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The spread of the plasmid RP4 in a microbial community is dependent on the particular donor strain

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1 Abstract

2 The rapid spread of antibiotic resistance challenges modern medicine. So
3 far, mechanistic and quantitative knowledge concerning the spread of resis-
4 tance genes mainly relies on laboratory experiments with simplified set-ups,
5 e.g., two strain-communities. Thus, the transferability of the obtained pro-
6 cess rates is questionable. To investigate the role of a diverse community
7 concerning the dissemination of the multidrug resistance plasmid RP4, an
8 *E. coli* harboring the latter invaded a microbial community consisting of 21
9 species. Changes in the community composition as well as plasmid uptake
10 by community members were monitored for 22 days. Special focus was laid
11 on the question of whether the observed changes were dependent on the ac-
12 tual invading donor isolate and the ambient antibiotic concentration. In our
13 microcosm experiment, the community composition was primarily influenced
14 by the given environmental variables and only secondarily by the particu-
15 lar invader *E. coli*. The establishment of resistance within the community,
16 however, was directly dependent on the actual donor isolate. The extent
17 to which ambient conditions influence the spread of RP4 depended on the
18 particular isolate.

19 **Keywords:** conjugation, RP4 plasmid, bacterial community, epic-PCR

20 1 Introduction

21 Nowadays, modern medicine is unthinkable without antibiotics. However,
22 the extensive use of antimicrobials in health care in the last decades fostered
23 the emergence and spread of antibiotic resistance. Worldwide, about 900,000
24 people per year die from infections with antibiotic resistant bacteria which
25 are no more treatable with the currently available antibiotics (O'Neill, 2016).
26 Although, the problem is most pressing in human and veterinary medicine,
27 the environment constitutes a source and reservoir for antibiotic resistance
28 genes (ARG) and resistant bacteria (ARB). Furthermore, there is a perma-
29 nent exchange of ARG and ARB between compartments (humans, veterinary
30 medicine, environment). For instance, human-associated ARG and ARB are
31 constantly released in aquatic environments via treated wastewater: A large
32 scale conventional wastewater treatment plant (44,000 population equiva-
33 lents) discharges about 1.5×10^{18} bacteria per day into the receiving water
34 body (Jäger et al., 2018). By eating fresh produce, 'environmental' bacteria
35 get in contact with the intestinal human microbiome. Depending on the
36 season, irrigation mode, and leaf age between 10^5 and 10^7 bacterial cells
37 per g wet weight live on lettuce (Williams et al., 2013). Monitoring stud-
38 ies investigating the stability of the microbiome in the human gut revealed
39 that consequences of such bacterial invasions are, among other variables, de-
40 pendent on the ambient conditions and especially influenced by a previous
41 antibiotic treatment (e.g., Lazupone et al., 2012; Jeffery et al., 2016; Yas-
42 sour et al., 2016; Bäumlner and Sperandio, 2016; Lange et al., 2016; Vonaesch
43 et al., 2018). Furthermore, changes in microbial community compositions
44 and resistomes of water bodies were attributed to wastewater discharge and
45 water reuse (e.g., Narciso-da Rocha and Manaia, 2017; Dang et al., 2019).

46 These monitoring studies provide knowledge about the current status of
47 a particular system but do not identify the driving processes creating the
48 seen patterns. To contribute to close this knowledge gap we conducted a
49 microcosm experiment introducing an *Escherichia coli* strain into an assem-
50 bled community consisting of 21 Gram-positive and -negative species derived
51 from different environments. The invading strain harbored the broad-host
52 range plasmid RP4 which mediates multi-drug resistance. We repeated the
53 experiment with three different *E. coli* strains which revealed different abili-
54 ties to acquire RP4. By means of 16S amplicon sequencing and epic-PCR, we
55 studied changes in the community composition as well as the uptake of the
56 plasmid by the particular community members. In addition, we tested the
57 ability to express the acquired plasmid by incubating in presence of inhibitory
58 antibiotic concentrations. Specifically, we tested if the observed changes in
59 the community composition and the spread of the plasmid depends on the
60 chosen *E. coli* donor strain (1) and the presence of subinhibitory antibiotic
61 concentrations (2).

