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- 1 Safety and immunogenicity report from the Com-COV study A single-blind randomised non-
- 2 inferiority trial comparing heterologous and homologous prime-boost schedules with an

3 adenoviral vectored and mRNA COVID-19 vaccine.

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#### 48 Abstract

#### 49 Background

50 Use of heterologous prime-boost COVID-19 vaccine schedules could facilitate mass COVID-19 51 immunisation, however we have previously reported that heterologous schedules incorporating an 52 adenoviral-vectored vaccine (ChAd, Vaxzevria, Astrazeneca) and an mRNA vaccine (BNT, Comirnaty, 53 Pfizer) at a 4-week interval are more reactogenic than homologous schedules. Here we report the 54 immunogenicity of these schedules.

#### 55 Methods

Com-COV (ISRCTN: 69254139, EudraCT: 2020-005085-33) is a participant-blind, non-inferiority trial
 evaluating vaccine reactogenicity and immunogenicity. Adults ≥ 50 years, including those with well controlled comorbidities, were randomised across eight groups to receive ChAd/ChAd, ChAd/BNT,
 BNT/BNT or BNT/ChAd, administered at 28- or 84-day intervals.

The primary endpoint is the geometric mean ratio (GMR) of serum SARS-CoV-2 anti-spike IgG levels (ELISA) at one-month post boost, when comparing ChAd/BNT with ChAd/ChAd, and (separately) BNT/ChAd with BNT/BNT. The heterologous schedules were considered non-inferior to the approved homologous schedules if the lower limit of the one-sided 97.5% confidence interval of the GMR of these comparisons was above 0.63. The primary analysis was on a per-protocol population, who were seronegative at baseline. Safety analyses were performed amongst participants receiving at least one dose of study vaccines.

#### 67 Findings

In February 2021, 830 participants were enrolled and randomised, including 463 with a 28-day primeboost interval whose results are reported in this paper. Participant mean age was 57.8 years, 45.8%
were female, and 25.3% from ethnic minorities.

The geometric mean concentration (GMC) of day 28 post-boost SARS-CoV-2 anti-spike IgG in ChAd/BNT recipients (12,906 ELU/ml) was non-inferior to that in ChAd/ChAd recipients (1,392 ELU/ml) with a geometric mean ratio (GMR) of 9.2 (one-sided 97.5% CI: 7.5,  $\infty$ ). In participants primed with BNT, we failed to show non-inferiority of the heterologous schedule (BNT/ChAd, GMC 7,133 ELU/ml) against the homologous schedule (BNT/BNT, GMC 14,080 ELU/ml) with a GMR of 0.51 (one-sided 97.5% CI: 0.43,  $\infty$ ). Geometric mean of T cell response at 28 days post boost in the ChAd/BNT group was 184 SFC/10<sup>6</sup> PBMCs (spot forming cells/10<sup>6</sup> peripheral blood mononuclear cells) compared to 48,

- 78 80 and 97 SFC/10<sup>6</sup> PBMCs for ChAd/ChAd, BNT/BNT, and BNT/ChAd, respectively. There were four
- serious adverse events across all groups, none of which were considered related to immunisation.

## 80 Interpretation

- 81 Despite the BNT/ChAd regimen not meeting non-inferiority criteria, the GMCs of both heterologous
- 82 schedules were higher than that of a licensed vaccine schedule (ChAd/ChAd) with proven efficacy
- 83 against COVID-19 disease and hospitalisation. Along with the higher immunogenicity of ChAd/BNT
- 84 compared with ChAD/ChAd, these data support flexibility in the use of heterologous prime-boost
- 85 vaccination using ChAd and BNT COVID-19 vaccines.

## 86 Funding

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#### 88 Introduction

COVID-19 has severely impacted the world in terms of health, society and economy.<sup>1</sup> Immunity through vaccination is fundamental to reducing the burden of disease, the emergence from current public health measures and the subsequent economic recovery. Multiple vaccines with proven effectiveness are being deployed globally, including the mRNA vaccine Comirnaty (BNT, Pfizer) and the adenoviral vectored vaccine Vaxzevria (ChAd, AstraZeneca), both of which are approved as twodose homologous schedules in the UK and elsewhere.<sup>2</sup>

As of June 2021, around 2 billion COVID-19 vaccines were administered worldwide,<sup>3</sup> but many more
 people remain unimmunised. Heterologous vaccine schedules may ease logistical problems inherent
 in some national and international vaccine programmes. This could prove of particular importance in
 low- and middle-income countries<sup>4</sup> as well as in countries which have adopted age-specific restrictions
 for the use of ChAd.<sup>5-7</sup>

100 While the Sputnik V vaccine programme, which deploys a heterologous prime-boost schedule using 101 Ad26 and Ad5 vectored COVID-19 vaccines, induces a robust humoral and cellular response and has shown 91.6% efficacy against symptomatic disease,<sup>8,9</sup> there are currently no efficacy data using 102 103 heterologous schedules incorporating COVID-19 vaccines across different platforms. Nevertheless, pre-clinical studies support evaluation of this approach,<sup>10,11</sup> and a randomised study in Spain suggested 104 that there is an increase in binding and neutralising antibody after boosting ChAd primed participants 105 106 with BNT, compared with not having a boost dose.<sup>12</sup> Additionally, early results from an observational 107 study in Germany show that humoral responses are similar in the cohort receiving BNT/BNT at a 3-108 week interval to those receiving ChAd/BNT at 10-week interval, with cellular responses appearing to be higher in the ChAd/BNT cohort.<sup>13</sup> 109

Robust data on the safety and immunogenicity of heterologous vaccine schedules will help inform the use of these schedules in individuals who develop a contraindication to a specific vaccine after their first dose, and for vaccine programmes looking to mitigate vaccine supply chain disruption or changes in guidance for vaccine usage. In addition, there remains the possibility that mixed schedules may induce an enhanced or more durable humoral and/or cellular immune response compared to licensed schedules, and may do so against a greater range of SARS-CoV-2 variants.

Accordingly, we have undertaken a randomised controlled trial to determine whether the immune responses to heterologous schedules deploying ChAd and BNT are non-inferior to their equivalent homologous schedules.

#### 120 Methods

#### 121 Trial Design

122 Com-COV is a participant-blinded, randomised, phase II, UK multi-centre, non-inferiority study 123 investigating the safety, reactogenicity and immunogenicity of heterologous prime-boost COVID-19 124 vaccine schedules (See supplementary or https://comcovstudy.org.uk/ for full protocol). Four 125 permutations of prime-boost schedules using the ChAd and BNT vaccines are compared, at two 126 different prime-boost intervals (28 and 84 days) to reflect both 'short' and 'long' interval approaches 127 to immunisation. The majority of participants were enrolled into the 'General cohort' in which 128 participants could be randomised to receive the four vaccine schedules at either a 28 or 84 day 129 interval, while a subset (N=100, selected on the basis of site capacity and participant availability) were 130 enrolled into an immunology cohort that only randomised individuals to vaccine schedules with a 28 131 day interval and had four additional blood tests to explore the kinetics of the immune responses.

Here we report data from all participants randomised to vaccine schedules with a prime/boost intervalof 28 days.

#### 134 Participants

COVID-19 vaccine-naïve adults aged 50 years and over, with no or well-controlled mild-moderate
 comorbidities were eligible for recruitment. Key exclusion criteria were previous laboratory confirmed
 SARS-CoV-2 infection, history of anaphylaxis, history of allergy to a vaccine ingredient, pregnancy,
 breastfeeding or intent to conceive, and current use of anticoagulants. Full details of the inclusion and
 exclusion criteria can be found in the protocol (supplementary file).

#### 140 Interventions and Procedures

Participants who met the inclusion and exclusion criteria via the online screening and/or the telephone screening were invited to the baseline visits (D0), where randomisation occurred for those passing the final eligibility assessment and providing informed consent.

Two COVID-19 vaccines were used in this study. ChAd is a replication-deficient chimpanzee adenovirus vectored vaccine, expressing the SARS-CoV-2 spike surface glycoprotein with a leading tissue plasminogen activator signal sequence. Administration is via 0.5ml intramuscular (IM) injection into the upper arm. BNT is a lipid nanoparticle-formulated, nucleoside-modified mRNA vaccine encoding trimerised SARS-CoV-2 spike glycoprotein. Administration is via a 0.3ml IM injection into the upper arm. 150 Vaccines were administered by appropriately trained trial staff at trial sites. Participants were 151 observed for at least 15 minutes after vaccination. During the D0 visit, participants were given an oral 152 thermometer, tape measure and diary card (electronic or paper) to record solicited, unsolicited, and 153 medically attended adverse events (AEs) with instructions. The study sites' physicians reviewed the 154 diary card regularly to record AEs, adverse events of special interest (AESIs), and serious adverse 155 events (SAEs). The time-points for subsequent visits for immunogenicity blood sampling are shown in 156 the supplementary protocol. During the study visits, AEs, AESIs and SAEs that had not been recorded 157 in the diary card were also collected.

Participants testing positive for SARS-CoV-2 in the community were invited for an additional visit for clinical assessment, collection of blood samples and throat swab, and completion of a COVID-19 symptom diary.

#### 161 Randomisation and Blinding

162 Computer-generated randomisation lists were prepared by the study statistician. Participants were 163 block randomised (block size four) 1:1:1:1 within the immunology cohort to ChAd/ChAd, ChAd/BNT, 164 BNT/BNT and BNT/ChAd schedules (boost interval of 28 days). General Cohort participants were block 165 randomised (block size eight) 1:1:1:1:1:1:1:1 to ChAd/ChAd, ChAd/BNT, BNT/BNT and BNT/ChAd 166 schedules at boosting intervals of both 28 and 84 days. Besides the stratification by cohort, 167 randomisation was further stratified by study site. Clinical research nurses who were not involved in safety endpoint evaluation performed the randomisation using REDCap<sup>™</sup> (the electronic data capture 168 169 system) and prepared and administered vaccine.

Participants and laboratory staff processing the immunogenicity endpoints were blinded to vaccines received, but not to prime-boost interval. Participant blinding to vaccines was maintained by concealing randomisation pages, preparing vaccines out of sight and applying masking tape to vaccine syringes to conceal dose volume and appearance. The clinical team assessing the safety endpoints were not blinded.

#### 175 Outcomes

The primary outcome is serum SARS-CoV-2 anti-spike IgG concentration at 28 days post boost for
those with a prime-boost interval of 28 days in participants who were seronegative for COVID infection
at baseline.

Secondary outcomes include reactogenicity, as measured by solicited local and systemic events for 7 days after immunisation (reported previously for the 28-day prime-boost interval groups)<sup>14</sup> and safety, as measured by unsolicited AEs for 28 days after immunisation, medically attended AEs for 3 months after immunisation, AESIs and SAEs were collected throughout the study. Blood biochemistry and haematology assessments were measured at baseline (day 0), on day of boost and 28 days postboost, with an additional day 7 post-boost time-point (D35) for the immunology cohort only. The detailed definition of safety outcomes can be found in the protocol as a supplementary file.

Immunological secondary outcomes include SARS-CoV-2 anti-spike binding IgG concentration, cellular
 responses (measured by IFN-gamma ELISpot) in peripheral blood, and pseudotype virus neutralisation
 titres at D0, D28 and D56. The immunology cohort had additional visits at D7, D14, D35 and D42 to
 explore the kinetics of the immune responses further.

