

# Assessment of time effects on capacities of large-scale piles driven in dense sands

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## ABSTRACT

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This paper considers the axial resistances of open-ended, highly instrumented, 763 mm diameter steel pipe piles driven in sands for the EURIPIDES (EUROpean Initiative on Piles in DENSE Sands) project at a well characterised research site at Eemshaven, in the northern Netherlands. It offers new analyses of previously unreported dynamic tests and considers their relationship to four heavily instrumented static compression tests. Rigorous signal matching employing two distinct pile-soil interaction models is reported, supported by careful sensitivity analyses, to interpret the recorded driving signals. The back-calculated shaft resistance profiles show good agreement between the models as well as calculations performed with a global wave equation analysis approach. The study highlights the need to account for the internal soil column resistance. The combined interpretation of the dynamic and static test data indicates a 50% gain in shaft resistance over the ten days after driving and threefold shaft capacity growth over a total period of 533 days after driving. The outcomes have important implications for driven pile design and field quality monitoring; the case history contributes an important benchmark in the study of long-term set-up trends.

**Keywords:** Time effects, pile capacity, sands, signal matching, large-scale static tests

# 1 INTRODUCTION

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2  
3 The EURIPIDES joint industry project (JIP) comprised instrumented dynamic, static (tension and  
4 compression) tests on 763 mm diameter open-ended steel piles driven in dense sands at a well-  
5 characterised harbour site in the Netherlands (Zuidberg and Vergobbi, 1996). The high-quality static  
6 tests aided the checking and development of CPT-based pile capacity assessment methods for sands,  
7 including the ICP-05 (Jardine et al. 2005), UWA-05 (Lehane et al. 2005) and Unified-20 (Lehane et al.  
8 2020) approaches. However, the dynamic driving data has not yet been interpreted and integrated with  
9 the monotonic tests, including a compression re-test on an aged pile that has received relatively little  
10 prior attention. This paper presents new analyses of these missing elements and adds additional insights  
11 into the impact of field ageing on compression capacity, adding to earlier studies which focussed on  
12 tension testing; see Jardine et al (2006), Gavin et al. (2013) and Rimoy et al. (2015).

13 Dynamic pile testing involves monitoring pile responses to hammer blows that have sufficient energy  
14 to fully overcome the local soil resistances acting along the pile shaft and base as the compressive wave  
15 generated at the pile head travels downwards towards the tip and is partly reflected upwards due to  
16 interaction with the soil. Strains and accelerations measured near the pile top fed into one-dimensional  
17 stress wave analyses provide estimates of the static resistance to driving (SRD). Signal matching  
18 techniques utilize characteristic solutions of the wave equation and can model pile driving accurately  
19 without the need to model the hammer and cushion (Rausche et al. 1972; Middendorp and van Weel,  
20 1986; Randolph 2008). The key input parameters include pile dimensions, soil properties that are  
21 required in the adopted pile-soil interaction models, and the distribution of soil resistance over depth  
22 that is adjusted iteratively until a good quality match is obtained between the measured and computed  
23 pile forces,  $F$ , or the product of velocity and pile impedance,  $Z$ , where pile impedance  $Z = \sqrt{E\rho}A$ ,  
24 where  $E$  is Young's modulus,  $\rho$  is mass density and  $A$  is cross-sectional area. Aiming to improve these  
25 classical approaches, Salgado et al. (2015) divided the soil around the pile shaft into a series of thin  
26 horizontal discs and considered the motion phase differences developed along the pile and soil layers  
27 with different properties. Their developed shaft and base soil reaction models took soil non-linearity

1 and hysteresis explicitly into account, with input parameters that have physical meaning and are linked  
2 to standard soil properties. However, signal matching cannot provide unique solutions and the pile  
3 ‘capacities’ obtained can depend on the operator and adopted pile-soil interaction model (see Fellenius  
4 1988; Salgado et al. 2015; Buckley 2018). Signal matching was performed for the present study by two  
5 independent experts, each using different signal matching software; the commonly used CAPWAP  
6 (CAPWAP manual, 2006) package, and the research orientated code IMPACT (Randolph 2008) to  
7 address model uncertainty. While the analysts had access to the same information, they performed their  
8 analyses independently and only compared outputs from the final iterations of each of their analyses.

9 The influence on signal matching analyses of the internal soil column (ISC) formed when driving large  
10 pipe piles has not been investigated extensively in the literature. Most signal matching studies combine  
11 internal and external friction and treat end-bearing resistance as acting on the piles’ annular bases.  
12 Randolph (1987) considered the ISC explicitly, treating it as a separate ‘pile within a pile’ and allowed  
13 axial compression wave propagation within the ISC. Bruzy et al. (1991) argued that internal and external  
14 shaft resistances cannot be separated, illustrating this through their study of the ISC’s influence on  
15 experimental pile driving in sand at Dunkirk, Northern France. They considered signals from blows  
16 applied to an open pile with (i) its ISC in place and (ii) after its removal, finding a large reduction in  
17 dynamic shaft resistance. Subsequent studies have confirmed that driving simulations can be improved  
18 by taking the ISC into account (Matsumoto and Takei, 1991; Schneider and Harmon, 2010; Doherty et  
19 al., 2020). However, broad agreement has yet to be established regarding the best ISC modelling  
20 approach for signal matching.

21 This paper presents and interprets previously unpublished pile dynamic testing from the EURIPIDES  
22 project, integrating these with companion static compression tests to establish systematic links between  
23 dynamic and static resistances. Both the Continuum (Randolph 2008) and Smith methods (Smith 1960)  
24 are employed for signal matching; the influence of internal shaft resistance is also evaluated and  
25 discussed as is the impact of pile age after driving.

## 1 OVERVIEW OF TESTING PROGRAMME

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### 2 *Site investigation*

3 Figure 1 shows the EURIPIDES pile location at Eemshaven harbour. Two piles were driven 18 m apart,  
4 with nearby sampled boreholes (BH), cone penetration tests (CPT) and other in-situ tests. CPT36 & BH  
5 36 were located within 5 m of Location 1, while CPT41 & BH 41 were positioned within 5 m of  
6 Location 2. On average, the water table was around 2.0 m below ground level (bgl).

7 Figure 2 (a) shows the stratigraphy and recorded CPT traces. Fine sand fill and topsoil were found above  
8 fine sand to around 15 mbgl, with an average  $q_c$  around 5 MPa. Very dense fine sand with  $q_c$  between  
9 50 and 85 MPa was encountered 25 m bgl, with the sand becoming medium-to-coarse below 44 m bgl.  
10 Analysis of grain size measurements on borehole samples indicates that the dense sand layer of greatest  
11 interest (from between 28 – 50 mbgl) has an average  $D_{50}$  of 0.14 mm.

12 The profile of soil behaviour type index  $I_c$  (Robertson and Wride, 1998) is plotted in Figure 2(b) from  
13 the Location 1  $q_c$  profile. Alternating layers of soft clay and loose sandy silt were encountered from  
14 roughly 16 m to 21 m bgl at Location 1, with  $3 < I_c < 3.5$ , which were treated as clay in later analyses.  
15 A similar layer was also noted at Location 2, although without sleeve friction  $f_s$  data to derive the  
16 corresponding  $I_c$  profile. Relative density  $D_R$  profiles derived using the  $q_c$ - $D_R$  correlation of Lunne and  
17 Christoffersen (1983) indicated  $D_R$  close to 100% below 30 m, covering the main EURIPIDES target  
18 stratum. Also plotted in Figure 2(b) is the  $G_{max}$  profile from seismic piezocone (SCPTu) tests at  
19 Location 1, which is assumed to apply equally to Location 2.

20 Laboratory testing on borehole samples included index tests, triaxial and direct shear tests (Zuidberg  
21 and Vergobbi, 1996). Ring shear interface tests were also performed with steel interfaces that had  
22 maximum surface roughness of 25  $\mu\text{m}$  close to that of the test piles. The measured interface friction  
23 angles,  $\delta'$ , were broadly  $27^\circ$  for the sands below 44 mbgl, and  $31^\circ$  for the finer sands found 22-44 mbgl.  
24 Interface shear tests were not conducted for the soils above 22 mbgl, so  $\delta'=29^\circ$  was adopted, except  
25 for the 15 to 22 mbgl “clay layer”, where the CPT-based UWA-13 method (Lehane et al, 2013) was  
26 used to predict static shaft capacity without needing to specify an interface friction angle.

## 1 ***Characteristics of test piles***

2 Table 1 and Figure 3 outline the general arrangements of the 763 mm outside diameter ( $D$ ) piles. The  
3 Location 1 pile had a 27 m long instrumented section and a 22 m long upper add-on section. Two force  
4 rings were forged into the add-on approximately 33 and 41 m above the toe, resulting in local wall  
5 thicknesses around 90 mm which affected wave propagation during driving. The Location 1 pile was  
6 extracted and trimmed after testing, reducing the instrumented and add-on lengths to 26.9 m and 21.6  
7 m respectively. The tabulated wall thicknesses values do not account for the pile instruments and cable  
8 channels. All pile sections were made of E460N steel, with density of  $7.86 \text{ Mg/m}^3$  and a minimum 450  
9 MPa yield stress that exceeded the expected 275 MPa maximum driving stress. All sections were  
10 weighed individually, giving 'equivalent' mass densities,  $\rho$ , that accounted for the instrumentation.

## 11 ***Pile instrumentation***

12 Two sets of dynamic PDA strain gauge and accelerometer sensors were mounted at distances around  
13 1.0 m (or  $1.3D$ ) below the pile heads, as estimated through back-analyses of the driving signals. The  
14 7.88 m long pile driving system employed an IHC S-90 hydraulic impact hammer, standard ram, and  
15 an anvil. These components weighed 4500 and 800 kg, respectively. An IHC system recorded blow  
16 counts and average kinetic energy continuously during driving, providing information that was critical  
17 to the 'Global Match' process which is discussed subsequently.

18 The internal soil column (ISC) was monitored during driving by a tape system (Fugro 1996) which  
19 recorded its height after each 0.25 m of penetration. Measurements were also made during static testing.  
20 Fourteen levels of axial strain gauges were mounted on the lower section of the test piles to measure  
21 the distribution of axial forces and derive static shaft resistances. These axial strains were positioned  
22  $0.5D$ ,  $1.0D$ ,  $2.0D$ ,  $4.0D$ ,  $6.0D$  and  $8.0D$  above the pile toe and every  $4.0D$  to the highest level at about  
23  $40D$ . More details describing the configuration, use and performance of other circumferential strain  
24 gauges, total stress and toe load cells are provided in Zuidberg and Vergobbi (1996).

## 25 ***Pile driving sequences and records***

26 Figure 4 shows the driving sequences at the two locations. Driving to the final penetrations (46.95 m  
27 and 46.65 m for Locations 1 and 2 respectively) was interrupted by: (i) welding of the add-on sections

1 after driving to around 25 mbgl, (ii) both short and long operational pauses. Driving at Location 1 halted  
2 after penetration to 30.45 m and 38.70 m, and static tests performed after 7 and 2 day pauses respectively.  
3 Static tests were also performed 12 days after the end-of-driving to 46.95 m, after which the pile was  
4 extracted. Surface roughness and instrument damage checks were made before the ISC was removed.  
5 A buried tree trunk, 60-65 cm diameter, was found between 21.6 to 22.2 m above the toe, that fully  
6 occupied the pile's interior area and affected the blow counts measured over this penetration range. The  
7 influence of the cored tree trunk on the subsequent pile loading tests remains open to conjecture.

8 The pile was re-driven at Location 2 with several short operational pauses, and a 7 day pause for add-  
9 on and instrumented section welding. Static testing was only undertaken after driving to the final depth  
10 and imposing a 6 day pause. A compression re-test was conducted after 533 days of in-situ ageing,  
11 which did not achieve full failure.

12 Figure 4 presents the recorded blow counts and transferred driving energy profiles. The pile driving at  
13 Location 2 applied a lower hammer drop height and lower ENTHRU energy resulting in higher blow  
14 counts over the upper 15 m than at Location 1. Blow counts increased steadily from 40-50 blows/0.25  
15 m to 100-150 blows/0.25 m, as the piles penetrated through the very dense sand below 30 mbgl. Higher  
16 local blow count spikes were evident after pauses caused by both the impact of ageing and the low  
17 initial ENTHRU energy developed when driving re-started. The ENTHRU energies fell in the 65-75 kJ  
18 range as the tips penetrated the dense layers (30-48 mbgl) with hammer global efficiency (the ratio of  
19 the ENTHRU energy to the 90-kJ kinetic energy of the ram) around 80% ( $\pm 5\%$ ). The latter  
20 measurements allowed the SRD profiles to be assessed by the 'Global Match' process described later.

21 Figure 4 also shows the ISC levels during driving, where the negative sign refers to the depth below  
22 ground surface. The two locations exhibit broadly similar trends over most of the profile, although  
23 different rates developed between 5 mblg and 20 mbgl tip depths that left final ISCs that rose 0.5 m  
24 above ground level at Location 1 while being depressed by 2.3 mbgl at Location 2. The Incremental  
25 Filling Ratios (IFR) were close to unity throughout driving, indicating almost continuous coring.

26 When selecting dynamic blows for EoD analyses, those recorded at penetration tip depths closest to the  
27 available static pile tests were generally considered the most representative. Dynamic data quality was

1 also assessed by checking the coincidence of  $F$  and  $Zv$  records as waves travelled from the PDA  
2 instruments down to the ground level. In cases where complementary pairs of strain  
3 gauge/accelerometer measurements were available, the consistency between the two outputs was also  
4 considered in evaluating signal quality.

5 Figure 5 plots the  $F$  and  $ZV$  traces of the three selected blows, following Savitzky-Golay filtering to  
6 reduce the signal noise from the raw records. The quality of the traces appears to be good, with the  
7 maximum force  $F_{max}$  matching  $ZV$  as the stress waves propagated along the free pile stick-up. Also  
8 given in Figure 5 are the values of  $t_o$  and  $t(F_{max})$ , which denote the onset of impact and the time  
9 corresponding to  $F_{max}$ . The dynamic blows records extended to nearly 40 milliseconds, around twice  
10 the period for the waves to travel to the pile tip and back ( $2L/c \approx 18$  ms).

11 Table 2 briefly summarises the information of selected blows and corresponding static tests, which are  
12 also labelled in Figure 4. Altogether, four series of static compression (C) and tension (T) tests were  
13 carried out that can be compared with the three dynamic EoD cases, along with some reloading tests  
14 (R) (Kolk et al. 2005). Only first-time compression tests were considered here as prior tension and  
15 reloading are known to degrade compression capacity significantly (Galvis-Castro et al. 2019) and so  
16 lead to erroneous comparisons with EoD resistances. The static tests conducted at Location 1 after  
17 driving to 38.7 m depth were discounted due to their T-R-C-T sequence.

