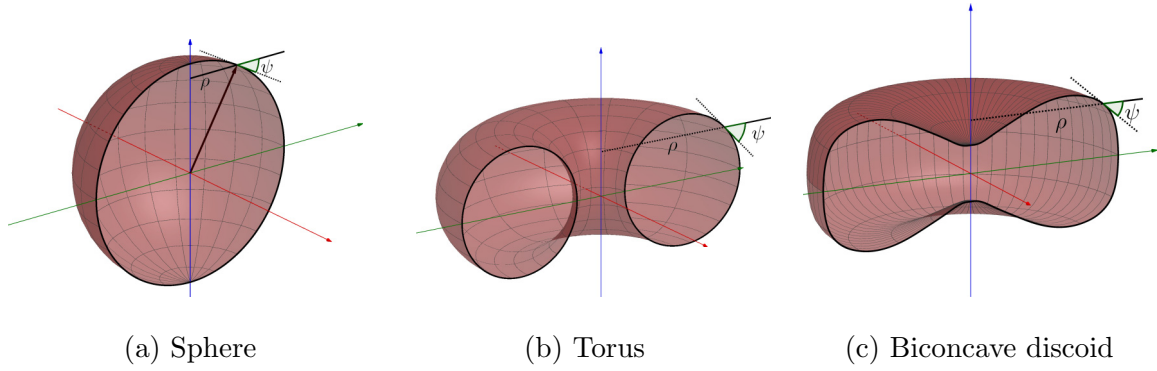


FIG. 2. The three axisymmetric biophysical solutions to the Canham-Helfrich model and how they arise as surfaces of revolution. The coordinate  $\rho$  measures the perpendicular distance to the  $z$  axis (blue), and  $\psi$  is the angle between the tangent of the contour and the  $\rho$  axis.

### 3. Biophysical solutions: Axisymmetric vesicles

Here we discuss three well-known axisymmetric solutions of the Canham-Helfrich model [6] (the spherical vesicle, the toroidal vesicle, and the red blood cell) and how they are described within this approach. These surfaces arise as surfaces of revolution and therefore a particularly convenient way of parametrizing these is to consider a “cross-sectional contour” described by the perpendicular distance  $\rho$  to the symmetry axis (which we will take to be the  $z$  axis) and the angle  $\psi$ , which is the angle between the tangent of the contour and the  $\rho$  axis (see Fig. 2 for a graphical depiction). This gives us the relation  $\tan \psi(\rho) = \frac{dz}{d\rho}$ . The entire surface is then obtained by rotating this contour such that

$$X^\mu = \begin{pmatrix} t \\ \rho \cos \phi \\ \rho \sin \phi \\ z_0 + \int_0^\rho d\tilde{\rho} \tan \psi(\tilde{\rho}) \end{pmatrix}, \quad (4.27)$$

which in turn gives rise to

$$K = -\frac{\sin \psi(\rho)}{\rho} - \cos \psi(\rho) \psi'(\rho),$$

$$K \cdot K = \frac{\sin^2 \psi(\rho)}{\rho^2} + \cos^2 \psi(\rho) [\psi'(\rho)]^2. \quad (4.28)$$

*Spherical vesicle.*: A sphere of radius  $R$  [see Fig. 2(a)] is described by

$$\sin \psi(\rho) = \frac{\rho}{R}, \quad (4.29)$$

which gives rise to the equation

$$0 = \Delta p R^2 + 4c_0 \kappa + 2c_0^2 R \kappa + 2R \lambda. \quad (4.30)$$

$$0 = [\kappa a^3 - 2\kappa a^2 b - 4\kappa a b^2 + 4\kappa a b c_0 - a(\kappa c_0^2 + \chi) + 4\kappa b^2 c_0 - 2b(\kappa c_0^2 + \chi) + \Delta p] \\ + \log \rho [-2\kappa a^3 - 8\kappa a^2 b + 4\kappa a^2 c_0 + 8\kappa a b c_0 - 2a(\kappa c_0^2 + \chi)] + \log^2 \rho (-4a^3 \kappa + 4a^2 \kappa c_0), \quad (4.35)$$

As was also pointed out in Ref. [6], this has two solutions when viewed as an equation for the radius, provided that  $\Delta p < 0$  and  $-4c_0 \kappa \Delta p + (\kappa c_0^2 + \chi)^2 > 0$ . The first condition reflects the fact that the internal pressure must be greater than the external pressure to stabilize the structure.

*Torus.*: The torus can also be obtained as a surface of revolution [Fig. 2(b)]. This is achieved via

$$\sin \psi(\rho) = \frac{1}{r} \rho + \frac{R}{r}, \quad (4.31)$$

where  $R$  is the major axis and  $r$  the minor axis. From this, we get the shape equation

$$0 = (-\kappa R^3 + 2\kappa r^2 R) + \rho^2 [r^2 R (-\kappa c_0^2 - \chi) - 4\alpha c_0 r R] \\ + \rho^3 [-2r^2 (\kappa c_0^2 + \chi) + 4\kappa c_0 r + \Delta p r^3]. \quad (4.32)$$

Each coefficient of  $\{\rho^0, \rho^2, \rho^3\}$  must vanish independently, giving us three equations

$$R = \sqrt{2}r, \quad \chi = \frac{\kappa c_0 (4 - c_0 r)}{r}, \quad \Delta p = \frac{4\kappa c_0}{r^2}. \quad (4.33)$$

The first of these predicts a universal ratio between the major and minor axes. Theoretically predicted in Ref. [70], this ratio was observed experimentally in Ref. [71] with high precision.

*Biconcave discoid.*: The biconcave discoid [Fig. 2(c)] is the shape of the red blood cell. This axisymmetric vesicle is described by

$$\sin \psi(\rho) = a \rho (\log \rho + b), \quad (4.34)$$

where  $a, b$  are parameters that are related to the characteristics of the discoid.<sup>32</sup> The resulting equation of motion is

which again gives three equations. These equations yield

$$a = c_0, \quad \chi = \Delta p = 0. \quad (4.36)$$

Thus, we recover the result that the biconcave shape of the red blood cell relies on isotonicity, i.e., that the pressures on each side of the membrane are equal [66] (see also Ref. [72]).

<sup>32</sup>For example, the radius of the discoid, i.e., the maximum value of  $\rho = \rho_R$ , is implicitly given by  $1 = a \rho_R (\log \rho_R + b)$ , since  $\psi(\rho_R) = \pi/2$  (see also Ref. [66]).

**V. DISCUSSION AND OUTLOOK**

The majority of the work presented here was of a foundational nature. In order to describe the physical properties of fluid membranes in thermodynamic equilibrium, we developed the submanifold calculus for Newton-Cartan geometry. This parallels how the submanifold calculus of (pseudo-) Riemannian or Euclidean geometry is a prerequisite for formulating and varying the standard Canham-Helfrich bending energy. We identified the geometric structures characterizing timelike submanifolds in NC geometry<sup>33</sup> and obtained the associated integrability conditions. Deriving expressions for the infinitesimal variations and transformation properties of the basic objects allowed us to formulate a generic extremization problem for broad classes of NC surfaces, including fluid membranes whose equilibrium configurations only depend on geometric properties.<sup>34</sup>

In Sec. IV we applied this toolbox that we developed to the description of fluid membranes in thermodynamic equilibrium. The unique aspect of these applications is that the dependence on temperature and chemical potential of material coefficients, such as surface tension and bending modulus, is critical for the emergence of wave excitations. This relied on the fact that temperature and chemical potential have a geometric interpretation related to the existence of a timelike isometry in the ambient spacetime. Standard examples of free energies such as the Canham-Helfrich bending energy are straightforwardly generalized by taking into account the geometric interpretation of thermodynamic variables. The resulting free energies are still purely geometric but the derived stresses on the membrane are different than standard results found in the literature. In particular, the Gaussian bending modulus can play a role in the shape of lipid vesicles since the Gaussian curvature cannot be integrated out when material coefficients are not constant. The resulting stresses produce elastic waves when perturbing away from equilibrium thus providing the correct dynamics of fluid membranes.

