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A Parameterized View on the Complexity of Dependence and Independence Logic

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Abstract

In this paper, we investigate the parameterized complexity of model checking for Dependence and Independence logic which are well studied logics in the area of Team Semantics. We start with a list of nine immediate parameterizations for this problem, namely: the number of disjunctions (i.e., splits)/(free) variables/universal quantifiers, formula-size, the tree-width of the Gaifman graph of the input structure, the size of the universe/team, and the arity of dependence atoms. We present a comprehensive picture of the parameterized complexity of model checking and obtain a division of the problem into tractable and various intractable degrees. Furthermore, we also consider the complexity of the most important variants (data and expression complexity) of the model checking problem by fixing parts of the input.

2012 ACM Subject Classification Theory of computation → Higher order logic; Theory of computation → Problems, reductions and completeness

Keywords and phrases Team Semantics, Dependence Logic, Independence Logic, Parameterized Complexity, Model Checking

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1 Introduction

In this article, we explore the parameterized complexity of model checking for dependence $\mathcal{FO}(\text{dep})$ and independence logic $\mathcal{FO}(\perp)$. We give a concise classification of this problem and its standard variants (expression and data complexity) with respect to several syntactic and structural parameters. Our results lay down a solid foundation for a systematic study of the parameterized complexity of team-based logics.

The introduction of dependence logic [?] in 2007 marks also the birth of the general semantic framework of team semantics that has enabled a systematic study of various notions of dependence and independence during the past decade. Team semantics differs from Tarski's semantics by interpreting formulas by sets of assignments instead of a single assignment as in first-order logic. Syntactically, dependence logic is an extension of first-order logic by new dependence atoms $\text{dep}(\mathbf{x}; \mathbf{y})$ expressing that the values of variables \mathbf{x} functionally determine values of the variables \mathbf{y} (in the team under consideration). Similarly, independence logic is an extension of first-order logic by independence atoms $\mathbf{x} \perp_{\mathbf{z}} \mathbf{y}$ expressing that the values of variables \mathbf{x} are independent of values of variables \mathbf{y} for any given values of variables \mathbf{z} . Dependence and independence also manifest themselves in the context of database theory where one considers functional and multivalued dependencies [?]. There are also other interesting team-based logics and atoms such as *inclusion* and *exclusion* atoms that are intimately connected to the corresponding inclusion and exclusion dependencies

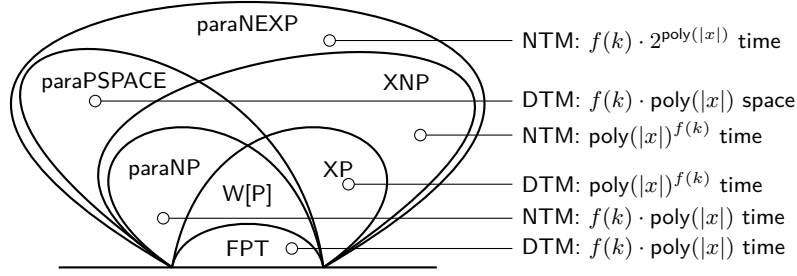
45 studied in database theory [?]. Furthermore, team semantics has been also extended, e.g., to
 46 propositional, modal and probabilistic variants (see [?, ?, ?] and the references therein).

47 For the applications, it is important to understand the complexity theoretic aspects
 48 of dependence logic and its variants. In fact, during the past few years, these aspects
 49 have been addressed in several studies. For example, on the level of sentences dependence
 50 logic and independence logic are equivalent to existential second-order logic while inclusion
 51 logic corresponds to positive greatest fixed point logic and thereby captures **P** over finite
 52 (ordered) structures [?]. Furthermore, there are (non-parameterized) studies that restrict the
 53 syntax and try to pin the intractability of a problem to a particular (set of) connective(s).
 54 For instance, Durand and Kontinen [?] characterize the data complexity of fragments of
 55 dependence logic with bounded arity of dependence atoms/number of universal quantifiers.
 56 For independence and inclusion logic, the similar characterization has been achieved by
 57 Kontinen et al. [?, ?]. Grädel [?] considered the combined and the expression complexity of
 58 the model checking problem of dependence and independence logic. These studies will be of
 59 great help in developing our parameterized approach.