18 As shown in Table 2, further consideration had to be given to selecting a suitable blow (Loc1\_BN10)  
19 to compare with the static compression test Loc1\_CP1. In this case, no EoD signal data was available  
20 from driving to this test's tip depth. The Loc1\_BN10 record employed for analysis came from the 10<sup>th</sup>  
21 dynamic blow applied after completing the Loc1\_CP1 static testing. The driving blow count profile in  
22 Figure 4 indicates that any pile capacity enhancement that occurred over the 12-day ageing pause  
23 allowed between EoD and testing was eliminated over these first ten blows. The Loc1\_BN10 signals  
24 were taken as the best available proxies for the Loc1\_CP1 test's EoD conditions.

25 The penetrations levels achieved in two other blows (Loc1\_BN3001 and Loc2\_BN3315) are slightly  
26 shallower than for the corresponding static tests (see Table 2). This difference might lead to slight  
27 overestimates of shaft set-up factors in the subsequent analyses.

## 1 SIGNAL MATCHING METHODOLOGY

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2 Signal matching was performed with the industry-standard CAPWAP software (CAPWAP manual,  
3 2006) using Smith model and with the research-oriented IMPACT software developed by Randolph  
4 (2008) using a Continuum model. The rheological models' characteristics are set out in Table 3 and the  
5 main points are highlighted below.

### 6 *Smith model*

7 The Smith model (Smith 1960) comprises a dashpot, representing all soil damping effects, and a system  
8 of a linearly elastic spring and a plastic slider connected in series. The total shaft resistance (dynamic +  
9 static),  $\tau$ , associated with both the local pile shaft displacement,  $w_{p,s}$ , and the pile shaft velocity,  $v_{p,s}$ ,  
10 is expressed as:

$$11 \quad \tau = \text{Min} \left( 1, \frac{w_{p,s}}{Q_{p,s}} \right) (1 + J_s v_{p,s}) \tau_s \quad \text{Eq. 1}$$

12 Where  $Q_{p,s}$  is the so-called shaft 'quake' at which the limiting static shaft resistance,  $\tau_s$ , is fully  
13 mobilised. If the  $w_{p,s}$  exceeds  $Q_{p,s}$ , then the plastic slider is activated, and perfectly plastic deformation  
14 starts to develop.  $J_s$  is Smith's soil damping constant (in s/m) associated with the viscous dashpot.  
15 Smith's base model comprises of similar components, expressed mathematically by a similar formula  
16 to Eq. 1. The main difference between the shaft and base models is that, during the unloading stage, no  
17 tensile end bearing is allowed at the base, so the lower bound base resistance is zero. The Smith dashpot  
18 remains active regardless of the pile slip; viscous and inertial damping are lumped into a single dashpot  
19 that is proportional to  $\tau_s$ . The quake and damping modelling constants determined empirically from  
20 back-analyses of pile driving records and pile load tests generally fall within a relatively narrow range.  
21 Rausche et al (2010) reports typical  $Q_{p,s}$  ranges as 1–7.5 mm for sands and clays, with  $Q_{p,b}$  in the range  
22 of 1.0mm to the maximum pile toe displacement, independent of pile diameter. Smith damping  
23 constants are generally taken in the range 0.1–0.2 s/m at the pile shaft node, and 0.1 s/m (sand) – 0.5  
24 s/m (clay) at the pile base (Cho et al., 2000).

25 Although not employed in the present study, Likins et al. (1992) presented an extended Smith model  
26 using the soil mass and dashpots at the pile shaft and base to represent radiation damping. Their mass



1 was a function of the pile perimeter and segment length, whereas the dashpot was given in terms of pile  
 2 impedance. They suggested limiting the maximum damping factor to 1.3 s/m led to better correlations  
 3 with static loading test resistances.

#### 4 *Continuum model*

5 Simons and Randolph (1985) developed their Continuum model by introducing an additional degree of  
 6 freedom, based on the closed-form solution by Novak et al. (1978) for the soil resistance acting along  
 7 the shaft of a rigid infinitely long pile. The shaft model comprises a spring and radiation dashpot  
 8 connected in parallel, followed by a system of a plastic slider and viscous dashpot set in series. Unlike  
 9 the Smith model, the viscous dashpot simulates the shear band forming at the shaft after pile sliding,  
 10 which is subjected to viscous rate effects, while inertial (radiation) far-field soil damping is represented  
 11 by an inertial dashpot. The pile shaft response prior to slip is expressed as:

$$12 \quad \tau = \frac{G}{D} w_{s,s} + \sqrt{G\rho_s} v_{s,s} \leq \tau_{lim} \quad \text{Eq. 2}$$

13 Where  $G$  is the soil shear modulus;  $w_{s,s}$  and  $v_{s,s}$  are the soil displacement and velocity adjacent to the  
 14 shaft respectively, and  $\tau_{lim}$  is a velocity-dependent limiting resistance at pile-soil interface (see Eq. 3).  
 15 If  $\tau > \tau_{lim}$ , slip at the pile-soil interface starts to occur and little further energy is propagated into the  
 16 soil mass. At that moment, the interface response is then modelled by the plastic slider in parallel with  
 17 the viscous dashpot. A power law function is employed to define  $\tau_{lim}$ , which augments the static  
 18 limiting shaft resistance  $\tau_s$  as pile-to-soil relative velocity,  $\Delta v$ , grows (Coyle and Gibson, 1970)

$$19 \quad \tau_{lim} = \tau_s \left[ 1 + \alpha \left( \frac{\Delta v}{v_{ref}} \right)^\beta \right] \quad \text{Eq. 3}$$

20 Where  $v_{ref}$  is the reference velocity, taken as 1.0 m/s;  $\alpha$  and  $\beta$  are viscosity parameters. Litkouthi and  
 21 Poskitt (1980) suggest  $0.2 < \beta < 0.5$ . Randolph (2003) indicates  $\alpha$  is 0.3 to 0.5 for sand, and up to 2 or  
 22 3 for clays.

23 The Continuum base model adopts a broadly analogous configuration, except for two lumped masses  
 24 connecting to the pile node ( $m_0$ ) and also through a second radiation dashpot ( $m_1$ ) (Deeks and Randolph,  
 25 1995). For undrained conditions as appropriate for pile driving, it turned out the subsidiary mass ( $m_1$ )

1 is zero and hence its connected radiation dashpot is neglected. The spring and inertial dashpot  
 2 parameters are determined from fundamental soil properties. The model formulation is expressed as:

$$3 \frac{2GD}{1-\nu} w_{s,b} + \frac{0.8D^2}{1-\nu} \sqrt{G\rho_s} v_{s,b} \leq q_b A \quad \text{Eq. 4}$$

4 where  $v_{s,b}$  and  $w_{s,b}$  are the velocity and displacement of the soil beneath pile base;  $\nu$  is Poisson's ratio;  
 5  $G$  is shear modulus of soil; and  $A$  is cross section area at pile base.

6

### 7 ***Simulation of internal soil column***

8 Open-ended piles mobilise shaft resistance along their internal and external shaft areas and simulating  
 9 the internal soil column (ISC) resistance improves back analysis of their driving records (Doherty et al.,  
 10 2020). In CAPWAP and in similar commercially signal matching-based software, the internal soil  
 11 resistance is not considered separately. Randolph (1987) modelled the ISC explicitly in his Continuum  
 12 approach by treating the dynamic response of the soil inside the pile in a similar way to that outside the  
 13 pile with lumped masses connected by a spring and a dashpot. Wave propagation through the ISC is  
 14 assumed to be transferred as a shear wave emanating from the pile wall. Identical model components  
 15 are employed for the ISC, except for minor changes in the displacement and velocity terms. The internal  
 16 shaft shear force per unit length,  $T_{s,in}$ , is expressed as

$$17 T_{s,in} = 5.5G(w_{sp1} - w_{sp2}) + \pi D \frac{G}{V_s} (v_{sp1} - v_{sp2}) \quad \text{Eq. 5}$$

18 Where  $w_{sp1}$  and  $w_{sp2}$  are the displacement of soil nodes adjacent to the shaft area and at the centre of  
 19 ISC respectively;  $v_{sp1}$  and  $v_{sp2}$  are the corresponding velocities;  $G$  is the shear modulus for ISC;  $V_s$  is  
 20 the shear wave velocity.

### 21 ***Match quality assessment***

22 Multiple objective matching approaches are proposed in the literature to evaluate the degree of  
 23 agreement between the measured and computed signal traces through quality indices  $M_q$  whose precise  
 24 formulations vary between Authors and codes. Middendorp (2015) and Buckley (2018) used an  $M_q$   
 25 formula that considered six specified intervals of the upward travelling wave and is suitable for dynamic

1 signals with long time periods. However, recalling the relatively short EURIPIDES driving signal  
 2 records ( $\leq 40$  ms), an adjusted procedure was followed for the present IMPACT analyses:

3 (a) The signal was divided into four periods, as in Figure 6.  $t_r$  is the time from  $t_o$  to  $t(F_{max})$ .

4 (b) Match quality was assessed by considering both the force and ZV signals from upward ( $F_u$ ) and  
 5 downward ( $F_d$ ) travelling waves, as indicated by Eq. 6.

$$6 \quad F_u = \frac{F-ZV}{2} \quad \text{Eq. 6a}$$

$$7 \quad F_d = \frac{F+ZV}{2} \quad \text{Eq. 6b}$$

8 (c) The absolute difference between the measured and computed upward forces ( $F_{u,m}$  and  $F_{u,c}$   
 9 respectively) were added at each time increment over each time interval and then normalised by  
 10  $F_{max}$  times the numbers of time increments,  $n_{sample}$ , in this time interval.

11 (d) The computed sum values for the four time periods from step (b) were then averaged to obtain the  
 12 overall  $M_q$  by:

$$13 \quad M_q = 0.25 \times \sum_{Period} \sum \frac{|F_{u,m} - F_{u,c}|}{n_{sample} \times F_{max}} \times 100 \quad \text{Eq. 7}$$

14 Note that the procedure developed above to address the relatively short EURIPIDES signal durations  
 15 does not consider the degree of matching  $w_p$  separately.

16 For CAPWAP analyses, the standard built-in match quality tool was employed, which divided the  
 17 records into four time periods that do not coincide with the time intervals adopted for the IMPACT  
 18 quality assessment described above (Rausche et al. 2010). The CAPWAP criterion includes a blow  
 19 count penalty for  $w_p$ , which is calculated as the absolute value of the difference between the observed  
 20 and calculated final set, minus 1 mm, as detailed by Rausche et al. (2010) and the CAPWAP manual  
 21 (2006).

22 While the  $M_q$  values produced from IMPACT and CAPWAP are not directly comparable due to their  
 23 different calculation strategy and units, lower  $M_q$  values signify better signal matching in both cases.

24 It is recognised that specifying absolute and general  $M_q$  values as quality control parameters is

1 impractical, even when using a single definition as each dynamic dataset has its own optimum (Rausche  
2 et al. 2010).

3

#### 4 **BACK ANALYSIS OF DYNAMIC PILE TESTS**

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5 It is well established that signal matching outcomes obtained with Smith's model vary with the primary  
6 input parameters (Liang and Sheng, 1993; Ng and Sritharan, 2013). However, the relative sensitivity of  
7 outcomes to the Continuum model parameters and assumptions is less clear. The parametric IMPACT  
8 study presented in Appendix A explores this potential sensitivity, focussing Loc 1\_BN10 as an example  
9 hammer blow.

10 The sensitivity study highlights the influence of modelling the internal soil column (ISC) on signal  
11 matching. Employing the plug modelling facility built into IMPACT and considering a range of internal  
12 resistance assumptions indicated that the best quality signal matches were yielded when setting the  
13 internal local shaft resistance ( $\tau_{s,in}$ ) equal to 10-20%  $\tau_{s,out}$  (see Appendix A). Although constant ratios  
14 of inner-to-outer shaft resistance are usually assumed over the full plug length in dynamic pile testing  
15 (Alm and Hamre, 2001; Schneider and Harmon, 2010; Doherty et al., 2020), further theoretical and  
16 experimental research is required to explore the true distributions of local  $\tau_{s,in}/\tau_{s,out}$  ratio.

17 Table 4 provides the main input parameters adopted for the Continuum and Smith modelling of the  
18 three blows after performing sensitivity studies. Viscosity parameters  $\alpha$  for external shaft resistance  
19 ranged 0.25-0.45, while  $\beta = 0.2$  was adopted in all cases. The ISC viscosity parameters were taken as  
20 equal to those applied externally. The Poisson's ratio,  $\nu$ , was taken as 0.5 to represent the potentially  
21 undrained conditions applying beneath the pile tip over the short hammer blows.

22 The best fitted limiting bearing pressures beneath the pile annulus ( $q_{b,a}$ ) were 0.51-0.59 $q_c$  in the  
23 IMPACT analyses, while the CAPWAP analyses,  $q_{b,a}$  indicated a notably lower 0.12-0.35 $q_c$  range.  
24 While the true values are uncertain, these ratios are in keeping with ranges quoted by Alm and Hamre  
25 (2001), Schneider and Harmon (2010). The incremental pile displacements mobilised during dynamic  
26 testing are far less than the 0.1D displacement at which static base capacity is often defined and the

1 dynamic  $q_{b,a}/q_c$  ratios are lower than that may be mobilised in fully plunging pile failure for which far  
2 higher  $q_{b,a}/q_c$  ratios apply, especially if the pile plugs (Jardine et al. 2005; Lehane et al. 2005; or Xu  
3 et al. 2008).

4 The piles were divided into six segments for the signal matching analyses, including two separate  
5 segments representing the force rings. Figure 7 shows the ‘best possible matches’ between the  
6 calculated and measured upward travelling force ( $F_{u,c}$  and  $F_{u,m}$  respectively) and displacement at pile  
7 head  $w_p$ . The Continuum model outputs match the measured data well throughout the three blows’  
8 loading histories, which is also quantitatively evaluated by  $M_q$  values calculated as outlined above. The  
9 Smith model also gives good fits, except for Loc1\_BN10 over the unloading phases (after  $t_o + 2L/c$ ),  
10 where an abrupt increase in  $w_p$  took place, probably due to the presence of thin alternating soft soil  
11 layer beneath pile tip. It seems that in these circumstances, simulating viscous and inertial damping  
12 separately produces better match to the measured signals.

13 Figure 8 compares the back-calculated Static Resistance to Driving (SRD) noted at three discrete pile  
14 tip depths with the full-depth driving predictions from the Alm and Hamre (2001) SRD method, as well  
15 as the ICP-05 and Unified-20 sand approaches for predicting static capacity after allowing moderate  
16 (14 to 25 day) ageing set-up periods. The UWA-13 clay procedures (Lehane et al. 2013) were applied  
17 to estimate pile resistances within the (16-21m bgl.) “clay-layer”. As expected, the Alm and Hamre  
18 (2001) driving SRD profile falls well below that predicted by the two static capacity methods, which  
19 implicitly allow for set-up to occur before testing at ‘medium-term’ ages after driving.

