# Smoothed Particle Hydrodynamics for modelling cold-water coral habitats in changing oceans

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## 4 Abstract

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The importance of the growth, proliferation and longevity of reef-forming 5 cold-water corals is paramount as they support various complex bio-diverse habitats and provide many essential ecosystem services. These cold-water coral reefs consist of layers of living coral tissue that grow on top of large masses of coral skeleton. Here, the Goldilocks Principle is used to simulate 9 growth in optimal conditions and model how cold-water corals engineer their 10 habitat to survive and prosper. A computational fluid dynamics model is cre-11 ated based on the Smoothed Particle Hydrodynamics method, a mesh-free 12 Lagrangian numerical method. The SPH solver is written in the C++ pro-13 gramming language and parallelised with OpenMP to improve its efficiency 14 and reduce the execution times. The solver is validated against analytical 15 and numerical solutions and the growth model is then validated against in 16 situ data of real cold-water coral colonies. The numerical results suggest that 17 the longevity of cold-water corals depends on how well they can manage their 18 energetic reserves when exposed to sub-optimal prey-catching conditions. 19

Keywords: Smoothed Particle Hydrodynamics, Cold-water corals, Coral
 growth

# 22 1. Introduction

Lophelia pertusa (see, Figure 1) is one of the most common species of framework forming cold-water corals (Roberts, 2006) that grows predominantly in the North Atlantic Ocean, and has been found to form reefs worldwide. Typical *L. pertusa* reefs consist of live coral sitting on top of several lay-

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ers of dead coral skeletons (Vad et al., 2017). Previous studies from (Pichon, 27 2011) and (Orejas et al., 2016) have demonstrated local flow hydrodynamics 28 govern prey capture efficiency of coral tentacles; in low velocity environments 29 food (including zooplankton) can evade capture, while in faster flow condi-30 tions coral tentacles are unable to capture food, as they are swept back by 31 the flow (Purser et al., 2010; Orejas et al., 2016). Cold-water corals mainly 32 satisfy their energetic demands by prev capture which for L. pertusa has 33 been experimentally shown to be optimum when the local current velocity is 34 between 2-6 cm/s (Tsounis et al., 2009; Purser et al., 2010). However, these 35 corals typically exist in habitats with high current velocities that sometimes 36 can be an order of magnitude higher than the experimentally found optimal 37 velocity range. This leads to the question of how corals with such an optimal 38 range can survive and thrive in the high flow conditions that they are found 39 within. It has been assumed that cold-water corals build up lipid reserves 40 during periods of high food availability (Dodds et al., 2009). They can then 41 use these energetic reserves in periods that food availability is reduced, and 42 Maier et al. (Maier et al., 2019) demonstrated how L. pertusa can maintain 43 their metabolic rate in periods of food deprivation. 44



Figure 1: A picture of *Lophelia pertusa* framework illustrating live (white) and dead (grey) coral. (Fox et al., 2016)

Hennige *et al.* (Hennige et al., 2021) explored the hypothesis that L.

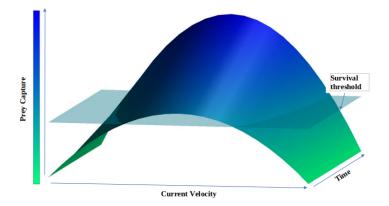


Figure 2: The Goldilocks Principle for *Lophelia pertusa*, showing cumulative prey capture over time, compared to local current velocity conditions. The bisecting layer indicates a 'survival threshold' that is based upon prey capture. Not surpassing this threshold would lead to polyp mortality, leaving exposed 'dead' framework. Individual polyps can surpass the threshold by either capturing prey in optimal conditions or sub-optimal conditions given adequate time.

46 pertusa reefs are engineered according to the Goldilocks Principle (Figure 2). 47 This assumes that coral polyps will survive and prosper if they surpass an 48 energetic 'survival threshold' by capturing prey when conditions are optimal. 49 This rule assumes that polyps can also survive in sub-optimal conditions if 50 over time they capture enough prey to surpass the 'survival threshold' and 51 cover their energetic demands.

Presently, an in-house developed Smoothed Particle Hydrodynamics (SPH) 52 solver is used to evaluate coral growth based on the Goldilocks Principle. 53 SPH is a mesh-free method, that uses particles to discretise the numerical 54 domain. Traditionally in numerical simulations, a mesh of the domain has to 55 be created in order to create a discrete number of volumes, where mathemat-56 ical governing equations that describe the physics of the flow can be solved 57 to obtain the solution to a problem. This can create problems in simulations 58 where the examined object is growing during the simulation. When this hap-59 pens, the domain would have to be re-meshed, something that depending on 60 the method can be complicated and time consuming, especially when mesh 61 refinement algorithms need to be deployed. Conversely, this is not necessary 62 in SPH, as all solids, fluids, and boundaries are represented by particles and 63 the simulation can continue unaffected by changes in the boundary condi-64 tions due to evolution of an object (*i.e.* coral). SPH has its shortcomings

too, as typically large resolutions are needed to capture a realistic representation of the flow field, which can increase execution times. For the coral
growth model presented here, its benefits outweighed the disadvantages and
is preferred to other mesh-based methods.

The growth and death rules that were firstly introduced in (Hennige et al., 2021) have been re-written and optimised to include the effects of dynamic coral energetic reserves, while the parallelised SPH solver allowed for higher resolution simulations. The work presented here has two objectives:

Firstly, to validate the SPH solver against other numerical and analytical solutions. This is necessary to prove that it can solve the SPH governing equations accurately and provide information about the resolution that is needed to achieve the required accuracy.

 Secondly, to introduce the newly developed coral growth model, validate it, and examine different cases of coral growth.

