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COVID-19

# Role of the 1<sup>st</sup> booster dose of COVID-19 vaccine in the protection against the infection: A fundamental public health tool

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#### Keywords

COVID-19 infection • COVID-19 Vaccination • Booster dose • Seroprevalence • Prevention

#### Summary

**Introduction.** The COVID-19 pandemic is having a huge impact on human health with high morbidity and mortality rates worldwide. Healthcare Workers (HCWs) are one of the most at risk categories to contract the infection. Effective anti-COVID-19 vaccines were approved in a very short time. Making the 1<sup>st</sup> booster dose is essential to induce a good protection against the infection. **Methods.** We conducted a retrospective sero-epidemiological survey of already existing data concerning the antibody response of a HCWs sample vaccinated with the primary cycle and the 1<sup>st</sup> booster dose of the Pfizer-BioNTech COVID-19 mRNA vaccine and, specifically, after three weeks from the third dose of vaccination.

Results. In our analysis, after the primary cycle, a 95.15% effi-

### Introduction

The COVID-19 (Coronavirus Disease-19) pandemic with its huge impact on human health in terms of morbidity and mortality and on the environmental issues, shocked the community and gave a huge boost to the scientific research worldwide [1, 2]. The cause of this pandemic is the Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2), a new member of Coronavirus family (CoVs), responsible for high-risks pathological respiratory diseases [3]. This virus is surrounded by an external envelope containing a glycoprotein, called "spike" or "S" protein, forming spicules that allows it to recognize the cellular target [4].

Vaccination is undoubtedly one of the most effective preventive measures towards infectious diseases [5]. Traditionally, it takes between 4 to 15 years to develop a safe, efficacious, and cost-effective human vaccine. In comparison to these times, COVID-19 vaccine development has progressed at an unprecedented rate. On January 11, 2020, less than a month after the first documented cases in Wuhan, the complete viral genome sequence of SARS-CoV-2 was obtained [6]. Due to this crucial information, several laboratories and biotechnology companies globally have tried to produce an effective SARS-CoV-2 vaccine. Due to the

cacy was detected. Among the non-responders, women were significantly more frequent (69.56%). Moreover, we found a significant reverse correlation between the immune response and the age of the sample, especially in women. However, the 1<sup>st</sup> booster dose completely cancelled these differences.

**Conclusions.** Our data are perfectly in line with what has been declared by the conducted studies in terms of efficacy. However, it is important to highlight that people with only the primary cycle are at high risk to contract the COVID-19 infection. Therefore, it is necessary to not consider people vaccinated with the primary cycle completely risk-free and to stress the importance to perform the 1<sup>st</sup> booster dose.

knowledge obtained from previous vaccine development efforts against SARS and MERS, the initial step of target identification was remarkably facilitated as, for both SARS-CoV and MERS-CoV, the S protein had been demonstrated as the dominant antigen responsible for the production of neutralizing antibodies and an important target of protective T cell responses [7]. Several vaccine candidates for SARS-CoV-2 were then planned rapidly using the SARS-CoV-2 S protein as the primary immunogen.

mRNA vaccines are a new platform consisting of an mRNA, including a 5' cap, regulatory elements in the 5' and/or 3' regions, a poly(A) tail, and modified nucleosides to increase RNA stability, that is elaborated to encode specific viral antigens and delivered by polymer-based or lipid nanoparticles [8]. Once entered into the cell cytoplasm, the mRNA is translated by ribosomes as an endogenous mRNA, with production of the antigen that is presented to the immune system. Two mRNA vaccines, Pfizer/BioNtech's BNT162b2 and Moderna/NIAID's mRNA-1273, received the Emergency Use Authorization (EUA) status from the U.S. Food and Drug Administration (FDA) and other countries [9, 10] among which that from the European Medicines Agency (EMA) for the European Union (EU) [11, 12]. Specifically, BNT162b2 is an mRNA-lipid

nanoparticle-formulated vaccine encoding a membranebound, stabilized form of the full-length SARS-CoV-2 S protein [13]. mRNA-1273 encodes a prefusion stabilized form of the SARS-CoV-2 S protein and is delivered by lipid-encapsulated nanoparticles [14].

