1 Dendrimer Stabilized Nanoalloys for Ink-Jet Printing of Surface-Enhanced

2 Raman Scattering Substrates

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- 4 Tiago Fernandes^a, Natércia C. T. Martins^a, Sara Fateixa^a, Helena I. S. Nogueira^a, Ana L.
- 5 Daniel-da-Silva^a, Tito Trindade^{a*}
- ^aDepartment of Chemistry, CICECO- Aveiro Institute of Materials, University of Aveiro, 3810-193
- 7 Aveiro, Portugal

9 Abstract

10 Research on paper substrates prepared by inkjet deposition of metal nanoparticles for sensing 11 applications has become a hot topic in recent years; however, the design of such substrates 12 based on the deposition of alloy nanoparticles remains less explored. Herein, we report for the 13 first time the inkjet printing of dendrimer-stabilized colloidal metal nanoalloys for the 14 preparation of paper substrates for Surface-enhanced Raman scattering (SERS) spectroscopy. To 15 this end, nanoassemblies containing variable molar ratios of Au:Ag were prepared in the 16 presence of poly(amidoamine) dendrimer (PAMAM), resulting in plasmonic properties that 17 depend on the chemical composition of the final materials. The dendrimer-stabilized Au:Ag:PAMAM colloids exhibit high colloidal stability, making them suitable for the preparation 18 19 of inks for long-term use in inkjet printing of paper substrates. Moreover, the pre-treatment of 20 paper with a polystyrene (PS) aqueous emulsion resulted in hydrophobic substrates with 21 improved SERS sensitivity, as illustrated in the analytical detection of tetramethylthiuram 22 disulfide (thiram pesticide) dissolved in aqueous solutions. We suggest that the interactions 23 established between the two polymers (PAMAM and PS) in an interface region over the 24 cellulosic fibres, resulted in more exposed metallic surfaces for the adsorption of the analyte 25 molecules. The resulting hydrophobic substrates show long-term plasmonic stability with high 26 SERS signal retention for at least ninety days.

27 **Keywords:** Dendrimers, metal nanoalloys, paper sensors, SERS.

28 Graphical abstract

- 29 Paper-based substrates containing dendrimer-stabilized Au:Ag:PAMAM nanoalloys for the SERS
- 30 probing of the pesticide thiram in an aqueous solution.



32 1. Introduction

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34 In the past decade, inkjet printing has been investigated as an attractive method for the design 35 of versatile and easy-to-use sensors [1, 2]. Indeed, the inkjet deposition of colloidal nanoparticles 36 provides a low-cost and straightforward approach delivering additional properties onto a 37 substrate, such as plasmonic properties[3-5], conductivity[6-8] or catalytic activity[9]. This 38 printing technique is also versatile concerning the types of substrates employed, for example by 39 allowing the deposition of nanopatterned structures using standard office paper with refillable 40 cartridges[10] and the large-scale fabrication of sensors of varying chemical composition on 41 different types of materials (e.g., cellulose fibres, silicon wafers or glass surfaces)[11-16]. In 42 particular, the paper is highly attractive to develop sensing platforms since it offers several 43 advantages such as flexibility, portability, low cost and recyclability[17, 18].

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45 Surface-enhanced Raman scattering (SERS) spectroscopy is a powerful technique for molecular 46 screening in several fields such as environmental analysis, food safety, biomedicine, and 47 others[19-21]. The SERS technique delivers several advantages such as the ability to probe very 48 low concentrations of several target analytes while being a non-destructive method that 49 requires small samples volumes with minimal sample treatment[22-25]. The SERS effect relies 50 on the strong intensification of the Raman signal of molecules adsorbed or in the vicinity of 51 metal surfaces with localized surface plasmon resonances (LSPR), resulting in the enhancement 52 of the local electric field (an electromagnetic mechanism) or charge transfer due to metal-53 adsorbate complex formation (a chemical mechanism). The Raman signal enhancement is higher 54 on plasmonic structures that display nanogaps or on the apex features of anisotropic plasmonic 55 particles, where analytes can adsorb; such regions of an enhanced local electric field are 56 commonly referred to as hotspots. Consequently, the design of substrates based on these 57 materials with high efficiency, reproducibility and stability is of upmost importance for using 58 SERS as a molecular screening technique. Moreover, with the advent of portable Raman spectrometers, the design of lightweight and streamlined substrates becomes increasingly 59 60 important for on-site analysis[26, 27]. In this context, the development of flexible SERS 61 substrates produced by inkjet printing has been steadily increasing in recent years[1, 28, 29].

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Most of the reported SERS substrates prepared by inkjet printing involve platforms containing
 Au or Ag nanoparticles allowing the detection of a wide variety of analytes dispersed on different
 matrices[30-33]. These platforms are either prepared by printing pre-synthesized metal

66 nanoparticles on a solid substrate[34] or by in situ synthesis of the nanoparticles on the 67 substrate surface after inkjet printing metal salts and reducing agents[35]. Ink additives or additional substrate treatments may be employed to further improve the functionality of the 68 69 resulting material. The inkjet printing of SERS substrates using plasmonic metal alloys has been 70 scarcely reported, despite some reports demonstrating superior performance in certain 71 situations. Hence, Weng et al. reported the preparation of inkjet-printed Au nanoparticle paper 72 substrates with enhanced SERS activity and reproducibility, by growing a second metal (silver) 73 phase [36]. In brief, the Au seeds were deposited on filter paper using a conventional inkjet 74 printer and then, the Ag nanoparticles were grown in situ by submerging the paper substrates 75 in a solution containing the Ag(I) precursor and reducing agents. The authors were able to 76 control the reaction conditions regarding the degree of Ag deposition to produce SERS 77 substrates that display superior performance when compared with bare Au NPs on paper.