This paves the way for tackling several open questions, which we plan to address in a future publication [54]:

(1) The fact that the Gaussian curvature cannot be integrated out in thermal equilibrium suggests that the family of closed lipid vesicles reviewed in Sec. IV B 3 should be revisited and the effects of the Gaussian bending modulus should be considered [i.e.,  $a_3$  in (4.19)], including the effects on deviations away from equilibrium.

(2) The lipid vesicle solutions in Sec. IV B 3 are static solutions, in which  $u^a = (1, 0, 0)$ . However, in principle such

solutions can sustain rotation along the direction  $\phi$ . The question is thus: is it possible to obtain lipid vesicles with stationary flows?

(3) From an effective field theory point of view, the Canham-Helfrich bending energy (4.19) does not contain all possible responses that take into account thermal equilibrium. For instance a term quadratic in the extrinsic curvature of the form  $u^a u^b h^{cd} K_{bc} K_{ad}$  involving the fluid velocity can be added to (4.19) (similarly to its relativistic counterpart [46]). However, there are further couplings that involve derivatives of  $u_a$  such as the square of the fluid acceleration  $(u^a \mathcal{D}_a u^b)^2$  or the square of the vorticity. Some of these terms are related to the Gaussian curvature and thus, by the Gauss-Codazzi equation (2.73), to combinations of squares of the extrinsic curvature. Therefore, from an effective theory point of view, they cannot be ignored *a priori*.

(4) We have shown in Sec. IV A 2 that taking into account the geometric definitions of temperature and mass chemical potential in equilibrium gives rise to the correct dispersion relation for an elastic membrane when perturbing away from equilibrium. It would now be interesting to consider perturbations away from equilibrium solutions of the Canham-Helfrich model (4.19) using the stresses (4.20)–(4.23). This would shed light on the stability of lipid vesicles.

(5) The construction of effective actions or free energies in the manner described in this work is appropriate to describe equilibrium configurations. However, including different types of dissipation [78], either due to viscous flows or diffusion of embedded proteins is of interest [8]. In order to include dissipation from an effective action point of view one could consider the more elaborate Schwinger-Keldysh framework [79–81] and adapt it to nonrelativistic systems. Alternatively, one may construct the effective theory in a long-wavelength hydrodynamic expansion by classifying potential terms appearing in the currents  $\mathcal{T}^a$  and  $\mathcal{T}^{ab}$  and obtaining constitutive relations (see, e.g., Refs. [82,83]). We plan on addressing this in the near future.

(6) We focused on extrinsic curvature terms in effective actions (3.23), but it would also be interesting to consider the effect of the external rotation tensor (2.63). In the (pseudo-) Riemannian or Euclidean setting, this corresponds to spinning point particles/membranes [46,53,84,85] and are directly related to the Frenet curvature and Euler elastica (see, e.g., Refs. [86–88] for a recent discussion).

(7) In Secs. II and III we formulated the description of a single surface in Newton-Cartan geometry for which the scalars  $X^\mu$  can be seen as Goldstone modes of spontaneous broken translations at the location of the surface. It would be interesting to extend this further to the case of a foliation of surfaces, in which case the scalars  $X^\mu$  form a lattice and can be used to describe viscoelasticity as in Ref. [89].

In this work we considered Newton-Cartan geometry but there are many other types of non-Lorentzian geometries depending on the space-time symmetry group, which can be, e.g., Lifshitz, Schrödinger, or Aristotelian, which have direct applications for the hydrodynamics of strongly correlated electron systems as well as for the hydrodynamics of flocking behavior and active matter [37–39,90]. In these contexts, it is required to develop the mathematical description of submanifolds within these different types of ambient spacetimes.

<sup>33</sup>The case of spacelike submanifolds is also interesting to pursue as it can be useful for understanding entanglement entropy in nonrelativistic field theories [73].

<sup>34</sup>It would be interesting to understand the connection between this work and other recently considered constructions involving extended objects embedded in Newton-Cartan spacetime (or related geometries), such as nonrelativistic strings [30–32,74], nonrelativistic D-branes [75], and Newton-Cartan  $p$ -branes [76]. It would also be interesting to connect this work to Ref. [77], where the boundary description of quantum Hall states involves a notion of Newton-Cartan submanifolds.

The description of surfaces within these geometries will be of interest for surface or edge physics in hard condensed matter.

### ACKNOWLEDGMENTS

We thank L. Giomi and R. S. Green for useful discussions. J.A. is partly supported by the Netherlands Organization for Scientific Research (NWO). The work of J.H. is supported by the Royal Society University Research Fellowship ‘‘Non-Lorentzian Geometry in Holography’’ (Grant No. UF160197). The work of E.H. is supported by the Royal Society Research Grant for Research Fellows 2017 ‘‘A Universal Theory for Fluid Dynamics’’ (Grant No. RGF\R1\180017). The work of N.O. is supported in part by the project ‘‘Towards a Deeper Understanding of Black Holes with Non-relativistic Holography’’ of the Independent Research Fund Denmark (Grant No. DFF-6108-00340) and by the Villum Foundation Experiment project 00023086.

### APPENDIX A: NULL REDUCTION OF RIEMANNIAN SURFACES AND PERFECT FLUIDS

In this Appendix we provide a completely different approach to formulating the theory of surfaces and fluid membranes in Newton-Cartan geometry. This approach consists in starting from relativistic surfaces and fluid membranes and performing a null reduction so as to obtain results in NC geometry. The purpose of this technical Appendix is to provide a nontrivial check of the main results in the core of this paper.

#### 1. Submanifolds from null reduction

It is well known that any Newton-Cartan geometry can be obtained as the null reduction of a Lorentzian manifold in one dimension higher equipped with a null killing vector [28,36,91]. Therefore, if we choose a timelike submanifold in a Lorentzian geometry such that the null Killing vector is tangent to the submanifold, its null reduction provides us with a Newton-Cartan submanifold embedded in a Newton-Cartan ambient spacetime. We illustrate this in the commuting diagram below:

$$\begin{array}{ccc}
 (\widehat{\Sigma}_{p+2}, \widehat{\gamma}) & \xleftarrow{\widehat{u}_a^\mu} & (\widehat{\mathcal{M}}_{d+2}, \widehat{g}) \\
 \text{null red.} \downarrow & & \downarrow \text{null red.} \\
 (\Sigma_{p+1}, \{\tau|_\Sigma, \check{h}, \check{m}\}) & \xleftarrow{u_a^\mu} & (\mathcal{M}_{d+1}, \{\tau, h, m\})
 \end{array} \tag{A1}$$

In Sec. II B we described how to go from the NC manifold  $(\mathcal{M}_{d+1}, \{\tau, h, m\})$  to the NC submanifold  $(\Sigma_{p+1}, \{\tau|_\Sigma, \check{h}, \check{m}\})$ , while passing from the Lorentzian manifold  $(\widehat{\mathcal{M}}_{d+2}, \widehat{g})$  to the Newton-Cartan manifold  $(\mathcal{M}_{d+1}, \{\tau, h, m\})$  is achieved by null reduction.

In this Appendix, we will traverse the other route: our goal is to go from  $(\widehat{\mathcal{M}}_{d+2}, \widehat{g})$  to  $(\Sigma_{p+1}, \{\tau|_\Sigma, \check{h}, \check{m}\})$  via  $(\widehat{\Sigma}_{p+2}, \widehat{\gamma})$ . The procedure to go from  $(\widehat{\mathcal{M}}_{d+2}, \widehat{g})$  to  $(\widehat{\Sigma}_{p+2}, \widehat{\gamma})$  is nothing but the theory of submanifolds in Lorentzian geometry and is well known (see, e.g., Refs. [46,53]). We coordinatise  $\widehat{\mathcal{M}}_{d+2}$

with  $x^{\hat{\mu}} = (u, x^\mu)$  and  $\widehat{\Sigma}_{p+2}$  with  $\delta^{\hat{a}} = (w, \sigma^a)$ . The metric on  $\widehat{\mathcal{M}}_{d+2}$  can, by assumption, be written in null reduction form

$$ds_{\widehat{\mathcal{M}}_{d+2}}^2 = \widehat{g}_{\hat{\mu}\hat{\nu}} dx^{\hat{\mu}} dx^{\hat{\nu}} = 2\tau_\mu dx^\mu (du - m_\nu dx^\nu) + h_{\mu\nu} dx^\mu dx^\nu. \tag{A2}$$

This line element is invariant under the Newton-Cartan gauge transformations (2.4) and conversely all gauge invariance of this line element are of the form (2.4). The invariance under the  $U(1)$  transformation with parameter  $\sigma(x^\mu)$  requires that we vary the higher-dimensional coordinate  $u$  as  $\delta u = \sigma$ . From the higher-dimensional perspective this corresponds to a diffeomorphism that leaves the  $x^\mu$  unaffected but that shifts  $u$  by some function of  $x^\mu$ .