In natural infection, seroconversion for SARS-CoV-2 was described to occur 7–14 days after the onset of symptomatology, 100% within 19 days after clinical onset [15]. Several serologic investigations suggest that, in affected areas, SARS-CoV-2 infection has been acquired by a much higher number of persons compared to the number of infected people resulted positive to PCR analysis of nasopharyngeal swab specimens [15, 16].

In Italy, at 28 September 2022, from the beginning of the outbreak 22,567,577 cases were reported with a median age of 43 years and 173,368 deaths [17]. The vaccination campaign in Italy began on December 27, 2020. As of 28 September 2022, a total of 140,732,854 doses were administered (47,320,682 first doses, 49,975,928 second/single doses, 40,152,811 third doses). COVID-19 infection can be a problem especially for vulnerable and/or particularly at risk people such as people affected by comorbidities, obesity and pregnant women [18-20]. Among people particularly at risk to contract the infection, Healthcare Workers (HCWs) are surely one of the most exposed category. A metaanalysis assessed that the overall proportion of HCWs testing positive for SARS-CoV-2 was 8.7% among all enrolled subjects. Moreover, it has been reported that the values of seroprevalence among HCWs ranges from 0% to 45.3% [21]. These remarkable differences could be explained by different settings, observation period, and government strategies adopted with the aim of reducing viral transmission (e.g., lockdown, quarantine measures, etc.) [22]. In Italy, during the first wave of the infection, 421,521 (1.85% of the total reported cases) HCWs, of which 70.3% women and 29.7% men, with a median age of 47 years, have been reported to be infected since the beginning of the pandemic. In this category, 328 (0.2%)deaths were reported of which 226 (68.9%) were men and 102 (31.1%) were women with lethality rates of 0.6% and 0.1% in the two sexes respectively [23].

The purpose of this study was to evaluate the seroconversion rate of a population of HCWs vaccinated with the primary cycle and the 1<sup>st</sup> booster doses of COVID-19 mRNA vaccine, highlighting the role of the booster and the individual variables in the antibody response elicited by the vaccine.

# Methods

### DATA COLLECTION

The sample was made up by HCWs of the Messina University Hospital "G. Martino", Italy, to whom the primary cycle and the 1<sup>st</sup> booster of BNT162b2 COVID-19 mRNA vaccine (Pfizer/Biontech) were administered. As exclusion criterion, a previous COVID-19 infection was considered. Therefore, people that stated to have contracted the infection and/or already

underwent only a single dose of vaccine were excluded. Aiming to evaluate the efficacy of the vaccination cycle, we carried out a survey, in the period April-May 2022, evaluating the antibody response rate of the HCWs three weeks after the administration of the second dose of vaccine and three weeks after the administration of the 1st booster dose. To this aim, after obtaining the informed consent of all the participants including all the information about the study, we collected blood samples that were centrifuged at 4,000 rpm for 10 minutes and a CLIA (ChemiLuminescence ImmunoAssay) test (LIAISON SARS-CoV-2 S1/S2 IgG - DIASORIN S.p.A., Saluggia, Italia), consisting in a quantitative assay for the detection of IgG antibodies against S1/S2 antigens of SARS-CoV-2, was used. Specifically, values < 12 AU/ml were considered negative. The results were correlated with the individual variables (age and gender) to evaluate their potential role played influenced the immune response.

### STATISTICAL ANALYSES

Descriptive statistics were used to find the percentages. Correlations were determined using the standard Pearson correlation coefficient. Significance was assessed at the p < 0.05 levels. All analyses were performed using Prism 4.0 software.

### Results

The characteristics of the sample, concerning the total number of people, their gender, occupation and hospital areas, are shown in Table I.

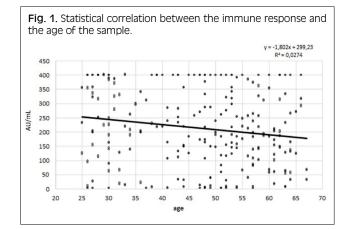
The immune response to the primary vaccination cycle was 95.15% with a mean antibody response of 214.62 AU/mL (min 3.8; max  $\ge$  400). Dividing according the gender, the mean antibody response was 224.53 AU/mL (min 3.8; max  $\ge$  400) in men and 205.27 AU/mL (min 3.8; max  $\ge$  400) in women. To the 1<sup>st</sup> booster does the immune response was 100% (68.75% of the sample reached the maximum value  $\ge$  400) with a mean antibody response of 352.21 (min. 251; max  $\ge$  400).

