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DOI

[10.1128/JVI.02597-15](https://doi.org/10.1128/JVI.02597-15)

Publication date

2016

Document Version

Author accepted manuscript

Published in

Journal of Virology

[Link to publication](#)

Citation for published version (APA):

Pasternak, A. O., DeMaster, L. K., Kootstra, N. A., Reiss, P., O'Doherty, U., & Berkhout, B. (2016). Minor Contribution of Chimeric Host-HIV Readthrough Transcripts to the Level of HIV Cell-Associated gag RNA. *Journal of Virology*, *90*(2), 1148-1151. <https://doi.org/10.1128/JVI.02597-15>

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1 **Minor Contribution of Host-HIV Readthrough Transcripts to the Level of HIV**
2 **Cell-associated *gag* RNA**

3

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18 Running title: Host-HIV readthrough transcripts and HIV *gag* RNA

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20 Word count abstract: 74

21 Word count text: 1.000

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24 **Abstract**

25

26 **Cell-associated HIV unspliced RNA is an important marker of the viral reservoir. HIV *gag***
27 **RNA-specific assays are frequently used to monitor the reservoir activation. Because HIV**
28 **preferentially integrates into actively transcribed genes, some of the transcripts detected by**
29 **these assays may not represent genuine HIV RNA but chimeric host-HIV readthrough**
30 **transcripts. Here we demonstrate that in HIV-infected patients on suppressive cART, such**
31 **host-derived transcripts do not significantly contribute to the HIV *gag* RNA level.**

32

33 Cell-associated (CA) HIV unspliced RNA is an important marker of the viral reservoir and the
34 response to combination antiretroviral therapy (cART) (1). Recently, there has been considerable
35 interest in the utilization of CA HIV RNA as a surrogate marker of virus activation by latency-
36 reversing agents (LRA) (2), and it has been used as a main output measure in several clinical trials
37 aimed at reduction of the HIV reservoir (3-6). Primers specific for the HIV *gag* region are frequently
38 used in PCR-based assays that quantify unspliced RNA (7,8). However, because HIV integrates
39 preferentially within actively transcribed host genes (Fig. 1A) (9), it has been suggested that some of
40 the transcripts detected by the *gag*-specific assays may not represent genuine HIV RNA but rather
41 chimeric host-HIV readthrough transcripts that are transcribed from host promoters (10). In this
42 case, an effect of LRA measured by induction of *gag* RNA transcription could represent activation
43 of a host gene, instead of HIV latency reversal. Therefore, to properly interpret the results of the *gag*
44 assays, it is necessary to determine the relative contribution of such readthrough transcripts to the
45 total HIV *gag* RNA signal in cART-treated patients.

46 We developed a sensitive nested real-time PCR assay that amplifies the 5' long terminal
47 repeat (LTR)-encoded U3 – packaging signal region (U3-Psi) of HIV-1. As the forward primers are

48 located 5' of the HIV LTR transcription start site, this assay specifically detects host-HIV
49 readthrough transcripts but not genuine HIV-1 unspliced RNA (Fig. 1B). The assay has a linear
50 range of five orders of magnitude and the sensitivity of four copies per reaction (Fig. 2). For this
51 study, we used peripheral blood mononuclear cells (PBMC) of 48 cART-treated patients visiting the
52 HIV outpatient clinic of the Academic Medical Center in 2011-2013 and participating in the Co-
53 morBidity in Relation to AIDS (COBRA) cohort, whose plasma viremia had been undetectable (<40
54 copies/ml) for a median of 7 years prior to the time of sampling. The median CD4⁺ T-cell count was
55 675.5 cells/mm³. Total DNA and total RNA were isolated from the patient PBMC using the Boom
56 isolation method (11) and CA HIV DNA and RNA were separately quantified using both the U3-Psi
57 assay and the seminested real-time PCR assay specific for the HIV *gag* region (Fig. 1B) (7,8).
58 Cellular RNA was treated with DNase (DNA-free™ kit, Ambion®) to remove DNA that could
59 interfere with the quantitation, and reverse transcribed using random primers and Superscript III
60 reverse transcriptase (both Invitrogen). As HIV integrates in a random orientation with regard to the
61 host genes, we used random primers to allow detection of readthrough RNA transcribed in both
62 directions, from both upstream and downstream host promoters (Fig. 1A). Same-volume aliquots of
63 the same DNA or cDNA preparations were used as input for U3-Psi and *gag* assays. HIV DNA and
64 RNA were normalized to the cellular inputs as described previously (12).