# 80 2. Methods

## 81 2.1. Smoothed Particle Hydrodynamics

Smoothed Particle Hydrodynamics is a Lagrangian computational method
that can be used to simulate the flow of viscous fluids. SPH guarantees conservation of mass without the need for extra computation (Liu and Liu,
2010). Its meshless nature allows natural tracking of fluid-solid interfaces.
In the current work, this was fully exploited as the dynamically growing coral
colonies imposed new boundary conditions after each growth step.

SPH is an interpolation method so in order to update the properties of the particles the governing equations are expressed as summations of interpolants that use a kernel function, W, with smoothing length, h (Morris et al., 1997). In order for a function to be considered appropriate as a kernel several conditions need to be met:

- The function has to offer compact support, therefore:
- 94  $W(r_{i_j}, h) = 0$  when  $|r_{i_j}| > kh$
- where k is a factor that defines and constrains the function's spread.
- This is necessary in order to reduce the computational cost of the kernel function.

• The function has to meet the normalization condition:

$$\int W(r_{i_i}, h) dr' = 1$$

- In order to avoid numerical instabilities, inaccuracies and unrealistic
   properties (for example negative density) the function has to be positive
   within its domain.
- The function has to offer symmetry, meaning that particles in equal distances to a reference particle should have the same contribution to its properties.
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• Finally, the function has to ensure that the contribution of a particle to the properties of another particle reduces with increasing distances.

In the current work, the SPH solver uses a Wendland kernel function (Wendland, 1995) that can be more efficient than most cubic or quintic spline kernels (Macia et al., 2011). It was also shown (Macia et al., 2011) that the dissipation mechanisms in Wendland kernels can be more accurate than those of re-normalised Gaussian kernels. The Wendland kernel function is defined as:

$$W(q,h) = \alpha (1 - q/2)^4 (1 + 2q) \quad \text{if} \quad 0 \le q \le 2 \tag{1}$$

$$W(q,h) = 0 \quad \text{if} \quad q > 2 \tag{2}$$

where q = |r| / h is the kernel smoothing length ratio and  $\alpha = 7/4\pi$  for two-dimensional or  $\alpha = 21/16\pi$  for three-dimensional domains.

The SPH solver presented in (Hennige et al., 2021) was further optimised using OpenMP. The newly parallelised solver run up to 7 times faster and allowed for higher resolution simulations with reasonable execution times. Here, it solves the mass and momentum conservation equations; their discretised SPH forms are respectively:

$$\frac{d\rho_i}{dt} = \sum_j m_j v_{i_j} \nabla_i W_{i_j} \tag{3}$$

$$\frac{dv_i}{dt} = -\sum_j m_j (\frac{p_i}{\rho_i^2} + \frac{p_j}{\rho_j^2}) \nabla_i W_{i_j} + \sum_j \frac{m_j (\mu_i + \mu_j) v_{i_j}}{\rho_i \rho_j} (\frac{1}{r_{i_j}} \frac{\partial W_{i_j}}{\partial r_i}) + \frac{F_i}{\rho_i} \quad (4)$$

where  $\rho_i$  is the density of a particle *i*,  $m_j$  is the mass of a neighbouring particle *j*,  $v_{i_j}$  is the velocity difference between the two particles,  $\nabla_i W_{i_j}$  is the gradient of the kernel function,  $p_i$  is the pressure of particle *i*,  $\mu$  is the dynamic viscosity of the particles and  $F_i$  is an external force per unit mass.

Monaghan's method (Monaghan, 1994) of approximating the rate of change 119 of density is being used in the current work for the computation of the par-120 ticles' density. According to this method the particles are initially set to a 121 reference value and their density evolves by solving the continuity equation 122 (3). After the density computations, a density correction algorithm is applied 123 (Ozbulut et al., 2014). In weakly-compressible SPH the pressure of particle 124 is calculated using an artificial equation of state and it is directly connected 125 to the particle's density. Therefore, a density correction algorithm helps 126 to avoid large density variations in the domain that can lead to numerical 127 instabilities and inaccuracies. The density is being smoothed using: 128

$$\bar{\rho}_i = \rho_i - \epsilon \sum_{j=1}^N \frac{m_j(\rho_i - \rho_j) W_{i_j}}{0.5(\rho_i + \rho_j)}$$
(5)

The current work includes relatively small velocities and the particles fill all available space, therefore a realistic form of viscosity was adopted as suggested by Morris (Morris et al., 1997) and seen in the Navier-Stokes momentum equation (4).

In SPH, pressure is a function of density and the movement of the particles is driven by density fluctuations and consequently an artificial equation of state has to be used. The equation of state for water (Ree, 1976) could be used as well, but that would require incredibly small time steps (Morris et al., 1997) making the simulations inefficient. Here, the Tait equation was used:

$$p = p_0((\frac{\rho}{\rho_0})^{\gamma} - 1)$$
 (6)

The value of the polytrophic constant  $\gamma$  must be chosen carefully in order to ensure the accuracy of the solution; for water,  $\gamma = 7$ . The initial pressure,  $(p_0)$ , depends on the reference speed of sound for the fluid according to:

$$p_0 = \frac{\rho_0 c^2}{\gamma} \tag{7}$$

In this work, suggestions by Monaghan (Monaghan, 1994) and Violeau (Violeau, 2000) have been used in order to define the speed of sound, (c),

which should be at least 10 times greater than the maximum velocity in the domain. This can reduce density fluctuations in the domain to within 1% of the reference density of a particle (Monaghan, 1994).