The Lorentzian submanifold is defined via a set of embedding maps  $\widehat{X}^{\hat{\mu}}(\sigma^{\hat{a}})$  in the usual way. We define the projector

$$\widehat{P}_\nu^{\hat{\mu}} = \widehat{u}_a^{\hat{\mu}} \widehat{u}_\nu^{\hat{a}} = \delta_\nu^{\hat{\mu}} - \widehat{n}_\rho^I \widehat{n}_\nu^J \delta_{IJ} \widehat{g}^{\rho\hat{\mu}}, \tag{A3}$$

where  $\widehat{n}_\rho^I$  are the normal 1-forms to  $\widehat{\Sigma}_{p+2}$  and where  $\widehat{u}_a^{\hat{\mu}} = \partial_{\widehat{a}} \widehat{X}^{\hat{\mu}}$ . We require that the null direction is shared between  $\widehat{\mathcal{M}}_{d+2}$  and  $\widehat{\Sigma}_{p+2}$ , which can be expressed as the requirements

$$\widehat{u}_w^\mu = 1, \widehat{u}_a^\mu = 0, \tag{A4}$$

where the null direction on the submanifold is described by  $w$ . Further, we want to impose a null reduction analog of the timelike requirement (2.24). To this end, we introduce a vector  $U^{\hat{\mu}} = (\frac{\partial}{\partial u})^{\hat{\mu}} = \delta_u^{\hat{\mu}}$  so that  $U_{\hat{\mu}} = (0, \tau_\mu)$ . Requiring that the null Killing vector field is tangential to the submanifold  $\widehat{n}_u^I = U^{\hat{\mu}} \widehat{n}_\mu^I = U_{\hat{\mu}} \widehat{n}^{\hat{\mu}I} = 0$  for all  $I$  implies the desired relation  $\tau_\mu n_\mu^I = 0$  where we have identified  $\widehat{n}_\mu^I = n_\mu^I$ . This further implies that  $n^{\mu I} = \widehat{g}^{\mu\hat{\nu}} \widehat{n}_\nu^I = h^{\mu\nu} n_\nu^I$  in agreement with the timelike constraint. This also implies that  $\widehat{P}_\nu^{\hat{\mu}} = P_\nu^\mu$ , as well as the normalization  $\widehat{g}^{\hat{\mu}\hat{\nu}} \widehat{n}_\mu^I \widehat{n}_\nu^J = h^{\mu\nu} n_\mu^I n_\nu^J = \delta^{IJ}$ . Further, the above considerations lead us to conclude that

$$\widehat{n}^{\mu I} = \widehat{g}^{\mu\hat{\nu}} \widehat{n}_\nu^I = -\widehat{v}^\mu n_\mu^I = -\widehat{v}^I. \tag{A5}$$

The metric on  $\widehat{\Sigma}_{p+2}$  can also be written in null reduction form

$$\begin{aligned}
 ds_{\widehat{\Sigma}_{p+2}}^2 &= \widehat{\gamma}_{\hat{a}\hat{b}} dx^{\hat{a}} dx^{\hat{b}} = 2\tau_a dx^a (dw - m_b dx^b) + h_{ab} dx^a dx^b \\
 &= 2\tau_a dx^a (dw - \check{m}_b dx^b) + \check{h}_{ab} dx^a dx^b,
 \end{aligned} \tag{A6}$$

where we recall the definitions of  $\check{h}_{ab}$  and  $\check{m}_a$  in (2.34) and (2.39), respectively. As manifested in the equations above, the null reduction form of the metric is Galilean boost invariant and does not distinguish between *checked* and *unchecked* metric data. In turn, the Lorentzian metric  $\widehat{\gamma}$  on  $\widehat{\Sigma}_{p+2}$  is the pullback of the metric  $\widehat{g}$  on  $\widehat{\mathcal{M}}_{d+2}$ , that is

$$\widehat{\gamma}_{\hat{a}\hat{b}} = \widehat{u}_a^{\hat{\mu}} \widehat{u}_b^{\hat{\nu}} \widehat{g}_{\hat{\mu}\hat{\nu}}, \tag{A7}$$

which implies that

$$\begin{aligned}
 \tau_a &= \widehat{\gamma}_{aw} = \widehat{u}_a^{\hat{\mu}} \widehat{u}_w^{\hat{\nu}} \widehat{g}_{\hat{\mu}\hat{\nu}} = \widehat{u}_a^{\hat{\mu}} \widehat{u}_w^{\hat{\mu}} \widehat{g}_{\mu\mu} + \widehat{u}_a^{\hat{\mu}} \widehat{u}_w^{\hat{\nu}} \widehat{g}_{\mu\nu} \\
 &= \widehat{u}_a^{\hat{\mu}} \tau_\mu + \widehat{u}_a^{\hat{\mu}} \widehat{u}_w^{\hat{\nu}} \check{h}_{\mu\nu}.
 \end{aligned} \tag{A8}$$

Thus, taking

$$\hat{u}_w^\mu = 0, \quad (\text{A9})$$

and identifying  $\hat{u}_a^\mu = u_a^\mu$  we get the desired relation between the two clock 1-forms, namely,  $\tau_a = u_a^\mu \tau_\mu$ . Next, we consider

$$\begin{aligned} \bar{h}_{ab} &= \hat{\gamma}_{ab} = \hat{u}_a^\mu \hat{u}_b^\nu \hat{g}_{\mu\nu} = \hat{u}_a^\mu \hat{u}_b^\nu \hat{g}_{\mu\nu} + \hat{u}_a^\mu \hat{u}_b^\nu \hat{g}_{\mu\alpha} + \hat{u}_a^\mu \hat{u}_b^\nu \hat{g}_{\alpha\nu} \\ &= u_a^\mu u_b^\nu \bar{h}_{\mu\nu}, \end{aligned} \quad (\text{A10})$$

where we have used (A4), which again agrees with the results of Sec. II B. The relation  $\hat{u}_w^\mu \hat{u}_\mu^w = \hat{u}_a^\mu \hat{u}_\mu^a = 1$  where we used (A9), fixes  $\hat{u}_w^w = 1$ . To determine  $\hat{u}_\mu^w$  we bring into play the orthogonality requirement  $\hat{u}_\mu^w \hat{n}^{\mu I} = \hat{g}^{\mu\nu} \hat{u}_\mu^w \hat{n}_\nu^I = 0$ , which translates into the relation

$$\hat{v}^\mu n_\mu^I = \hat{u}_\mu^w n_\mu^I, \quad (\text{A11})$$

where we have used that  $\hat{u}_u^w = 1$  and  $n_\mu^I = \delta_{IJ} h^{\mu\nu} n_\nu^J$ . This is possible only if

$$\hat{u}_\mu^w = \hat{v}^I n_\mu^I. \quad (\text{A12})$$

The null reduction of the ambient inverse metric is

$$\hat{g}^{\mu\nu} = 2\check{\Phi}, \quad \hat{g}^{\mu\mu} = -\hat{v}^\mu, \quad \hat{g}^{\mu\nu} = h^{\mu\nu}, \quad (\text{A13})$$

while the relation between  $\hat{g}^{-1}$  and  $\hat{\gamma}^{-1}$  is given by  $\hat{\gamma}^{\hat{a}\hat{b}} = \hat{u}_\mu^{\hat{a}} \hat{u}_\nu^{\hat{b}} \hat{g}^{\mu\nu}$ . In turn, the relation  $\hat{\gamma}^{\hat{a}\hat{b}} = h^{\hat{a}\hat{b}}$  requires that  $\hat{u}_u^a = 0$ . Using this, we can write

$$\hat{\gamma}^{wa} = \hat{u}_\mu^w \hat{u}_\nu^a \hat{g}^{\mu\nu} = \hat{v}^I n_\mu^I \hat{u}_\nu^a h^{\mu\nu} + \hat{u}_\nu^a \hat{g}^{\mu\nu}, \quad (\text{A14})$$

where we have used (A12), which leads us to identify  $\hat{u}_\mu^a = u_\mu^a$  and, by the orthogonality relation (2.25), leads to  $\hat{v}^a = u_\mu^a \hat{v}^\mu$  as desired. The relation (A12) furthermore implies that

$$\hat{\gamma}^{ww} = \hat{u}_\mu^w \hat{u}_\nu^w \hat{g}^{\mu\nu} = 2\check{\Phi} - \hat{v}^I \hat{v}_I = \check{\Phi}. \quad (\text{A15})$$