62 2 Material and methods

63 2.1 Microcosm experiment

64 To investigate the aforementioned questions, microcosm experiments lasting
65 for 22 days were conducted. Every second day, 1% of the culture (total
66 volume: 6 mL) was transferred into fresh HT-media. The latter consists of
67 different not easily degradable carbon sources and was developed to allow
68 for coexistence of a multitude of bacterial species (reference). The assembled
69 microbial community comprised 21 species (Table 1) which were negatively
70 tested for plasmids being incompatible with RP4 (Cairns et al., 2018). In
71 parallel to the bi-daily transfers, 1×10^6 cells of the donor and approx. 5×10^5
72 cells of each community member were added from cryoculture to prevent the
73 extinction of less competitive species. The microcosms were incubated at
74 28 °C and shaken at 50 rpm. We used three different *E. coli* donors (one
75 at a time) to test the dependence of the spread of the RP4 plasmid on par-
76 ticular isolates belonging to the same species. RP4 is a naturally occurring
77 broad-host range plasmid mediating resistance to ampicillin, kanamycin, and
78 tetracyclin. One donor (donor a) originated from the HAMBI culture col-
79 lection (University of Helsinki, Finland) and the other two (donors c and
80 e) derived from a previous experiment (Heß et al., 2020). The latter were
81 cultured first with and later without antibiotics to ensure plasmid stabil-
82 ity in the donor strain. Additionally, we tested the effect of three different
83 kanamycin concentrations (0 µg/mL, 0.0025 µg/mL and 0.25 µg/mL) on
84 the spread of RP4 within the community. To ensure reproducibility of the
85 obtained results, each treatment was repeated four times resulting in a total
86 of 36 microcosm experiments (3 donors x 3 antibiotic levels x 4 replicates
87 each).

88 Before each transfer, a subsample of 1 mL was taken, supplemented with
89 glycerol and stored at -80 °C for further analysis (analysis of the community
90 composition via 16S amplicon sequencing and the spread of the RP4 plasmid
91 via epic-PCR).

92 At the end of the experiment, 60 µL were transferred into 5.4 mL HT-
93 broth containing 25 µg/mL kanamycin to test the expression of the uptaken
94 RP4 by the particular species.

95 2.2 16S amplicon sequencing and epic-PCR

96 16S amplicon sequencing: For DNA isolation, 0.5 mL of the preserved sam-
97 ples were centrifuged and the supernatant was discarded. The DNA of the
98 pelleted cells was subsequently isolated using the DNeasy 96 Blood and Tis-
99 sue Kit (Qiagen, Hilden, Germany) following the manufacturer’s instruc-
100 tions. Subsequently, the V3/V4 region of the 16S gene was amplified by
101 PCR as previously described (Cairns et al., 2018). The 16S amplicons were

102 sequenced at the Institute of Biotechnology of the University of Helsinki
103 (Finland) using a MiSeq device.
104 epic-PCR: By means of emulsion, paired isolation and concatenation (epic)
105 PCR, it was tested which species possessed the plasmid at the end of the
106 experiment (after the 10th transfer). The detailed protocol was already
107 published by Cairns et al. (2018) where primer sequences as well as PCR
108 protocols are listed. Briefly, depending on cell density, up to 10 μ L of the
109 preserved culture were used to trap single cells in polyacrylamide beads.
110 Subsequently, successful bead formation was checked and single occupancy
111 of the beads was confirmed using fluorescence microscopy. By fusion PCR,
112 fragments of the *aphA* gene (mediates resistance to kanamycin) located on
113 the RP4 plasmid and the 16S rDNA gene were linked to form one com-
114 bined amplicon. A smaller fragment of this amplicon was later on replicated
115 in a nested PCR to obtain enough product for subsequent next-generation
116 sequencing. The latter was done at the Institute of Biotechnology of the
117 University of Helsinki (Finland).