60 A formalism to enhance the understanding of the inherent intractability of computational
 61 problems is brought by the framework of parameterized complexity [?]. Initiated by the
 62 founding fathers Downey and Fellows, in this area within computational complexity theory
 63 one strives for more structure within the darkness of intractability. Essentially, one tries
 64 to identify so-called parameters of a considered problem Π to find algorithms solving Π
 65 with runtimes of the form $f(k) \cdot |x|^{O(1)}$ for inputs x , corresponding parameter values k ,
 66 and a computable function f . These kind of runtimes are called **FPT-runtimes** (from
 67 fixed-parameter tractable; short **FPT**) and tame the combinatoric explosion of the solution
 68 space to a function f in the parameter. As a very basic example in this vein, we can consider
 69 the propositional satisfiability problem SAT. An immediate parameter that pulls the problem
 70 into the class **FPT** is the number of variables, as one can solve SAT in time $2^k \cdot |\varphi|$ if k
 71 is the number of variables of a given propositional formula φ . Yet, this parameter is not
 72 very satisfactory as it neither is seen fixed nor slowly growing in its practical instances.
 73 However, there are several interesting other parameters under which SAT becomes fixed-
 74 parameter tractable, e.g., the so-called treewidth of the underlying graph representations of
 75 the considered formula [?]. This term was coined by Robertson and Seymour in 1984 [?] and
 76 established a profound position (currently DBLP lists 812 papers with treewidth in its title)
 77 also in the area of parameterized complexity in the last years [?, ?].

78 Coming back to **fpt-runtimes**, a runtime of a very different quality (yet still polynomial
 79 for fixed parameters) than **FPT** is summarized by the complexity class **XP**: $|x|^{f(k)}$ for
 80 inputs x , corresponding parameter values k , and a computable function f . Furthermore,
 81 analogously as **XP** but on nondeterministic machines, the class **XNP** will be of interest in
 82 this paper. Further up in the hierarchy, classes of the form **paraC** for a classical complexity
 83 class $C \in \{\mathbf{NP}, \mathbf{PSPACE}, \mathbf{NEXP}\}$ play a role in this paper. Such classes intuitively capture
 84 all problems that are in the complexity class C after **fpt-time** preprocessing. In Fig. 1 an
 85 overview of these classes and their relations are depicted (for further details see, e.g., the
 86 work of Elberfeld et al. [?]).

87 Recently, the propositional variant of dependence logic (**PDL**) has been investigated
 88 regarding its parameterized complexity [?, ?]. Moreover, propositional independence and
 89 inclusion logic have also been studied from the perspective of parameterized complexity [?].
 90 In this paper, we further pursue the parameterized journey through the world of team logics
 91 and will visit the problems of first-order dependence $\mathcal{FO}(\text{dep})$ and independence logic $\mathcal{FO}(\perp)$.
 92 As this paper is the first one that investigates these logics from the parameterized point of



■ **Figure 1** Landscape showing relations of relevant parameterized complexity classes with machine definitions.

Flight	Destination	Gate	Date	Time
FIN-70	HEL – FI	C1	04.10.2021	09:55
SAS-475	OSL – NO	A1	04.10.2021	12:25
SAS-476	HAJ – DE	A5	04.10.2021	12:25
FIN-80	HEL – FI	C1	04.10.2021	19:55
KLM-615	ATL – USA	A5	05.10.2021	11:55
THY-159	IST – TR	A1	05.10.2021	15:55
FIN-80	HEL – FI	C1	05.10.2021	19:55

■ **Table 1** An example flight departure screen at an airport

93 view, we need to gather the existing literature and revisit many results particularly from
 94 this perspective. As a result, this paper can be seen as a systematic study with some of the
 95 result following in a straightforward manner from the known non-parameterized results and
 96 some shedding light also on the non-parameterized view of model checking.

