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# The ring of modular forms of degree two in characteristic three

Gerard van der Geer<sup>1,2</sup>

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## Abstract

We determine the structure of the ring of Siegel modular forms of degree 2 in characteristic 3.

**Mathematics Subject Classification** 11F03 · 14J15 · 14G35 · 11G18

## 1 Introduction

Let  $\mathcal{A}_g$  be the moduli space of principally polarized abelian varieties of dimension  $g$ . It is a Deligne-Mumford stack over  $\mathbb{Z}$ . It carries a natural vector bundle of rank  $g$ , the Hodge bundle  $\mathbb{E}_g$ . We write  $L$  for its determinant line bundle. The vector bundle  $\mathbb{E}_g$  extends in a natural way over any compactification  $\tilde{\mathcal{A}}_g$  of Faltings-Chai type and we will denote the extension of  $\mathbb{E}_g$  and  $L$  again by the same symbols. Sections of  $L^{\otimes k}$  over  $\tilde{\mathcal{A}}_g$  are called modular forms of weight  $k$ . It is known that for  $g \geq 2$  any section of  $L^k$  over  $\mathcal{A}_g$  extends to a section of  $L^k$  over  $\tilde{\mathcal{A}}_g$ , a fact usually referred to as the Koecher principle, see [7, Prop. 1.5, p. 140].

If  $\mathbb{F} = \mathbb{Z}$  or  $\mathbb{Z}_p$  or a field one has the graded ring

$$\mathcal{R}_g(\mathbb{F}) = \bigoplus_k H^0(\tilde{\mathcal{A}}_g \otimes \mathbb{F}, L^k).$$

It is known by [7] that it is a finitely generated  $\mathbb{F}$ -algebra.

In the case of  $\mathbb{F} = \mathbb{C}$  the ring  $\mathcal{R}_g(\mathbb{C})$  is the ring of scalar-valued Siegel modular forms of degree  $g$ . It is well-known that  $\mathcal{R}_1(\mathbb{C}) = \mathbb{C}[E_4, E_6]$  is freely generated over  $\mathbb{C}$  by the Eisenstein series  $E_4$  and  $E_6$  of weights 4 and 6. In the 1960s Igusa [11] determined the structure of  $\mathcal{R}_2(\mathbb{C})$ :

$$\mathcal{R}_2(\mathbb{C}) = \mathbb{C}[\psi_4, \psi_6, \chi_{10}, \chi_{12}, \chi_{35}] / (\chi_{35}^2 - P),$$

where the indices of the generators indicate the weights and  $P$  is a polynomial in  $\psi_4, \psi_6, \chi_{10}$  and  $\chi_{12}$ . Moreover, the ideal of cusp forms is generated by  $\chi_{10}, \chi_{12}$  and  $\chi_{35}$ . For  $g = 3$ ,

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Tsuyumine showed in [20] that  $\mathcal{R}_3(\mathbb{C})$  is generated by 34 elements; recently the number of generators was reduced to 19 by Lercier and Ritzenthaler [14].

For  $\mathbb{F} = \mathbb{F}_p$ , a finite field with  $p$  elements, the ring  $\mathcal{R}_1(\mathbb{F}_p)$  was described by Deligne [5]. Besides giving the structure of the ring over  $\mathbb{Z}$

$$\mathcal{R}_1(\mathbb{Z}) = \mathbb{Z}[c_4, c_6, \Delta] / (c_4^3 - c_6^2 - 1728 \Delta),$$

he showed that

$$\mathcal{R}_1(\mathbb{F}_2) = \mathbb{F}_2[a_1, \Delta] \quad \text{and} \quad \mathcal{R}_1(\mathbb{F}_3) = \mathbb{F}_3[b_2, \Delta],$$

where  $\Delta$  is of weight 12 and  $a_1$  (resp  $b_2$ ) is of weight 1 (resp. 2). For  $p \geq 5$  we have  $\mathcal{R}_1(\mathbb{F}_p) = \mathbb{F}_p[c_4, c_6]$ .

For  $g = 2$ , Igusa determined in [13] also the ring of modular forms over  $\mathbb{Z}$ ; it is generated by elements of weight

$$4, 6, 10, 12, 12, 16, 18, 24, 28, 30, 35, 36, 40, 42, 48.$$

For finite fields the structure of  $\mathcal{R}_2(\mathbb{F}_p)$  is known for  $p \geq 5$ . For this we refer to Ichikawa’s paper [10]. For  $p \geq 5$  the ring is just as in characteristic zero generated by modular forms  $\psi_4, \psi_6, \chi_{10}, \chi_{12}$  and  $\chi_{35}$  with  $\chi_{35}$  satisfying a relation  $\chi_{35}^2 = P(\psi_4, \psi_6, \chi_{10}, \chi_{12})$ . Moreover for  $p \geq 5$  the reduction map  $\mathcal{R}_2(\mathbb{Z}_p) \rightarrow \mathcal{R}_2(\mathbb{F}_p)$  is surjective. Nagaoka studied the image of the reduction map in [17, 18], see also [1].