In summary, the Lorentzian objects arrange themselves under submanifold null reduction according to

$$\hat{u}_a^\mu \xrightarrow{\text{null red.}} \hat{u}_a^\mu = u_a^\mu, \quad \hat{u}_w^\mu = 1, \quad \hat{u}_w^\mu = 0, \quad \hat{u}_a^\mu = 0, \quad (\text{A16})$$

$$\hat{u}_\mu^a \xrightarrow{\text{null red.}} \hat{u}_\mu^a = u_\mu^a, \quad \hat{u}_u^w = 1, \quad \hat{u}_\mu^w = \hat{v}^I n_\mu^I, \quad \hat{u}_u^a = 0, \quad (\text{A17})$$

$$\hat{n}_\mu^I \xrightarrow{\text{null red.}} \hat{n}_\mu^I = n_\mu^I, \quad \hat{n}_u^I = 0, \quad (\text{A18})$$

$$\hat{n}_I^\mu \xrightarrow{\text{null red.}} \hat{n}_I^\mu = n_I^\mu, \quad \hat{n}_I^\mu = -\hat{v}_I. \quad (\text{A19})$$

The metric on  $\widehat{\Sigma}_{p+2}$  is

$$ds_{\widehat{\Sigma}_{p+2}}^2 = 2\tau_a dx^a (dw - \check{m}_b dx^b) + \check{h}_{ab} dx^a dx^b, \quad (\text{A20})$$

while the components of the inverse metric on  $\widehat{\Sigma}_{p+2}$  are

$$\hat{\gamma}^{ww} = 2\check{\Phi} = 2\check{\Phi} - \hat{v}^I \hat{v}_I, \quad \hat{\gamma}^{wa} = -\hat{v}^a, \quad \hat{\gamma}^{ab} = h^{ab}. \quad (\text{A21})$$

#### a. Null reduction of the connection the extrinsic curvature

We now consider the null reduction of the Lorentzian connection. The nonzero components of the higher-dimensional

Christoffel symbols are

$$\hat{\Gamma}_{\mu\nu}^\rho = \bar{\Gamma}_{(\mu\nu)}^\rho = \bar{\Gamma}_{\mu\nu}^\rho + \frac{1}{2} \hat{v}^\rho \tau_{\mu\nu}, \quad (\text{A22})$$

$$\hat{\Gamma}_{\mu\nu}^u = -\bar{\mathcal{K}}_{\mu\nu} - 2\tau_{(\mu} \partial_{\nu)} \check{\Phi}, \quad (\text{A23})$$

$$\hat{\Gamma}_{u\mu}^\rho = \frac{1}{2} h^{\rho\sigma} \tau_{\mu\sigma}, \quad (\text{A24})$$

$$\hat{\Gamma}_{u\mu}^u = \frac{1}{2} a_\mu, \quad (\text{A25})$$

where

$$\bar{\mathcal{K}}_{\mu\nu} = -\frac{1}{2} \mathcal{L}_{\hat{v}} \bar{h}_{\mu\nu}, \quad a_\mu = \mathcal{L}_{\hat{v}} \tau_\mu = \hat{v}^\rho \tau_{\rho\mu}. \quad (\text{A26})$$

The NC extrinsic curvature  $\bar{\mathcal{K}}_{\mu\nu}$  should not be confused with the submanifold extrinsic curvature  $K_{ab}^I$ . The pullback of the ambient TNC extrinsic curvature,  $\bar{\mathcal{K}}_{ab} = u_a^\mu u_b^\nu \bar{\mathcal{K}}_{\mu\nu}$ , is related to the TNC extrinsic curvature on the submanifold  $\Sigma_{p+1}$ ,

$$\bar{\mathcal{K}}_{ab}^\Sigma = -\frac{1}{2} \mathcal{L}_{\hat{v}}^\Sigma \bar{h}_{ab}, \quad (\text{A27})$$

where  $\mathcal{L}_{\hat{v}}^\Sigma$  denotes the Lie derivative along  $\hat{v}^a$  on  $\Sigma_{p+1}$ , in the following way:

$$\bar{\mathcal{K}}_{ab} = \bar{\mathcal{K}}_{ab}^\Sigma - \tau_{(a} \partial_{b)} (\hat{v}^I \hat{v}^I) + \hat{v}^I K_{ab}^I. \quad (\text{A28})$$

This can be shown by starting with  $\bar{\mathcal{K}}_{ab} = u_a^\mu u_b^\nu \bar{\mathcal{K}}_{\mu\nu}$  and using  $\hat{v}^\rho = \hat{v}^c u_c^\rho + \hat{v}^I n_I^\rho$  in (A26). The identity

$$\mathcal{L}_{n^I} \bar{h}_{\mu\nu} = 2\nabla_{(\mu} n_{\nu)}^I + 2\tau_{(\mu} \partial_{\nu)} \hat{v}^I \quad (\text{A29})$$

together with Eq. (2.61) can then be used to derive (A28).

The higher-dimensional extrinsic curvature  $\hat{K}_{ab}^I$  is determined in terms of the higher-dimensional analog of the surface covariant derivative of (2.56), which we will call  $\hat{D}_a$ . It acts on a mixed tensor  $\hat{T}^{\hat{b}\hat{\mu}}$  according to

$$\hat{D}_a \hat{T}^{\hat{b}\hat{\mu}} = \partial_a \hat{T}^{\hat{b}\hat{\mu}} + \hat{\gamma}_{\hat{a}\hat{c}}^{\hat{b}} \hat{T}^{\hat{c}\hat{\mu}} + \hat{u}_a^\nu \hat{\Gamma}_{\hat{\nu}\hat{\lambda}}^{\hat{\mu}} \hat{T}^{\hat{b}\hat{\lambda}}, \quad (\text{A30})$$

where  $\hat{\gamma}_{\hat{a}\hat{c}}^{\hat{b}}$  is the Levi-Civita connection of  $\hat{\gamma}$ , while  $\hat{\Gamma}_{\hat{\nu}\hat{\lambda}}^{\hat{\mu}}$  is the Levi-Civita connection of  $\hat{g}$ . The higher-dimensional extrinsic curvature is

$$\hat{K}_{ab}^I = \hat{n}_\mu^I \hat{D}_a \hat{u}_b^\mu = \hat{n}_\mu^I (\partial_a \hat{u}_b^\mu + \hat{u}_a^\nu \hat{\Gamma}_{\hat{\nu}\hat{\lambda}}^{\hat{\mu}} \hat{u}_b^\lambda), \quad (\text{A31})$$

which using (A16) and (A18) means that

$$\hat{K}_{ab}^I = n_\mu^I D_a u_b^\mu + \frac{1}{2} \hat{v}^I \tau_{ab} = K_{ab}^I, \quad (\text{A32})$$

where we have recognized the extrinsic curvature of (2.61). This is invariant under both gauge transformations and Galilean boosts. The other nonzero components of the higher-dimensional extrinsic curvature are  $\hat{K}_{wb}^I = -\frac{1}{2} \tau_{Ib}$ .