20 Also plotted in Figure 8 is the ‘Global Match’ SRD profile obtained for this study by processing the  
21 pile driving records with the ALLWAVE-PDP approach (Allnamics, 2015), which uses one-  
22 dimensional wave equation theory combined with the Smith (1960) soil resistance model. The  
23 parameters fed into the ‘Global Match’ analyses are:

- 24 (1) The blow count records from each location (see Figure 4), excluding any blows applied after ageing  
25 and operational driving pauses;

1 (2) The hammer ram and anvil characteristics as well as the measured ENTHRU energies (see Figure  
2 4) achieved with the driving systems;

3 (3) Dynamic Smith model soil parameters:  $Q_{p,s} = Q_{p,b} = 2.54$  mm,  $J_s = 0.2$  s/m and  $J_b = 0.5$  s/m.

4 Bearing graph relating SRD to blow counts were developed for each given penetration and the identified  
5 the SRDs that matched the recorded blow counts obtained by interpolation. The limited frequency of  
6 the ENTHRU energy recordings led to the discontinuous profiles shown in Figure 8 that show broadly  
7 similar trends to the Alm and Hamre (2001) predictions, although indicating lower ‘Global Match’  
8 SRDs at most depths below 27 mbgl.

9 The SRD values back-calculated from signal matching using the alternative two soil models at three  
10 penetration depths are also plotted, showing good agreement with the Alm and Hamre (2001)  
11 predictions and ‘measured’ global matches. Table 5 summarises the deduced overall EoD shaft and base  
12 components. While the shaft capacities inferred from all three means are compatible, the Continuum  
13 model base resistances are higher ( $\approx 0.6q_c$ ) than the  $0.2 - 0.3q_c$  outcomes from the ‘Global Match’ and  
14 CAPWAP analyses. The uncertainty regarding the base capacity is discussed further in the Appendix.

15 Figure 9 shows the profiles with depth of the total limiting shaft resistances,  $\tau_s$  (external plus internal)  
16 back-calculated from two signal matching models in comparison with the static (medium-age) profiles  
17 predicted by the ICP-05 (sand) combined with the UWA-13 (clay) procedures. Results from the  
18 Continuum model show broadly consistent trends for blows Loc1\_BN3005 and Loc2\_BN3115 over  
19 most of the profile, as might be expected due to the similar pile penetrations, CPT resistances and  
20 dynamic signals (see Figures 4 and 5). For all three blows, the shaft resistances interpreted from signal  
21 matching fall well below the static prediction method profiles which incorporate the ‘set-up’ developed  
22 14 to 25 days after driving. The Continuum model also yields EoD shaft resistances comparable to the  
23 static prediction methods for blows Loc1\_BN3005 and Loc2\_BN3115, while a more pronounced  
24 difference was observed for blow Loc1\_BN10.

25 IMPACT and CAPWAP analyses produce similar shaft resistances for blows Loc1\_BN3001 and  
26 Loc2\_BN3115, with absolute differences limited to around 7 kPa on average over the upper 30 m. For

1 all three blows, a considerable discrepancy is observed between the CAPWAP and IMPACT predictions  
2 near the pile tip. Significantly different shaft resistance profiles were also observed within the mixed  
3 alternating layer (15-20 mbgl), where the apparent drop in the IMPACT results is consistent with the  
4 trend of the CPT measurements.

5 Marked effects of relative pile tip depth  $h/R^*$  are apparent in Figure 9, where  $h$  is the distance above  
6 the pile tip,  $R^*$  is the equivalent radius ( $= (r_o^2 - r_i^2)^{0.5}$ ). For example, over the depth range of 27-30 m,  
7 the mean  $\tau_s$  from the Continuum model is approximately 150 kPa for Loc1\_BN10 when the pile tip  
8 was at 30.6 mbgl. After a further 16.5 m of penetration for blow Loc1\_BN3001, the  $\tau_s$  value applying  
9 over this depth range reduced to 60 kPa, less than half the earlier value.

10 Figure 10 plots the distributions of static shaft resistances (internal + external) measured from strain  
11 gauges in four compression tests, corresponding to a pile head displacement of  $D/10$  (Kolk et al., 2005).  
12 Comparison with the  $\tau_s$  values inferred from signal matching (EoD) indicates that pronounced  
13 increases in local resistance occurred over the first two weeks after pile installation, particularly over  
14 the lower pile section. For instance, the static test Loc1\_CP2 (12-day age) produced a local  $\tau_s$  of about  
15 1700 kPa near the pile tip, whereas blow Loc1\_BN3115, recorded at the same penetrations led to a far  
16 lower EoD  $\tau_s$  of around 550 kPa in the IMPACT analyses. Figure 10 also shows the shaft resistance  
17 profiles deduced from the strain gauge measurements made during the long-term static tests Loc2\_CP2  
18 (533 ageing days) along with those from the earlier (6-day age) Loc2\_CP1. Comparison of  $\tau_s$   
19 measurements indicate apparent capacity gains over most of the depth ranges, confirming strongly  
20 positive shaft resistance ageing effects, except over the 41-43 mblg depth range. This anomaly may be  
21 related to the presence of a silty layer at Location 2 (see Figure 2). Further detailed discussion on the  
22 static tests is given in the next section.

## 23 **RELATIONSHIP TO STATIC TESTS**

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24 The shaft and base capacities of three ‘first-time’ constant rate of penetration (CRP, set at 1 mm/min)  
25 tests (Loc1\_CP1, Loc1\_CP2, Loc2\_CP1) and one long term re-test (Loc2\_CP2) reported by Kolk et al.

1 (2005) are considered in relation to the dynamic data. No relative movement of the ISC was observed,  
2 indicating globally plugging failure modes (Fugro, 1996).

3 Figure 11 presents pile head force-displacement curves for selected tests. Pile annular base forces were  
4 interpreted for Loc1\_CP1 and Loc1\_CP2 by Kolk et al. (2005) from strain gauges fixed around 0.38 m  
5 above pile tip; instruments located closer to the toe did not survive driving. The two tests showed  
6 essentially identical annular base capacities ( $Q_{ba}$ ). However, it should be noted that estimating the  
7 annular base resistances of open pipe piles from strain gauges is problematic due to both intense stress  
8 concentrations close to the tip and the uncertain contribution of internal shaft friction. Fully independent  
9 double-wall measurements are required to independently assess the outer shaft, inner shaft, and annular  
10 base resistances of pipe piles during static testing, see for example Han et al. (2020).

11 Equivalent strain-gauge measurements of pile force distributions with depth were unavailable for  
12 Loc2\_CP1 and Loc2\_CP2 due to gauge damage during re-driving. Following Rimoy et al. (2015), it is  
13 assumed that similar base capacities applied to the Loc2\_CP1 and Loc2\_CP2 tests despite their different  
14 ages. Any unaccounted for growth in annular base resistance would lead to overestimation of the shaft  
15 capacity set-up factors discussed in the next section.

16 Loc2\_CP2 developed a pile head load of around 26 MN, far greater than in the earlier age tests, and had  
17 to be terminated after reaching a displacement of 0.055 m when the load reached the system's safe  
18 structural capacity. The load-displacement data were extrapolated using the alternative routines  
19 suggested by Hansen (1963), Chin (1970), and Decourt (1999), yielding the pile capacities in the range  
20 32.8-34.2 MN, with an average of 35.3 MN at the 10% $D$  pile head displacement, as shown in Figure  
21 11 (b). These extrapolation approaches could be unconservative if any inflection point developed before  
22 reaching 10% $D$ , as occurred at the early age compression tests. Table 6 summarises the static pile shaft  
23 and annular base capacities,  $Q(ASC)$ , interpreted for selected compression tests, together with the ICP-  
24 05 and Unified-20 predictions. As expected from Lehane et al. (2000), the total capacities from those  
25 two methods are in good agreement.



## 1 ASSESSMENT OF TIME EFFECTS ON PILE CAPACITY

---

2 Pile set-up factors from dynamic tests are normally defined as the ratio of the Beginning of Restrike  
3 (BoR) soil resistance, as obtained at some age after driving, to the EoD resistances for the same pile  
4 and tip depth. Since dynamic restrike tests were not conducted on the EURIPIDES piles, set-up was  
5 gauged by comparing dynamic EoD tests and static tests after ageing, assuming initially that axial static  
6 compression capacities can be compared directly with BoR resistances.

7 The first comparison made for the Location 1 pile requires careful consideration, since the EoD blow  
8 employed (Loc1\_BN10) originates from the 10<sup>th</sup> dynamic blow applied after the static test Loc1\_CP1  
9 had been performed. As discussed earlier, the resistance back-calculated from Loc1\_BN10 is the best  
10 available proxy for the pile's EoD resistance prior to the ageing and static testing applied at that depth.  
11 The other two blows considered (Loc1\_BN3001 and Loc2\_BN3315) provided unambiguous EoD  
12 records for their respective medium-term static compression tests at close depths. The outcome of  
13 analysing the latter blow also defined the initial capacity from which set-up was calculated based on  
14 the Location 2 long-term retest.

15 The effects of time on pile shaft capacities in the present study were assessed by computing both the  
16 ratio of  $Q_s(\text{ASC})/Q_s(\text{EoD})$  and the ratios of  $Q_s(\text{ASC})$  to the capacities predicted by the ICP-05 methods  
17  $Q_s(\text{ICP})$ , which are expected to predict the medium-term capacities available at around 10 to 30 days  
18 after installation. Although an apparent enhancement in pile annular base capacity ( $Q_{ba}$ ) over time may  
19 be inferred from comparing the dynamic analysis results with the static measurements (see Table 5 and  
20 6), it has to be recalled that the displacements developed in dynamic blows fall far below the  $D/10$  levels  
21 at which static capacity was defined. Considerable uncertainty also exists regarding the determination  
22 of  $Q_{ba}$  from dynamic analysis, as noted in the related sensitivity analysis. Further investigation to the  
23 ageing effects on  $Q_{ba}$  is beyond the scope of the present study.

24 Figure 12 plots the ratios of  $Q_s(\text{ASC})/Q_s(\text{EoD})$  for the pile shaft resistances. Maximum, minimum and  
25 average values of three extrapolation method for the 533-day Loc2\_CP2 test are also plotted. Assuming  
26 no gain in base resistance led to a maximum set up ratio (from the Smith model) of 3.4. The Continuum

1 model and ‘Global Match’ trends generally lie close together, with both falling below that from the  
2 Smith model SRDs. In general, all tests manifested a marked shaft capacity growth with time, giving  
3  $50\% \pm 25\%$  growth per log time cycle in the medium to long term, as noted by Chow et al. (1998),  
4 Axelsson (2000), Konig and Grabe (2006) and Rimoy et al (2015). Cathie et al. (2022) present trends  
5 established from dynamic testing on far larger diameter offshore piles. They show that similar trends  
6 appear to apply to larger diameter (2.5 to 3.5 m diameter) piles at ages up to around 20 days, but they  
7 suggest less longer-term set-up growth than has been observed with smaller diameter onshore piles.

8 Figure 13 shows the shaft capacity ratios of  $Q_s(t)/Q_s(\text{ICP})$  on semi-logarithmic axes. The ratios plotted  
9 for a nominally ‘1 day’ age are interpreted from pile dynamic analyses employing the Continuum, Smith  
10 and ‘Global Match’ methods, whereas the later age ratios come from the compression tests. Also plotted  
11 in Figure 13 are trends previously proposed by Rimoy et al. (2015) and Yang et al. (2017) from previous  
12 tension testing studies on smaller piles. The  $Q_s(t)/Q_s(\text{ICP})$  shaft ratios immediately after driving are in  
13 the range of 0.5-0.7, (on average 0.65) increasing gradually to around 1.0 after around 10 days, matching  
14 the nominal ICP-05 target age after driving. It is of great interest that the capacity in long term (533  
15 ageing day) tests is nearly double the ICP-05 prediction, indicating a strong ageing effect on pile  
16 capacity for the 763 mm diameter steel pile. As noted above, larger offshore piles appear to show lower  
17 long term set-up ratios (Cathie et al. 2022).

18 While no side-by-side static and dynamic tests were performed at exactly the same ages, the static and  
19 dynamic shaft capacity follow compatible trends. Extrapolation of the Figure 12 and Figure 13 trends  
20 back to 1-day ages indicates that dynamic EoD capacity is broadly equivalent to the static resistance  
21 available within the first day of driving. The dynamic EoD base capacities are on average equivalent to  
22 57% of the ICP-05 sand predictions. If normalised by Unified-20 calculations, the EoD shaft and base  
23 capacities amount to 70% and 47% of the respective predictions.

24

## 25 **SUMMARY & CONCLUSIONS**

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26

1 This paper presents a new interpretation of previously unpublished instrumented driving data from the  
2 EURIPIDES project, relating these to companion static compression tests on strain-gauged piles and so  
3 inferring relationships between dynamic and static resistances. Signal matching analyses employed to  
4 back-analyse the dynamic signal records considered two distinct pile-soil interaction models to simulate  
5 the dynamic soil resistance response. ‘Global Match’ analyses were also carried out in the present study  
6 to estimate pile capacities. The main findings are summarised as follows:

- 7 • The Continuum model analyses produce, on average, 20% higher pile shaft capacities than  
8 Smith modelling with equivalent input parameters, demonstrating broad compatibility between  
9 the two approaches.
- 10 • The soil columns inside open-ended piles have a pronounced effect on signal matching  
11 performed with the Continuum model and could result in differences in the predicted soil  
12 resistance to driving. Best quality signal matches were obtained when the interior local shaft  
13 resistance was set equal to 10-20% of the external shaft resistance. Further theoretical and  
14 experimental research is required to investigate this shaft resistance split.
- 15 • Analyses of four static compression tests in relation to End of Driving resistances confirm  
16 strong and positive effects of ageing time on shaft capacity.
- 17 • Shaft capacity set up factors of 1.4 to 1.7 on average were interpreted after 6 to 12 days and a  
18 ratio of 3 extrapolated from a 533-day age test falls within the 50% ( $\pm 25\%$ ) per log cycle  
19 medium-to-long term trends reported by others and match those established previously in  
20 independent tension testing studies. However, it is recognised that large piles may show less  
21 marked long-term set-up.
- 22 • While side-by-side static and dynamic tests were not performed at exactly comparable ages, the  
23 post-driving static measurements indicate that dynamic EoD capacities are broadly equivalent  
24 to the static capacities expected within the first day of driving and amount to, on average, 65%  
25 and 57% of the medium-age shaft and base resistances respectively, as predicted by the ICP-05  
26 sand method.