In this paper we consider the case  $p = 3$  and determine the structure of  $\mathcal{R}_2(\mathbb{F}_3)$ . We use the close connection between the moduli space  $\mathcal{A}_2$  and the moduli space  $\mathcal{M}_2$  of curves of genus 2 via the Torelli map  $\mathcal{M}_2 \hookrightarrow \mathcal{A}_2$  and the description of  $\mathcal{M}_2$  as a quotient stack for the action of  $GL(2)$  on the space of binary sextics. In that way invariant theory can be used to construct modular forms. The relation between invariants and modular forms was already exploited by Igusa in [11], but he used theta functions and Thomae’s formula to relate these to cross ratios of the zeros of a binary sextic. Here we use not only invariants but also covariants giving vector-valued modular forms as introduced in [2] to analyze the regularity of scalar-valued modular forms.

Our result is:

**Theorem 1.1** *The subring  $\mathcal{R}_2^{\text{ev}}(\mathbb{F}_3)$  of modular forms of even weight is generated by forms of weights 2, 10, 12, 14 and 36 and has the form*

$$\mathcal{R}_2^{\text{ev}}(\mathbb{F}_3) = \mathbb{F}_3[\psi_2, \chi_{10}, \psi_{12}, \chi_{14}, \chi_{36}] / J$$

with  $J$  the ideal generated by the relation

$$\psi_2^3 \chi_{36} - \chi_{10}^3 \psi_{12} - \psi_2^2 \chi_{10} \chi_{14}^2 + \chi_{14}^3.$$

Moreover,  $\mathcal{R}_2(\mathbb{F}_3) = \mathcal{R}_2^{\text{ev}}(\mathbb{F}_3)[\chi_{35}] / (\chi_{35}^2 - P)$  with  $P$  a polynomial in  $\psi_2, \chi_{10}, \psi_{12}, \chi_{14}$  and  $\chi_{36}$ . The ideal of cusp forms is generated by  $\chi_{10}, \chi_{14}, \chi_{35}, \chi_{36}$ .

The generator  $\psi_2$  is the Hasse invariant that vanishes on the locus of non-ordinary abelian surfaces and  $\chi_{10}$  is a form that vanishes on the locus of products of elliptic curves. The ring of modular forms of degree 2 in characteristic 2 is described in [4].

## 2 The proof of Theorem 1.1

Since for  $g = 2$  the moduli stack  $\mathcal{A}_g \otimes \mathbb{F}_3$  has a canonical compactification due to Igusa we will use this compactification  $\tilde{\mathcal{A}}_2 \otimes \mathbb{F}_3$ . We will denote the space of sections of  $L^k$  on

$\tilde{\mathcal{A}}_2 \otimes \mathbb{F}_3$  by  $M_k(\Gamma_2)$  and we thus have  $\mathcal{R}_2(\mathbb{F}_3) = \bigoplus_k M_k(\Gamma_2)$ . We write  $M_k(\Gamma_1)$  for the space  $H^0(\tilde{\mathcal{A}}_1 \otimes \mathbb{F}_3, L^k)$ . The Satake compactification is denoted by  $\mathcal{A}_2^* \otimes \mathbb{F}_3$ . We denote the first Chern class of  $L$  by  $\lambda_1$ .

We begin by constructing generators of weight 2 and 10. The locus  $V_1$  of abelian surfaces with  $p$ -rank  $\leq 1$  is a divisor in  $\mathcal{A}_2 \otimes \mathbb{F}_p$  and its closure  $\bar{V}_1$  in  $\tilde{\mathcal{A}}_2 \otimes \mathbb{F}_p$  has cycle class  $(p-1)\lambda_1$  in the Chow group with  $\mathbb{Q}$ -coefficients, so  $[\bar{V}_1] = 2\lambda_1$  for  $p = 3$ , see [6,22]. Therefore the effective divisor  $\bar{V}_1$  is the divisor of a section of  $L^{\otimes 2}$  and there is a modular form  $\psi_2$  of weight 2 whose zero divisor is  $\bar{V}_1$ . It is determined up to multiplication by a non-zero scalar. We will normalize it later. This form is known as the Hasse invariant. Multiplication by  $\psi_2$  implies that  $\dim M_k(\Gamma_2) \leq \dim M_{k+2}(\Gamma_2)$ .