Below Eq. (A2), we have shown that the  $U(1)$  gauge transformation is a specific diffeomorphism in the higher-dimensional description. This is a useful way to find out how various objects transform under the  $\sigma$  gauge transformation. This also applies to tensors defined on the submanifold  $\Sigma_{p+1}$ , since they descend from the Lorentzian manifold  $\widehat{\Sigma}_{p+2}$ . A diffeomorphism of a generic tensor  $X_a^{\hat{b}}$  is given by

$$\delta X_a^{\hat{b}} = \hat{\xi}^{\hat{c}} \partial_{\hat{c}} X_a^{\hat{b}} + X_c^{\hat{b}} \partial_a \hat{\xi}^{\hat{c}} - X_a^{\hat{c}} \partial_{\hat{c}} \hat{\xi}^{\hat{b}}. \quad (\text{A33})$$

In order to find the  $U(1)$  transformation, we need to choose a diffeomorphism for which  $\hat{\xi}^{\hat{a}} = -\sigma \delta_w^{\hat{a}}$ . Since all objects

are independent of  $u$  we find that a 1-form  $X_a$  in this case transforms as

$$\delta X_a = -X_w \partial_a \sigma, \quad (\text{A34})$$

while a vector  $X^b$  is  $U(1)$  invariant. Applying this to the extrinsic curvature  $\hat{K}_{ab}^I$  we find

$$\delta_\sigma \hat{K}_{ab}^I = -\hat{K}_{aw}^I \partial_b \sigma - \hat{K}_{wb}^I \partial_a \sigma. \quad (\text{A35})$$

Using that  $\hat{K}_{wb}^I = -\frac{1}{2} \tau_{Ib}$  we recover the transformation rule (2.62).

### b. Variations from null reduction

Here we obtain some of the results of Sec. III A using null reduction. We begin with the variations of the normal 1-forms. In the relativistic case, the normal 1-forms can be shown to transform as [53]

$$\delta \hat{n}_\mu^I = \frac{1}{2} \hat{n}_J^I \hat{n}_\mu^J \hat{n}^{\hat{\rho}} \delta \hat{g}_{\hat{\nu}\hat{\rho}} - \hat{n}_\nu^I \hat{u}_\mu^{\hat{a}} \delta \hat{u}_a^{\hat{\nu}} + \frac{1}{2} \hat{n}_{\mu J} (\hat{n}^{\hat{\nu}J} \delta \hat{n}_\nu^I - \hat{n}^{\hat{\nu}I} \delta \hat{n}_\nu^J). \quad (\text{A36})$$

Restricting to  $\hat{\mu} = \mu$ , the last term simply reduces to  $\lambda^I J n_\mu^J$ . This follows from demanding that  $\hat{n}_u^I = 0$  is preserved under transformations, implying that  $\delta \hat{n}_u^I = 0$ . Ignoring rotations of the normal 1-forms, we get

$$\delta n_\mu^I = -\frac{1}{2} \hat{v}^\rho n_\rho^J n_{\mu J} n^{\nu I} \delta \tau_\nu - \frac{1}{2} n^{\nu J} n_{\mu J} \hat{v}^\rho n_\rho^I \delta \tau_\nu + \frac{1}{2} n^{\rho J} n_{\mu J} n^{\nu I} \delta \bar{h}_{\rho\nu} - n_\nu^I u_\mu^a \delta u_a^\nu, \quad (\text{A37})$$

where we have used that  $\hat{n}^{uI} = -\hat{v}^\mu n_\mu^I$ . Using the definitions of  $\hat{v}$  and  $\bar{h}$ , we find that the variation can be written as

$$\delta n_\mu^I = -v^{(I} n^{J)\nu} n_{\mu J} \delta \tau_\nu + \frac{1}{2} n^{\rho J} n_{\mu J} n^{\nu I} \delta h_{\rho\nu} - n_\nu^I u_\mu^a \delta u_a^\nu, \quad (\text{A38})$$

in agreement with the result (3.4) [up to a local  $\mathfrak{so}(d-1)$  transformation that we ignored].

With this at hand, we rederive (3.10) using the method of null reduction. The relativistic result reads [53]

$$\delta_X \hat{K}_{ab}^I = -\hat{n}_\mu^I \hat{D}_a \hat{D}_b \hat{\xi}^{\hat{\mu}} + \hat{n}_\mu^I \hat{\xi}^{\hat{\lambda}} \hat{u}_a^{\hat{\nu}} \hat{u}_b^{\hat{\rho}} \hat{R}_{\hat{\lambda}\hat{\nu}\hat{\rho}}^{\hat{\mu}} + \hat{\lambda}^I J \hat{K}_{ab}^J, \quad (\text{A39})$$

where

$$\hat{\lambda}^{IJ} = \hat{n}^{\mu[I} \hat{n}^{J]\hat{\nu}} \hat{\xi}^{\hat{\rho}} \partial_{\hat{\nu}} \hat{g}_{\mu\hat{\rho}} = \hat{n}_\rho^I \hat{n}^{J\rho} \hat{\Gamma}_{\hat{\nu}\hat{\sigma}}^{\hat{\rho}} \hat{\xi}^{\hat{\sigma}}. \quad (\text{A40})$$

We keep the null direction fixed, so that

$$\hat{\xi}^{\hat{\mu}} = -\delta \hat{X}^{\hat{\mu}}, \quad \hat{\xi}^u = 0. \quad (\text{A41})$$

We are interested in  $(\hat{a}, \hat{b}) = (a, b)$  and since  $\hat{n}_u^I = 0 = \hat{u}_a^u$ , (A39) reduces to

$$\delta_X \hat{K}_{ab}^I = -n_\mu^I \hat{D}_a \hat{D}_b \xi^\mu + n_\mu^I \xi^\lambda u_a^\nu u_b^\sigma \hat{R}_{\lambda\nu\sigma}^\mu + \hat{\lambda}^I J \hat{K}_{ab}^J, \quad (\text{A42})$$

where  $\hat{\xi}^\mu = \xi^\mu$  so that  $\delta \hat{X}^\mu = \delta X^\mu$ . In the absence of torsion, the null reduction of the Riemann tensor gives

$$\hat{R}_{\lambda\nu\sigma}^\mu = -\partial_\lambda \hat{\Gamma}_{\nu\sigma}^\mu + \partial_\nu \hat{\Gamma}_{\lambda\sigma}^\mu - \hat{\Gamma}_{\lambda\rho}^\mu \hat{\Gamma}_{\nu\sigma}^{\hat{\rho}} + \hat{\Gamma}_{\nu\rho}^\mu \hat{\Gamma}_{\lambda\sigma}^{\hat{\rho}} = R_{\lambda\nu\sigma}^\mu. \quad (\text{A43})$$

Since in the absence of torsion  $\hat{D}_w \xi^\mu = 0$  and  $\hat{D}_b \xi^\mu = D_b \xi^\mu$ , we find that

$$\hat{D}_a \hat{D}_b \xi^\mu = D_a D_b \xi^\mu, \quad (\text{A44})$$

while the null reduction of (A40) gives  $\hat{\lambda}^{IJ} = n_\rho^I n^{J\rho} \Gamma_{\nu\sigma}^\rho \xi^\sigma$  and so we obtain (3.10), as expected.

### c. Note on the reduction of the Lorentzian action

The variational principle for NC surfaces in Sec. III B 1 can be obtained from null reduction of the relativistic variational principle [46]:

$$\delta S = \int_\Sigma d^{p+1} \sigma \sqrt{-\hat{\gamma}} \left( \frac{1}{2} \hat{T}^{\hat{a}\hat{b}} \delta \hat{\gamma}_{\hat{a}\hat{b}} + \hat{\mathcal{D}}^{\hat{a}\hat{b}} \delta \hat{K}_{\hat{a}\hat{b}}^I \right). \quad (\text{A45})$$

The null reduction formulas of the previous section, for instance, (A42), imply that the null reduction of (A45) will include a dependence on variations of  $\hat{K}_{wa}^I = -\frac{1}{2} \tau_{Ia}$ . Such torsion dependent terms were not included in (3.23). The reason, as mentioned throughout the paper is that we have assumed to be working without torsion, that is,  $\tau_{\mu\nu} = 0$  at the expense of only being able to extract the divergence of the energy current instead of the energy current itself.

## 2. Perfect fluid from null reduction

In this section, we consider the null reduction of the equilibrium partition function of a relativistic space-filling perfect fluid, that is a fluid that is not living on a surface. The case in which the fluid is confined to the surface (i.e., a fluid membrane) considered in Sec. IV A is a straightforward modification of this analysis. The result provides us with the hydrostatic partition function of a Galilean-invariant perfect fluid.

