

1 **Validation of earthquake ground-motion models in**  
2 **southern California using precariously balanced rocks**

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## 14 **ABSTRACT**

15 Accurate estimates of earthquake ground shaking rely on uncertain ground-motion models derived  
16 from limited instrumental recordings of historical earthquakes. A critical issue is that there is currently  
17 no method to empirically validate the resultant ground-motion estimates of these models at the  
18 timescale of rare, large earthquakes; this lack of validation causes large uncertainty in ground-motion  
19 estimates. Here, we address this issue and validate ground-motion estimates for southern California  
20 utilizing the unexceeded ground motions recorded by 20 precariously balanced rocks. We used  
21 cosmogenic  $^{10}\text{Be}$  exposure dating to model the age of the precariously balanced rocks, which ranged  
22 from  $\sim 1$  to  $\sim 50$  ka, and calculated their probability of toppling at different ground-motion levels. With  
23 this rock data, we then validated the earthquake ground motions estimated by the UCERF3 seismic  
24 source characterization and the NGA-West2 ground-motion models. We found that no ground-motion  
25 model estimated levels of earthquake ground shaking consistent with the observed survival of all 20  
26 precariously balanced rocks. The ground-motion model I14 estimated ground-motion levels that were  
27 rejected by the most rocks, and, therefore, I14 was invalidated and removed. At a 2475 year mean  
28 return period, the removal of this invalid ground-motion model resulted in a 2-7% reduction in the  
29 mean and a 10-36% reduction in the 5th–95th fractile uncertainty of the ground-motion estimates. Our  
30 findings demonstrate the value of empirical data from precariously balanced rocks as a validation tool  
31 to remove invalid ground-motion models and, in turn, reduce the uncertainty in earthquake ground-  
32 motion estimates.

## 33 **INTRODUCTION**

34 Earthquakes pose a present and future hazard to the population, economy, and environment in  
35 seismically active regions worldwide. California, the most populous and one of the most seismically  
36 active states in the United States, has a known history of large, damaging earthquakes, yet the impact  
37 of shaking caused by future earthquake is highly uncertain. For example, a magnitude 7.8 earthquake  
38 on the southern San Andreas fault is modeled to cause about 1,800 deaths and \$213 billion of  
39 economic losses (Jones et al., 2008), yet uncertainty in these consequences impedes preparation for  
40 such an event. Probabilistic Seismic Hazard Analysis (PSHA) is the ubiquitous framework used  
41 worldwide to estimate the frequency with which a level of earthquake ground shaking will be  
42 exceeded during a given time period (Cornell, 1968; SSHAC, 2012). One fundamental component of  
43 a PSHA model is the ground motion characterization (GMC), which consists of ground-motion  
44 models (GMMs) that estimate the levels of earthquake ground shaking. However, these GMMs are  
45 derived from only several decades of instrumental recordings of historical earthquakes, which are then  
46 extrapolated to timescales for which there is essentially no constraining data. Furthermore, the limited  
47 number of instrumental recordings of historical earthquakes necessitates the assumption that the  
48 distribution of ground motions over time at a single point is the same as the distribution of ground  
49 motions in space (Anderson & Brune, 1999). Hundreds of published GMMs exist that each invariably  
50 result in a different estimation of future earthquake ground shaking (Douglas & Edwards, 2016). The

51 inherent lack of data and knowledge about earthquake processes creates uncertainty in ground-motion  
52 estimation because multiple GMMs are considered in the PSHA model; this uncertainty is potentially  
53 major and, therefore, problematic (Gerstenberger et al., 2020). This problem is particularly acute  
54 given that these PSHA estimates of future earthquakes are crucial in land use planning, building-code  
55 revisions, disaster preparation and recovery, emergency response, and the siting, design, and  
56 maintenance of critical facilities.

57 Despite the importance of the PSHA results, seismic hazard estimates of future rare, large earthquakes  
58 are uncertain and unvalidated over timescales of 1,000s and 10,000s years. Currently, the challenge of  
59 selecting a suitable suite of GMMs to be used in the PSHA model is achieved by selection criteria  
60 such as those of Bommer et al. (2010). These intentionally non-specific and flexible GMM selection  
61 criteria systematically eliminate unsuitable GMMs from a complete list of available GMMs based on  
62 requirements of the rigor of modeling. Crucially, there are currently no criteria to empirically test the  
63 resultant ground-motion estimates of these GMMs at the timescale of rare, large earthquakes. A  
64 tangible solution to reduce the epistemic uncertainty in ground-motion estimates is to eliminate  
65 GMMs from the PSHA model because their ground-motion estimates can be invalidated by  
66 independent data over these timescales.

67 The other fundamental component of a PSHA model, in addition to a ground motion characterization,  
68 is a seismic source characterization, which consists of models that describe the location, geometry,  
69 magnitude and frequency distribution of all possible earthquake ruptures on local active faults. In  
70 southern California, the relative motion of the Pacific and North American plates, at a rate of  $50.2 \pm$   
71  $1.1 \text{ mm yr}^{-1}$  toward  $N35.8^\circ W \pm 0.2 (1\sigma)$  (DeMets et al., 2010), has produced a complicated  
72 configuration of active faults (Figure 1). These faults include multiple dextral strike-slip faults of the  
73 San Andreas fault system (SAFS) and Eastern California shear zone (ECSZ), thrust and reverse faults  
74 within the Transverse Ranges, and left-lateral faults of the Eastern Transverse Ranges. In the past 200  
75 years the southern SAFS has produced two major earthquakes: Wrightwood in 1812 ( $M_w = 7.5$ ) and  
76 Fort Tejon in 1857 ( $M_w = 7.9$ ) (Jacoby et al., 1988; Sieh et al., 1989; Zielke et al., 2012). The more  
77 recent 1992 Landers ( $M_w = 7.3$ ), 1999 Hector Mine ( $M_w = 7.1$ ), and 2019 Ridgecrest ( $M_w = 7.1$ )  
78 earthquakes showed that the ECSZ is also capable of producing large earthquake events (DuRoss et  
79 al., 2020). In addition, the 1994 Northridge earthquake ( $M_w = 6.7$ ) in the Transverse Ranges indicated  
80 the seismic hazard potential of this region (Hauksson et al., 1995). Despite many years of extensive  
81 studies, the distribution of late Quaternary strain between the various faults of the complex plate  
82 boundary zone is unresolved (Dolan et al., 2007; Powell & Weldon, 1992). This uncertainty is  
83 problematic when making hazard estimates of the size and location of future large earthquakes in  
84 southern California.

85 The pattern of plate boundary deformation in southern California also controls the geology,  
86 topography, and geomorphology in the vicinity of the Transverse Ranges (Figure 1). The Transverse  
87 Ranges, i.e., the San Gabriel and San Bernadino Mountains, are predominantly composed of

88 Precambrian and Mesozoic metamorphic and granitic rocks. The geomorphology of the San  
89 Bernadino Mountains is characterized by a high-elevation, low-relief surface, mantled by deeply  
90 weathered granite saprolite formed by weathering under a more humid Miocene climate (Oberlander,  
91 1972). This broad erosion surface was preserved at the initiation of uplift when tectonic activity was  
92 transferred onto the current trace of the San Andreas Fault at ~7 Ma (Blythe et al., 2000). Uplift  
93 initiated river canyon incision propagating headward into the low-relief interior of the ranges. The  
94 incision has generated a landscape in disequilibrium as it transitions from a saprolite-mantled, low-  
95 relief landscape to a bedrock-dominated, high-relief landscape. While the low-relief surface in the  
96 upper reaches of catchments remain covered by regolith and saprolite, the lower reaches have eroded  
97 and removed the saprolite to exhume abundant granitic corestones that form precariously balanced  
98 rocks.

99 Precariously balanced rocks (PBRs) are naturally occurring inverse seismometers that record an upper  
100 limit on the ground-shaking level experienced at a site over the lifetime of the PBR, often the past  
101 1,000s to 10,000s of years. Previous research has established methods to evaluate the probability of  
102 toppling for the rocks at various levels of ground shaking (the fragility of a rock) (Anooshehpour et  
103 al., 2004; Purvance et al., 2008a) and the length of time the rocks have been precariously preserved in  
104 the landscape (the fragility age of a rock) (Balco et al., 2011; Bell et al., 1998). The potential to  
105 constrain PSHA estimates with these precariously balanced rock data was demonstrated at the  
106 previously proposed United States (US) high-level nuclear waste repository at Yucca Mountain  
107 (Baker et al., 2013; Hanks et al., 2013). Recently, it has been shown that precariously balanced rocks  
108 are a powerful tool to improve PSHA models and dramatically reduce uncertainties by validating each  
109 of the estimates output from the PSHA model (Rood et al., 2020; Stirling et al., 2021). Precariously  
110 balanced rocks have been identified to naturally occur across southern California with the potential to  
111 place ground-motion constraints in this seismically active region (Brune, 1996; Purvance et al.,  
112 2008b). However, neither a combined rigorous assessment of the fragility and fragility age of the  
113 rocks nor hazard model validation has been previously conducted.

114 The objective of the present work is to use the Third Uniform California Earthquake Rupture Forecast  
115 (UCERF3; (Field et al., 2013)) seismic source characterization and validate the Next Generation  
116 Attenuation Relationships for the Western US (NGA-West2; (Bozorgnia et al., 2014)) GMMs, both  
117 used in the 2014 and 2018 U.S. Geological Survey (USGS) National Seismic Hazard Model for  
118 California, with the unexceeded ground motions recorded by precariously balanced rocks. Our  
119 enhanced approach investigates precariously balanced rocks covering a large spatial area to validate  
120 the PSHA model outputs with multiple rocks and sites. Finally, we analyze which of the GMMs  
121 generate the ground-motion estimates that are inconsistent with the empirical precariously balanced  
122 rock data. We demonstrate how the removal of the invalid GMMs from the PSHA model ground  
123 motion characterization can reduce the uncertainty in the ground-motion estimates.

## 124 **METHODS**

125 Collectively over the past two decades, we have carried out extensive field studies across southern  
126 California to compile precariously balanced rock data (e.g., Brune (1996); Grant Ludwig et al.  
127 (2015)). During this period, the PBR selection criteria often differed between studies that focused  
128 only on characterizing PBR fragility and studies that focused only on characterizing PBR fragility  
129 age. Therefore, some of the PBRs characterized in southern California are well suited and,  
130 consequently, well characterized for either fragility or fragility age, but not both. Over this same  
131 period, however, both fragility and fragility age methods have evolved and been refined. Here, we  
132 advance previous work and rigorously characterize both the fragility and fragility age of 20  
133 precariously balanced rocks to validate earthquake ground-motion estimates for southern California  
134 (Figure 1; Table 1).

135 Our fragility and fragility age methods are based on the geomorphic model that these PBRs formed as  
136 granitic corestones, which developed in the subsurface by weathering along bedrock joints and  
137 fractures, that were then exhumed by the stripping of surrounding regolith and saprolite (Oberlander,  
138 1972). Previous textural, mineralogical, and geochemical analyses of one of our studied PBRs (RT1)  
139 concluded that this PBR was exhumed intact, and that, since exhumation, little additional fracturing or  
140 weathering has taken place (Hall et al., 2019). Additionally, Rood et al. (2020) calculated negligible  
141 erosion of studied PBR outcrops. Importantly, this supports our assumption that the present observed  
142 geometry of the studied PBRs is unchanged since the time of formation and, therefore, we can  
143 accurately assess both the fragility and fragility age of the rocks.

### 144 **PBR Fragility**

145 We define the fragility of a precariously balanced rock as the probability of it toppling given an  
146 intensity of ground motion. This probabilistic fragility definition, as opposed to a deterministic  
147 fragility, is to allow for uncertainty in the toppling of each rock due to the random variability in  
148 earthquake ground motions. The ground-motion intensity measures we used in our analysis were peak  
149 ground acceleration (PGA) and the ratio of peak ground velocity (PGV) to PGA (i.e., PGV/PGA). The  
150 use of two intensity measures results in a vector fragility analysis. A probabilistic fragility was  
151 determined for each precariously balanced rock following the methods of Rood et al. (2020) and  
152 probability of toppling equations of Purvance et al. (2008a).

153 The probability of a PBR toppling due to earthquake ground shaking is controlled by the geometry of  
154 the rock, specifically the radius ( $R$ ) that connects the center of mass of the PBR and the basal rocking  
155 point and the angle ( $\alpha$ ) between the radius and vertical about the center of mass (Anooshehpour et al.,  
156 2004). The geometry of each PBR was accurately described as a triangulated 3-D model produced  
157 using the photogrammetry software *PhotoModeler* (Anooshehpour et al., 2013; PhotoModeler  
158 Technologies, 2018; Rood et al., 2020) (Figure 2A). The two critical rocking points for toppling,  
159 carefully selected in MATLAB (The Mathworks Inc, 2016), were those that defined the narrowest

160 base in a 2-D section through the center of mass of the rock. The  $\alpha$  and  $R$  value associated with each  
161 of the two critical rocking points were calculated, where  $\alpha_1$  and  $R_1$  are measured in the most fragile  
162 direction, i.e., the direction in which the PBR will topple during earthquake ground shaking.  $\alpha_2$  and  $R_2$   
163 are measured in the conjugate rocking direction (Figure 2B). A difference in the  $\alpha_1$  and  $\alpha_2$  values of  
164 the two rocking points of less than 5% was used to classify the geometry of each PBR as symmetric  
165 and thus have as associated symmetric rocking response. Conversely, a difference in the  $\alpha_1$  and  $\alpha_2$   
166 values of the two rocking points of greater than 5% was used to classify the geometry of each PBR as  
167 asymmetric and thus have as associated asymmetric rocking response (Rood et al., 2020).

168 The probability of each PBR toppling was calculated across a range of PGA and PGV/PGA values  
169 using the equations of Purvance et al. (2008a) with final coefficient corrected by Rood et al. (2020).  
170 These equations combine the geometry of the PBR ( $\alpha$  and  $R$ ) with the amplitude of the ground-motion  
171 excitation force on the PBR (PGA) and the qualities of the ground motion that dictate the period of  
172 time that a PBR is forced to tip in one direction (PGV/PGA). The distribution of PGV/PGA values  
173 that we used in the Purvance et al. (2008a) equations for each PGA level investigated was calculated  
174 using the conditional PGV model, based on PGA, of Abrahamson and Bhasin (2020). Importantly,  
175 our use of the “true mean” UCERF3 seismic source characterization precluded the disaggregation of  
176 our PSHA model in order to determine the appropriate magnitude and distance values to be used in  
177 the conditional PGV model for each PGA level. Therefore, we instead used the mean magnitude and  
178 distance values associated with the longest return period scenario available from the USGS NSHMP  
179 online Unified Hazard Tool (2475 year mean return period;  
180 <https://earthquake.usgs.gov/hazards/interactive>) for all PGA levels. The 2475 year mean return period  
181 is the available return period of greatest relevance for the toppling of the PBRs (Rood et al., 2020).

182 Finally, the median probability of toppling across the PGV/PGA distribution for each PGA value was  
183 calculated to give the probability of failure of each PBR, which we define as the fragility function of  
184 the PBR based only on PGA (Figure 2C). The different combinations of PGV/PGA, as well as their  
185 relative likelihoods, that arise for different levels of PGA are directly accounted for when reducing the  
186 vector hazard space to scalar hazard space. The slopes of the calculated fragility functions reflect the  
187 variability of the seismic capacity of each PBR and the influence of PGV on the failure of the PBR is  
188 reflected in that variability. We conducted a sensitivity analysis on the fragility function median by  
189 using the 475, 975, and 2475 year mean return period mean magnitudes and distances. This analysis  
190 showed that for most PBRs the fragility functions were insensitive to the mean magnitude and  
191 distances at the different return periods.

## 192 **PBR Fragility Age**

193 We define the fragility age of a corestone PBR as the time when the PBR-pedestal contact became  
194 exhumed by erosion of the surrounding regolith and, therefore, the PBR with its current fragile  
195 geometry became free to rock about its rocking points and potentially topple due to earthquake ground  
196 shaking. We used cosmogenic-nuclide exposure dating (Dunai, 2010), specifically the isotope  $^{10}\text{Be}$ , to

197 not only determine the fragility age of each of the studied PBRs but also model the rate of the  
198 erosional processes that formed each PBR (Balco et al., 2011).

199 The geomorphic model of PBR exhumation informed our sampling strategy, which involved  
200 collecting a vertical profile of ~7 samples down each PBR and pedestal (Supplementary Materials:  
201 Figure 1). By measuring the cosmogenic-nuclide concentrations at several heights on the PBR and  
202 pedestal, the forward model of Balco et al. (2011) was used to account for cosmogenic nuclide  
203 production occurring throughout the exhumation of the PBR from the subsurface. The method of  
204 Balco et al. (2011) models the  $^{10}\text{Be}$  production before, during, and after exhumation of the PBR and  
205 finds the best fit of modeled nuclide concentrations to the measured nuclide concentrations for  
206 optimized values of the free parameters  $t_0$ ,  $\epsilon_{0,sp}$ ,  $\epsilon_{0,mu}$  and  $\epsilon_1$ .  $t_0$  (years before present) is the time  
207 that the uppermost point on the PBR became exposed.  $\epsilon_{0,sp}$  and  $\epsilon_{0,mu}$  are effective erosion rates (m  
208  $\text{Myr}^{-1}$ ) used to specify depth-nuclide concentration profiles due to production by spallation and muon  
209 interactions, respectively, and therefore the initial nuclide concentrations in the samples at  $t_0$ .  $\epsilon_1$  is the  
210 exhumation rate of the PBR (m  $\text{Myr}^{-1}$ ) during the subsequent period in which all the samples were  
211 exhumed. Best fit values of these parameters are used to determine the time  $t_{tip}$  (years before  
212 present), which is the time at which the lowest PBR-pedestal contact point became exposed and,  
213 therefore, the rock became fragile.

214 An important element of this forward model is that by parameterizing the initial conditions with  
215 separate effective erosion rates for production by spallation and muon interactions ( $\epsilon_{0,sp}$  and  $\epsilon_{0,mu}$ )  
216 we avoid the necessity to assume a constant erosion rate prior to PBR exhumation. As our geomorphic  
217 model of PBR formation inherently involves a change in erosion rate at some unknown time before  
218  $t_0$ , such an assumption of constant erosion would be inappropriate. The depth profile resulting from  
219 an unsteady erosion rate prior to  $t_0$  is parameterized by a single effective erosion rate over the  
220 equilibration time of the production pathway (Bierman & Steig, 1996). This effective erosion rate  
221 may or may not be equal to the actual erosion rate at any particular time and is, therefore, not the  
222 instantaneous erosion at time  $t_0$ . The longer attenuation length of muons compared to spallogenic  
223 production results in a slower equilibration to a change in erosion rate and so  $\epsilon_{0,mu}$  is an apparent  
224 erosion rate over a longer timescale than  $\epsilon_{0,sp}$ . Therefore, a given unsteady erosion history would  
225 imply that two different effective erosion rates are needed to separately parameterize nuclide  
226 concentration resulting from the two pathways. The forward model then assumes that at  $t_0$  the entire  
227 PBR, from highest sample to lowest sample, is exhumed steadily at a fixed  $\epsilon_1$  rate. This steady state  
228  $\epsilon_1$  erosion rate is appropriate given our geomorphic model of relatively short-lived, rapid saprolite  
229 erosion to exhume the corestone over the narrow height range (up to 2 m) of the sample locations.