118 2.3 Processing of the sequencing data

119 The obtained reads (16S amplicons and fused 16S-*aphA*-sequences) were
120 quality checked and trimmed using TrimGalore (q=28, length=100) (<https://github.com/FelixKrueger/TrimGalore>). The retained reads were subse-
121 quently merged using PANDAseq (Masella et al., 2012). As an additional
122 trimming step for the epic reads, the *aphA* fragment was cut off. The so
123 trimmed reads were subsequently compared to a self-assembled database
124 consisting of the 16S rDNA sequences of the community members (see Ta-
125 ble 1) which are deposit in the NCBI database (www.ncbi.nlm.nih.gov). Only
126 matches with an alignment length of at least 100 bp and an e-value smaller
127 than 1e-50 were counted. In case of one read hit to several database entries
128 the one with the highest (alignment length)/(e-value) ratio was taken. The
129 described pipeline was automated using R (www.r-project.org).
130

131 2.4 Statistical analysis

132 Tabelle 3: Punkt bedeutet, dass Spezies in mindestens 3 der 4 biologischen
133 Replikate Träger des Plasmids war

134 3 Results and dicussion

135 3.1 Dynamics of the community composition

136 The community composition was stable after the third transfer and essen-
137 tially dominated by the same 8 species independent on the particular *E. coli*

Table 1: Community composition.

Species	Strain ID	Natural habitat of the species
<i>Acinetobacter lwoffii</i>	HAMBI 97	ubiquitous in the environment
<i>Aeromonas caviae</i>	HAMBI 1972	water
<i>Agrobacterium tumefaciens</i>	HAMBI 105	soil
<i>Brevundimonas bullata</i>	HAMBI 262	ubiquitous in the environment
<i>Chitinophaga sancti</i>	HAMBI 1988	soil
<i>Citrobacter koseri</i>	HAMBI 1287	normal flora of human and animal guts
<i>Comamonas testosteroni</i>	HAMBI 403	soil, member of human microbiome
<i>Cupriavidus necator</i>	HAMBI 2164	soil
<i>Hafnia alvei</i>	HAMBI 1279	normal human gut flora
<i>Kluyvera intermedia</i>	HAMBI 1299	water, soil, sewage
<i>Microvirga lotononidis</i>	HAMBI 3237	Nitrogen-fixing nodule of <i>Lotononsis angolensis</i>
<i>Moraxella canis</i>	HAMBI 2792	water, soil, mucosal membranes of humans and animals
<i>Niabella yanshanensis</i>	HAMBI 3031	soil
<i>Paraburkholderia caryophylli</i>	HAMBI 2159	soil
<i>Paraburkholderia kururiensis</i>	HAMBI 2494	groundwater, soil
<i>Paracoccus denitrificans</i>	HAMBI 2443	soil, wastewater, sludge
<i>Pseudomonas chlororaphis</i>	HAMBI 1977	soil
<i>Pseudomonas putida</i>	HAMBI 6	soil, water
<i>Sphingobacterium spiritivorum</i>	HAMBI 1896	soil, compost
<i>Sphingobium yanoikuyae</i>	HAMBI 1842	often isolated from human specimen
<i>Stenotrophomonas maltophilia</i>	HAMBI 2659	water, plant rhizospheres, animals

138 invader: *A. tumefaciens*, *K. intermedia*, *S. spiritivorum*, *A. caviae*, *P. chloro-*
139 *raphis*, *S. maltophilia*, *P. putida*, and *E. coli* (exemplary shown for donor e
140 in absence of antibiotics in the supplementary Fig. S.1). The remaining 14
141 species made up less than 5% (Fig. S.1). Overall, the microbial communi-
142 ties in the biological replicates with the same treatment were very similar at
143 all time steps analyzed (data not shown). The relative abundances of the
144 respective species differed depending on the invader strain. For 4 out of the
145 6 chosen indicators describing the diversity and evenness of the community
146 composition, the donor had a significant effect on the final abundance of the
147 members (Tab. 2).