#### 190 Laboratory methods

Sera were analysed at Nexelis, (Laval, Canada) to determine SARS-CoV-2 anti-spike IgG concentrations 191 192 by ELISA (reported as ELISA laboratory unit (ELU)/ml) and the 50% neutralising antibody titre ( $NT_{50}$ ) for SARS-CoV-2 pseudotype virus neutralisation assay (PNA), using a vesicular stomatitis virus 193 backbone adapted to bear the 2019-nCOV SARS-CoV-2 spike protein.<sup>15</sup> The conversation factors to 194 195 international standard units can be found in the supplementary file. Sera from day 0 were analysed at 196 Porton Down, Public Health England, by ECLIA (Cobas platform, Roche Diagnostics) to determine anti-197 SARS-CoV-2 nucleocapsid IgG status (reported as negative if below a cut off index of 1.0). Normalised 198 NT<sub>50</sub> for live SARS-CoV-2 virus (Victoria/01/2020) was determined by micro-neutralisation assay 199 (MNA) also at Porton Down, on day 0 and 56 samples in the ChAd-primed groups only due to the 200 limitation of laboratory capacity.<sup>15</sup> Interferon-gamma secreting T-cells specific to whole spike protein 201 epitopes designed based on the Wuhan-Hu-1 sequence (YP\_009724390.1) were detected using a 202 modified T-SPOT-Discovery test performed at Oxford Immunotec (Abingdon, UK) within 32 hours of 203 venepuncture, using the addition of T-Cell Xtend reagent to extend peripheral blood mononuclear cell 204 (PBMC) survival.<sup>16</sup> T cell frequencies were reported as spot forming cells (SFC) per 250,000 PBMCs 205 with a lower limit of detection of one in 250,000 PBMCs, and these results multiplied by four to express frequencies per 10<sup>6</sup> PBMCs. 206

#### 207 Statistical analysis

The primary analysis of SARS-CoV-2 anti-spike IgG was carried out in participants boosted at D28 on a per-protocol basis. The analysis population was participants who were seronegative for COVID at baseline (defined by anti-nucleocapsid IgG negativity at Day 0 and no confirmed SARS-CoV-2 infection within 14 days post prime vaccination), whose primary endpoint data were available and who had no protocol deviations. The geometric mean ratio (GMR) was calculated as the antilogarithm of the difference between the mean of the log<sub>10</sub> transformed SARS-CoV-2 anti-spike IgG in the heterologous arm and that in the homologous arm (as the reference), after adjusting for study site and cohort (immunology/general) as randomisation design variables in the linear regression model. The GMRs were reported separately for participants primed with ChAd and those with BNT with a one-sided 97.5% confidence interval to adjust for multiple testing as two primary comparisons were made. The criteria for non-inferiority of heterologous boost compared to the homologous boost was for the lower limit of the one-sided 97.5% CI of the GMR to lie above 0.63; this was chosen on a pragmatic basis to approach the WHO criterion of 0.67 for licencing new vaccines when using GMR as the primary endpoint, while still allowing rapid study delivery.<sup>17</sup>

According to recommended practice for non-inferiority trials,<sup>18</sup> we also present the two-sided 95% CI 222 223 of the adjusted GMRs among the modified intent-to-treat (mITT) population, which follows the per-224 protocol population definition but included participants whose visit timelines fell outside protocol 225 windows to allow a conservative estimation for superiority comparison (Figure 1), as secondary 226 analyses. The heterologous arm was considered superior to the homologous arm if the lower limit of 227 the two-sided 95% CI lies above one, and the homologous boost arm superior to the heterologous boost arm if the upper limit of the two-sided 95% CI lies below one. The geometric means of secondary 228 229 immunological outcomes were reported in the mITT population. The proportions of participants with 230 responses higher than the lower limit of detection (LLOD) or lower limit of quantification (LLOQ) were 231 calculated by vaccine schedule, with 95% CIs calculated by the binomial exact method for each 232 secondary immunological outcome, and compared between heterologous and homologous arms 233 using Fisher's exact test. Censored data reported as below the LLOD/LLOQ were imputed with a value 234 equal to half of the threshold before transformation. Between-schedule comparisons of 235 immunological outcomes were evaluated by linear regression models adjusting for study site and 236 cohort as secondary analyses. Correlations between different immunological outcomes were 237 evaluated by Pearson correlation coefficients.

As an exploratory analysis, subgroup analyses were conducted for primary and secondary immunogenicity outcomes by age (50-59, and 60+), sex (male and female) and baseline comorbidity (presence/absence of cardiovascular disease, respiratory disease or diabetes). P values for interaction were reported using Wald test, and the significance level for interaction was set to be two-sided 0.0024 using Bonferroni correction.

Participants who received at least one dose of study vaccines were included in the safety analysis. The
proportion of participants with at least one safety event was reported by vaccine schedule. Fisher's
exact test was used to compare the difference between schedules.

The sample size calculation was done assuming the standard deviation (SD) of the primary endpoint to be 0.4 at log<sub>10</sub> scale and the true GMR to be one. The study needed to recruit 115 participants per

- arm to achieve 90% power at a one-sided 2.5% significance level, after adjusting for an attrition rate
- of 25% due to baseline SARS-CoV-2 seropositivity or loss to follow-up.
- All the statistical analyses were carried out using R version 3.6.2 (2019-12-12).

### 251 Trial oversight and safety monitoring

The trial was reviewed and approved by the South-Central Berkshire Research Ethics Committee (21/SC/0022), the University of Oxford, and the Medicines and Healthcare Products Regulatory Agency (MHRA). An independent data safety monitoring board (DSMB) reviewed safety data, and local trialsite physicians provided oversight of all adverse events in real-time. The trial is registered at www.isrctn.com as ISRCTN: 69254139.

### 257 Funder

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and the Vaccine Task Force (VTF). The funder had no role data collection, analysis, interpretation,manuscript writing or decision to submit.

#### 261 **Results**

Between 11<sup>th</sup> February 2021 and 26<sup>th</sup> February 2021, 978 participants were screened at eight study 262 263 sites across England, among whom 830 were enrolled and randomised into the study. 463 participants 264 were randomised to the four arms with a 28-day prime-boost interval reported here including 100 265 participants enrolled into the immunology cohort. The mean age of the participants was 57.8 years 266 (SD 4.7) with 45.8% female participants and 25.3% from ethnic minorities. Baseline characteristics 267 were well balanced across the four arms in both the general and immunology cohorts (Table 1). At 268 baseline, 20 (4.3%) participants were positive for anti-nucleocapsid IgG (cut-off index  $\geq$ 1.0), evenly 269 distributed across groups. The numbers of participants included in the modified intent-to-treat and 270 per-protocol analyses were 432 and 426, respectively (Figure 1).

# Immune responses at 28 days post boost vaccination: Primary outcome and key secondary outcomes.

273 Among participants primed with ChAd, the GMCs of SARS-CoV-2 anti-spike IgG at 28 days post boost 274 vaccination was 1,392 ELU/ml (95%CI: 1,188-1,630) and 12,906 ELU/ml (95%CI: 11,404-14,604) in the homologous arm (ChAd/ChAd) and heterologous arm (ChAd/BNT), respectively, with a GMR of 9.2 275 276 (one-sided 97.5% CI: 7.5,  $\infty$ ) between heterologous and homologous arms in the per-protocol analysis 277 (Table 2). Similar GMCs were observed in the modified ITT analysis with a GMR of 9.3 (two-sided 95% 278 CI: 7.7-11). The GMRs of MNA NT<sub>50</sub> and PNA NT<sub>50</sub> (secondary outcomes) between heterologous and 279 homologous arms were 6.4 (two-sided 95% CI: 5.2, 7.8) and 8.5 (two-sided 95% CI: 6.5, 11) in the 280 modified ITT analysis. The secondary outcome of cellular responses by T-cell ELISpot revealed 48 SFC/10<sup>6</sup> PBMCs (37-61) for ChAd/ChAd and 184 SFC/10<sup>6</sup> PBMCs (152-223) with a GMR of 3.9 (2.9-5.3) 281 282 (Table 2). These results indicate that the ChAd/BNT schedule was not only non-inferior, but also 283 statistically superior to ChAd/ChAd schedule for the SARS-CoV-2 anti-spike IgG, MNA NT<sub>50</sub>, PNA NT<sub>50</sub>, 284 and cellular responses.

285 In the two schedules with BNT as the prime vaccine, the GMCs of SARS-CoV-2 anti-spike IgG at 28 days 286 post boost vaccination were 14,080 ELU/ml (95%CI: 12,491-15,871) and 7,133 ELU/ml (95%CI: 6,415-287 7,932) for the homologous and heterologous arms in the per-protocol analysis. The GMR in the per-288 protocol analysis was 0.51 (one-sided 97.5% CI: 0.43, ∞). The study therefore failed to show non-289 inferiority of the heterologous arm (BNT/ChAd) to its corresponding homologous arm (BNT/BNT). In 290 addition, BNT/ChAd was statistically inferior for both SARS-CoV-2 anti-spike IgG (p<0.0001) and PNA 291 NT<sub>50</sub> (p=0.0041), compared with BNT/BNT. The geometric mean SFC frequency (T-cell ELISpot) was 292 higher in the heterologous arm compared with the homologous arm (97 vs 80 SFC/10<sup>6</sup> PBMCs), though 293 did not reach a level of statistical significance (GMR: 1.2, two-sided 95% CI: 0.87-1.7).

- Similar patterns of GMRs were seen in all subgroup analyses with SARS-CoV-2 anti-spike IgG and PNA NT<sub>50</sub> consistently higher in the ChAd/BNT compared with ChAd/ChAd and BNT/BNT higher than BNT/ChAd (Figure 2). Strong correlations were seen between SARS-CoV-2 anti-spike IgG and PNA NT<sub>50</sub>, and between SARS-CoV-2 anti-spike IgG and MNA NT50 at 28 days post boost (Pearson correlation coefficients of 0.6-0.7), while the correlations between humoral responses and cellular response were
- 299 weak (Pearson correlation coefficients <0.4) (Figure 3).

#### 300 Additional secondary outcomes

# 301Immunology cohort: Humoral & cellular immune responses at 7 and 14 days post boost302vaccination

Across all four schedules an increase in SARS-CoV-2 anti-spike IgG was seen from day 28 to day 35 (day 7 post boost), contrasting with a lack of response at day 7 post prime, suggesting that both vaccines induced immunological priming that was augmented by either homologous or heterologous boost (Figure 4 and Appendix Figure 1). No further increase in SARS-CoV-2 anti-spike IgG was seen at day 28 post boost, suggesting the peak response post-boost is likely to be earlier than 28 days. For all schedules except ChAd/ChAd, peak T cell response was observed at 14 days post boost; no further increase was seen in ChAd/ChAd post boost. (Appendix Figure 1).

#### 310 Humoral & cellular immune responses: Post-prime vaccination

In participants primed with ChAd and BNT, the SARS-CoV-2 anti-spike IgG GMCs were 129 (95% CI: 83-

312 200) and 843 (95% CI: 658-1,081) ELU/ml at 14 days post prime (p<0.0001), and 555 (95% CI: 469-657)

313 and 1,597 (1,407-1,812) ELU/ml at 28 days post prime (p<0.0001), respectively.

In contrast, ChAd induced significantly higher cellular responses at 14 days (p<0.0001) and 28 days

315 (p<0.0001) post prime vaccination compared with BNT: Geometric mean at 14 days was 159 (95% CI:

316 119-211) vs 32 SFC/10<sup>6</sup> PBMCs (95%CI: 22-47), and at 28 days was 53 (95% CI: 44-63) vs 15 SFC/10<sup>6</sup>

317 PBMCs (95%CI: 13-18), respectively.

#### 318 Humoral & cellular immune responses: Cross-schedule comparisons

When BNT was given as the boost vaccine, similar levels of SARS-CoV-2 anti-spike IgG (p=0.44) and PNA NT<sub>50</sub> (p=0.40) at 28 days post-boost were observed among participants primed with ChAd (ChAd/BNT) and BNT (BNT/BNT). Participants boosted with ChAd following BNT prime (BNT/ChAd) had significantly higher SARS-CoV-2 anti-spike IgG (p<0.0001) and PNA NT<sub>50</sub> (p<0.0001) than those primed with ChAd (ChAd/ChAd). Homologous BNT/BNT immunisation generated higher binding antibodies at day 7 (p<0.0001) and day 28 (p<0.0001) post boost compared with ChAd/ChAd, with a difference also observed in PNA at day 28 post boost (p<0.0001). In contrast to the lack of further response following a homologous second dose of ChAd (Figure 4,
 Appendix Figure 1), a significant increase in cellular response was seen after a homologous boost with
 BNT, such that those receiving BNT/BNT had significantly higher number of SARS-CoV-2 specific T cells
 per 10<sup>6</sup> PBMCs than ChAd/ChAd (p=0.0028) at 28 days post boost with a four week interval (Figure 4).

#### 330 Safety

The results of the solicited adverse events in the week following immunisation have been reported previously.<sup>14</sup> In summary, we observed an increase in systemic reactogenicity after boost in participants receiving heterologous schedules in comparison to homologous schedules with the same prime vaccine. In participants randomised to 28-day interval groups there were 316 adverse events from 178 participants up to 28 days following boost immunisation (Supplementary Table 1). No significant difference was observed between the vaccine schedules in the proportion of participants with at least one AE (p=0.89). Adverse events of Grade  $\geq$ 3 are described in Supplementary Table 2.