<sup>146</sup> A particle shifting algorithm is used in order to avoid stability issued <sup>147</sup> caused by anisotropic particle spacing. This algorithm moves particles to ar-<sup>148</sup> eas with lower particle concentration in order to avoid the creation of voids <sup>149</sup> and maintain a uniform distribution throughout the domain. Here, the al-<sup>150</sup> gorithm proposed by Skillen and Lind (Skillen et al., 2013) is used. In this <sup>151</sup> algorithm, the shifting distance,  $\delta_r$ , is given by:

$$\delta_r = -D\nabla C_i \tag{8}$$

where C is a concentration coefficient and D is a diffusion coefficient and that can be calculated by:

$$D = 2h|v|_i dt \tag{9}$$

where dt is the time-step of the simulations,  $|v|_i$  is the velocity magnitude of a fluid particle and h is the smoothing length. Finally, the gradient of the concentration coefficient in equation (8) can be calculated using:

$$\nabla C_i = \sum_j \frac{m_j}{\rho_j} \nabla W_{i_j} \tag{10}$$

The algorithm can struggle in simulations with free-surfaces, where a correction is needed (Skillen et al., 2013), but since the current work involves only internal flows this is unnecessary.

A Verlet scheme (Verlet, 1967) coupled with an Euler step (Jameson et al., 160 1981) every 50 iterations has been used in order to perform time integration. 161 The Euler step is necessary to ensure that the equations remain coupled 162 for odd and even time-steps and time-integration divergence is avoided. In 163 order to ensure the stability of the simulations, the time-step is calculated 164 using the Courant–Fredrichs–Lewy (CFL) condition (Liu and Liu, 2010) and 165 two additional restrictions to account for viscous dissipation and body forces 166 (Monaghan, 1994). 167

The seabed and coral solid surfaces are simulated using dynamic boundary particles (Crespo et al., 2007). The positions and velocities of these particles remain fixed over time. The motion in the numerical domain is driven by the moving upper boundary that consists of three layers of dynamic boundary particles with their velocity fixed at 0.5m/s to simulate the typically fast-flow environment that cold-water corals grow in. In total, 80,000 particles are used with initial particle spacing equal to  $\Delta x = 0.025m$ .

## 175 2.2. Basic coral growth principles

This novel long time-scale growth model was created in order to investi-176 gate how cold-water corals would grow according to the Goldilocks Principle. 177 During a growth cycle, the average local steady-state flow velocities of fluid 178 particles that are in close proximity ( $\Delta x < 1.5r$ , where r is the initial particle 179 distance) to any coral particle are analysed. If the velocity of any fluid par-180 ticle adjoining a coral particle lay inside the Goldilocks zone, then that fluid 181 particle is converted into a coral particle. No additional particles are inserted 182 or deleted from the numerical domain. Essentially, the model is looking for 183 zones of optimal velocity around a coral colony to identify the direction of 184 growth of the colony. No additional rule is applied to control branching; it 185 occurs spontaneously where the flow is optimal. 186

The introduction of a death rule in the model was a significant step to simulating coral growth. In previous work (Hennige et al., 2021), the death rule was fixed at initialisation and it could not be altered or affected by any other aspect of the live simulations. The current proposed model alters the way that the growth and death rules affect the coral particles.

Each coral particle's energetic reserves receive a finite value of energy 192 units when initialised. One unit of energy is assumed to be equal to the energy 193 that a coral particle would need to survive between two growth steps in sub-194 optimal conditions. The energetic reserves decrease or increase dynamically 195 according to the flow conditions around the coral particles. For example, 196 in sub-optimal conditions a coral particle is not able to 'catch enough prev' 197 and get the energy it needs; therefore, it has to use a portion of its energetic 198 reserves in order to survive. If the conditions around the coral particle do not 199 improve over time and the value of its energetic reserves drops below zero, 200 then the coral particle was considered to be dead. This can be shown in the 201 following equations: 202

$$ER_{ts} = ER_{ts_{-1}} - 1 \tag{11}$$

$$if \ ER_{ts} \le 0 \quad \to \ coral \ death \tag{12}$$

where ER is the energetic reserves, ts the current time step and ts-1 the previous time step.

Conversely, if the flow conditions are right then the coral particles are able to get enough energy to survive and grow and could potentially be able to increase their energetic reserves according to:

$$ER_{ts} = ER_{ts-1} + \theta \tag{13}$$

The quantity  $\theta$  ranges between 0 and 1 units of energy. A value  $\theta$  equal to 0 units of energy means that all energy that is generated by capturing prey between two growth steps is used by the coral particle to satisfy its energetic demands and no additional energy can be stored. Similarly, a value of 1 means that all generated energy can been stored to the energetic reserves of the coral particle.

211 2.3. SPH coupling with coral growth model

A typical SPH coral growth simulation would involve the following:

- Initially the geometry, boundary conditions, and input conditions are provided.
- A particle neighbour list is obtained, for every fluid, boundary, or coral particle in the domain.
- The solver can then solve the Navier-Stokes equations and update the properties of all particles, as explained in Section 2.1 above.
- Next is an important step for coupling the SPH solver with the coral 219 growth model. The solver will determine whether the flow in the nu-220 merical domain is in steady-state conditions or not. If this is true, it 221 will proceed to instigate the coral growth and death functions, as explained in Section 2.2 above. The solver will determine flow conditions 223 around live coral particles, and where appropriate it will simulate coral 224 growth towards directions of optimal flow velocity. Additionally, the 225 energetic reserves of every coral particle will be re-calculated and if a 226 particle in sub-optimal flow conditions has no energetic reserves left 227 will be considered dead. 228
- The modelled coral will grow, providing thus new boundary conditions for the numerical domain.

• The solver will then proceed to the next time-step, obtaining a new particle neighbour list, solving the Navier-Stokes equations, and when the flow is again in steady-state conditions, the growth functions will once again be instigated.

This procedure can also be seen in the following algorithm in the form of pseudo-code.