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79 Several limitations have been attributed to the use of colloidal suspensions for inkjet deposition, 80 especially regarding the tendency of particulates to clog the ejection nozzle due to deposition 81 on printhead surfaces[37]. The formulation of inks with long term colloidal stability and tuned 82 particle size distribution is a crucial processing requirement, which might explain to some extent 83 the lack of studies using colloidal metal nanoalloys for inkjet printing. To overcome these 84 disadvantages, we have explored the synthesis of dendrimer-stabilized metal nanoassemblies, 85 where the dendrimers are known for their well-defined architecture and whose terminal 86 chemical groups account for efficient capping and colloidal stabilization of metal 87 nanoparticles[38, 39]. Moreover, the tuneable surface chemistry of dendrimers can be explored 88 to promote chemical functionalization or entrap analytes of interest[39]. Among the extensive library of dendritic molecules, we have selected PAMAM dendrimers for the synthesis of metal 89 90 nanoparticles, given their well-established physicochemical properties and commercial 91 availability[40, 41]. Furthermore, PAMAM dendrimers offer several advantages for the 92 preparation of colloids for inkjet printing. In fact, the high density of functional groups of the 5th 93 generation PAMAM dendrimers delivers important multivalency, which is an essential feature 94 for long-term colloidal stability and posterior robust interaction of the nanoalloys on the paper 95 substrates. Moreover, the relatively monodisperse molecular weight distribution, nanosize and 96 globular shape of PAMAM dendrimers in solution, provides a way to prepare inks with consistent 97 and reliable proprieties (i.e. viscosity or jetting characteristics) when compared with linear 98 polymers of equivalent molecular weight[42].

100 Recently, our group reported a one-step method for the fabrication of hydrophobic paper-based 101 substrates by the deposition of Ag nanoparticles and polystyrene beads. The resulting substrates 102 displayed good SERS performance for the detection of thiram spiked in several matrices, such 103 as mineral water, orange juice or apple peel[34]. In this work, we wish to report for the first 104 time the use of dendrimer-stabilized Au:Ag nanoalloys of variable molar ratios, as colloidal stable 105 inks for the inkjet deposition on common office paper. The resulting substrates were then 106 investigated regarding their SERS sensitivity using thiram as a model pesticide. To further 107 improve the SERS performance of the resulting substrates, the paper surface was pre-treated 108 with a hydrophobic coating of polystyrene (Scheme 1). The substrates reported in this work 109 provide an innovative strategy for the design of paper-based Au:Ag:PAMAM nanoassemblies for 110 SERS detection of analytes dissolved in water samples.

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Scheme 1 – Colloids of dendrimer-stabilized Au:Ag nanoalloys in inks formulations for the
preparation of paper-based SERS substrates, with and without pre-treatment using polystyrene
(PS).

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118 2. Experimental section

119 **2.1. Materials**

120 All chemicals were used without any further treatment: G5-NH₂ PAMAM dendrimers 121 (Dendritech, Midland, MI, USA); Tetrachloroauric(III) acid trihydrate (HAuCl₄·3H₂O, 99.9%, Sigma-Aldrich); Silver nitrate (AgNO₃, Sigma-Aldrich, >99.0%); sodium dodecyl sulfate salt (SDS, 122 123 $NaC_{12}H_{25}SO_4$, Sigma-Aldrich, >98.0%); α , α '-azobis-(isobutyronitrile) (AIBN, $C_8H_{12}N_4$, Fluka 124 >98.0%); hexadecane (C₁₆H₃₄, Sigma-Aldrich, >99.0%), glycerol (C₃H₈O₃, Sigma-Aldrich, >99.0%); 125 sodium bicarbonate (NaHCO₃, Sigma-Aldrich, >99.5%) Thiram (C₆H₁₂N₂S₄, Sigma-Aldrich, 126 \geq 98.0%); Styrene (C₈H₈, Aldrich 99%) was purified over a column of neutral Al₂O₃ and stored at 4 °C. Colloids of gold, silver and their alloys were prepared using ultrapure water (18.2 M Ω ·cm, 127

128 25 °C, MilliQ, Millipore); A4 office paper sheets with a grammage of 80 g m⁻² (Navigator,
129 Portugal) were used for the preparation of the SERS substrates.