Amongst all participants up to 6<sup>th</sup> Jun 2021 (date of data-lock) there were seven AESIs, of which four were COVID-19 diagnoses (Supplementary Tables 3 & 4). The non-COVID-19 AESIs were not considered related to immunisation. Four participants across all groups developed COVID-19. Three were within 7 days of prime immunisation, one was 54 days later, and had not received their planned 28 day boost due to travel. (Supplementary Table 4)

There were four SAEs across all groups in the study up to the data lock, and none was considered related to immunisation (Supplementary table 5).

#### 346 **Discussion**

We present here, for the first time in a randomised controlled clinical trial, the immunogenicity of 347 348 heterologous and homologous ChAd and BNT vaccine schedules with a 28-day prime-boost interval. 349 The findings demonstrate that all the schedules studied induced concentrations of SARS-CoV-2 anti-350 spike IgG concentrations at least as high as those induced after a licensed ChAd/ChAd schedule, which 351 is effective in preventing symptomatic COVID-19 when administered at a 4-12 week prime-boost interval.<sup>19</sup> Nevertheless, it is notable that the BNT containing schedules were more immunogenic than 352 353 the homologous ChAd/ChAd schedule, and none of the heterologous schedules generated binding or 354 pseudotype virus neutralising antibodies above those induced by BNT/BNT immunisation. Cellular 355 immune responses in the BNT vaccine containing schedules were likewise all at least as high as 356 ChAd/ChAd group with BNT/ChAd showing the greatest expansion of vaccine-antigen responsive T-357 cells in the peripheral circulation at 28 days post boost.

358 Although the 28-day homologous ChAd/ChAd was the least immunogenic of the four schedules in our 359 trial, data from a phase 3 randomised clinical trial showed this 4-week interval regimen to be 76% (95%CI: 68%-82%) efficacious against symptomatic disease, and 100% against severe disease.<sup>20</sup> This 360 schedule is known to be more immunogenic when administered at an 8 to 12 week schedule,<sup>19</sup> and 361 when deployed in this manner, it has been shown to be 86% (95% CI 53%-96%) and 92% (95% CI 75%-362 97%) effective against hospitalisation,<sup>21</sup> and 66% (95%CI: 54%-75%) and 60% (95%CI: 29%-77%) 363 against symptomatic infection,<sup>22</sup> due to the Alpha (B.1.1.7) and Delta (B.1.617.2) variants, respectively. 364 Given the established associations between humoral responses and vaccine efficacy,<sup>19</sup> our findings 365 366 indicate the two heterologous schedules in this trial are also likely to be highly effective, and could be 367 considered, in some circumstances, for national vaccine programmes.

Our results for the ChAd/BNT schedule build on preliminary data from a Spanish randomised trial in 368 369 which 18-60 year olds received a dose of BNT two to three months after priming with ChAd and demonstrated a 37-fold increase in SARS-CoV-2 anti-spike IgG at 14 days post-boost, higher than the 370 22-fold and 19-fold rises at 7 days and 28 days post boost in this study.<sup>12</sup> Potential explanations for 371 these differences include the longer prime-boost interval, the different sampling time-points and a 372 younger population in the Spanish study.<sup>12</sup> Fold rises in the cellular response were, however, similar 373 374 (4-fold vs. 3.7-fold). Early results from a prospective cohort study in Germany, which compared 375 healthcare workers immunised with BNT/BNT at a 3-week interval or ChAd/BNT at an 8-12 week 376 interval, showed similar concentrations of binding antibody at 3 weeks post-boost and higher cellular 377 responses in the ChAd/BNT recipients.<sup>13</sup> Another German cohort study of 26 participants aged 25-46 378 years receiving a ChAd/BNT schedule with an 8-week prime-boost interval also reported a robust humoral immune response, with a suggestion of better retention of neutralising activity against Beta
 and Delta variants than that observed in a non-randomised cohort receiving BNT/BNT.<sup>23</sup>

381 Together with the evidence that the T cell ELISpot readouts are similar between schedules, the 382 immunological data presented here provide reassurance that ChAd/BNT and BNT/ChAd are 383 acceptable options. However, in contrast with recent non-randomised and non-blinded studies, we 384 did observe increased reactogenicity in the 28-day ChAd/BNT schedule,<sup>14</sup> compared with ChAd/ChAd. 385 This discrepancy may be due to the variation in the prime-boost interval, and the forthcoming data 386 from the 84-day prime-boost interval participants in this trial will help to delineate this difference. 387 Although these mild-moderate symptoms were transient, this does need to be taken into consideration when deploying this schedule, especially in those younger than the participants enrolled 388 389 in this study, given the reported trend towards increased reactogenicity with decreasing age.<sup>24,25</sup> Additional considerations for deployment of mixed schedules include potential logistical challenges 390 391 within the healthcare infrastructure as well as the complex public communications surrounding this.

392 Numerous other randomised heterologous prime/boost COVID-19 vaccine studies are now underway or planned,<sup>26</sup> including Com-COV2, which incorporates vaccines manufactured by Moderna and 393 394 Novavax.<sup>27</sup> Crucially, several of these studies include vaccines manufactured by CanSinoBIO, Gamaleya 395 Research Institute and Sinovac that are extensively used in low- and middle-income countries, which 396 are potentially more likely to rely on mixed schedules. These data on heterologous vaccination will also inform '3<sup>rd</sup> dose' booster immunisation programmes, currently being considered in preparation 397 for the Northern Hemisphere 2021/2022 winter<sup>28</sup> and being studied in the ongoing 'Cov-Boost' 398 study.29 399

400 There are a number of limitations of this study. Firstly, as an immunogenicity and reactogenicity study 401 the sample size is not adequate to assess vaccine schedule efficacy. Although there is evidence that 402 both binding and neutralising antibodies correlate well with protection against symptomatic disease,<sup>19,30,31</sup> it is less clear to what extent variations in these measures above a certain, unknown, 403 404 threshold impact on protection against severe disease. Similarly, we are unable, at this point, to 405 determine whether higher antibody concentrations measured at 28 days post boost immunisation will 406 result in a more sustained elevation of vaccine-induced antibodies (as may be expected), and this will 407 be evaluated at ongoing study visits up to one-year post enrolment. An additional limitation is the 408 generalisability of these results to a younger population given the age (50 - 70 years old) of 409 participants in this trial. Previous RCTs on homologous schedules of viral vector and mRNA vaccines 410 reported similar post boost immunogenicity between younger (18-55 years) and older (>55 years) adults, and higher reactogenicity in younger cohorts, <sup>24,32,33</sup> and there is no reason to expect this would 411

be different for the heterologous schedules but this has not been extensively demonstrated. Lastly, the data presented here were from schedules with a 28-day prime-boost interval, whereas the WHO recommended interval for ChAd/ChAd is 8-12 weeks.<sup>34</sup> There is evidence that a longer prime-boost interval results in a higher post-boost SARS-CoV-2 anti-spike IgG response for ChAd/ChAd,<sup>19</sup> and for BNT/BNT<sup>35</sup> but it is unknown how lengthening the prime-boost interval will affect the heterologous schedules in this study. This question will be addressed when the immunogenicity data for the schedules including boosting at 84 days become available.

In conclusion, our study confirms the heterologous and homologous schedules of ChAd and BNT can induce robust immune responses with a 4-week prime boost interval. These results argue for allowing for flexibility in deploying mRNA and viral vectored vaccines, subject to supply and logistical considerations, and emphasise the importance of obtaining information on other mixed schedules with different prime boost intervals, especially using vaccines being deployed in low- and middleincome countries.

#### 426 **Research in context**

#### 427 Evidence before this study

428 National regulatory authorities have granted emergency use authorizations for more than 15 vaccines, 429 among which six vaccines have been approved for emergency use by the World Health Organisation.<sup>2</sup> 430 Although >2 billion COVID-19 vaccines have been administered as of June 2021,<sup>3</sup> only approximately 20% of the global population has received at least one dose of COVID-19 vaccine, with less than 1% of 431 the population in low-income countries having received a vaccine dose.<sup>36</sup> Heterologous COVID-19 432 433 vaccine schedules have the potential to accelerate vaccine roll-out worldwide, especially in low and 434 middle income countries. We searched PubMed for research articles published between database inception and 22<sup>nd</sup> June 2021 using the search terms (COVID) AND (Heterologous) AND (Vaccin\*) NOT 435 436 (BCG) with no language restrictions. Beside our previously published reactogenicity results,<sup>14</sup> we 437 identified two animal studies using combinations of messenger RNA, adenoviral vectored, inactivated 438 and recombinant protein vaccines as prime boost schedules. Both studies showed robust humoral and 439 cellular responses induced by heterologous schedules in mice.<sup>10,11</sup> In addition, there were two clinical 440 trials on the rAd26 and rAd5 vector-based heterologous prime-boost schedule (Sputnik V, Gamaleya 441 Research Institute of Epidemiology and Microbiology), showing good safety profiles, strong humoral/cellular responses and a 91.6% vaccine efficacy.<sup>8,9</sup> A further clinical trial, which randomised 442 participants primed with ChAd to received BNT as the boost vaccine or no boost vaccination, reported 443 444 robust immune response and acceptable reactogenicity profile, but with no comparison to a homologous vaccine schedule.<sup>12</sup> There were another two cohort studies evaluating ChAd prime and 445 BNT boost schedules on medRxiv, showing similar results.<sup>13,23</sup> 446

#### 447 Added Value of this study

We report the results on the safety and immunogenicity of the first participant-blinded randomised clinical trial using two vaccines approved by WHO for emergency use, ChAd and BNT, when administered at a 28-day interval in heterologous and homologous vaccine schedules (ChAd/ChAd, ChAd/BNT, BNT/BNT, BNT/ChAd). The cellular and humoral responses at 28 days post-boost of the two heterologous vaccines schedules are no lower than the ChAd/ChAd schedule, which has shown to be highly effective in preventing severe COVID-19 disease, and no safety concerns were raised.

#### 454 Implications of all the available evidence

In the era of multiple COVID-19 vaccines having approval for emergency use, the paramount issue in solving the COVID-19 pandemic is now to optimise global vaccine coverage rate using the currently available vaccines. The positive results from our study support flexibility in use of heterologous prime-

- 458 boost schedules using ChAd and BNT, which can contribute to the acceleration of vaccine roll-out.
- 459 Further studies are needed examining more heterologous schedules, especially those vaccines being
- 460 deployed in low and middle-income countries.

#### 462 Author Contributions

463 MDS and JSN-V-T conceived the trial and MDS is the chief investigator. MDS, AS, RHS, and XL 464 contributed to the protocol and design of the study. AS, EP and RHS led the implementation of the 465 study. XL and MG conducted the statistical analysis and have verified the underlying data. AS, RHS, 466 MG, XL and MDS drafted the report. All other authors contributed to the implementation and data 467 collection. All authors reviewed and approved the final report.

### 468 **Declaration of interests**

469 MDS acts on behalf of the University of Oxford as an Investigator on studies funded or sponsored by 470 vaccine manufacturers including AstraZeneca, GlaxoSmithKline, Pfizer, Novavax, Janssen, 471 Medimmune, and MCM vaccines. He receives no personal financial payment for this work. JSN-V-T is 472 seconded to the Department of Health and Social Care, England. AMC and DMF are investigators on 473 studies funded by Pfizer and Unilever. They receive no personal financial payment for this work. AF is 474 a member of the Joint Committee on Vaccination and Immunisation and Chair of the WHO European 475 Technical Advisory Group of Experts (ETAGE) on Immunisation. He is an investigator and/or provides 476 consultative advice on clinical trials and studies of COVID-19 vaccines produced by AstraZeneca, 477 Janssen, Valneva, Pfizer and Sanofi and of other vaccines from these and other manufacturers 478 including GSK, VPI, Takeda and Bionet Asia. He receives no personal remuneration or benefits for any 479 of this work. SNF acts on behalf of University Hospital Southampton NHS Foundation Trust as an 480 Investigator and/or providing consultative advice on clinical trials and studies of COVID-19 and other 481 vaccines funded or sponsored by vaccine manufacturers including Janssen, Pfizer, AstraZeneca, 482 GlaxoSmithKline, Novavax, Segirus, Sanofi, Medimmune, Merck and Valneva vaccines and 483 antimicrobials. He receives no personal financial payment for this work. PTH acts on behalf of St. 484 George's University of London as an Investigator on clinical trials of COVID-19 vaccines funded or 485 sponsored by vaccine manufacturers including Janssen, Pfizer, AstraZeneca, Novavax and Valneva. He 486 receives no personal financial payment for this work. CAG acts on behalf of University Hospitals 487 Birmingham NHS Foundation Trust as an Investigator on clinical trials and studies of COVID-19 and 488 other vaccines funded or sponsored by vaccine manufacturers including Janssen, Pfizer, AstraZeneca, 489 Novavax, CureVac, Moderna, and Valneva vaccines, and receives no personal financial payment for 490 this work. VL acts on behalf of University College London Hospitals NHS Foundation Trust as an 491 Investigator on clinical trials of COVID-19 vaccines funded or sponsored by vaccine manufacturers 492 including Pfizer, AstraZeneca and Valneva. He receives no personal financial payment for this work. TL 493 is named as an inventor on a patent application covering this SARS-CoV-2 vaccine and is an occasional

- 494 consultant to Vaccitech unrelated to this work. Oxford University has entered into a partnership with
- 495 AstraZeneca for further development of ChAdOx1 nCoV-19

## 496 Data sharing

- 497 The study protocol is provided in the appendix. Individual participant data will be made available when
- 498 the trial is complete, upon requests directed to the corresponding author; after approval of a proposal,
- 499 data can be shared through a secure online platform.