230 In order to ensure that the model assumptions of Balco et al. (2011) were valid for each of the studied  
231 PBRs, we relied on field observations that would indicate the absence or occurrence of any post-  
232 exhumation erosion. For example, the observation of dark varnish on the surface of the PBR, its  
233 pedestal, and the surrounding outcrop would verify low post-exhumation erosion. In addition, planar

234 sides of the PBR that align with joint planes in the surrounding outcrop would show that little post-  
235 exhumation erosion has changed the geometry of the PBR. Conversely, significant uniform physical  
236 weathering of the PBR, its pedestal, and surrounding outcrop could cause systematic errors in the  
237 model fitting of  $^{10}\text{Be}$  concentrations to the measured values. In addition, evidence of post-exhumation  
238 physical weathering could cause the modeled  $^{10}\text{Be}$  concentrations of individual samples to not match  
239 the measured  $^{10}\text{Be}$  concentrations. An example of such post-exhumation physical weathering that  
240 would affect our modeling fitting is spalling of rock fragments caused by wildfires (Kendrick et al.,  
241 2016). The evidence of post-exhumation erosion would be on the ground surface at the base of the  
242 pedestal or apparent on the rock surface of the PBR itself. Qualitative field observations of both the  
243 absence and occurrence of post-exhumation erosion were used to determine our relative confidence in  
244 the fragility age modeling of each of PBR (see Supplementary Materials: Figure 1 for details about  
245 each studied PBR). A final model assumption is that there has been no reburial of the PBRs either  
246 during or after exhumation. The observations of PBR preservation in bedrock dominated landscapes  
247 with no fluvial fill terraces is evidence that eroded material is not being stored in the PBR catchments  
248 and, therefore, there is not the potential for eroded material to rebury the PBRs.

249 In this study, we implemented several changes to the model framework described by Balco et al.  
250 (2011). Our updated model can be accessed at <https://github.com/balcs/pbrs-2022>. Firstly, we set a  
251 limit on the parameters  $\epsilon_{0,sp}$  and  $\epsilon_{0,mu}$  to be within a factor of 2.5 of each other to prevent any  
252 geomorphically unrealistic solutions. This factor of 2.5 allows for the range of glacial (Last Glacial  
253 Maximum) to interglacial (modern) erosion rates (Marshall et al., 2017). Secondly, we updated the  
254 muon interaction cross sections to those of Balco (2017). Finally, to save computation time, we pre-  
255 calculated both production rates due to muons as a function of depth and muon-produced nuclide  
256 inventories as a function of  $\epsilon_{0,mu}$ . These values are stored within a lookup table, which allows  
257 interpolation between values and speeds up the later Monte Carlo simulation because values do not  
258 have to be calculated for each iteration. The Monte Carlo simulations were run for all four free  
259 parameters ( $\epsilon_{0,sp}$ ,  $\epsilon_{0,mu}$ ,  $\epsilon_1$ , and  $t_0$ ) for 400 iterations. We investigated the initial Monte Carlo  
260 iteration as both the best-fit values of the free parameters as well as random values to ensure the  
261 optimization scheme always converged on a single minimum.

262 An important complexity in determining the fragility age of each rock is that the  $^{10}\text{Be}$  production rate  
263 in each sample is not only modulated by the present-day complex outcrop geometry obstructing the  
264 cosmic ray flux to each sample point, but also by the varying thickness of overlying and surrounding  
265 regolith that lowers as the PBR is exhumed. Furthermore, the ~1-2 m height of the PBRs is similar to  
266 the characteristic e-folding length (distance to exponentially decrease by a factor of e, ~2.72) for  
267 cosmic ray-derived neutrons at the Earth's surface, which means that, as it is exhumed, the PBR itself  
268 will partially obstruct the cosmic ray flux at most locations on the surface of the PBR or its pedestal.  
269 In order to quantify this shielding through time, 3-D models were constructed in *PhotoModeler* of  
270 each PBR, its pedestal, and adjacent outcrop. We then used both these 3-D models and the azimuth



271 and elevation of the horizon topography measured in the field as inputs to the code of Balco (2014) to  
272 determine the sample specific parameters  $S_{0,i}$  and  $L_i$  of Balco et al. (2011).  $S_{0,i}$  and  $L_i$  describe the  
273 shielding factor at the location of each sample as a function of depth below the soil surface during  
274 various stages of exhumation.

275  $^{10}\text{Be}$  sample preparation was conducted at Lawrence Livermore National Laboratory (LLNL), Scottish  
276 Universities Environmental Research Centre (SUERC), and the CosmIC laboratory at Imperial  
277 College London. The  $\sim 1$  kg rock samples were crushed and sieved to collect 250–500  $\mu\text{m}$  grains and  
278 purified to quartz mineral separates using magnetic separation and froth flotation, followed by acid  
279 etching to remove any atmospherically-derived  $^{10}\text{Be}$  adhered to the grain surfaces (Kohl &  
280 Nishiizumi, 1992). During isotope dilution chemistry, Be was purified by anion and cation exchange  
281 and prepared into targets following the methodology of Corbett et al. (2016) for  $^{10}\text{Be}/^9\text{Be}$  analysis by  
282 accelerator mass spectrometry (AMS).

283 Samples were measured by AMS at LLNL (Rood et al., 2010), SUERC (Xu et al., 2015), and the  
284 Australian Nuclear Science and Technology Organisation (ANSTO) (Wilcken et al., 2017) during the  
285 period of time from 2008 to 2019. The  $^{10}\text{Be}/^9\text{Be}$  data from LLNL were normalized to the primary  
286 standard 07KNSTD3110 with an assumed value of  $2.85 \times 10^{-12}$ , the data from SUERC were  
287 normalized to National Institute of Standards and Technology (NIST) standard with an assumed value  
288 of  $2.79 \times 10^{-11}$ , and the data from ANSTO were normalized to the primary standard KN-5-2 with a  
289 nominal value of  $8.558 \times 10^{-12}$  (Nishiizumi et al., 2007). In all three AMS laboratories, two secondary  
290 standards were run as unknowns to confirm the linearity, accuracy, and precision of the  
291 measurements. The  $^{10}\text{Be}/^9\text{Be}$  measured ratios were reduced to the number of total  $^{10}\text{Be}$  atoms in each  
292 sample using the mass of low-background beryllium carrier added to each sample. A process blank,  
293 composed of the same mass of beryllium carrier only, was processed and measured with each batch of  
294 quartz samples. The  $^{10}\text{Be}/^9\text{Be}$  measured ratios in each process blank were subtracted from every  
295 sample's  $^{10}\text{Be}/^9\text{Be}$  measured ratios in that batch, and the  $1\sigma$  AMS analytical errors for samples and  
296 associated blanks were propagated in quadrature.

297 To provide an important independent check on PBR exhumation rates ( $\epsilon_{0,sp}$ ,  $\epsilon_{0,mu}$ , and  $\epsilon_1$ ) inferred  
298 from model fitting to samples on the PBRs, we calculated the erosion rate from 7 saprolite samples  
299 and 11 stream sediment samples at a subset of the PBR sites. These independent erosion rates,  
300 therefore, provided a quantitative test of the fragility age model that we used. These saprolite and  
301 stream sediment samples provided apparent steady state erosion rates over the integration time of  $^{10}\text{Be}$   
302 production. The unique temporal and spatial evolution of each PBR and its surrounding basin results  
303 in a unique combination of  $\epsilon_0$ ,  $\epsilon_1$ , and present erosion rates in the independent apparent erosion rates.  
304 These erosion rate samples were prepared and analyzed following the same laboratory chemistry  
305 methods as the precariously balanced rock samples. Muon production parameters used for the erosion  
306 rate calculations are consistent with those used in our updated forward model for nuclide  
307 concentrations in PBR samples (Balco, 2017).

308 The saprolite samples were collected near the PBRs to test for a consistent erosion and exposure  
309 history of the granitic landscape surrounding and directly adjacent to each PBR. Each saprolite sample  
310 was either located at approximately the same elevation in the landscape as the PBR-pedestal contact  
311 or on the ground surface beneath the PBR sample vertical profile. A total shielding factor at the site of  
312 each saprolite sample was calculated as the product of the topographic shielding collected in the field  
313 and the shielding by the thickness of soil above the collected saprolite. The thickness of soil and  
314 thickness of collected saprolite sample were both also measured in the field. If consistent with the  
315 PBR samples, the  $^{10}\text{Be}$  concentrations calculated in the saprolite sample should be similar to the range  
316 of  $^{10}\text{Be}$  concentrations in the PBR samples closest in elevation to the saprolite sample. The calculated  
317 saprolite  $^{10}\text{Be}$  concentrations are not necessarily expected to plot on the best-fit  $^{10}\text{Be}$  modeled profile  
318 of the PBR due to differences in how the shielding is characterized between the two different sample  
319 types.

320 These  $^{10}\text{Be}$  concentrations calculated for the saprolite samples were then used with version 3 of the  
321 online exposure age calculator described by Balco et al. (2008), and subsequently updated, to  
322 calculate the apparent steady state erosion rate and equivalent exposure age of each saprolite sample.  
323 The rate of  $\varepsilon_1$ , duration of  $\varepsilon_1$ , and how long before present  $\varepsilon_1$  ended for each PBR will control how  
324 comparable the saprolite apparent erosion rate is to  $\varepsilon_1$  and how comparable the equivalent exposure  
325 age is to  $t_{tip}$ . Similarly, the differences in shielding characterization between the saprolite and PBR  
326 samples requires that the saprolite calculated erosion rate and equivalent exposure age need only be  
327 broadly similar to the PBR data. A saprolite density of  $2 \text{ g cm}^{-3}$  was used. We used a constant  
328 production rate model and “St” scaling scheme for spallation (Lal, 1991; Stone, 2000), with a sea  
329 level high latitude reference  $^{10}\text{Be}$  production rate of  $4.132 \pm 0.218 \text{ atoms g}^{-1} \text{ yr}^{-1}$  based on the  
330 “primary” calibration data set of Borchers et al. (2016).

331 The stream sediment samples were collected in the active channel downstream of the PBRs to obtain  
332 an average erosion rate of the granitic basin in which the PBR is located. The stream sediment erosion  
333 rates provide a more general test of our modeled PBR  $\varepsilon_0$  and  $\varepsilon_1$  erosion rates because the exhumation  
334 history at the location of the PBR is different from the surrounding drainage basin. This difference is  
335 due to the progressive conversion of the basin from a saprolite-mantled, low-relief landscape to a  
336 bedrock-dominated, high-relief landscape. Therefore, over the integration time of the stream sediment  
337 apparent erosion rate, different parts of the basin will have been in different disequilibrium states at  
338 different times. For that reason, in order to be consistent with the PBR model results the erosion rate  
339 calculated for the stream sediment samples needs only to be between the modeled values of  $\varepsilon_0$  and  $\varepsilon_1$ .

340 The boundary of each basin draining to the sample point was delineated in ArcGIS (ESRI, 2020) from  
341 the 30 m SRTM dataset sourced from *OpenTopography* (<https://opentopography.org>) and the  
342 effective elevation, mean latitude, and mean longitude of each basin was determined for use in  
343 Version 3 of the online exposure age calculator described by Balco et al. (2008) and subsequently  
344 updated. Erosion rates were calculated using the same production rate and scaling scheme as for the

345 saprolite samples. A sample thickness of 1 cm, and a sediment density of  $2 \text{ g cm}^{-3}$  were used as inputs  
346 for version 3 of the online exposure age calculator described by Balco et al. (2008) and subsequently  
347 updated.

### 348 **PSHA Model**

349 The PSHA model we validated with the PBR data was implemented using the open-source seismic  
350 hazard and risk calculation engine *OpenQuake* developed by the Global Earthquake Model (GEM)  
351 Foundation (Pagani et al., 2014). The *OpenQuake* engine provides tools, catalogs, and models to  
352 calculate and visualize earthquake hazard and risk, to which users can contribute enhancements for  
353 community driven development. These advantages have meant that the national seismic hazard  
354 models of seismically active countries such as Canada (Allen et al., 2020) and New Zealand (Abbott  
355 et al., 2020) have been translated into the *OpenQuake* engine as part of the worldwide coverage by  
356 *OpenQuake* and the GEM Global Hazard Mosaic.

357 The first necessary component of the PSHA model is a seismic source characterization. A seismic  
358 source characterization gives all possible earthquake ruptures and the probability of occurrence of  
359 each. The Third Uniform California Earthquake Rupture Forecast (UCERF3), developed by the U.S.  
360 Geological Survey and the Working Group on California Earthquake Probabilities, provides estimates  
361 of the magnitude, location, and time-averaged frequency of potentially damaging earthquakes across  
362 the state of California (Field et al., 2013). The UCERF3 seismic source model is used in the 2014 and  
363 2018 updates of the U.S. Geological Survey National Seismic Hazard Model (USGS NSHM)  
364 (Petersen et al., 2014; Petersen et al., 2020). Epistemic uncertainty in the UCERF3 source  
365 characterization is included as alternative fault models, deformation models, and earthquake rate  
366 models, which are represented as seismic source characterization logic tree branches (Field et al.,  
367 2013).

368 In this study, the “true mean” UCERF3 model is used due to the complexity and computational  
369 intensity of the full UCERF3 model. This “true mean” model allows for the calculation of only one  
370 source model because the activity rate of each rupture is taken as the mean of the activity rates from  
371 all branches of the seismic source characterization logic tree in which the rupture appears. This “true  
372 mean” model is equivalent to the mean from the full seismic source characterization logic tree and  
373 was provided by Rao et al. (2017). However, the “true mean” model does not allow for the  
374 investigation of uncertainties in the seismic source characterization. Therefore, our study focuses  
375 exclusively on the validation of the ground motion characterization logic tree branches for the GMMs  
376 and their implications for hazard. Our results are, therefore, conditional on the assumption that the  
377 rates in the seismic source characterization are correct, however, in reality any inconsistencies  
378 between the PBR data and ground-motion estimates are likely due to a complex combination of both  
379 the GMMs and seismic source characterization.

380 The second necessary component of the PSHA model is a ground motion characterization (GMC).  
381 GMMs are used to express the intensity of a ground-motion parameter, e.g., PGA, in terms of the  
382 characteristics of the earthquake source, propagation path of the seismic waves, and the site  
383 conditions. In the past few decades, there has been a significant increase in the number of available  
384 GMMs as the quantity and quality of ground-motion recordings to constrain the models increase  
385 (Douglas & Edwards, 2016). However, each GMM invariably predicts different levels of average  
386 shaking than another GMM and so the use of multiple alternative models in PSHA creates epistemic  
387 uncertainty. The Pacific Earthquake Engineering Research Center (PEER) provides GMMs as part of  
388 the NGA-West2 project (Bozorgnia et al., 2014) that are used in the 2014 USGS NSHM (Petersen et  
389 al., 2014).

390 In both the USGS 2014 NSHM and this study, the referenced backbone GMM approach was used,  
391 where a central “backbone” GMM generalizes the attenuation behavior. Upper and lower alternatives  
392 about the central GMM are then defined to capture the epistemic uncertainty of a representative suite  
393 of published GMMs (Atkinson et al., 2014). The “backbone” method is advantageous for both  
394 regional and site-specific hazard analyses. At the regional scale of national seismic hazard maps, the  
395 “backbone” method allows a large number of possible GMMs to be represented by only a few  
396 alternatives, which reduces computational time. For site-specific-seismic hazard analyses, the  
397 “backbone” method more accurately captures the epistemic uncertainty because the alternative,  
398 conventional method of using simple weighted combinations of available GMMs is inadequate to  
399 capture the epistemic uncertainty.

400 The GMMs require input parameters that characterize the site response at the location of interest. For  
401 each of the PBR sites, the average seismic shear-wave velocity in the upper 30 m, the  $V_{S30}$  value, was  
402 interpreted from the map of Thompson et al. (2014).  $Z_{1.0}$  value, the depth at which shear wave  
403 velocities reach 1 km/s, were calculated using the equation of Chiou and Youngs (2014).  $Z_{2.5}$  value,  
404 the depth at which shear wave velocities reach 2.5 km/s, were calculated using the equation of  
405 Campbell and Bozorgnia (2008). Importantly, Stirling et al. (2002) showed that there was no evidence  
406 of anomalous site conditions at PBR sites in southern California, which showed that the preservation  
407 of PBRs where large ground motions are estimated to occur cannot be explained by anomalous site  
408 conditions.

409 The 2014 USGS NSHM (Petersen et al., 2014) uses five GMMs: ASK14 (Abrahamson et al., 2014),  
410 BSSA14 (Boore et al., 2014), CB14 (Campbell & Bozorgnia, 2014), CY14 (Chiou & Youngs, 2014)  
411 and I14 (Idriss, 2014). These five GMMs were derived from the PEER NGA-West2 ground-motion  
412 recording database (Bozorgnia et al., 2014). The 2018 USGS NSHM (Petersen et al., 2020) uses four  
413 of these five GMMs. The epistemic uncertainty of the upper and lower alternatives about each central  
414 GMM were defined based on the number of earthquake recordings in each magnitude-distance bin  
415 used in the modeling (Petersen et al., 2014). We use all five NGA-West2 GMMs with the same  
416 ground motion characterization logic tree and GMM branch weights as the USGS 2014 NSHM. The

417 five GMMs and three alternatives of each GMM result in 15 logic tree end-branch hazard curves for  
418 each PBR site, each of which we validate in turn. These end-branch hazard curves and their weights  
419 are also used to calculate a mean hazard curve for each PBR site.

420 The NGA-West2 GMMs employ the ergodic assumption, where the distribution of ground motions  
421 over time at the site of interest is treated the same as the spatial distribution over all sites globally  
422 (Anderson & Brune, 1999). The GMM median estimate and variance are, therefore, derived from  
423 instrumental recordings from a global database of different seismic sources and sites, not just the  
424 seismic source and site of interest. The ergodic assumption is necessary due to the unlikely recording  
425 of a historical earthquake at the site of interest, as well as the limited number of instrumental  
426 recordings of rare, large earthquakes that are needed to constrain site- and source-specific effects.

427 While the PBR independent data do not provide a direct constraint on the GMMs at the PBR site, the  
428 PBRs do provide constraints on the hazard curves, which indirectly provide constraints on the GMMs  
429 because the uncertainty in the GMMs tends to dominate the uncertainty in the hazard curves for long  
430 return periods (Anderson & Brune, 1999). Therefore, our PSHA model, which includes the published  
431 NGA-West2 GMMs, does not allow the investigation of what specific issue with a GMM may be the  
432 cause of any inconsistency with the PBR validation data. Our model also does not allow us to explore  
433 the appropriate modifications to the GMMs that would make the ground-motion estimates at long  
434 return periods more consistent with the PBR validation data, such as an appropriate physical limit or  
435 the appropriate number of standard deviations to truncate the estimates (Bommer et al., 2004). We can  
436 only conclude that there is some issue with the application of these ergodic GMMs to accurately  
437 describe the source, path, and site of the PBRs. However, the independent observational PBR data  
438 allow the validation of each published form of the NGA-West2 GMMs, which can be used as part of  
439 the selection criteria for the suitable suite of GMMs to include in the PSHA model for a site.