We begin with the null reduction of the unit normalized relativistic fluid velocity  $\hat{u}^\mu$ , which obeys  $\hat{g}_{\hat{\mu}\hat{\nu}} \hat{u}^{\hat{\mu}} \hat{u}^{\hat{\nu}} = -1$ . We define the nonrelativistic fluid velocity  $u^\mu$  as follows [36]:

$$u^\mu = \frac{\hat{u}^\mu}{\hat{u}_u}, \quad (\text{A46})$$

where  $\hat{u}_u = \hat{g}_{u\hat{\mu}} \hat{u}^{\hat{\mu}} = \tau_\mu \hat{u}^\mu$ . This implies that  $\tau_\mu u^\mu = 1$  which is the standard normalization of the contravariant velocity of a nonrelativistic fluid. The relativistic condition

$$\hat{g}_{\hat{\mu}\hat{\nu}} \hat{u}^{\hat{\mu}} \hat{u}^{\hat{\nu}} = \bar{h}_{\mu\nu} \hat{u}^\mu \hat{u}^\nu + 2\tau_\mu \hat{u}^\mu \hat{u}^u = -1, \quad (\text{A47})$$

can be used to solve for  $\hat{u}^u$ , leading to

$$\hat{u}^u = -\frac{1}{2\hat{u}_u} - \frac{1}{2} \hat{u}_u \bar{h}_{\mu\nu} u^\mu u^\nu. \quad (\text{A48})$$

We still need to find a lower-dimensional interpretation of  $\hat{u}_u$ . This can be achieved as follows. Let  $\hat{T}^{\hat{\mu}\hat{\nu}}$  be the energy-momentum tensor of the higher-dimensional relativistic theory. For a perfect fluid this is  $\hat{T}^{\hat{\mu}\hat{\nu}} = (\hat{E} + \hat{P}) \hat{u}^{\hat{\mu}} \hat{u}^{\hat{\nu}} + \hat{P} \delta_{\hat{\mu}\hat{\nu}}$ . The mass current of the null reduced theory is given by  $\hat{T}^{\mu u}$  (see, e.g., Ref. [36]). In the lower-dimensional theory, this is equal to  $nu^\mu$ , where  $n$  is the mass density. Comparing the two expressions yields

$$\hat{u}_u^2 = \frac{n}{\hat{E} + \hat{P}}. \quad (\text{A49})$$

We will later find expressions for  $\hat{E}$  and  $\hat{P}$  in terms of the nonrelativistic energy and pressure.

In the hydrostatic partition function approach for a relativistic fluid, one identifies the intensive fluid variables such as temperature and velocity with a timelike Killing vector of an otherwise arbitrary Lorentzian curved background geometry. By varying the metric while keeping the Killing vector fixed, one extracts the fluid energy-momentum tensor. This approach has been applied to nonrelativistic fluids on a NC background in Refs. [33,92] and here we will show how this follows from null reduction. In the higher-dimensional Lorentzian geometry, we assume the existence of a Killing vector  $\hat{k}^{\hat{\mu}}$  such that

$$\hat{k}^{\hat{\mu}} = \hat{\beta} \hat{u}^{\hat{\mu}}, \quad (\text{A50})$$

where  $\hat{\beta}$  is the relativistic (inverse) temperature, and  $\hat{u}^{\hat{\mu}}$  the relativistic fluid velocity. Just like in the Lorentzian setting, we will introduce a Newton-Cartan Killing vector  $k^\mu$  that is proportional to the nonrelativistic fluid velocity  $u^\mu$  and that is timelike, where  $\tau_\mu k^\mu$  relates to the nonrelativistic temperature. Hence we write

$$k^\mu = \beta u^\mu, \quad (\text{A51})$$

where  $\beta = \tau_\mu k^\mu$  is the nonrelativistic (inverse) temperature. The null reduction of  $\hat{k}^{\hat{\mu}}$  is just  $\hat{k}^{\hat{\mu}} = (\hat{k}^\mu, k^\mu) = \beta(\hat{\mu}, u^\mu)$ , where we write  $\hat{k}^{\hat{\mu}} = \beta \hat{\mu}$  with  $\hat{\mu}$  a parameter to be determined. This means that

$$\beta u^\mu = \hat{\beta} \hat{u}^\mu. \quad (\text{A52})$$

Now, since  $\hat{k}^{\hat{\mu}}$  is a Killing vector, we have that

$$\mathcal{L}_{\hat{k}} \hat{g}_{\hat{\mu}\hat{\nu}} = 0, \quad (\text{A53})$$

which, after null reduction, turns into the statements

$$\mathcal{L}_k \tau_\mu = 0, \quad \mathcal{L}_k \bar{h}_{\mu\nu} = -2\tau_{(\mu} \partial_{\nu)} \hat{k}^\mu. \quad (\text{A54})$$

In a NC geometry a Killing vector is defined by setting to zero the transformations in (2.4) [and thus also implying that the variations in (2.7) give zero]. Here  $\hat{k}^{\hat{\mu}}$  is thus a specific  $U(1)$  gauge transformation parameter that is associated with the existence of a Killing vector.

The relativistic hydrostatic partition function at ideal order in derivatives is an integral of the pressure which depends on the intensive variables, i.e., scalar quantities built from the Killing vector. One of these is the norm of  $\hat{k}^{\hat{\mu}}$  which relates to the relativistic temperature. However, in the case of null reduction we actually have, besides  $\hat{k}^{\hat{\mu}}$ , another Killing vector which is  $U^{\hat{\mu}} = (\frac{\partial}{\partial u})^{\hat{\mu}}$ . Since  $U^{\hat{\mu}}$  is null, we can form only one other scalar,

$$\hat{g}_{\hat{\mu}\hat{\nu}} U^{\hat{\mu}} \hat{k}^{\hat{\nu}} = \tau_\mu k^\mu = \beta, \quad (\text{A55})$$

which is the nonrelativistic (inverse) temperature. The other scalar is of course

$$-\hat{\beta}^2 = \hat{g}_{\hat{\mu}\hat{\nu}} \hat{k}^{\hat{\mu}} \hat{k}^{\hat{\nu}} = \beta^2 (2\hat{\mu} + \bar{h}_{\mu\nu} u^\mu u^\nu). \quad (\text{A56})$$

This determines the proportionality between the relativistic and nonrelativistic temperatures. We define

$$\mu = \hat{\mu} + \frac{1}{2} \bar{h}_{\mu\nu} u^\mu u^\nu. \quad (\text{A57})$$

We will see below that  $\mu$  is a chemical potential related to the mass conservation, which is a consequence of the null Killing

vector and we note that its definition implies  $\mu < 0$ . In the grand canonical ensemble for a system at rest, the partition function is of the form  $\mathcal{Z} = \text{Tr} e^{-\beta H + \beta \mu N}$ , where  $H$  is the Hamiltonian and  $N$  the conserved mass of the system.

#### a. Null reduction of the hydrostatic partition function

At the end of Sec. A 1 a, we discussed the role of the  $U(1)$  transformation from the null reduction point of view, and we showed that such a transformation corresponds to a diffeomorphism generated by  $\hat{\xi}^{\hat{\mu}} = -\sigma \delta_{\hat{u}}^{\hat{\mu}}$ . Applying this to our Killing vector  $\hat{k}^{\hat{\mu}}$ , we learn that under  $\delta_{\hat{\xi}} \hat{k}^{\hat{\mu}} = \mathcal{L}_{\hat{\xi}} \hat{k}^{\hat{\mu}}$ , the NC Killing vector  $k^\mu$  is left inert and that  $\hat{k}^{\hat{\mu}}$  transforms as

$$\delta_\sigma \hat{k}^{\hat{\mu}} = k^\mu \partial_\mu \sigma. \quad (\text{A58})$$

Since  $\tau_\mu$  is also invariant it follows that  $\beta$  also does not transform. Hence, using  $\hat{k}^{\hat{\mu}} = \beta \hat{\mu}$  and  $k^\mu = \beta u^\mu$ , we can write

$$\delta_\sigma \hat{\mu} = u^\mu \partial_\mu \sigma. \quad (\text{A59})$$

It then follows that  $\mu$  defined in Eq. (A57) is  $U(1)$  invariant, making  $\mu$  together with  $\beta$  the two parameters on which the lower dimensional pressure in the hydrostatic partition function should depend.