The divisor of products of elliptic curves  $H_1 := \mathcal{A}_{1,1} \otimes \mathbb{F}_3$  gives rise to a second modular form. (The notation refers to the fact that  $H_1$  is the Humbert surface of discriminant 1.) In the Chow group of codimension 1 of  $\tilde{\mathcal{A}}_2 \otimes \mathbb{F}_3$  (resp.  $\mathcal{A}_2^* \otimes \mathbb{F}_3$ ) we have the relation (cf. e.g. [16, p. 317])

$$2[\bar{H}_1] + [D] = 10\lambda_1 \quad (\text{resp. } 2[\bar{H}_1] = 10\lambda_1),$$

with  $D$  the divisor at infinity, hence there exists a modular form of weight 10 vanishing with multiplicity 2 on  $H_1$ . We call this form  $\chi_{10}$  (up to a normalization to be determined later). The automorphism group of a generic product of elliptic curves has an extra involution (when compared with the automorphism group of a generic principally polarized abelian surface) and it acts by  $-1$  on  $L$ , hence every modular form of even weight vanishes with even multiplicity along  $H_1$ .

Restriction to  $H_1$  yields for even  $k$  an exact sequence

$$0 \rightarrow H^0(\mathcal{A}_2 \otimes \mathbb{F}_3, L^k \otimes \mathcal{O}(-2H_1)) \rightarrow H^0(\mathcal{A}_2 \otimes \mathbb{F}_3, L^k) \rightarrow H^0(H_1, L^k_{|H_1})$$

and in view of the degree 2 morphism  $\mathcal{A}_1 \times \mathcal{A}_1 \rightarrow \mathcal{A}_{1,1}$  induced by interchanging the two factors, we can identify this with

$$0 \rightarrow M_{k-10}(\Gamma_2) \rightarrow M_k(\Gamma_2) \rightarrow \text{Sym}^2(M_k(\Gamma_1)), \tag{2.1}$$

where the second arrow is multiplication by  $\chi_{10}$ . Moreover  $M_{k-10}(\Gamma_2) = (0)$  for  $k < 8$  since  $L$  is ample on  $\mathcal{A}_2^* \otimes \mathbb{F}_3$ . The exact sequence (2.1) and the fact that we know  $M_k(\Gamma_1)$  implies that  $\dim M_k(\Gamma_2) = 1$  for  $k = 2, 4, 6, 8$  and  $\dim M_{10}(\Gamma_2) = 2$  and  $M_{10}(\Gamma_2)$  is generated by  $\psi_2^5$  and  $\chi_{10}$ .

We now turn to the construction of the other generators. We use the ideas of [2]. The Torelli map defines an embedding  $\mathcal{M}_2 \otimes \mathbb{F}_3 \rightarrow \mathcal{A}_2 \otimes \mathbb{F}_3$ . A smooth projective curve of genus 2 can be given by an equation

$$y^2 = f(x) \quad \text{with } f = \sum_{i=0}^6 a_i x^{6-i}. \tag{2.2}$$

We let  $V = \langle x_1, x_2 \rangle$  be the  $\mathbb{F}_3$ -vector space generated by  $x_1, x_2$  and write  $f$  as a homogeneous polynomial  $\sum_{i=0}^6 a_i x_1^{6-i} x_2^i$ . Note that a curve as in (2.2) comes with a basis of the space of regular differentials, viz.  $dx/y, xdx/y$ .

We have a description of  $\mathcal{M}_2 \otimes \mathbb{F}_3$  as the stack quotient  $[\mathcal{X}^0/\text{GL}(V)]$  with  $\mathcal{X}^0 \subset \mathcal{X} = \text{Sym}^6(V) \otimes \det(V)^{-2}$  the locus given by the non-vanishing of the discriminant, see [3, Section 3, p. 3].

The pullback to  $\mathcal{X}^0$  of the Hodge bundle under the composition of  $\mathcal{X}^0 \rightarrow \mathcal{M}_2$  with the Torelli map  $\mathcal{M}_2 \hookrightarrow \mathcal{A}_2$  is the equivariant bundle  $V$  on  $\mathcal{X}^0$  as the basis  $dx/y, xdx/y$  of the

space of regular differentials on the curve  $y^2 = f(x)$  shows. The pullback of  $L$  is  $\det(V)$ . As a consequence pulling back defines a homomorphism