#### 440 **Hazard Model Validation**

441 We followed the methods of Rood et al. (2020) and individually validated each logic tree end-branch  
442 hazard curve output from the PSHA model. We used each PBR to validate the ground motions  
443 estimated for the site of that PBR. At each individual PBR site, the validated hazard curve was  
444 rejected as inconsistent with the PBR data if the probability of survival of the PBR over the fragility  
445 age of the PBR was less than 5%. Moreover, because all 20 PBR sites have identical ground motion  
446 characterization logic trees, we, therefore, validated each ground motion characterization logic tree  
447 GMM end-branch 20 times, which then allowed us to investigate the relative frequency with which  
448 each GMM is inconsistent with PBR data across southern California.

449 We executed our PSHA model to calculate hazard estimates for scalar ground motions that depended  
450 only on PGA, and our PBR fragility functions are based on PGA; therefore, the hazard curves and  
451 PBR fragility functions are directly comparable. First, for each output PGA hazard curve, the rate of  
452 occurrence of PGA is obtained as the derivative of the hazard curve annual frequency of exceedance.

453 Second, the rate of failure for the PBR is obtained by multiplying the PBR probability of failure for a  
 454 given level of PGA with the rate of occurrence of that level of PGA and then summing these  
 455 combinations for all levels of PGA (Equation 1). The rate of failure of the PBR was then used to  
 456 calculate its probability of survival over median fragility age of a PBR ( $T$ ) (Equation 2). We identified  
 457 the individual PSHA hazard curves for which there is a greater than 95% probability of PBR failure  
 458 (less than 5% probability of survival) following the methods of Rood et al. (2020).

$$459 \quad \gamma_{Failure} = \int P(Failure|PGA = a) \cdot \left| \frac{\partial \gamma(a)}{\partial a} \right| \partial a \quad (1)$$

$$460 \quad P_{Survival} = e^{-\gamma_{Failure} T} \quad (2)$$

## 461 **RESULTS**

462 For brevity, we present the detailed results and associated summary figures of a representative PBR,  
 463 GV2, in the main text, and provide the figures and data tables for each of the remaining PBRs in the  
 464 Supplementary Materials.

### 465 **PBR Fragility**

466 The detailed 3-D model of the PBR GV2 can be seen in Figure 2A, from which the geometric  
 467 parameters  $\alpha$  and  $R$  are measured in Figure 2B. These measured geometric parameters and calculated  
 468  $p^2$  values for all 20 PBRs are provided in Table 2. The  $\alpha$  values in the most fragile direction,  $\alpha_1$ , which  
 469 is the direction the PBR will topple, range from 0.16 to 0.50 radians for the 20 PBRs. PBRs with  
 470 lower  $\alpha$  values are more fragile and PBRs with higher  $\alpha$  values are more stable. Based on these  $\alpha$   
 471 values alone, a simple assessment of relative fragility suggests that MR1 is the most fragile PBR that  
 472 we studied, and BS1 is the most stable. LJ5 is the only studied PBR that possesses a symmetric  
 473 geometry and thus symmetric rocking response to earthquake ground shaking. The observed  
 474 predominance of asymmetric PBRs highlights the importance of considering not only the direction the  
 475 PBR will topple but also the conjugate rocking direction. The most asymmetric rock is LJB2, for  
 476 which  $\alpha_2$  is 3.7 times greater than  $\alpha_1$ . This large asymmetry will greatly dampen the rocking response  
 477 of LJB2, despite appearing to be one of the most fragile studied PBRs, if only considering the  $\alpha_1$  value  
 478 (Table 2).

479 Seven of the studied PBRs possess  $\alpha_2$  values that are greater than the range investigated and  
 480 parameterized by Purvance et al. (2008a); therefore, we set the  $\alpha_2$  values of these PBRs to 0.5 radians  
 481 in our fragility calculations. The use of the assigned 0.5 value, as opposed to the measured  $\alpha_2$  values  
 482 of these PBRs, does not produce a significant difference in the fragility of each PBR. The greater the  
 483 degree of asymmetry in the geometry of the rock, the greater the degree of dampening of the rocking  
 484 response, which, in turn, makes the PBR more stable. An increase in either PGA or PGV/PGA always  
 485 results in an increase in the probability of toppling of a PBR. The mean magnitude and distance  
 486 results for all 20 PBR sites that were used to calculate the conditional distribution of PGV/PGA for

487 each investigated PGA value are provided in Table 2. The PGA-based fragility function for the PBR  
488 GV2 is shown in Figure 2C.

### 489 **PBR Fragility Age**

490 The following PBR fragility age results are informed by an exceptionally large dataset of  $^{10}\text{Be}$  AMS  
491 analyses (175 total: 134 PBR samples, 23 process blanks, 11 stream sediment samples and 7 saprolite  
492 samples). All the information necessary to calculate the  $^{10}\text{Be}$  concentrations and  $1\sigma$  uncertainties from  
493 the measured  $^{10}\text{Be}/^9\text{Be}$  ratios are provided in Supplementary Materials: Table 1.

494 The position of the samples collected from all 20 PBRs for cosmogenic-nuclide surface exposure  
495 dating are displayed in Figure 3. The position of these samples, the PBR, the pedestal, and the  
496 surrounding outcrop were all incorporated into a 3-D model. The 3-D models were used to calculate  
497 the sample specific shielding constants  $S_{0,i}$  and  $L_i$ , which are given for GV2 in Table 3. For GV2, the  
498 samples show the general trend of decreasing  $S_{0,i}$  and increasing  $L_i$  as the distance of the sample from  
499 the top of the PBR increases. The cosmogenic  $^{10}\text{Be}$  concentrations and  $1\sigma$  uncertainties calculated for  
500 each GV2 sample are also given in Table 3. The expected general decrease in  $^{10}\text{Be}$  concentrations  
501 with increasing sample depth, i.e., distance below the top of the PBR, can be clearly observed. The  
502 corresponding data to Table 3 for the other studied PBRs is provided in Supplementary Materials:  
503 Table 2.

504 The data in Table 3 and Table S2 were used as the input to the fragility age forward model to  
505 calculate the age of fragility,  $t_{tip}$ . For all 20 PBRs, the best fit values of the parameters  $\epsilon_{0,sp}$ ,  $\epsilon_{0,mu}$ ,  
506  $\epsilon_1$ , and  $t_0$  predict  $^{10}\text{Be}$  concentrations that are in good agreement with the measured concentrations  
507 and  $1\sigma$  uncertainties, as can be seen in Figure 3 and Supplementary Materials: Table 3. The model  
508 predicted  $^{10}\text{Be}$  concentrations are attributed to the 3 phases of PBR exhumation: before exhumation,  
509 during exhumation, and after exhumation. The  $^{10}\text{Be}$  concentration accumulated in each sample before  
510 PBR exhumation always follows an exponential decrease with sample depth because of the  
511 attenuation of the cosmic rays. The  $^{10}\text{Be}$  concentration accumulated in each sample after PBR  
512 exhumation is modulated by the modern shielding factor,  $S_{0,i}$ , of each sample. We selected the  
513 median value of the 400 Monte Carlo simulation as our preferred value for each parameter, and used  
514 the 16<sup>th</sup> and 84<sup>th</sup> percentile values to capture the uncertainty on the median value (Figure 3 and  
515 Supplementary Materials: Table 4). Notably, for all 20 PBRs, the best fit value of each parameter  
516 overlaps within 16-84<sup>th</sup> percentile uncertainties of the Monte Carlo simulations, as is shown in Table 4  
517 for GV2. Furthermore, our recalculated fragility age of the PBR GV2, the case study PBR in Balco et  
518 al. (2011, 2012), is in agreement with the age calculated by Balco et al. (2012): 17.6 ka (16.5 – 18.8  
519 ka 16<sup>th</sup>-84<sup>th</sup> percentile uncertainty) compared to 18.5±2.0 ka, respectively. This agreement between  
520 ages shows that the parameter updates we made in the model only have a small effect on the results.

521 The fragility ages of the 20 studied PBRs range from approximately 1.5 ka to 50 ka. Therefore, it is  
522 clear that no singular climate-driven pulse of erosion caused exhumation at all places on the landscape

523 at the same time to simultaneously form all the PBRs in southern California (Figure 4). In fact, half of  
524 the PBRs (10 out of the 20) have fragility ages younger than 10 ka. Therefore, assuming a ubiquitous  
525 minimum fragility age of 10 ka, as has been previously done (Grant Ludwig et al., 2015), would  
526 overestimate the age of half the studied PBRs. Our results show that the fragility age of any given  
527 PBR is modulated by its unique position in the landscape. The three PBRs with the youngest ages,  
528 i.e., less than 2 ka, are the three studied PBRs geomorphically located within an ephemeral fluvial  
529 channel. The oldest PBR is LJ5, which has a fragility age of 49.8 ka (48.3–51.2 ka  $16^{\text{th}}$ - $84^{\text{th}}$   
530 uncertainty). LJ5 is elevated above an ephemeral fluvial channel and has well-developed dark varnish,  
531 which is consistent with old age. Importantly, this age for LJ5 is significantly older than any  
532 previously dated PBR in southern California; therefore, it is logical that some PBRs have survived for  
533 significantly longer than previously thought.

534 The modeled  $\epsilon_0$  and  $\epsilon_1$  erosion rate results validate our geomorphic model for PBR formation in  
535 which an initially low rate of subsurface weathering (i.e.,  $\epsilon_{0,sp}$  and  $\epsilon_{0,mu}$ ) is followed by an increased  
536 rate of erosion during exhumation (i.e.,  $\epsilon_1$ ). The modeled  $\epsilon_1$  erosion rates during PBR and pedestal  
537 exhumation range over several orders of magnitude, i.e., less than 100 m/Myr to 10,000 m/Myr.  
538 Therefore, pedestal height cannot be used as a proxy for relative PBR age, which is contrary to  
539 previous ideas based on anecdotal evidence. It is important to note that modeled  $\epsilon_1$  erosion rates of  
540 10,000 m/Myr reveal that the contribution of production during exhumation of the PBR to the total  
541 nuclide concentration is at or below the noise level. Therefore, a modeled  $\epsilon_1$  erosion rate of 10,000  
542 m/Myr should not be interpreted as an estimate of the absolute exhumation erosion rate, but instead  
543 that the exhumation of a PBR was instantaneous within the resolution of the model.

544 The PBR forming erosion rates broadly fall into three categories: 1) low  $\epsilon_0$  and increased but still low  
545  $\epsilon_1$ , 2) low  $\epsilon_0$  and instantaneous  $\epsilon_1$ , and 3) high  $\epsilon_0$  and low  $\epsilon_1$  (Figure 5). The erosion rates of the two  
546 PBRs in category 3 (PC1 and PP1; Figure 5) appear inconsistent with our geomorphic model of PBR  
547 formation. However, we suggest that the apparent instantaneous  $\epsilon_0$  values of the category 3 PBRs  
548 indicate that the  $\epsilon_0$  signal has been completely removed from these landscapes by  $\epsilon_1$  and so  $\epsilon_0$  is an  
549 unconstrained parameter for these two PBRs. This  $\epsilon_0$  signal removal would be caused by a longer-  
550 lived  $\epsilon_1$  period and, therefore, a greater thickness of saprolite layer eroded at the location of these two  
551 PBRs. In this case, our geomorphic model remains valid, but the rate of the low erosion subsurface  
552 weathering phase is not possible to constrain by our fragility age model for these two PBRs.

553 Alternatively, these apparent instantaneous  $\epsilon_0$  values may be due to post-exhumation erosion of these  
554 PBRs, which is substantiated by field observations of anomalously intense chemical and physical  
555 weathering on these category 3 PBRs (Figure 3; Supplementary Materials: Figure 1). Because such  
556 post-exhumation erosion would violate the assumptions of our geomorphic model, we therefore have  
557 low confidence in the fragility age modeling results for these two PBRs (PC1 and PP1).

558 The erosion rates calculated from the stream sediment and saprolite samples are consistent with the  
559 PBR  $\epsilon_0$  and  $\epsilon_1$  erosion rates. Without exception, in agreement with our geomorphic model, the stream



560 sediment and saprolite erosion rates at each site are between  $\varepsilon_0$  and  $\varepsilon_1$  of the PBR(s) at that site  
561 (Figure 5). For example, the GV2 stream sediment erosion rate of  $85.5 \pm 5.7$  m/Myr and saprolite  
562 erosion rate of  $82.2 \pm 5.4$  m/Myr overlap within uncertainties. These erosion rates fall between the  
563 median  $\varepsilon_1$  erosion rate of 206.8 m/Myr (158.8-330.4 16<sup>th</sup>-84<sup>th</sup> percentile) than the median  $\varepsilon_{0,sp}$  rate of  
564 15.9 m/Myr (14.8-17.1 16<sup>th</sup>-84<sup>th</sup> percentile), which indicates that the erosional signature of the local  
565 landscape has evolved from  $\varepsilon_0$  towards  $\varepsilon_1$ . In the cases where the modeled  $\varepsilon_1$  erosion rates are  
566 instantaneous, the sediment and saprolite erosion rates show that the local landscape has evolved  
567 towards a higher erosion rate than  $\varepsilon_0$ , which includes periods of rapid erosion over the lengthscales of  
568 the height of PBRs (1-2m). The consistency of these modeled and observed PBR-forming erosion  
569 rates, therefore, supports our fragility age methods and associated results. All the inputs necessary to  
570 calculate the stream sediment and saprolite erosion rates are provided in Supplementary Materials:  
571 Tables 4 and 5, respectively.

572 Furthermore, the  $^{10}\text{Be}$  concentrations in the saprolite samples are consistent with the range of  $^{10}\text{Be}$   
573 concentrations in the PBR samples closest in height to the saprolite sample (Figure 3). Erosion rates  
574 calculated from the saprolite samples that are lower than  $\varepsilon_1$  indicate that the rapid  $\varepsilon_1$  erosion rate  
575 reached the modern saprolite surface before the present time and that  $^{10}\text{Be}$  surface production with  
576 negligible erosion has been occurring since that time. The two simplest case PBRs to illustrate this  
577 point are PNT01 and SW02, because all  $^{10}\text{Be}$  accumulated in the saprolite samples was produced  
578 during post- $\varepsilon_1$  exposure due to their instantaneous  $\varepsilon_1$  values. We calculated  $8193 \pm 300$  year and  
579  $937 \pm 80$  year exposure ages for the PNT01 and SW02 saprolite samples respectively, assuming zero  
580 erosion and no inheritance (Supplementary Materials: Table 6). These two saprolite exposure ages  
581 overlap within the uncertainties on the  $t_{tip}$  ages of PNT01 and SW02. This agreement in ages further  
582 validates our geomorphic model that the rapid saprolite erosion to exhume the PBR,  $\varepsilon_1$ , abruptly  
583 stopped at the present ground surface level, and since that time has been accumulating  $^{10}\text{Be}$  at a  
584 negligible erosion rate.

### 585 **PSHA model**

586 The *OpenQuake* engine outputs 15 alternative ground-motion estimates as hazard curves from our  
587 PSHA model, one for each of the ground motion characterization logic tree 15 GMM end branches.  
588 Therefore, 15 alternative hazard curves were calculated for the location of each of the 20 PBRs. The  
589 15 output hazard curves for GV2, as well as the weighted mean, are presented in Figure 6A. The  
590 hazard curves of each GMM have a unique shape attributed to the differences in earthquake recording  
591 selection criteria as well as the subsequent model development of predictor variables. The epistemic  
592 uncertainty among the GMMs results in a large width of hazard curve distribution. The spread in the  
593 hazard curves in Figure 6A shows that the five GMMs compare more favorably for the short return  
594 periods with differences becoming more significant at longer return periods. Equivalent figures for all  
595 the PBRs are included in the Supplementary Materials: Figure 1.

## 596 **Hazard Model Validation**

597 We validated, in turn, each of the 15 end-branch hazard curves output from each PBR site PSHA  
598 model using the combination of the fragility function and median fragility age of each PBR. The  
599 ground-motion estimates and associated GMM logic-tree branch are categorized as inconsistent with  
600 the survival of the PBR if there is a less than 5% probability of survival of the PBR with that ground-  
601 motion estimate. Intuitively, it is the hazard curves that estimate the highest ground motions at the  
602 lower annual frequencies of exceedance, for example the upper GMM branches relative to the central  
603 GMM branches, that are inconsistent with the PBR survival. The fragility and fragility age of GV2 is  
604 consistent with only 9 out of 15 of the output ground-motion estimates: the central and lower  
605 alternatives of ASK14, BSSA14, CB14, and CY14 and only the lower alternative of I14 (Figure 6C,  
606 Table 5). Therefore, the upper alternatives of all five GMMs and the central alternative of I14 are  
607 rejected on the basis of inconsistency with the unexceeded ground motions recorded by GV2.

608 In our regional analysis, no GMM ground-motion estimates are consistent with all of the PBRs (Table  
609 5). In fact, one of the studied PBRs, BR1, was not consistent with any of the 15 output hazard curves  
610 for this site. The PBRs BS1, LJ1, PI2, and SW02 were the only PBRs consistent with all ground  
611 motion characterization logic tree GMM end branches. For example, the PBR SW02 has a fragility  
612 function median of 1.31 g and is the least fragile of the youngest three PBRs that all have a fragility  
613 age of <2 ka. Notably, BS1 was the least fragile of our studied PBRs, with a fragility function median  
614 of 3.69 g. Furthermore, the PBR SW02 has a fragility function median of 1.31 g and is the least  
615 fragile of the youngest three PBRs that all have a fragility age of <2 ka. These cases illustrate the  
616 equal necessity of accurately determining both the fragility and fragility age of a PBR in order to  
617 determine how informative a PBR will be in constraining ground-motion estimates.

618 The GMM I14 is the most frequently inconsistent with the PBR empirical data. Not only are the  
619 central-I14 ground-motion estimates inconsistent with 14 of the 20 PBRs, but also the upper-I14  
620 ground-motion estimates are inconsistent with 16 of the 20 PBRs. The frequency of rejection of the  
621 GMM I14 provides the basis that is invalidated by our empirical PBR data, which, in turn, provides a  
622 reasonable justification to remove I14 from the PSHA model. Next, we investigated the improvement  
623 to the ground-motion estimates that could be made by removing the GMM I14 from the ground  
624 motion characterization logic tree. We set the weight of the I14 branch to zero and then renormalized  
625 the weights of the remaining four GMM branches at this node in the ground motion characterization  
626 logic tree to again sum to one. At the annual frequency of exceedance corresponding to a 2%  
627 probability of exceedance in 50 years, the 2475 year mean return period relevant for national seismic  
628 safety regulations and building code design standards, the removal of I14 reduced the mean ground-  
629 motion estimate by 2-7% at our PBR sites. More importantly, the removal of the invalid I14 GMM  
630 from the PSHA model significantly reduced the ground motion uncertainty range for the 5th-95th  
631 fractiles by 10-36% at our PBR sites.