130 2.2. Synthesis of dendrimer-stabilized Au:Ag alloy nanoassemblies

131 The dendrimer-stabilized Au:Ag nanoassemblies were prepared according to the procedure 132 previously reported by our group[43]. Typically, 60 mg of PAMAM dendrimer was added to 10 133 mL of ultrapure water and left to disperse under vigorous stirring (750 rpm) for 15 minutes. Then, 134 various proportions of the Ag(I) and Au(III) salts were added to obtain the alloy nanoparticles by 135 keeping as 20:1 the concentration ratio of metal to the dendrimer. For example, for the particles 136 with the 10:10:1 molar ratio (Au:Ag:PAMAM), 100 µL of aqueous AgNO₃ (227.3 mM) and 900 µL 137 of aqueous HAuCl₄·3H₂O (25.4 mM) were mixed. The reaction was then left to proceed for 24 138 hours at 25 °C. Throughout the reaction, it was observed a gradual change in colour for all the 139 systems. The molar ratios of 15:5:1, 10:10:1 and 5:15:1 Au:Ag:PAMAM were used for preparing 140 the nanoalloys described in this work. Monometallic particles of Au (20:0:1) and Ag (0:20:1) were 141 also prepared for comparative purposes. The procedure to prepare these particles was similar 142 to the described for the alloys, but in this case, the temperature and reaction time were 60 °C 143 and 3 hours, respectively. The nominal molar ratio of the Au:Ag dendrimer-stabilized 144 nanoassemblies, which were named Au:Ag:PAMAM, will be indicated in the text by the distinct 145 values of Au:Ag ratio, since for PAMAM the respective value was 1 in all the inks.

146 **2.3. Synthesis of polystyrene emulsions**

147 The polystyrene (PS) emulsions were prepared by following the procedure previously reported 148 by our group with some modifications[34, 44]. Briefly, the monomer styrene (0.032 mol), 149 hexadecane (3.31x10⁻⁴ mol) and the initiator α, α' -azobis-(isobutyronitrile) (AIBN, 1.28x10⁻⁴ mol) 150 were mixed with an aqueous solution of sodium dodecyl sulfate (SDS, $2x10^{-4}$ mol) and sodium bicarbonate (NaHCO₃, 1.73x10⁻⁴ mol). This mixture was then kept under vigorous magnetic 151 152 stirring for 30 min followed by sonication (amplitude 35%, 20 W power, Sonics-Vibracel Sonifier) 153 for 7 minutes. The resulting miniemulsion was then transferred to a "jacket" glass reactor with 154 mechanical stirring (500 rpm) and nitrogen (N_2) inlet. The reactor content was deoxygenated by 155 purging with N₂ for 20 min., and then the temperature of the miniemulsion was set to 70 °C using 156 a thermostatic bath. The polymerization reaction was allowed to proceed for 4 hours under N_2 157 atmosphere, 500 rpm.

158 2.4. Inkjet printing of SERS substrates

159 To prepare inks suitable for printing, the Au, Ag and alloy dendrimer-stabilized nanoassemblies 160 were mixed with glycerol to adjust the viscosity to an optimum level for inkjet printing (2-3 161 cP)[34]. Typically, 7.2 ml of each colloid were mixed with 1.8 ml of glycerol under magnetic 162 stirring for 15 min. After adding glycerol, no significant changes were observed in the colloidal 163 stability of the nanoparticles, except for the monometallic dendrimer-stabilized Ag 164 nanoparticles where a slight colour change was observed (from brown to dark brown). In this 165 case, the solution was left for 24 hours after mixing with glycerol and no further visual changes 166 were observed thereafter, which may be explained by the reduction of non-reacted Ag(I) after 167 adding glycerol[45].

For the more concentrated inks, the dendrimer-stabilized nanoparticles were firstly lyophilized (Au:Ag, 4.5 mM nominal concentration) and then redispersed in half the volume of water (Au:Ag, 9 mM nominal concentration). The resulting colloid was then used for the preparation of an ink following a similar procedure, as described above.

The colloidal inks were transferred to refillable ink cartridges and were used to print the SERS substrates (0.5 cm x 0.5 cm) on office paper using a piezoelectric printer (Epson Expression Home XP-255). The substrates were prepared after 10, 15 and 20 printing cycles, to increase the local concentration of nanoparticles in the paper. To confer hydrophobic characteristics to the substrate, the paper surface was pre-treated with a polystyrene emulsion (0.6% w/v, 15 printing cycles) and only then ink-jet printing of the metal colloids was performed.

178 **2.5. SERS measurements and Raman imaging**

179 The SERS analyses of aqueous solutions of thiram were carried out by the deposition of an 180 aliquot (\approx 10 μ L) of the sample solution on each paper substrate and left to dry at 40 °C. The 181 sample solutions were prepared by the required dilution in ultra-pure water of a thiram stock 182 solution (1x10⁻³ M) in methanol. For SERS experiments, thiram was selected a model probing 183 molecule since it is one of the simplest dithiocarbamate pesticides with well-established 184 chemistry regarding its interaction with Ag/Au surfaces. It is also convenient for comparative 185 purposes with other research works on the development of SERS substrates for pesticide 186 monitoring.

The sensitivity and homogeneity of the substrates were assessed by high-resolution Raman imaging by obtaining 150 x 150 Raman spectra (total of 22 500 spectra) in an area of 30 x 30 μ m with an acquisition time of 0.1 s. The images were built by the integration of the absolute area of the thiram diagnosis band located at 1374 cm⁻¹. To compare the SERS sensitivity between different substrates, 50 spectra were extracted from the brighter yellow areas of the Raman map (areas where thiram is adsorbed to the metal resulting in the strongest SERS signal of the chosen band) of each substrate, and an average Raman spectrum was obtained using WITec software Project 5⁺. The signal-to-noise ratio (SNR) was determined by calculating the average peak height of the typical SERS band (S) from two independent spectra, divided by the square root of the standard deviation of the peak height (σ_v)[46].