In a  $d+1$ -dimensional theory, the hydrostatic partition function is given by

$$S = \int d^{d+1}x eP(T, \mu), \quad (\text{A60})$$

where  $P$  is the fluid pressure. Next, we vary  $S$  keeping the Killing vector fixed, i.e.,  $\delta k^\mu = 0 = \delta \hat{k}^{\hat{\mu}}$ . The variation of the temperature is then given by

$$\delta T = \delta(\tau_\mu k^\mu)^{-1} = -(\tau_\nu k^\nu)^{-2} k^\mu \delta \tau_\mu = -T u^\mu \delta \tau_\mu, \quad (\text{A61})$$

while the variation of the chemical potential reads

$$\begin{aligned} \delta \mu &= \delta \hat{\mu} + \frac{1}{2} u^\mu u^\nu \delta \bar{h}_{\mu\nu} + \bar{h}_{\mu\nu} u^\nu \delta u^\mu \\ &= \hat{\mu} \frac{\delta T}{T} + \frac{1}{2} u^\mu u^\nu \delta \bar{h}_{\mu\nu} \\ &\quad + \bar{u}^2 \frac{\delta T}{T}. \end{aligned} \quad (\text{A62})$$

This allows us to compute

$$\delta P = \left( \frac{\partial P}{\partial T} \right)_\mu \delta T + \left( \frac{\partial P}{\partial \mu} \right)_T \delta \mu = s \delta T + n \delta \mu \quad (\text{A63})$$

$$= -\left( sT + n\mu + \frac{1}{2} n \bar{u}^2 \right) u^\mu \delta \tau_\mu + \frac{1}{2} n u^\mu u^\nu \delta \bar{h}_{\mu\nu}, \quad (\text{A64})$$

where  $s$  is the entropy density and  $n$  the mass density. Thus, combining our findings, we obtain

$$\begin{aligned} \delta S &= \int d^{d+1}x e \left( \mathcal{T}^\mu \delta \tau_\mu + \frac{1}{2} \mathcal{T}^{\mu\nu} \delta \bar{h}_{\mu\nu} \right) \\ &= \int d^{d+1}x e \left[ \frac{1}{2} (P h^{\mu\nu} + n u^\mu u^\nu) \delta \bar{h}_{\mu\nu} - P \hat{\nu}^\mu \delta \tau_\mu \right. \\ &\quad \left. - \left( sT + n\mu + \frac{1}{2} n \bar{u}^2 \right) u^\mu \delta \tau_\mu \right], \end{aligned} \quad (\text{A65})$$

TABLE I. The three classes of Newton-Cartan geometries and their properties.

Geometry	Constraint on $\tau$	Causality	Torsion
TNC	None	Acausal	Yes
TTNC	$\tau \wedge d\tau = 0$	Surfaces of absolute simultaneity	Yes
NC	$d\tau = 0$	Absolute time	No

leading us to identify the energy current and the Cauchy stress-mass tensor as

$$\begin{aligned} \mathcal{T}^\mu &= -P\hat{v}^\mu - \left( sT + n\mu + \frac{1}{2}n\bar{u}^2 \right) u^\mu \\ &= -P\hat{v}^\mu - \left( \mathcal{E} + P + \frac{1}{2}n\bar{u}^2 \right) u^\mu, \end{aligned} \quad (\text{A66})$$

$$\mathcal{T}^{\mu\nu} = Ph^{\mu\nu} + nu^\mu u^\nu, \quad (\text{A67})$$

where we defined  $\mathcal{E}$ , the internal energy, via the relation  $\mathcal{E} + P = sT + n\mu$ . This matches the results of Ref. [36], where these equations were obtained by directly null reducing the expression for the relativistic energy-momentum tensor.

The relation between the relativistic and nonrelativistic currents can be found from

$$\frac{1}{2}\sqrt{-\hat{g}}\hat{T}^{\hat{\mu}\hat{\nu}}\delta\hat{g}_{\hat{\mu}\hat{\nu}} = e\left(\mathcal{T}^\mu\delta\tau_\mu + \frac{1}{2}\mathcal{T}^{\mu\nu}\delta\bar{h}_{\mu\nu}\right). \quad (\text{A68})$$

Hence the energy current is given by  $\mathcal{T}^\mu = \hat{T}^{\mu\mu}$ . For a perfect fluid, this is  $\mathcal{T}^\mu = (\hat{E} + \hat{P})\hat{u}^\mu\hat{u}^\mu - \hat{P}\hat{v}^\mu$ . Comparing this with (A66) implies that we have the identification  $\hat{P} = P$ , as well as

$$\mathcal{E} + P + \frac{1}{2}n\bar{u}^2 = -(\hat{E} + \hat{P})\hat{u}_\mu\hat{u}^\mu = \frac{1}{2}(\hat{E} + \hat{P}) + \frac{1}{2}n\bar{u}^2, \quad (\text{A69})$$

where  $\bar{u}^2 = \bar{h}_{\mu\nu}u^\mu u^\nu$  and where we used (A46), (A48), and (A49). Hence we conclude that, since  $\hat{P} = P$ , we have  $\hat{E} = 2\mathcal{E} + P$ . Finally, we note that Eq. (A49) can be obtained from comparing  $\hat{T}^{\mu\nu} = \mathcal{T}^{\mu\nu}$ . Replacing  $P$  in (A60) by  $\chi$  and confining the fluid to a surface leads to (4.5) upon Wick rotation.

## APPENDIX B: CLASSES OF NEWTON-CARTAN GEOMETRIES

As mentioned in Sec. II A 3, while it is not necessary to work with torsion for relevant systems, it is nevertheless formally necessary to introduce it in order to obtain the correct variational calculus [see discussion around (2.13)]. Thus it is instructive to briefly mention other types of Newton-Cartan geometry for which different conditions on  $\tau_\mu$  are considered. In the most general version of NC geometry, ‘‘torsional Newton-Cartan geometry’’ (TNC geometry [27–29]), the clock 1-form is completely unconstrained. A more moderate version, referred to as ‘‘twistless torsional Newton-Cartan geometry’’ (TTNC geometry), requires that the clock 1-form be hypersurface-orthogonal (i.e., it satisfies the Frobenius integrability condition  $\tau \wedge d\tau = 0$ ). We summarize these different notions in Table I. In fact, these conditions are intimately linked with torsion. In particular if  $\tau$  is closed ( $d\tau = 0$ ), there is no torsion, but if  $\tau$  is hypersurface-orthogonal ( $\tau \wedge d\tau = 0$ ) the *twist* vanishes,  $\omega^2 = h^{\mu\rho}h^{\nu\sigma}\omega_{\mu\nu}\omega_{\rho\sigma} = 0$ , where the twist tensor is given by  $\omega_{\mu\nu} = h^{\rho\sigma}h_{\sigma\mu}h^{\lambda\kappa}h_{\kappa\nu}\tau_{\rho\lambda}$ . Finally, if the clock 1-form is completely unconstrained, so is the torsion.

When there is no constraint on  $\tau_\mu$ , it was shown in Ref. [44] that the spacetime becomes acausal in the sense that given a point  $P$  there exists a neighborhood of  $P$  such that all points in the neighborhood are separated from  $P$  by curves that are spacelike, i.e., their tangent vectors are orthogonal to  $\tau_\mu$ . When  $\tau_\mu$  is hypersurface orthogonal, the spacetime admits a foliation in terms of constant time slices. At different points on such a hypersurface clocks may tick at a slower or faster rate as time evolves, although all observers on such a constant time slices agree that they are simultaneous with each other. When there is no torsion (and  $\tau$  is exact) the rate at which time evolves is the same for all points on the constant time slices and we are dealing with absolute time. In this case the interval between two events  $P$  and  $Q$  connected by a curve  $\gamma$  joining  $P$  and  $Q$ , i.e.,  $\int_\gamma \tau$ , is independent of the choice of  $\gamma$ .

## APPENDIX C: CONNECTIONS ON THE SUBMANIFOLD

The purpose of this Appendix is to find the relation between the NC connections of the ambient spacetime and the submanifold as described in Sec. II B 5.

