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1 **Determination of double- $K$  fracture parameters of concrete by bottom-**  
2 **notched splitting test**

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17

18

19 **Abstract**

20 A new fracture test method was proposed in this study to determine the double- $K$  fracture parameters  
21 of concrete, named as the bottom-notched splitting (BNS) test. In the BNS test, the concrete cube with  
22 a pre-set crack on the bottom surface was subjected to a line compressive load on the top surface.  
23 Numerical analyses were first carried out to simulate the stress distributions along the ligament and  
24 crack opening profiles of the BNS specimens. Then, the fitting expressions of the stress intensity factor  
25 and the crack center opening displacement were derived based on the linear elastic fracture mechanics.  
26 A series of BNS tests and three-point bending (TPB) tests with different ratios of the pre-set crack  
27 length to the specimen height were conducted to determine the double- $K$  fracture parameters of the  
28 concrete. The results indicated that the pre-set cracks in the BNS tests could initiate and propagate  
29 throughout the whole cross-sections of the concrete cubes. By substituting the obtained initial fracture  
30 loads, maximum loads and critical crack center opening displacements in the BNS tests into the fitting  
31 expressions, the double- $K$  fracture parameters could be determined. By comparing with the TPB tests,  
32 the BNS tests were proved to be an effective method to determine the double- $K$  fracture parameters of  
33 concrete. The BNS tests were convenient to operate and could reduce the damage risk of the pre-set  
34 cracks. Meanwhile, they would be also appropriate for assessing the fracture properties of existing  
35 concrete structures in service because the cubes would be easily obtained from the samples core-drilled  
36 from existing concrete structures.

37

38 **Keywords:** Bottom-notched splitting (BNS), three-point bending (TPB), concrete fracture, stress  
39 intensity factor, initial fracture toughness, unstable fracture toughness, critical crack length

40

## 41 **Introduction**

42 Various experimental investigations have indicated that the fracture process in quasi-brittle  
43 materials, such as concrete, can be divided into three stages, i.e. crack initiation, stable and unstable  
44 crack propagations. The crack propagation process in concrete can be analyzed by the *R*-curve method.  
45 *R*-curve is the crack propagation resistance curve and describes the quantitative relationship between  
46 the crack propagation resistance force and crack length (Bažant and Kazemi 1990, 1991; Ouyang et al.  
47 1990). By contrast, *G*-curves are a series of crack propagation driving curves and describe the  
48 quantitative relationship between the crack propagation driving force and crack length. Crack  
49 propagation status can be determined by the relationship between the *R*-curve and *G*-curve, including  
50 crack initiation, stable and unstable crack propagations. In addition, based on the linear asymptotic  
51 superposition assumption, Xu et al. (1999a, b) established the double-*K* fracture model to analyze the  
52 crack propagation process of concrete. Besides the traditional unstable fracture toughness, the initial  
53 fracture toughness was proposed to reflect the capacity of the concrete material against crack initiation.  
54 Taking the initial fracture toughness and unstable fracture toughness as the demarcation points, the  
55 crack propagation process of concrete can be distinguished into three stages, i.e. crack initiation, stable  
56 crack propagation, and unstable crack propagation. In addition, as an extended application of the  
57 double-*K* fracture model, an initial fracture toughness-based crack propagation criterion was proposed  
58 and verified by Dong et al. (2013a, b) to analyze the complete fracture process of concrete. At present,  
59 the double-*K* fracture model has been widely adopted by researchers to investigate fracture properties  
60 of concrete (Dong et al. 2016; Gao et al. 2022; Li et al. 2015; Pradhan et al. 2020; Ruiz et al. 2016)  
61 and also recommended as a standard method by RILEM (see RILEM TC265-TDK (2021): Testing  
62 methods for determination of the double-*K* criterion for crack propagation in concrete using wedge-

63 splitting tests and three-point bending beam tests) and China Power Industry Standard (see DL/T 5332  
64 (2005) Norm for fracture test of hydraulic concrete).

65 In general, the double- $K$  fracture model is combined with the fictitious crack model (Hillerborg  
66 et al. 1976) to characterize the nonlinear fracture behavior of concrete. As the stress intensity factor  
67 (SIF) at the pre-set crack tip is greater than the initial fracture toughness, the pre-set crack starts to  
68 propagate and the fracture process zone forms. To reflect the cohesive effect induced by the aggregate  
69 interlock, the fracture process zone is assumed as an actual crack and the cohesive stress is applied  
70 within it. Similar to the  $R$ -curve method (Bažant and Kazemi 1990, 1991; Ouyang et al. 1990), the  
71 crack propagation driving force is the SIF caused by the external load, and the crack propagation  
72 resistance is the sum of the SIF caused by the cohesive stress and the initial fracture toughness of the  
73 material. Under the maximum load, the SIF caused by the cohesive stress is denoted as the roughness  
74 toughness, and the sum of the initial fracture toughness and the roughness toughness is the unstable  
75 fracture toughness. The initial fracture toughness is totally based on the linear elastic fracture  
76 mechanics. Nevertheless, the unstable fracture toughness considered the cohesive effect within the  
77 fracture process zone. In this way, the double- $K$  fracture model can be regarded as an improved linear  
78 elastic fracture mechanics model, where the nonlinear fracture behavior caused by the aggregate  
79 interlock within the fracture process zone is considered.

80 In the existing investigations, various test methods were utilized by researchers to determine the  
81 fracture parameters of concrete, including fracture toughness (Guan et al. 2015; Qing and Cheng 2018),  
82 fracture energy (Cifuentes and Karihaloo 2013; Lu and Li 2012; Zhao et al. 2008), and fracture process  
83 zone length (Bhowmik and Ray 2019; Hu and Wittmann 1990). The commonly used test methods were  
84 three-point bending test, compact tension test, and wedge-splitting test. For the three-point bending

85 test, the test procedure can be implemented easily in a general material laboratory due to the simple  
86 experimental set-up (Guan et al. 2016; Lacidogna et al. 2019). At present, the three-point bending test  
87 method has been widely used to measure the fracture properties of concrete (Xu and Reinhardt 2000)  
88 and other similar materials, e.g. masonry (Wang et al. 2020) and earth blocks (Hall et al. 2022). RILEM  
89 recommended the test method using three-point bending beams to determine the fracture parameters  
90 of concrete (see RILEM 50-FMC Draft Recommendation (1985) - Determination of the fracture energy  
91 of mortar and concrete by means of three-point bend tests on notched beams). However, for large-size  
92 concrete beams, the large self-weight would result in additional external loading and cause potential  
93 damage at the crack tip during the complete test process (Zhang and Xu 2011). By contrast, the impact  
94 of the self-weight can be eliminated in the compact tension test (Ožbolt et al. 2013) and the wedge  
95 splitting test (Bakour and Ben 2022; Kumar and Barai 2009). Accordingly, the standard test methods  
96 of the compact tension test and wedge splitting test were recommended by ASTM (see ASTM  
97 International E399-09 (2009) - Standard test method for linear-elastic plane-strain fracture toughness  
98  $K_{IC}$  of metallic materials) and China Power Industry Standard (see DL/T 5332 (2005) Norm for  
99 fracture test of hydraulic concrete). It should be noted that both compact tension test and wedge-  
100 splitting test require the sophisticated fixtures (Li et al. 2015), which would largely enhance the  
101 complexity of the operation and increase the testing cost.

102       Recent years, the center-notched splitting (CNS) test has been widely utilized by researchers (Hu  
103 et al. 2015; Tang et al. 1996) to investigate the fracture properties of concrete. In the CNS test, a  
104 vertical crack is pre-set at the center of a cylinder or cube specimen, and the specimen is subjected to  
105 line compressive loading along the center lines of both top and bottom surfaces. In this way, the middle  
106 cross-section of the specimen is under pure splitting tension and the pre-set crack is under mode-I

107 fracture (Ince 2010, 2012). It was worthy to point out that the center notch is difficult to be made after  
108 casting concrete specimens, so that the steel strip is usually fixed in the mold before casting concrete  
109 specimens. There would be a potential damage occurring at the crack tip during the pull-out process  
110 of the steel strip, resulting in the imprecise experimental results. In addition, for assessing the fracture  
111 properties of the concrete structures in service, the CNS test is infeasible because it is difficult to obtain  
112 a center notch in the sample core-drilled from the structures. Therefore, it is necessary to provide an  
113 effective and simple supplement to the existing test methods for determining the fracture parameters  
114 of concrete.

115 In line with this, a new test method, i.e. the bottom-notched splitting (BNS) test, was proposed  
116 and verified in this study. In the BNS test, a vertical crack was pre-set on the bottom surface of a  
117 concrete cube, and the concrete cube was subjected to compressive loading along the center line of the  
118 top surface with the frictionless contact on the bottom surface. Under this loading condition, the pre-  
119 set crack would initiate and propagate until it would penetrate throughout the whole cross-section.  
120 Comparing with the traditional three-point bending test and wedge splitting test, the BNS test was  
121 convenient to operate and could reduce the damage risk of the pre-set crack. Meanwhile, it was also  
122 appropriate to assess the fracture properties of concrete structures in service because the cube would  
123 be easy to obtain from the samples core-drilled from existing structures. In addition, the BNS test can  
124 be also used to investigate the fracture properties of concrete under multi-axial loading conditions by  
125 applying the tensile or compressive loading on other surfaces of the BNS specimen. In this study,  
126 numerical analysis was firstly conducted to analyze the mechanical responses of the BNS specimen  
127 and then derive the fitting expressions of the stress intensity factor and the crack center opening  
128 displacement. Thereafter, the digital image correction (DIC) technique was employed to provide the

129 crack profiles on the surfaces of the BNS specimens with different ratios of the pre-set crack length to  
130 the specimen height. Furthermore, a series of BNS tests and three-point bending (TPB) tests were  
131 conducted to measure the double- $K$  fracture parameters of the concrete including the initial fracture  
132 toughness, critical crack length, and unstable fracture toughness. By comparing with the TPB test, the  
133 BNS test was proved to be an effective method for determining the double- $K$  fracture parameters of  
134 concrete.