197 **2.6. Instrumentation**

198 The UV/VIS spectra were recorded using a GBC Cintra 303 UV/Visible spectrophotometer. For 199 the paper substrates, the optical spectra were recorded in the diffuse reflectance mode using 200 MgO as reference and converted to absorbance spectra. The scanning electron microscopy 201 (SEM) micrographs were obtained using the Hitachi SU-70 with operating voltage at 4 kV. High-202 resolution transmission electron microscopy (TEM) micrographs of the colloids were acquired 203 using the JEOL 2200FS HR-TEM. Energy-dispersive X-ray spectroscopy (EDS) studies were 204 performed using Bruker Esprit. Samples for TEM and EDS were prepared by diluting the original 205 colloids and depositing them on a carbon-coated Cu grid. Dynamic light scattering (DLS) and zeta 206 potential measurements were accomplished using a Malvern Zetasizer Nano ZS equipped with 207 a standard 633 nm laser. Raman and atomic force microscopy (AFM) studies were accomplished 208 using a combined Raman-AFM-SNOM confocal microscope WITec alpha300 RAS+. For the 209 Raman studies, a He:Ne laser operating at 633 nm was used as the excitation source with power 210 set at 1.5 mW. The AFM measurements were carried out in tapping mode (AC-AFM) using a 211 tip-cantilever silicon reflex-coated with a spring constant of k = 2.8 N/m and 75 kHz of resonance 212 frequency. The scanning image was $1 \,\mu\text{m} \times 1 \,\mu\text{m}$ (256 points per line × 256 lines per image) with 213 a scan speed of 1 s/line (the same retrace speed). The static water contact angle (WCA) 214 measurements were performed using an OCA 20 goniometer (DataPhysics Instruments GmbH) 215 by placing an ultrapure water droplet of 3 μ L onto the paper substrates. The values of the 216 contact angles presented in this work are the average of at least three measurements. The 217 inductively coupled plasma - optical emission spectroscopy (ICP-OES) analysis was carried out 218 using a Jobin Yvon Activa M equipment.

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220 3. Results and discussion

221 **3.1.** Inkjet printing of Au:Ag:PAMAM paper substrates

In this work, we have prepared colloids of Au:Ag:PAMAM nanoalloys of raspberry-like particleswith an average size ranging from 80 to 200 nm, following a methodology previously reported

by us (Figures 1 and S1 supporting information)[43]. For comparative purposes, the corresponding dendrimer-stabilized monometallic nanoparticles based only on Ag or Au were also prepared (Figure S2). The ensuing metal colloids display a positive surface charge (> 60 mV) at pH 7, as previously determined by zeta potential measurements, which accounts for the presence of the PAMAM dendrimer as capping agent[43].

229 Figure 2 shows the UV/VIS spectra of office paper printed with the monometallic and alloyed 230 dendrimer-stabilized Au:Ag:PAMAM nanoparticles. For comparative purposes, the optical 231 spectra of the original colloids are also shown. The monometallic Au and Ag colloids show a well-232 defined band peaked at 520 and 415 nm, respectively, corresponding to the LSPR of the 233 respective nanometals. Instead, the optical spectra of the colloidal nanoassemblies display 234 broader LSPR bands in the region 400-520 nm, which might indicate interparticle plasmon 235 coupling. As a general trend, the UV/VIS spectra of the paper substrates show strong band 236 broadening and a redshift on the absorption maximum, which we attribute to the presence of a 237 distinct dielectric (cellulose), though particles' clustering can also contribute to such 238 observations.

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240

241 Figure 1 – Transmission electron microscopy (TEM) and scanning electron microscopy (SEM)

images of 15:5 Au:Ag dendrimer-stabilized nanoassemblies with raspberry-like structure (A,B);

243 EDS mapping of 5:15 Au:Ag dendrimer (PAMAM)-stabilized nanoassemblies (C-E).



Figure 2 – UV/VIS spectra of paper substrates after 20 printing cycles of inks containing PAMAMstabilized nanoparticles with variable Au:Ag molar ratios, as indicated ($-\star$ -). For comparative purposes, the UV/VIS spectra of the respective aqueous colloids are also shown ($-\bullet$ -).

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248 After inkjet printing on paper substrates, all samples were analysed by SEM to inquire about the 249 distribution and morphology of the dendrimer-stabilized nanoalloys over the cellulose fibres. 250 Figure 3 (and Figures S3-S4) show the SEM micrographs for the substrates analysed, in which 251 the raspberry-like morphologies are observed as particulates dispersed over the cellulose fibres. 252 For comparison, SEM micrographs of the bare office paper are also presented in Figure S5 253 (supporting information). Note that the bare office paper contains micron-sized particulates 254 over the cellulose fibres due to the presence of mineral fillers (e.g. CaCO₃), which are used in the 255 papermaking process[47]. The SEM micrographs of the inkjet-printed substrates with the 256 monometallic nanoparticles display the similar size and morphology of the colloidal particles 257 previously analysed by TEM (Figure S2, supporting information). On the substrates with the 20:0 258 Au:Ag:PAMAM nanoparticles, many individualized nanoparticles (≈20 nm) are dispersed along 259 the cellulose fibres, with the presence of small agglomerates that typically appear due to the 260 inkjet deposition. On the other hand, the substrates with 0:20 Au:Ag:PAMAM nanoparticles 261 display a polydispersed size distribution where smaller nanoparticles (≈20 nm) are surrounding 262 bigger individual particles (≈ 200 nm). The conjugate effect of using PAMAM dendrimer as the 263 only reducing agent and the lower reduction potential of Ag(I) may explain the polydispersity 264 observed for the monometallic Ag nanoparticles[43]. While the monometallic nanoparticles are 265 individualized, the alloy nanoparticles are organized in assemblies that are held together by the 266 dendrimer, resulting in bigger and raspberry-like structures (Figures 1 and S1, supporting 267 information)[43]. The SEM images of the paper substrates (Figure 3 and Figure S4) with the alloy 268 nanoparticles display the typical nanoassemblies (≈80-200 nm) dispersed along the cellulose 269 fibres that are analogous in size and shape to the ones observed in the colloidal form (Figures 1 270 and S1, supporting information). In addition, the metal content (Au:Ag) measured for each paper 271 substrate by ICP-OES is in fair agreement with the expected nominal values (Table S1).