65 As expected, both U3-Psi and *gag* assays detected HIV DNA in >90% of the patients (44/48
66 and 46/48, respectively) with no significant quantitative bias between the assays ($0.13 \pm 0.50 \log_{10}$;
67 $P > 0.05$ for comparison of the difference to 0) (Fig. 3), and a highly significant correlation between
68 the two measurements was observed ($P = 0.001$), demonstrating the functionality of the U3-Psi assay.
69 However, a major difference in detectability of HIV RNA was observed. HIV *gag* RNA was
70 detected in 44/48 of these patients (92%) with a median copy number of 590 (interquartile range,
71 217-1194) copies/ μ g total RNA. However, the detectability of readthrough RNA was only 40%

72 (19/48 patients) (Fig. 4A). In these 19 patients where the readthrough RNA was detected, its median
73 copy number was 49 (41-122) copies/ μ g total RNA ($P=0.0001$ for the paired comparison with the
74 HIV *gag* RNA) (Figs. 4B, 4C). This represented only 8.3% (2.4%-11.2%) of the HIV *gag* RNA
75 (Fig. 4D). Notably, this is a large overestimation and the real readthrough/*gag* RNA ratio is much
76 lower, as patients with undetectable readthrough RNA (60% of all patients) were excluded from this
77 calculation. No significant correlation was observed between HIV *gag* RNA and the readthrough
78 RNA ($P=0.64$).

79 Although the existence of host-HIV readthrough transcripts has been demonstrated
80 previously (9,13), this is the first quantitative comparison of these transcripts with HIV *gag* RNA in
81 cells from HIV-infected patients. Our results compellingly show that in PBMC of HIV-infected
82 patients on suppressive cART, the contribution of host-derived transcripts to the RNA measured by
83 HIV *gag* assays is very small. The host-HIV readthrough RNA transcribed in the same direction as
84 HIV (sense) is most probably polyadenylated at the HIV 5' LTR, whereas HIV has evolved a
85 number of strategies to suppress polyadenylation of its nascent RNA transcript (14,15). However,
86 polyadenylation cannot be the only explanation of the scarcity of host-HIV readthrough transcripts
87 that we found, as the readthrough RNA transcribed in the antisense direction is not expected to be
88 polyadenylated at the HIV LTRs. Rather, as introns represent the absolute majority of HIV
89 integration sites within genes (9), the low abundance of host-HIV readthrough transcripts compared
90 to genuine HIV RNA might reflect a combination of the short half-lives of pre-mRNA and intronic
91 RNA in a cell (16) and the relative strength of the HIV LTR promoter.

92 A limitation of this study is that we only quantified HIV RNA in total PBMC. It is possible
93 that the HIV readthrough/*gag* RNA ratio is different in resting CD4⁺ T-cells. However, although the
94 HIV transcription level is lower in resting than in activated CD4⁺ cells (17), host cell transcription is
95 also expected to be lower due to the absence of nuclear forms of key transcription factors (e.g.,

96 NFκB and NFAT) in resting cells (18). In addition, to monitor the efficacy of LRA clinical trials,
97 HIV *gag* RNA is usually quantified in total CD4⁺ cells or PBMC (3,4,6). Therefore, our report is
98 relevant for the interpretation of the outcome of such trials.

99 In summary, we observed only a minor contribution of host-HIV readthrough transcripts to
100 the level of HIV *gag* RNA. The vast majority of HIV *gag* RNA transcripts in cART-treated patients
101 represent genuine HIV unspliced RNA.

102

103 **Funding information**

104 The Co-morBidity in Relation to AIDS (COBRA) collaboration has received funding from the
105 European Union's Seventh Framework Programme for research, technological development and
106 demonstration under grant agreement no 305522. A.O.P. is financially supported by the Dutch AIDS
107 Fonds (<http://www.aidsfonds.nl/>), grant nrs. 2011020 and 2012025. The funders had no role in study
108 design, data collection and analysis, decision to publish, or preparation of the manuscript.

109

110 **Acknowledgements**

111 We are grateful to the COBRA collaboration for making available the samples from COBRA study
112 participants. The study was approved by the ethics commission of the Academic Medical Center,
113 conducted in accordance with the ethical principles of the Declaration of Helsinki, and all patients
114 provided written informed consent.