148 In comparison to the impact of a particular *E. coli* on the community
149 composition, the effect of the ambient kanamycin concentration was stronger

150 and statistically highly significant independent of which indicator was chosen
 151 (Tab. 2). For instance, after the 10th transfer, *P. putida* made up to 20%
 152 of the community in absence of any antibiotic and in presence of 0.0025 μg
 153 mL^{-1} kanamycin but the strain went extinct at an ambient concentration
 154 of 0.25 $\mu\text{g mL}^{-1}$ kanamycin (Fig. 1 top left). The same phenomenon was
 155 observed for *P. chlororhysis* but with a lower relative abundance in complete
 156 absence or presence of the low kanamycin concentration (Fig. 1). On the
 157 contrary, *Comamonas testosteroni*, was only able to conquer a niche within
 158 the community in presence of the highest tested kanamycin concentration.
 159 After the last transfer, *C. testosteroni* cells made up to 10% of the community
 160 (Fig. 1).

161 Based on the ANOVA-test, interaction between the two parameters 'donor
 162 strain' and 'antibiotic concentration' was unlikely (Tab. 2).

Table 2: Result of a two-way ANOVA testing for effects of kanamycin exposure and the chosen donor strain on the final composition of the bacterial community. Numbers represent p-values (* $p \leq 0.05$, ** $p \leq 0.01$, *** $p \leq 0.001$). Antibiotic levels were treated as factors (none: 0, low: 0.0025, high: 0.25 $\mu\text{g mL}^{-1}$).

Indicator	Kanamycin	Donor	Interaction
Shannon diversity index	2e-13***	1e-02*	2e-01
Evenness	1e-06***	4e-01	7e-01
No. species contributing > 1% to community	2e-13***	4e-02*	8e-03**
No. species contributing > 2% to community	6e-05***	3e-03**	6e-01
Share of three dominant species in community	2e-06***	2e-01	1e00
Share of five dominant species in community	7e-13***	1e-04***	4e-01

163 3.2 Spread of RP4 within the assembled community

164 Generally, the percentage of community members which harbored the plasmid
 165 after the 10th transfer was rather low (0% - 38%). For instance, in
 166 the microcosms without antibiotics and *E. coli* a and c as donors, none of
 167 them harbored the plasmid after the final transfer (Table 3). Either none
 168 of the community members were able to pick up the plasmid or it/they lost
 169 RP4 again. For all treatments, a maximum of eight community members
 170 possessed the plasmid (0.25 $\mu\text{g mL}^{-1}$, donor a; Table 3). *S. maltophilia*,
 171 *A. caviae*, *C. testosteroni*, *K. intermediae* and *A. lwoffii* formed a core group
 172 of plasmid owners which, in case of the spread of RP4 within the commu-
 173 nity, most likely had picked up the plasmid. Although there was a tendency
 174 that the probability of plasmid uptake was higher for more abundant species,
 175 RP4 was not detected in all 8 core community species. For instance, *S. spir-*
 176 *itivorum* made up to 25% of the community after the 10th transfer (donor
 177 a, 0.25 $\mu\text{g mL}^{-1}$; Fig. 1) but had not picked up the plasmid in any of the