## 632 **DISCUSSION**

633 The validation of PSHA output ground-motion estimates, and, in turn, the alternative GMM input  
634 parameters, offers a unique opportunity to reduce uncertainties in ground-motion estimates of rare,  
635 large earthquakes. The rejection and reconsideration, or removal, of PSHA input parameters, e.g.,  
636 GMMs as investigated here, provide the opportunity to assess the sources of epistemic uncertainty in  
637 the PSHA model. Importantly, the independent PBR empirical data provides ground-motion  
638 information over timescales longer than historical earthquake recordings: timescales where ground-  
639 motion estimates are extrapolated but unvalidated until now. In this section, we discuss the  
640 assumptions and limitations of our methods as well as the implications and applications of our results.

### 641 **PBR Fragility**

642 The most fragile 2-D rocking geometry when doing probabilistic PBR fragility analyses using the  
643 equations of Purvance et al. (2008a) has neither been previously reported nor its significance  
644 systematically investigated. We identified two alternative methods of selecting the two critical PBR  
645 rocking points: 1) the rock's minimum  $\alpha$  rocking point and corresponding  $\alpha_2$  rocking point 180  
646 degrees through the center of mass, and 2) the  $\alpha_1$  and  $\alpha_2$  rocking points that produce the narrowest  
647 base through the center of mass. In the case of a 3-D rectangular block, which was the geometry  
648 originally investigated by Purvance et al. (2008a), these two alternative methods produce the same  
649 critical rocking points. However, for more complicated PBRs geometries, such as those investigated  
650 here, these two alternative methods often produce different critical rocking points.

651 To compare the alternative methods, we calculated the median of the fragility function of each PBR  
652 using the  $\alpha_1$  and  $\alpha_2$  angles determined by each of the two alternative critical rocking point estimation  
653 methods. There was only a greater than 5% difference in the calculated median fragility for one of the  
654 PBRs. This PBR, BS2, has a tapering wedge geometry, resulting in a ~20% difference in  $\alpha_1$  values and  
655 ~50% difference in  $\alpha_2$  values between the two methods. We believe these differences show that the  
656 geometry of this rock is too dissimilar from the rectangular block geometry that the Purvance et al.  
657 (2008a) fragility equations were intended to model, and reinforces the value of modeling in 3-D the  
658 rocking response of each PBR (Veeraraghavan et al., 2017). We, therefore, have low confidence in  
659 the fragility results for PBR BS2. A qualitative assessment of how well the toppling equations of  
660 Purvance et al. (2008a) model each PBR can be made from how similar the geometry of the PBR-  
661 pedestal contact is to that of a rectangular block (Figure 2B; Supplementary Materials: Figure 1).  
662 Importantly, the majority of the studied PBRs have geometries that approximate a rectangular block  
663 and, therefore, the selected critical rocking points are identical in both alternative methods. However,  
664 when the critical rocking points are not identical between the two methods, the critical rocking point  
665 estimation method based on the narrowest base will always yield a larger  $\alpha_1$  value, which is the  
666 primary variable in the fragility of the PBR when using the Purvance et al. (2008a) equations.

667 Therefore, our use of the critical rocking points for the narrowest base results in larger  $\alpha_1$  value and,  
668 therefore, a less fragile geometry being used for each PBR.

669 It is desirable for precariously balanced rocks to be located at short distances (e.g., <10 km) from  
670 local seismic sources in order to place the greatest constraints on ground-motion estimates. These are  
671 distances at which there is a directionality to the earthquake ground motions, and the direction of  
672 maximum ground motion may or may not differ from the orientation of the PBR  $\alpha_1$  direction.  
673 However, Veeraraghavan et al. (2017) showed that the complex geometry of the PBR-pedestal contact  
674 means that PBR rocking could be initiated by a maximum ground motion applied in any direction, but  
675 that the rock will still most likely topple in the vicinity of the  $\alpha_1$  direction, regardless of the direction  
676 of the initial ground motion. Furthermore, Veeraraghavan et al. (2017) also showed that the 2-D  
677 rocking geometry of Purvance et al. (2008a) generally underestimates the rocking response compared  
678 to that of its 3-D dynamic rocking geometry; therefore, the 2-D geometry is a lower estimate of the  
679 PBR's fragility, and the 3-D geometry may be more fragile. Therefore, our fragility estimates are  
680 likely a minimum fragility for the rocks because of our choice of critical rocking points and 2-D  
681 geometry.

682 In our study, we assigned the PBRs a constant fragility and fixed rocking points during the duration of  
683 time from their fragility age ( $t_{ip}$ ) to the present. This assignment was based on our geomorphic model  
684 of granitic corestone PBR formation, our definition of fragility age, and our assumption and  
685 supporting field observations of negligible post-exhumation erosion. Baker et al. (2013) and Hanks et  
686 al. (2013) considered conceptual models of fragility evolution and the associated constraints on  
687 hazard estimates. However, the evolution of the fragility of a PBR is dependent on the unique post-  
688 exhumation history of each PBR. In theory, whether the post-exhumation erosion occurs  
689 predominantly at the top or bottom of a PBR will dictate whether a PBR evolves to a more stable or  
690 more fragile geometry with time. For example, on one hand, erosion at the top would reduce the PBR  
691 height through time and lower the center of mass, which would make the PBR more stable. On the  
692 other hand, erosion predominantly at the base of a PBR would reduce the PBR basal area, raise the  
693 center of mass, and narrow the distance between the rocking point and center of mass, which would  
694 make the PBR more fragile. In the absence of any information about the post-exhumation erosion  
695 history of each PBR, it is not possible to model a time-evolving fragility. With this limitation in mind,  
696 we attempted to focus our studies on PBRs where field evidence supported the absence of post-  
697 exhumation erosion. Therefore, we believe that the assumption of a constant fragility is reasonable  
698 and justified.

### 699 **PBR Fragility Age**

700 Our method of measuring cosmogenic-nuclide concentrations in a vertical profile down the PBR and  
701 pedestal allows us to model cosmogenic nuclide production occurring throughout the exhumation of  
702 the corestone PBR from the subsurface. However, the PBRs we studied that did not have all the

703 samples in a single vertical profile, e.g., GV1, resulted in non-systematic shielding with depth below  
704 the top of the PBR ( $S_{0,i}$  and  $L_i$ ), which was found to be advantageous. It can be seen in the  
705 Supplementary Materials: Figure 1 that the present-day shielding of the sample GV1-1 resulted in the  
706 post-exhumation  $^{10}\text{Be}$  depth concentration profile to be distinct from the pre-exhumation  $^{10}\text{Be}$  depth  
707 concentration profile. Conversely, when the sampled side of a PBR was a simple planar surface, the  
708  $^{10}\text{Be}$  profile accumulated after exhumation could resemble the  $^{10}\text{Be}$  profile accumulated before  
709 exhumation. This has the potential to result in a non-unique solution of modeled nuclide  
710 concentrations to the measured nuclide concentrations. Therefore, in future PBR fragility age  
711 investigations, we advise that, in addition to collecting a vertical profile of samples, a minimum of a  
712 single sample should be collected from a location on the PBR that will have a distinct shielding value  
713 from the samples in the vertical profile.

714 The majority of the fragility age modeling results show an approximately normally distributed  
715 histogram of Monte Carlo simulation  $t_{tip}$  results (Figure 3). Six of the studied PBRs (BS2, LB05,  
716 MR1, SW02, UCR1, and YV1) have an additional young (i.e.,  $t_{tip} \sim 0$  ka) histogram peak and/or tail  
717 in the fragility age results. However, the  $t_{tip}$  median values of these six PBRs are still in good  
718 agreement with the best fit  $t_{tip}$  values, and the best fit values fall well within the 16<sup>th</sup>-84<sup>th</sup> percentile  
719 confidence intervals. Importantly, the effect of the young  $t_{tip}$  peaks and/or tail will always be a  
720 younger median  $t_{tip}$  value, which results in a lesser constraint to the ground-motion estimates. These  
721 six PBRs include the three youngest PBRs, all of which are in similar geomorphic locations within an  
722 ephemeral stream channel. These results suggest that PBRs within active stream channels have a high  
723 probability of being the most recently exhumed and therefore have the youngest fragility ages.  
724 Therefore, we suggest that in future studies PBRs located in a stream channel are not selected for  
725 investigation because not only do younger  $t_{tip}$  values provide less constraint on the hazard estimates  
726 but also the younger  $t_{tip}$  values are not as well constrained by our fragility age model.

727 The PBR LJB2 has Monte Carlo  $t_{tip}$  results that do not generate a well-defined  $t_{tip}$  histogram peak,  
728 but instead generate a spread of  $t_{tip}$  ages from  $\sim 2$  ka to  $\sim 22$  ka. The histogram shows a general  
729 increase in frequency towards the older  $t_{tip}$  ages with the highest frequency bar at  $\sim 20$  ka. It could,  
730 therefore, be proposed that a fragility age of  $<20$  ka is the best estimate that can be made from the  
731 Monte Carlo results for this PBR. Conversely, the median  $t_{tip}$  value and best estimate value are in  
732 good agreement and are younger than a  $<20$  ka age, so result in a lower constraint on the ground-  
733 motion estimates. We selected to use the median  $t_{tip}$  value of LJB2 in our analysis to be consistent  
734 with the fragility ages of the other PBRs. It is interesting to note that the three shortest studied PBRs,  
735 all with heights under 1 m, are BS2, LJB2, and UCR1, which all had similar non-standard  $t_{tip}$   
736 modeling results. We suggest that both the reduced height over which to model the  $^{10}\text{Be}$  data and the  
737 reduced range of shielding factors due to the reduced distance between samples resulted in non-  
738 unique solutions and, therefore, the lack of a clear  $t_{tip}$  histogram peak. Despite our assumption of

739 linear exhumation being more likely to be correct over shorter PBR heights, such ambiguous model  
740 results give us only moderate confidence in the fragility ages for LJB2, BS2, and UCR1.

741 If our studied PBRs were in a landscape with steady state erosion, our fragility age model results  
742 would be  $\varepsilon_0 = \varepsilon_1$ . Conversely, the observed dissimilar values of  $\varepsilon_0$  and  $\varepsilon_1$  are evidence of an  
743 unsteady erosional history and support our separate modeling of  $\varepsilon_{0,sp}$ ,  $\varepsilon_{0,mu}$ , and  $\varepsilon_1$  apparent erosion  
744 rates. The fact that there is still an  $\varepsilon_0$  signal retained within the PBR samples means that  $\varepsilon_1$  was short-  
745 lived and eroded a saprolite layer of finite thickness before transitioning to the present bedrock-  
746 dominated low erosion landscape. Nearly all of our PBR modeling results show  $\varepsilon_0 < \varepsilon_1$ , which is in  
747 agreement with our geomorphic model of PBR formation. Conversely, the theoretical random  
748 sampling of landscape erosion rates would be expected to yield  $\varepsilon_0 < \varepsilon_1$  as often as  $\varepsilon_0 > \varepsilon_1$ . Although  
749 there are two cases, PC1 and PP1, where the model predicts  $\varepsilon_0 > \varepsilon_1$  that do not match our  
750 geomorphic model of PBR formation, these two cases do verify that our fragility age model is  
751 functioning correctly because it can and will yield such results. In summary, our set of modeled  $\varepsilon_0$   
752 and  $\varepsilon_1$  erosion rates agree with the independent geomorphic observations and interpretations of the  
753 landscape evolution at the PBR sites.

754 The independent saprolite and stream sediment samples are an important test of whether the PBR  
755 fragility age modeling results are consistent with landscape-forming processes. The saprolite and  
756 stream sediment erosion rates we calculated for the PBR sites are not only consistent with our PBR  
757 modeled PBR erosion rates, but are also consistent with the erosion rates previously calculated in the  
758 San Bernadino Mountains by Binnie et al. (2007) and the San Gabriel Mountains by DiBiase et al.  
759 (2010). The area of the PBR catchments of  $\sim 0.5 - 5 \text{ km}^2$  is within, but at the low end of the range of  
760 catchment areas studied by Binnie et al. (2007) and DiBiase et al. (2010). However, our range of  
761 calculated saprolite erosion rates (34 - 892 m/Ma) and sediment erosion rates (30 - 174 m/Ma) is in  
762 good agreement with the range of 35 - 1100 m/Ma calculated by DiBiase et al. (2010). The  
763 consistency between our modeled erosion rates with that of other regional datasets give us confidence  
764 that our forward model accurately captures regional erosional processes, which, in turn, gives us  
765 confidence in our fragility ages.

## 766 **PSHA Model**

767 In our analysis, we used the published GMM standard deviations as the aleatory variability, which,  
768 therefore, includes site-to-site variability in the inter-event and intra-event variability. We decided to  
769 use the NGA-West2 GMMs as used in the 2014 USGS NSHM project (Petersen et al., 2014) and so  
770 did not conduct a site-specific analysis for the location of each PBR to determine non-ergodic site  
771 terms. A site-specific analysis would have had the effect of trading aleatory variability for epistemic  
772 uncertainty and allowed the ground motion characterization logic tree branches for each GMM site  
773 term to be validated. Our validation results of the GMMs do not account for appropriateness of the  
774 site terms and with our use of the full aleatory variability. Therefore, it is possible that a GMM may

775 have passed the PBR validation if a site-specific analysis had been conducted and the site-specific  
776 terms used in the GMM. It was beyond the scope of our study to conduct such site-specific analyses  
777 for all 20 PBR sites, but we suggest they be incorporated into future PBR hazard validation studies.

### 778 **Hazard Model Validation**

779 Of the five NGA-West2 GMMs, the model I14 was derived from the fewest empirical ground-motion  
780 recordings and is applicable over the narrowest magnitude and distance range (Gregor et al., 2014).  
781 The frequency of rejection of I14 by our empirical PBR data, relative to the other NGA-West2  
782 GMMs, we believe demonstrates the inherent limitation of GMMs extrapolated to ground motions  
783 beyond the empirical recordings from which they are derived. In order for GMMs to perform well at  
784 these extrapolated ground motions, we show that more constraining data are essential. One  
785 suggestion, to maximize the number of ground-motion recordings used by all GMMs, would be the  
786 adoption of Bayesian updating of GMMs to incrementally update each model as new ground-motion  
787 recordings become available (Stafford, 2018). Therefore, the long wait between updates and revisions  
788 to existing models, such as from NGA-West1 in 2008 to NGA-West2 in 2014, would be avoided.  
789 However, it is important to note that this will not contribute to the improvement of the GMM  
790 estimates at the timescales of thousands to tens of thousands of years, which are validated by the  
791 PBRs.

792 In addition, I14 is the simplest of the NGA-West2 GMMs in that its form contains the fewest  
793 predictor variables. I14 is the only NGA-West2 model not to define normal faulting as a style of  
794 event, nor provide any regional adjustment (Gregor et al., 2014). Additionally, I14 does not include  
795 non-linear site response, nor finite fault effects (Idriss, 2014). We suggest that frequency of rejection  
796 of I14 by our empirical PBR data, relative to the other NGA-West2 GMMs, also demonstrates the  
797 inherent issues with the ergodic assumption in PSHA, and specifically GMMs. The shape of the  
798 ergodic hazard curves at the low frequencies of exceedance uniquely tested by the PBR data are  
799 highly sensitive to the variability about the GMM median at a high number of standard deviations,  
800 which we show are inconsistent with our PBR data. The lessened extent to which the model I14  
801 associates both recorded and, therefore, estimated ground-motion levels to the unique location-  
802 specific source, path, and site variables results in the higher rejection rate of ground-motion estimates  
803 by our PBR data.

804 In this study, our objective was to validate each of the five published NGA-West2 GMMs, which  
805 were the suite of GMMs selected for use in the 2014 USGS NSHM (Petersen et al., 2014). However,  
806 if we were to have used the GMM selection criteria of Bommer et al. (2010), we suggest that the  
807 model I14 would be excluded from use based on the method of regression analysis to derive the  
808 model. In fact, of the five NGA-West2 GMMs, the model I14 is infrequently selected in engineering  
809 projects. Therefore, we suggest that our findings provide empirical evidence of the importance of  
810 using such GMM selection criteria in eliminating candidate GMMs models from use in analysis.  
811 Interestingly, in the model update from the 2014 USGS NSHM to the 2018 USGS NSHM, the GMM

812 I14, the GMM that we identified as most frequently inconsistent with our PBR data, was no longer  
813 included. This decision to no longer include I14 in the 2018 USGS NSHM was because I14 can only  
814 be applied for soil site conditions with  $V_{S30}$  from 450 to 2000 m/s, whereas applications for soil site  
815 conditions with  $V_{S30}$  down to 150 m/s are necessary for updated building code requirements (Petersen  
816 et al., 2020). While this decision to no longer include I14 was based on the output requirements and  
817 applications of the end users, our PBR validation results independently support this decision. In fact,  
818 we suggest that the inconsistency of I14 with our empirical PBR data provides another criteria to no  
819 longer include this GMM in future hazard models in California. Furthermore, our methods can be  
820 applied to validate and select any GMMs for any PSHA model, not only the NGA-West2 GMMs.

821 It is important to recall that any conclusions we make about the validity of the GMMs is conditional  
822 on the assumption that source model rates are correct. We combine the upper, central, and lower  
823 alternative of each GMM with the “true mean” UCERF3 seismic source characterization for southern  
824 California. Therefore, the rejection of the “true mean” UCERF3 model and central GMM by a  
825 significant subset of the PBR data indicates that the inconsistencies that we observed between the  
826 ground-motion estimates and the unexceeded ground-motions derived from PBR data cannot be  
827 explained by solely investigating the epistemic uncertainty of the GMMs. Instead, this suggest that  
828 some component of the inconsistency is originating in the “true mean” UCERF3 seismic source  
829 characterization. In addition, it is important to remember that each PBR provides a site-specific  
830 validation of the relative performance of all five of the NGA-West2 GMMs. Therefore, our results  
831 only invalidate a particular GMM at our PBR sites in southern California, whereas at other sites  
832 globally that GMM may be appropriate to include in the hazard model.

833 It can be seen in Figure 1 that the seven PBRs for which all the ground-motion estimates are  
834 inconsistent with the PBR data are spatially distributed across southern California. The dominant  
835 seismic sources at the sites of the PBRs BR1, RT1, and PP1 are the San Jacinto and Elsinore faults. At  
836 LB05, LJB1 and LJB2 the dominant seismic source is the Mojave section of the San Andreas fault. At  
837 PNT01 the dominant seismic source is the Pinto Mountain fault. Therefore, our results do not suggest  
838 that the mischaracterization of a particular fault in the UCERF3 seismic source characterization is  
839 responsible, but instead suggest the parameter models within the seismic source characterization  
840 require further investigation to deconvolve from where the inconsistencies with the PBR data are  
841 arising. Therefore, future PBR validation could consider the complete combination of the full seismic  
842 source characterization logic tree with the ground motion characterization logic tree. This future  
843 research would also have the advantage of investigating which alternative parameter models and  
844 values in the seismic source characterization are producing the inconsistent ground-motion estimates.