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Table 1. Baseline demographics and characteristics by cohort and study arm in the 28-day boost study arms 619

| Cohort/        | Prime w           | vith ChAd         | Prime with BNT    |                   |  |  |
|----------------|-------------------|-------------------|-------------------|-------------------|--|--|
| Characteristic | ChAd/ChAd-28      | ChAd/BNT-28       | BNT/BNT-28        | BNT/ChAd-28       |  |  |
| General        | (N=90)            | (N=90)            | (N=93)            | (N=90)            |  |  |
| Age (years)    |                   |                   |                   |                   |  |  |
| Mean (SD)      | 58.2 (4.81)       | 58.0 (4.76)       | 58.2 (4.85)       | 57.3 (4.56)       |  |  |
| Median (range) | 57.6 (50.1, 69.1) | 57.6 (50.3, 68.1) | 57.7 (50.2, 69.3) | 56.1 (50.5, 68.9) |  |  |
| Gender         |                   |                   |                   |                   |  |  |
| Female         | 38 (42.2%)        | 40 (44.4%)        | 49 (52.7%)        | 41 (45.6%)        |  |  |
| Male           | 52 (57.8%)        | 50 (55.6%)        | 44 (47.3%)        | 49 (54.4%)        |  |  |
| Ethnicity      |                   |                   |                   |                   |  |  |
| White          | 70 (77.8%)        | 65 (72.2%)        | 76 (81.7%)        | 66 (73.3%)        |  |  |
| Black          | 1 (1.1%)          | 1 (1.1%)          | -                 | 2 (2.2%)          |  |  |
| Asian          | 13 (14.4%)        | 15 (16.7%)        | 7 (7.5%)          | 9 (10.0%)         |  |  |
| Mixed          | 6 (6.7%)          | 6 (6.7%)          | 8 (8.6%)          | 10 (11.1%)        |  |  |
| Other          | -                 | 3 (3.3%)          | 2 (2.2%)          | 3 (3.3%)          |  |  |
| Comorbidities  |                   |                   |                   |                   |  |  |
| Cardiovascular | 19 (21.1%)        | 16 (17.8%)        | 18 (19.4%)        | 21 (23.3%)        |  |  |
| Respiratory    | 16 (17.8%)        | 11 (12.2%)        | 11 (11.8%)        | 11 (12.2%)        |  |  |
| Diabetes       | 7 (7.8%)          | 8 (8.9%)          | -                 | 2 (2.2%)          |  |  |
| Immunology     | (N=25)            | (N=24)            | (N=26)            | (N=25)            |  |  |
| Age (years)    |                   |                   |                   |                   |  |  |
| Mean (SD)      | 55.7 (4.26)       | 58.4 (4.60)       | 56.7 (5.04)       | 57.6 (4.65)       |  |  |
| Median (range) | 55.3 (50.7, 64.1) | 58.9 (51.8, 68.3) | 54.7 (50.1, 67.2) | 55.8 (51.4, 67.0) |  |  |

| Cohort/        | Prime w      | ith ChAd    | Prime      | with BNT    |
|----------------|--------------|-------------|------------|-------------|
| Characteristic | ChAd/ChAd-28 | ChAd/BNT-28 | BNT/BNT-28 | BNT/ChAd-28 |
| Gender         |              |             |            |             |
| Female         | 13 (52.0%)   | 9 (37.5%)   | 12 (46.2%) | 10 (40.0%)  |
| Male           | 12 (48.0%)   | 15 (62.5%)  | 14 (53.8%) | 15 (60.0%)  |
| Ethnicity      |              |             |            |             |
| White          | 17 (68.0%)   | 17 (70.8%)  | 17 (65.4%) | 18 (72.0%)  |
| Black          | -            | -           | 2 (7.7%)   | -           |
| Asian          | 6 (24.0%)    | 4 (16.7%)   | 4 (15.4%)  | 4 (16.0%)   |
| Mixed          | 2 (8.0%)     | 3 (12.5%)   | 2 (7.7%)   | 3 (12.0%)   |
| Other          | -            | -           | 1 (3.8%)   | -           |
| Comorbidities  |              |             |            |             |
| Cardiovascular | 7 (28.0%)    | 6 (25.0%)   | 10 (38.5%) | 7 (28.0%)   |
| Respiratory    | 5 (20.0%)    | 6 (25.0%)   | 6 (23.1%)  | 5 (20.0%)   |
| Diabetes       | 6 (24.0%)    | 1 (4.2%)    | 2 (7.7%)   | 1 (4.0%)    |

620 SD: standard deviation

622 Table 2. Immune responses between heterologous and homologous prime/boost schedules at 28 days post boost dose in the 28-day boost study arms

|   |                             | Prime with ChAd                    |                          |                                 | Prime with BNT              |                            |
|---|-----------------------------|------------------------------------|--------------------------|---------------------------------|-----------------------------|----------------------------|
|   | ChAd/ChAd-28                | ChAd/BNT-28                        | GMR <sup>§</sup>         | BNT/BNT-28                      | BNT/ChAd-28                 | GMR <sup>§</sup>           |
| Per-protocol analysis   | N=104                       | N=104                              |                          | N=109                           | N=109                       |                            |
| SARS-CoV-2 anti-spike IgG,<br>ELU/ml                          | 1392 (1188-1630)<br>[n=104] | 12906 (11404-<br>14604)<br>[n=104] | 9.2<br>(97.5% CI:7.5, ∞) | 14080 (12491-<br>15871) [n=109] | 7133 (6415-7932)<br>[n=109] | 0.51<br>(97.5% Cl:0.43, ∞) |
| Modified ITT  | N=105                       | N=108                              |                          | N=110                           | N=109                       |                            |
| SARS-CoV-2 anti-spike IgG,<br>ELU/mI                          | 1387 (1186-1623)<br>[n=105] | 12995 (11520-<br>14660)<br>[n=108] | 9.3<br>(95% Cl:7.7,11)   | 13938 (12358-<br>15719) [n=110] | 7133 (6415-7932)<br>[n=109] | 0.51<br>(95% Cl:0.44,0.6)  |
| Live virus neutralising antibody, normalised NT <sub>50</sub> | 201(171-235)<br>[n=98]      | 1269(1107-1454)<br>[n=104]         | 6.4<br>(95%Cl:5.2,7.8)   |                                 |                             |                            |
| Pseudotype virus<br>neutralising antibody, NT <sub>50</sub>   | 61 (50-73)<br>[n=101]       | 515 (430-617)<br>[n=101]           | 8.5<br>(95% Cl:6.5,11)   | 574 (475-694)<br>[n=102]        | 383 (317-463)<br>[n=104]    | 0.67<br>(95% Cl:0.51,0.88) |
| Cellular response, SFC/10 <sup>6</sup><br>PBMCs               | 48 (37-61)<br>[n=104]       | 184 (152-223)<br>[n=108]           | 3.9<br>(95% CI:2.9,5.3)  | 80 (63-101)<br>[n=110]          | 97 (76-125)<br>[n=109]      | 1.2<br>(95% CI:0.87,1.7)   |

623 \* Data shown are geometric mean (95% CI) for continuous variables;

624 <sup>§</sup> GMRs were adjusted for randomisation stratification variables, including study site and cohort, with one-sided 97.5% CIs in per-protocol analyses and two-

625 sided 95% CIs in the modified ITT analyses; non-inferiority margin is 0.63.

627 Table 3 Immune responses between heterologous and homologous prime/boost schedules in the 28-day boost study arms<sup>\*</sup>

|  |                           | Prime with ChAd        |           | Prime with BNT      |                     |           |  |
|--|---------------------------|------------------------|-----------|---------------------|---------------------|-----------|--|
|  | ChAd/ChAd-28              | ChAd/BNT-28            | n volvo¶  | BNT/BNT-28          | BNT/ChAd-28         | n volvo¶  |  |
|  | N=105                     | N=108                  | p value " | N=110               | N=109               | p value " |  |
| SARS-CoV-2 anti-spike IgG,             | ELU/ml                    |                        |           | ·                   |                     |           |  |
| D7 <sup>§¥</sup>                       | 25 (25-25)                | 25 (25-25)             | NIA       | 25 (25-25)          | 25 (25-25)          | 0.05      |  |
|  | [n=21]                    | [n=19]                 | NA        | [n=23]              | [n=23]              | 0.95      |  |
| ≥50.3 ELU/ml                           | 0/21,                     | 0/19,                  | > 0.00    | 2/23,               | 2/23,               | > 0.00    |  |
|  | 0% (0%, 16%)              | 0% (0%, 18%)           | >0.99     | 9% (1%, 28%)        | 9% (1%, 28%)        | >0.99     |  |
| D14 <sup>§</sup>                       | 87 (54-141)               | 100(00.400)[n-10]      | 0.041     | 967 (718-1304)      | 735 (495-1092)      | 0.39      |  |
|  | [n=21]                    | 198 (90-408) [[1=19]   |           | [n=23]              | [n=23]              |           |  |
| ≥50.3 ELU/ml                           | 14/21,                    | 16/19,                 | 0.20      | 23/23,              | 23/23,              | >0.00     |  |
|  | 67% (43%, 85%)            | 84% (60%, 97%)         | 0.28      | 100% (85%, 100%)    | 100% (85%, 100%)    | 20.99     |  |
| D28                                    | 501 (394-638)             | (12)/495(776)          | 0.22      | 1487 (1233-1795)    | 1715 (1447-2033)    | 0.28      |  |
|  | [n=105]                   | 013 (485-770) [[1=108] |           | [n=110]             | [n=109]             |           |  |
| ≥50.3 ELU/ml                           | 100/105,                  | 104/108,               | 0.75      | 110/110,            | 109/109,            | >0.00     |  |
|  | 95% (89%, 98%)            | 96% (91%, 99%)         | 0.75      | 100% (97%, 100%)    | 100% (97%, 100%)    | >0.99     |  |
| D35 <sup>§</sup>                       | 1151 (825-1605)           | 15365 (11764-20068)    | <0.0001   | 17011 (12446-23248) | 6798 (5060-9133)    | <0.0001   |  |
|  | [n=22]                    | [n=20]                 | <0.0001   | [n=22]              | [n=24]              |           |  |
| ≥50.3 ELU/ml                           | 22/22, 100%               | 20/20, 100%            | >0.00     | 22/22, 100%         | 24/24, 100%         | >0.00     |  |
|  | (85%, 100%)               | (83%, 100%)            | 20.55     | (85%, 100%)         | (86%, 100%)         | 20.99     |  |
| Cellular response, SFC/10 <sup>6</sup> | PBMCs                     |                        |           |                     |                     |           |  |
| D14 <sup>§</sup>                       | 182 (133-251)             | 136 (83-223)           | 0.21      | 37 (17-64)          | 32 (20-51)          | 0.02      |  |
|  | [n=21]                    | [n=19]                 |           | [n=23]              | [n=23]              | 0.92      |  |
| ≥4 SFC/10 <sup>6</sup> PBMCs           | 21/21                     | 10/10                  |           | 22/23,              | 23/23               |           |  |
|  | 21/21,<br>100% (8/% 100%) | 100% (82% 100%)        | >0.99     | 96% (78%, 100%)     | 23/23,              | >0.99     |  |
|  | 100% (84%, 100%)          | 10070 (8270, 10070)    |           |                     | 10078 (8578, 10078) |           |  |
| ≥24 SFC/10 <sup>6</sup> PBMCs          | 21/21,                    | 17/19,                 |           | 12/23,              | 12/23,              |           |  |
|  | 100% (84%, 100%)          | 89% (67%, 99%)         | 0.22      | 52% (31%, 73%)      | 52% (31%, 73%)      | >0.99     |  |
| D28                                    | 53(41-69) [n=103]         | 52(41-66) [n=107]      | 0.98      | 15(12-18) [n=109]   | 16(13-20) [n=108]   | 0.81      |  |