135

## 136 **Loading arrangement of and numerical exploration on the BNS test**

### 137 *Loading arrangement of the BNS test*

138 The BNS specimen was a concrete cube with a vertical crack pre-set on the bottom surface, as  
139 shown in Fig. 1(a). The side length of the cube and the length of the pre-set crack were denoted as  $D$   
140 and  $a_0$ , respectively. In the BNS test, the concrete cube was subjected to a compressive load along the  
141 center line of the top surface with the frictionless contact on the bottom surface. To achieve the splitting  
142 loading, a steel loading header with a cambered subplate was customized to apply the line load on the  
143 top surface, similar to that of the splitting tensile test. According to Chinese Standard (see GB 50081  
144 (2002) - Standard for test method of mechanical properties on ordinary concrete), and the radius of the  
145 cambered subplate was 75 mm. In addition, a timber strip with the width of 20 mm and the thickness  
146 of 3 mm was placed between the steel loading header and the specimen to avoid local stress  
147 concentration and failure near the loading line. To achieve the frictionless contact, three layers of  
148 polyvinyl chloride (PVC) films were placed between the bottom surface of the BNS specimen and the  
149 lower platform of the testing machine. In particular, the first two layers of PVC films were separated  
150 into two identical parts along the pre-set crack to reduce the possible constraint on the crack opening.



151 All the PVC films were coated with lubricating oil to eliminate the possible friction between the cube  
152 specimen and the lower platform of the testing machine. This method has been widely adopted by  
153 researchers to eliminate the friction between the concrete specimen and the loading plate (Li et al.  
154 2016, 2018). The loading arrangement of the BNS test is illustrated in Fig. 1(b) and the corresponding  
155 mechanical model of the BNS test can be simplified as shown in Fig. 1(c). At present, it is uncertain  
156 whether the pre-set crack can initiate and propagate throughout the whole cross-section of the BNS  
157 specimen under the loading arrangement.

### 158 ***Compliance calibration of the BNS test***

159 In the BNS test, residual friction constraint existed between the specimen and the lower platform.  
160 To investigate the influence of the residual friction constraint on the deformation of the BNS specimen,  
161 the compliance calibration with a steel cube was firstly conducted for the BNS test. The steel cube for  
162 the compliance calibration is shown in Fig. 2(a). The side length of the steel cube was 100 mm. A  
163 vertical crack was set at the bottom of the steel cube using the wire-electrode cutting machine, and the  
164 width and length of the vertical crack were 2 mm and 50 mm, respectively. Experimental set-up of the  
165 compliance calibration is shown in Fig. 2(b). To measure the external load  $P$ , a load cell was connected  
166 between the steel loading header and the testing machine. Two knife edges were pasted at the center  
167 of the pre-set crack with the scale distance of 20 mm, and a clip gage was mounted between the knife  
168 edges to measure the crack center opening displacement  $CCOD$ . In the BNS test, the displacement-  
169 control loading mode was adopted and the loading rate was 0.06 mm/min.

170 In addition, numerical simulations using finite element software ANSYS 15.0 were carried out to  
171 calculate the deformation of the steel cube under the frictionless contact assumption. In the numerical  
172 simulations, the three-dimensional specimen was simplified as the plane stress model with the actual

173 thickness. Accordingly, the triangular element Plane 183 was utilized to establish the finite element  
174 model of the BNS specimen. A discrete crack was set on the bottom surface of the finite element model  
175 to characterize the pre-set crack, as shown in Fig. 3(a). Singular elements were created around the  
176 crack tip to reflect the high local stress gradient, as shown in Fig. 3(b). As for the boundary conditions,  
177 only vertical constraints were applied on all nodes along the bottom line to reflect the frictionless  
178 contact between the BNS specimen and the lower platform. In the numerical analysis, the elastic  
179 modulus  $E$  and Poisson's ratio  $\nu$  of the steel were 210 GPa and 0.3, respectively.

180 In the numerical simulations, crack center opening displacements under different external loads  
181 were extracted. The comparison of the  $P$ - $CCOD$  curves between the experimental and numerical  
182 results is shown in Fig. 4. It can be seen from the figure that, under the same external load, the  
183 experimental crack center opening displacement  $CCOD_{exp}$  values are slightly smaller than the  
184 numerical  $CCOD_{num}$  values. The small differences between  $CCOD_{exp}$  and  $CCOD_{num}$  may be induced  
185 by the residual frictional constraint between the steel cube and the lower platform. Taking the  
186 deformation under the external load of 800 kN as an example,  $CCOD_{exp}$  was 27.55  $\mu\text{m}$  and  $CCOD_{num}$   
187 was 28.22  $\mu\text{m}$ , which gave the relative error (R.E.) between them as 2.37%. According to the  
188 experimental and numerical results of the compliance calibration, it can be concluded that the residual  
189 frictional constraint between the specimen and the lower platform had little influence on the  
190 deformation of the BNS specimen. The frictionless contact assumption in the numerical simulations is  
191 proved to be reasonable.

192 Then, numerical simulations were carried out to analyze the stress distribution and crack opening  
193 profile of the concrete specimen. In the numerical analysis, the material and geometric parameters  
194 were chosen as follows. The elastic modulus  $E$  and Poisson's ratio  $\nu$  of the concrete were set as 30 GPa

195 and 0.2, the side length of the BNS specimen,  $D$ , and the external load  $P$  were set as 100 mm and 30  
196 kN, and the pre-set crack length  $a_0$  values were 20 mm, 30 mm, 50 mm and 70 mm, corresponding to  
197  $a_0/D = 0.2, 0.3, 0.5$  and  $0.7$ , respectively. The element numbers of the finite element models for  $a_0/D$   
198  $= 0.2, 0.3, 0.5$  and  $0.7$  were 410, 394, 378 and 366. Taking  $a_0/D = 0.3$  as an example, the deformation  
199 patterns of the BNS specimen are shown in Figs. 5(a) and (b). By contrast, the deformation patterns of  
200 the TPB specimen are shown in Figs. 5(c) and (d). It can be seen that, comparing with the TPB  
201 specimen, the crack opening profile of the BNS specimen exhibited the obvious cambered patterns due  
202 to the vertical constraints on the bottom surface.

203 The horizontal stress distributions along the ligament and the crack opening profiles are shown in  
204 Figs. 6(a) to (d) for the BNS specimens with  $a_0/D = 0.2, 0.3, 0.5$  and  $0.7$ , respectively. In these figures,  
205 the positive and negative values of the horizontal stresses represented the tensile and compressive  
206 stresses, respectively. It can be seen from Fig. 6 that, except for the local region near the loading point,  
207 the remaining region of the ligament was fully in pure tension. In particular, large stress concentrations  
208 occurred at the crack tip for individual conditions. According to the stress-based fracture criterion (Shi  
209 et al. 2001; Shi 2004), the crack propagated when the tensile stress reached the tensile strength of the  
210 material. Thus, the pre-set crack in the BNS specimen could propagate upward and throughout the  
211 whole cross-section, indicating that the BNS test was feasible to investigate the fracture propriety of  
212 concrete.

### 213 ***Fitting expressions of the stress intensity factor and crack center opening displacement***

214 For convenient applications, it was necessary to derive an expression of the stress intensity factor  
215 (SIF) for the BNS test. According to linear elastic fracture mechanics (LEFM), the stress intensity  
216 factor  $K_I$  can be defined as follows:

217 
$$K_1 = \sigma \sqrt{\pi a} f(a/D) \quad (1)$$

218 where  $a$  is the crack length,  $\sigma$  is the nominal stress,  $D$  is the specimen height along the ligament  
 219 direction, and  $f(a/D)$  is the geometrical function related to the specimen shape. In this study, the SIFs  
 220 of the BNS specimens were calculated by using the displacement extrapolation method (Wu et al.  
 221 2013). To obtain adequate data for the SIFs, a series of numerical analyses were conducted to calculate  
 222 the SIFs of the BNS specimens, where  $a_0/D$  ranged from 0.1 to 0.9 with an interval of 0.1 and  $D$   
 223 ranged from 100 mm to 500 mm with an interval of 50 mm. By applying the data fitting, the expression  
 224 for the SIF in  $\text{MPa}\cdot\text{mm}^{1/2}$  was derived as Eq. (2) below:

225 
$$K_1 = \frac{P}{D^2} \sqrt{\pi a} \left[ 0.129 + 0.160(a/D) + 0.402(a/D)^2 + 0.991(a/D)^3 \right] \quad (2)$$

226 where  $P$  is the external load in N,  $a$  is the crack length in mm, and  $D$  is the side length of the BNS  
 227 specimen in mm.

228 In addition, it was necessary to establish a quantitative relationship between the crack opening  
 229 displacement and the crack length. Because the traditional crack mouth opening displacement  $CMOD$   
 230 was not easy to measure in the BNS tests, the crack center opening displacement  $CCOD$  was utilized  
 231 in this study. Similarly, a series of numerical analyses were conducted to extract the  $CCOD$  values of  
 232 the BNS specimens, where  $a_0/D$  ranged from 0.1 to 0.9 with an interval of 0.1 and  $D$  ranged from 100  
 233 mm to 500 mm with an interval of 50 mm. By applying the data fitting, the expression for  $CCOD$  in  
 234 mm can be derived, as shown in Eq. (3) below:

235 
$$CCOD = \frac{P}{DE} \left[ -0.053 + 0.057 \exp(5.291(a/D)) \right] \quad (3)$$

236 where  $E$  is the elastic modulus of concrete in MPa.