97 We give an example below to illustrate how the concept of (in)dependence arises as a
 98 natural phenomenon in the physical world.

99 ► **Example 1.** The database in Table 1 presents a screen at an airport for showing details
 100 about departing flights. Alternatively, it can be seen as a team T over attributes in the
 101 top row as variables. Clearly, $T \models \text{dep}(\text{Flight}, \text{Date}, \text{Time}; \text{Destination}, \text{Gate})$, as well as
 102 $T \models \text{dep}(\text{Gate}, \text{Date}, \text{Time}; \text{Destination}, \text{Flight})$.

103 Whereas, $T \not\models \text{dep}(\text{Destination}, \text{Gate}; \text{Time})$ as witnessed by the pair (FIN-70, HEL
 104 – FI, C1, 04.10.2021, 09:55) and (FIN-80, HEL – FI, C1, 04.10.2021, 19:55). Moreover,
 105 $T \models \text{Gate} \perp_{\emptyset} \text{Date}$, that is, the variable Gate is independent of Date when conditioned on
 106 empty set. Finally, $T \not\models \text{Flight} \perp_{\text{Date}} \text{Time}$ as witnessed by the pair (FIN-70, HEL – FI, C1,
 107 04.10.2021, 09:55) and (SAS-475, OSL – NO, A1, 04.10.2021, 12:25).

108 **Contribution.** Our classification is two-dimensional:

- 109 1. We consider the model checking problem of $\mathcal{FO}(\text{dep})$ and $\mathcal{FO}(\perp)$ under various param-
 110 eterizations: number of split-junctions in a formula $\#\text{splits}$, the length of the formula
 111 $|\Phi|$, number of free variables $\#\text{free-variables}$, the treewidth of the structure $\text{tw}(\mathcal{A})$, the
 112 size of the structure $|\mathcal{A}|$, the size of the team $|T|$, the number of universal quantifiers in
 113 the formula $\#\forall$, the arity of the dependence atoms arity , as well as the total number of
 114 variables $\#\text{variables}$.
- 115 2. We distinguish between expression complexity ec (the input structure is fixed), data
 116 complexity dc (the formula is fixed), and combined complexity cc .

117 The results are summarized in Table 2. For instance, the parameters $\#\forall$, arity , and $\#\text{variables}$
 118 impact in lowering the complexity for ec (and not for cc or dc), while the parameter $|\mathcal{A}|$
 119 impacts for dc but not for cc or ec .

120 Besides, we proved a general result on independence logic formulas that is independent of
 121 a parameterised analysis (Lemmas 11 and 14) and can be useful in other contexts.

122 **Related work.** The parameterized complexity analyses in the propositional setting [?, ?, ?]
 123 have considered the combined complexity of model checking and satisfiability as problems
 124 of interest. On the cc -level, the picture there is somewhat different, e.g., team size as a
 125 parameter for propositional dependence logic enabled a **FPT** algorithm while in our setting
 126 it has no effect on the complexity (**paraNEXP**). Grädel [?] studied the expression and the
 127 combined complexity for $\mathcal{FO}(\text{dep})$ and $\mathcal{FO}(\perp)$ in the classical setting, whereas the data
 128 complexity was considered by Kontinen [?].

129 **Prior work.** This paper appeared in a preliminary version at the Logical Foundations of
 130 Computer Science (LFCS) 2022 Proceedings. In this version, we extend our complexity
 131 analysis to incorporate the strict and lax variant of independence logic. Lemmas 11 and 14
 132 are new results.

133 **Organization of the paper.** In Section 2, we introduce the foundational concepts of depen-
 134 dence logic as well as parameterized complexity. In Section 3 our results are presented while
 135 Section 4 concludes the article.