| ≥4 SFC/10 <sup>6</sup> PBMCs  | 101/103,<br>98% (93%, 100%) | 107/107,<br>100% (97%, 100%) | 0.24   | 101/109, 93% (86%,<br>97%) | 97/108,<br>90% (83%, 95%) | 0.48  |
|-------------------------------|-----------------------------|------------------------------|--------|----------------------------|---------------------------|-------|
| ≥24 SFC/10 <sup>6</sup> PBMCs | 74/103,                     | 75/107,                      |        | 34/109,                    | 35/108,                   |       |
|                               | 72% (62%, 80%)              | 70% (60%, 79%)               | 0.88   | 31% (23%, 41%)             | 32% (24%, 42%)            | 0.88  |
| D42 <sup>§</sup>              | 97(60-157) [n=22]           | 375(266-528) [n=18]          | 0.0022 | 135(83-219) [n=22]         | 130 (69-243)<br>[n=23]    | 0.87  |
| ≥4 SFC/10 <sup>6</sup> PBMCs  | 22/22,                      | 18/18,                       | >0.00  | 22/22,                     | 22/23,                    | >0 00 |
|                               | 100% (85%, 100%)            | 100% (81%, 100%)             | 20.99  | 100% (85%, 100%)           | 96% (78%, 100%)           | 20.33 |
| ≥24 SFC/10 <sup>6</sup> PBMCs | 19/22,                      | 18/18,                       |        | 19/22,                     | 20/23,                    |       |
|                               | 86% (65%, 97%)              | 100% (81%, 100%)             | 0.24   | 86% (65%, 97%)             | 87% (66%, 97%)            | >0.99 |

628 \* Data shown are geometric mean (95% CIs) for continuous variables, and frequency, percentage (95% CIs) for binary variables; 50.3 ELU/ml is the LLOQ for

629 SARS-CoV-2 anti-spike IgG; 4 SFC/10<sup>6</sup> PBMCs is the LLOD and 24 SFC/10<sup>6</sup> PBMCs is the LLOQ for cellular response;

- 630 <sup>§</sup> Immunology cohort only;
- 631 <sup>¶</sup> For continuous variables, p values were reported using linear regression model adjusting for age, sex, study site and cohort (where applicable); Fisher's

632 exact test was used to report p values for binary variables;

<sup>4</sup> Data shown are median (IQR) due to high proportion of censored data; p values were reported using Mann-Whitney U test.