Algorithm 1 SPH Growth Model
1: for Every time step do
2: Update particle neighbour list
3: Calculate particle density and pressure
4: Calculate particle acceleration
5: Update particle velocity and position
6: <b>if</b> Current time step = growth step <b>then</b>
7: for Every live coral particle (a) do
8: <b>for</b> Every fluid particle (b) that is a close neighbour of coral
particle (a) and within the 'cut-off' distance $1.5r$ do
9: <b>if</b> The average velocity of the fluid particle (b) between the
previous growth step (n-1) and the current growth step (n) is within the
optimal range <b>then</b>
10: Convert fluid particle into coral particle
11: end if
12: end for
13: end for
14: end if
15: <b>if</b> Current time step = growth step <b>then</b>
16: <b>for</b> Every live coral particle <b>do</b>
17: Calculate local steady-state fluid velocity
18: Update energetic reserves (ER)
19: if $ER < 0$ then
20: Convert live coral particle into dead coral particle
21: end if
22: end for
23: end if
24: end for

#### 237 3. Results and Discussion

Before presenting the results of the coral growth model, a few validation cases are provided to ensure that the presented methodology can provide accurate solutions when compared against other known numerical or analytical methods. In all of them, particle convergence tests were performed to identify the needed resolution to achieve the required accuracy.

# 243 3.1. Poiseuille Flow

The first validation case considered a Poiseuille flow problem, where the flow in the domain was driven by a pressure gradient force. The fluid (water) in the domain was placed between two stationary plates with infinite length. The testing setup proposed by Morris (Morris et al., 1997) was used for this case, the properties and initial conditions are shown in Table 1.

Units	Value
m	0.001
$kg/m^3$	1000
Pa s	0.001
$m/s^2$	0.0001
m/s	0.00125
	Wendland
m	$1.3 \mathrm{~x~dx}$
	$\begin{array}{c} \mathrm{m} \\ kg/m^{3} \\ \mathrm{Pa \ s} \\ m/s^{2} \\ \mathrm{m/s} \end{array}$

Table 1: Initial properties of the SPH particles in the Poiseuille flow validation case

The two stationary plates were initialised with a distance of 0.001m be-249 tween them and consisted of three layers of dynamic boundary particles. For 250 this problem a Wendland kernel was used and the speed of sound was chosen 251 to be 100 times larger than the maximum velocity in the domain. Periodic 252 boundary conditions were been applied on the left and right boundaries in 253 order to simulate an infinite domain. The initial separation between the SPH 254 particles was dx = dy and it depended on how many particles were span-255 ning the channel between the two stationary particles. It can be calculated 256 according to: 257

$$dx = dy = L/N \tag{14}$$

where L is the distance between the two plates and N is the number of particles in the y direction.

The analytical solution for the Poiseuille flow was obtained by using the equation(Morris et al., 1997):

$$v_x(y,t) = \frac{F}{2v}y(y-L) - \sum_{n=0}^{\infty} \frac{4FL^2}{\nu\pi^3(2n+1)^3} \sin(\frac{\pi y}{L}(2n+1)) \exp(-\frac{(2n+1)^2\pi^2\nu}{L^2}t) \quad (15)$$

where  $v_x$  is the velocity of the water in the x-axis,  $\nu$  is the kinematic viscosity of the water,  $\rho$  is the density of the water, t is the elapsed time and n is the number of terms to include in the summation.

Figure 3 compares the numerical and analytical solutions. Good agree-265 ment was found between them, with the maximum error in the numerical 266 solution being close to 1.1% when 100 particles where spanning the channel. 267 The error in the simulations with various number of particles spanning the 268 channel between the two stationary plates can be seen in Table 2. As it 260 can be seen 100 particles were enough to achieve particle convergence as the 270 error in the simulations did not decrease significantly after that, while the 271 computational cost was increasing with more particles in the domain. 272

Table 2: Particle convergence test for the Poiseuille flow, showing the number of particles spanning the channel between the two stationary plates and the corresponding error between the numerical and analytical solutions

Number of particles	Error (%)
20	2.7
50	1.5
100	1.1
125	1.05
150	1.01
200	0.99

#### 273 3.2. Couette flow

The next validation case was a two-dimensional Couette flow, which is a flow between two infinitely long plates where the bottom plate is stationary,

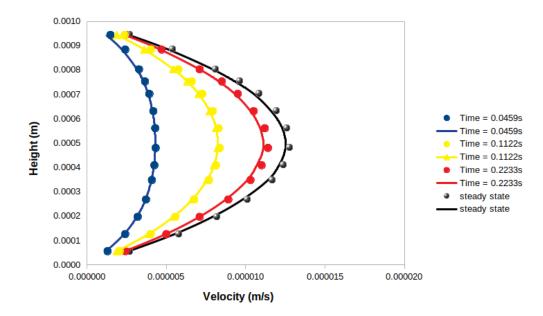


Figure 3: Comparison between the SPH numerical solution (spheres) and the analytical solution obtained with Equation (15) (solid lines) at different time-steps

while the top plate has constant velocity. It was an interesting case for a system where viscous dissipation was important, a similar system to what the coral model simulations would use. The test case was developed using the setup proposed by Morris (Morris et al., 1997). The initial conditions are shown in Table 3 below.

Table 3: Initial properties of the SPH particles in the Couette flow validation case
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01001	properties of the si if particles	, 111 0110	eodeette nom	
	Property	Units	Value	
	Separation between plates	m	0.001	ĺ
	Density	$kg/m^3$	1000	
	Dynamic viscosity	Pa s	0.001	
	Top plate velocity	m/s	0.0000125	
	Speed of sound	m/s	0.00125	
	Kernel function		Wendland	
	Smoothing length (h)	m	1.3  x dx	

The two plates where again placed at distance equal to L = 0.001 m

<sup>282</sup> apart, but in this case only the bottom plate was stationary. Throughout <sup>283</sup> the simulation the top plate had constant velocity equal to  $1.25 \times 10^{-5}$ m/s. <sup>284</sup> Both plates were simulated using three layers of dynamic boundary particles. <sup>285</sup> The analytical solution for the simulated two-dimensional Couette flow <sup>286</sup> was obtained by using the equation (Morris et al., 1997):

$$v_x(y,t) = \frac{V_0}{L}y + \sum_{n=1}^{\infty} \frac{2V_0}{n\pi} (-1)^n \sin(\frac{n\pi y}{L}) \exp(-\nu \frac{n^2 \pi^2}{L^2} t) \quad (16)$$

where  $V_0$  is the velocity of the moving top plate.