845 Until now, there was no method to empirically test the resultant ground-motion estimates of these  
846 GMMs at the timescale of rare, large earthquakes. Our study provides a novel tool to reduce the  
847 epistemic uncertainty in the ground-motion estimates by the rejection and subsequent removal of  
848 GMMs from the PSHA model seismic source characterization because their ground-motion estimates



849 are inconsistent with and, therefore, invalidated by independent precariously balanced rock data. On  
850 the one hand, from a scientific perspective, the validation of each of the individual PSHA output  
851 earthquake ground-motion estimates permit the understanding of which parameters in the PSHA  
852 model are inconsistent and so require redevelopment of our understanding. On the other hand, from an  
853 engineering perspective, as the worst-case ground-shaking scenarios are rejected and removed from  
854 the PSHA model, the improved reliability of seismic design and the reduction in construction and  
855 maintenance costs for critical structures are potentially significant. We advocate that PBR validation  
856 be used to inform the selection criteria of the appropriate suite of GMMs to include in not only future  
857 USGS NSHM updates but future PSHA studies worldwide.

## 858 **CONCLUSIONS**

859 In order to provide previously elusive earthquake ground-motion constraints on longer-term patterns  
860 of seismicity than have been recorded by modern instrumentation, we characterized both the fragility  
861 and fragility age of 20 precariously balanced rocks across seismically active southern California. This  
862 study presents the largest dataset of rigorously analyzed PBR fragilities and fragility ages yet  
863 produced. We conducted a probabilistic fragility assessment of the geometry of each PBR toppling  
864 from a range of ground-motion amplitudes (PGA) and periods (PGV/PGA). We then modeled the age  
865 at which the 20 precariously balanced rocks developed their current fragile geometries from ~1 to ~50  
866 ka. This distribution of fragility ages not only challenges the previous assumption that most PBRs in  
867 southern California are >10 ka, but also reveals that some PBRs have been preserved in the landscape  
868 for significantly longer than previously thought. Consequently, this distribution of ages demonstrates  
869 the importance of calculating the fragility age of each individual PBR.

870 We then assessed the probability that each precariously balanced rock, since its formation, survived  
871 the estimated ground motions from local seismic sources. The ground-motion estimates for each PBR  
872 site were calculated by our PSHA model using the *OpenQuake* seismic hazard and risk engine. Our  
873 PSHA model ground motion characterization had 15 GMM end-branches that each estimated different  
874 ground-motions levels and each branch was validated individually by the PBR data. The UCERF3  
875 seismic source characterization we used and NGA-West2 GMMs we validated are the inputs to the  
876 2014 and 2018 USGS NSHM, which provides crucial information necessary to disaster preparation,  
877 earthquake building codes, insurance rates, and the siting, design, and maintenance of critical facilities  
878 in southern California. The UCERF3 seismic source characterization and NGA-West2 GMMs have  
879 not as of yet been validated in this way and our study reinforces the value of implementing such  
880 validation using PBR data over timescales of thousands to tens of thousands of years.

881 None of the NGA-West2 GMMs estimated ground motions across southern California that are  
882 consistent with all 20 precariously balanced rock data. In other words, each GMM estimated ground-  
883 motion levels at a frequency of exceedance that yielded a sufficiently high probability that a subset of  
884 the studied still-standing PBRs would have been toppled. We believe our results are compelling

885 evidence of the inherent issues of ground-motion estimates extrapolated beyond any historical  
886 recordings and the use of the ergodic assumption in GMMs. Furthermore, the rejection of all 15  
887 GMM ground-motion estimates by seven of our studied PBRs provide evidence that some component  
888 of the inconsistent ground-motion estimates is originating from the UCERF3 “true mean” model.  
889 Finally, we then investigated the potential improvement to the PSHA ground motion characterization  
890 and the resulting ground-motion estimates that could be made by removing the GMM I14 most  
891 frequently rejected by our PBR data and, therefore, invalidated. At the annual frequency of  
892 exceedance corresponding to a 2% probability of exceedance in 50 years, the 2475 year mean return  
893 period pertinent for national seismic safety regulations and building code design standards, we  
894 reduced the mean ground-motion estimate by 2-7% and reduced the ground motion uncertainty range  
895 for the 5th–95th fractiles by 10-36% at our PBR sites. The opportunity to validate and reject PSHA  
896 ground-motion estimates and, in turn, remove the invalid models offers a powerful opportunity to  
897 increase the certainty with which such earthquake ground-motion estimates can be made in the future.

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#### 1144 **FIGURE CAPTIONS**

1145 Figure 1. Regional map of southern California with fault traces of the UCERF3 seismic source  
 1146 characterization (Field et al., 2013) that contribute to the region's seismic hazard shown in red. Major  
 1147 faults and fault zones are named in red. Mountain ranges are named in black and major cities in black  
 1148 bold. The San Gabriel and San Bernardino Mountains are a part of the Transverse Ranges. Blue  
 1149 symbols show the location of the studied PBRs, each labelled with the rock's ID (Table 1). Each of  
 1150 the five regions have different dominant seismic sources that are being tested by the PBR data. The  
 1151 dominant seismic source in Region 1 (triangles) is the San Andreas fault. Region 2 (squares) is at the  
 1152 junction between the San Andreas, San Jacinto, and Transverse Ranges thrust faults. The dominant  
 1153 seismic source in Region 3 (diamonds) is the Pinto Mountain fault. Region 4 (stars) is between the  
 1154 San Andreas and San Jacinto faults. Region 5 (circles) is between the San Jacinto and Elsinore faults.  
 1155 Inset map of California shows location of Figure 1.

1156 Figure 2. (A) Field photo of the most slender view of representative precariously balanced rock (PBR)  
 1157 GV2 on its pedestal compared to the 3-D model constructed of the rock using photogrammetry. (B)  
 1158 Area of the PBR 3-D model showing the surface that is in contact with the pedestal, i.e., viewing from  
 1159 below the base of the rock up towards the center of mass, labelled with measured geometric  
 1160 parameters required for toppling calculations. Gray circles are the critical rocking points that define  
 1161 the narrowest basal 2-D section through the center of mass (yellow circle). Alpha values (in radians)  
 1162 are gray text and radius length (in meters) are in yellow. The lowest alpha value is the direction the  
 1163 rock will topple. (C) Fragility function of GV2. The 25<sup>th</sup>, 50<sup>th</sup> (median), and 75<sup>th</sup> percentile ground  
 1164 motions are labeled. Equivalent figures for each of the other studied PBRs are provided in the  
 1165 Supplementary Materials: Figure 1.

1166 Figure 3. (A) Sample locations labelled as blue circles on a field photo of each PBR. See Supplementary  
 1167 Materials: Figure 1 for details about which samples are located on the PBR and the pedestal. (B) Graphs show  
 1168 <sup>10</sup>Be concentration (x-axis) and depth below PBR top (y-axis). Left graph shows the components of the total  
 1169 predicted nuclide concentration attributable to different phases of PBR exhumation. Blue line is before

1170 exhumation, yellow line is during exhumation, and gray line is after exhumation. Right graph shows the  
1171 measured nuclide concentrations in sample (blue circles) compared with those predicted by the forward model  
1172 best-fitting parameters (open black circles). Light gray circles are samples that were not used in the modeling of  
1173 PBR exhumation – see Supplementary Materials : Figure 1 for details. Yellow circles are the measured  
1174 concentration in the saprolite sample plotted at the approximate height in the landscape relative to the PBR.  
1175 Error bars show  $1\sigma$  uncertainty on measured nuclide concentrations; error bars that are not visible are equal to or  
1176 smaller than the size of the symbols. The horizontal dashed line is the height of the lowest point on the PBR-  
1177 pedestal contact. See Supplementary Materials: Figure 1 for discussion about the quality of fit between the  
1178 measured and modeled  $^{10}\text{Be}$  concentrations for each PBR. (C) Histogram of  $t_{\text{tip}}$  age, in ka, calculated by each of  
1179 the 400 Monte Carlo iterations. Cumulative black curve of output  $t_{\text{tip}}$  ages are labelled at the 16<sup>th</sup>, median (50<sup>th</sup>),  
1180 and 84<sup>th</sup> percentile ages.

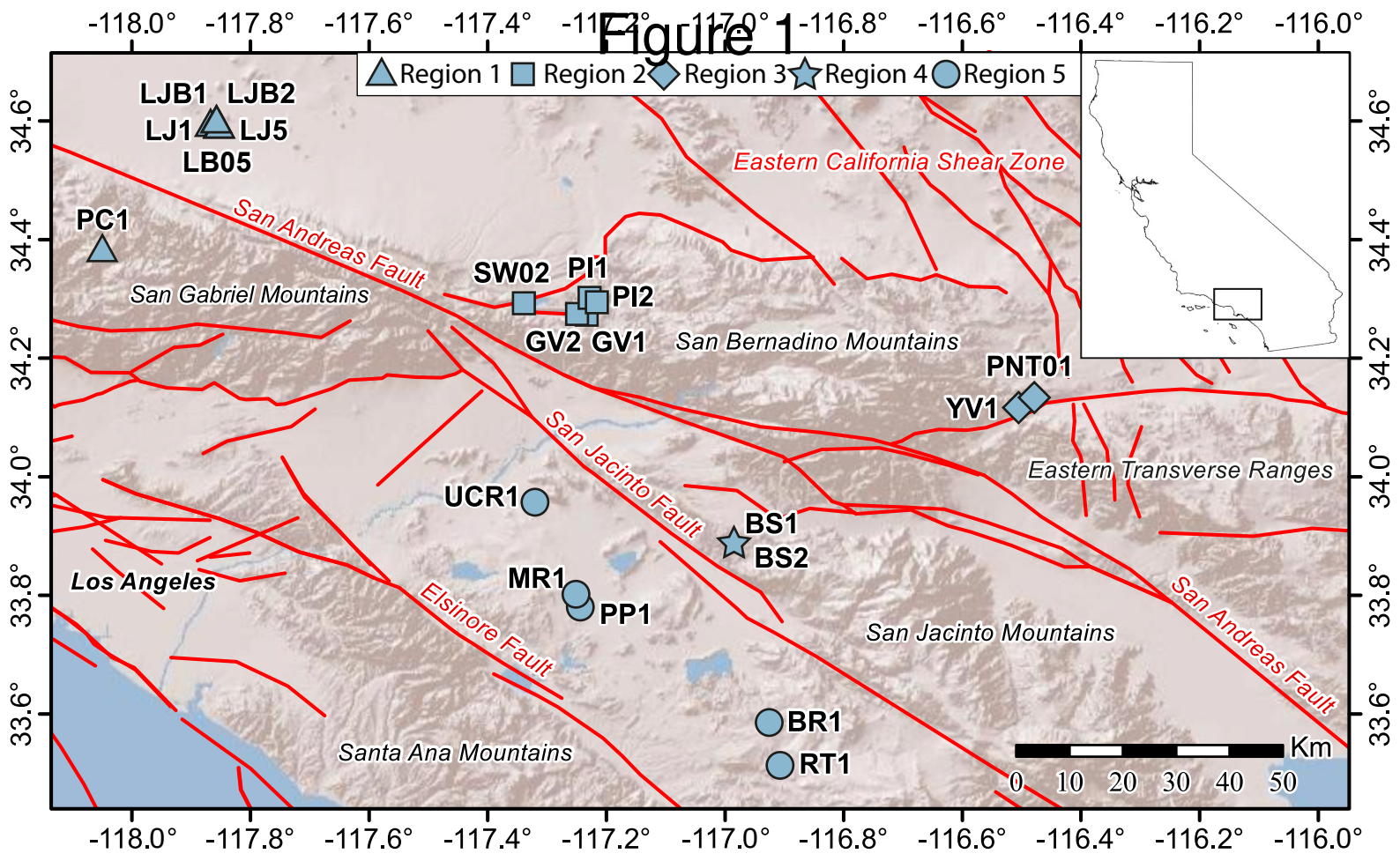
1181 Figure 4. The median fragility age,  $t_{\text{tip}}$  in ka, calculated of each studied PBR is shown as a black diamond.  
1182 Uncertainty bars are the Monte Carlo modeled 68% confidence intervals, i.e., 16th percentile and 84th  
1183 percentile fragility ages. Histogram with blue 10 ka bins on the top of the graph show the general distribution of  
1184 fragility ages.

1185 Figure 5. Histogram of  $\epsilon_{0,\text{sp}}$  erosion rate results in blue and  $\epsilon_1$  erosion rate results in gray for each PBR.  
1186 Apparent erosion rates calculated from sediment samples are shown in yellow. The yellow box extends 1 sigma  
1187 either side of the vertical mean line and horizontal lines extend 2 sigma. Apparent stream sediment erosion rates  
1188 are calculated by Version 3 of the online exposure age calculator described by Balco et al. (2008) and  
1189 subsequently updated.

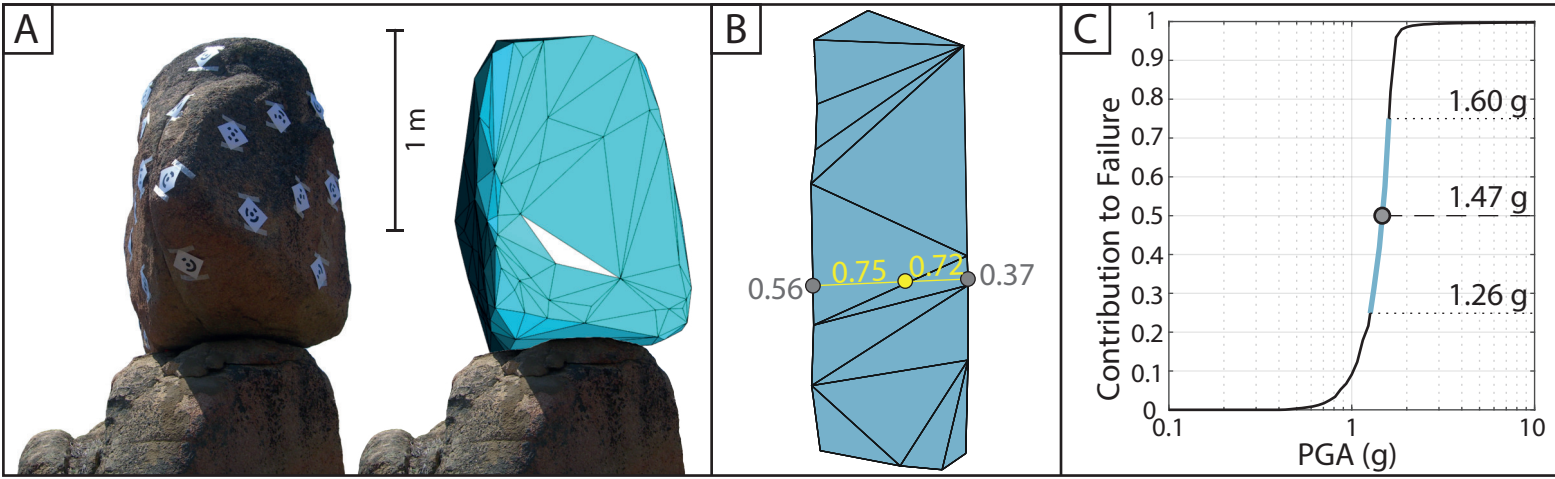
1190 Figure 6. (A) Hazard curves computed by the OpenQuake engine (Pagani et al., 2014) for the location  
1191 of GV2. The lower (dotted line), central (solid line), and upper (dashed line) are plotted for each  
1192 GMM as well as the weighted mean hazard curve (yellow line). Each hazard curve is produced by the  
1193 “true mean” UCERF3 source characterization with each GMM branch of the ground motion  
1194 characterization logic tree (Field et al., 2013; Rao et al., 2017). The spread between the upper and  
1195 lower backbone hazard curves for each GMM represents the epistemic uncertainty in the ground  
1196 motions estimated by that GMM. (B) The hazard curves for the location of GV2 (the same curves as  
1197 in A) colored by whether they pass the PBR validation, i.e., the ground-motion estimates are  
1198 consistent with a 5% probability of survival of GV2, or fail the PBR validation, i.e., the ground-  
1199 motion estimates are inconsistent with a 5% probability of survival of GV2. Equivalent figures of the  
1200 other studied PBRs are provided in the Supplementary Materials: Figure 1.