237 To verify the accuracy of Eqs. (2) and (3), the calculation results from the equations were  
 238 compared with those from the numerical analyses, as shown in Figs. 7(a) and (b). It can be seen that

239 the calculation results showed good agreements with the numerical results, indicating that Eqs. (2) and  
240 (3) were accurate for calculating the SIFs and *CCODs* of the BNS specimens with different values of  
241  $D$  and  $a/D$ . It can be seen from Fig. 7(a) that, with the increase of  $a_0/D$ , the  $K_I$  values showed an  
242 increasing tendency, i.e.  $dK_I/da > 0$ . This indicated that the BNS specimens proposed in this study was  
243 the positive geometry. It should be noted that the derivation of Eq. (3) was totally based on the  
244 assumption of LEFM. In fact, the crack would propagate further over an incremental length after the  
245 crack initiation, and the fracture process zone would form ahead of the pre-set crack. The cohesive  
246 stress acting in the fracture process zone would lead to a nonlinear fracture behavior of concrete. For  
247 this reason, the fitting expression based on LEFM, i.e. Eq. (3), would be inaccurate for calculating the  
248 crack length of the BNS specimen and need to be corrected further.

249

## 250 **Experimental program**

### 251 *Specimen preparations*

252 In the experiment, the side length of the BNS specimen,  $D$ , was selected as 100 mm. With the  
253 identical cross-section, the specimen dimensions of the TPB specimen were selected as  $L \times B \times D =$   
254  $500 \text{ mm} \times 100 \text{ mm} \times 100 \text{ mm}$ , where  $L$ ,  $B$  and  $D$  represented the length, width and height of the TPB  
255 specimens, respectively. The pre-set crack length  $a_0$  values were 20 mm, 30 mm, 50 mm and 70 mm,  
256 corresponding to  $a_0/D = 0.2, 0.3, 0.5$  and  $0.7$ , respectively. Ordinary concrete with the strength grade  
257 of C30 was adopted in this study, with the mix proportions of cement: water: sand: aggregate = 1: 0.60:  
258 2.01: 3.69 by weight and the maximum aggregate size of 10 mm. The side length of the BNS specimen  
259 was at least three times larger than the maximum aggregate size, and hence the concrete for the BNS  
260 specimens can be regarded as a homogeneous material. According to the research by Xu and Reinhardt

261 (1999a, b), for the homogeneous concrete, the double- $K$  fracture parameters, i.e. the initial and unstable  
262 fracture toughnesses, can be regarded as the material properties and are not affected by the specimen  
263 size. Thus, only one specimen size was adopted in this study to investigate the feasibility of the BNS  
264 test for determining the double- $K$  fracture parameters of concrete.

265 In the specimen preparation process, the concrete cubes were cast using the standard steel molds  
266 and the errors of the specimen sizes were within 1 mm. After casting, a layer of plastic film was used  
267 to cover the specimen surface to prevent the internal moisture from evaporation. All the specimens  
268 were placed in the laboratory environment for 24 hours after casting and then moved to the curing  
269 chamber until the age of 80 days. Basic mechanical properties of concrete at the age of 80 days were  
270 determined according the Chinese standard (see GB/T 50081-2002 - Standard for test method of  
271 mechanical properties on ordinary concrete). The compressive strength was measured by compression  
272 testing on the 150 mm cube specimens, the tensile strength was measured by splitting tension testing  
273 on the 150 mm cube specimens as well, and the elastic modulus was measured from compression  
274 testing on the prism specimens of 300 mm  $\times$  150 mm  $\times$  150 mm. The obtained results were shown as  
275 follows: the compressive strength was 45.10 MPa, the tensile strength was 3.31 MPa, and the elastic  
276 modulus was 33.10 GPa. For both BNS and TPB specimens, the pre-set cracks were cut by using a  
277 diamond saw with the 3 mm thick saw blade. The labels of the specimens were denoted as “Specimen  
278 type -  $a_0/D$ ”. For example, “BNS - 0.3” and “TPB - 0.5” represent the BNS specimen with  $a_0/D = 0.3$   
279 and the TPB specimen with  $a_0/D = 0.5$ , respectively.

### 280 ***DIC tests***

281 The digital image correlation (DIC) is an optical, non-contact measurement technique and is able  
282 to analyze the displacement field of the specimen surface by comparing the images before and after

283 deformation (Wu et al. 2011). The digital image correlation (DIC) technique has been employed to  
284 observe the crack initiation and propagation process of the BNS specimens and would provide  
285 straightforward evidence on the failure patterns of the BNS specimens. To create obvious pixels for  
286 the image analysis, the speckle patterns were painted on one surface of the BNS specimen by white  
287 and black spray paints. The loading components were customized and assembled in a 250 kN closed-  
288 loop hydraulic servo-control testing machine (MTS), as shown in Fig. 8(a). The pre-set crack coincided  
289 with the axis of the upper device with the monitor of the laser centering instrument. An industrial  
290 camera was placed perpendicular to the speckle patterns at the distance of 1.0 m to the specimen. By  
291 adjusting the position and focal distance of the camera, the speckle patterns on the specimen surface  
292 can be clearly recorded, as shown in Fig. 8(b). The DIC tests were conducted under displacement-  
293 control mode at a loading rate of 0.06 mm/min and an image recording rate of 120 images/min.

294 In the data processing, a computational domain with the area of 50 mm × 70 mm was selected to  
295 cover the whole ligament, as shown in Fig. 9. By picking up one computational node from every five  
296 pixels in both  $x$  and  $y$  directions, a node analytical system was extracted to calculate the strain and  
297 displacement fields. Based on the node system, a series of analytical lines, i.e.  $M_0N_0$ ,  $M_1N_1$ ,  $M_2N_2$ , ...,  
298  $M_nN_n$ , were set with an interval of five pixels. According to the jump points of the horizontal  
299 displacements in the analytical lines, the crack profiles and opening displacements can be determined.  
300 More details about the data processing of the DIC tests can be found in some previous studies (Wu et  
301 al. 2011; Yuan et al. 2021).

### 302 ***BNS tests and TPB tests***

303 The BNS tests were conducted to determine the initial fracture toughness, critical crack length,  
304 and unstable fracture toughness of the concrete. The experimental set-up and loading rate were the

305 same as those in the DIC tests. To determine the initial fracture load, two strain gages were pasted  
306 horizontally on both sides of the pre-set crack tip with a distance of 5 mm, as shown in Fig. 10(a).  
307 When the pre-set crack initiated, the strain energy accumulated near the pre-set crack tip would be  
308 released suddenly, leading to large decreases in the strain gage readings. Thus, the initial fracture load  
309 can be determined by capturing the turning point on the load - strain ( $P - \varepsilon$ ) curve, as shown in Fig.  
310 10(b). In addition, a clip gage was mounted at the center of the pre-set crack to measure the crack  
311 center opening displacement  $CCOD$ . Moreover, clip gages were mounted along the ligament at an  
312 interval of 20 mm from the pre-set crack tip to determine the crack length. Taking specimen BNS-0.3-  
313 1 as an example, four clip gages, i.e. C1, C2, C3 and C4, were mounted along the ligament with the  
314 distances of 0 mm, 20 mm, 40 mm and 60 mm from the pre-set crack, as shown in Fig. 11(a). In fact,  
315 the displacement  $d$  values measured by the clip gages consisted of the crack opening displacements  
316 and the elastic deformations within the scale distance. At crack initiation status, the crack tip opening  
317 displacement was zero, and the opening displacement measured by the clip gage C1 in Fig. 11(a) was  
318 just the elastic deformation. In this study, the elastic deformation at crack initiation status, denoted as  
319  $d_{ini}$ , was regarded as a standard to judge the cracking status. It was assumed that a certain point along  
320 the ligament would be in critical cracking state once the displacement measured by the clip gage  
321 reached  $d_{ini}$ . In the experiment, the average values of  $d_{ini}$  were 13.56  $\mu\text{m}$ , 16.18  $\mu\text{m}$ , 15.80  $\mu\text{m}$  and  
322 15.06  $\mu\text{m}$  for the BNS specimens with  $a_0/D = 0.2, 0.3, 0.5$  and  $0.7$ , respectively. It can be seen that  $d_{ini}$   
323 was hardly affected by  $a_0/D$ . Thus, the average  $d_{ini}$  value of 15.15  $\mu\text{m}$  was adopted to judge the critical  
324 cracking status and to further determine the crack length. It has been proved that the displacement  $d$   
325 values measured by the clip gages showed a linear distribution along the ligament length (Dong et al.  
326 2022; Yuan et al. 2021). Therefore, the crack length  $a$  can be determined by applying the linear



327 interpolation of  $d_{ini}$  on the fitting curve for  $d$ , as shown in Fig. 11(b).

328 For comparison, TPB tests were conducted to determine the initial fracture toughness, critical  
329 crack length, and unstable fracture toughness of the concrete. The adopted  $a_0/D$  values were the same  
330 as those in the BNS tests, i.e.  $a_0/D = 0.2, 0.3, 0.5$  and  $0.7$ . The ratio of the supporting span  $S$  to the  
331 specimen height  $D$  was selected as 4, i.e.  $S/D = 4$ . Similar to the BNS tests, the loading rate was  
332 maintained as  $0.06$  mm/min and the strain gage method was used to capture the initial fracture load.  
333 In addition, two clip gages were used to measure the loading point displacement and the crack mouth  
334 opening displacement. Typical TPB specimens and the experimental setup of the TPB test are shown  
335 in Figs. 12(a) and (b), respectively.