Figure 4 shows a comparison with the analytical solution. The maximum error in the numerical solution was less than 0.7% in simulations with 100 particles spanning the channel between the plates, showing good agreement with the theoretical results. A particle convergence test was conducted again and showed that 100 particles in the y-direction were enough to consider that the simulations had converged (Table 4).

Table 4: Particle convergence test for the Couette flow, showing the number of particles spanning the channel between the two plates and the corresponding error between the numerical and analytical solutions

Number of particles	Error (%)
20	2.2
50	1.2
100	0.7
125	0.69
150	0.69
200	0.68

## 294 3.3. Lid-driven cavity flow

The next validation case showed the capability of SPH to model flows in higher Reynolds numbers. This case simulated the flow inside a square cavity that had its lid (top boundary) moving with constant velocity,  $V_0$ . The fluid inside the cavity was initially at rest and it started moving due to viscous forces caused by the movement of the lid. The square cavity consisted of four walls of equal length, L, and each wall had three layers of dynamic boundary

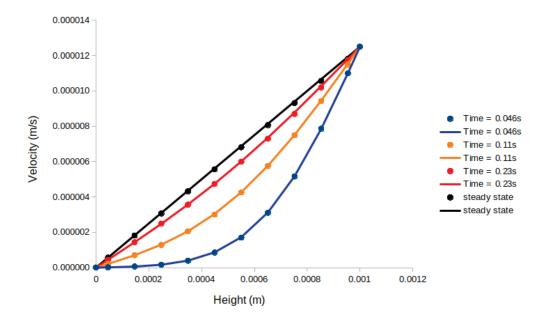


Figure 4: Comparison between the SPH numerical solution (spheres) and the analytical solution obtained with equation 15 (solid lines) at different time-steps

particles. A Wendland kernel was used and the initial distance between the
 particles depended on the resolution of the simulations according to:

$$dx = dy = L/N \tag{17}$$

where N is the number of fluid particles per direction. For this case, the number of particles per direction ranged from 50 to 220 particles.

The speed of sound was chosen to be 100 times larger than the maximum 305 velocity in the system (the lid's velocity). A schematic of the case can be 306 seen in Figure 5 and all initial properties and parameters of the simulations 307 can be seen at Table 5 below. Two different cases were run for Reynolds 308 number equal to Re = 1000 and Re = 10000. The Reynolds number in the 309 simulations was adjusted by modifying the viscosity of the fluid, while the 310 density of the fluid, the characteristic length (L) and the maximum velocity 311 were kept constant. 312

The results of the SPH solver are compared against results obtained by Ghia (Ghia et al., 1982) who used a finite volume solver with a 257x257 mesh and results by Adami (Adami et al., 2013) who used a weakly-compressible

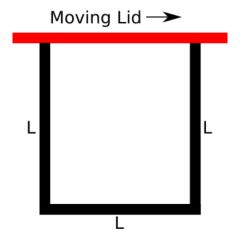


Figure 5: A schematic of the lid-driven cavity flow, showing the moving lid (red) and the solid stationary walls (black). The length (L) of each side of the square is equal to 1m.

Property	Units	Value
Length (L)	m	1
Lid velocity $(V_0)$	m/s	1
Speed of sound	m/s	100
Density	$kg/m^3$	1000
Reynolds number		1000-10000
Kernel function		Wendland
Smoothing length (h)	m	1.3 x dx

 Table 5: Initial properties of the SPH particles in the lid-driven cavity flow validation cases

SPH solver with transport velocity formulation. There is no analytical solu-tion available for this case.

The results for Re = 1000 can be seen in Figures 6-8, while the velocity 318 field obtained by Adami (Adami et al., 2013) is shown in Figure 9. Similarly, 319 Figures 10-12 show the obtained results for Re = 10000 and Figure 13 shows 320 the corresponding velocity field by Adami (Adami et al., 2013). The velocity 321 fields shown in Figures 8 and 12 are at time-steps that the cases had reached 322 steady-state conditions. Adami did not provide a legend for their velocity 323 fields, but it can be assumed that it is similar as the one in Figures 8 and 12. It 324 was found that in low resolutions (N < 150) the current SPH solver struggled 325 and required higher resolutions in order to provide meaningful comparisons. 326 Therefore, only results from higher resolution simulations are shown. 327

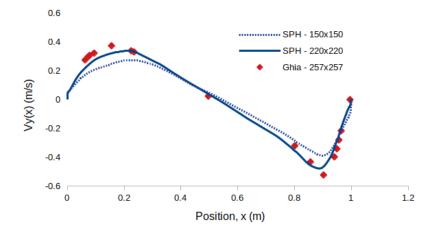


Figure 6: Velocity profile  $V_{y}(x)$  at the centre-line y = 0.5m, Re = 1000

The results of the SPH solver showed good agreement with results obtained by the other solvers. For Re = 1000 the necessary accuracy was achieved by using 220x220 particles in the domain. The same resolution was necessary for Re = 10000, but in this case additional boundary particle treatment had to be performed in order to ensure that the particles will not escape the numerical domain. This also increased the accuracy of the solution, but at the cost of additional execution time.