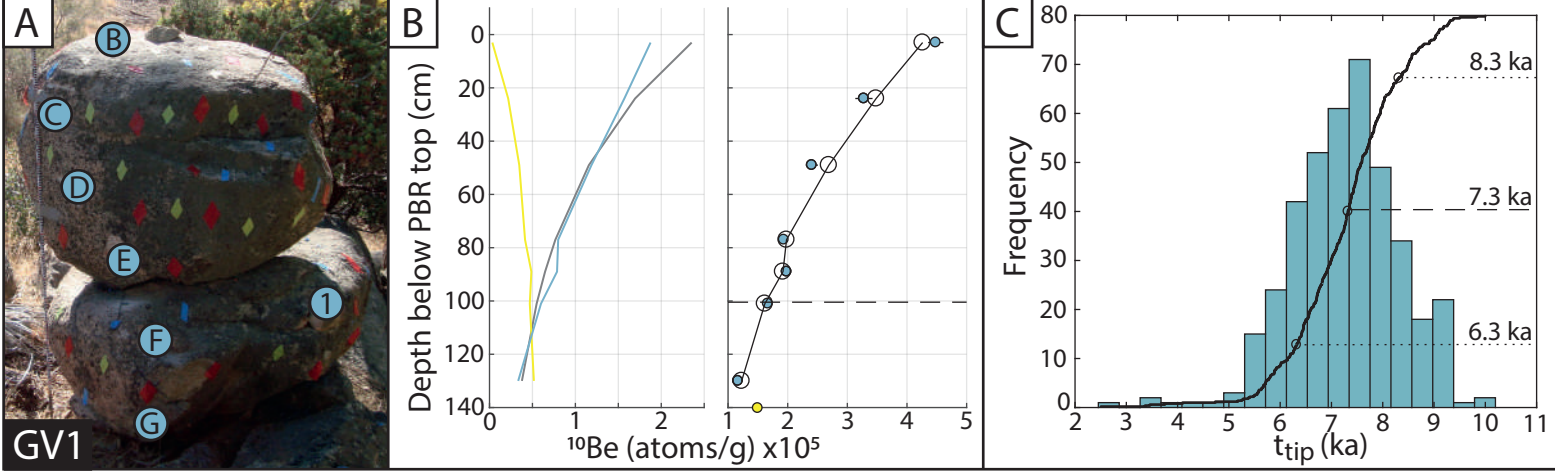
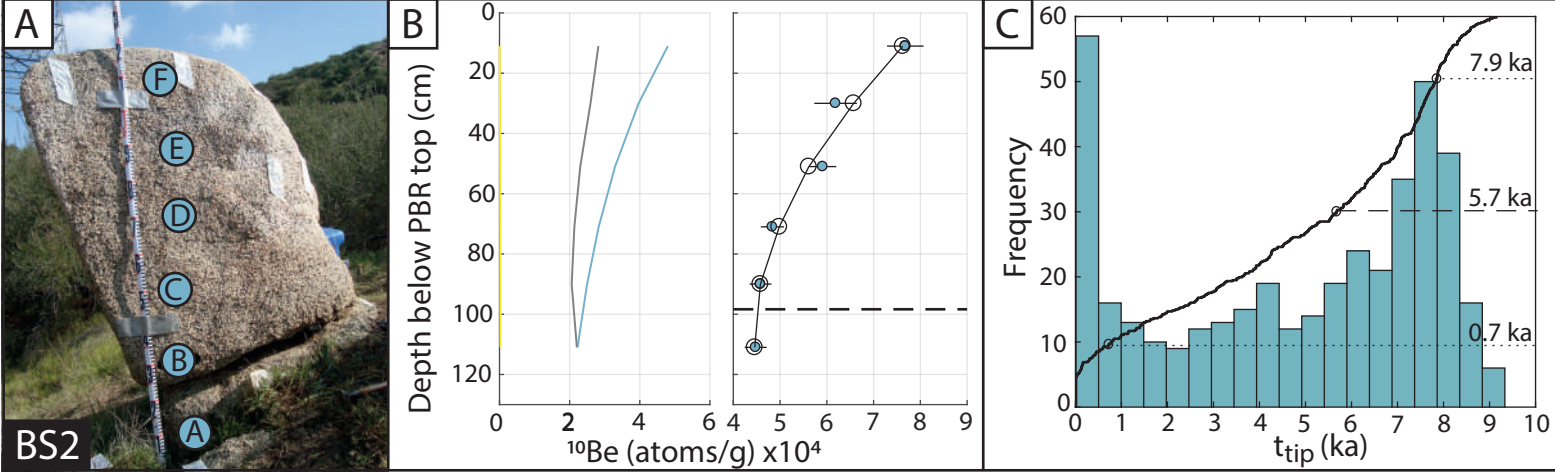
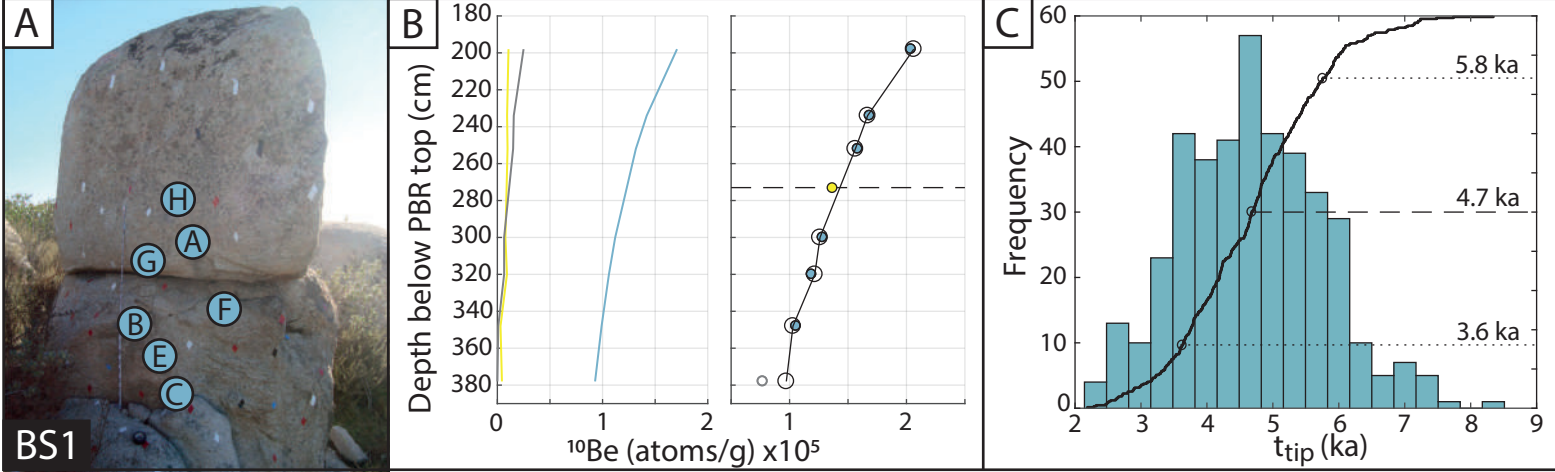
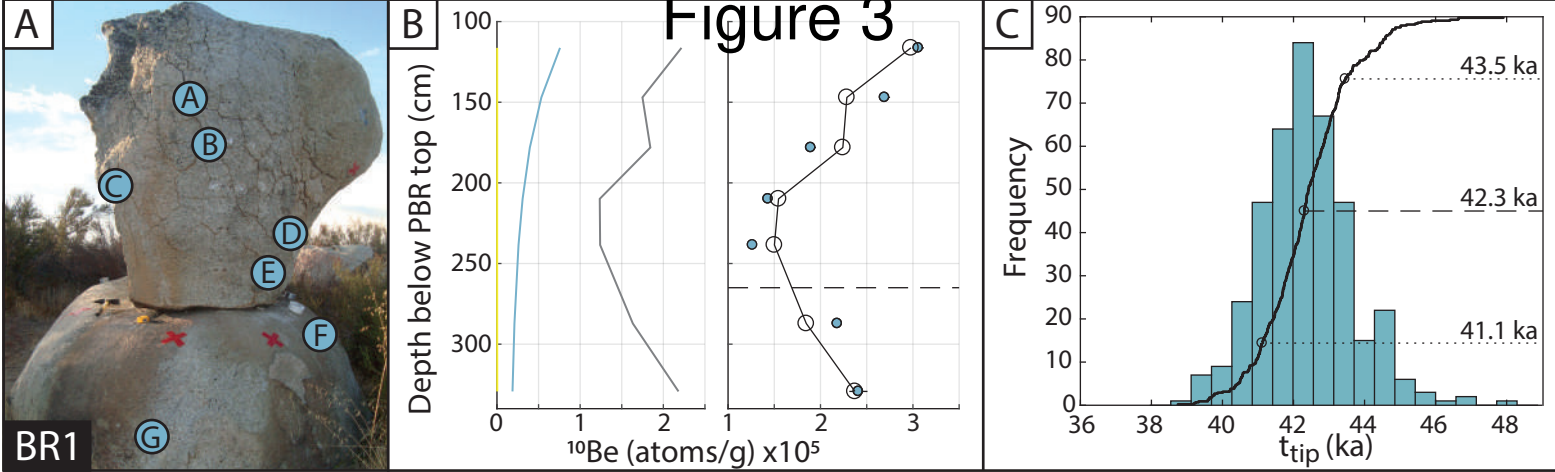
# Figure 1



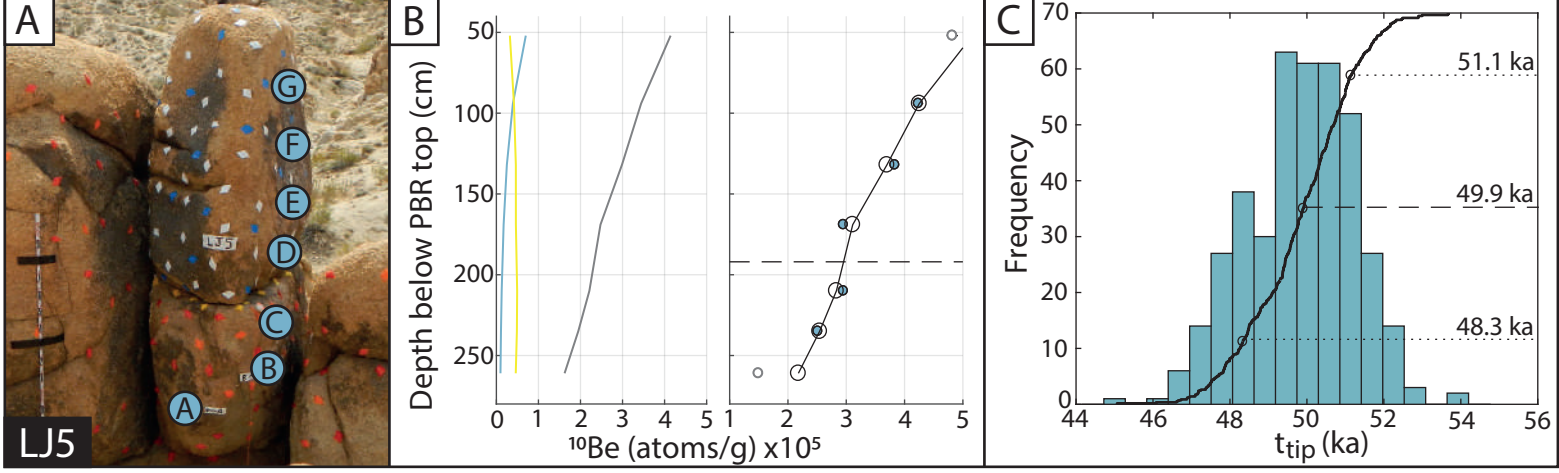
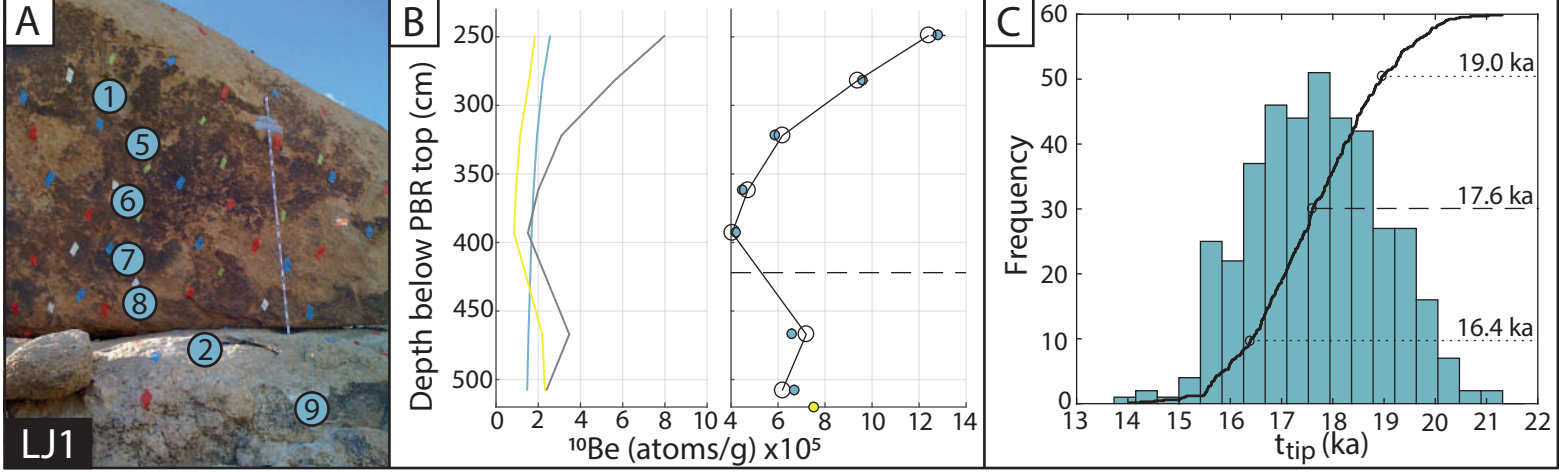
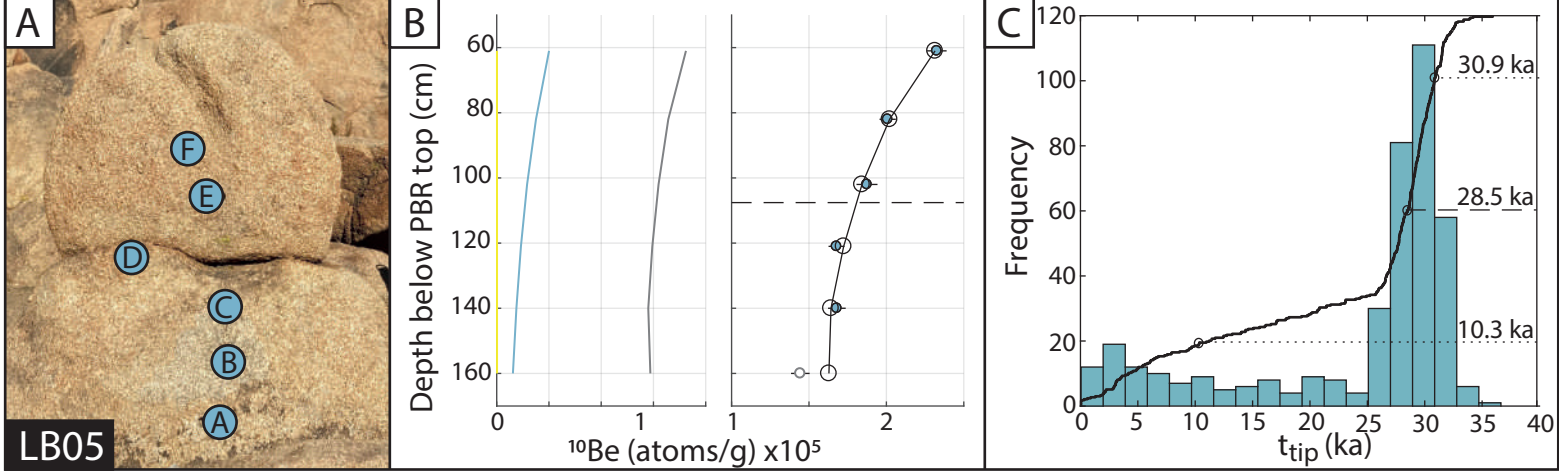
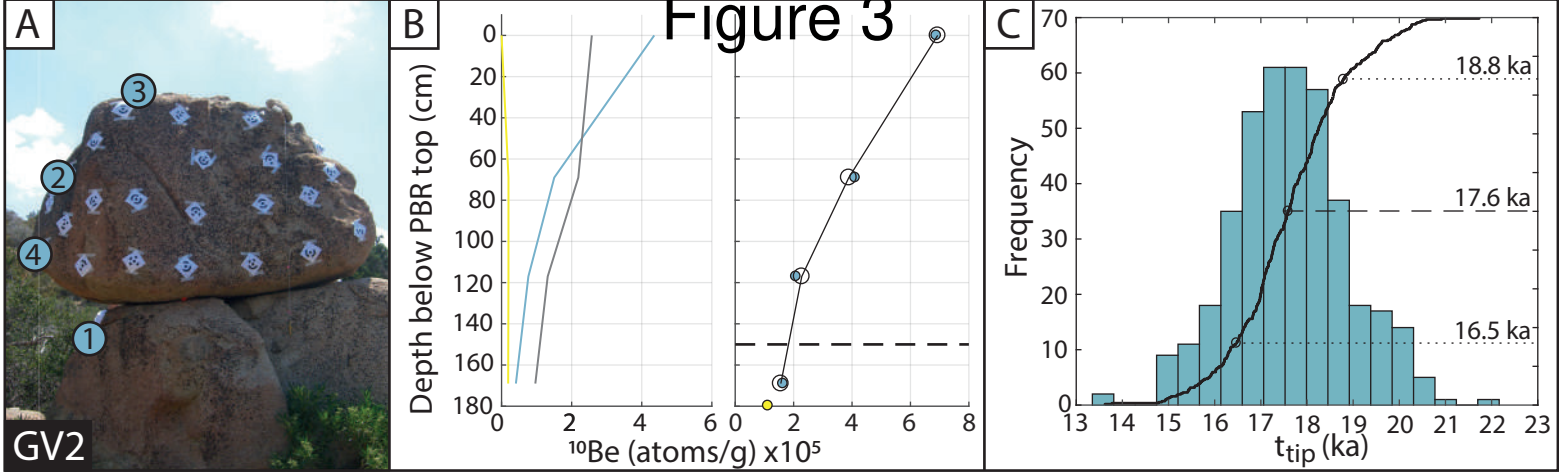
# Figure 2