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## APPENDIX Sensitivity of signal matching to input parameters using the Continuum model

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### *Influence of stiffness profile*

As previously discussed, the Continuum model requires a stiffness profile as an input. To account for soil nonlinearity and the associated stiffness degradation, values of operational shear modulus are commonly adopted as a proportion of  $G_{max}$ . A single operational shear modulus is difficult to assign, as shear strain levels vary steeply with radial distance from the pile (Loukidis et al., 2008). Figure A-1 shows the effects on the external shaft resistance  $\tau_{s,out}$  characteristics of a single soil-pile element from 10 m depth of varying  $G_{o,out}$  from  $0.1G_{max}$  to  $0.5G_{max}$  while keeping other parameters ( $\alpha = 0.3$ ,  $\beta = 0.2$ ) constant. The resistances from different soil model components were calculated as summarised in Table 3. As shown in Figure A-1 (a), reducing  $G_{o,out}$  leads to considerable change in the computed soil displacement  $w_{s,s}$  which in turn affects the curves of inertial and viscous resistances in Figure A-1 (b)-(c). Inertial dashpot response is observed to dominate the initial response of pile-soil interaction in the Continuum model; once the maximum inertial resistance is reached, the higher the  $G_{o,out}$ , the more pronounced is the decay in inertial resistances, indicating its less contribution to total resistance during unloading phase. Given that  $G_{o,out}$  theoretically has no impact on the definition of viscous dashpot, the difference observed for curves in Figure A-1 (c) is mainly due to the  $w_{s,s}$  variations caused by different  $G_{o,out}$ , as noted earlier. Although different components (spring and dashpots) in Continuum model are only activated at specific time intervals according to their definition, Figure A-1 depicts the evolution of spring and dashpot resistance for the complete duration of a hammer impulse.

Figure A-2 shows the influence of the base operational shear modulus  $G_{o,b}$  on the dynamic response of the pile tip. All other parameters are held fixed while  $G_{o,b}$  is varied from  $0.2G_{max}$  to  $0.6G_{max}$ . The base response is considered by a spring and inertial dashpot as listed in Table 3. Unlike the shaft Continuum model, no viscous dashpot is incorporated in the base model. Increasing  $G_{o,b}$  leads to a higher mobilised total resistance. For  $G_{o,b} = 0.6G_{max}$ , the total resistance exceeds the assumed  $q_b$  before the plastic slider is engaged after a base displacement of 1.4 mm. However, when  $G_{o,b} = 0.2G_{max}$ , the total

1 resistance fails to meet the assumed  $q_b$  at any time, implying the plastic slider component is not engaged  
2 and therefore has no effect on the  $F_{u,c}$ . In this case, adopting a higher  $q_b$  has no impact on  $F_{u,c}$  but could  
3 still be reported as a higher ‘dynamically matched’ pile base capacity. Care is needed to ensure that the  
4 values of  $G_{o,b}$  employed lead to meaningful pile base capacities.

### 5 ***Influence of the internal Soil Column***

6 Considering next the influence of the ISC during driving, Figure A-3 shows an example of matching  
7 the  $F_u$  using three approaches for addressing the 30 m long ISC recorded during blow Loc1\_BN10: a)  
8 Smith lumping of the internal and external resistance together; b) Continuum modelling the ISC; c)  
9 Continuum lumped modelling of the internal and external resistances together. It should be noted that  
10 all the sensitivity analyses for the ISC are conducted with IMPACT (i.e. both the Continuum and the  
11 Smith model analyses). Their corresponding matching quality index  $M_q$  are also given. Note that an  
12 identical distribution of total shaft resistances (external + internal) and base resistance are assumed in  
13 those three approaches. It is evident that lumping the external with internal response has a significant  
14 effect on the computed  $F_{u,c}$ , particularly on the peak values of  $F_{u,c}$ , even when using an identical  
15 Continuum model.

16 Recognising that ISC resistance has an important effect on signal matching, it is necessary to quantify  
17 the internal resistance. Although the ratio of internal to external shaft friction ( $\tau_{s,in}/\tau_{s,out}$ ) may vary  
18 along the pile length, constant ratios were adopted for the analysis of the same blow as suggested by  
19 Doherty et al., (2020). Values of  $\tau_{s,in}/\tau_{s,out}$  from zero to 0.5 were assumed while maintaining the total  
20 resistances ( $\tau_{s,in} + \tau_{s,out}$ ) identical. The operation shear moduli for external soil ( $G_{o,out}$ ) is a constant  
21 of  $0.5G_{max}$  to account for soil non-linearity and the associated stiffness degradation, while the moduli  
22 for ISC  $G_{o,in}$  is certain a proportion of  $G_{o,out}$  ( $G_{o,in} = 0.3G_{o,out}$ ). Figure A-4 shows how changes in  
23  $\tau_{s,in}$  affect the computed  $F_{u,c}$  and  $w_p$  traces. The peak  $F_{u,c}$  values and final pile sets increase with  
24  $\tau_{s,in}/\tau_{s,out}$  and taking  $\tau_{s,i}/\tau_{s,e} = 0.1$  and  $0.2$  provides the best  $M_q$  matches. Related studies shows that  
25 ISC shear modulus has a far less significant effect on the signal matching quality and outcomes.

- 1 While the sensitivity study identified how the main input variables affect outcomes, the conclusions are
- 2 likely to be case-specific and may require re-evaluation for other piles, sites or even blows.

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## TABLES

*Table 1 Main characteristics of the two testing piles*

	Test piles	
	Instrumented section	Add-on section
Initial length at Location 1 / $L$ (m)	27	22
Initial length at Location 2 / $L$ (m)	26.9	21.6
Outer diameter / $D$ (mm)	763.1	763.6
Wall thickness / $w_t$ (mm)	35.55	41.8
Steel cross section / $A$ (m <sup>2</sup> )	0.0812	0.0948
Young's modulus / $E$ (Mpa)	214569	214569
Dry weight per unit length / $W$ (kN/ml)	6.39	7.60
Equivalent mass density / $\rho$ (Mg/m <sup>3</sup> )	7.86	8.02
Impedance / $Z$ (kNs/m)	3929	3895
Steel grade	EN460	EN460

*Table 2 The selected dynamic blows and static tests*

Location	Blow No.	Dynamic blow depth (m)	Static loading sequences	Depth for near static tests (m)	Static tests No.	Pile ageing days
1	Loc1_BN10	30.6	C-T	30.5	Loc1_CP1	7
	Loc1_BN3001	45.9	C-T-R-C-T	47	Loc1_CP2	12
2	Loc2_BN3315	46.23	C-T-R-C	46.7	Loc2_CP1	6
	Loc2_BN3315	46.23	C-T	46.9	Loc2_CP2	533

Notes: C = Compression tests; T = Tension tests.

R = Reloading intended to bring piles into virgin position

Table 3 Soil resistance models employed in this study

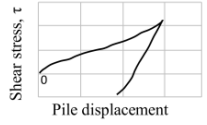
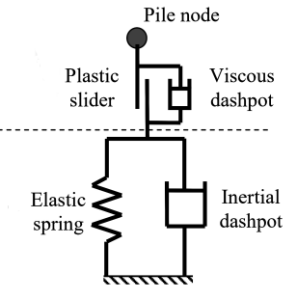
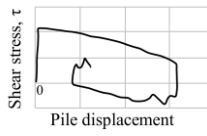

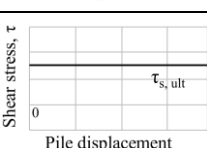
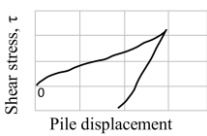
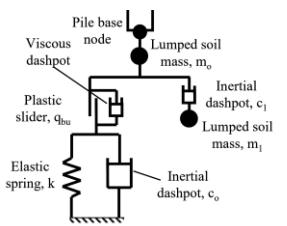

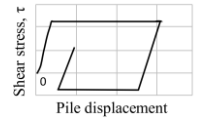
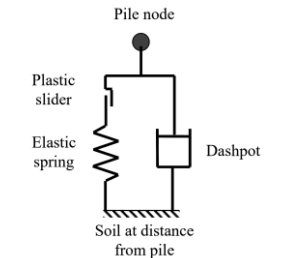
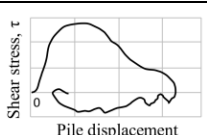
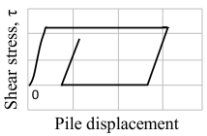
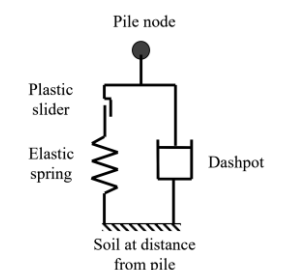
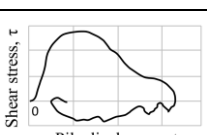
Models and their Components		Mathematical expression	Variable	Curve shape	Schematical model		
Continuum model  (Simons and Randolph, 1985; Deeks and Randolph, 1995)	Shaft	Spring	$\frac{G}{D}w_{s,s}$	$D, G$			
		Radiation dashpot	$\sqrt{G\rho_s}v_{s,s}$	$G, \rho_s$			
		Viscous dashpot	$\tau_s\alpha\left(\frac{\Delta v}{v_{ref}}\right)^\beta$	$\alpha, \beta$			
		Plastic slider	$\tau_s$	-			
	Base	Spring	$\frac{2GD}{1-\nu}w_{s,b}$	$G, \nu, r_0$			
		Radiation dashpot	$\frac{0.8D^2}{1-\nu}\sqrt{G\rho_s}v_{s,b}$	$G, \rho_s, r_0$			
	Smith model  (Smith, 1960)	Shaft	Spring	$\tau_s\text{Min}\left(1, \frac{w_{p,s}}{Q_{p,s}}\right)$	$Q_{p,s}$		
			Dashpot	$\tau_s\text{Min}\left(1, \frac{w_{p,s}}{Q_{p,s}}\right)J_s v_{p,s}$	$J_s, Q_{p,s}$		
Base		Spring	$q_b\text{Min}\left(1, \frac{w_{p,b}}{Q_{p,b,quake}}\right)$	$Q_{p,b}$			
		Dashpot	$q_b\text{Min}\left(1, \frac{w_{p,b}}{Q_{p,b}}\right)J_b v_{p,b}$	$J_b, Q_{p,b}$			

Table 4 Main input parameters in signal matching

Continuum model	Soil outside pile wall	$G_{o,out}$ (kPa)	$0.3 - 0.5 G_{max}$
		$\alpha$	$0.25 - 0.45$
		$\beta$	$0.2$
	Soil inside pile wall	$G_{o,in}$ (kPa)	$0.3 G_{o,out}$
		$\tau_{s,in}$ (kPa)	$0.1 \tau_{s,out}$
		$\alpha$	$0.25 - 0.45$
		$\beta$	$0.2$
	Soil beneath pile base	$q_b$ (kPa)	$0.51-0.59q_c$
		$G_{o,b}$ (kPa)	$0.3 - 0.5 G_{max}$
		$\rho$ (Mg/m <sup>3</sup> )	$2.12$
$\nu$		$0.5$	
Smith model	Soil outside pile wall	$Q_{p,s}$ (mm)	$1-1.3$
		$J_s$ (s/m)	$0.1 - 0.7$
	Soil beneath pile base	$q_b$ (kPa)	$0.3 \times q_c$
		$Q_{p,b}$ (mm)	$1.5-4$
		$J_b$ (s/m)	$0.3 - 1.3$

Table 5 Summary of static pile capacity after end of driving,  $Q$  (EoD)

Dynamic blows	Loc1_BN10			Loc1_BN3001			Loc2_BN3315		
	A	B	C	A	B	C	A	B	C
$Q_s$ (MN)	2.2	2.4	2.6	10.1	7.2	9.2	10.9	8.5	11
$Q_{ba}$ (MN)	2.5	0.6	1.2	3.0	1.8	1.3	3.0	1.7	1.2
$Q_t$ (MN)	4.7	3.0	3.8	13.1	9.0	10.5	13.9	10.2	12.2
$M_q$	2.6%	4.7	-	1.5%	2.8	-	1.1%	2.1	-

Note: A: IMPACT (Continuum model);

B: CAPWAP (Smith model);

C: Allwave-PDP (Global Match method)

Table 6 Summary of static pile capacity,  $Q$  (ASC)

Static compression tests	Loc1_CP1			Loc1_CP2			Loc2_CP1			Loc2_CP2		
	1	2	3	1	2	3	1	2	3	1	2	3
$Q_s$ (MN)	4.0	4.8	4.4	14.3	14.6	12.8	14.0*	14.9	13.7	29.6*	14.9	13.7
$Q_{ba}$ (MN)	3.9	4.7	5.6	3.9	5.1	6.7	3.9*	5.7	7.2	3.9*	5.7	7.2
$Q_t$ (MN)	7.9	9.5	10.0	18.2	19.7	19.5	17.9	20.6	20.9	33.5	20.6	20.9

Note: 1: Measured; 2: Predicted by ICP-05 (sand) + UWA-13 (clay) method;

3: Predicted by Unified-20 method

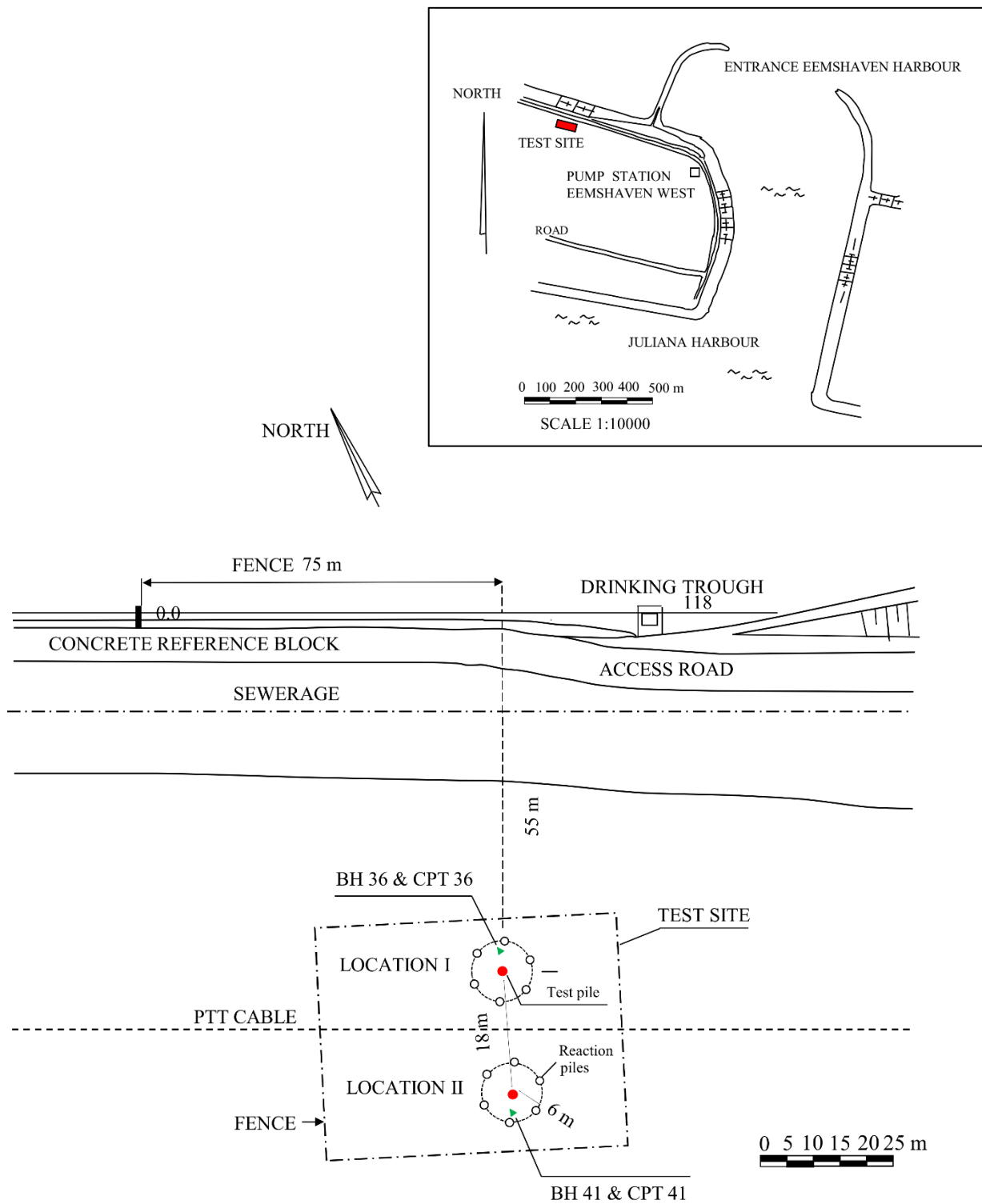
\* Average extrapolated values for Loc2\_CP2 test