An additional repulsive force between the fluid and boundary particles was added, as suggested by Monaghan (Monaghan, 1988). For this work, this was achieved by adding the following Lennard-Jones force term in the

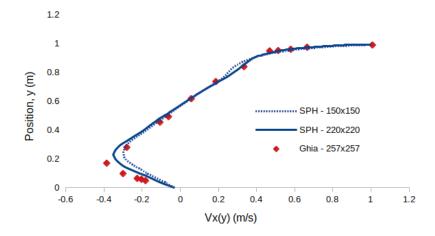


Figure 7: Velocity profile  $V_x(y)$  at the centre-line x = 0.5m, Re = 1000

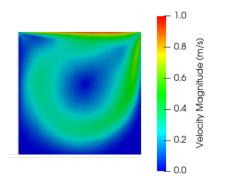


Figure 8: Velocity field (only fluid particles), Re = 1000

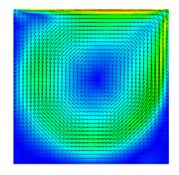


Figure 9: Velocity field by (Adami et al., 2013)

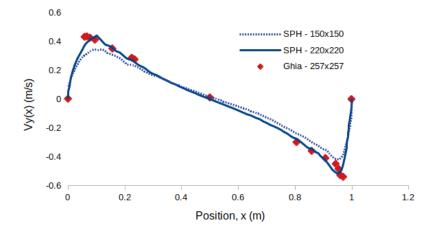


Figure 10: Velocity profile  $V_y(x)$  at the centre-line y = 0.5m, Re = 10000

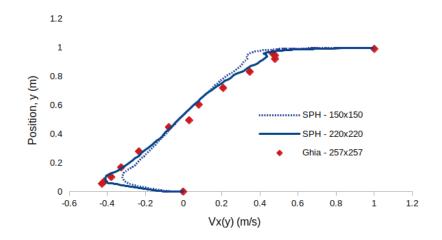


Figure 11: Velocity profile  $V_x(y)$  at the centre-line x = 0.5m, Re = 10000

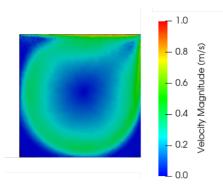


Figure 12: Velocity field (only fluid particles), Re = 10000

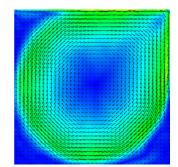


Figure 13: Velocity field by (Adami et al., 2013)

<sup>338</sup> Navier-Stokes momentum equation (Equation 4):

$$F_{L_{J_i}} = D[(\frac{dx}{|\overrightarrow{r_{i_j}}|})^{a_1} - (\frac{r_0}{|r_{i_j}|})^{a_2}] \frac{\overrightarrow{r_{i_j}}}{|\overrightarrow{r_{i_j}}|^2}$$
(18)

where  $a_1 = 12$  and  $a_2 = 6$  are constants, dx is the initial particle separation and D is equal to 120 times the product of the initial particle separation and the acceleration due to gravity. Equation (18) prevents fluid particles from penetrating the solid walls.

## 343 3.4. Coral growth model

The growth model investigated how energetic reserves can affect coral 344 growth and mortality. The simulation parameters (Table 6) are based on 345 a mono-directional flow from left to right and a simplified growth principle 346 existed; the coral colony would only grow in optimal conditions and towards 347 regions with average flow velocities between 2-6 cm/s. It investigated and 348 showcased how the Goldilocks Principle can be applied to cold-water coral 349 growth and how coral energetic reserves can affect their growth and longevity. 350 These cases were run with the value of  $\theta$  in Equation (13) being kept constant 351 at 0.5. 352

Initially, (Figure 14, (A)) a control case was simulated; the model only simulated growth in optimal flow conditions with no additional 'death' rule applied. In sub-optimal regions the coral would not grow but also not die; therefore simulating infinite energetic reserves. The modelled coral in this simulation would grow indefinitely and cover the simulated domain. This

Simulation	А	В	С
Top layer velocity (m/s)	0.5	0.5	0.5
Optimal growth velocity (cm/s)	2-6	2-6	2-6
Initial Energetic Reserves, ER (units of energy)	$\infty$	1.1	3.1
Ratio of live to total coral particles (at step: 120)	100	0	10.7

Table 6: Coral Growth Simulation Properties

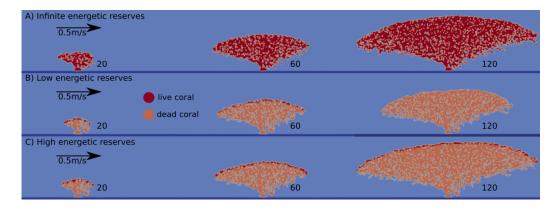


Figure 14: Coral Growth using the Goldilocks Principle in simulations with A) infinite initial energetic reserves, B) low initial energetic reserves and C) high initial energetic reserves. Growth is shown between the 20th, 60th and 120th growth steps.

was a direct contradiction to what can be observed in nature where a significant portion of *L. pertusa* reefs consists of calcified dead coral skeleton
(Murray Roberts et al., 2009).

Previous studies (Larsson et al., 2013; Baussant et al., 2017) have shown 361 that L. pertusa reefs can survive in sub-optimal conditions for a period of 362 months by using their energetic reserves to cover their energetic demands. 363 The next model (Figure 14, (B)) introduced a 'death' rule that was based on 364 each coral particle's available energetic reserves (Table 6). The amount of the 365 initial energetic reserves for a coral particle indicated how many consecutive 366 growth steps this particle could survive in sub-optimal conditions. If during a 367 growth step the coral particle was in sub-optimal regions and had no available 368 energetic reserves left, then the death rule was applied, and the particle was 369 considered 'dead'. It could no longer branch out and grow but it would 370 be part of the coral's skeleton for the remaining simulated time. When the 371 coral particles were initialized to have near-zero or very low energetic reserves 372 (Figure 14, (B)) then eventually the entire coral framework died when it was 373 exposed to consecutive non-optimal flow conditions. In the simulations with 374 higher initial energetic reserves (Figure 14, (C)) the resulting coral framework 375 consisted of dead coral skeleton on the inside with branches of live coral on 376 the outer edges, similar to what can be observed in real L. pertusa reefs 377 (Figure 1). 378