115

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117

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174
175

176 **Figure Legends**

177

178 **Figure 1.** (A) HIV proviruses integrate in intronic regions of transcriptionally active host genes, in
179 the same (upper panel, sense) or opposite (lower panel, antisense) orientation with regard to local
180 host gene transcription. (B) A close-up of the 5' HIV region, with a schematic representation of real-
181 time PCR assays for detection of readthrough and *gag* RNA. LTR, long terminal repeat; ORF, open
182 reading frame; Ψ , HIV packaging signal (Psi).

183

184 **Figure 2.** Quantitation of the serially diluted plasmid pLAI Δ RT, which is a molecular clone of HIV-
185 1 harboring a deletion of the reverse transcriptase gene (7), with the U3-Psi nested real-time PCR
186 assay. Pre-amplification (15 cycles) was performed with the forward (5'-
187 AGTGGCGAGCCCTCAGATG-3') and reverse (5'-CAGCAAGCCGAGTCCT-3') primers in a
188 volume of 25 μ l. Two microliters of this PCR reaction were used as input for a nested real-time PCR
189 performed with the forward (5'-CAGATGCTGCATATAAGCAGCTG-3') and reverse (5'-

190 CACAACAGACGGGCACACAC-3') primers (10) and probe (5'-(FAM)-
191 GAGCTCTCTGGCTAACTAGGGAACCC-(TAMRA)-3') in a total volume of 50 μ l.

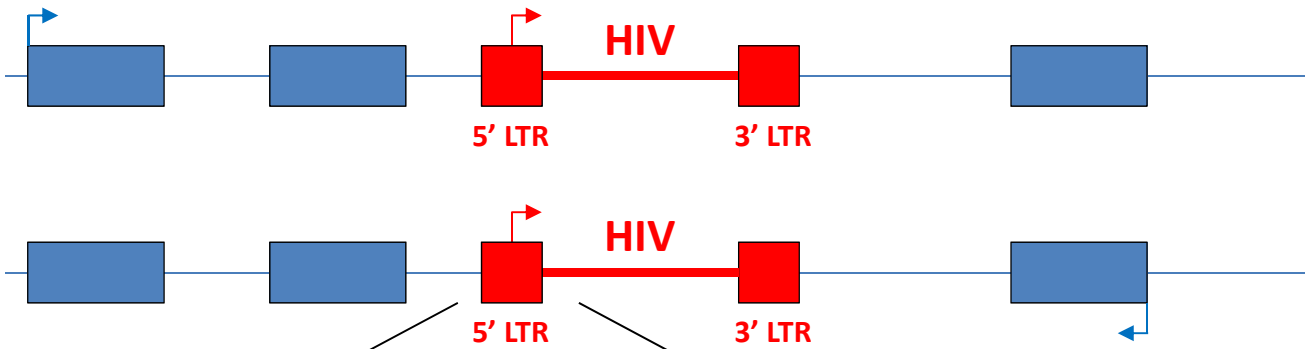
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193 **Figure 3.** Bland-Altman plot of the *gag* and U3-Psi HIV DNA measurements. Horizontal lines
194 indicate the average difference between the measurements, as well as average \pm standard deviation.