136 2 Preliminaries

137 We require standard notions from classical complexity theory [?]. We encounter the classical
 138 complexity classes **P**, **NP**, **PSPACE**, **NEXP** and their respective completeness notions,
 139 employing polynomial time many-one reductions (\leq_m^{P}).

140 **Parameterized Complexity Theory.** A *parameterized problem* (PP) $P \subseteq \Sigma^* \times \mathbb{N}$ is a subset
 141 of the crossproduct of an alphabet and the natural numbers. For an *instance* $(x, k) \in \Sigma^* \times \mathbb{N}$,
 142 k is called the (value of the) *parameter*. A *parameterization* is a polynomial-time computable
 143 function that maps a value from $x \in \Sigma^*$ to its corresponding $k \in \mathbb{N}$. The problem P is said
 144 to be *fixed-parameter tractable* (or in the class **FPT**) if there exists a deterministic algorithm
 145 \mathcal{A} and a computable function f such that for all $(x, k) \in \Sigma^* \times \mathbb{N}$, algorithm \mathcal{A} correctly
 146 decides the membership of $(x, k) \in P$ and runs in time $f(k) \cdot |x|^{O(1)}$. The problem P belongs
 147 to the class **XP** if \mathcal{A} runs in time $|x|^{f(k)}$ on a deterministic machine, whereas **XNP** is the
 148 non-deterministic counterpart of **XP**. Abusing a little bit of notation, we write \mathcal{C} -machine
 149 for the type of machines that decide languages in the class \mathcal{C} , and we will say a function f
 150 is “ \mathcal{C} -computable” if it can be computed by a machine on which the resource bounds of the
 151 class \mathcal{C} are imposed.

152 Also, we work with classes that can be defined via a precomputation on the parameter.

153 **► Definition 2.** Let \mathcal{C} be any complexity class. Then **paraC** is the class of all PPs $P \subseteq$
 154 $\Sigma^* \times \mathbb{N}$ such that there exists a computable function $\pi: \mathbb{N} \rightarrow \Delta^*$ and a language $L \in \mathcal{C}$ with
 155 $L \subseteq \Sigma^* \times \Delta^*$ such that for all $(x, k) \in \Sigma^* \times \mathbb{N}$ we have that $(x, k) \in P \Leftrightarrow (x, \pi(k)) \in L$.

156 Notice that **paraP** = **FPT**. The complexity classes $\mathcal{C} \in \{\text{NP}, \text{PSPACE}, \text{NEXP}\}$ are used
 157 in the **paraC** context by us.

158 A problem P is in the complexity class $\mathbf{W}[\mathbf{P}]$, if it can be decided by a NTM running
 159 in time $f(k) \cdot |x|^{O(1)}$ steps, with at most $g(k)$ -many non-deterministic steps, where f, g
 160 are computable functions. Moreover, $\mathbf{W}[\mathbf{P}]$ is contained in the intersection of \mathbf{paraNP} and \mathbf{XP}
 161 (for details see the textbook of Flum and Grohe [?]).

162 Let $c \in \mathbb{N}$ and $P \subseteq \Sigma^* \times \mathbb{N}$ be a PP, then the c -slice of P , written as P_c is defined as
 163 $P_c := \{(x, k) \in \Sigma^* \times \mathbb{N} \mid k = c\}$. Notice that P_c is a classical problem then. Observe that,
 164 regarding our studied complexity classes, showing membership of a PP P in the complexity
 165 class \mathbf{paraC} , it suffices to show that for each slice $P_c \in \mathcal{C}$ is true.