#### 379 3.5. Replenishing energetic reserves

The main equation that controlled the growth and death rules in the mod-380 els (Equation (13)) also offered the capability of running simulations where 381 the energetic reserves were not static and predetermined, but dynamically 382 altering for each individual coral particle. The value of  $\theta$  in Equation (13) 383 controlled the proportion of the energy created during optimal time-steps, 384 that was stored to the energetic reserves of the coral particles. For example, 385 a value of  $\theta = 0.5$  would mean that a coral particle in optimal conditions 386 would use half of the energy it obtained by catching prey to meet its energetic 387 demands and store the other half. In the models presented in this subsection, 388 coral energetic reserves were tracked individually for each live coral particle 380 in the domain. 390

The growth model was run again with increasing abilities of replenishing energetic reserves on optimal steps,  $\theta$ , in order to investigate its effects on the longevity of the coral colonies. The results are presented on Table 7 below. It demonstrates how the ratio of live coral particles to the total coral particles in the domain was affected by the increasing ability of the particles to replenish their energetic reserves. The relative average energetic reserves of the live coral particles is shown as well. This is calculated as the average energetic reserves of all live coral particles at that specific growth-step divided by the initial energetic reserves (ER in equations (11)-(13)). This ratio was used to enable direct comparison between simulations that were initialized with various values of initial energetic reserves (ER).

Ability to replenish	Ratio of live to total	Relative average
energetic reserves $(\theta)$	coral particles $(\%)$	energetic reserves
0	9.8 ±0.11	$0.91 \pm 0.04$
0.1	$10.1 \pm 0.11$	$1.22 \pm 0.04$
0.3	$10.6 \pm 0.11$	$1.42 \pm 0.07$
0.5	$11.2 \pm 0.11$	$1.56 \pm 0.07$
0.7	$12.5 \pm 0.13$	$1.78 \pm 0.09$
0.9	$14.1 \pm 0.14$	$1.94 \pm 0.09$

Table 7: Dynamic energetic reserves in 2D simulations. The presented properties of the coral colonies are taken from the 100th growth-steps of the simulations

As expected, when coral particles had higher abilities to replenish their 402 energetic reserves (higher values of  $\theta$ ) the resultant coral colonies had higher 403 average energetic reserves. It is also notable that being able to stay alive 404 for longer resulted to colonies that had higher number of live coral particles 405 compared to the total amount of coral particles in the domain. Table 8 shows 406 that this ratio was dropping as the simulations progressed and was higher 407 for simulations that allowed the colonies to replenish their energetic reserves 408 faster (simulations with higher  $\theta$  values). 409

Cold-water corals are characterised by various processes that require high 410 energetic inputs; calcification, tissue and mucus production, reproduction 411 and maintenance (Hennige et al., 2014). In more acidic conditions, the ener-412 getic demands associated with calcification rates could be higher, with more 413 energetic reserves used to maintain stable calcification rates (Hennige et al., 414 2014, 2015). The SPH model presented in Table 7 examined how the rate 415 that L. pertusa can replenish energy during growth steps with optimal flow 416 conditions can affect coral longevity. The results suggest that when the coral 417 particles were allowed to replenish more energy in optimal time-steps (higher 418  $\theta$  values), colonies had a higher ratio of live coral particles to total coral 419

Ability to replenish	Ratio of live to total coral particles (%)		
energetic reserves $(\theta)$	At 50 growth steps	At 100 growth steps	
0	$15.1 \pm 0.14$	$9.8 \pm 0.11$	
0.1	$15.7 \pm 0.14$	$10.1 \pm 0.11$	
0.3	$15.9 \pm 0.14$	$10.6 \pm 0.11$	
0.5	$16.2 \pm 0.15$	$11.2 \pm 0.11$	
0.7	$16.5 \pm 0.16$	$12.5 \pm 0.13$	
0.9	$16.9 \pm 0.16$	$14.1 \pm 0.14$	

Table 8: Ratio of live to total coral particles in the domain at the 50th and 100th growth steps based on the simulated ability of the colonies to replenish their energetic reserves

particles in the domain. As expected, this also meant that in higher  $\theta$ -value 420 simulations the average energetic reserves at later stages of simulations were 421 higher and these colonies could therefore survive longer in sub-optimal condi-422 tions. The long-term prosperity and longevity of the coral colonies therefore 423 would depend on their ability to store a portion of the energy they create by 424 capturing prey. This could make a significant difference in periods that they 425 are exposed to continuous sub-optimal flow conditions or in situations where 426 their energetic demands increase due to changes in environmental variables 427 such as in more acidic waters (Secretariat of the Convention on Biological 428 Diversity, 2014; Hennige et al., 2015). 429

A previous study (Vad et al., 2017) examined various *L. pertusa* colonies 430 from two different sites and showed that the ratio of living coral to the whole 431 colony size was between 0.10 and 0.27. It was also shown that this ratio is 432 negatively correlated to the whole colony size. Table 8 shows the ratio of live 433 coral particles to the total coral particles in the domain in simulations with 434 various energetic reserve configurations. In the modelled coral colonies, the 435 ratio varied between 0.098 and 0.17 between the 50th and the 100th growth 436 step showing the same negative correlation with the colony size as well - the 437 ratio drops in value as the simulations progress while the total coral particle 438 number can only increase. 439

At later stages of the simulations the ratio of live coral particles to the whole coral particles is lower than what was observed by Vad et al. (2017). The domain size was chosen initially to be large enough that it would not affect the growth of the coral colonies, but also not too large that it would make the simulations very computationally expensive. As the coral colonies grow and occupy larger parts of the finite numerical domain, it is possible that at later stages the dimensions of the domain start to affect growth. The ratio of live coral to total coral particles can be used then as a method to end the simulations, when it starts to drop too far below the expected values.

