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INFINITE ENTROPY FOR TRANSCENDENTAL ENTIRE FUNCTIONS WITH AN OMITTED VALUE

ANNA MIRIAM BENINI[†], JOHN ERIK FORNÆSS^{*}, AND HAN PETERS

Dedicated to Lê Văn Thiêm on the occasion of his centenary.

ABSTRACT. We prove that entire transcendental holomorphic functions with an omitted value have infinite entropy. A proof for general transcendental entire functions will be given in an upcoming paper.

1. INTRODUCTION

Understanding how many times a transcendental meromorphic functions takes a given value on a circle of radius r is one of the fundamental problems in the deep theory of Nevanlinna. In one of his earliest papers [Thi49], Le Van Thiêm worked on the distribution of values of meromorphic functions in one complex variable, partially solving the inverse problem in the value distribution theory for meromorphic functions. In this paper we prove results regarding the distribution of values for entire functions with an omitted singular value, which give as corollary that such functions have infinite entropy.

By Picard's theorem, an entire transcendental function f takes every value in \mathbb{C} infinitely many times, except for at most one value, which, if it exists, is called the exceptional value. When the exceptional value is not taken at all, it is called an omitted value. By Montel's Theorem, if z_0 is a repelling periodic point and U is an arbitrary neighborhood of z_0 then the family $\{f^n\}$ takes infinitely times each value except for the exceptional value. On the other hand, Montel's Theorem gives no quantitative estimates on how many iterates are needed before a given value is taken again. Wiman Valiron theory tells that, for each n and for all values of $r > 0$ sufficiently large outside a set of finite logarithmic measure, there exists at least one point of modulus r , and a neighborhood of it, whose image covers a larger annulus at least n times. However, no information is given about whether and when the image of the annulus gets back to covering the original neighborhood.

Our main theorem is the following.

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Theorem 1.1. *Let f be a transcendental entire function with an omitted value β , and let $n \in \mathbb{N}$. For $R > 0$ define the annulus*

$$A_R := \{R/2 < |z - \beta| < 2R\}.$$

Then there exists $\delta > 0$ and $R = R(n)$ such that $f(A_R) \supset A_R$ and every point in A_R has at least n preimages in A_R which are at Euclidean distance at least δ from each other.

Theorem 1.1 has the following corollary:

Theorem 1.2. *Let f be a transcendental entire function with an omitted value. Then f has infinite topological entropy.*

The fact that entire transcendental functions should have infinite entropy is no surprise. Indeed, it has been well known for several decades that rational maps of degree d acting on the Riemann sphere have topological entropy equal to $\log d$ (see [MP77] and [Gro03], and independently [Lju83]).

One of the reasons why the problem of topological entropy for entire transcendental maps has not been addressed for so long is that there are several non equivalent definitions of topological entropy on non-compact metric spaces; we refer to [HNP08] and the references therein for the definition of topological entropy on noncompact spaces. See also the book [Wal82] for a background in ergodic theory). Observe that transcendental maps are not uniformly continuous on \mathbb{C} and that they do not extend continuously to its one-point compactification, that is the Riemann sphere $\hat{\mathbb{C}}$. The definition of topological entropy that we will use is the following.

Definition 1.3 (Definition of topological entropy). Let $f : Y \rightarrow Y$ be a self-map of a metric space (Y, d) . Let X be a compact subset of Y . Let $n \in \mathbb{N}$ and $\delta > 0$. A set $E \subset X$ is called (n, δ) -separated if

- for any $z \in E$, its orbit $\{z, f(z), \dots, f^{n-1}(z)\} \subset X$;
- for any $z \neq w \in E$ there exists $k \leq n-1$ such that $d(f^k(z), f^k(w)) > \delta$.

Let $K(n, \delta)$ be the maximal cardinality of an (n, δ) -separated set. Then the *topological entropy* $h_{\text{top}}(X, f)$ is defined as

$$h_{\text{top}}(X, f) := \sup_{\delta > 0} \left\{ \limsup_{n \rightarrow \infty} \frac{1}{n} \log K(n, \delta) \right\}.$$

We define the topological entropy $h_{\text{top}}(f)$ of f on Y as the supremum of $h_{\text{top}}(X, f)$ over all compact subsets $X \subset Y$.