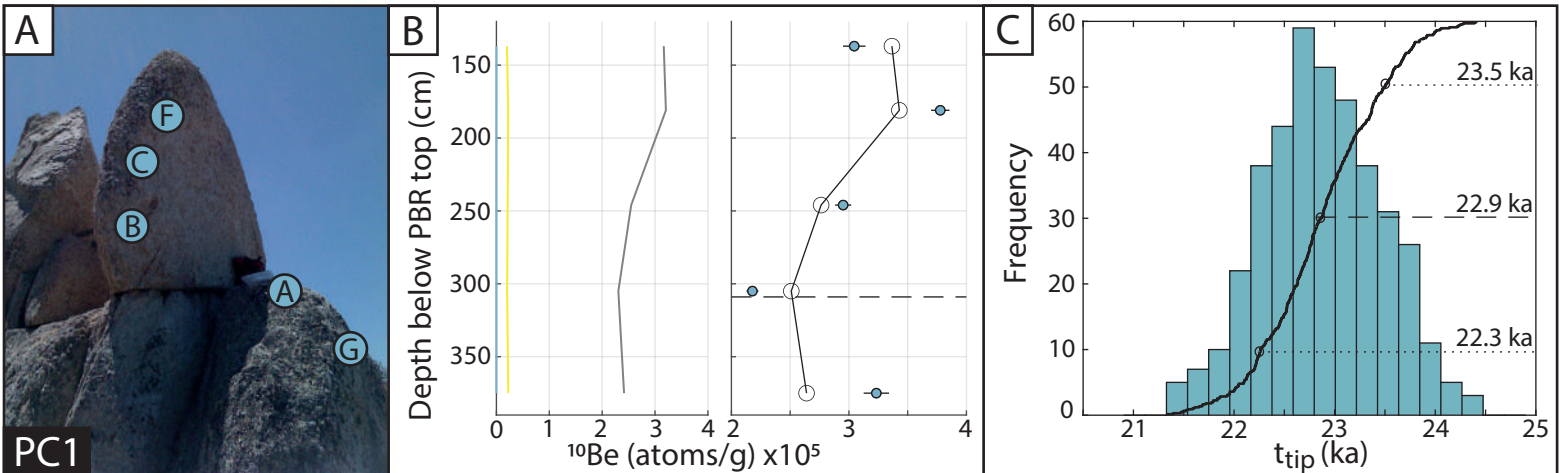
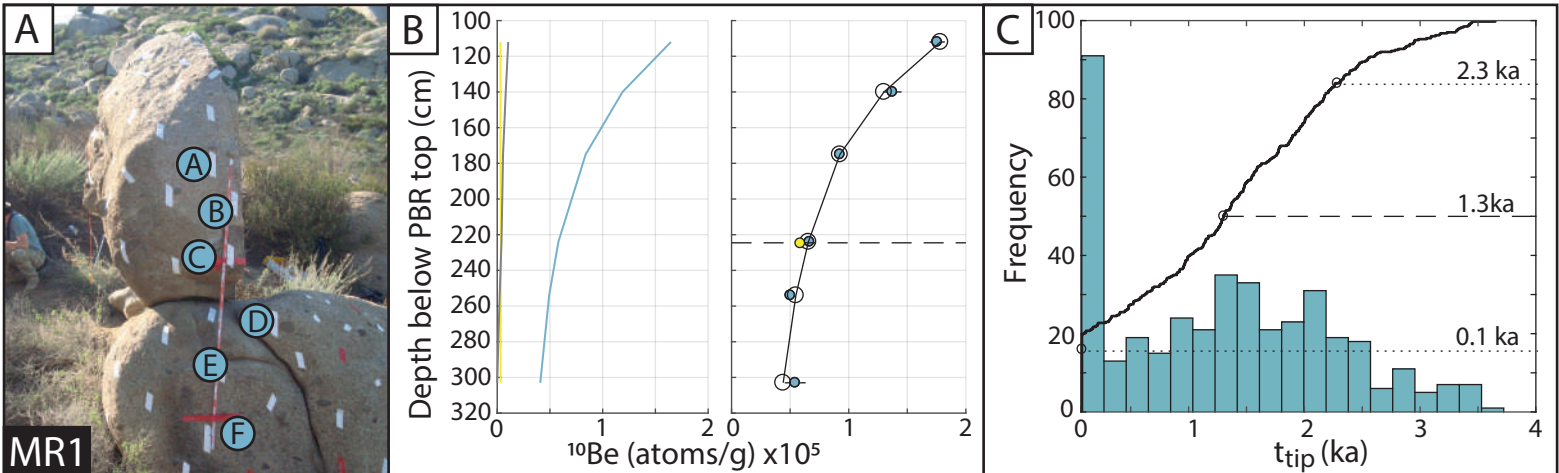
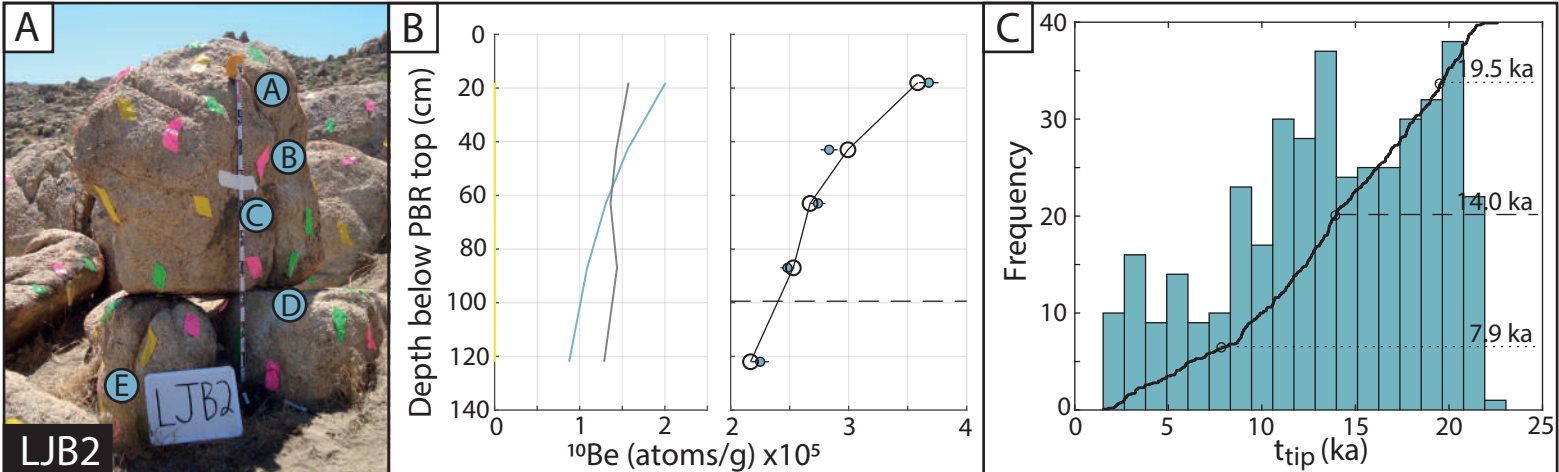
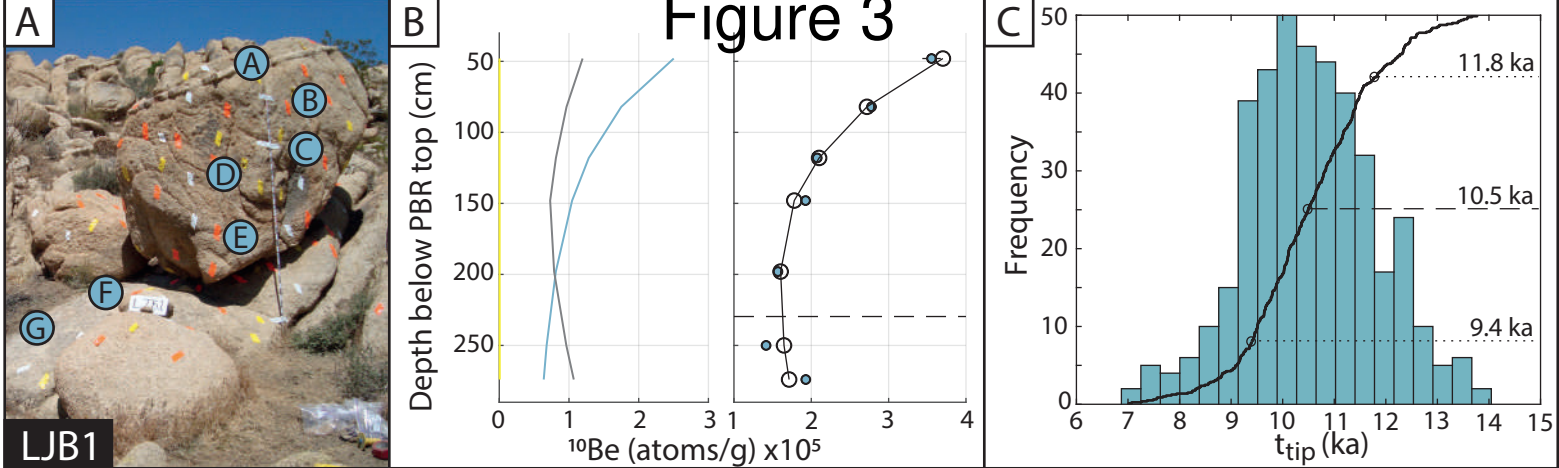
# Figure 3



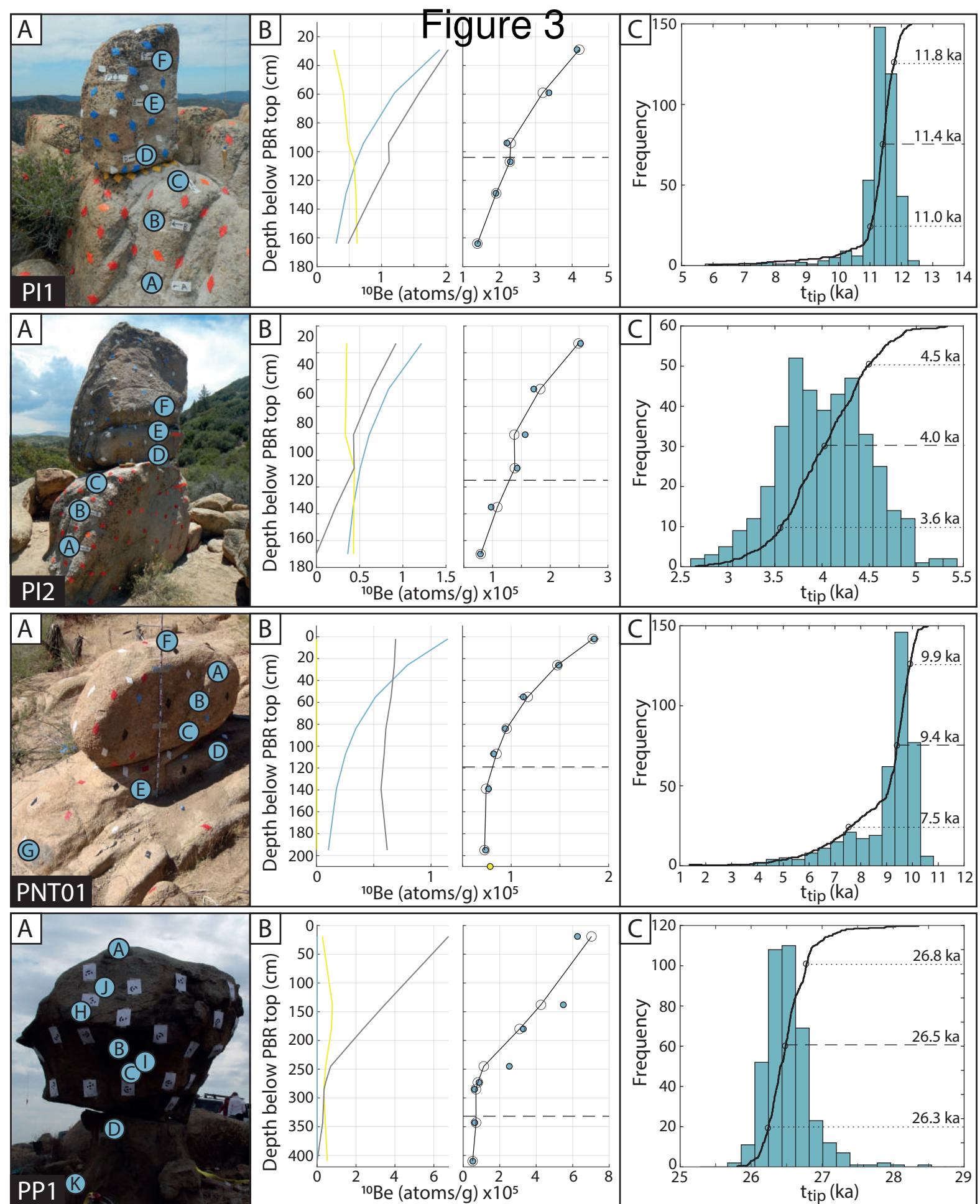
# Figure 3



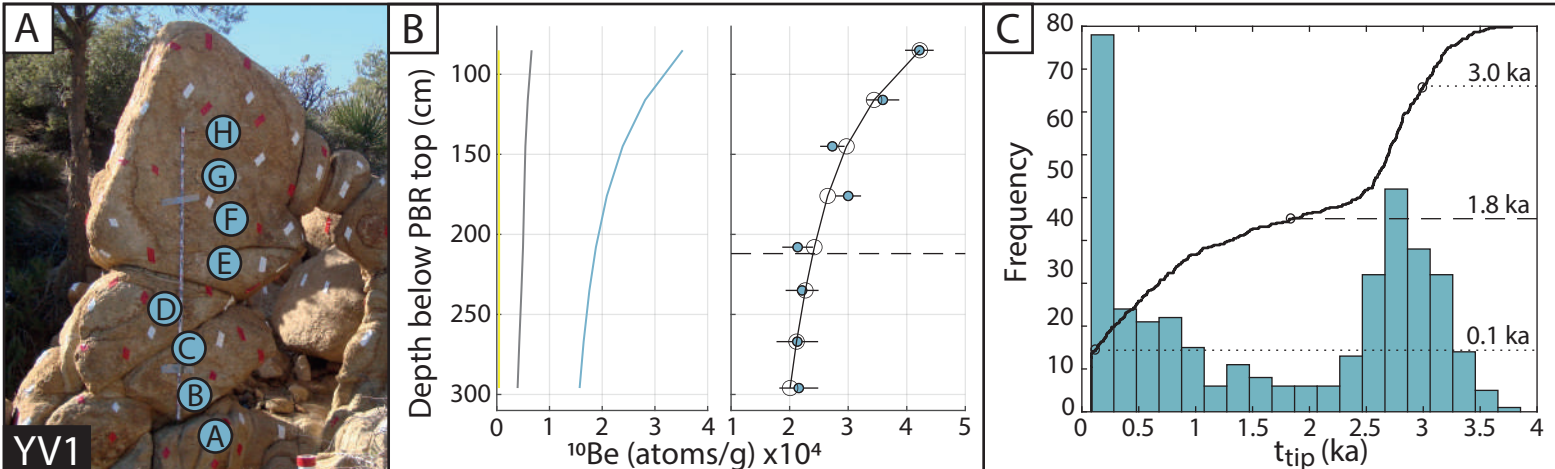
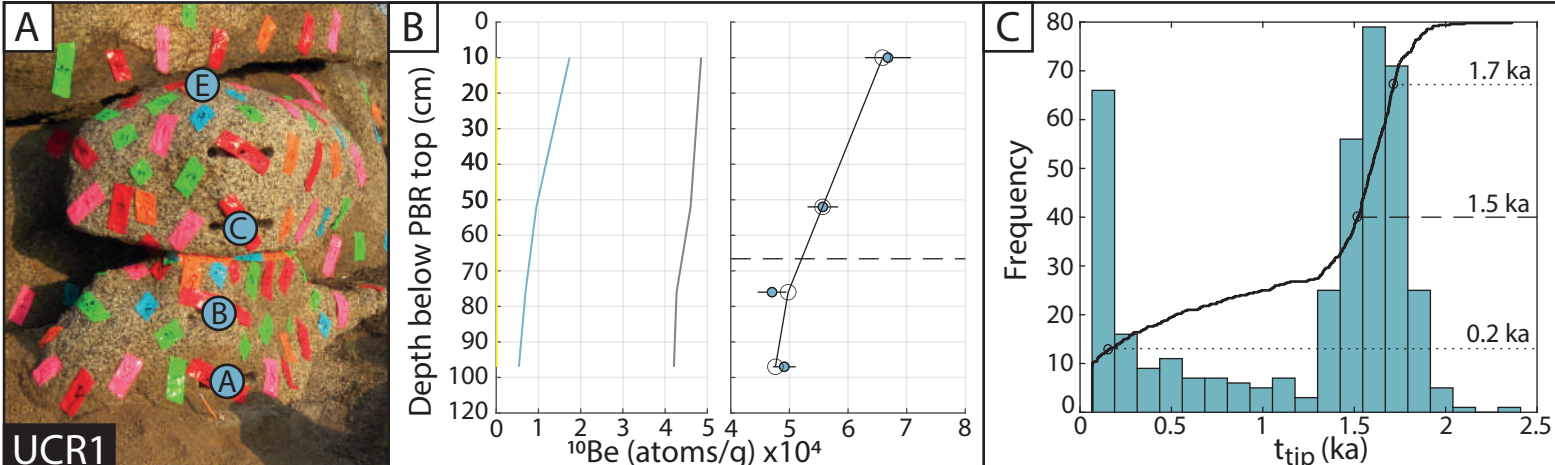
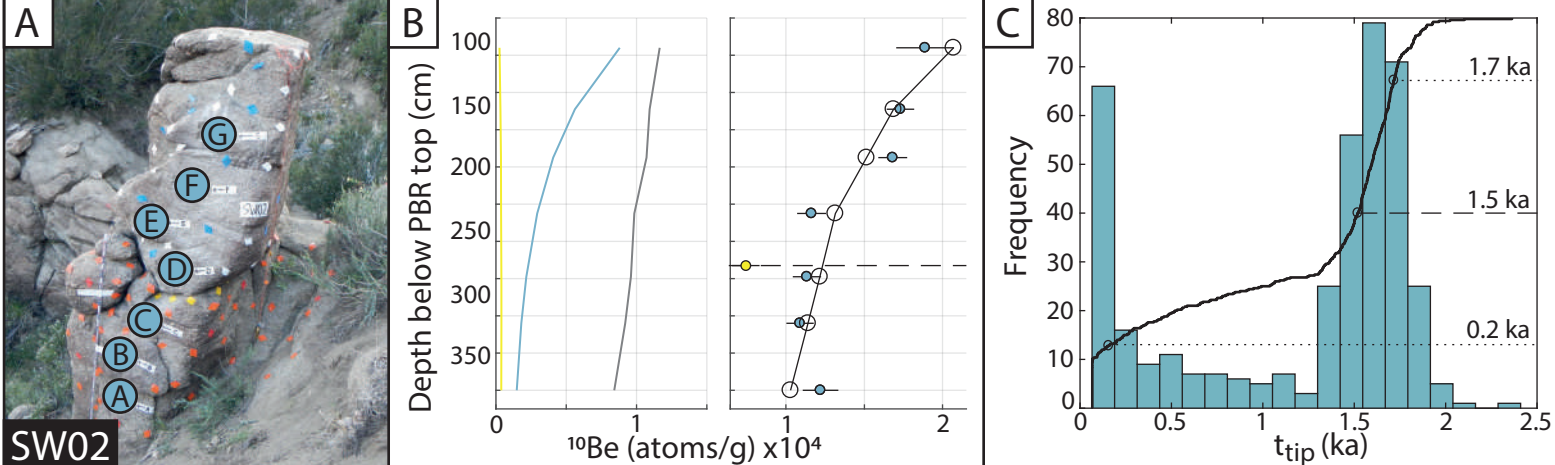
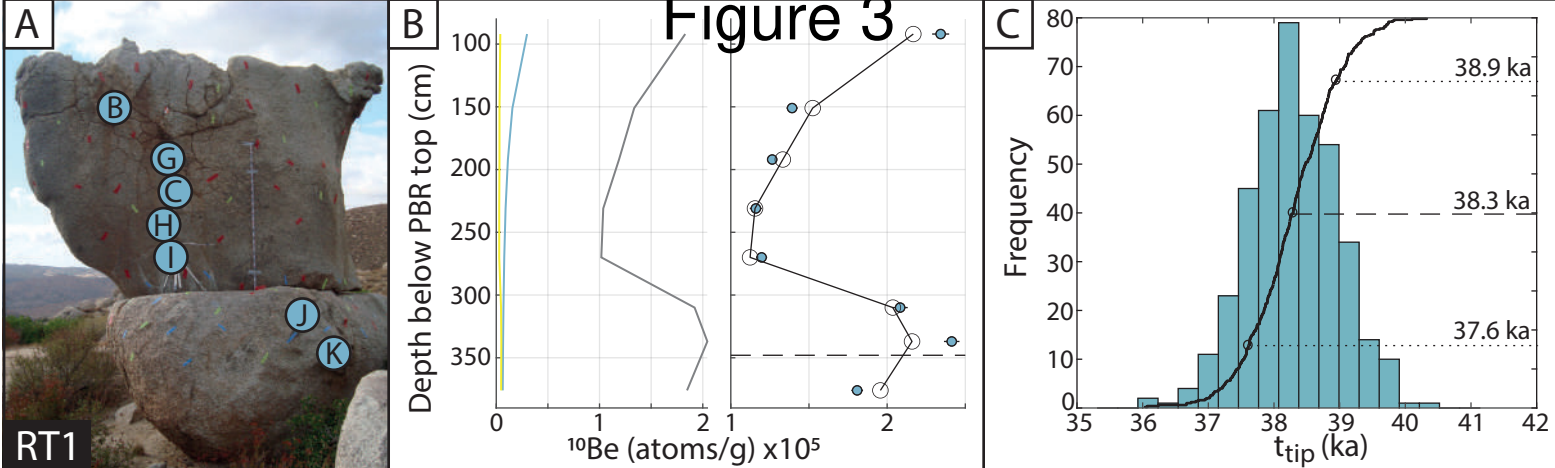
# Figure 3