$$\mu : \mathcal{R}_2(\mathbb{F}_3) \rightarrow I \tag{2.3}$$

with  $I$  the ring of invariants of the action of  $GL(V)$  on  $\text{Sym}^6(V)$ . Here an invariant is a polynomial in  $a_0, \dots, a_6$ , the coefficients of  $f$  that is invariant under  $SL(V)$ . Since the image of  $\mathcal{M}_2$  in  $\mathcal{A}_2$  is a Zariski open part with complement  $H_1$ , not every invariant corresponds to a modular form; but every invariant corresponds to a rational modular form that is regular outside  $H_1$ . In particular, it becomes regular on all of  $\mathcal{A}_2$  when multiplied with a sufficiently high power of  $\chi_{10}$ . This provides us with homomorphisms

$$\mathcal{R}_2(\mathbb{F}_3) \xrightarrow{\mu} I \xrightarrow{\nu} \mathcal{R}_2(\mathbb{F}_3)_{\chi_{10}},$$

where  $\mathcal{R}_2(\mathbb{F}_3)_{\chi_{10}}$  is obtained from  $\mathcal{R}_2(\mathbb{F}_3)$  by allowing powers of  $\chi_{10}$  in the denominator. We have  $\nu \circ \mu = \text{id}$ .

This generalizes as follows to vector-valued modular forms. For each finite dimensional irreducible representation  $\rho$  of  $GL(2)$  there is a vector bundle  $\mathbb{E}_2^\rho$  obtained from  $\mathbb{E}_2$  by applying a Schur functor. Such a  $\rho$  is of the form  $\text{Sym}^j(\text{St}) \otimes \det^k(\text{St})$  with  $\text{St}$  the standard representation of  $GL(V)$ . A section of  $\text{Sym}^j(\mathbb{E}_2) \otimes \det(\mathbb{E}_2)^k$  over  $\mathcal{A}_2$  is called a modular form of degree 2 and weight  $(j, k)$ . The Koecher principle also applies to these modular forms: sections of  $\mathbb{E}_2^\rho$  over  $\mathcal{A}_2$  extend over  $\tilde{\mathcal{A}}_2$ , see [7, Prop. 1.5, p. 140]. We write

$$M_{j,k}(\Gamma_2) = H^0(\tilde{\mathcal{A}}_2 \otimes \mathbb{F}_3, \text{Sym}^j(\mathbb{E}_2) \otimes \det(\mathbb{E}_2)^k)$$

and we consider the  $\mathcal{R}_2(\mathbb{F}_3)$ -module

$$M = \bigoplus_{j,k} M_{j,k}(\Gamma_2).$$

It is even a ring. The map (2.3) can be extended to a map from  $M$  to the ring of covariants. Here a covariant can be described as an invariant for the action of  $GL(V)$  on  $V \oplus \text{Sym}^6(V)$ . Alternatively, covariants can be obtained by taking an equivariant embedding of an irreducible  $GL(V)$ -representation  $U \rightarrow \text{Sym}^d(\text{Sym}^6(V))$ , or equivalently, an equivariant map

$$\varphi : \mathbb{F}_3 \rightarrow \text{Sym}^d(\text{Sym}^6(V)) \otimes U^\vee$$

and then  $\Phi = \varphi(1)$  is a covariant. If  $U$  is an irreducible representation of highest weight  $(w_1, w_2)$  then one may view  $\Phi$  as a homogeneous form in  $a_0, \dots, a_6$  of degree  $d$  and in  $x_1, x_2$  of degree  $w_1 - w_2$ , see [2,9,19]. For example, taking  $U = \text{Sym}^6(V)$  and  $d = 1$  yields the covariant  $\Phi = f$ , the universal binary sextic. Covariants form a ring  $\mathcal{C}$  that was much studied in the 19th and early 20th century. Grace and Young determined generators of this ring in [9].

The maps  $\mathcal{R}_2(\mathbb{F}_3) \rightarrow I \rightarrow \mathcal{R}_2(\mathbb{F}_3)_{\chi_{10}}$  now extend to

$$M \xrightarrow{\mu} \mathcal{C} \xrightarrow{\nu} M_{\chi_{10}},$$

where  $M_{\chi_{10}}$  is obtained from  $M$  by admitting powers of  $\chi_{10}$  as denominators. We have  $\nu \circ \mu = \text{id}_M$ .

The image under  $\nu$  of the covariant  $f$ , the universal binary sextic, is a rational modular form  $\chi_{6,-2}$ , that is, a rational section of  $\text{Sym}^6(\mathbb{E}_2) \otimes \det(\mathbb{E}_2)^{-2}$  that is regular after multiplication by an appropriate power of  $\chi_{10}$ . The power  $-2$  comes from the twisting used in the description of the stack quotient  $[\mathcal{X}^0/GL(V)]$ , where  $\mathcal{X}^0 \subset \text{Sym}^6(V) \otimes \det(V)^{-2}$ , see [3, Section 3, p. 3].