According to the antibody titre of the primary cycle, we divided the sample in four groups, specifically <12 = no response, 12-99 = low response, 100-299 = intermediate response and > 300 = high response, in order to better characterised the different responses to the vaccine evaluating for each group the role played by some individual variables such as age and gender (Fig. 1).

The figure shows that 4.85% of the sample was negative to the antibody search. Among these negative people, women were significantly more frequent than men with percentages of 69.56% and 30.44% respectively (P < 0.05). In general, about these non-responder people, the mean age was 46.26 ± 10.81, specifically 51.43 ± 11.59 in men and 44.88 ± 9.61 in women. Moreover, 78.48% of the entire sample shows an intermediate-high response, with an average age of 46.76 ± 12.62. Specifically, 61.29% were women and 38.71% were men, with a mean age of 46.33 ± 12.58 and

Tab. I. Personal details and features of the sample.

Total sample 480		
	Men	Women
Absolute Number (%)	286 (59.58%)	194 (40.42%)
Average Age (±SD)	45.97 ± 12.39 (min 25; max 67)	48.01 ± 12.01(min 25; max 67)
	Occupation (%	)
	Physicians	39.23%
	Nurses	36.92%
	Technicians	6.15%
	Administrative personnel	4.62%
	Biologists	3.08%
	Pharmacists	3.08%
	Others	2.31%
	Hospital areas (9	%)
	Medical	36.93%
	Surgical	19.32%
	Emergency	9.09%
	Services	34.66%



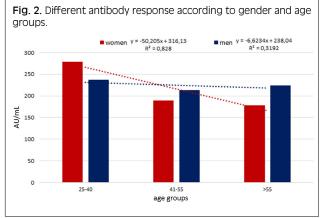
 $47.44 \pm 12.66$  years, respectively. For the three groups of responders, there were no significant statistical differences by gender and age.

A significant reverse correlation (P < 0.05) was found between the immune response and the age of the sample (Fig. 2).

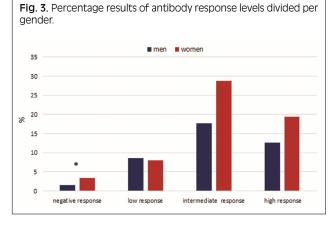
No correlation was found between immune response and gender. However, dividing according the gender, this significance was found only for the women group (Fig. 3).

As shown by the figure, an important percentage decrease (35.97) was found in the immune response between the youngest and oldest women while in men such percentage decrease was 5.59. Moreover, among men, a slight percentage increase (4.74%) was found in the oldest compared to the second group.

In the first age group the immune response was higher in the women with a percentage increase of 17.78 compared to men. An opposite result was found in the others two age groups in which the response was higher



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in men with a percentage increase of 11.39 and 20.12 in the two age groups respectively.

No statistical correlation between the individual

variables and the immune response was observed after the 1<sup>st</sup> booster dose.

# Discussion

HCWs are surely one of the most important categories at risk to contract COVID-19 [24, 25] and infections in general due to the exposure with potential infected people during their working activity [26, 27]. For this reason, according to the current Italian National Vaccination Plan, it is important to protect these at high risk people with the active offer of some strongly recommended vaccinations [28]. This issue applies also and above all concerning the COVID-19 infection. According to a recent meta-analysis of studies evaluating the positivity of SARS-CoV-2 antibodies on HCWs after natural infection, an overall result of 8.7%, ranging from 0% to 45.3%, was found [21].

Indeed, the occurrence of nosocomial transmission of SARS-CoV-2 has been reported, highlighting the necessity for HCWs of a strict adherence to infection control measures in order to protect themselves and avoid the transmission to inpatients and the onset of nosocomial outbreaks [29]. In this light, vaccination is undoubtedly the cornerstone of the control measures. Data from phase 3 clinical trials showed a 95% efficacy for the prevention of the infection at 7 days after the second dose of the BNT162b2 vaccine [30].