166 **► Definition 3.** Let $P \subseteq \Sigma^* \times \mathbb{N}, Q \subseteq \Gamma^* \times \mathbb{N}$ be two PPs. One says that P is fpt-reducible to
 167 Q , $P \leq^{\mathbf{FPT}} Q$, if there exists an \mathbf{FPT} -computable function $f: \Sigma^* \times \mathbb{N} \rightarrow \Gamma^* \times \mathbb{N}$ such that
 168 \blacksquare for all $(x, k) \in \Sigma^* \times \mathbb{N}$ we have that $(x, k) \in P \Leftrightarrow f(x, k) \in Q$,
 169 \blacksquare there exists a computable function $g: \mathbb{N} \rightarrow \mathbb{N}$ such that for all $(x, k) \in \Sigma^* \times \mathbb{N}$ and
 170 $f(x, k) = (x', k')$ we have that $k' \leq g(k)$.

171 Finally, in order to show that a problem P is \mathbf{paraC} -hard (for some complexity class \mathcal{C}) it is
 172 enough to prove that for some $c \in \mathbb{N}$, the slice P_c is \mathcal{C} -hard in the classical setting.

173 **Dependence and Independence Logic.** We assume basic familiarity with predicate logic [?].
 174 We consider first-order vocabularies τ that are sets of *function* symbols and *relation* symbols
 175 with an equality symbol $=$. Let VAR be a countably infinite set of *first-order variables*.
 176 Terms over τ are defined in the usual way, and the set of well-formed formulas of first order
 177 logic (\mathcal{FO}) is defined by the following BNF:

$$178 \quad \psi ::= t_1 = t_2 \mid R(t_1, \dots, t_k) \mid \neg R(t_1, \dots, t_k) \mid \psi \wedge \psi \mid \psi \vee \psi \mid \exists x \psi \mid \forall x \psi,$$

179 where t_i are terms $1 \leq i \leq k$, R is a k -ary relation symbol from σ , $k \in \mathbb{N}$, and $x \in \text{VAR}$.
 180 If ψ is a formula, then we use $\text{VAR}(\psi)$ for its set of variables, and $\text{Fr}(\psi)$ for its set of free
 181 variables. We evaluate \mathcal{FO} -formulas in τ -structures, which are pairs of the form $\mathcal{A} = (A, \tau^{\mathcal{A}})$,
 182 where A is the *domain* of \mathcal{A} (when clear from the context, we write A instead of $\text{dom}(\mathcal{A})$),
 183 and $\tau^{\mathcal{A}}$ interprets the function and relational symbols in the usual way (e.g., $t^{\mathcal{A}}\langle s \rangle = s(x)$
 184 if $t = x \in \text{VAR}$). If $\mathbf{t} = (t_1, \dots, t_n)$ is a tuple of terms for $n \in \mathbb{N}$, then we write $\mathbf{t}^{\mathcal{A}}\langle s \rangle$ for
 185 $(t_1^{\mathcal{A}}\langle s \rangle, \dots, t_n^{\mathcal{A}}\langle s \rangle)$.

186 Dependence logic ($\mathcal{FO}(\text{dep})$) extends \mathcal{FO} by dependence atoms of the form $\text{dep}(\mathbf{t}; \mathbf{u})$
 187 where \mathbf{t} and \mathbf{u} are tuples of terms. Independence logic ($\mathcal{FO}(\perp)$) is obtained by adding
 188 to \mathcal{FO} the independence atoms of the form $\mathbf{t} \perp_{\mathbf{v}} \mathbf{u}$ for tuples \mathbf{t}, \mathbf{u} and \mathbf{v} of terms. We call
 189 expressions of the kind $t_1 = t_2, R(\mathbf{t}), \text{dep}(\mathbf{t}; \mathbf{u})$, and $\mathbf{t} \perp_{\mathbf{v}} \mathbf{u}$ *atomic formulas*.

190 The semantics is defined through the concept of a team. Let \mathcal{A} be a structure and
 191 $X \subseteq \text{VAR}$, then an *assignment* s is a mapping $s: X \rightarrow A$.

192 **► Definition 4.** Let $X \subseteq \text{VAR}$. A team T in \mathcal{A} with domain X is a set of assignments
 193 $s: X \rightarrow A$.