This construction was given in [2] in characteristic zero and yields a meromorphic modular form, here denoted  $\varphi_{6,-2}$ , that becomes holomorphic after multiplication by  $\chi_{10}$ . The reduction of the characteristic zero rational modular form  $\varphi_{6,-2}$  yields a rational modular form in characteristic 3. This implies that  $\chi_{6,-2}$  becomes regular after multiplication by  $\chi_{10}$ . We can write the form  $\chi_{6,-2}$  locally on  $\mathcal{A}_2 \otimes \mathbb{F}_3$  symbolically as

$$\chi_{6,-2} = \sum_{i=0}^6 \alpha_i X_1^{6-i} X_2^i, \tag{2.4}$$

where the monomials  $X_1^{6-i} X_2^i$  are dummies to indicate the coordinates in the fibres of  $\text{Sym}^6(\mathbb{E}_2) \otimes \det(\mathbb{E}_2)^{-2}$ . Here we view  $\alpha_i$  locally as a rational function on  $\mathcal{A}_2 \otimes \mathbb{F}_3$ . Using the local expression (2.4) one can give the image  $\nu(T)$  of an invariant  $T = T(a_0, \dots, a_6)$  locally by  $T(\alpha_0, \dots, \alpha_6)$ .

We note that interchanging  $X_1$  and  $X_2$  induces an involution replacing  $\alpha_i$  by  $\alpha_{6-i}$ .

Comparing with the characteristic 0 case and using semi-continuity we see that the orders of the rational functions  $\alpha_i$  along the divisor  $H_1$  are at least equal to the orders of their complex analogues along  $H_1$ . The Fourier expansion in characteristic 0 given in [2, page 1658] implies the following inequalities for the orders of  $\alpha_i$  along  $H_1$  in characteristic 3:

$$\text{ord}_{H_1}(\alpha_0, \dots, \alpha_6) = (\geq 2, \geq 1, \geq 0, \geq -1, \geq 0, \geq 1, \geq 2). \tag{2.5}$$

Moreover, the symmetry that interchanges  $x_1$  and  $x_2$  implies that the orders of  $\alpha_i$  and  $\alpha_{6-i}$  along  $H_1$  are equal. Another way to see the estimates for the orders is by developing  $\chi_{6,8} = \chi_{6,-2}\chi_{10}$  along the locus  $\mathcal{A}_{1,1} \otimes \mathbb{F}_3 \subset \mathcal{A}_2 \otimes \mathbb{F}_3$ . Since the pullback of the Hodge bundle  $\mathbb{E}_2$  to  $\mathcal{A}_1 \times \mathcal{A}_1$  via  $\mathcal{A}_1^2 \rightarrow \mathcal{A}_{1,1} \subset \mathcal{A}_2$  is  $\bigoplus_{i=0}^6 p_1^*(\mathbb{E}_1)^i \otimes p_2^*(\mathbb{E}_1)^{6-i}$  the restriction of  $\alpha_i \chi_{10}$  lies in  $S_{14-i}(\Gamma_1) \otimes S_{8+i}(\Gamma_1)$  and this is zero. The next Taylor term in the Taylor development along  $\mathcal{A}_{1,1}$  lies in  $S_{15-i}(\Gamma_1) \otimes S_{9+i}(\Gamma_1)$  and this is zero for  $i \neq 3$ .

The ring of invariants  $I$  for the action of  $\text{GL}(V)$  on  $\text{Sym}^6(V)$  in characteristic 3 is generated by invariants  $A, B, C, D$  and  $E$  of degree 2, 4, 6, 10 and 15, see e.g. [11] or [8]. The invariants  $A, B, C, D$  that we use here can be expressed in the reductions modulo 3 of the invariants  $J_2, J_4, J_6$  et  $J_{10}$  given in [15]:  $A = -J_2(\text{mod}3)$ ,  $B = -J_4(\text{mod}3)$ ,  $C = -J_6 - A^3(\text{mod}3)$ ,  $D = J_{10}(\text{mod}3)$ . The invariant  $E$  can be found in [12, p. 848].

The invariant  $A$  has the form  $A = a_1a_5 - a_2a_4$ . We know of the existence of a modular form  $\psi_2$  of weight 2. Under the map  $\mu$  it must map to a non-zero multiple of  $A$ . We fix  $\psi_2$  by requiring  $\mu(\psi_2) = A$ . The restriction to  $H_1$  of the Hasse invariant  $\psi_2$  is a non-zero multiple of  $\text{Sym}^2(b_2)$ , with  $b_2$  the Hasse invariant for  $g = 1$ , hence  $\psi_2$  does not vanish identically on  $H_1$ .