## LIST OF FIGURES

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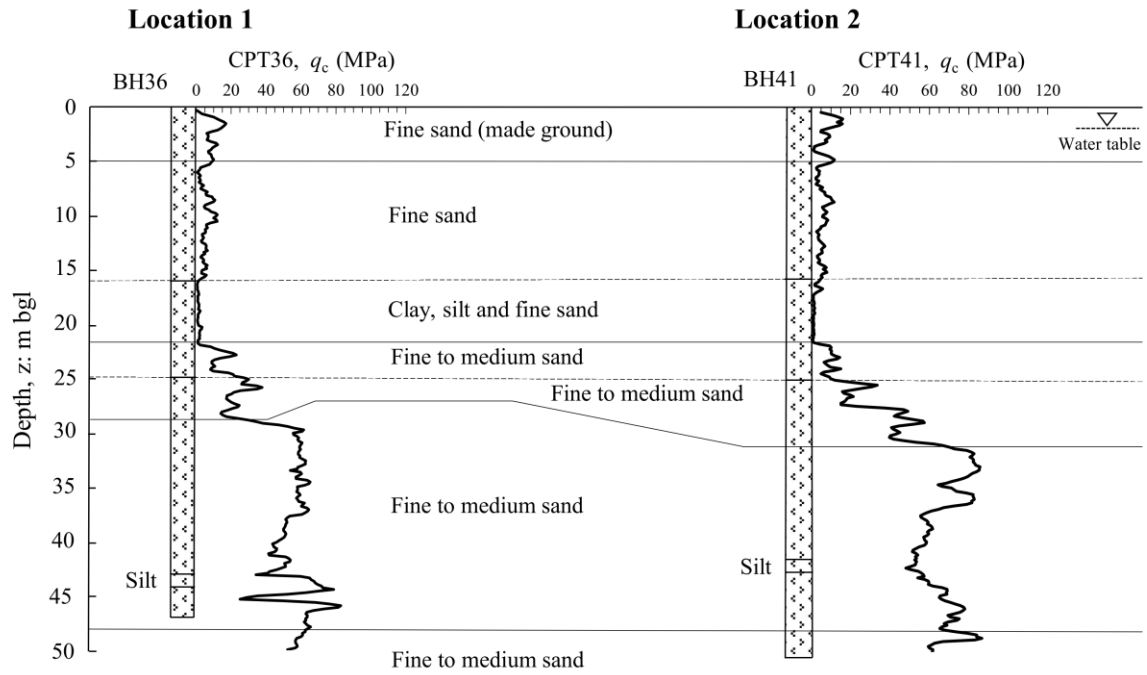
- Figure 1 Site plan showing positions of pile tests and in-situ tests, adapted from Fugro (1996)
- Figure 2 EURIPIDES site investigation. (a) CPT and soil stratigraphy; (b) Soil classification index, Relative density, and small-strain shear modulus
- Figure 3 Layout of the test piles and main instruments, adapted from Fugro (1996)
- Figure 4 Profiles of blow counts, ENTHRU energy, plug levels during pile installation; (a) Location 1; (b) Location 2
- Figure 5 Force and ZV traces selected for dynamic analyses
- Figure 6 Divisions of time periods for match quality assessment
- Figure 7 Comparison of measured and computed upward travelling force and pile head displacement for the three blows: (a) Loc1\_BN10; (b) Loc1\_BN3001; (c) Loc2\_BN3115
- Figure 8 Comparison between predicted and back-calculated static resistance to driving (SRD) and pile static capacity: (a) Location 1; (b) Location 2
- Figure 9 Comparison of shaft resistance distribution at EoD: (a) Loc1\_BN10; (b) Loc1\_BN3001; (c) Loc2\_BN3115
- Figure 10 Distribution of shaft resistance from static tests (adapted from Kolk et al. 2005): (a) Location 1; (b) Location 2
- Figure 11 Force measured at pile head and toe versus pile head displacement, (a) Location 1; (b) Location 2
- Figure 12  $Q_s(\text{ASC})/Q_s(\text{EoD})$  versus ageing days after driving
- Figure 13  $Q_s(t)/Q_s(\text{ICP})$  versus ageing days after driving
- Figure A-1 Effect of operational shear modulus  $G_{o,out}$  on a typical soil element outside pile wall: (a) spring, (b) radiation dashpot, (c) viscous dashpot, (d) total resistance
- Figure A-2 Effects of  $G_{o,b}$  on the performance of a pile base element (a)  $G_{o,b} = 0.2G_{\text{max}}$ ; (b)  $G_{o,b} = 0.6G_{\text{max}}$
- Figure A-3 Effect of modelling internal soil column explicitly on signal matching
- Figure A-4 Effects of ratios of internal to external resistance (a) upward travelling wave; (b) pile head displacement

**FIGURES**

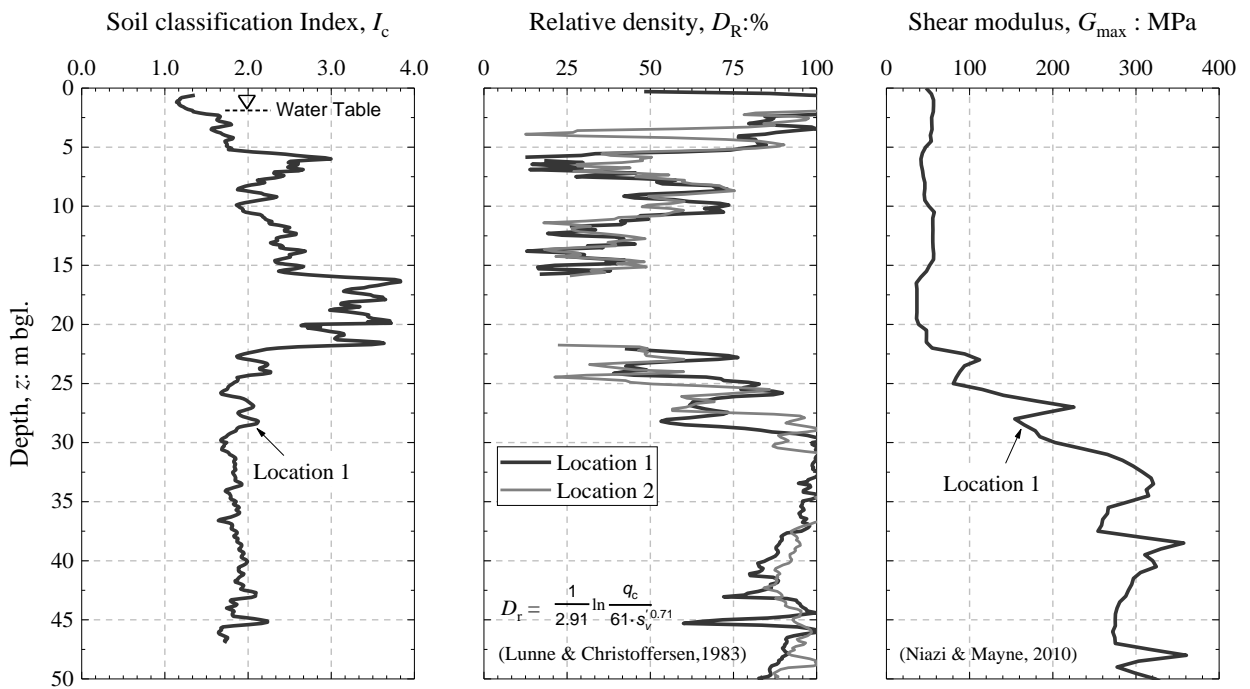


*Figure 1 Site plan showing positions of piles and in-situ tests, adapted from Fugro (1996)*





(a)



(b)

Figure 2 EURIPIDES site investigation. (a) CPT and soil stratigraphy; (b) profile of Soil classification index, relative density and small-strain shear modulus

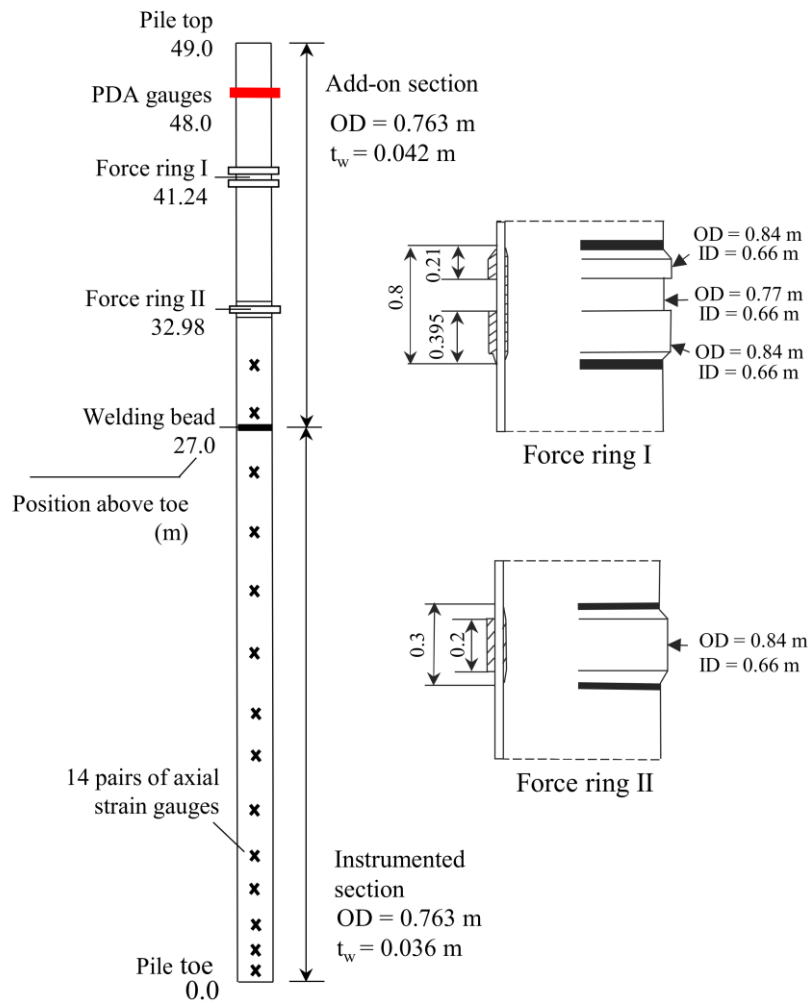
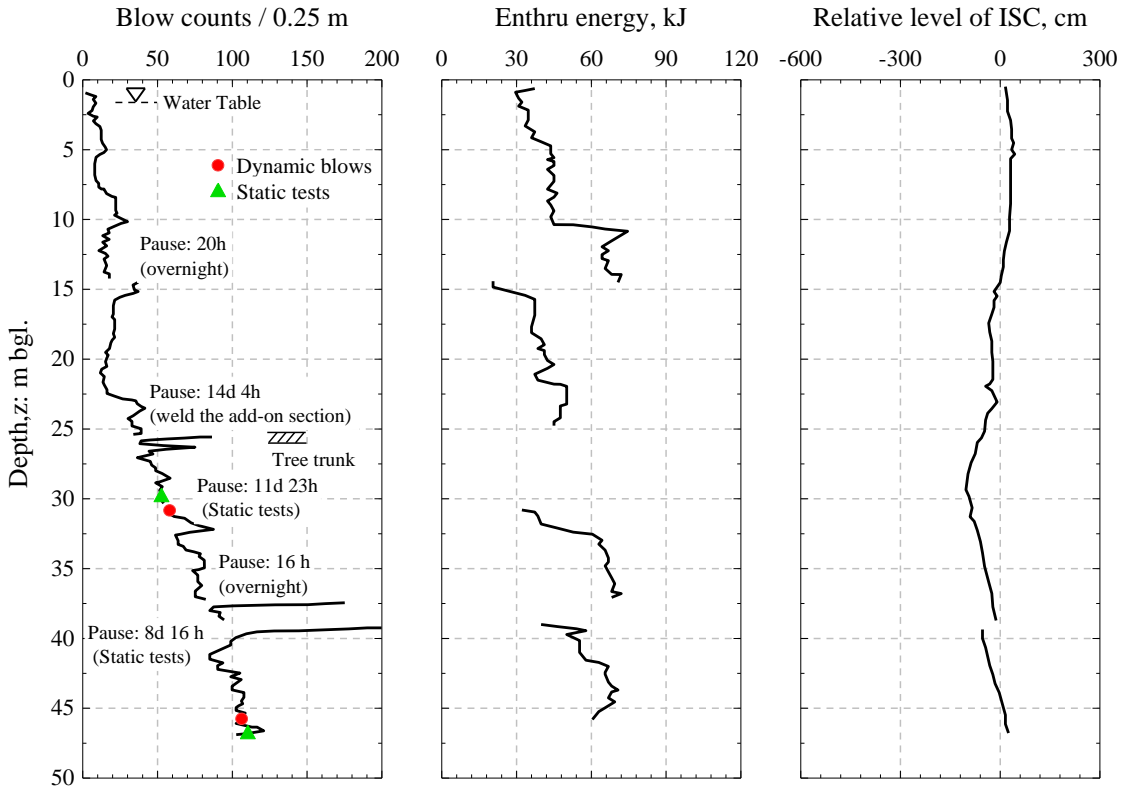
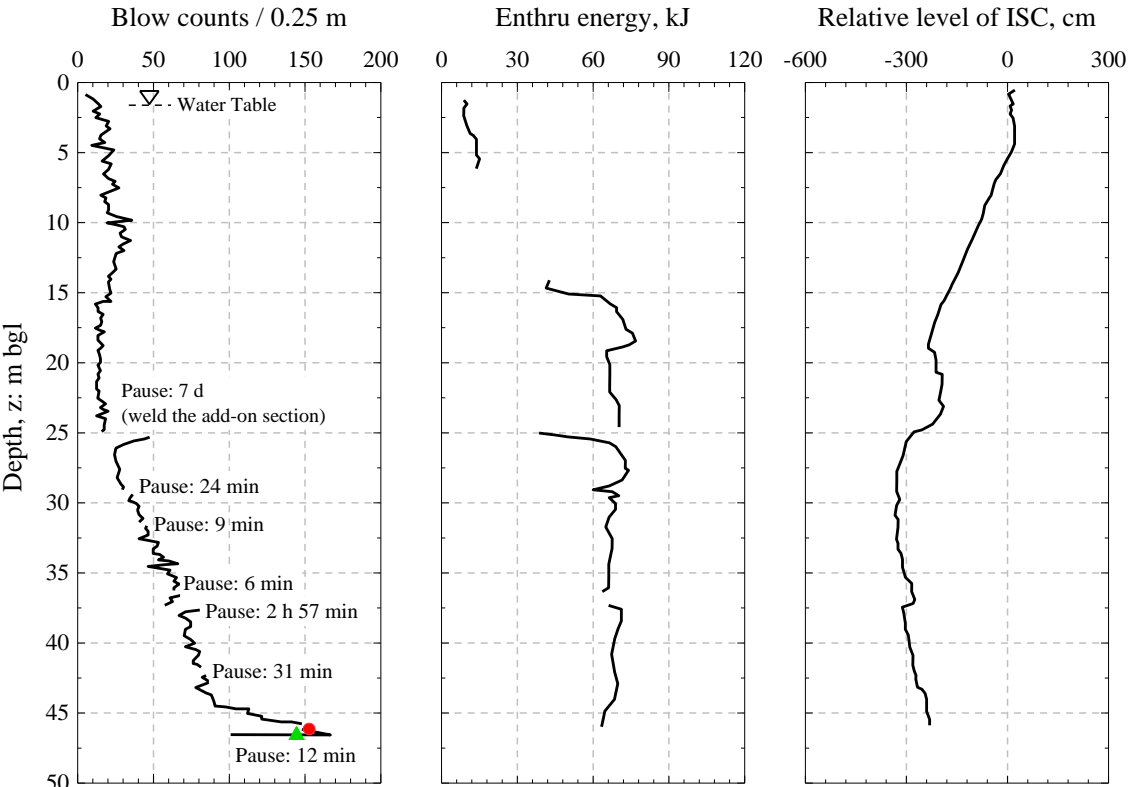