In general, this definition depends on the metric d . In our setting, the natural metrics on \mathbb{C} with respect to the dynamics of transcendental entire functions are the spherical metric and the Euclidean metric. Since they are comparable on compact subsets of \mathbb{C} , both choices yield the same result with respect to Definition 1.3. Observe that \mathbb{C} endowed with the spherical metric

is totally bounded and that our conditions for being an (n, δ) -separated set are more restrictive than the conditions used to define the Bowen compacta entropy in paragraph 2.1 in [HNP08]. In view of Theorem 6 there, the topological entropy is infinite also according to the other two definitions presented in [HNP08].

2. INFINITE ENTROPY FOR FUNCTIONS WITH NO ZEROS

For f entire transcendental and $R > 0$ let

$$M(R, f) := \sup_{|z|=R} |f(z)|$$

denote the maximum modulus of f . Recall that

$$(2.1) \quad \lim_{R \rightarrow \infty} \frac{\log M(R, f)}{\log R} = \infty.$$

Proof of Theorem 1.1. Up to considering conjugation by a translation, we can assume that $\beta = 0$ and hence that $f : \mathbb{C} \rightarrow \mathbb{C} \setminus \{0\}$.

We consider values $R > 0$ sufficiently large for which there exist points $w_m, w_M \in \{|z| = R\}$ with $|f(w_m)| < 1$ and $|f(w_M)| > R^{2n}$. Since $f \neq 0$ there exists an entire function g for which $f = g^n$. It follows that $|g(w_m)| < 1$ and $|g(w_M)| > R^2$.

Define the annulus

$$A_R^{1/n} = \left\{ \left(\frac{R}{2} \right)^{\frac{1}{n}} < |z| < (2R)^{\frac{1}{n}} \right\}.$$

Suppose for the sake of a contradiction that $A_R^{1/n} \not\subseteq g(A_R)$. Write ζ for a point in $A_R^{1/n} \setminus g(A_R)$ and define the holomorphic function $h = g/\zeta$. Then h maps A_R into $\mathbb{C} \setminus \{0, 1\}$, a hyperbolic Riemann surface.

Note that the hyperbolic distance between w_m and w_M in the domain A_R is bounded from above by a constant independent of R . However, for R sufficiently large it follows that $|h(w_M)| > R$, while $|h(w_m)| < 1$. By completeness of the hyperbolic metric it follows that the distance between $h(w_M)$ and $h(w_m)$ converges to infinity as $R \rightarrow \infty$. Since holomorphic maps cannot increase hyperbolic distances, we obtain a contradiction when R is sufficiently large.

Thus we have proved that $A_R^{1/n} \subseteq g(A_R)$ when R is sufficiently large, and thus that $A_R \subseteq f(A_R)$. The fact that there exist at least n distinct preimages, with uniform bounds on the distance between these n points, follows by considering the n distinct branches for the function $g = f^{1/n}$. \square

Corollary 2.1. *A transcendental function omitting 0 has infinite entropy.*

Proof. Let $n \in \mathbb{N}$ and let $R > 0$ as constructed in the theorem. Let $\delta > 0$ be such that any point $w \in A_R$ has at least n preimages z_1, \dots, z_n satisfying $|z_i - z_j| > \delta$ for $i \neq j$. Let $y \in A_R$ and $E_0 = \{y\}$, and recursively choose

finite subsets $E_j \subset A_R$ with the property that E_{j+1} contains for each $w \in E_j$ exactly n preimages z_1, \dots, z_n with $|z_i - z_j| > \delta$ for $i \neq j$, and no other points. By construction E_j is (j, δ) separated, and the cardinality of E_j is exactly n^j . It follows that

$$h_{top}(\overline{A_R}, f) \geq \log(n),$$

which implies that the topological entropy of f is infinite. \square

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