336

## 337 **Results and discussion**

### 338 *Failure patterns of the BNS specimens*

339 In the BNS test, unstable crack propagation occurred suddenly after reaching the maximum load.  
340 Thus, there were no perfect descending branches recorded during the BNS tests. Taking the specimens  
341 in the DIC tests as examples, the load - crack center opening displacement ( $P - CCOD$ ) curves are  
342 shown in Fig. 13 for  $a_0/D = 0.2, 0.3, 0.5$  and  $0.7$ . To demonstrate the failure patterns of the BNS  
343 specimens, five stages were selected on the  $P - CCOD$  curves and the DIC analyses were conducted to  
344 extract the strain fields of these stages. The five stages were distinguished by five loading levels:  $P_1$   
345 ( $50\%P_{max}$ ),  $P_2$  ( $85\%P_{max}$ ),  $P_3$  ( $98\%P_{max}$ ),  $P_4$  ( $100\%P_{max}$ ) and  $P_5$  ( $98\%P_{max}$  on the descending branch),  
346 which are illustrated in Fig. 13. The strain fields by the DIC analyses and the failure patterns in the  
347 experiments are shown in Fig. 14 for the BSN specimens with  $a_0/D = 0.2, 0.3, 0.5$  and  $0.7$ .

348 It can be seen from the strain fields that the pre-set cracks initiated and then propagated upward

349 until they went throughout the whole cross-section, which showed good agreements with the failure  
 350 patterns in the experiment. In addition, the stable crack propagations before the maximum load were  
 351 captured for individual conditions. According to the DIC results, the BNS test proposed in this study  
 352 was strongly verified to be available to determine the fracture properties of concrete.

### 353 *Initial fracture toughness*

354 According to the determination method shown in Fig. 10(b), the initial fracture load  $P_{ini}$  values  
 355 were determined for both BNS specimens and TPB specimens, as listed in Table 1. Due to the different  
 356 loading patterns, the values of  $P_{ini}$  for the BNS specimens were much larger than those for the TPB  
 357 specimens. The initial fracture toughness  $K_{IC}^{ini}$  was the SIF corresponding to the initial fracture load  
 358  $P_{ini}$  and the pre-set crack length  $a_0$ . For the BNS specimens, the equation to calculate  $K_{IC}^{ini}$  can be  
 359 derived by substituting  $P_{ini}$  and  $a_0$  into Eq. (2) and this is expressed as Eq. (4). The values of  $K_{IC}^{ini}$   
 360 calculated by Eq. (4) are shown in Fig. 15(a), together with their mean values. According to the trend  
 361 line of the mean values, i.e. the solid line in the figure, it can be concluded that the initial fracture  
 362 toughness determined by the BNS test was not affected by  $a_0/D$ , and this was similar to other  
 363 previously reported results (Qing et al. 2018; Xu and Reinhardt 1999b).

$$364 \quad K_{IC}^{ini} = \frac{P_{ini}}{D^2} \sqrt{\pi a_0} \left[ 0.129 + 0.160(a_0/D) + 0.402(a_0/D)^2 + 0.991(a_0/D)^3 \right] \quad (4)$$

365 For the TPB tests, the SIF can be calculated by the equation proposed by Xu et al. (2000), as  
 366 shown in Eq. (5) below:

$$367 \quad K = \frac{3PS}{2D^2B} \sqrt{a} F(a/D) \quad (5)$$

368 where  $P$  is the external load,  $S$ ,  $B$  and  $D$  are the supporting span, width and height of the TPB specimen,  
 369 and  $a$  is the crack length. For  $S/D = 4$ , the shape function  $F(a/D)$  is expressed as Eq. (6) below:

$$F(a/D) = \frac{1.99 - (a/D)(1 - (a/D)) \left[ 2.15 - 3.93(a/D) + 2.7(a/D)^2 \right]}{(1 + 2(a/D))(1 - (a/D))^{3/2}} \quad (6)$$

The initial fracture toughness  $K_{IC}^{ini}$  of the TPB specimen can be determined by substituting the initial fracture load  $P_{ini}$  and the pre-set crack length  $a_0$  into Eq. (5). The comparisons of the  $K_{IC}^{ini}$  values for the BNS and TPB specimens are shown in Fig. 15(b). It can be seen that the  $K_{IC}^{ini}$  values determined from the BNS tests showed good agreements with those from the TPB tests, with the relative errors below 10%. It can be concluded that the BNS test was an effective experimental method to determine the initial fracture toughness of concrete.

### 377 ***Critical crack length***

The critical crack length  $a_c$  is a crucial parameter to calculate the unstable fracture toughness. For the BNS specimens, two methods were adopted to determine the critical crack length, i.e. the clip gage method and the DIC method. For the clip gage method, the critical crack length  $a_c$  can be determined by applying the linear interpolation of  $CTOD_{ini}$  on the fitting curves for  $w$  under the maximum load, as listed in Table 1. For the DIC method, the critical crack length  $a_c$  can be determined from the crack profiles under the maximum loads, as shown in Fig. 16.

In addition, the values of the crack opening displacement  $u$  along the ligament are shown in the right side of the figures. According to the crack profiles, the  $a_c$  values of the BNS specimens with  $a_0/D = 0.2, 0.3, 0.5$  and  $0.7$  were determined as 43.20 mm, 45.50 mm, 60.89 mm and 80.42 mm, respectively. The critical crack lengths  $a_c$  values of the BNS specimens are shown in Fig. 17(a). It can be seen that the mean values of  $a_c$  exhibited an increasing tendency with the increase of  $a_0/D$ .

Meanwhile, an explicit expression to calculate the  $a_c$  values, in mm, of the BNS specimens was derived from Eq. (3), which is expressed as Eq. (7) below:

$$a_c = 0.189D \ln \left[ (17.544 \cdot D \cdot E \cdot CCOD_c) / P_{max} + 0.930 \right] \quad (7)$$

392 where  $P_{\max}$  is the maximum load in N, and  $CCOD_c$  is the critical crack center opening displacement in  
393 mm under the maximum load. The calculated  $a_c$  values using Eq. (7) are listed in Table 1, together  
394 with the relative errors (REs) between the experimental and analytical results. It can be seen from the  
395 table that the calculated  $a_c$  values by Eq. (7) were higher than the experimental  $a_c$  values by 16.27%,  
396 13.35%, 8.27% and 7.04% for  $a_0/D = 0.2, 0.3, 0.5$  and  $0.7$ , respectively. The slight overestimations by  
397 Eq. (7) were mainly resulted from the assumed use of linear elastic fracture mechanics (LEFM) in the  
398 derivation process of the expressions. In fact, the crack would propagate further over an incremental  
399 length at the maximum load and a cohesive stress could act in the fracture process zone. This would  
400 lead to a nonlinear fracture behavior of concrete, so that LEFM could not be appropriate for assessing  
401 the critical crack length. With the increase of  $a_0/D$ , the ligament length of the BNS specimen decreased  
402 and nonlinear behavior of the fracture process became lesser, which resulted in the decreases in the  
403 relative errors. It was worthy to point out that, in the two-parameter fracture model proposed by Shah  
404 (1990), it was reported that the value of  $a_c$  was overestimated by 10-25% with the assumed use of  
405 LEFM.

406 In this study, the ratio of the measured  $a_c$  to the calculated one was denoted as the correctional  
407 coefficients  $R_{ac}$ . It can be seen from Fig. 17(b) that the values of  $R_{ac}$  exhibited an approximate linear  
408 tendency with  $a_0/D$ . The relationship between  $R_{ac}$  and  $a_0/D$  could be obtained by applying the linear  
409 fitting, as expressed in Eq. (8). Furthermore, an improved expression of  $a_c$  was proposed by  
410 multiplying the correctional coefficient  $R_{ac}$  onto Eq. (7), as shown in Eq. (9). The values of  $a_c$   
411 calculated by Eq. (9) are shown in Fig. 17(a), together with those from the experiment. It can be seen  
412 that the values of  $a_c$  determined by the experiment and Eq. (9) showed good agreements, which  
413 indicated that Eq. (9) proposed in this study was accurate to calculate the critical crack lengths of the

414 BNS specimens. It should be noted that the correctional coefficient  $R_{ac}$  may be affected by the specimen  
 415 sizes and the reasonability of Eq. (9) for other specimen sizes need to be further verified.

$$416 \quad R_{ac} = 0.836 + 0.152(a_0/D) \quad (8)$$

$$417 \quad a_c = 0.189D \cdot \ln \left[ (17.544 \cdot D \cdot E \cdot CCOD_c / P_{max} + 0.930) \right] \cdot (0.836 + 0.152(a_0/D)) \quad (9)$$

418 For the TPB specimens, Xu et al. (2000) proposed an analytical expression to calculate the critical  
 419 crack length  $a_c$ , as shown in Eq. (10) below:

$$420 \quad a_c = \frac{2}{\pi} (D + H_0) \arctan \sqrt{\frac{B \cdot E \cdot CMOD_c}{32.6 P_{max}} - 0.1135 - H_0} \quad (10)$$

421 where  $B$  and  $D$  are the width and height of the TPB specimen,  $H_0$  is the thickness of the knife edge,  $E$   
 422 is the elastic modulus of concrete, and  $CMOD_c$  is the critical crack mouth opening displacement under  
 423 the maximum load. The calculation results of  $a_c$  for the TPB specimens are shown in Fig. 16(a). It can  
 424 be seen that the critical crack lengths of the TPB specimens increase with the increase of  $a_0/D$ .