By the inequalities (2.5) and the expression for  $A$  we see that  $\text{ord}_{H_1}(\alpha_2) = 0 = \text{ord}_{H_1}(\alpha_4)$  and

$$\text{ord}_{H_1}(\alpha_0, \dots, \alpha_6) = (\geq 2, \geq 1, 0, \geq -1, 0, \geq 1, \geq 2).$$

In degree 4 we find another invariant  $B$ , not a multiple of  $A^2$ :

$$\begin{aligned} B &= 2 a_0 a_1 a_5 a_6 + a_0 a_2 a_4 a_6 + 2 a_0 a_2 a_5^2 + 2 a_0 a_4^3 + 2 a_1^2 a_4 a_6 + 2 a_1 a_2 a_4 a_5 \\ &\quad + a_1 a_3^2 a_5 + a_1 a_3 a_4^2 + 2 a_2^3 a_6 + a_2^2 a_3 a_5 + a_2^2 a_4^2 + 2 a_2 a_3^2 a_4. \end{aligned}$$

Since we know  $\dim M_4(\Gamma_2) = 1$  there cannot be a regular modular form in weight 4 that is not a multiple of  $\psi_2^2$ . This implies that  $\text{ord}_{H_1}(\alpha_3) < 0$  and hence  $\text{ord}_{H_1}(\alpha_3) = -1$ . Thus  $B = (a_1a_5 - a_2a_4)a_3^2 + (a_1a_4^2 + a_2^2a_5)a_3 + \dots$  defines a rational modular form  $\chi_B = \nu(B)$

of weight 4 with order  $-2$  along  $H_1$ . Since  $\chi_{10}$  vanishes with multiplicity 2 along  $H_1$  we thus find that

$$\chi_{14} := \chi_B \chi_{10}$$

is a regular modular form of weight 14.

The vector space of invariants of degree 6 is generated by  $A^3$ ,  $AB$  and an invariant  $C$

$$C = 2a_3^6 + Aa_3^4 + 2(a_1a_4^2 + a_2^2a_5)a_3^3 + \dots$$

and we see that  $\chi_C = \nu(C)$  has order  $-6$  along  $H_1$ . In degree 10 there is a new invariant

$$D = (a_1a_5)^3a_3^4 + (a_0a_2^3a_3^3 + a_1^3a_4^3a_6 + 2a_1^3a_4^2a_5^2 + 2a_1^2a_2^2a_5^3)a_3^3 + \dots$$

yielding a modular form that vanishes with multiplicity  $\geq 2$  on  $H_1$ . Indeed, since  $\alpha_1\alpha_5$  vanishes with multiplicity  $\geq 2$  the first term  $(\alpha_1\alpha_5)^3\alpha_3^4$  vanishes with order  $\geq 2$ ; the next terms also vanish with order  $\geq 2$  as one easily checks. Therefore  $\chi_D$  is regular and vanishes with multiplicity  $\geq 2$ . Since  $\chi_D$  is not zero, it must be a multiple of  $\chi_{10}$  and then vanishes on  $H_1$  with multiplicity 2. This implies that the order of vanishing of  $\alpha_1$  and  $\alpha_5$  along  $H_1$  is 1.

**Corollary 1** *We have  $\text{ord}_{H_1}(\alpha_0, \dots, \alpha_6) = (\geq 2, 1, 0, -1, 0, 1, \geq 2)$ .*

We fix  $\chi_{10}$  by setting it equal to  $\chi_D = \nu(D)$ . This fixes  $\chi_{14}$  too.

In a similar manner one checks that the rational modular form  $\psi_S = \nu(S)$  with  $S$  equal to

$$S = B^3 + A^3C - A^2B^2 = (a_1a_4^2 + a_2^2a_5)^3a_3^3 + \dots$$

is regular too. We put  $\psi_{12} = \psi_S$ . We thus find a 3-dimensional subspace of  $M_{12}(\Gamma_2)$  generated by  $\psi_2^6$ ,  $\psi_2\chi_{10}$  and  $\psi_{12}$ . From the fact that  $B$  and  $D$  are not divisible by  $A$  we see that  $\chi_{14}$  does not lie in  $\psi_2M_{12}(\Gamma_2)$ . Therefore  $\dim M_{12}(\Gamma_2) < \dim M_{14}(\Gamma_2)$ . Since we know by (2.1) that  $\dim M_{14}(\Gamma_2) \leq 4$  we conclude that  $\dim M_{12}(\Gamma_2) = 3$ .