Figure 3 – SEM micrographs of a paper substrate after 20 printing cycles using ink 15:5
Au:Ag:PAMAM (A-C: red arrows indicate the raspberry-like nanoassemblies; D is the zoomed-in
image of C; A-B, back-scattered electron (BSE) mode; C, secondary electron (SE) mode).

277 3.2. SERS studies using inkjet printed paper substrates

278 3.2.1. Paper substrates containing Au:Ag PAMAM-stabilized nanoassemblies

- 279 The SERS performance of the paper substrates was assessed after inkjet printing either the
- 280 colloids of dendrimer-stabilized monometals or the nanoalloys. Figure 4 shows the SERS spectra
- of thiram, which was used as a model pesticide, using a series of paper substrates obtained after
- 282 20 printing cycles.



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Figure 4 – SERS spectra of thiram (1x10⁻⁴ M in the sample solution) collected on paper substrates printed with inks having the indicated Au:Ag molar ratio in the PAMAM-stabilized nanoassemblies. All the SERS spectra were built by extracting the most intense 50 data points from the respective Raman images. For comparative purposes, the conventional Raman spectrum of thiram powder is also presented.

The inkjet 5:15 Au:Ag:PAMAM printed paper was used as the SERS substrate for assigning the vibrational bands of thiram (Figure 4), as follows[48-50]: 343 cm⁻¹ v(Ag-S); 443 cm⁻¹, δ (CSS) and δ (CNC); 561 cm⁻¹, v_{sym}(CSS) coupled to v(S-S); 933 cm⁻¹ v(C-S); 1140 cm⁻¹, ρ (CH₃)+v(N–CH₃); 1374 cm⁻¹ δ _{sym}(CH₃); 1441 cm⁻¹ δ _{asym}(CH₃); 1502 cm⁻¹ v(CN). Figure 4 indicates that the best SERS performance was observed for inks containing dendrimer-stabilized Au:Ag nanoalloys with

294 metal ratios 5:15 and 10:10. In fact, in these substrates, there is a strong enhancement of the 295 Raman signal as clearly observed in the band at 1374 cm⁻¹, which is assigned to the symmetric 296 bending of the CH₃ groups in thiram. Note that this Raman band is strongly enhanced in relation 297 to the band observed at 561 cm⁻¹, assigned to the disulfide vibrational modes, which is clearly 298 observed by comparing the conventional Raman spectrum of solid thiram with the 299 corresponding SERS spectra (Figure 4). We suggest that this is a consequence not only of the 300 enhancement of the Raman band at 1374 cm⁻¹, but also of partial reduction of thiram molecules 301 chemisorbed at the metal surface via cleavage of S-S bonds. This hypothesis is further supported 302 by the absence of the band at 390 cm⁻¹ in the SERS spectra, which is assigned to the S-S stretching 303 vibration in the Raman spectrum of thiram. Moreover, the appearance of the SERS band located 304 at 1502 cm⁻¹, indicates the predominance of the thioureide tautomer as the main adsorbate on 305 the metal surface. The enhancement of the band at 1374 cm⁻¹ on the SERS spectra is attributed 306 to the shortening of the CH₃ and CN groups distance to the metal surface[43].

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308 Figure 4 also shows that the observed Raman enhancement decreases as the content of Ag in 309 the metal colloids also decreases. This is in line with previous reports where the less noble metal 310 can more easily promote the cleavage of the S-S bond, resulting in higher SERS sensitivity 311 towards this type of analytes with disulfide bond[51]. Overall, the SERS spectra indicate that the 312 presence of Ag in the nanoalloys is important to observe strong enhancement. Interestingly, 313 while for the SERS experiments involving the colloids, the minimum SERS detection limit 314 achieved was 1x10⁻⁶ M[43], herein, it was possible to further improve the SERS sensitivity 315 towards thiram, where a SERS minimum detection limit of 1x10⁻⁷ M was obtained. This improved 316 SERS sensitivity might be related to a change in conformation of the PAMAM dendrimer on the 317 surface of the assemblies after inkjet deposition, as will be discussed below. We have made SERS 318 measurements in similar conditions, using different molar ratios in the nanoalloys, but despite 319 the similar SERS performance for the substrates printed with 5:15 and 10:10 Au:Ag:PAMAM, the former exhibited best SERS activity at lower thiram concentration, reaching 1x10⁻⁷ M as the 320 321 lower limit of SERS detection (Figure 5). Therefore the 5:15 Au:Ag:PAMAM colloid was selected 322 for the subsequent studies as described below.