#### 425 ***Unstable fracture toughness***

426 The unstable fracture toughness  $K_{IC}^{un}$  was the SIF corresponding to the maximum load  $P_{max}$  and  
 427 the critical crack length  $a_c$ . For the BNS specimens, the equation to calculate  $K_{IC}^{un}$  can be derived by  
 428 substituting  $P_{max}$  and  $a_c$  into Eq. (2), which is expressed as Eq. (11). By substituting the measured and  
 429 calculated  $a_c$  values, two distinct values of  $K_{IC}^{un}$  could be obtained, denoted as  $K_{IC\_Exp}^{un}$  and  $K_{IC\_Cal}^{un}$ ,  
 430 which are listed in Table 1 and shown in Fig. 19(a). It can be seen that the two distinct values of  $K_{IC}^{un}$   
 431 showed good agreements, with the relative errors below 10%. For the TPB specimens,  $K_{IC}^{un}$  can be  
 432 calculated by substituting  $P_{max}$  and  $a_c$  into the expression of the SIF, i.e. Eq. (5). For a clear comparison,  
 433 the mean values of the unstable fracture toughness ( $K_{IC\_Cal}^{un}$ ) determined by the BNS tests and TPB tests  
 434 are shown in Fig. 19(b). It can be seen that the  $K_{IC}^{un}$  values determined by the BNS tests were hardly  
 435 affected by  $a_0/D$ . According to the existing investigations (Lei et al. 2021; Xu and Reinhardt 1999b;

436 Zhang and Xu 2011), the unstable fracture toughness can be regarded as a material property and was  
437 not affected by the ratio of the pre-set crack length to the specimen height. Thus, it can be concluded  
438 that the BNS test can be an effective experimental method to determine the unstable fracture toughness  
439 of concrete.

$$440 \quad K_{IC}^{un} = \frac{P_{max}}{D^2} \sqrt{\pi a_c} \left[ 0.129 + 0.160(a_c/D) + 0.402(a_c/D)^2 + 0.991(a_c/D)^3 \right] \quad (11)$$

441

## 442 **Conclusions**

443 A new test method, named as the bottom-notched splitting (BNS) test, was proposed in this study  
444 to determine the double- $K$  fracture parameters of concrete. Numerical analyses were firstly conducted  
445 to predict the mechanical responses of the BNS specimens. By applying the data fitting method, the  
446 fitting expressions of the stress intensity factor and crack center opening displacement of the BNS  
447 specimen were derived. Then, the digital image correlation (DIC) technique was employed to explore  
448 the crack profiles of the BNS specimens, and a series of the BNS and three-point bending (TPB) tests  
449 were conducted to measure the double- $K$  fracture parameters of concrete. Based on the numerical  
450 analyses and experimental results, the following conclusions can be drawn:

451 (a) In the BNS test, the concrete cube specimen with a pre-set crack on the bottom surface was  
452 subjected to a line compressive load on the top surface with the frictionless contact on the bottom  
453 surface. Under this loading form, the pre-set crack would propagate upwards until it went  
454 throughout the whole cross-section for the ratios of the pre-set crack length to the specimen height,  
455 ( $a_0/D$ ), ranging from 0.2 to 0.7. Comparing with the traditional test methods, the BNS test was  
456 convenient to operate and could reduce the damage risk of the pre-set crack. Meanwhile, it was  
457 also appropriate to assess the fracture properties of existing concrete structures in service because

458 the cubes are easy to obtain from the samples core-drilled from existing concrete structures.

459 (b) Based on linear elastic fracture mechanics, a fitting expression to calculate the stress intensity  
460 factor and crack length of the BNS specimen was proposed. By introducing the correction  
461 coefficients derived from the experimental results, an improved expression to calculate the critical  
462 crack length of the BNS specimen was derived. By substituting the maximum load and critical  
463 crack center opening displacement into the expression, the critical crack length in the BNS test  
464 can be calculated. The relative errors between the critical crack lengths from the improved  
465 expression and experimental detections were within 3.6%, verifying the accuracy of the improved  
466 expression.

467 (c) According to the expressions of the stress intensity factor and critical crack length proposed in this  
468 study, the double- $K$  fracture parameters, i.e. initial and unstable fracture toughnesses, can be  
469 calculated by using the initial fracture load, maximum load, and critical crack center opening  
470 displacement. The double- $K$  fracture parameters determined by the BNS tests were hardly affected  
471 by  $a_0/D$ . By comparing with the TPB test, the BNS test was proved to be an effective method to  
472 measure the double- $K$  fracture parameters of concrete.

473

#### 474 **Data Availability Statement**

475 All data, models, or codes that support the findings of this study are available from the  
476 corresponding author upon reasonable request.

477

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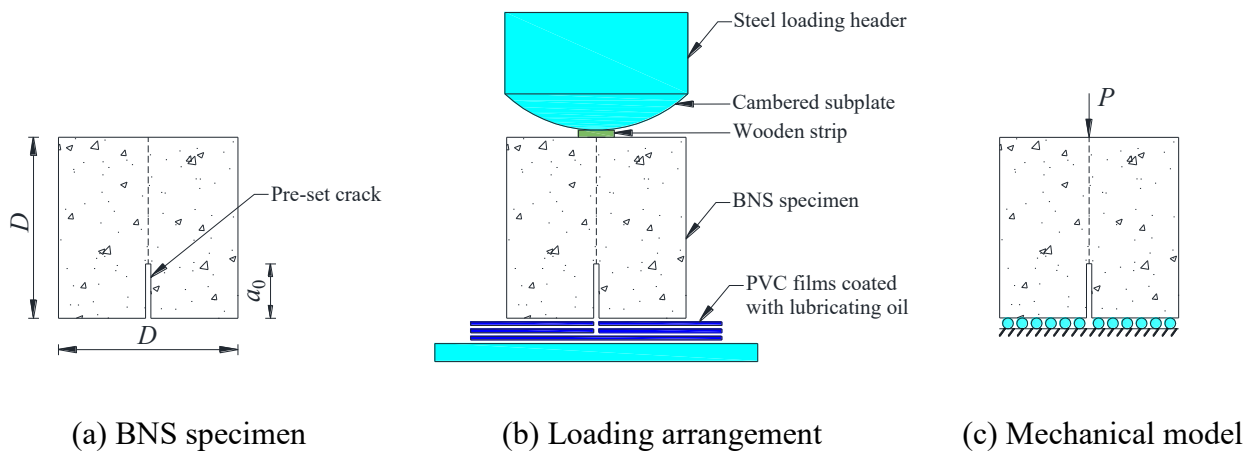
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Table 1 Experimental results of the BNS tests and TPB tests

Bottom-notched splitting (BNS) tests									Three-point bending (TPB) tests					
Specimen	$P_{ini}$ (kN)	$P_{max}$ (kN)	$a_c$ (mm) and RE (%)			SIF (MPa·m <sup>1/2</sup> ) and RE (%)			Specimen	$P_{ini}$ (kN)	$P_{max}$ (kN)	$a_c$ (mm) Eq. (5)	SIF (MPa·m <sup>1/2</sup> )	
			Exp.	Eq. (7) (RE)	Eq. (9) (RE)	$K_{IC}^{ini}$	$K_{IC\_Exp.}^{un}$	$K_{IC\_Cal.}^{un}$ (RE)					$K_{IC}^{ini}$	$K_{IC}^{un}$
BNS-0.2-1	110.16	115.41	39.23	44.98 (14.66)	38.97 (0.66)	0.51	1.27	1.26 (1.09)	TPB-0.2-1	3.55	4.43	46.74	0.53	1.36
BNS-0.2-2	109.23	121.09	40.56	49.86 (22.93)	43.20 (6.51)	0.51	1.41	1.57 (11.69)	TPB-0.2-2	3.50	5.10	40.49	0.52	1.30
BNS-0.2-DIC	99.04	102.61	43.20	48.05 (11.23)	41.63 (3.63)	0.46	1.33	1.25 (6.04)	TPB-0.2-3	3.62	4.44	48.00	0.54	1.42
<b>Mean</b>	<b>106.14</b>	<b>113.04</b>	<b>41.00</b>	<b>47.63 (16.27)</b>	<b>41.27 (3.60)</b>	<b>0.49</b>	<b>1.34</b>	<b>1.36 (6.27)</b>	<b>Mean</b>	<b>3.56</b>	<b>4.66</b>	<b>45.08</b>	<b>0.53</b>	<b>1.36</b>
BNS-0.3-1	76.08	91.31	45.50	50.51 (11.01)	44.53 (2.13)	0.56	1.31	1.25 (4.20)	TPB-0.3-1	2.81	3.75	48.92	0.54	1.22
BNS-0.3-2	69.36	85.61	48.46	55.94 (15.44)	49.32 (1.77)	0.51	1.38	1.43 (3.67)	TPB-0.3-2	2.91	4.15	48.03	0.56	1.32
BNS-0.3-DIC	59.83	69.66	55.93	63.54 (13.61)	56.02 (0.16)	0.44	1.51	1.52 (0.43)	TPB-0.3-3	2.70	3.84	49.00	0.52	1.25
<b>Mean</b>	<b>68.42</b>	<b>82.19</b>	<b>49.96</b>	<b>56.66 (13.35)</b>	<b>49.95 (1.35)</b>	<b>0.50</b>	<b>1.40</b>	<b>1.40 (2.77)</b>	<b>Mean</b>	<b>2.81</b>	<b>3.91</b>	<b>48.65</b>	<b>0.54</b>	<b>1.26</b>
BNS-0.5-1	26.79	39.77	60.89	66.38 (9.02)	60.54 (0.58)	0.46	1.04	1.03 (1.10)	TPB-0.5-1	1.64	2.03	63.17	0.55	1.11
BNS-0.5-2	38.86	51.53	61.97	66.21 (6.84)	60.38 (2.56)	0.67	1.41	1.33 (6.03)	TPB-0.5-2	1.68	2.28	59.25	0.57	1.06
BNS-0.5-DIC	29.05	44.75	66.56	72.51 (8.94)	66.13 (0.65)	0.50	1.44	1.42 (1.25)	TPB-0.5-3	1.66	2.17	61.17	0.56	1.09
<b>Mean</b>	<b>31.57</b>	<b>45.35</b>	<b>63.14</b>	<b>68.36 (8.27)</b>	<b>62.35 (1.26)</b>	<b>0.54</b>	<b>1.30</b>	<b>1.26 (2.79)</b>	<b>Mean</b>	<b>1.66</b>	<b>2.16</b>	<b>61.20</b>	<b>0.56</b>	<b>1.09</b>
BNS-0.7-1	12.66	22.90	83.65	87.56 (4.67)	82.52 (1.35)	0.46	1.32	1.27 (3.58)	TPB-0.7-1	0.84	0.91	78.34	0.55	1.10
BNS-0.7-2	14.82	27.20	81.59	84.56 (3.64)	79.69 (2.33)	0.54	1.47	1.38 (6.19)	TPB-0.7-2	0.88	0.95	77.36	0.58	1.08
BNS-0.7-DIC	19.36	22.99	80.42	90.73 (12.82)	85.50 (6.32)	0.71	1.19	1.41 (18.07)	TPB-0.7-3	0.74	0.81	80.26	0.48	1.13
<b>Mean</b>	<b>15.61</b>	<b>21.70</b>	<b>81.89</b>	<b>87.62 (7.04)</b>	<b>82.57 (3.44)</b>	<b>0.57</b>	<b>1.33</b>	<b>1.35 (9.28)</b>	<b>Mean</b>	<b>0.82</b>	<b>0.89</b>	<b>78.65</b>	<b>0.54</b>	<b>1.10</b>