A further generator is

$$\chi_{36} = \nu(CD^3) = \chi_C\chi_{10}^3.$$

Since the orders of  $\chi_C$  and  $\chi_{10}$  along  $H_1$  are  $-6$  and 2 the modular form  $\chi_{36}$  is regular and does not vanish identically on  $H_1$ . The modular form  $\chi_{36}$  is not contained in the subring generated by  $\psi_2, \chi_{10}, \psi_{12}$  and  $\chi_{14}$  as one sees by looking at the invariants. We have the identity

$$(B^3 + A^3C - A^2B^2)D^3 = B^3D^3 + A^3CD^3 - A^2DB^2D^2$$

by which we can express  $\psi_{12}\chi_{10}^3$  in the other generators:

$$\psi_{12}\chi_{10}^3 = \chi_{14}^3 + \psi_2^3\chi_{36} - \psi_2^2\chi_{10}\chi_{14}^2. \tag{2.6}$$

Since  $A, B, C, D$  are generators of the ring of invariants and are algebraically independent the forms  $\psi_2, \chi_{10}, \psi_{12}, \chi_{14}$  are algebraically independent. The form  $\chi_{36}$  then satisfies the algebraic relation (2.6) and since there is no non-trivial relation of lower weight involving  $\chi_{36}$  it implies that this relation generates the ideal of relations between the generators  $\psi_2, \chi_{10}, \psi_{12}, \chi_{14}$  and  $\chi_{36}$ .

The forms  $\psi_2, \chi_{10}, \psi_{12}, \chi_{14}$  and  $\chi_{36}$  generate a subring  $R^{\text{ev}}$  of the ring  $\mathcal{R}_2^{\text{ev}}(\mathbb{F}_3)$  with generating function

$$G = \frac{(1 - t^{42})}{(1 - t^2)(1 - t^{10})(1 - t^{12})(1 - t^{14})(1 - t^{36})}.$$

and by the Riemann-Roch theorem we have  $\dim M_k(\Gamma_2) = k^3/1080 + O(k^2)$  for even  $k$ . Note that

$$\frac{42}{2 \cdot 10 \cdot 12 \cdot 14 \cdot 36} = \frac{1}{2880}.$$

On the other hand we have  $c_1(L)^3 = 1/2880$ , see [22, p. 74]. We can use the degree of  $\text{Proj}(\mathcal{R}_2^{\text{ev}}(\mathbb{F}_3))$  to show that there cannot be more generators of  $\mathcal{R}_2^{\text{ev}}(\mathbb{F}_3)$ , but one can see this also in a more elementary way as follows.

Let  $d(k) = \dim M_k(\Gamma_2)$  and  $r(k) = \dim R_k$  where  $R_k = R^{\text{ev}} \cap M_k(\Gamma_2)$ .

**Proposition 1** *We have  $d(k) = r(k)$  for even  $k \geq 0$ .*

**Proof** We know that  $d(k) \geq r(k)$  for even  $k$  and  $d(k) = r(k)$  for even  $0 \leq k \leq 14$ . Suppose by induction that  $d(k) = r(k)$  for even  $k \leq m$ . The exact sequence (2.1) gives the upper bound  $d(k) \leq r(k-10) + c(k)(c(k)+1)/2$  for  $k \leq m+10$ , where  $c(k) = \dim M_k(\Gamma_1) = \lfloor k/12 \rfloor + 1$ . Using the generating function  $G$  one sees that  $r(k) - r(k-10) = c(k)(c(k)+1)/2$  for  $k \not\equiv 0 \pmod{12}$  and  $k \not\equiv 2 \pmod{12}$ . Hence  $d(k) = r(k)$  for even  $k \leq m+10$  with  $k \not\equiv 0, 2 \pmod{12}$ . But we have

$$d(k+2) - d(k) \geq r(k+2) - r(k),$$

as we show in the next lemma. This proves  $d(k) = r(k)$  for even  $k \leq m+10$ . Therefore we conclude the proof by induction. □