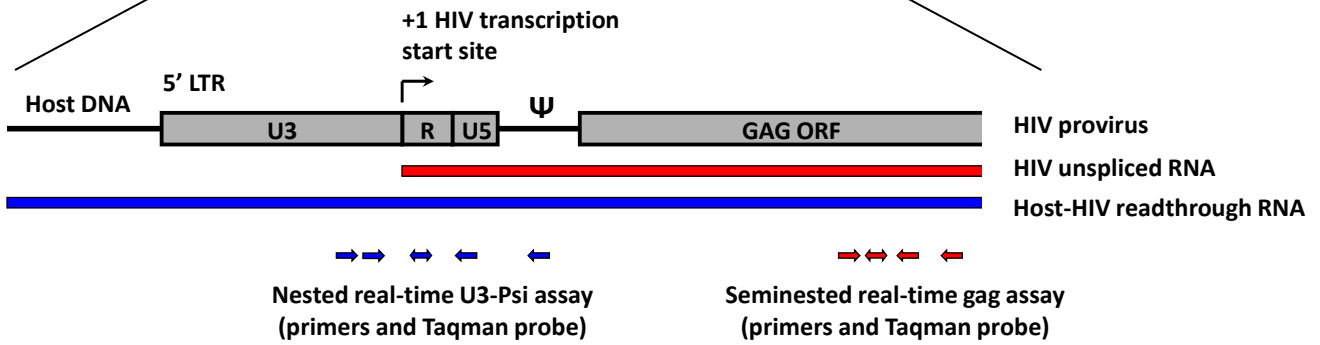
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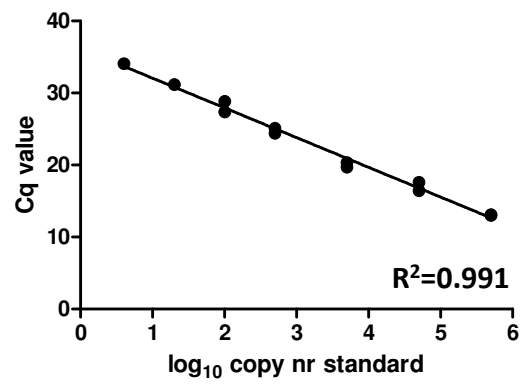
196 **Figure 4.** Comparison of HIV-1 *gag* RNA and readthrough RNA: (A) in all patients, with
197 undetectable values left-censored at the detection limits of corresponding assays shown by open
198 circles, and (B) only in patients with detectable *gag* or readthrough RNA, (C) paired comparison in
199 patients with detectable readthrough RNA, and (D) percentage of readthrough RNA in the HIV-1
200 *gag* RNA: only patients with detectable readthrough RNA are shown. Medians are shown in (A) and
201 (B), and median and interquartile range is shown in (D).

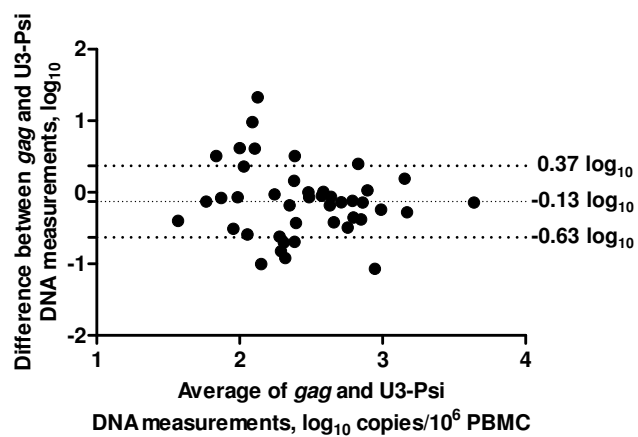
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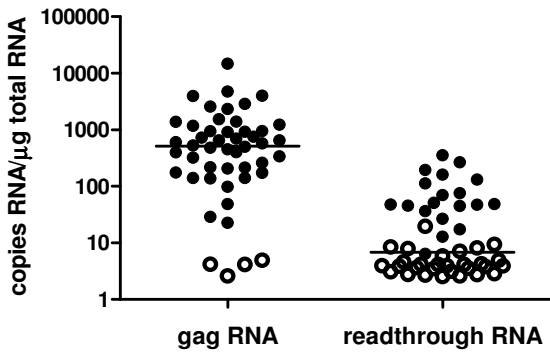
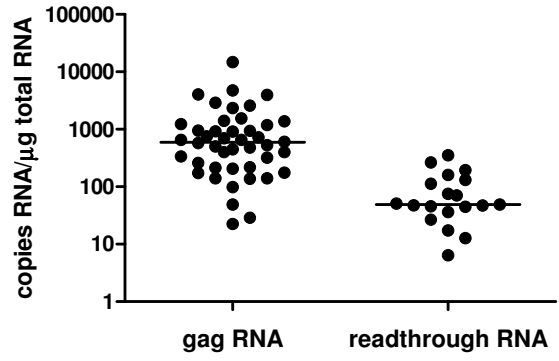
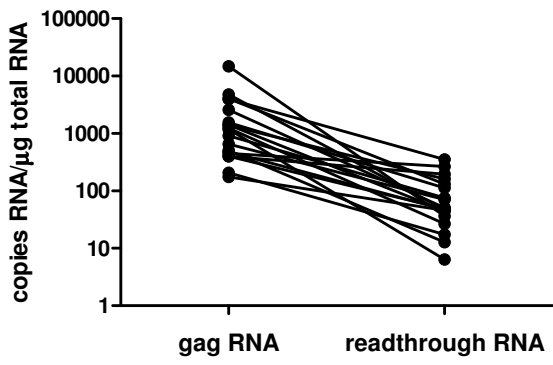


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