#### 635 Figure 1. Consort Diagram



| GM (95%C1)         9.5 [7.4, 12]           1129(9746-15095)         9.3 [6.6, 13]           1212(10421-14547)         10 [7.7, 13]           1976(11772-16594)         8.4 [6.3, 11]           1005(9159-15867)         8.5 [5.8, 12]           1932(11022-1614)         6.8 [5.4, 8.7]           1944(1205-1614)         6.8 [5.4, 8.7]           197(846-1423)         5.3 [3.6, 7.8]           111(1002-1465)         7.3 [5.6, 9.4]           151(1114-1639)         5.4 [3.9, 7.4]           1265(1079-1482)         6.2 [4.4, 9.5]           1265(1079-1482)         6.2 [4.4, 7.9]           12(387-651)         7.5 [4.6, 12]  |  | - 0.93<br>- 0.34<br>0.57<br>0.3<br>0.14<br>0.72<br>- 0.48  | Anti-spike IgG, ELU/ml<br>Age<br>50-60<br>60+<br>Sex<br>Male<br>Comorbidity<br>Yes<br>No<br>Pseudotype virus neutralis<br>Age<br>50-60<br>60+<br>Sex<br>Male<br>Female<br>Comorbidity | GM (95%CI)<br>14099(12081-16454)<br>13637(11264-16511)<br>12847(10744-15361)<br>15077(12841-17703)<br>13351(10884-16378)<br>14232(12258-16525)<br>ing antibody, NT50<br>576(450-738)<br>571(426-764)<br>477(345-659)<br>682(657-835) | GM (95%CI)<br>7371(6500-8359)<br>6543(5373-7968)<br>7216(6308-8254)<br>7030(5923-8344)<br>6636(5463-8060)<br>7427(6555-8414)<br>392(310-496)<br>361(267-489)<br>365(297-447)<br>409(289-579)   | 0.53 [0.43, 0.65]<br>0.54 [0.41, 0.73]<br>0.55 [0.44, 0.7]<br>0.49 [0.38, 0.63]<br>0.46 [0.34, 0.63]<br>0.53 [0.44, 0.65]<br>0.73 [0.52, 1]<br>0.66 [0.42, 1.1]<br>0.73 [0.49, 1.1]<br>0.63 [0.42, 0.94] |  | interaction<br>0.73<br>0.42<br>0.52<br>0.58<br>0.56 |
|--|--|--|---|--|--|--|--|---|
| 5578(11804-15620)         9.5 [7.4, 12]           9129(9746-15095)         9.3 [6.6, 13]           312(10421-14547)         10 [7.7, 13]           9976(11772-16594)         8.4 [6.3, 11]           995(9159-15867)         8.5 [5.8, 12]           942(1205-1614)         9.8 [7.7, 12]           994(1205-1614)         6.8 [5.4, 8.7]           997(846-1423)         5.3 [3.6, 7.8]           211(1002-1465)         7.3 [5.6, 9.4]           951(1114-1639)         5.4 [3.9, 7.4]           178(977-1672)         6.5 [4.4, 9.5]           656(1079-1462)         9.1 [6.5, 13]           12(387-651)         7.5 [4.6, 12]   |  | - 0.93<br>- 0.34<br>0.57<br>0.3<br>0.14<br>0.72<br>- 0.48  | Anti-spike IgG, ELU/ml Age 50-60 60+ Sex Male Female Comorbidity Yes No Pseudotype virus neutraliss Age 50-60 60+ Sex Male Female Comorbidity   | 14099(12081–16454)<br>13637(11264–16511)<br>12847(10744–15361)<br>15077(12841–17703)<br>13351(10884–16378)<br>14232(12258–16525)<br>ing antibody, NT50<br>576(450–738)<br>571(426–764)<br>477(345–659)<br>682(557–835)               | 7371(6500-8359)<br>6543(5373-7968)<br>7216(6308-8254)<br>7030(5923-8344)<br>6636(5463-8060)<br>7427(6555-8414)<br>392(310-496)<br>361(267-489)<br>365(297-447)<br>409(289-579)   | 0.53 [0.43, 0.65]<br>0.54 [0.41, 0.73]<br>0.55 [0.44, 0.7]<br>0.49 [0.38, 0.63]<br>0.46 [0.34, 0.63]<br>0.53 [0.44, 0.65]<br>0.73 [0.52, 1]<br>0.66 [0.42, 1.1]<br>0.73 [0.49, 1.1]<br>0.63 [0.42, 0.94] |  | 0.73<br>0.42<br>0.52<br>0.58<br>0.56                |
| 5576(11804-15620)         9.5 [7.4, 12]           9.12(19(746-15055)         9.3 [6.6, 13]           2312(10421-14547)         10 [7.7, 13]           9976(11772-16594)         8.4 [6.3, 11]           1055(9159-15867)         8.5 [5.8, 12]           942(11892-15216)         9.8 [7.7, 12]           944(1205-1614)         6.8 [5.4, 8.7]           997(846-1423)         5.3 [3.6, 7.8]           211(1002-1465)         7.3 [5.6, 9.4]           95(1079-1672)         6.5 [4.4, 9.5]           965(1079-1462)         5.2 [4.8, 7.9]           25(410-672)         9.1 [6.5, 13]           92(387-651)         7.5 [4.6, 12]  |  | - 0.93<br>- 0.34<br>0.57<br>0.3<br>0.14<br>0.72<br>- 0.48  | Age<br>50-60<br>60+<br>Sex<br>Female<br>Comorbidity<br>Yes<br>Virus<br>Pseudotype virus<br>60+<br>Sex<br>Male<br>Female<br>Comorbidity  | 14099(12081-16454)<br>13637(11264-16511)<br>12847(10744-15361)<br>15077(12841-17703)<br>13351(10884-16378)<br>14232(12258-16525)<br>ing antibody, NT50<br>576(450-738)<br>571(426-764)<br>477(345-659)<br>682(557-835)               | 7371(6500-8359)<br>6543(5373-7968)<br>7216(6308-8254)<br>7030(5923-8344)<br>6636(5463-8060)<br>7427(6555-8414)<br>392(310-496)<br>361(267-489)<br>365(297-447)<br>409(289-579)   | 0.53 [0.43, 0.65]<br>0.54 [0.41, 0.73]<br>0.55 [0.44, 0.7]<br>0.49 [0.38, 0.63]<br>0.46 [0.34, 0.63]<br>0.53 [0.44, 0.65]<br>0.73 [0.52, 1]<br>0.66 [0.42, 1.1]<br>0.73 [0.49, 1.1]<br>0.63 [0.42, 0.94] |  | 0.73<br>0.42<br>0.52<br>0.58<br>0.56                |
| 05/16 (1004–15322)         9.3 (F.4, 1.2)           1129(9746–15095)         9.3 (6.6, 1.3)           9312(10421–14547)         10 (7.7, 1.3)           19976(11772–16594)         8.4 (6.3, 11)           20055(9159–15867)         8.5 (5.8, 12)           9441205–1614)         6.8 (5.4, 8.7)           1997(846–1423)         5.3 (3.6, 7.8)           1997(846–1423)         5.3 (3.6, 7.8)           111(1002–1465)         7.3 (5.6, 9.4)           151(1114–1639)         5.4 (3.9, 7.4)           126(977–1672)         6.5 [4.4, 9.5)           126(1079–1482)         6.2 [4.8, 7.9]           12(387–651)         7.5 [4.6, 12]           12(367–608)         9.3 (6.3, 14) |  | - 0.93<br>- 0.34<br>0.57<br>0.3<br>0.14<br>0.72<br>- 0.48  | 50-60<br>60+<br>Sex<br>Male<br>Female<br>Comorbidity<br>Yes<br>No<br>Pseudotype virus neutralist<br>Age<br>50-60<br>60+<br>Sex<br>Male<br>Female<br>Comorbidity                       | 14099(12081-16454)<br>13637(11264-16511)<br>12847(10744-15361)<br>15077(12841-17703)<br>13351(10884-16378)<br>14232(12258-16525)<br>ing antibody, NT50<br>576(450-738)<br>571(426-764)<br>477(345-659)<br>682(557-835)               | 7371(6500-8359)<br>6543(5373-7968)<br>7216(6308-8254)<br>7030(5923-8344)<br>6636(5463-8060)<br>7427(6555-8414)<br>392(310-496)<br>361(267-489)<br>365(297-447)<br>409(289-579)   | 0.53 [0.43, 0.65]<br>0.54 [0.41, 0.73]<br>0.55 [0.44, 0.7]<br>0.49 [0.38, 0.63]<br>0.46 [0.34, 0.63]<br>0.53 [0.44, 0.65]<br>0.73 [0.52, 1]<br>0.66 [0.42, 1.1]<br>0.73 [0.49, 1.1]<br>0.63 [0.42, 0.94] |  | 0.73<br>0.42<br>0.52<br>0.58<br>0.56                |
| 12312(1042)-14547)         10 [7.7, 13]           13976(11772-16594)         8.4 [6.3, 11]           10055(9159-15967)         8.5 [5.8, 12]           1452(1192-15216)         9.8 [7.7, 12]           194(1205-1614)         6.8 [5.4, 8.7]           1976(46-1423)         5.3 [3.6, 7.8]           111(1002-1465)         7.3 [5.6, 9.4]           151(1114-1639)         5.4 [3.9, 7.4]           1278(977-1672)         6.5 [4.4, 9.5]           1265(1079-1482)         5.2 [4.8, 7.9]           12(387-651)         7.5 [4.6, 12]           12(387-651)         9.3 [6.3, 14]  |  | - 0.34<br>0.57<br>0.3<br>0.14<br>0.72<br>- 0.48  | 60+<br>Sex<br>Male<br>Female<br>Comorbidity<br>Yes<br>No<br>Pseudotype virus neutralis<br>Age<br>50-60<br>60+<br>Sex<br>Male<br>Female<br>Comorbidity                                 | 13637(11264-16511)<br>12847(10744-15361)<br>15077(12841-17703)<br>13351(10884-16378)<br>14232(12258-16525)<br>ing antibody, NT50<br>576(450-738)<br>571(426-764)<br>477(345-659)<br>682(557-835)                                     | 6543(5373-7968)<br>7216(6308-8254)<br>7030(5923-8344)<br>6636(5463-8060)<br>7427(6555-8414)<br>392(310-496)<br>361(267-489)<br>365(297-447)<br>409(289-579)  | 0.55 [0.44, 0.7]<br>0.55 [0.44, 0.7]<br>0.49 [0.38, 0.63]<br>0.49 [0.34, 0.63]<br>0.53 [0.44, 0.65]<br>0.73 [0.52, 1]<br>0.66 [0.42, 1.1]<br>0.73 [0.49, 1.1]<br>0.63 [0.42, 0.94]                       |  | 0.73<br>0.42<br>0.52<br>0.58<br>0.56                |
| 12312(10421-14547)         10 [7.7, 13]           1976(11772-16594)         8.4 [6.3, 11]           10055(9159-15867)         8.5 [5.8, 12]           10452(11892-15216)         9.8 [7.7, 12]           10452(11892-15216)         9.8 [7.7, 12]           10442(1205-1614)         6.8 [5.4, 8.7]           1097(846-1423)         5.3 [3.6, 7.8]           111(1002-1465)         7.3 [5.6, 9.4]           151(1114-1639)         5.4 [3.9, 7.4]           1276(977-1672)         6.5 [4.4, 9.5]           165(1079-1482)         6.2 [4.8, 7.9]           12(347-651)         7.5 [4.6, 12]           12(367-608)         9.3 [6.3, 14]  |  | - 0.34<br>0.57<br>0.3<br>0.14<br>0.72<br>- 0.48  | 60+<br>Sex<br>Male<br>Female<br>Comorbidity<br>Yes<br>No<br>Pseudotype virus neutralis<br>Age<br>50-60<br>60+<br>Sex<br>Male<br>Female<br>Comorbidity                                 | 13637 (11264-16511)<br>12847(10744-15361)<br>15077 (12841-17703)<br>13351 (10884-16378)<br>14232 (12258-16525)<br>ing antibody, NT50<br>576 (450-738)<br>577 (426-764)<br>477 (345-659)<br>682 (557-835)                             | 5943(5373-7968)<br>7216(6308-8254)<br>7030(5923-8344)<br>6636(5463-8060)<br>7427(6555-8414)<br>392(310-496)<br>361(267-489)<br>365(297-447)<br>409(289-579)  | 0.54 [0.41, 0.7]<br>0.55 [0.44, 0.7]<br>0.49 [0.38, 0.63]<br>0.53 [0.44, 0.65]<br>0.73 [0.52, 1]<br>0.66 [0.42, 1.1]<br>0.73 [0.49, 1.1]<br>0.63 [0.42, 0.94]  | •  | 0.42<br>0.52<br>0.58<br>0.56                        |
| 1976(11772-16594)         8.4 [6.3, 11]           1936(5)(9159-15867)         8.5 [5.8, 12]           1452(11892-15216)         9.8 [7.7, 12]           194(1205-1614)         6.8 [5.4, 8.7]           1976(846-1423)         5.3 [3.6, 7.8]           111(1002-1465)         7.3 [5.6, 9.4]           151(1114-1639)         5.4 [3.9, 7.4]           1278(977-1672)         6.5 [4.4, 9.5]           1265(1079-1462)         2.2 [4.8, 7.9]           12(387-651)         7.5 [4.6, 12]           12(387-651)         7.5 [6.3, 14]   |  | 0.34<br>0.57<br>0.3<br>0.14<br>0.72<br>- 0.48  | Sex<br>Male<br>Female<br>Comorbidity<br>Yes<br>No<br>Pseudotype virus neutralis<br>Age<br>50–60<br>60+<br>Sex<br>Male<br>Female<br>Comorbidity  | 12847(10744-15361)<br>15077(12841-17703)<br>13351(10884-16378)<br>14232(12258-16525)<br>ing antibody, NT50<br>576(450-738)<br>571(426-764)<br>477(345-659)<br>682(557-835)   | 7216(6308-8254)<br>7030(5923-8344)<br>6636(5463-8060)<br>7427(6555-8414)<br>392(310-496)<br>361(267-489)<br>365(297-447)<br>409(289-679)   | 0.55 [0.44, 0.7]<br>0.49 [0.38, 0.63]<br>0.46 [0.34, 0.63]<br>0.53 [0.44, 0.65]<br>0.73 [0.52, 1]<br>0.66 [0.42, 1.1]<br>0.73 [0.49, 1.1]<br>0.63 [0.42, 0.94]   | •  | 0.42<br>0.52<br>0.58<br>0.56                        |
| 2055(9159-15867)         8.5 [5.8, 12]           4452(11892-15216)         9.8 [7.7, 12]           994(1205-1614)         6.8 [5.4, 8.7]           197(846-1423)         5.3 [3.6, 7.8]           211(1002-1465)         7.3 [5.6, 9.4]           551(1114-1639)         5.4 [3.9, 7.4]           278(977-1672)         6.5 [4.4, 9.5]           265(1079-1482)         6.2 [4.8, 7.9]           256(1079-51)         7.5 [4.6, 12]           26636-608)         9.3 [6.3, 14]   |  | 0.57<br>0.3<br>0.14<br>0.72<br>- 0.48  | Male<br>Female<br>Comorbidity<br>Yes<br>No<br>Pseudotype virus neutraliss<br>Age<br>50–60<br>60+<br>Sex<br>Male<br>Female<br>Comorbidity  | 12847(10744–15361)<br>15077(12841–17703)<br>13351(10884–16378)<br>14232(12258–16525)<br>ing antibody, NT50<br>576(450–738)<br>571(426–764)<br>477(345–659)<br>682(557–835)   | 7216(6308-8254)<br>7030(5923-8344)<br>6636(5463-8060)<br>7427(6555-8414)<br>392(310-496)<br>361(267-489)<br>365(297-447)<br>409(289-679)   | 0.55 [0.44, 0.7]<br>0.49 [0.38, 0.63]<br>0.46 [0.34, 0.63]<br>0.53 [0.44, 0.65]<br>0.73 [0.52, 1]<br>0.66 [0.42, 1.1]<br>0.73 [0.49, 1.1]<br>0.63 [0.42, 0.94]   | •  | 0.42<br>0.52<br>0.58<br>0.56                        |