Figure 3 Layout of the test piles and main instruments, adapted from Fugro (1996)



(a)



(b)

Figure 4 Profiles of blow counts, Enthru energy, plug levels during pile installation; (a) Location 1; (b) Location 2

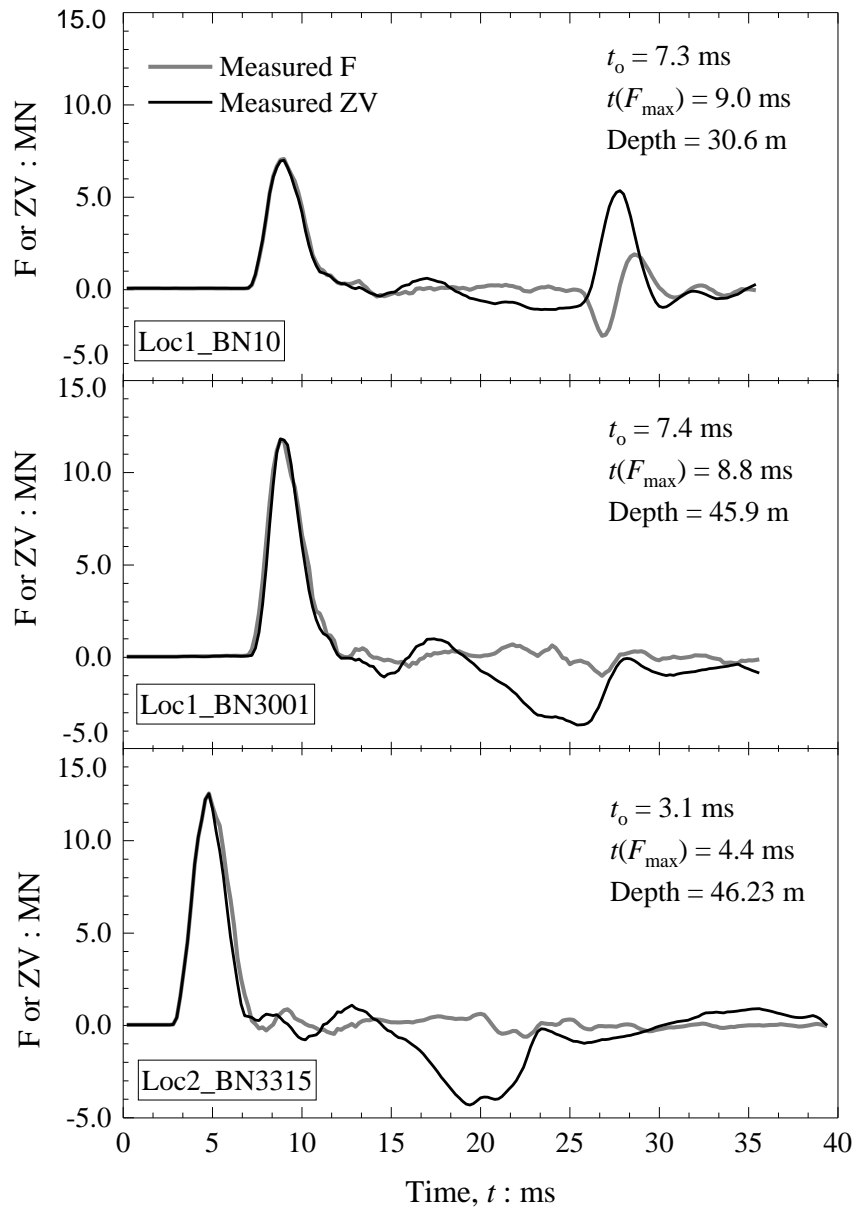


Figure 5 Force and ZV traces of three selected dynamic blows

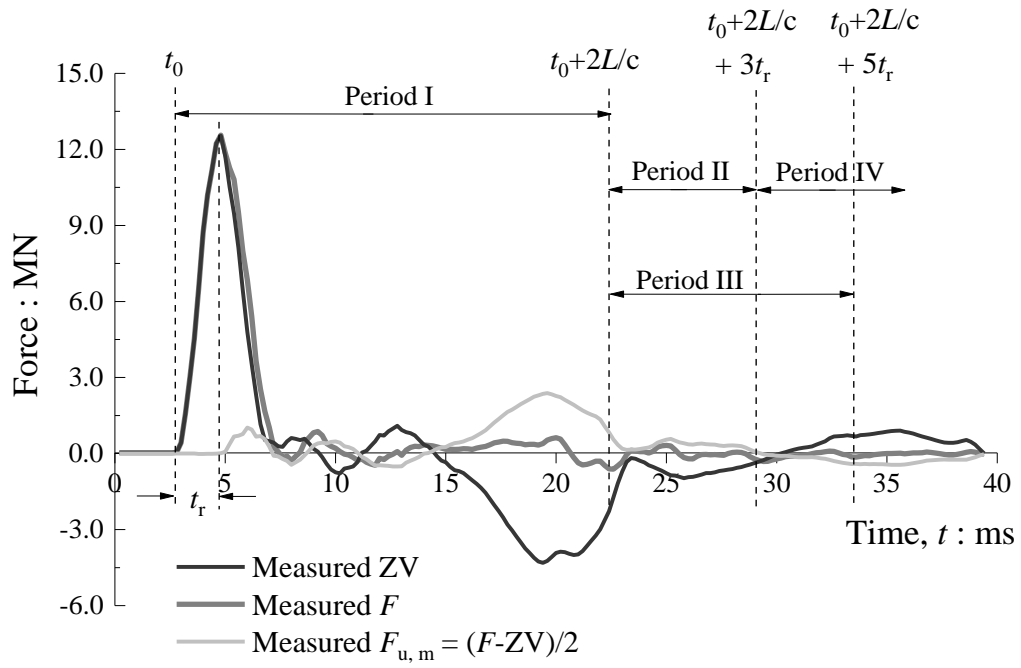


Figure 6 Divisions of periods for match quality assessment

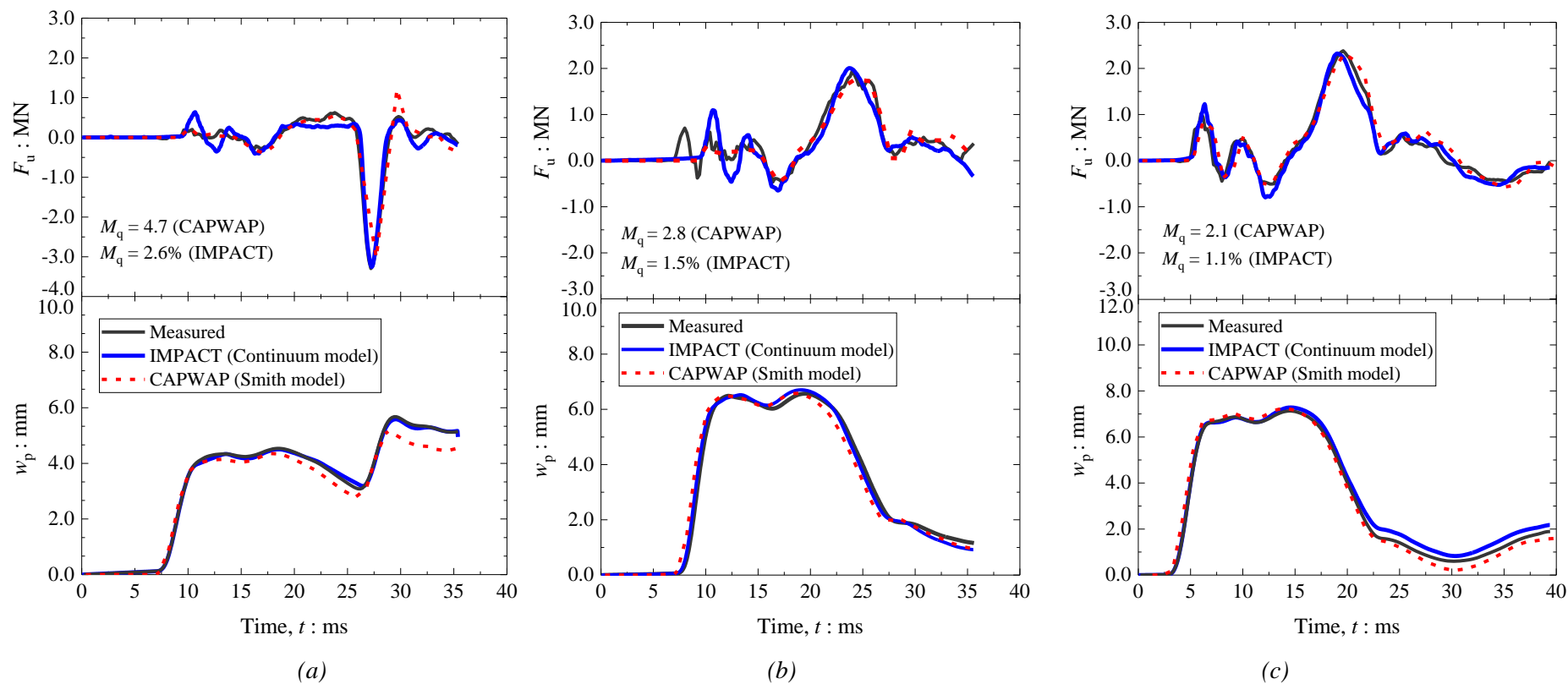


Figure 7 Comparison of measured and computed upward travelling force and pile head displacement for the three blows: (a) Loc1\_BN10; (b) Loc1\_BN3001; (c) Loc2\_BN3115

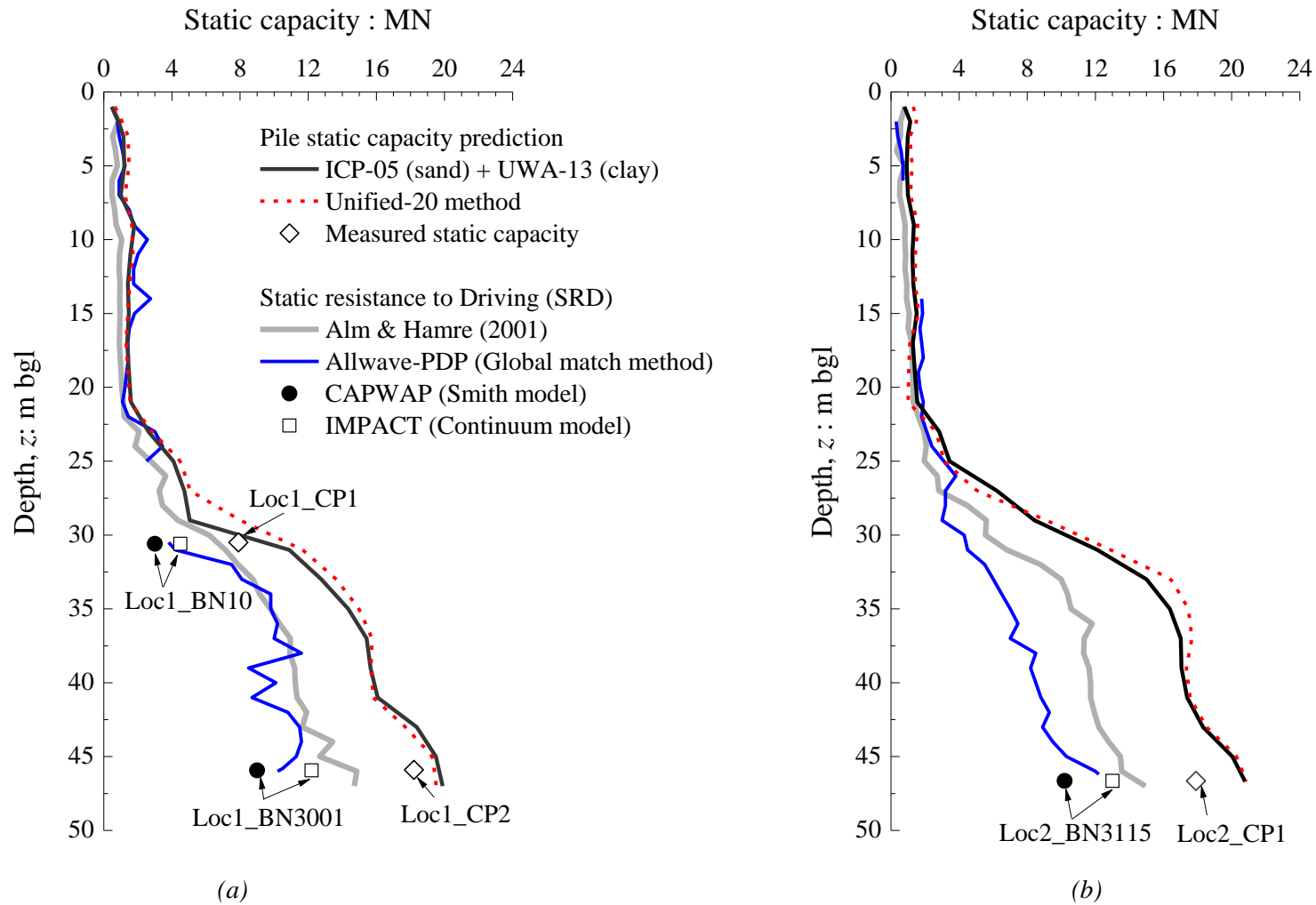


Figure 8 Comparison between predicted and back-calculated static resistance to driving (SRD) and pile static capacity: (a) Location 1; (b) Location 2