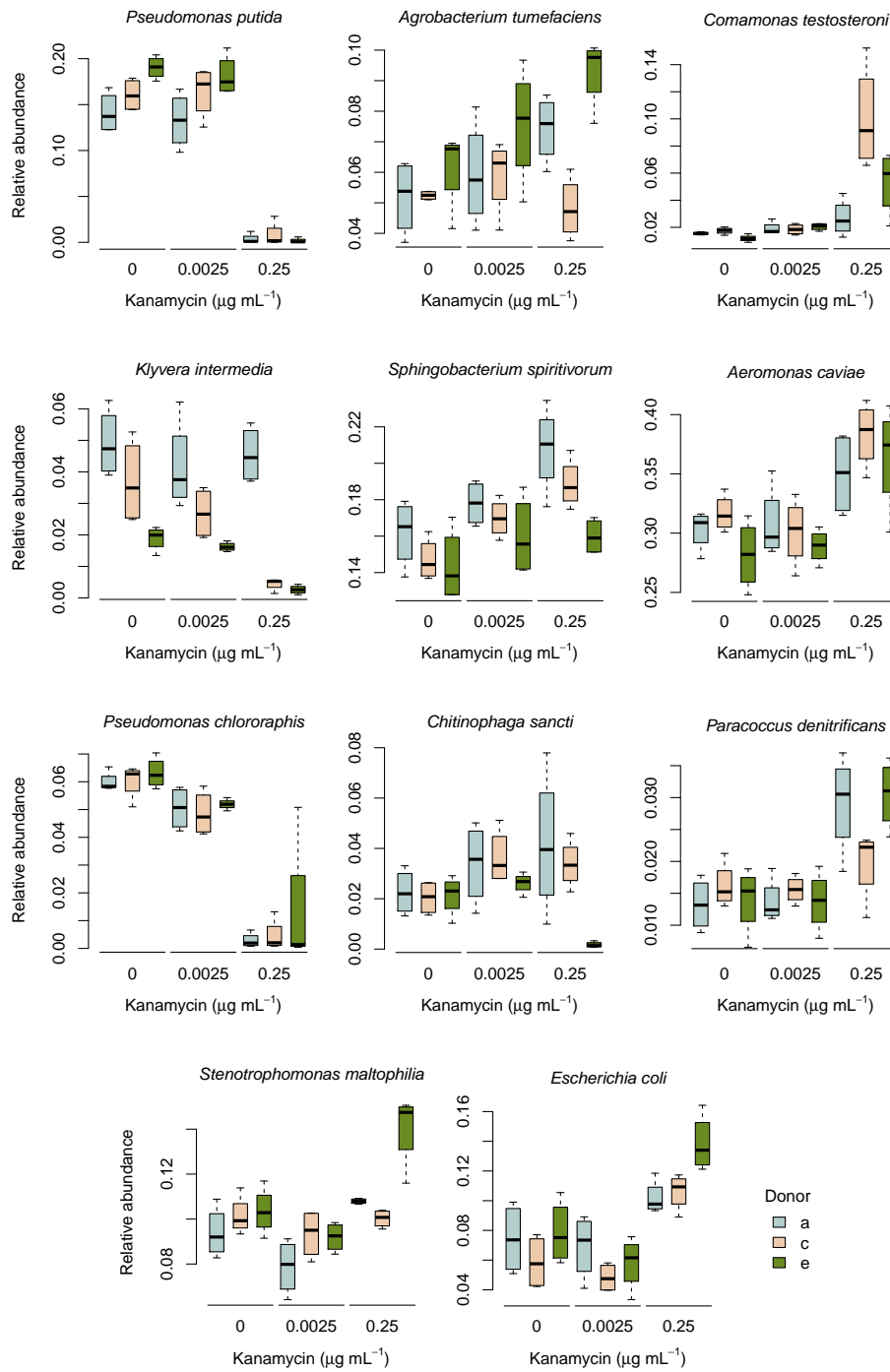


Figure 1: Relative abundance of the predominant species after the 10th transfer.

178 treatments or lost it again (Table 3). The plasmid was only very rarely
179 detected in species not belonging to the 8 core community members, e.g.,
180 *H. alvei*, *S. yanoikuyae* or *C. necator*. In this context, however, it is impor-
181 tant to keep in mind that the probability that (a) a less abundant species
182 get in contact with a plasmid harboring cell is less likely as it is for higher
183 abundant species and (b) the probability of the detection of such an event
184 via epic-PCR is lower simply due to their rareness.