| 2005(9159-15867)         8.5 [5.8, 12]           4452(11892-15216)         9.8 [7.7, 12]           194(1205-1614)         6.8 [5.4, 8.7]           197(846-1423)         5.3 [3.6, 7.8]           111(1002-1465)         7.3 [5.6, 9.4]           151(1114-1639)         5.4 [3.9, 7.4]           278(977-1672)         6.5 [4.4, 9.5]           265(1079-1482)         6.2 [4.8, 7.9]           254(10-672)         9.1 [6.5, 13]           12(387-651)         7.5 [4.6, 12]   |  | 0.57<br>0.3<br>0.14<br>0.72<br>- 0.48  | Female<br>Comorbidity<br>Yes<br>No<br>Pseudotype virus neutraliss<br>Age<br>50–60<br>60+<br>Sex<br>Male<br>Female<br>Comorbidity  | 15077(12841-17703)<br>13351(10884-16378)<br>14232(12258-16525)<br>ing antibody, NT50<br>576(450-738)<br>571(426-764)<br>477(345-659)<br>682(557-835)   | 7030(5923-8344)<br>6636(5463-8060)<br>7427(6555-8414)<br>392(310-496)<br>361(267-489)<br>365(297-447)<br>409(289-579)  | 0.49 [0.38, 0.63]<br>0.46 [0.34, 0.63]<br>0.53 [0.44, 0.65]<br>0.73 [0.52, 1]<br>0.66 [0.42, 1.1]<br>0.73 [0.49, 1.1]<br>0.63 [0.42, 0.94]   | •  | 0.52<br>0.58<br>0.56                                |
| 1452(11892-15216)         9.8 [7.7, 12]           194(1205-1614)         6.8 [5.4, 8.7]           197(846-1423)         5.3 [3.6, 7.8]           111(1002-1465)         7.3 [5.6, 9.4]           151(1114-1639)         5.4 [3.9, 7.4]           176(977-1672)         6.5 [4.4, 9.5]           165(1079-1482)         6.2 [4.8, 7.9]           12(347-651)         7.5 [4.6, 12]           12(387-651)         7.5 [4.6, 12]  |  | 0.3<br>0.14<br>0.72<br>- 0.48  | Comorbidity<br>Yes<br>No<br>Pseudotype virus neutralis<br>Age<br>50-60<br>60+<br>Sex<br>Male<br>Female<br>Comorbidity   | 13351(10884-16378)<br>14232(12258-16525)<br>ing antibody, NT50<br>576(450-738)<br>571(426-764)<br>477(345-659)<br>682(557-835)   | 6636(5463-8060)<br>7427(6555-8414)<br>392(310-496)<br>361(267-489)<br>365(297-447)<br>409(289-579)   | 0.46 [0.34, 0.63]<br>0.53 [0.44, 0.65]<br>0.73 [0.52, 1]<br>0.66 [0.42, 1.1]<br>0.73 [0.49, 1.1]<br>0.63 [0.42, 0.94]  | •  | 0.52<br>0.58<br>0.56                                |
| 994(1205-1614)         6.8 [5.4, 8.7]           197(846-1423)         5.3 [3.6, 7.8]           211(1002-1465)         7.3 [5.6, 9.4]           151(1114-1639)         5.4 [3.9, 7.4]           178(977-1672)         6.5 [4.4, 9.5]           665(1079-1462)         6.2 [4.8, 7.9]           25(410-672)         9.1 [6.5, 13]           12(387-651)         7.5 [4.6, 12]  |  | 0.3<br>0.14<br>0.72<br>- 0.48  | Yes<br>No<br>Pseudotype virus neutralis<br>Age<br>50-60<br>60+<br>Sex<br>Male<br>Female<br>Comorbidity  | 13351(10884–16378)<br>14232(12258–16525)<br>ing antibody, NT50<br>576(450–738)<br>571(426–764)<br>477(345–659)<br>682(557–835)   | 6636(5463-8060)<br>7427(6555-8414)<br>392(310-496)<br>361(267-489)<br>365(297-447)<br>409(289-579)   | 0.46 [0.34, 0.63]<br>0.53 [0.44, 0.65]<br>0.73 [0.52, 1]<br>0.66 [0.42, 1.1]<br>0.73 [0.49, 1.1]<br>0.63 [0.42, 0.94]  | •  | 0.52<br>0.58<br>0.56                                |
| 194(1205-1614)         6.8 [5.4, 8.7]           197(846-1423)         5.3 [3.6, 7.8]           211(1002-1465)         7.3 [5.6, 9.4]           151(1114-1639)         5.4 [3.9, 7.4]           178(977-1672)         6.5 [4.4, 9.5]           665(1079-1482)         6.2 [4.8, 7.9]           25(410-672)         9.1 [6.5, 13]           12(387-651)         7.5 [4.6, 12]  |  | 0.3<br>0.14<br>0.72<br>- 0.48  | res<br>No<br>Pseudotype virus neutralis:<br>Age<br>50-60<br>60+<br>Sex<br>Male<br>Female<br>Comorbidity   | 13351(10884-16378)<br>14232(12258-16525)<br>ing antibody, NT50<br>576(450-738)<br>571(426-764)<br>477(345-659)<br>682(557-835)   | 392(310-496)<br>361(267-489)<br>365(297-447)<br>409(289-579)   | 0.46 [0.34, 0.65]<br>0.53 [0.44, 0.65]<br>0.73 [0.52, 1]<br>0.66 [0.42, 1.1]<br>0.73 [0.49, 1.1]<br>0.63 [0.42, 0.94]  | *  | 0.52<br>0.58<br>0.56                                |
| 94(1205–1614) 6.8 [5.4, 8.7]<br>197(846–1423) 5.3 [3.6, 7.8]<br>211(1002–1465) 7.3 [5.6, 9.4]<br>151(1114–1639) 5.4 [3.9, 7.4]<br>178(977–1672) 6.5 [4.4, 9.5]<br>176(977–1672) 6.2 [4.8, 7.9]<br>25(410–672) 9.1 [6.5, 13]<br>12(387–651) 7.5 [4.6, 12]<br>36(356–608) 9.3 [6.3, 14]  |  | 0.3<br>0.14<br>0.72<br>- 0.48  | No<br>Pseudotype virus neutralis<br>Age<br>50-60<br>60+<br>Sex<br>Male<br>Female<br>Comorbidity   | 14232(12258-16525)<br>ing antibody, NT50<br>576(450-738)<br>571(426-764)<br>477(345-659)<br>682(557-835)   | 7427(6555-8414)<br>392(310-496)<br>361(267-489)<br>365(297-447)<br>409(289-579)  | 0.53 [0.44, 0.65]<br>0.73 [0.52, 1]<br>0.66 [0.42, 1.1]<br>0.73 [0.49, 1.1]<br>0.63 [0.42, 0.94]   |  | 0.58  |
| 197(846-1423)         5.3 (3.6, 7.8)           111(1002-1465)         7.3 (5.6, 9.4)           151(1114-1639)         5.4 (3.9, 7.4)           178(977-1672)         6.5 [4.4, 9.5]           165(1079-1482)         6.2 [4.8, 7.9]           12(140-672)         9.1 [6.5, 13]           12(387-651)         7.5 [4.6, 12]           166356-608)         9.3 (6.3, 14)  |  | 0.14<br>0.72<br>- 0.48   | Pseudotype virus neutralis<br>Age<br>50-60<br>60+<br>Sex<br>Male<br>Female<br>Comorbidity   | ing antibody, NT50<br>576(450–738)<br>571(426–764)<br>477(345–659)<br>682(557–835)   | 392(310-496)<br>361(267-489)<br>365(297-447)<br>409(289-579)   | 0.73 [0.52, 1]<br>0.66 [0.42, 1.1]<br>0.73 [0.49, 1.1]<br>0.63 [0.42, 0.94]  | -  | 0.58<br>0.56  |
| 211(1002-1465)       7.3 [5.6, 9.4]         151(1114-1639)       5.4 [3.9, 7.4]         278(977-1672)       6.5 [4.4, 9.5]         665(1079-1462)       6.2 [4.8, 7.9]         25(410-672)       9.1 [6.5, 13]         12(387-651)       7.5 [4.6, 12]         36(356-608)       9.3 [6.3, 14]   |  | 0.14<br>0.72<br>- 0.48   | Age<br>50-60<br>60+<br>Sex<br>Male<br>Female<br>Comorbiolity  | 576(450-738)<br>571(426-764)<br>477(345-659)<br>682(557-835)   | 392(310-496)<br>361(267-489)<br>365(297-447)<br>409(289-579)   | 0.73 [0.52, 1]<br>0.66 [0.42, 1.1]<br>0.73 [0.49, 1.1]<br>0.63 [0.42, 0.94]  | -  | 0.58  |
| 11 (1002-1463)       7.5 (5.6, 5.4]         151 (1114-1639)       5.4 (5.9, 7.4]         176 (977-1672)       6.5 [4.4, 9.5]         665 (1079-1462)       6.2 [4.8, 7.9]         125 (410-672)       9.1 [6.5, 13]         12 (387-651)       7.5 [4.6, 12]         166 (556-608)       9.3 (6.3, 14)   |  | 0.14   | 50-60<br>60+<br>Sex<br>Female<br>Comorbidity  | 576(450-738)<br>571(426-764)<br>477(345-659)<br>682(557-835)   | 392(310-496)<br>361(267-489)<br>365(297-447)<br>409(289-579)   | 0.73 [0.52, 1]<br>0.66 [0.42, 1.1]<br>0.73 [0.49, 1.1]<br>0.63 [0.42, 0.94]  | -  | 0.58  |
| 278(977-1672)         6.5 [4.4, 9.5]           265(1079-1482)         6.2 [4.8, 7.9]           25(410-672)         9.1 [6.5, 13]           12(387-651)         7.5 [4.6, 12]           36(356-608)         9.3 (6.3, 14)   | •<br>••  | 0.72   | 60+<br>Sex<br>Male<br>Female<br>Comorbidity   | 571(426-764)<br>477(345-659)<br>682(557-835)   | 361(267-489)<br>365(297-447)<br>409(289-579)   | 0.66 [0.42, 1.1]<br>0.73 [0.49, 1.1]<br>0.63 [0.42, 0.94]  | -#-  | 0.58<br>0.56  |
| 178(977-1672)         6.5 [4.4, 9.5]           665(1079-1482)         6.2 [4.8, 7.9]           25(410-672)         9.1 [6.5, 13]           12(387-651)         7.5 [4.6, 12]           56(556-608)         9.3 (6.3, 14)   |  | 0.72<br>   | Sex<br>Male<br>Female<br>Comorbidity  | 477(345-659)<br>682(557-835)   | 365(297-447)<br>409(289-579)   | 0.66 [0.42, 1.1]<br>0.73 [0.49, 1.1]<br>0.63 [0.42, 0.94]  | -#-  | 0.56  |
| 25(1079–1482) 6.2 [4.8, 7.9]<br>25(410–672) 9.1 [6.5, 13]<br>12(387–651) 7.5 [4.6, 12]<br>36(356–608) 9.3 [6.3, 14]  | - <b>-</b>   | 0.72   | Sex<br>Male<br>Female<br>Comorbidity  | 477(345-659)<br>682(557-835)   | 365(297-447)<br>409(289-579)   | 0.73 [0.49, 1.1]<br>0.63 [0.42, 0.94]  | -#-<br>-#-   | 0.56  |
| 25(410-672) 9.1 [6.5, 13]<br>12(387-651) 7.5 [4.6, 12]<br>56(356-608) 9.3 (6.3, 14)  |  | 0.48   | Male<br>Female<br>Comorbidity   | 477(345-659)<br>682(557-835)   | 365(297-447)<br>409(289-579)   | 0.73 [0.49, 1.1]<br>0.63 [0.42, 0.94]  | - <b>B</b>   | 0.56  |
| 25(410-672) 9.1 [6.5, 13]<br>12(387-651) 7.5 [4.6, 12]<br>16(356-608) 9.3 [6.3, 14]  | <b>_</b> _   | 0.48   | Female<br>Comorbidity   | 682(557-835)   | 409(289-579)   | 0.63 [0.42, 0.94]  | -  | 0.56  |
| 12(410-672)         9.1 [6.5, 13]           12(387-651)         7.5 [4.6, 12]           56(356-608)         9.3 [6.3, 14]  |  | 0.48   | Comorbidity   |  |  |  |  |   |
| 22(387-651) 7.5 [4.6, 12]<br>56(356-608) 9.3 [6.3, 14]   |  | 0.40   |   |  |  |  |  |   |
| 6(356-608) 9.3 [6.3, 14]   |  |  | Voc   | E21/204 71E)   | 265/240 622)   | 0 50 10 24 11  | _  |   |
| 6(356-608) 9.3 [6.3 14]  |  |  | tes   | 531(394-715)   | 365(249-555)   | 0.59 [0.54, 1]   | -  | 0.91  |
| 0.0 [0.0, 14]  |  | 0.77   | No  | 597(468-762)   | 395(323-483)   | 0.66 [0.48, 0.92]  |  |   |
| 94(481-734) 8.7 [6.3, 12]  |  |  | Cellular response, SFC/10   | 00,000 PBMCs   |  |  |  |   |
| 0(267-508) 6.3 [3.9.10]  | _  |  | Age   |  |  |  |  |   |
| 3(489-696) 10 [7.4, 14]  |  | 0.12   | 50-60   | 94(72-121)   | 94(68-129)   | 1 [0 68 1 6]   | _  |   |
| 10[7.4, 14]  | -  |  | 60 60   | 50(26,06)  | 106/75 150)  | 1 7 10 95 9 91   |  | 0.24  |
|  |  |  | 60+   | 29(30-90)  | 106(75-150)  | 1.7 [0.85, 3.3]  |  | $\rightarrow$                                       |
| 02(155-263) 4.8 [3.1, 7.2]   |  |  | Sex   |  |  |  |  |   |
| 59(121-207) 2.7 [1.7, 4.3]   |  | 0.097  | Male  | 63(44-91)  | 88(62-124)   | 1.4 [0.85, 2.4]  |  |   |
|  |  |  | Female  | 100(73-136)  | 111(77-159)  | 1 [0.61, 1.7]  | _ <b>_</b>   | - 0.4   |
| 7(159-244) 5.8 [3.9, 8.7]  |  | 0.0091   | Comorbidity   |  |  |  |  |   |
| 67(118-238) 2.6 [1.6, 4.1]   |  | 0.0081   | Vac   | 02/54 107)   | 07/55 405)   | 1 1 10 55 01   | _  |   |
|  |  |  | tes   | 65(54-127)   | 67(00-100)   | 1.1 [0.55, 2]  |  | 0.67  |
| 24(169-296) 4.1 [2.5, 6.6]   |  | 0.73   | No  | 78(58-105)   | 104(77-140)  | 1.3 [0.84, 1.9]  |  | -   |
| 3.8 [2.5, 5.7]   |  |  |   |  |  |  |  |   |
| ChAd/ChAd highe  | er ChAd/BNT higher   |  |   |  |  | BNT/B  | NT higher F  | 3NT/ChAd higher                                     |
| 02<br>59<br>67<br>57<br>57   | 2(155-263)       4.8 [3.1, 7.2]         1(121-207)       2.7 [1.7, 4.3]         2(159-244)       5.8 [3.9, 8.7]         1(118-238)       2.6 [1.6, 4.1]         1(169-296)       4.1 [2.5, 6.6]         1(131-215)       3.8 [2.5, 5.7]         ChAd/ChAd high | 2(155-263)       4.8 [3.1, 7.2]         (121-207)       2.7 [1.7, 4.3]         (159-244)       5.8 [3.9, 8.7]         (118-238)       2.6 [1.6, 4.1]         (169-296)       4.1 [2.5, 6.6]         (1(131-215))       3.8 [2.5, 5.7]         ChAd/ChAd higher       ChAd/BNT higher | 2(155-263)       4.8 [3,1,7,2]  | 2(155-263)     4.8 [3.1, 7.2]  | k1(155-263)     4.8 [3,1,7,2]     -     Sex       (1(12-207))     2.7 [1.7, 4.3]     -     0.097     Male     63(44-91)       (1(15-238))     2.6 [1.6, 4.1]     -     Comorbidity       (1(16-236))     4.1 [2.5, 6.6]     -     Yes     83(54-127)       (1(13-215))     3.8 [2.5, 5.7]     -     0.73     No     78(58-105)       (1(13-215))     3.8 [2.5, 5.7]     -     0.73     No     78(58-105) | 2(155-263)     4.8 [3.1, 7.2]  | (155-263)       4.8 [3,1,7,2]       -       -       0.097       Sex         (121-207)       2.7 [1.7,4.3]       -       0.097       Male       63(44-91)       88(62-124)       1.4 [0.85, 2.4]         (159-244)       5.8 [3.9, 8.7]       -       -       0.0081       Comorbidity         (118-238)       2.6 [1.6, 4.1]       -       0.0081       Comorbidity         (169-296)       4.1 [2.5, 6.6]       -       0.73       No       78(58-105)       1.0 (77-140)       1.3 [0.84, 1.9]         (131-215)       3.8 [2.5, 5.7]       -       0.73       No       78(58-105)       104(77-140)       1.3 [0.84, 1.9]         (131-215)       3.8 [2.5, 5.7]       -       0.73       No       78(58-105)       104(77-140)       1.3 [0.84, 1.9]         (131-215)       3.8 [2.5, 5.7]       -       .       .       .       .       BNT/BI | 2(155-263)       4.8 [3.1, 7.2]                     |