Figure 5 shows the SERS spectra and Raman images of thiram, deposited from aqueous samples of different concentrations, on substrates after 20 printing cycles of the 5:15 Au:Ag:PAMAM colloids on paper. Raman spectroscopy coupled with imaging methods provides important spectral and spatial information and thus allows for the localized identification of thiram species in the substrate. The brighter areas in the Raman maps indicate a strong signal arising from

328 thiram adsorbed to the metal in those regions. Consequently, the Raman maps also confirm that 329 the metal nanoassemblies are distributed across the paper substrate. In addition, the effect of 330 the number of printing cycles is detailed in Figure S6 (supporting information). As expected, the 331 SERS activity towards thiram increases as the number of printing cycles increases due to the 332 higher number of particles imprinted on the cellulose fibres. However, there is an optimum 333 number of printing cycles, after which a further decrease or increase in the number of particles 334 resulted in detrimental SERS performance. While the deposition of only 10 printing cycles 335 resulted in the absence of SERS activity for all the substrates; an increase in the number of 336 deposited particles beyond the optimal conditions also resulted in detrimental SERS signal due 337 to extensive aggregation and consequent delocalization of the electric field of the resulting 338 larger clusters (Figure S7-S8, supporting information)[34].

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Figure 5 –SERS spectra of thiram at the indicated concentrations using the 5:15 Au:Ag:PAMAM
substrate after 20 printing cycles (A) and the corresponding Raman images by monitoring the
band at 1374 cm⁻¹ (B-D).

344 *3.2.2. Hydrophobic paper substrates containing 5:15 Au:Ag PAMAM-stabilized nanoassemblies*

345 SERS performance of a paper substrate prepared by inkjet printing methods can be improved by
 346 modifying its surface properties prior the deposition of the plasmonic nanoparticles[52]. In

347 particular, the wettability properties of the paper are very important, as demonstrated by 348 several authors showing better SERS performance for hydrophobic substrates[33, 53]. We have 349 ourselves demonstrated good SERS performance for hydrophobic paper substrates obtained by 350 a one-step fabrication, using ink formulations containing PS aqueous emulsions[34]. Unlike this 351 previous work, here the use of PAMAM dendrimers in the PS emulsion resulted in immediate 352 particle agglomeration, thus precluding the application of such method due to clogging of the 353 ejection nozzle. Instead, paper sheets have been submitted to 15 printing cycles of a PS emulsion 354 before printing the colloid 5:15 Au:Ag:PAMAM, which was selected due to the highest SERS 355 sensitivity, likely due to the higher molar content of Ag. The deposition of PS was then monitored 356 by SEM using the secondary electron (SE) and the back-scattered electron (BSE) modes. Both 357 modes provide important information regarding the surface nature of the resulting paper 358 substrate. On one hand, the SE mode deliver images with good topographic contrast, while on 359 the other hand, the BSE results on imagens where the contrast depends on the atomic weight. 360 Consequently, in Figure 6-A it is possible to observe the extensive and uniform distribution of 361 the PS spheres along the cellulose fibres. The analysis of the SEM micrographs after deposition 362 of the 5:15 Au:Ag:PAMAM nanoassemblies on the hydrophobic paper is not a trivial task, given 363 the chemical components on the resulting substrates. Nevertheless, in certain regions of the 364 paper, it was possible to observe the presence of structures that were ascribed to the metal 365 alloyed nanoassemblies (Figure 6-D). The presence of these structures was also inferred by 366 optical measurements, which show great similarities between both ink-jet printed substrates, 367 i.e. PS treated and non-treated papers printed with 5:15 Au:Ag:PAMAM nanoassemblies (Figure 368 6-E). As expected, the water contact angle (WCA) measurements of the paper treated with PS 369 revealed an increase in hydrophobicity when compared with the bare office paper, exhibiting 370 WCA values that changed from 78.4° ± 2.9 to 148.2° ± 1.5 (Figure 6-F). Paper substrates 371 submitted to further 10 to 20 printing cycles have shown a slight decrease of the WCA values, 372 which can be explained by the presence of an higher amount of the PAMAM dendrimer and the 373 hydrophilic nature of its amine terminal groups[54]. This is not enough to disrupt the 374 hydrophobic nature of the office paper treated with PS, because even so the amine terminal 375 groups are less exposed as compared to the globular shape adopted in solution, given the oblate 376 conformation of the PAMAM dendrimer molecules on the solid substrate [55, 56].



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Figure 6 – SEM micrographs of paper treated with PS on SE mode (A) and BSE mode (B) and of the same paper after deposition with 5:15 Au:Ag:PAMAM dendrimer-stabilized nanoassemblies (red arrows mark the presence of nanoassemblies) ((C) SE mode and (D) BSE mode); the optical spectra of the paper substrates containing the 5:15 Au:Ag nanoassemblies on office paper (----) and on hydrophobic paper (----) (E) and the WCA measurements of bare paper, PS treated paper and of the PS treated paper with 10-20 printing cycles of the 5:15 Au:Ag:PAMAM nanoparticles (F).