Consider first the projection of the submanifold covariant derivative acting on a vector  $V^v$ ,

$$\begin{aligned} u_a^\mu u_v^b \nabla_\mu V^v &= u_a^\mu u_v^b (\partial_\mu V^v + \Gamma_{\mu\rho}^v V^\rho) = \partial_a (u_v^b V^v) - V^v \partial_a u_v^b + u_a^\mu u_v^b \Gamma_{\mu\rho}^v V^\rho \\ &= \partial_a V^b - V^\sigma (u_c^v u_\sigma^c + n_\sigma^v n_\sigma^I) \partial_a u_v^b + u_a^\mu u_v^b \Gamma_{\mu\rho}^v (u_c^\rho u_\sigma^c + n_\sigma^I n_\sigma^I) V^\sigma \\ &= \partial_a V^b + \Gamma_{ac}^b V^c - V^c u_c^v \partial_a u_v^b - V_I h^{bc} \tilde{K}_{ac}^I, \end{aligned} \quad (\text{C1})$$

where we defined

$$\Gamma_{ca}^b = u_a^\mu u_v^b u_c^\rho \Gamma_{\rho\mu}^v. \quad (\text{C2})$$

Now, if the vector is a pushforward of a submanifold vector as in  $V^\mu = u_a^\mu V^a$ , the last term in the expression above vanishes, which leads us to define

$$\gamma_{ac}^b = \Gamma_{ac}^b - u_c^\mu \partial_a u_\mu^b. \quad (\text{C3})$$

The connection on the submanifold is also given by (2.57), which we can write using the ambient structures as

$$-\hat{v}^c \partial_a \tau_b = -u_\mu^c u_a^\nu u_b^\rho \hat{v}^\mu \partial_\nu \tau_\rho - \hat{v}^c u_a^\nu \tau_\rho \partial_\nu u_b^\rho, \tag{C4}$$

$$h^{cd} \partial_a \bar{h}_{bd} = u_\mu^c u_\nu^d u_a^\rho u_b^\sigma \partial_\rho \bar{h}_{\lambda\sigma} + h^{cd} \bar{h}_{b\lambda} \partial_a u_d^\lambda + h^{c\lambda} \bar{h}_{\lambda\sigma} \partial_a u_b^\sigma. \tag{C5}$$

Substituting these back into (2.57), we find that

$$\begin{aligned} \gamma_{ab}^c - \Gamma_{ab}^c &= -\hat{v}^c \tau_\rho \partial_a u_b^\rho + \frac{1}{2} h^{cd} \bar{h}_{b\lambda} \partial_a u_d^\lambda + \frac{1}{2} h^{c\lambda} \bar{h}_{\lambda\sigma} \partial_a u_b^\sigma + \frac{1}{2} h^{cd} \bar{h}_{a\lambda} \partial_b u_d^\lambda + \frac{1}{2} h^{c\lambda} \bar{h}_{\lambda\sigma} \partial_b u_a^\sigma - \frac{1}{2} h^{cd} \bar{h}_{a\lambda} \partial_d u_b^\lambda - \frac{1}{2} h^{cd} \bar{h}_{\lambda b} \partial_d u_a^\lambda \\ &= u_\sigma^c \partial_a u_b^\sigma, \end{aligned} \tag{C6}$$

obtaining the result (2.58).

**APPENDIX D: GAUSS-BONNET AND (2 + 1)-DIMENSIONAL MEMBRANES**

For a closed co-dimension one surface embedded in flat (3 + 1)-dimensional Newton-Cartan geometry, the Gauss-Codazzi equation (2.73) relates  $K^2$  and  $K \cdot K$  according to

$$K^2 - K \cdot K = \mathcal{R}, \tag{D1}$$

where  $\mathcal{R}$  is the spatial Ricci scalar  $\mathcal{R} = h^{ab} \mathcal{R}_{ab}^c$ . This is the Ricci scalar of a two-dimensional spatial metric on constant time slices of  $\Sigma$ . This can be seen from the perspective of gauging the Bargmann algebra (see, e.g., Refs. [23,55,56]) as we will briefly review.

In this section we will denote surface tangent space indices as  $\bar{a}, \bar{b}, \dots = 1, 2$ . It is well known that (2 + 1)-dimensional Newton-Cartan geometry arises as a gauging of  $\text{batg}(2, 1)$ , which is generated by  $(H, P_{\bar{a}}, G_{\bar{a}}, J_{\bar{a}\bar{b}}, N)$  with the following nonvanishing brackets:

$$\begin{aligned} [H, G_{\bar{a}}] &= P_{\bar{a}}, [J_{\bar{a}\bar{b}}, G_{\bar{c}}] = 2\delta_{\bar{c}[\bar{a}} G_{\bar{b}]}, [J_{\bar{a}\bar{b}}, P_{\bar{c}}] = 2\delta_{\bar{c}[\bar{a}} P_{\bar{b}]}, \\ [J_{\bar{a}\bar{b}}, J_{\bar{c}\bar{d}}] &= 4\delta_{[\bar{a}[\bar{d}} J_{\bar{c}]\bar{b}]}, [P_{\bar{a}}, G_{\bar{b}}] = N\delta_{\bar{a}\bar{b}}. \end{aligned} \tag{D2}$$

The gauging procedure then proceeds as follows. We introduce a Lie algebra valued connection

$$\mathcal{A}_a = H\tau_a + P_{\bar{a}} e_a^{\bar{a}} + Nm_a + G_{\bar{a}} \omega_\mu^{\bar{a}} + \frac{1}{2} J_{\bar{a}\bar{b}} \omega_a^{\bar{a}\bar{b}}, \tag{D3}$$

with an associated curvature two-form  $\mathcal{F} = d\mathcal{A} + \mathcal{A} \wedge \mathcal{A}$  whose Lie algebra expansion is given by

$$\begin{aligned} \mathcal{F}_{ab} &= HR_{ab}(H) + P_{\bar{a}} \bar{\mathcal{R}}_{ab}^{\bar{a}}(P) + N \bar{\mathcal{R}}_{ab}(N) \\ &+ G_{\bar{a}} \bar{\mathcal{R}}_{ab}^{\bar{a}}(G) + \frac{1}{2} J_{\bar{a}\bar{b}} \bar{\mathcal{R}}_{ab}^{\bar{a}\bar{b}}(J). \end{aligned} \tag{D4}$$

In Ref. [58] it is shown that the Riemann tensor is related to the curvatures appearing in the gauging procedure as follows:

$$\mathcal{R}_{abd}{}^c = e_{\bar{a}}^c \tau_{\bar{d}} \bar{\mathcal{R}}_{ab}^{\bar{a}}(G) - e_{\bar{d}\bar{a}} e_{\bar{b}}^c \bar{\mathcal{R}}_{ab}^{\bar{a}\bar{b}}(J). \tag{D5}$$

The curvature of the spatial rotations  $\bar{\mathcal{R}}_{ab}^{\bar{a}\bar{b}}(J)$  is the curvature 2-form of the constant time slices which for (twistless torsional) NC geometry is Riemannian. In (2 + 1)-dimensional Newton-Cartan geometry, therefore, the spatial Ricci scalar  $\mathcal{R}$  only depends on the curvature two-form  $\bar{\mathcal{R}}_{ab}^{\bar{a}\bar{b}}(J)$  and we have the usual identities from two-dimensional Riemannian geometry for the spatial projections of  $\mathcal{R}_{abd}{}^c$ . For example, the vanishing of the two-dimensional Einstein tensor would read

$$h^{ac} h^{be} \mathcal{R}_{abc}{}^d - \frac{1}{2} \mathcal{R} h^{de} = 0. \tag{D6}$$

In the case of torsionless NC geometry the (2+1)-dimensional integration measure  $e$  is just the integration measure on the constant time slices (since the time direction has a trivial measure when we are dealing with absolute time). The Gauss-Bonnet theorem then tells us that

$$\int_{\Sigma} d^3\sigma e \mathcal{R} = 4\pi \int d\sigma^0 \chi(\Sigma_s), \tag{D7}$$

where  $\chi(\Sigma_s)$  is the Euler characteristic of the constant time slices  $\Sigma_s$ . Hence, the Gauss-Codazzi equation (D1) gives us a relation between the coefficients  $a_2, a_3$  of (4.19), allowing us to set either  $a_2$  or  $a_3$  equal to zero (but only when both  $a_2$  and  $a_3$  are constant). In (4.24), we have chosen to set  $a_3$  to zero.

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