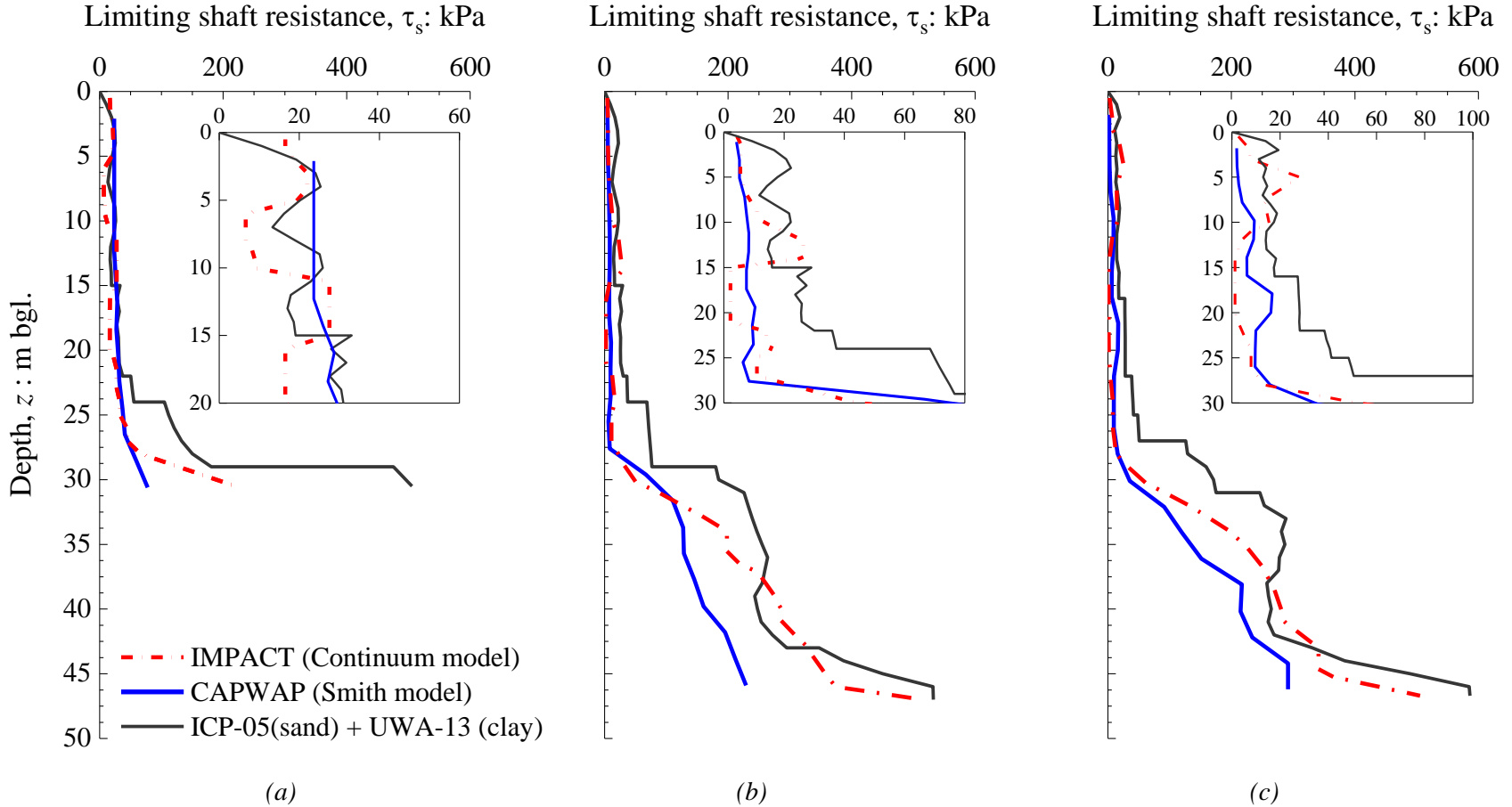
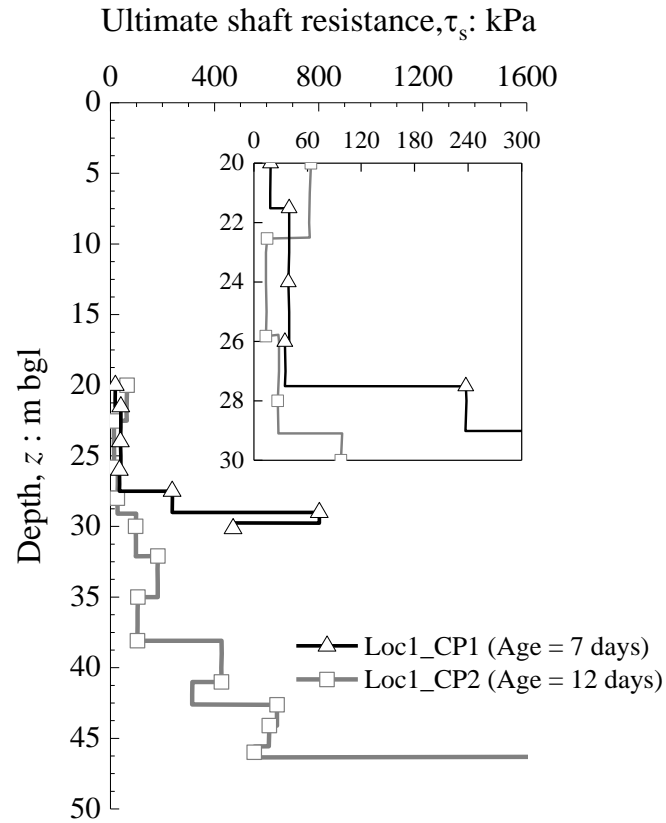
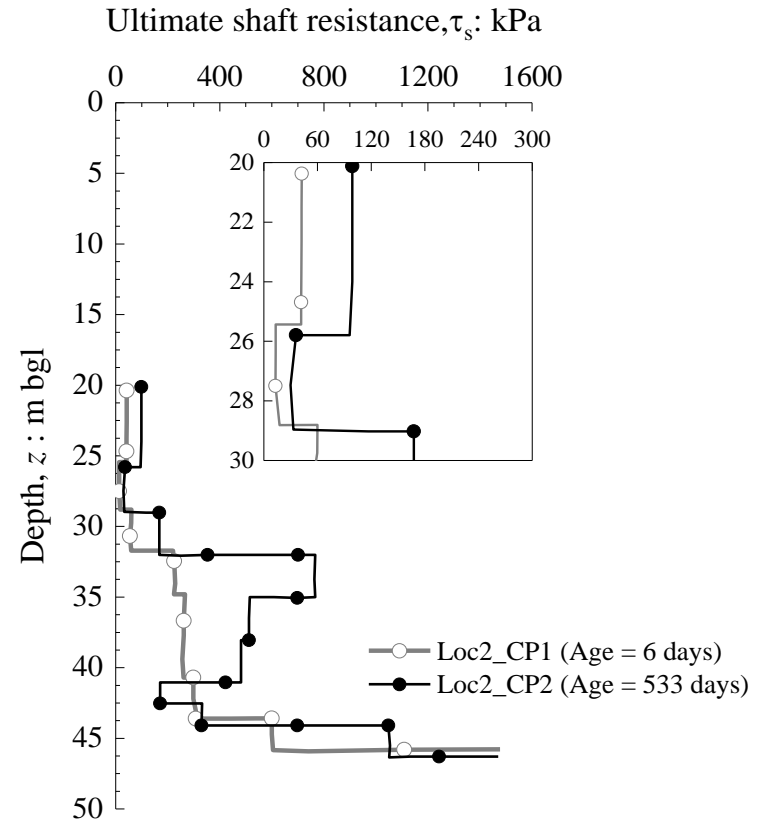


Figure 9 Comparison of shaft resistance distribution at EoD: (a) Loc1\_BN10; (b) Loc1\_BN3001; (c) Loc2\_BN3115



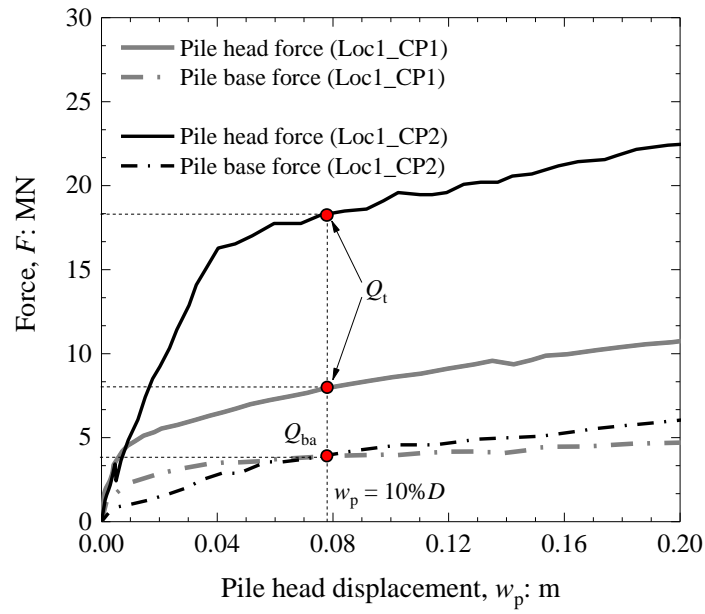


(a)

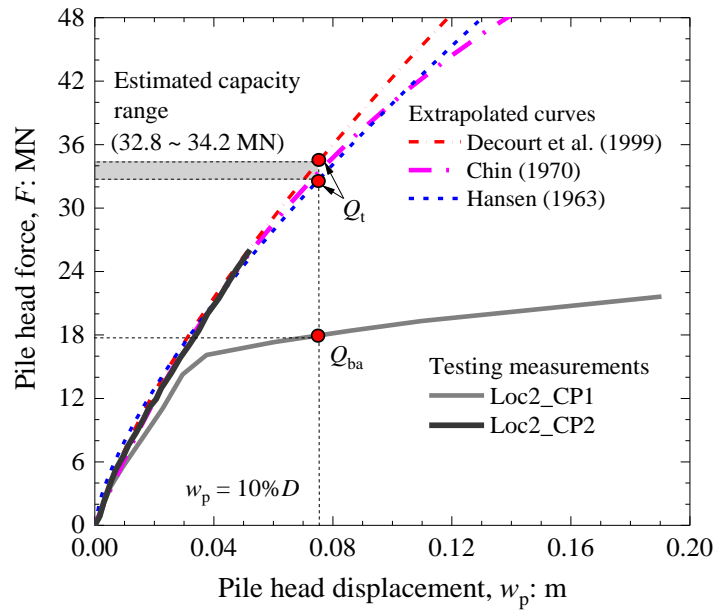


(b)

Figure 10 Distribution of shaft resistance from static tests (adapted from Kolk et al. 2005): (a) Location 1; (b) Location 2



(a)



(b)

Figure 11 Force measured at pile head and toe versus pile head displacement, (a) Location 1; (b) Location 2