386 Figure 7 details the performance of the hydrophobic paper substrates after inkjet printing of 387 5:15 Au:Ag:PAMAM colloids, in the SERS detection of thiram. Firstly, the hydrophobic character 388 of the paper substrates resulted in better signal enhancement for a lower number of printing cycles of the metal colloids (Figure 7-A); for instance, no Raman signal of thiram was observed 389 390 for 10 printing cycles on paper without PS treatment, but a minimum detection of 1x10⁻⁶ M was 391 achieved in similar conditions using paper sheets previously submitted to inkjet printing of the 392 PS emulsion. Similar behaviour was observed for paper substrates after 15 printing cycles of the 393 5:15 Au:Ag:PAMAM colloids, whereas the SERS sensitivity improved from 1x10⁻⁵ M to 1x10⁻⁶ M 394 (Figure 7-A). Although the limit of SERS detection did not decrease for the substrates submitted 395 to 20 printing cycles of 5:15 Au:Ag:PAMAM, in relation to the corresponding paper not treated 396 with PS, there was a significant improvement regarding the signal-to-noise ratio (SNR) (Figure 397 S9 and Table S2, supporting information).



Figure 7 – SERS activity of 5:15 Au:Ag:PAMAM nanoassemblies in the detection of thiram on paper pre-treated with PS and containing 10 to 20 printing cycles of nanoassemblies ($1x10^{-6}$ M of thiram) (A). SERS spectra of thiram using paper substrates pre-treated with PS and 20 printing cycles of 5:15 Au:Ag:PAMAM nanoassemblies (B) and the corresponding Raman images (C).

403 3.2.3. Topographic analysis of the hydrophobic paper substrates

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The better SERS sensitivity observed when using hydrophobic paper substrates has been related to the occurrence of less spreading of water droplets containing the target molecules under analysis, which results in a concentration effect in a smaller area of the substrate[33, 57-59]. Indeed, in this research the paper substrates revealed a better SERS performance towards thiram when compared with the corresponding metal colloids reported previously [43]. We 409 suggest that together with this hydrophobic effect in our substrates, the increase in SERS 410 performance is also due to the change in the dendrimer architecture after deposition on the 411 paper, changing from a globular structure in solution to an oblate configuration on the 412 underlying surface modified fibres[55, 56, 60, 61]. This "collapse" of the dendrimer architecture 413 results in the increase of the metal surface exposed for chemisorption of the analyte molecules, 414 thus leading to improved SERS sensitivity. Indeed, several works have explored the deposition 415 of PAMAM dendrimers on different kinds of surfaces and their effect on the structure of the 416 dendrimer[60-66]. For example, it is known that depending on the concentration, PAMAM 417 dendrimers having amine terminal groups can easily spread on mica surfaces resulting in the 418 formation of uniform films[61]. Figures 8 and S10 show the topographic analysis of the paper 419 substrates by AFM that corroborates the hypothesis put forward above.



420

421 Figure 8 – 3D topography (top) and phase (bottom) AFM images (1µm x 1µm) of paper treated
422 with PS (left) and paper pre-treated with PS and submitted to 20 printing cycles of 5:15
423 Au:Ag:PAMAM nanoassemblies (right).

424

As detailed in Figure 8 (left panel), the inkjet printing of PS emulsion on office paper resulted in
the uniform distribution of the polymer beads over the surface of the fibres, as also observed
by SEM (Figure 6). In addition, the topography profile agrees with the typical size of the

428 individual spheres of about 100 nm (Figure S10). However, the inkjet deposition of the 5:15 429 Au:Ag:PAMAM nanoassemblies on the PS coated paper resulted in a marked change of the 430 surface topography (Figure 8, right panel). In this case, the well-defined discrete PS spheres are 431 no longer observed over the cellulose fibres, which instead show a smoother coating, which is 432 also in agreement with the SEM images (Figures 6-A and 6-C). As expected, the surface 433 roughness of the paper substrates varied depending on the inkjet printing material, while paper 434 containing only the PS coat exhibited a root mean square (Rms) of 33.95 ± 7.26 nm, the 435 corresponding paper substrate with 5:15 Au:Ag:PAMAM nanoassemblies displayed a Rms of 436 18.75 \pm 0.54 nm (Table S3). The same behaviour was also observed for the paper, where no PS 437 treatment was done. Accordingly, after the deposition of the 5:15 Au:Ag:PAMAM alloy 438 nanoassemblies on standard paper without PS, a decrease in the surface roughness is also 439 observed when compared with the bare paper (Table S3). In this latter substrate, the topography 440 profile also reveals the presence of structures that are similar in size and shape to the alloy 441 nanoassemblies observed in TEM and SEM (Figure S10-C). This trend observed on the surface of 442 the paper after inkjet deposition of the colloids can be attributed to the collapse of the 443 dendrimer structure, resulting in compressed, oblate spheroids. Figure 8 also shows AFM images 444 on phase mode that confirm such distinct surface characteristics for paper coated only with PS 445 in comparison to paper pre-treated with PS and ink jet printed with Au:Ag:PAMAM 446 nanoassemblies. As noted before, PAMAM dendrimers are soft macromolecules with a fairly 447 open structure and consequently, when deposited on solid surfaces, they tend to deform due 448 to the interaction between the dendrimer functional groups and the surface of the substrate[61]. 449 However, in the case of paper substrates pre-treated with PS, during the inkjet printing process 450 an interface region is formed, in which the electrostatic interactions are favoured between the 451 positively charged PAMAM dendrimers and the negatively charged PS spheres due to the 452 presence of SDS as surfactant. This process resulted in topographical changes on the coated 453 cellulosic fibres, as demonstrated by the AFM and SEM images. The AFM analysis of the above 454 paper substrates after deposition of thiram (Figure S10-B and -D) retain the topographic profile, 455 further reinforcing the hypothesis that the conformational change of the dendrimer structure 456 after deposition may contribute to improved SERS sensitivity. Furthermore, the deposition of 457 the PAMAM stabilized nanoassemblies may change the surface charge density of the paper 458 substrates previously treated with PS, due to conformational changes on the dendrimer 459 structure after deposition [67-70].