185 The spread of the plasmid within the community differed depending on
186 the particular invading *E. coli* strain. In presence of donor a and $0.25 \mu\text{g mL}^{-1}$ kanamycin
187 the highest number of species picked up RP4: *A. tumefaciens*, *H. alvei* and
188 *C. necator* possessed the plasmid only in this treatment (Table 3). Unfor-
189 tunately, the sensitivity of the method did not allow for the detection of (a)
190 hub-species (starting point for the star-shaped spreading of the plasmid) nor
191 for a detailed traceability of the dissemination path of the plasmid. How-
192 ever, since the abilities of the community members to acquire the plasmid
193 were not donor dependent (Fig. 2), there is an indirect hint that the initial
194 transfer to the possible hub-species was directly from the *E. coli* donor or
195 the *E. coli* strain itself acted as hub-species.

196 The observation that the probability of plasmid uptake of particular com-
197 munity members seemed not to depend on the invading *E. coli* strain was not
198 surprising. The latter was not necessarily expected because of the possible
199 spread triggered by transconjugants.

200 Besides the donor, the ambient antibiotic concentration also had an effect
201 on the dissemination of RP4. Interestingly, its impact differed for the used
202 donors: For the donors a and c, the number of species which had taken
203 up the plasmid was higher with increasing kanamycin concentration. The
204 opposite was observed in presence of donor e.

205 3.3 Expression of RP4 by transconjugants

206 In presence of an inhibitory antibiotic concentration, antibiotic susceptible
207 cells die independent of whether they do not possess a gene mediating re-
208 sistance to the respective drug or they do not express the latter. To test
209 whether the transconjugants are able to express the kanamycin resistance
210 gene *aphA* located on the acquired RP4 plasmid, the communities were ex-
211 posed to $2.5 \mu\text{g mL}^{-1}$ kanamycin. In addition to *E. coli*, only two other
212 species, namely *S. maltophilia* and *A. caviae*, were able to grow under these
213 conditions - given they picked up the plasmid during the experiment.

214 4 Discussion

215 We tested the effect of the invasion of different antibiotic-resistant *E. coli*
216 strains on the composition of an assembled community and the spread of
217 the RP4 plasmid within the latter. Our results revealed that the impact of

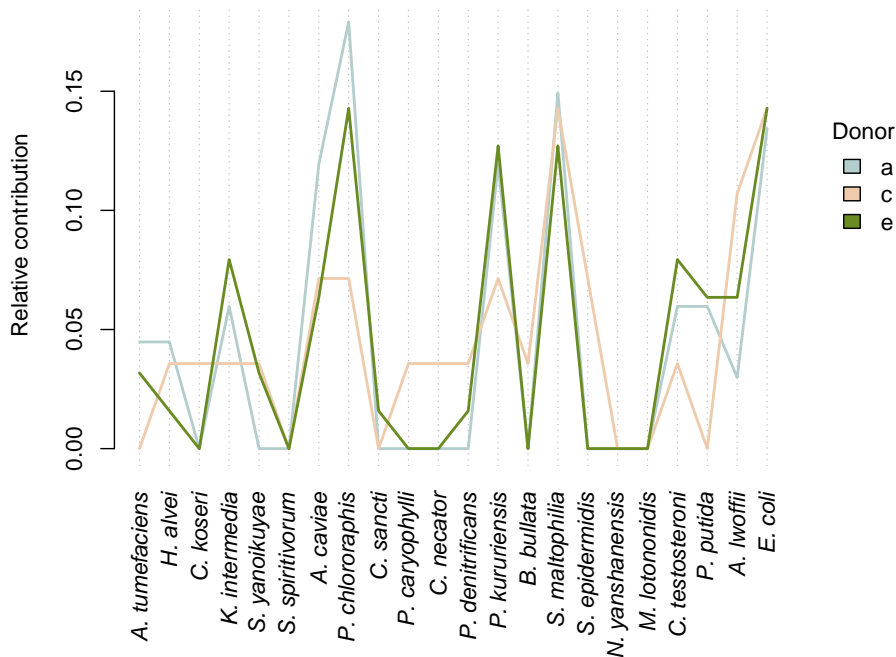


Figure 2: Relative contribution of individual strains to the occurrence of RP4 in the bacterial community (possible range: 0–1).