**Lemma 1** *We have  $d(k+2) - d(k) \geq r(k+2) - r(k)$  for even  $k \geq 0$ .*

**Proof** We can write  $R_{k+2} = \psi_2 R_k \oplus N_{k+2}$  with  $N_{k+2}$  the subspace with basis the forms  $\chi_{10}^a \psi_{12}^b \chi_{14}^c \chi_{36}^d$  with  $a, b, c, d \geq 0$  and  $c \leq 2$  in view of the relation (2.6). Then we have  $\dim N_{k+2} = r(k+2) - r(k)$ . The inequality  $d(k+2) - d(k) \geq \dim N_{k+2}$  follows from the fact that  $N_{k+2} \cap \psi_2 M_k(\Gamma_2) = (0)$ . To see this fact, suppose that  $f \in M_k(\Gamma_2)$  such that  $f \notin R_k$  and  $\psi_2 f \in R_{k+2}$ . Then  $\psi_2 f = P$  with  $P$  a sum of monomials  $\chi_{10}^a \psi_{12}^b \chi_{14}^c \chi_{36}^d$  with  $c \leq 2$ . Then  $P = v(Q)$  with  $Q$  a polynomial in

$$D, B^3 + A^3 C - A^2 B^2, BD, CD^3.$$

Since  $P = \psi_2 f$  this polynomial must be divisible by  $A$ . But this implies that if  $Q \neq 0$  then it must have at least one monomial with  $c \geq 3$ , but we excluded this. □

The invariant  $E$  of degree 15 is of the form

$$E = (a_1 a_4^2 - a_2^2 a_5)^3 a_3^6 + \dots$$

and  $v(E)$  has order  $-3$  along  $H_1$ . Therefore

$$\chi_{35} := v(ED^2)$$

is a regular modular form. It vanishes on  $H_1$  and on the Humbert surface  $H_4$  of discriminant 4, both with multiplicity 1. The surfaces  $H_1$  and  $H_4$  parametrize abelian surfaces that possess an extra involution. Locally near  $H_4$  the extra automorphism corresponds to the symmetry that interchanges  $x_1$  and  $x_2$ .

We know that the cycle class of  $2H_4$  on  $\mathcal{A}_2^* \otimes \mathbb{F}_3$  is  $60\lambda_1$ , see [21, Prop. 3.3, p. 217]. Therefore the divisor of  $\chi_{35}$  is  $H_1 + H_4$  and since the closure of  $H_1$  contains the 1-dimensional cusp  $\chi_{35}$  is a cusp form. Then  $\chi_{35}^2$  is of even weight, hence can be expressed as a polynomial



in  $\psi_2, \chi_{10}, \psi_{12}, \chi_{14}$  and  $\chi_{36}$ . If  $\psi$  is an odd weight modular form then it must vanish on  $H_1$  and  $H_4$ , hence it will be divisible by  $\chi_{35}$ .

The relation between the space of binary sextics and the moduli space  $\overline{\mathcal{M}}_2$  (see for example [3, Section 4]) implies that a modular form  $\chi$  is a cusp form if and only if the invariant  $\mu(\chi)$  is divisible by the discriminant  $D$  in  $I$ . From the form of the generators one easily sees that  $\chi_{10}, \chi_{14}, \chi_{36}$  and  $\chi_{35}$  generate the ideal of cusp forms. This completes the proof.

**Remark 1** One can use the knowledge of the dimensions of  $M_k(\Gamma_2)$  to deduce non-vanishing of  $H^1(\tilde{\mathcal{A}}_2 \otimes \mathbb{F}_3, L^k)$  for certain values of  $k$ . The short exact sequence of sheaves on  $\tilde{\mathcal{A}}_2 \otimes \mathbb{F}_3$

$$0 \rightarrow L^k \otimes \mathcal{O}(-\overline{V}_1) \rightarrow L^k \rightarrow L^k_{|\overline{V}_1} \rightarrow 0$$

gives rise to a long exact sequence which can be identified with

$$0 \rightarrow M_{k-2}(\Gamma_2) \rightarrow M_k(\Gamma_2) \rightarrow H^0(\overline{V}_1, L^k) \rightarrow H^1(\tilde{\mathcal{A}}_2 \otimes \mathbb{F}_3, L^{k-2}) \rightarrow \dots$$

For example, if  $\dim M_{k-2}(\Gamma_2) = \dim M_k(\Gamma_2)$  we get an injection  $H^0(\overline{V}_1, L^k) \rightarrow H^1(\tilde{\mathcal{A}}_2 \otimes \mathbb{F}_3, L^{k-2})$  and if  $k \equiv 0 \pmod{4}$  and  $k \geq 0$  one can show that  $H^0(\overline{V}_1, L^k) \neq 0$  by showing that  $H^0(\overline{V}_1[2], L^k)^{\mathfrak{S}_6} \neq (0)$ , the space of invariants under the symmetric group  $\mathfrak{S}_6$  acting on  $H^0(\overline{V}_1[2], L^k)$  with  $V_1[2]$  the 3-rank  $\leq 1$  locus in the level 2 moduli space  $\tilde{\mathcal{A}}_2[2]$ . Thus for example,  $H^1(\tilde{\mathcal{A}}_2 \otimes \mathbb{F}_3, L^{14}) \neq (0)$ .

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