Finally, the repeatability and long-term SERS signal stability were assessed for the best performing paper substrates, i.e. those pre-treated with PS and printed with 20 printing cycles 462 of 5:15 Au:Ag:PAMAM nanoassemblies. Figure 9-A shows the SERS signal variability of three 463 substrates, where for each substrate 30 data points were collected from the Raman images. The 464 relative standard deviation (RSD) of three independent analyses revealed to be 11.37% while 465 the RSD within each substrate ranged from 13.76% to 36.02%. The variation observed within 466 each substrate is within acceptable ranges for this class of materials given the structural 467 heterogeneity of the paper surface. Despite that, the RSD of three independent substrates was 468 11.37%, thus confirming the repeatability for the reported substrates which are similar to 469 previously reported hydrophobic substrates for SERS applications [57, 59]. The stability of the PS 470 treated paper substrates with the 5:15 Au:Ag:PAMAM nanoassemblies was investigated by 471 Raman imaging and using thiram (1x10⁻⁵ M) as the analyte. Figure 8-B shows that no significant 472 changes were observed in the SERS spectra of thiram after 90 days, suggesting good stability of 473 the substrates over time.



474

Figure 9 – Variation of the thiram SERS band intensity at 1374 cm⁻¹ for the paper treated with
PS and with 5:15 Au:Ag:PAMAM alloy nanoassemblies (A). Each bar corresponds to an
independent analysis that represents the average intensity calculated from 30 data points of the
Raman images; the SERS spectra of thiram (1x10⁻⁵ M) observed for the same substrate after 1
day and 90 days (B).

480

481 4. Conclusions

We reported here for the first time the inkjet printing of dendrimer-stabilized Au:Ag nanoalloys on office paper, aiming at the fabrication of handy and efficient SERS substrates. In particular, we have demonstrated the crucial role of the PAMAM dendrimer in the ink formulations for this application. Firstly, it acts as a "glue-like" molecular structure for the clustering of Au and Ag nanoparticles in the colloidal alloys. In addition, it was used as the sole reducing and stabilizing agent for the preparation of the Au:Ag alloys, providing a straightforward approach to obtain

nanostructures with variable plasmonic behaviour. The dendrimer-stabilized Au:Ag 488 489 nanoassemblies that resulted from this research were successfully deposited on office paper 490 through inkjet printing. The ensuing substrates displayed good SERS sensitivity for the detection 491 of model pesticide thiram dissolved in aqueous solutions. In particular, the Au:Ag:PAMAM 492 nanoassemblies have shown the highest SERS activity when deposited on hydrophobic paper 493 substrates, i.e. pre-treated with a polystyrene aqueous emulsion. A plausible explanation for 494 this behaviour relies on the occurrence of an interface region during the printing step, in which 495 electrostatic interactions between both polymers (PS and PAMAM) became favoured and alter 496 the surface coating of the fibres. As consequence, the globular structure of the dendrimer 497 molecules is deformed leading to more metal surfaces exposed for chemisorption. An 498 interesting follow up of this topic, is the use of AFM colloidal probe measurements to 499 understand the effect of PAMAM deposition on surface charge density and its possible 500 interaction with the surfactant SDS of the PS spheres.[67-70]

Finally, it should be noted that the resulting paper substrates are characterized by its "biofriendliness" and relatively low-cost. In fact, the printing method used in this work, allows the preparation of hundreds of spots in a single paper sheet, where the total amount of PAMAM dendrimer and polystyrene deposited on the paper substrates represents a small fraction of the total components (<0.5% w/v). In brief, this research opens an avenue for preparing dendrimer-based metal colloids for inkjet printing on a variety of chemically modified surfaces, making more flexible the design and fabrication of SERS probing platforms.

508 *CRediT* authorship contribution statement

Tiago Fernandes: Conceptualization, Methodology, Validation, Formal Analysis, Investigation,
 Writing- Original Draft, Writing- Review & Editing. Natércia Martins: Methodology, Validation,
 Investigation, Writing- Review & Editing. Sara Fateixa: Methodology, Validation, Writing- Review
 & Editing. Helena Nogueira: Formal analysis, Resources, Writing- Review & Editing. Ana Daniel da-Silva: Formal Analysis, Writing- Review & Editing, Supervision, Funding acquisition. Tito
 Trindade: Conceptualization, Methodology, Formal Analysis, Resources, Writing- Review &
 Editing, Supervision, Funding acquisition.

516 **Declaration of Competing Interest**

517 The authors declare that they have no known competing interests or personal relationships that518 could have appeared to influence the work reported in this paper.

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