218 such an invasion differed between donor isolates even though they all be-
 219 longed to the same species (*E. coli*). If an invader is successful in conquering
 220 an ecological niche depends on its traits which can be encoded in the core
 221 genome but can also be acquired. Comparisons on whole genome level de-
 222 picted that about 2,200 genes form the core genome of the species *E. coli* and
 223 thus are common to all *E. coli* cells. The pangenome, however, consists of
 224 approx. 13,000 genes comprising six times more genes than the core genome
 225 (Rasko et al., 2008). This finding explains the high phenotypical variability
 226 within the species and simultaneously provides an indication why it is al-
 227 most impossible to predict the competitiveness of an invading *E. coli* within
 228 a community without a preceding whole genome analyses of all community
 229 members.

230 As expected, strains of the species *E. coli* varied also with regard to their
 231 ability to acquire, maintain and donate resistance gene carrying plasmids
 232 (Gordon, 1992; Heß et al., 2020). Whereas the donor c was a rather bad
 233 recipient (plasmid uptake rate: $10^{-14.2}$) donor e had a higher plasmid uptake
 234 rate ($10^{-13.5}$) in absence of any antibiotic (Heß et al., 2020). In contrast to
 235 donors c and e, donor a lost the plasmid in complete absence or presence
 236 of only traces of kanamycin ($0.0025 \mu\text{g mL}^{-1}$; Table 3). Cairns et al. (2018)
 237 used donor a for their microcosm experiments testing especially the effect
 238 of spatial structure and predation on plasmid spread. Their setup only dif-

Table 3: List of community members harboring the plasmid after the 10th transfer.

Kanamycin ($\mu\text{g mL}^{-1}$)	0			0.0025			0.25		
	a	c	e	a	c	e	a	c	e
<i>S. maltophilia</i>		•	•		•	•	•	•	•
<i>E. coli</i>		•	•		•	•	•	•	•
<i>A. caviae</i>			•		•	•	•	•	•
<i>C. testosteroni</i>			•			•	•	•	•
<i>K. intermedia</i>			•			•	•	•	
<i>A. lwoffii</i>		•	•				•	•	
<i>P. putida</i>			•		•	•			
<i>A. tumefaciens</i>							•		•
<i>P. chlororaphis</i>			•			•			
<i>H. alvei</i>							•		
<i>S. yanoikuyae</i>						•			
<i>C. necator</i>							•		
<i>C. koseri</i>									
<i>S. spiritivorum</i>									
<i>C. sancti</i>									
<i>P. caryophylli</i>									
<i>P. denitrificans</i>									
<i>P. kururiensis</i>									
<i>B. bullata</i>									
<i>S. epidermidis</i>									
<i>N. yanshanensis</i>									
<i>M. lotononidis</i>									
Species harboring RP4	0	3	8	0	4	8	9	6	5

239 ferred from this study by the used culture media (Kings'B- versus HT-broth)
 240 and the agitation regime (no shaking versus 50 rpm). However, the two
 241 variables do not seem to crucially effect the cost-benefit ratio of the plas-
 242 mid as the donor also lost the plasmid in absence of antibiotics, predators
 243 and glass beads (Cairns et al., 2018). Plasmid loss is an indication of an
 244 excessive physiological burden by plasmid carriage under the given ambient
 245 conditions. Controlled laboratory experiments suggest that epistasis, co-
 246 selection and silencing of transcripts facilitate the maintenance of plasmids
 247 that harbor ARG (San Millan et al., 2014; Hughes and Andersson, 2017; Wein
 248 et al., 2019; Baker-Austin et al., 2007; Pal et al., 2015). It has been demon-
 249 strated that sub-inhibitory antibiotic concentrations promote the persistence
 250 of plasmids in populations by altering the cost-benefit ratio resulting in in-
 251 creased fitness and competitiveness (e.g., Gullberg et al., 2011; Andersson
 252 and Hughes, 2014). However, for donor a, only the highest tested kanamycin
 253 concentration ($0.25 \mu\text{g mL}^{-1}$) seemed to have an cost altering effect. Another