Unfortunately, vaccine hesitancy is an attitude more and more present in general population, linked to several wrong beliefs about vaccines among which fear of potential side effects and lack of trust towards health providers are the most declared [31]. This attitude is even present among HCWs, as showed by previous studies [32]. However, some reviews reported in this category a high rate of acceptance of COVID-19 vaccination [33, 34].

In our study, the antibody response of HCWs to vaccine was closely similar to that reported for general population [17]. After primary cycle, a significant correlation was found between the immune response and age especially in women. Specifically, the response decreased with the increasing of the age suggesting the important role played by the physiological process of immunosenescence [35]. The immune changes linked to the senescence explain the higher severity of some viral and bacterial infections (e.g., influenza, herpes zoster, pneumococcal disease) among elderly compared to younger individuals, and of the onset of more acute and long-term sequelae [36]. Moreover, in this category of people, vaccine responses are often lower and frequently failing to induce longterm immunity, placing these individuals at risk for subsequent disease [37]. These findings in elderly people have been largely linked to the failure of the adaptive immune response. Specifically, in elderly a lower antibody response is elicited by diphtheria [38], hepatitis A [39], Hepatitis B [40] and pneumococcal polysaccharide (PPV23) [41] vaccinations.

However, this result was more marked in women

compared to men and this could be explained by the presence, in aged women, of some variables influencing negatively the antibody response. Particularly, it has long been proven that, in women, menopause could negatively impact on the immune system and the response to vaccination. Previous evidences showed that in postmenopausal and with surgical menopause women, a reduced number of total lymphocytes, mainly B and CD4+ T lymphocytes, is present and that the CD4+/CD8+ ratio and the numbers of circulating B cells are decreasing, while NK cells are increasing [42]. These changes in immune system could explain the difference found between genders in our study. On the contrary, in younger group, the response was higher in women compared to men. This result can be explained by the difference existing between sexes in the antibody production. It has been reported that females produce more elevated circulating levels of antibodies than males following the positive influence exerted on the humoral immune responses by estrogens [43, 44]. On the other hand, androgens play a very important role in modulating negatively the immune responses by affecting both the innate and the adaptive immune system (immunosuppressive action) [45]. These hormonal differences can account for the discrepancies between females and males found on the onset of different kind of diseases among which autoimmune diseases, but also in response to infectious diseases and vaccination [46]. It is important to emphasize that the 1<sup>st</sup> booster dose completely annulled the amount of non-responders to the primary cycle even if no statistical correlation was found between individual variables and immune response probably because the majority of the sample (68.75%) had a response  $\geq$  400 AU/mL and, therefore, hypothetical correlations were masked by this limit. Therefore, it is important to highlight the essential role played by the 1<sup>st</sup> booster dose in the complete protection against COVID-19 infection. This is important not only for HCWs but especially for general population. Indeed, it has been estimated that about 20% of general population in Italy has not yet received the 1st booster dose and is, therefore, at risk to get the infection with more severe clinical outcomes [47].

# Conclusions

In conclusion, our data are perfectly in line with what has been declared by the conducted studies in terms of vaccine efficacy. Considering that HCWs are at first line in the fight against COVID-19 and, therefore, at high risk to contract and, eventually, spread the infection in nosocomial settings, it is important to highlight that, even if only a very little portion of the sample did not produce antibodies after the primary vaccination cycle, this risk was completely cancelled by the 1<sup>st</sup> booster dose. Moreover, individual variables as age and gender played an important role in the immune response to the primary cycle putting potentially at risk to get the infection and to have negative clinical outcomes more vulnerable

people. However, the 1<sup>st</sup> booster dose produced a good immune response in all the sample independently from the individual variables. This finding is valid also for the general population on which the importance of the 1<sup>st</sup> booster dose should be stressed through a general and correct information.

# **Conflict of interest**

The authors declare no conflict of interest.

### Funding

None.

# **Ethics approval**

The study has been performed in accordance with the Declaration of Helsinki and has been approved by the Ethics Committee of the University Hospital "G. Martino", Messina, Italy (protocol number 1860 of 05/10/2022).

# Authors' contributions

Conceptualization: ADP, SC and DLG. Methodology: AF and GV. Formal analysis, data curation and writing - original draft: GV and AF. Resources: AB and AL. All Authors revised the manuscript and gave their contribution to improve the paper. All authors read and approved the final manuscript.

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