638 Figure 2. Subgroup analyses for immune responses between heterologous and homologous prime/boost schedules at 28 days post boost dose in the 28-

639 day boost study arms

637

640 GMRs were adjusted for randomisation stratification variables, including study site and cohort; two-sided 95% CI are presented; the vertical dotted line 641 represents a GMR of one.



643 Figure 3. Correlation between A) SARS-CoV-2 anti-spike IgG and Pseudotype virus neutralising antibodies, B) SARS-CoV-2 anti-spike IgG and Live virus

644 neutralising antibodies, C) Pseudotype virus neutralising antibodies and Cellular response by IFN-γ ELISpot, D) Live virus neutralising antibodies and

645 Cellular response by IFN-γ ELISpot, and E) SARS-CoV-2 anti-spike IgG and Cellular response by IFN-γ ELISpot at <u>28 days post boost</u>, and F) SARS-CoV-2

646 anti-spike IgG and Cellular response by IFN-γ ELISpot at <u>28 days post prime</u>.

647 Ellipses show the 95% confidence intervals for different vaccine schedules assuming multivariate normal distributions. Pearson correlation coefficients (95%
648 Cl) are presented for each vaccine schedule.



# Figure 4. Kinetics of immunogenicity by vaccine schedule: A) SARS-CoV-2 anti-spike IgG; B) Live virus neutralising antibodies; C) Pseudotype virus neutralising antibodies; and D) Cellular response by IFN-γ ELISpot.

For A) and D), data points are medians with IQRs. Data presented at D0, D28 and D56 are based on all participants in the modified ITT population, while

data at D7, D14, 35 and D42 are for the modified ITT population in the immunology cohort only. For B) and C) boxplots for different schedules are

655 presented at D0 and D56 in the modified ITT population. The boxplot represents the median, 25<sup>th</sup> and 75<sup>th</sup> percentiles, the whiskers extend up to the largest

value, not greater than 1.5 times the IQR beyond the box. Values greater than this are not shown

657

## 659 Supplementary Appendix

## 660 Supplementary Table 1. Summary of adverse events in the 28-day boost study arms within 28 days post boost

|                                 | Prime with ChAd      |                     | Primed             | with BNT            |
|---------------------------------|----------------------|---------------------|--------------------|---------------------|
|                                 | ChAd/ChAd-28 (N=115) | ChAd/BNT-28 (N=114) | BNT/BNT-28 (N=119) | BNT/ChAd-28 (N=115) |
| Number of unique participants   | 44 (38.2%)           | 45 (39.5%)          | 41 (34.5%)         | 48 (41.7%)          |
| with at least one adverse event |                      |                     |                    |                     |
| Number of adverse events        | 74                   | 71                  | 81                 | 90                  |
| Timing of adverse event         |                      |                     |                    |                     |
| Between prime and boost         | 41 (55.4%)           | 42 (59.2%)          | 40 (49.4%)         | 48 (53.3%)          |
| Within 28 days post boost       | 33 (44.6%)           | 29 (40.8%)          | 41 (50.6%)         | 42 (46.7%)          |
| Severity                        |                      |                     |                    |                     |
| Grade 1                         | 41 (55.4%)           | 40 (56.3%)          | 48 (59.3%)         | 49 (54.4%)          |
| Grade 2                         | 26 (35.1%)           | 23 (32.4%)          | 32 (39.5%)         | 34 (37.8%)          |
| Grade 3                         | 6 (8.1%)             | 8 (11.3%)           | 1 (1.2%)           | 7 (7.8%)            |
| Grade 4                         | 1 (1.4%)             |                     |                    |                     |
| Causality                       |                      |                     |                    |                     |
| No relationship                 | 29 (39.2%)           | 25 (35.2%)          | 20 (24.7%)         | 25 (27.8%)          |
| Unlikely                        | 30 (40.5%)           | 27 (38.0%)          | 26 (32.1%)         | 36 (40.0%)          |
| Possible                        | 6 (8.1%)             | 9 (12.7%)           | 30 (37.0%)         | 18 (20.0%)          |
| Probable                        | 5 (6.8%)             | 6 (8.5%)            | 4 (4.9%)           | 9 (10.0%)           |
| Definite                        | 4 (5.4%)             | 4 (5.6%)            | 1 (1.2%)           | 2 (2.2%)            |

| Days to onset | Days to onset | Church a suma   |                              | MadDDA Sustan Order Class      | Duration | Constant | Courseliter account out |
|---------------|---------------|-----------------|------------------------------|--------------------------------|----------|----------|-------------------------|
| from prime    | from boost    | Study arm       | MedDRA Parent Term           | MedDRA System Order Class      | (days)   | Severity | Causality assessment    |
| 0             | NIA           | Chad/Chad 28    | Fatigue                      | General disorders and          | 2        | Crada 2  | Dessible                |
| 0             | NA            | CHAU/CHau-28    | Faugue                       | administration site conditions | Z        | Grade 3  | Possible                |
|               | NIA           | Chad/Chad 28    | Organia dust tovia sundramas | Respiratory, thoracic and      | 2        | Crada 2  | No rolationshin         |
| 3             | NA            | CHAU/CHAU-28    | Organic dust toxic syndrome  | mediastinal disorders          | 3        | Grade 3  | No relationship         |
| 22            | 2             | Chad/Chad 28    | Limbiniun                    | Injury, poisoning and          | 1        | Grado 2  | No rolationship         |
| 52            | 5             | CHAU/CHAU-20    | Linib injury                 | procedural complications       | T        | Graue 5  | No relationship         |
| 33            | 5             | ChAd/ChAd-28    | Migraine                     | Nervous system disorders       | 2        | Grade 3  | Unlikely                |
| 48            | 19            | ChAd/ChAd-28    | Tension headache             | Nervous system disorders       | 1        | Grade 3  | Unlikely                |
|               | 77            | ChAd/ChAd 20    | Dock noin                    | Musculoskeletal and            | 0        | Crada 2  | No rolationship         |
| 22            | 27            | CHAU/CHAU-28    | васк раш                     | connective tissue disorders    | ŏ        | Grade 3  | Norelationship          |
| 0             | NΛ            |                 | Chilles                      | General disorders and          | 2        | Grade 3  | Definite                |
| 0             | NA            | CHAU/ BINT-28   | Chinisy                      | administration site conditions | 2        | Uraue 5  | Dennite                 |
| 1             | NA            | ChAd/BNT-28     | Meniere's disease            | Nervous system disorders       | 2        | Grade 3  | Probable                |
| 1 ⊑           | NA            | Chad/DNT 29     | Fatigue                      | General disorders and          | 20       | Crada 2  | Unlikoly                |
| 15            | NA            | CHAU/ BINT-20   | Fatigue                      | administration site conditions | 29       | Graue 5  | Officery                |
| 38            | 10            | ChAd/BNT-28     | Tension headache             | Nervous system disorders       | 5        | Grade 3  | No relationship         |
| /2            | 15            | Chad/DNIT 20    | Pack pain                    | Musculoskeletal and            | 2        | Grade 2  | No rolationship         |
| 43            | 15            | CHAU/ DIVI - 28 | σαυκ μαπ                     | connective tissue disorders    | 5        | Graue 3  | Νοτειατιοποπρ           |

## 662 Supplementary Table 2. Non-serious adverse events of grade ≥3 in the 28-day boost study arms

| Days to onset | Days to onset |             |   | Duration   | Courselity account ant |          |                      |
|---------------|---------------|-------------|---|--|------------------------|----------|----------------------|
| from prime    | from boost    | Study arm   | MedDKA Parent Term                          | MedDRA System Order Class                            | (days)                 | Severity | Causality assessment |
| 43            | 14            | ChAd/BNT-28 | Foot fracture                               | Musculoskeletal and connective tissue disorders      | 38                     | Grade 3  | No relationship      |
| 48            | 20            | ChAd/BNT-28 | Fatigue                                     | General disorders and administration site conditions | 2                      | Grade 3  | Unlikely             |
| 56            | 28            | ChAd/BNT-28 | Abdominal pain                              | Gastrointestinal disorders                           | 8                      | Grade 3  | No relationship      |
| 26            | NA            | BNT/BNT-28  | Pneumonia                                   | Respiratory, thoracic and mediastinal disorders      | 9                      | Grade 3  | No relationship      |
| 28            | 0             | BNT/ChAd-28 | Depressed<br>mood                           | Psychiatric disorders                                | 2                      | Grade 3  | No relationship      |
| 28            | 0             | BNT/ChAd-28 | Arthralgia                                  | Musculoskeletal and connective tissue disorders      | 8                      | Grade 3  | Probable             |
| 31            | 1             | BNT/ChAd-28 | Migraine                                    | Nervous system disorders                             | 1                      | Grade 3  | Probable             |
| 33            | 5             | BNT/ChAd-28 | Back pain                                   | Musculoskeletal and connective tissue disorders      | 3                      | Grade 3  | Possible             |
| 44            | 16            | BNT/ChAd-28 | Viral upper respiratory tract<br>infection* | Respiratory, thoracic and mediastinal disorders      | 2                      | Grade 3  | No relationship      |
| 45            | 17            | BNT/ChAd-28 | Influenza like illness*                     | General disorders and administration site conditions | 4                      | Grade 3  | Unlikely             |

663 • Participant developed respiratory irritation after performing DIY

664 § Episode of rigors with fever, entered in unsolicited diary

665 \* Tested for COVID-19 and negative

666 Supplementary Table 3. Adverse events of special interest\* in all study arms until data lock

| Days since<br>prime | Days since boost | Study arm   | MedDRA Parent Term            | MedDRA System Organ Class | Duration (days) | Severity | Causality       |
|---------------------|------------------|-------------|-------------------------------|---------------------------|-----------------|----------|-----------------|
| 14                  | N/A              | BNT/ChAd-84 | Anaphylactoid reaction        | Immune system disorders   | 2               | Grade 3  | Unlikely        |
| 81                  | N/A              | BNT/ChAd-84 | Trigeminal palsy <sup>^</sup> | Nervous system disorders  | 34#             | Grade 3  | No relationship |
| 92                  | 64               | ChAd/BNT 28 | Deep vein thrombosis          | Vascular disorders        | 19#             | Grade 3  | Unlikely        |

667 \* Excluding SARS-CoV-2 infection/COVID-19

668 ^ Secondary to trauma from dental procedure

669 <sup>#</sup>Ongoing at time of data-lock

| Days post prime | Study arm   | Severity*  |
|-----------------|-------------|------------|
| 6               | BNT/ChAd-84 | Mild       |
| 1               | BNT/BNT-28  | Moderate A |
| 54^             | ChAd/BNT-28 | Mild       |
| 3               | BNT/BNT-28  | Moderate A |

## 670 Supplementary Table 4. Adverse event of special interest - COVID-19 cases after prime vaccination in all study arms

671 \*Severity grading as per protocol.

672 ^ Participant had not received boost prior to infection, dose delayed due to travel

673 ± Defined by first symptom meeting government testing criteria at that time

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| Days since prime Days | Dave since boost | Study arm    | MedDRA parent     | MedDRA system  | Duration (days) | Causality       | Serious adverse  |
|-----------------------|------------------|--------------|-------------------|----------------|-----------------|-----------------|------------------|
|                       | Days since boost |              | term              | organ class    |                 | assessment*     | event type       |
| 7                     |                  | ChAd/ChAd-28 | Septic arthritis  |                | 49              |                 |                  |
|                       | NI/A             |              | staphylococcal,   | Infections and |                 | Unlikely        | Hospitalisation  |
| 1                     | / N/A            |              | Staphylococcal    | infestations   |                 |                 |                  |
|                       |                  |              | bacteraemia       |                |                 |                 |                  |
| 107                   | 107 22           | ChAd/ChAd-84 | Orchitis          | Infections and | 5               | Unlikely        | Hospitalisation  |
| 107                   | 23               |              | Orenners          | infestations   |                 |                 |                  |
|                       | 85 N/A^          | ChAd/ChAd-84 | Fallopian tube    | Infections and | 33#             | Not related     | Hospitalisation  |
| 65                    |                  |              | abscess           | infestations   |                 |                 |                  |
|                       |                  |              | Bladder           |                |                 |                 |                  |
| 88 0                  |                  | obstruction, | Renal and urinary | 17#            | Not related     | Hospitalisation |                  |
|                       | 0                | BINT/BINT-84 | Acute kidney      | disorders      | 17              | NOLTEIALEU      | riospitalisation |
|                       |                  |              | injury            |                |                 |                 |                  |

## 680 Supplementary Table 5. Serious adverse events in all study arms until data lock

681 \* See protocol for causality assessment guidance

682 ^Boosted at D94

683 <sup>#</sup>Ongoing at time of data-lock



685

- 686 Supplementary Figure 1. Kinetics of immunogenicity by vaccine schedules in the immunology cohort A) SARS-CoV-2 anti-spike IgG and B) Cellular
- 687 response by IFN-γ ELISpot.
- 688 Data points are medians with IQRs.

689

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