254 possibility why the plasmid persisted at the highest concentration might be
255 the emergence of cost-ameliorating mutations (Dahlberg and Chao, 2003).
256 So far, it is unclear why the RP4 plasmid was lost by donor a in absence of
257 antibiotics but maintained by the donors c and e. Further genomic and m-
258 RNA-based analyses comparing donor a with c and e could help to unravel
259 the reason.

260 Comparing the effect of sub-inhibitory antibiotic concentrations on the
261 spread of resistance in a multi-species community (this study) with their
262 impact on the conjugative plasmid uptake in single or two-strain systems
263 led not necessarily to the same conclusions. Whereas the observed plasmid
264 uptake rate by the *E. coli* from *Serratia marcescens* was only negligibly influ-
265 enced by traces of antibiotics (Heß et al., 2020), the latter had a significant
266 effect on the spread of RP4 within the assembled community. Already traces
267 of antibiotics, which nowadays can be detected in almost every ecosystem
268 (Felis et al., 2020), thus might be enough to foster the spread of resistance
269 in communities. This needs to be considered when generating and interpret-
270 ing predictive models concerning the dissemination of ARG (e.g., Blanquart,
271 2019).

272 Interestingly, most of the species which picked up the plasmid during
273 the experiment were unable to phenotypically express their resistance and
274 subsequently compete with *E. coli*, *S. maltophilia* and *A. caviae* in the given
275 setting. This might be assigned to too high costs of the plasmid: The
276 plasmid possession might be associated with decelerated growth and thus
277 transconjugants might have been overgrown by the three species in pres-
278 ence of 25 µg/mL kanamycin. In a clinical context where such an inhibitory
279 concentration would be applied, this finding has a rather positive conno-
280 tation: The treatment would lead to an abolition of cells which took up
281 the plasmid over time since they get extinct. So far, scientists feared that
282 antibiotic treatment kills sensitive cells but enrich resistance gene carrying
283 species/cells. Thus, our results suggest that the relative abundance of donor
284 cells is indeed enriched but the plasmid spread within the members of the
285 microbiome is largely reversed. For the environment, however, where an-
286 tibiotic concentrations above MIC are usually not reached, our results let
287 assume that ARG carrying plasmids persist in the long-term. So far, it is
288 known which role cells which do not express the resistance genes located on
289 the acquired plasmid play concerning the persistence of AR. To deeper un-
290 derstand their function as passive plasmid possessors or active donors single
291 species studies measuring plasmid costs and persistence would be helpful.

292 To conclude, if and how an invader species influenced the community
293 composition was primarily dependent on the given environmental parameters
294 and only secondarily by the specific strains. Whether *E. coli* was able to
295 conquer an ecological niche depended both on its fitness and competitiveness
296 within the given environmental conditions and on the microbial community.

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303 Competing interests

304 The authors declare no competing interests.

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378 Supplement

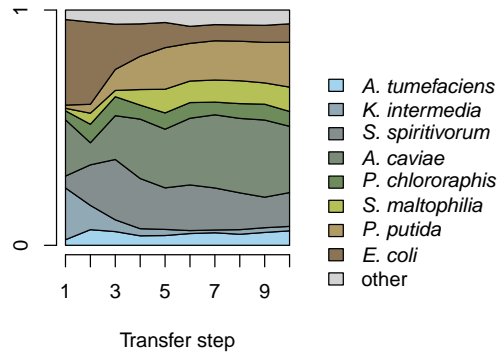


Figure S.1: Community composition in presence of donor e in absence of any antibiotic over time.