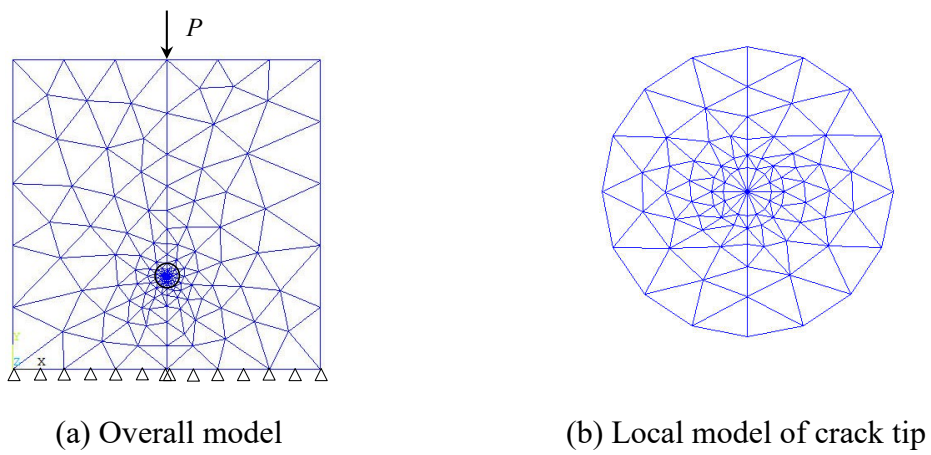
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Fig. 1 Arrangement of the BNS test and the mechanical modeling

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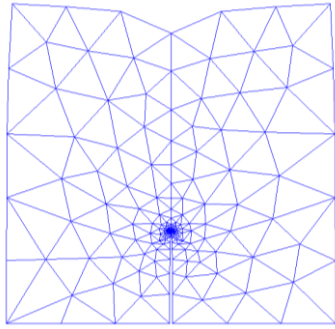


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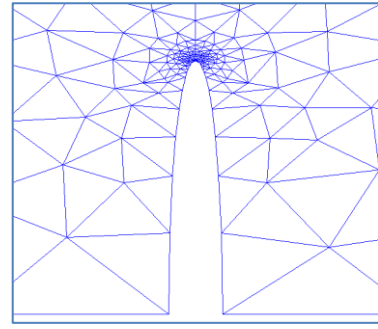
Fig. 2 Finite element model of the BNS test

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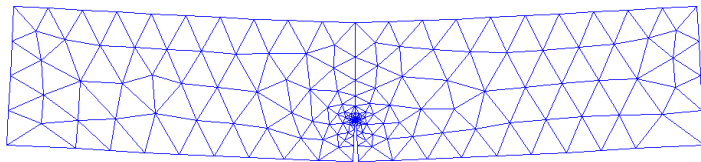
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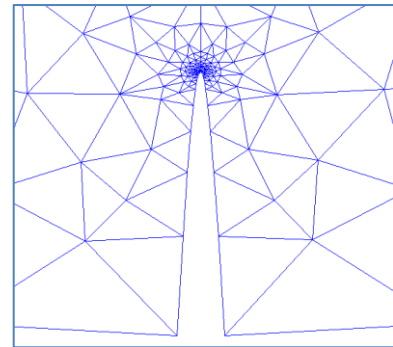
(a) Overall view of the BNS specimen



(b) Enlarged view of the BNS specimen



(c) Overall view of the TPB specimen

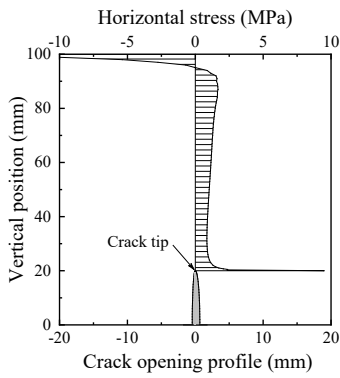


(d) Enlarged view of the TPB specimen

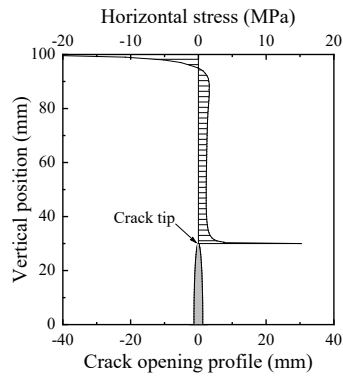
Fig. 3 Deformation patterns of the BNS and TPB specimens

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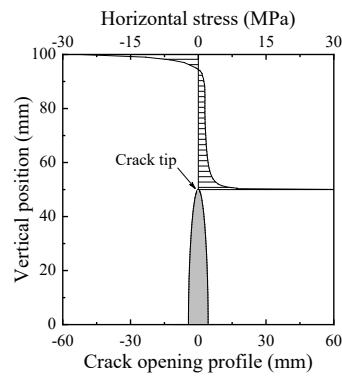
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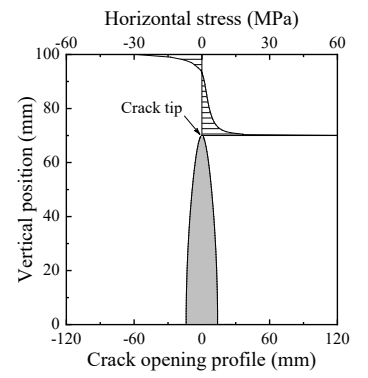
(a)  $a_0/D = 0.2$



(b)  $a_0/D = 0.3$



(c)  $a_0/D = 0.5$



(d)  $a_0/D = 0.7$

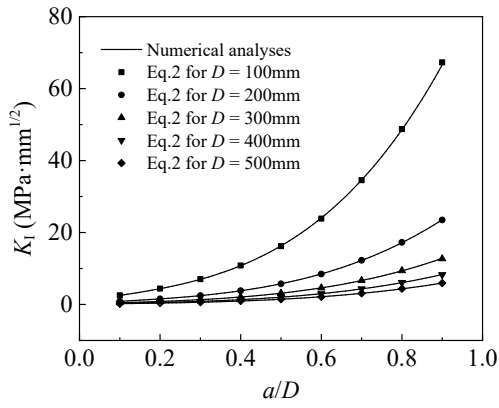
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Fig. 4 Horizontal stress distributions along the ligament and crack opening profiles of the BNS

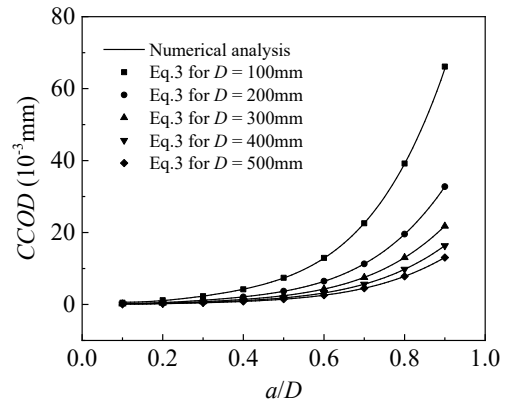
596

specimens

597



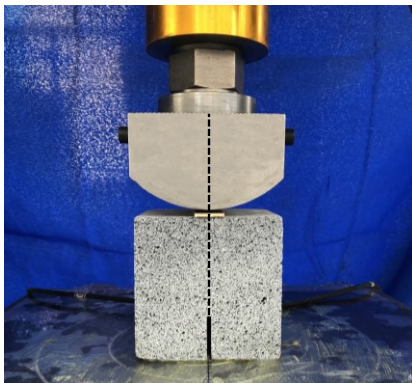
(a) SIF versus  $a/D$



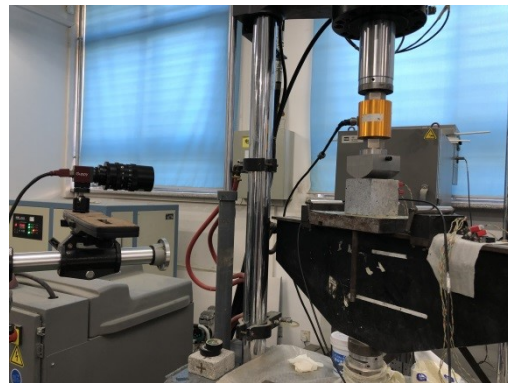
(b) CCOD versus  $a/D$

599 Fig. 5 Comparisons of the SIFs and CCODs between the fitting expressions and numerical analyses

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(a) Loading components



(b) Experimental set-up

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Fig. 6 DIC test on the BNS specimen