## 449 3.6. Coral growth and gravity

A limitation of the previous SPH model (presented in Figure 14 was 450 that it disregarded the effects of gravity in coral growth. The coral would 451 grow in the nearby optimal velocity regions and new layers of live particles 452 would be created on top of previous layers as suggested in Figure 14. In 453 real colonies it would be impossible for a single point to support all this 454 newly created mass of coral structure above it and the colonies would start 455 to break-down according to the mechanism suggested by (Wilson, 1979). In 456 order to mimic this mechanism and visualize more realistic coral colonies an 457 intermediate 'gravity' step has been included in the following model. Here, 458 the coral colony would initially grow similarly to the previous model until 459 it reached the 60th growth step. At that moment a break-down mechanism 460 was initiated to simulate the effects of gravity to the coral colony. After 461 the simulation of this intermediate gravity-step reached steady state, the 462 additional gravitational acceleration was again set to zero, the particles of 463 the top boundary were re-initialed with the input velocity (0.5m/s) and the 464 growth model started once again to simulate coral growth based on the newly 465 imposed boundary conditions. The results can be seen in Figure 15 below. 466

Figure 16 shows the velocity vector shortly after the gravity step of the 467 growth model (70th growth step) while Figure 17 visualizes the velocity pro-468 file near the end stages of the simulation (110th growth step). The velocity 469 magnitude of the water in the domain is zero at the bottom boundary where 470 the no-slip condition is enforced and it increases with the height of the domain 471 until it reaches its maximum value (0.5m/s) at the top boundary (omitted 472 in the figures). A region of recirculating flow is created downstream of the 473 colony that helps elevate low velocity regions. Its position and size depend on 474 the incident velocity and the shape of the dynamically growing coral colony. 475

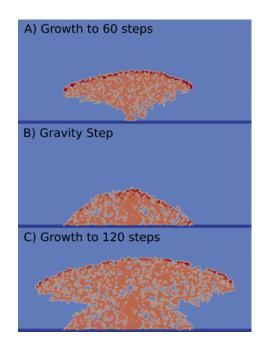


Figure 15: Including the effects of gravity in coral growth. Initially a coral colony grew from a single point for 60 growth steps (A). At this point a break-down mechanism was initiated and the resultant colony is shown to include gravitational effects (B). Finally, growth in the domain has been re-initiated and coral growth at 120 growth steps is shown (C).

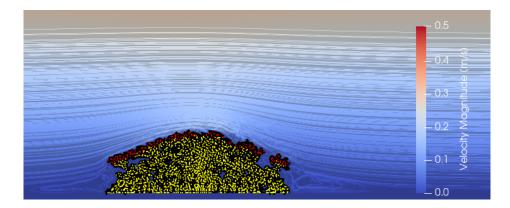


Figure 16: Velocity profile with stream-lines around the coral colony at the 70th growth step. Red particles show live coral particles while yellow particles denote dead coral framework.

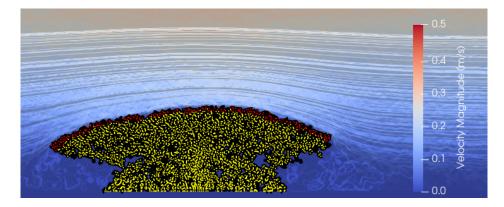


Figure 17: Velocity profile with stream-lines around the coral colony at the 110th growth step. Red particles show live coral particles while yellow particles denote dead coral framework.

#### 476 4. Conclusions and future work

A bespoke weakly compressible Smoothed Particle Hydrodynamics (WC-478 SPH) solver was developed and parallelised using OpenMP to model cold-479 water coral growth based on the Goldilocks Principle, with validation per-480 formed against known analytical and numerical solutions.

The survivability and longevity of cold-water coral colonies depend on 481 how they manage their energetic reserves. In growth-steps where they are 482 exposed to sub-optimal flow, they need to have enough energy stored to al-483 low for the smaller inflow of resources to prevent mortality. In growth-steps 484 within optimal flow regions they need to store enough energy to ensure that 485 their reserves are not depleted, and they can survive potential future sequen-486 tial growth-steps in sub-optimal conditions. This highlights the importance 487 of coral energetic reserves; they are shown to be one of the major factors that 488 can affect coral growth and prosperity. Management of energetic reserves is 489 paramount in periods where their energy intake is decreased or their energetic 490 demands are increased due to changes in environmental variables. 491

The outputs of the models are in accordance with in situ studies that 492 compare the size of the living coral in a colony to the size of the whole 493 colony. The modelled corals show similar growth patterns as real cold-water 494 corals and the ratio of living coral to the total colony size is negatively cor-495 related with the size of cold-water coral colonies. Furthermore, qualitative 496 comparisons against real cold-water coral colonies illustrate similar dense, 497 complex branching geometries with high rugosity at the outer edges. They 498 consist of a layer of living coral particles surrounding dead coral skeleton. 490

The Growth model in this work considered only the effects of hydrody-500 namics in cold-water coral growth, assuming that the available nutrients are 501 infinite. A more realistic approach would be needed in order to capture 502 the effects that nutrient availability can have in coral growth, where up-503 stream nutrient uptake can affect downstream availability. Decreasing food 504 availability could lead to less symmetrical coral growth forms with upstream 505 positions having an inherit resource advantage. This would open the way 506 to model competition among multiple coral colonies for the finite resources. 507 The development of this SPH model can also lead to modelling various future 508 scenarios, including the effects of ocean acidification on coral framework and 509 potential coral restoration practices. Additionally, the methodology can be 510 extended to model tropical coral growth by introducing sunlight as an input 511 growth variable. 512

## 513 5. Authors' contributions

Konstantinos Georgoulas: conceptualization, formal analysis, investigation, methodology, software, validation, visualization, writing - original draft, writing - review and editing. Sebastian Hennige: conceptualization, funding acquisition, investigation, methodology, resources, supervision, writing - review and editing. Yeaw Chu Lee: conceptualization, funding acquisition, investigation, methodology, software, supervision, writing - review and editing.

## 521 6. Competing Interest

<sup>522</sup> The authors declare that they have no competing interests.

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