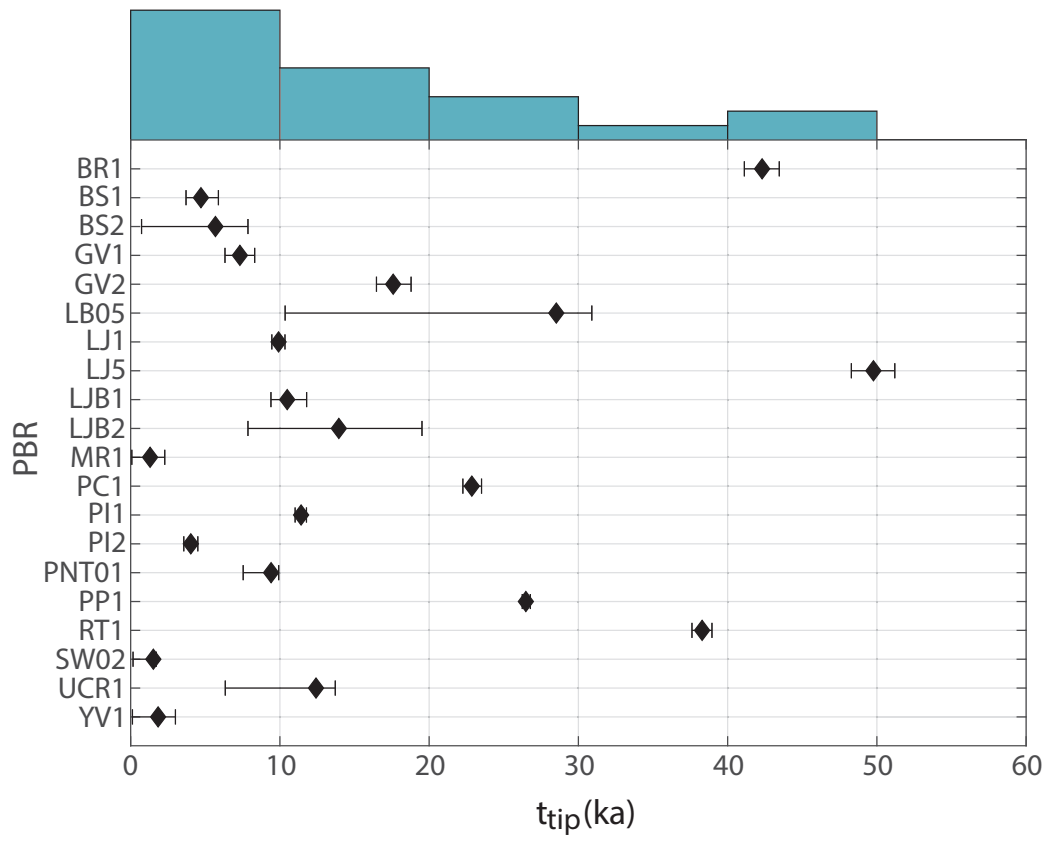
# Figure 3



# Figure 3

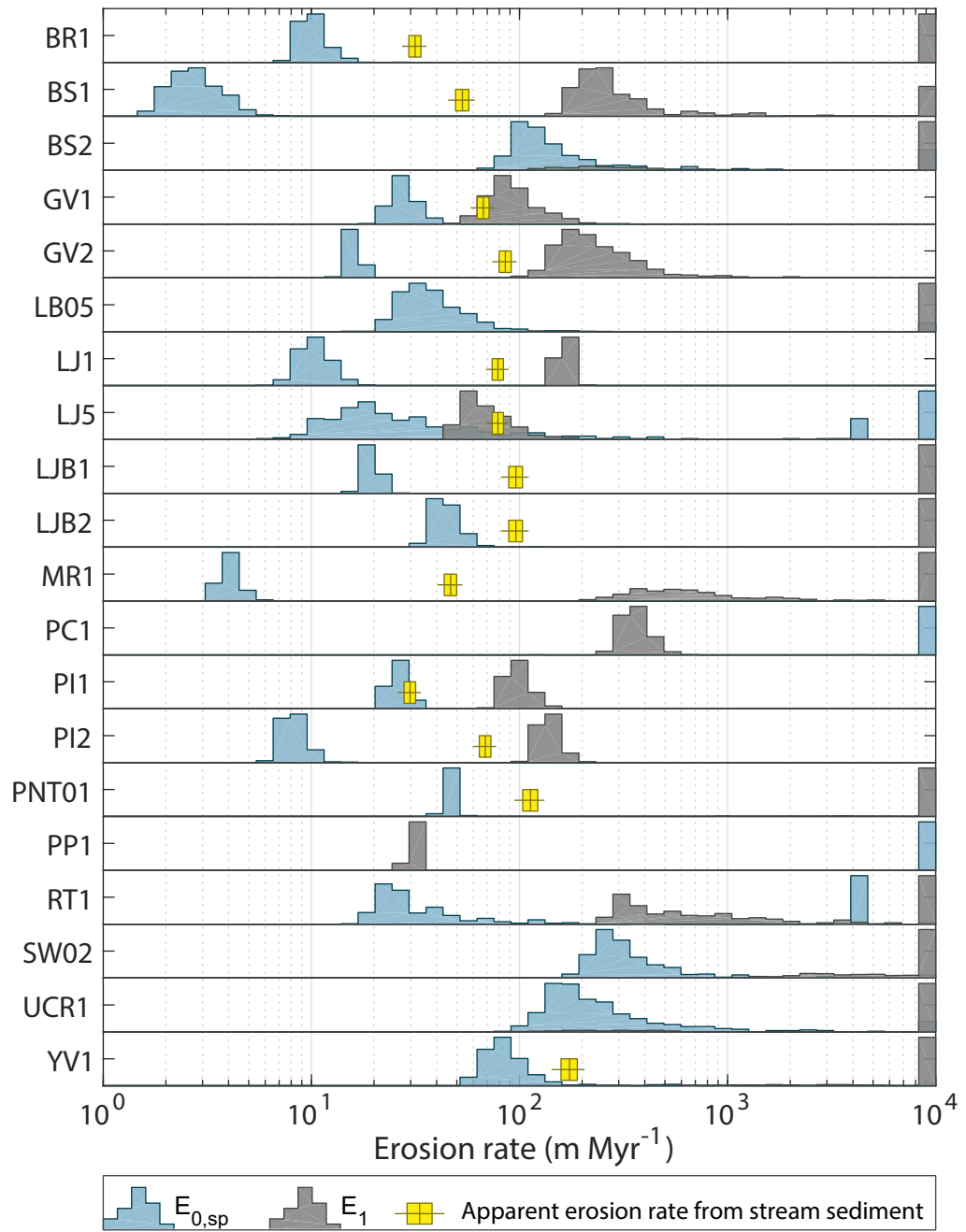


# Figure 4





# Figure 5



# Figure 6

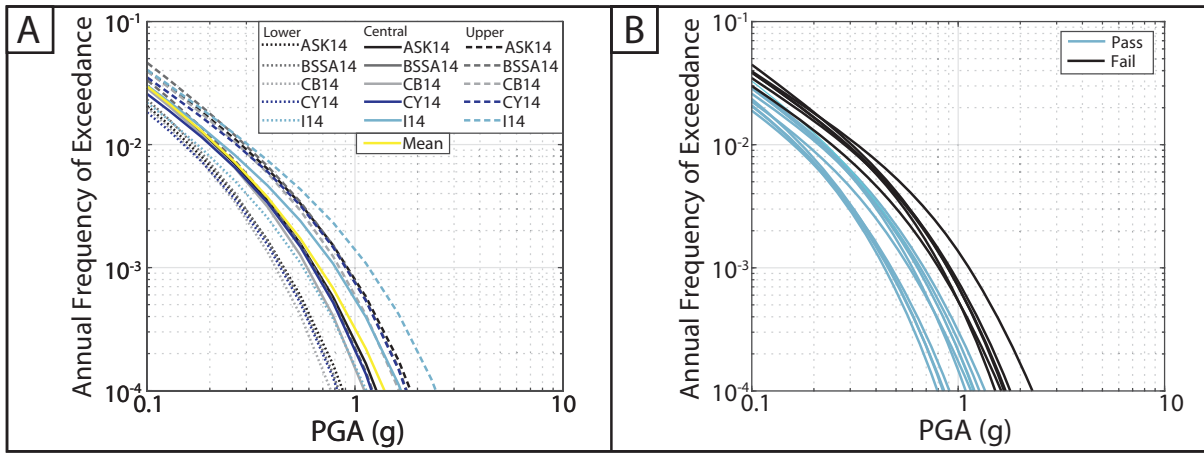


TABLE 1. LOCATION INFORMATION OF THE STUDIED PBRs

Site	PBR ID	Latitude	Longitude	Elevation (m)
Benton Road	BR1	33.59285	-116.92530	778
Beaumont South	BS1	33.89750	-116.98592	759
	BS2	33.89654	-116.98470	734
Grass Valley	GV1	34.27813	-117.23254	1437
	GV2	34.27878	-117.24710	1510
Lovejoy Buttes	LB05	34.59730	-117.86720	882
	LJ1	34.59448	-117.85328	944
	LJ5	34.59454	-117.85199	931
	LJB1	34.60352	-117.85754	1550
	LJB2	34.60316	-117.85705	1534
Motte Rimrock	MR1	33.80942	-117.25282	534
Pacifico Crest	PC1	34.38603	-118.04983	2052
The Pinnacles	PI1	34.30546	-117.22670	1679
	PI2	34.29855	-117.21806	1463
Pioneertown	PNT01	34.13845	-116.47844	1125
Perris	PP1	33.78798	-117.24377	497
Roundtop	RT1	33.52070	-116.90687	734
Silverwood Lake	SW02	34.29688	-117.33979	1107
UC Riverside	UCR1	33.96516	-117.32010	403
Yucca Valley	YV1	34.11756	-116.50897	1280

TABLE 2. MEASURED AND CALCULATED PBR GEOMETRIC PARAMETERS AND FRAGILITY FUNCTION PARAMETERS

PBR	PBR height <sup>†</sup> (m)	Toppling azimuth	$\alpha_1$ (rad)	$\alpha_2$ (rad)	$R_1$ (m)	$R_2$ (m)	$p^2$ (s <sup>-2</sup> )	Mean distance <sup>#</sup> (km)	Mean magnitude <sup>#</sup> (Mw)	Median fragility (g)
BR1	2.65	091	0.20	0.27	1.34	1.36	5.47	11.06	6.83	0.64
BS1	2.73	060	0.50	0.58 <sup>§</sup>	1.40	1.35	5.26	8.37	7.35	3.69
BS2*	0.98	070	0.25	0.36	0.46	0.46	16.02	8.38	7.35	0.56
GV1	1.00	245	0.43	0.67 <sup>§</sup>	0.49	0.52	14.85	9.95	7.28	1.52
GV2	1.50	192	0.37	0.56 <sup>§</sup>	0.72	0.75	10.27	9.75	7.32	1.47
LB05	1.08	213	0.34	0.47	0.49	0.42	15.10	14.15	7.67	1.01
LJ1	4.22	110	0.31	0.48	1.90	1.55	3.87	14.35	7.65	1.65
LJ5	1.92	344	0.42	0.44	0.87	0.81	8.48	14.40	7.65	1.69
LJB1	2.30	258	0.21	0.42	0.95	0.92	7.71	14.88	7.64	0.66
LJB2	0.99	222	0.16	0.60 <sup>§</sup>	0.46	0.42	15.93	14.87	7.64	0.69
MR1	2.25	334	0.16	0.27	1.06	1.10	6.96	14.98	6.97	0.40
PC1	3.09	264	0.39	0.49	1.15	1.25	6.38	13.91	7.64	1.06
PI1	1.04	241	0.33	0.51 <sup>§</sup>	0.43	0.54	17.03	11.20	7.18	1.06
PI2	2.35	005	0.39	0.44	1.10	1.07	6.69	10.96	7.16	2.01
PNT01	1.19	077	0.26	0.51 <sup>§</sup>	0.49	0.48	15.14	6.46	6.88	0.86
PP1	3.32	222	0.16	0.59 <sup>§</sup>	1.59	1.69	4.62	15.51	6.98	1.01
RT1	3.48	269	0.20	0.37	1.81	1.65	4.07	13.09	6.77	0.93
SW02	1.73	085	0.37	0.62 <sup>§</sup>	0.67	0.89	11.00	7.73	7.45	1.31
UCR1	0.57	265	0.33	0.47	0.29	0.26	25.71	10.76	7.18	0.85
YV1	2.12	239	0.20	0.32	0.96	0.87	7.68	7.08	6.92	0.53

\*Low confidence PBR fragility geometric parameters, see Discussion section and Supplementary Materials: Figure 1.

<sup>†</sup>PBR height is the measured vertical height from the highest point on the top of the PBR to the lowest point on the PBR-pedestal contact.

<sup>§</sup> $\alpha_2$  values of greater than 0.5 radians were set to 0.5 radians in the equations of Purvance et al., (2008a).

<sup>#</sup>Mean distance and magnitude are calculated for a mean return period of 2475 years and  $V_{S30}$  conditions of 760 ms<sup>-1</sup> using the USGS online Unified Hazard Tool.

TABLE 3. SAMPLE-SPECIFIC CONSTANTS AND  $^{10}\text{Be}$  CONCENTRATIONS FOR THE GV2  
PBR SAMPLES

Sample ID	Sample thickness (cm) <sup>*</sup>	Distance below PBR top (cm) <sup>†</sup>	S <sub>0,i</sub> <sup>§</sup>	Li (g cm <sup>-2</sup> ) <sup>§</sup>	[Be-10] (atoms/g)	1σ (atoms/g)
GV2-1	5.0	169	0.50	223	163298	3821
GV2-2	4.0	69	0.90	171	410286	6726
GV2-3	4.5	0	0.96	160	688326	15971
GV2-4	3.5	117	0.60	225	207599	4336

<sup>\*</sup> Measured in the field when each sample was collected.

<sup>†</sup> Vertical height measured from the highest point on the top of the PBR to the sample point.

<sup>§</sup> Calculated using the code of Balco (2014).

TABLE 4. BEST FIT AND MONTE CARLO MODELED PARAMETER VALUES FOR PBR GV2

Parameter	Best fit	Median	16th	84th
$t_0$ (ka)	24.7	24.8	23.1	26.4
$t_{\text{tip}}$ (ka)	17.7	17.6	16.5	18.8
$\epsilon_{0,\text{sp}}$ (m/Myr)	15.9	15.9	14.8	17.1
$\epsilon_{0,\text{mu}}$ (m/Myr)	39.7	39.5	37.0	42.8
$\epsilon_1$ (m/Myr)	214.7	206.8	158.8	330.4

*Note:* Parameters modeled using our updated version of Balco et al. (2011).

TABLE 5. PROBABILITY OF SURVIVAL OF EACH PBR FOR THE GROUND MOTIONS ESTIMATED BY PSHA OUTPUT GROUND-MOTION ESTIMATES (WHITE = PASS, GRAY = FAIL).

	PBR	Region 1					Region 2					Region 3		Region 4		Region 5					
		LB05	LJ1	LJ5	LJB1	LJB2	PC1	GV1	GV2	PI1	PI2	SW02	PNT01	YV1	BS1	BS2	BR1	MR1	PP1	UCR1	RT1
Lower	ASK14	0.06	0.88	0.77	0.11	0.10	0.29	0.88	0.64	0.49	0.96	0.93	0.14	0.20	1.00	0.03	0.00	0.14	0.10	0.27	0.01
	BSSA14	0.12	0.90	0.84	0.14	0.13	0.22	0.93	0.74	0.62	0.98	0.95	0.19	0.18	1.00	0.04	0.00	0.10	0.08	0.31	0.02
	CB14	0.20	0.92	0.87	0.21	0.20	0.68	0.94	0.79	0.66	0.98	0.95	0.15	0.23	1.00	0.08	0.00	0.28	0.27	0.55	0.05
	CY14	0.10	0.91	0.85	0.17	0.15	0.32	0.90	0.70	0.63	0.98	0.94	0.26	0.26	1.00	0.05	0.00	0.19	0.15	0.36	0.04
	I14	0.00	0.78	0.58	0.02	0.02	0.00	0.69	0.29	0.30	0.93	0.80	0.05	0.12	1.00	0.00	0.00	0.03	0.01	0.05	0.00
Central	ASK14	0.00	0.62	0.29	0.00	0.00	0.01	0.60	0.17	0.09	0.87	0.74	0.01	0.03	1.00	0.00	0.00	0.02	0.00	0.01	0.00
	BSSA14	0.00	0.67	0.39	0.00	0.00	0.00	0.69	0.26	0.14	0.90	0.78	0.01	0.02	1.00	0.00	0.00	0.01	0.00	0.01	0.00
	CB14	0.00	0.72	0.51	0.01	0.01	0.13	0.77	0.37	0.23	0.92	0.81	0.01	0.04	1.00	0.00	0.00	0.05	0.03	0.08	0.00
	CY14	0.00	0.68	0.42	0.00	0.00	0.01	0.65	0.23	0.16	0.90	0.76	0.02	0.05	1.00	0.00	0.00	0.02	0.01	0.02	0.00
	I14	0.00	0.42	0.08	0.00	0.00	0.00	0.30	0.02	0.02	0.78	0.48	0.00	0.01	1.00	0.00	0.00	0.00	0.00	0.00	0.00
Upper	ASK14	0.00	0.22	0.01	0.00	0.00	0.00	0.16	0.00	0.00	0.62	0.38	0.00	0.00	1.00	0.00	0.00	0.00	0.00	0.00	0.00
	BSSA14	0.00	0.25	0.01	0.00	0.00	0.00	0.22	0.01	0.00	0.68	0.42	0.00	0.00	1.00	0.00	0.00	0.00	0.00	0.00	0.00
	CB14	0.00	0.34	0.05	0.00	0.00	0.00	0.35	0.03	0.01	0.75	0.47	0.00	0.00	1.00	0.00	0.00	0.00	0.00	0.00	0.00
	CY14	0.00	0.27	0.02	0.00	0.00	0.00	0.21	0.01	0.00	0.69	0.40	0.00	0.00	1.00	0.00	0.00	0.00	0.00	0.00	0.00
	I14	0.00	0.07	0.00	0.00	0.00	0.00	0.03	0.00	0.00	0.45	0.15	0.00	0.00	1.00	0.00	0.00	0.00	0.00	0.00	0.00

Note: Each of the five regions have different dominant seismic sources that are being tested by the PBR data. The dominant seismic source in Region 1 is the San Andreas fault. Region 2 is at the junction between the San Andreas, San Jacinto, and Transverse Ranges thrust faults. The dominant seismic sources in Region 3 is Pinto Mountain fault. Region 4 is between the San Andreas and San Jacinto faults. Region 5 is between the San Jacinto and Elsinore faults.