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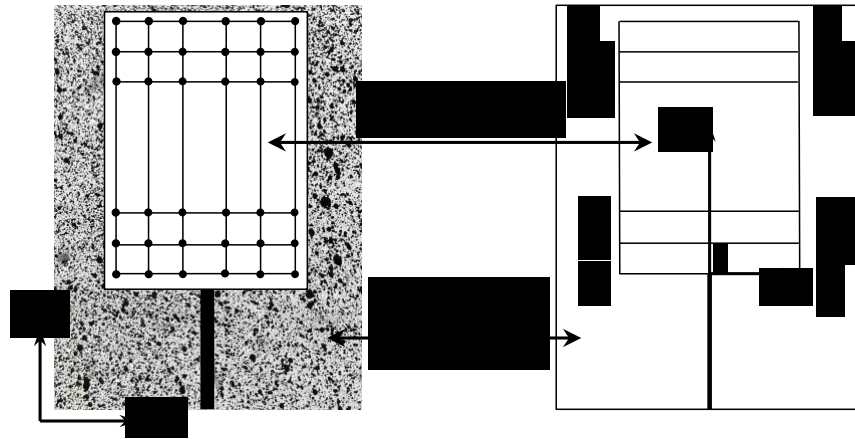
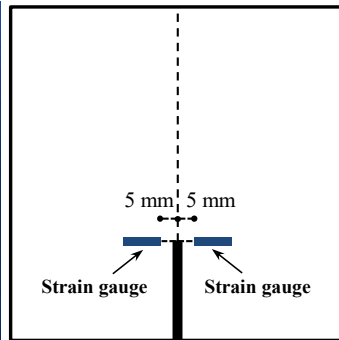


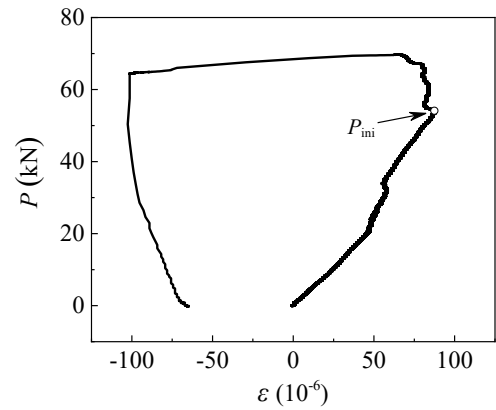
Fig. 7 Computational domain of BNS - 0.3 - DIC specimen

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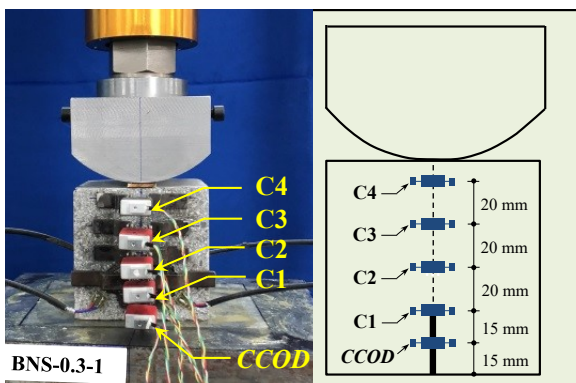
(a) Arrangement of strain gauges



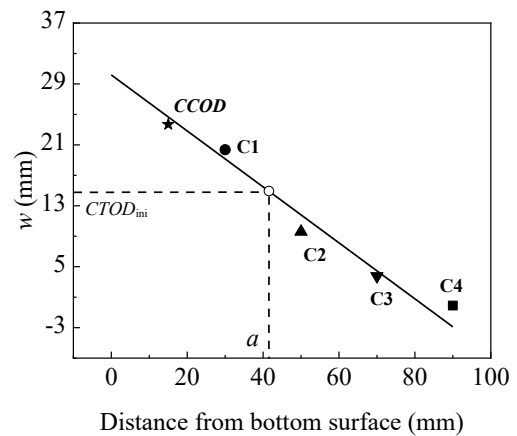
(b) Determination method

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Fig. 8 Determination of the initial fracture load in the BNS test



(a) Arrangement of clip gages

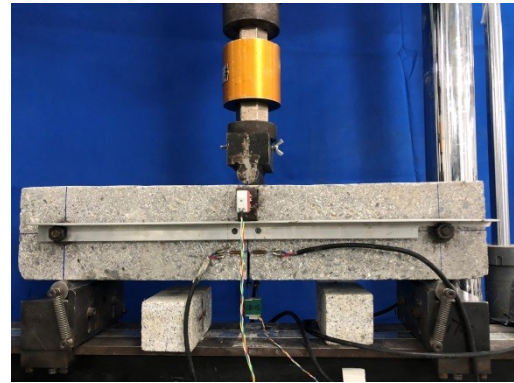
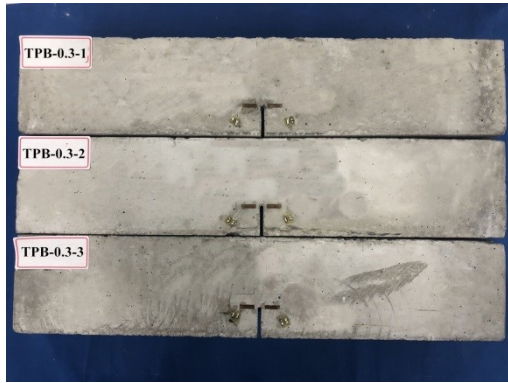


(b) Determination method

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Fig. 9 Determination of the crack length in the BNS test



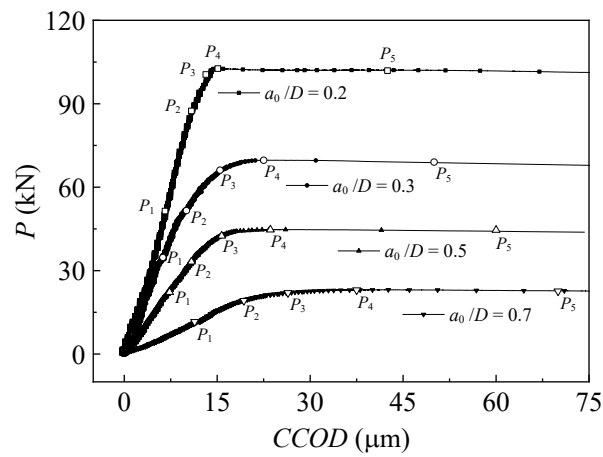


(a) Typical TPB specimens

(b) Experimental set-up of the TBB test

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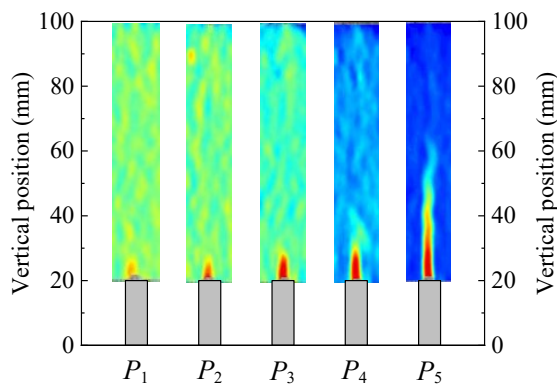
Fig. 10 Typical TBS specimens and the set-up of the TPB test



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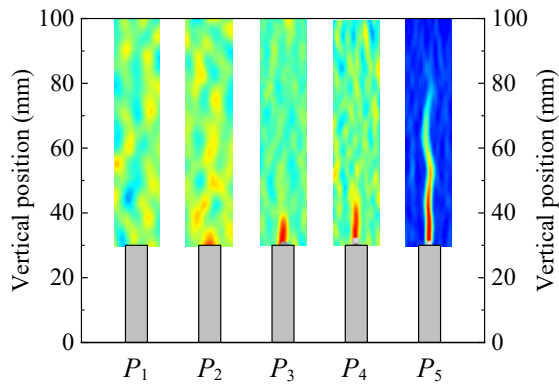
Fig. 11  $P - CCOD$  curves of the BNS specimens