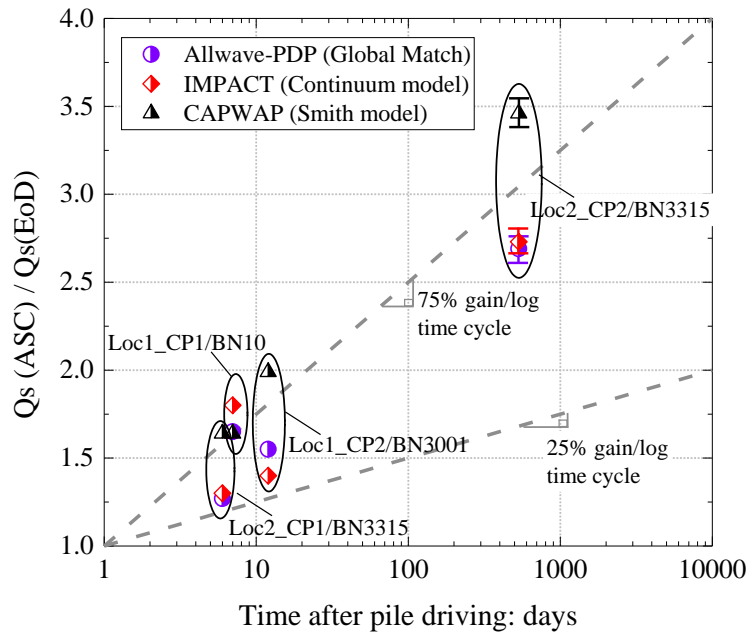


Figure 12  $Q_s(ASC)/Q_s(EoD)$  versus ageing days after driving

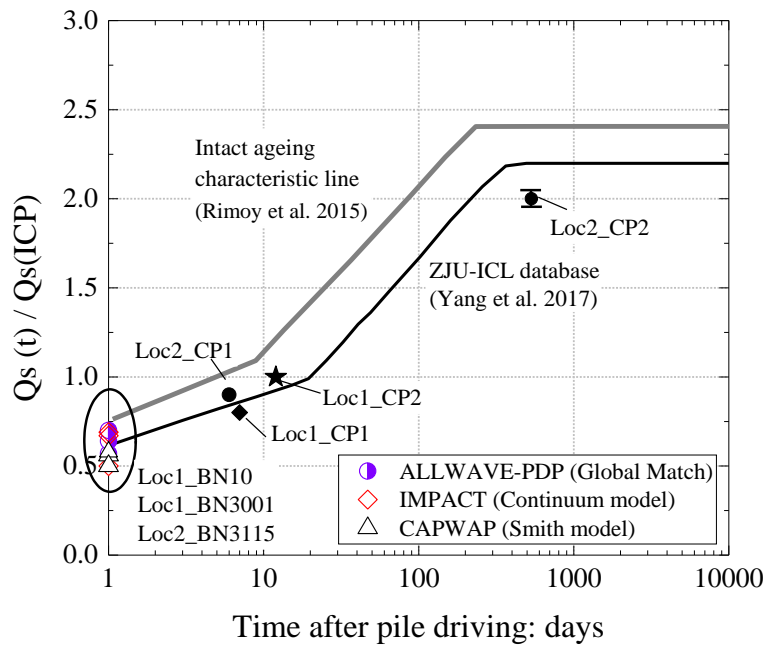
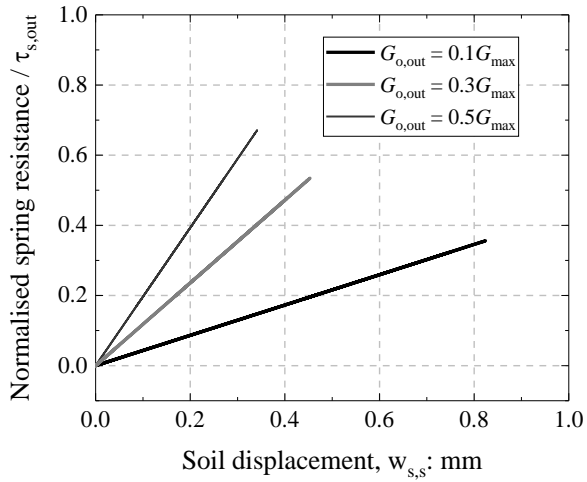
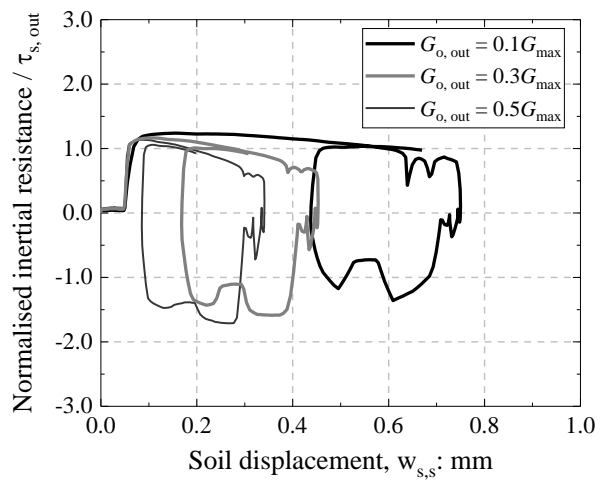


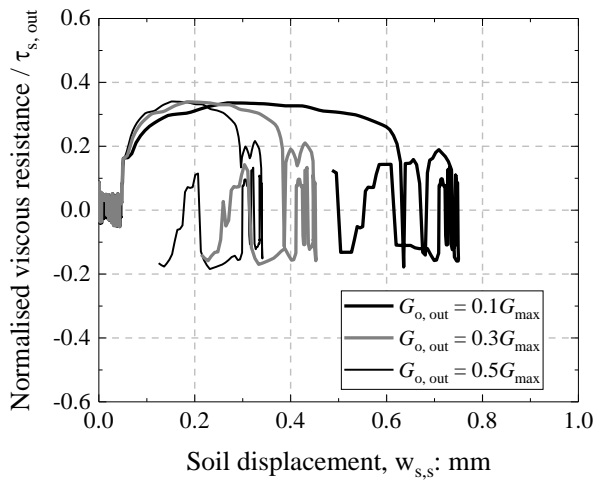
Figure 13  $Q_s(t)/Q_s(ICP)$  versus ageing days after driving



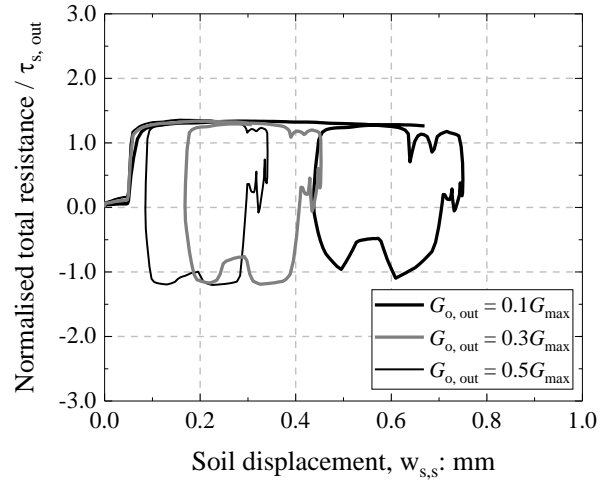
(a)



(b)



(c)



(d)

Figure A-1 Effect of operational shear modulus  $G_{o, out}$  on a typical soil element outside pile wall: (a) spring, (b) radiation dashpot, (c) viscous dashpot, (d) total resistance

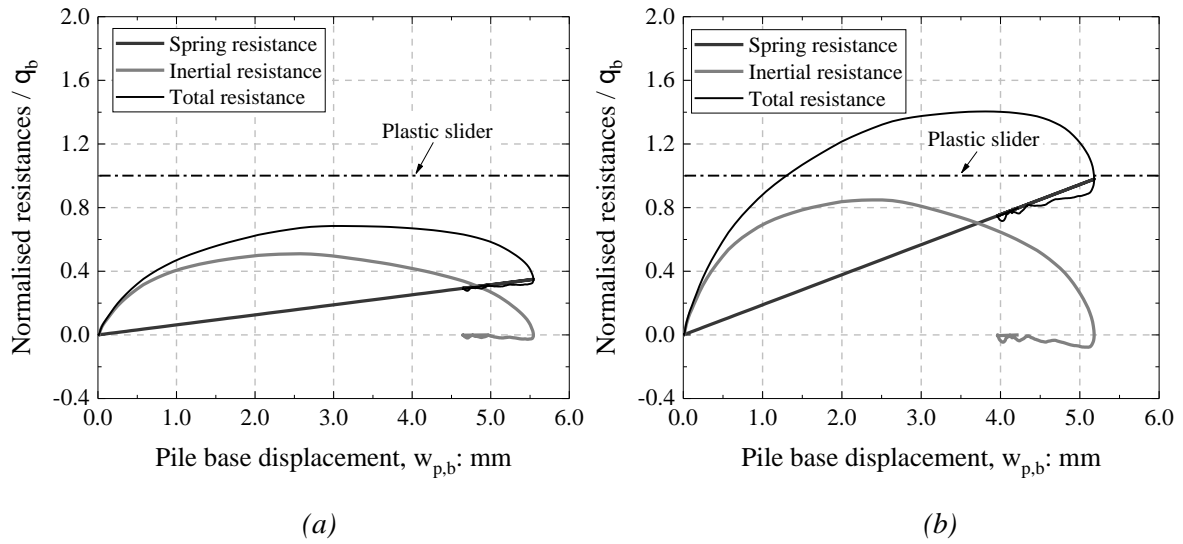


Figure A-2 Effects of  $G_{o,b}$  on the performance of a pile base element (a)  $G_{o,b} = 0.2G_{max}$ ; (b)  $G_{o,b} = 0.6G_{max}$

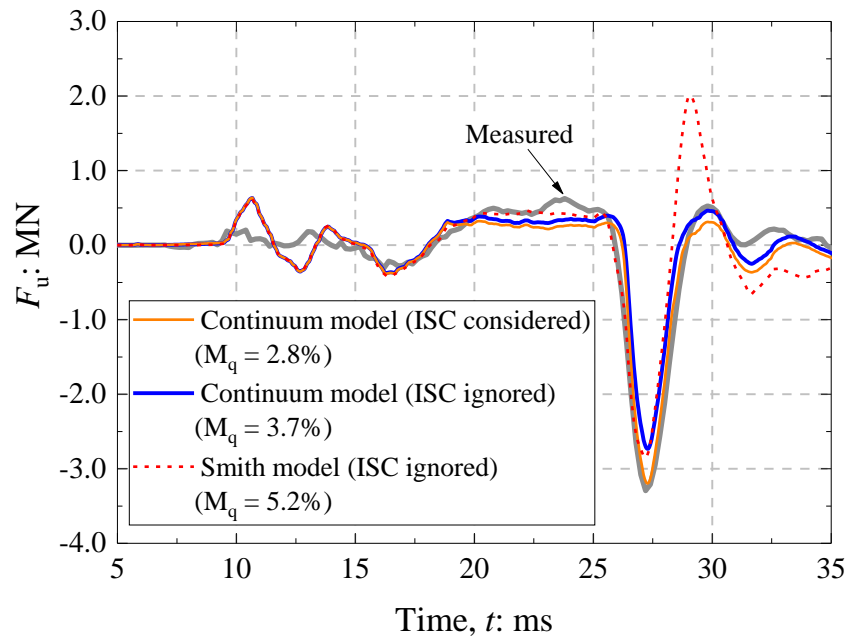
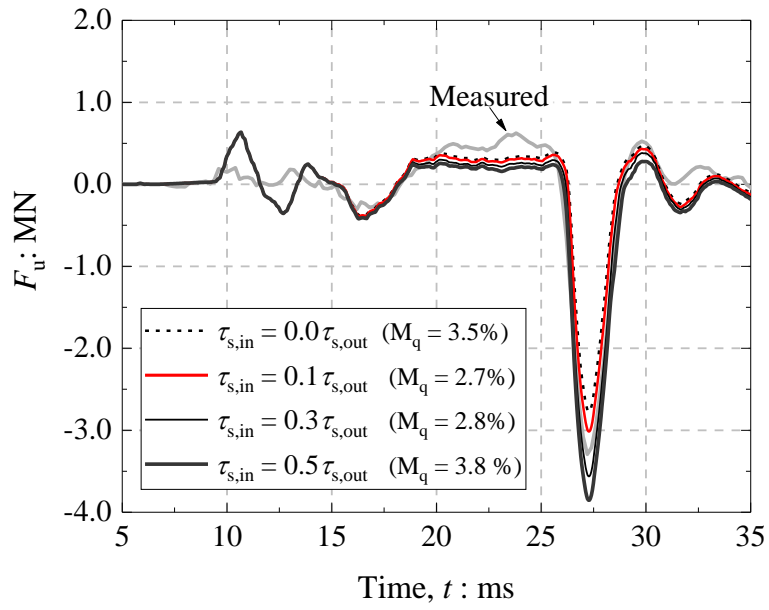
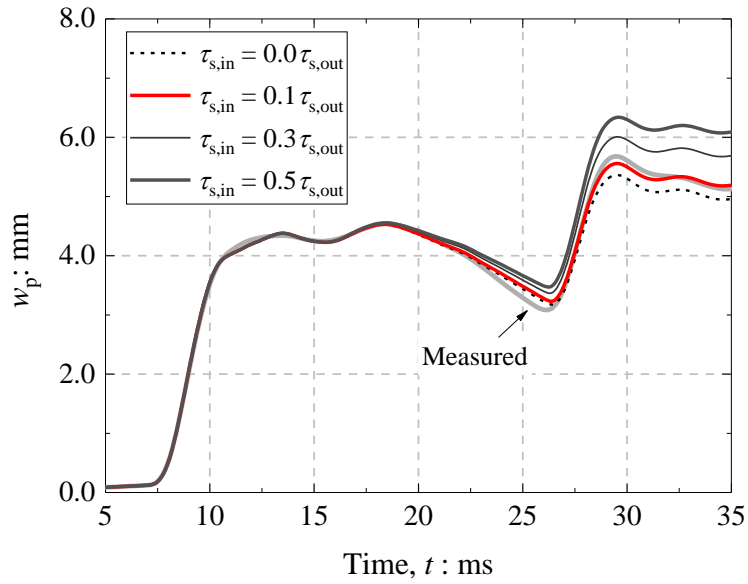


Figure A-3 Effect of modelling internal soil column explicitly on signal matching



(a)



(b)

Figure A-4 Effects of ratios of internal to external resistances (a) upward travelling wave; (b) pile head displacement

## NOTATION

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$A$	Steel cross section area
$D$	Pile outer diameter
$D_i$	Pile inner diameter
$D_r$	Relative density
$E$	Young's modulus
$F_{u,m}$	Measured upward travelling force
$F_{u,c}$	Calculated upward travelling force
$F_{max}$	Maximum force measured at pile head
$G$	Shear modulus
$G_{max}$	Maximum shear modulus
$G_{o,out}$	Operational shear modulus for soils outside pile wall
$G_{o,in}$	Operational shear modulus for soils inside pile wall
$G_{o,b}$	Operational shear modulus for soils beneath pile base
$h$	Relative depth to pile tip
$J_s$	Smith damping constant for pile shaft
$J_b$	Smith damping constant for pile base
$I_c$	Soil behaviour type index
$L$	Pile length
$M_q$	Matching quality
$n_{sample}$	Number of the sample time increments in a given time period
$q_c$	Cone tip resistance
$q_{b,a}$	Limiting end-bearing pressure beneath pile's annular base
$Q_s$	Pile shaft capacity
$Q_{p,s}$	Quake for pile shaft node
$Q_{p,b}$	Quake for pile base node
$Q_{ba}$	Pile annual base capacity
$Q_t$	Pile total capacity
$R^*$	Equivalent radius for open-ended piles
$R_{max}$	Maximum surface roughness
$t$	Time
$t_w$	Pile wall thickness
$T_{s,in}$	Internal shear force per unit length
$V$	Velocity at pile head
$\nu$	Poisson's ratio
$v_{s,s}$	Local soil velocity beneath pile base
$v_{p,s}$	Local pile shaft velocity
$v_{p,b}$	Local pile base velocity
$v_{ref}$	Reference velocity
$W$	Dry weight per unit length
$w_p$	Pile head displacement

$w_{p,s}$	Local pile shaft displacement
$w_{p,b}$	Local pile base displacement
$w_{s,s}$	Local soil displacement along pile shaft
$w_{s,b}$	Local soil displacement beneath pile base
$Z$	Pile impedance
$\alpha$	Viscosity parameter in Continuum model
$\beta$	Viscosity parameter in Continuum model
$\sigma_e$	Yield strength of steel pile
$\sigma'_v$	Vertical overburden effective stress
$\sigma'_{ri}$	Local radial effective stress
$\delta'$	Interface friction angles
$\rho$	Mass density
$\tau$	Total shaft resistance during driving (static + dynamic)
$\tau_{lim}$	Velocity-dependent limiting resistance at pile-soil interface
$\tau_s$	Limiting static shaft resistance (external + internal)
$\tau_{s,in}$	Limiting resistance on pile internal shaft
$\tau_{s,out}$	Limiting resistance on pile outer shaft
ASC	Axial static compression
bgl	Below ground level
BH	Borehole tests
BoR	Beginning of restrike
CPT	Cone penetration tests
CRP	Constant rate of penetration
EoD	End of driving
EURIPIDES	European Initiative on Piles in Dense Sands
IFR	Incremental filling ratios
ISC	Internal soil column
JIP	Joint industry project
PAGE	Pile ageing in sands
SRD	Static resistance to driving