(a) Strain fields for  $a_0/D = 0.2$



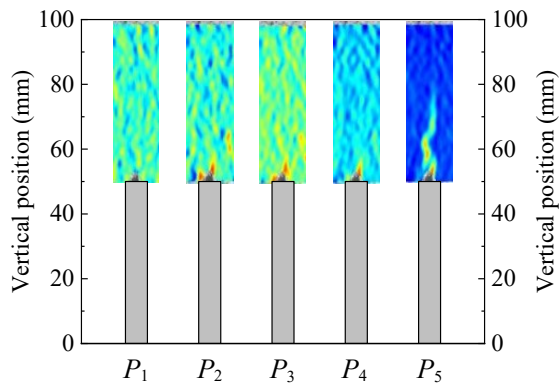
(b) Failure pattern for  $a_0/D = 0.2$



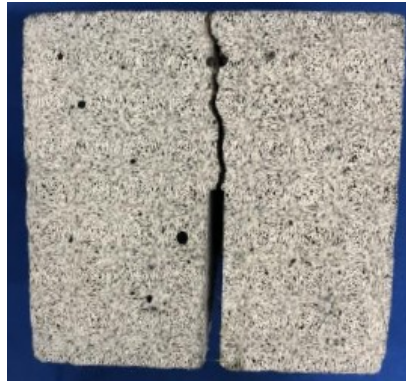
(c) Strain fields for  $a_0/D = 0.3$



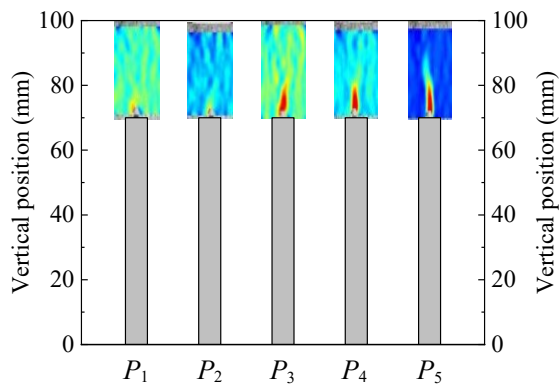
(d) Failure pattern for  $a_0/D = 0.3$



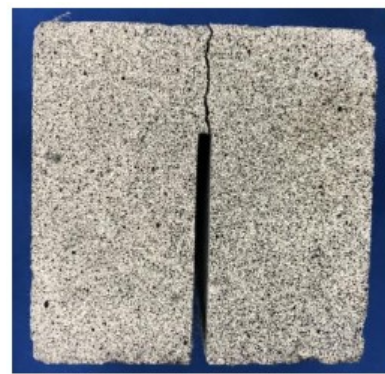
(e) Strain fields for  $a_0/D = 0.5$



(f) Failure pattern for  $a_0/D = 0.5$



(g) Strain fields for  $a_0/D = 0.7$

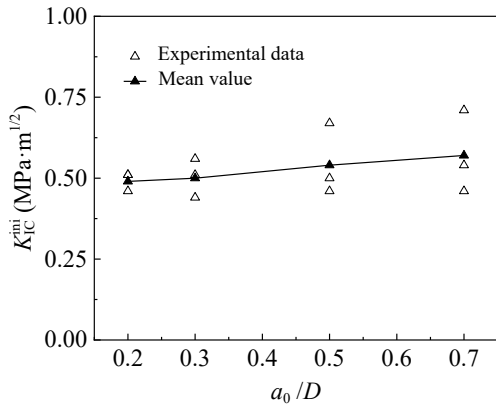


(h) Failure pattern for  $a_0/D = 0.7$

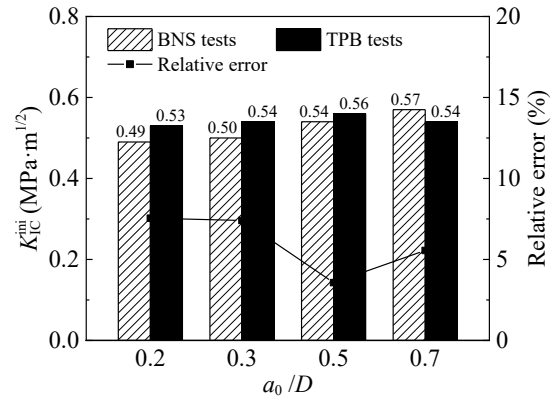
610 Fig. 12 Strain fields and failure patterns of the BNS specimens with different  $a_0/D$  values

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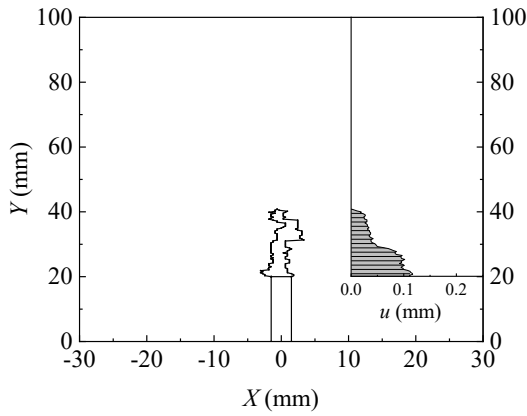
(a)  $K_{IC}^{ini}$  determined by the BNS test



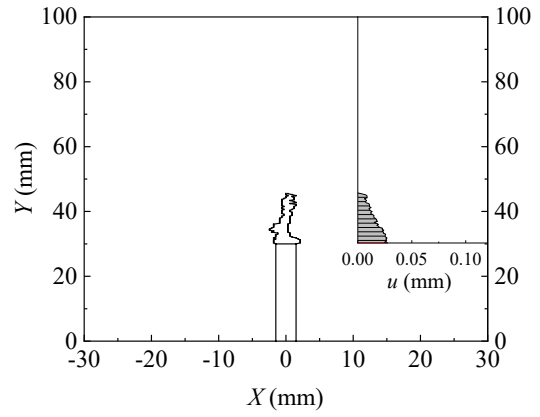
(b) Comparisons of the  $K_{IC}^{ini}$  values

613

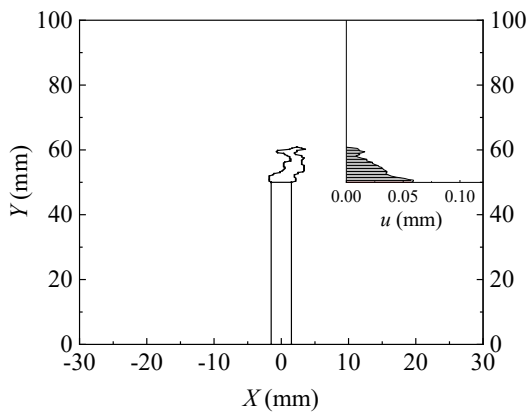
Fig. 13 Initial fracture toughness  $K_{IC}^{ini}$  determined by the BNS and TPB tests



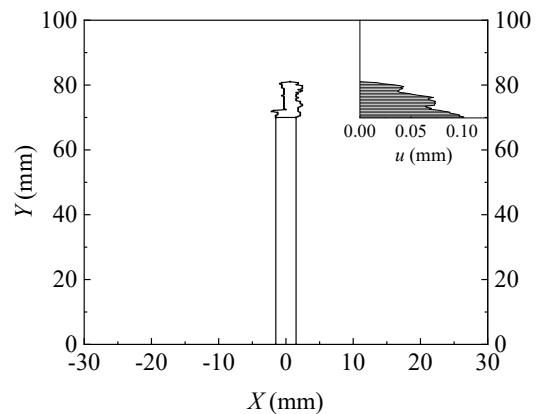
(a)  $a_0/D = 0.2$



(b)  $a_0/D = 0.3$



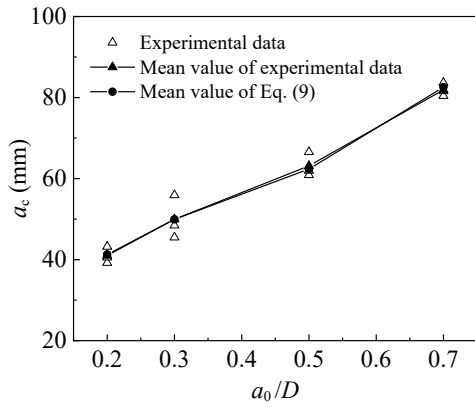
(c)  $a_0/D = 0.5$



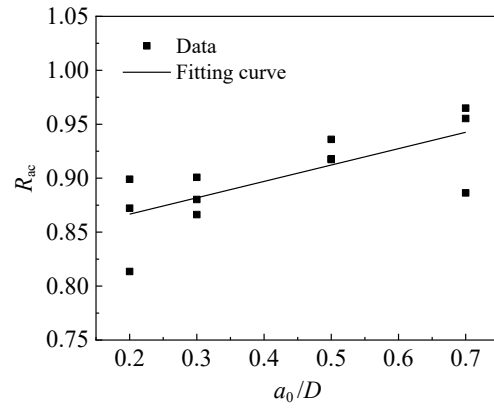
(d)  $a_0/D = 0.7$

614

Fig. 14 Crack profiles of the BNS specimens under the maximum load



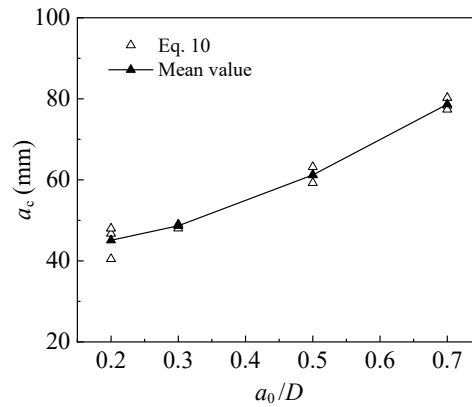
(a) Critical crack length  $a_c$  versus  $a_0/D$



(b) Correctional coefficient  $R_{ac}$  versus  $a_0/D$

615

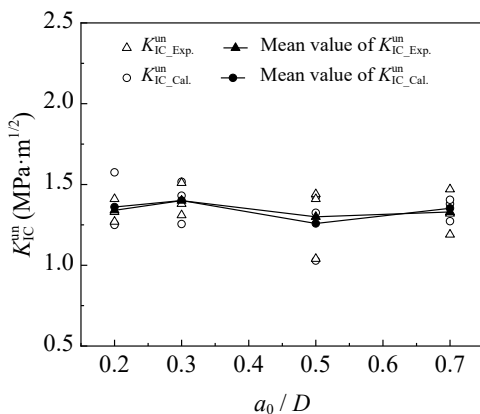
Fig. 15 Critical crack length  $a_c$  and the correctional coefficient  $R_{ac}$  versus  $a_0/D$



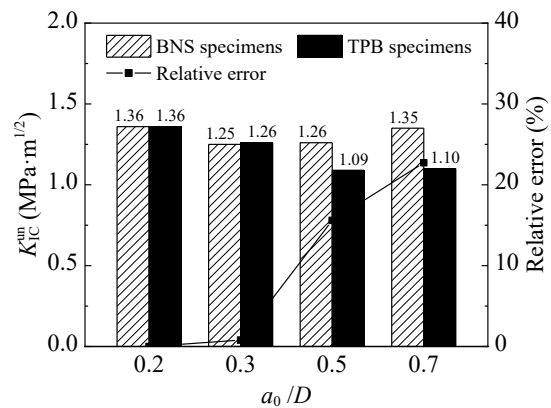
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Fig. 16 Critical crack lengths from the TPB tests



(a)  $K_{IC}^{un}$  versus  $a_0/D$  for the BNS tests



(b) Comparisons of the  $K_{IC}^{un}$  values from the BNS and TPB tests

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Fig. 17 Unstable fracture toughness  $K_{IC}^{un}$  values determined by the BNS and TPB tests