194 For a team T with domain $X \supseteq Y$ define its *restriction* to Y as $T \upharpoonright Y := \{s \upharpoonright Y \mid s \in T\}$.
 195 If $s: X \rightarrow A$ is an assignment and $x \in \text{VAR}$ is a variable, then $s_a^x: X \cup \{x\} \rightarrow A$ is the
 196 assignment that maps x to a and $y \in X \setminus \{x\}$ to $s(y)$. Let T be a team in \mathcal{A} with domain
 197 X . Then any function $f: T \rightarrow \mathcal{P}(A) \setminus \{\emptyset\}$ can be used as a *supplementing function* of T to
 198 extend or modify T to the *supplemented team* $T_f^x := \{s_a^x \mid s \in T, a \in f(s)\}$. For the case
 199 $f(s) = A$ is the constant function we simply write $T_{\mathcal{A}}^x$ for T_f^x . The semantics of formulas is
 200 defined as follows.

201 ► **Definition 5.** Let τ be a vocabulary, \mathcal{A} be a τ -structure and T be a team over \mathcal{A} with
 202 domain $X \subseteq \text{VAR}$. Then,

203	$(\mathcal{A}, T) \models t_1 = t_2$	iff	$\forall s \in T : t_1^{\mathcal{A}}\langle s \rangle = t_2^{\mathcal{A}}\langle s \rangle$
204	$(\mathcal{A}, T) \models R(t_1, \dots, t_n)$	iff	$\forall s \in T : (t_1^{\mathcal{A}}\langle s \rangle, \dots, t_n^{\mathcal{A}}\langle s \rangle) \in R^{\mathcal{A}}$
205	$(\mathcal{A}, T) \models \neg R(t_1, \dots, t_n)$	iff	$\forall s \in T : (t_1^{\mathcal{A}}\langle s \rangle, \dots, t_n^{\mathcal{A}}\langle s \rangle) \notin R^{\mathcal{A}}$
206	$(\mathcal{A}, T) \models \text{dep}(\mathbf{t}; \mathbf{u})$	iff	$\forall s_1, s_2 \in T : \mathbf{t}^{\mathcal{A}}\langle s_1 \rangle = \mathbf{t}^{\mathcal{A}}\langle s_2 \rangle \implies \mathbf{u}^{\mathcal{A}}\langle s_1 \rangle = \mathbf{u}^{\mathcal{A}}\langle s_2 \rangle$
207	$(\mathcal{A}, T) \models \mathbf{t} \perp_{\mathbf{v}} \mathbf{u}$	iff	$\forall s_1, s_2 \in T : \mathbf{v}^{\mathcal{A}}\langle s_1 \rangle = \mathbf{v}^{\mathcal{A}}\langle s_2 \rangle$ then $\exists s_3 \in T :$ 208 $\mathbf{v}^{\mathcal{A}}\langle s_3 \rangle = \mathbf{v}^{\mathcal{A}}\langle s_1 \rangle$ and $\mathbf{u}^{\mathcal{A}}\langle s_3 \rangle = \mathbf{u}^{\mathcal{A}}\langle s_2 \rangle$
209	$(\mathcal{A}, T) \models \phi_0 \wedge \phi_1$	iff	$(\mathcal{A}, T) \models \phi_0$ and $(\mathcal{A}, T) \models \phi_1$
210	$(\mathcal{A}, T) \models \phi_0 \vee \phi_1$	iff	$\exists T_0 \exists T_1 : T_0 \cup T_1 = T$ and $(\mathcal{A}, T_i) \models \phi_i$ for $i = 0, 1$
211	$(\mathcal{A}, T) \models \exists x \phi$	iff	$(\mathcal{A}, T_f^x) \models \phi$ for some $f : T \rightarrow \mathcal{P}(A) \setminus \{\emptyset\}$
212 213	$(\mathcal{A}, T) \models \forall x \phi$	iff	$(\mathcal{A}, T_{\mathcal{A}}^x) \models \phi$

214 Notice that we only consider formulas in negation normal form (NNF). In the team
 215 semantics setting, disjunction and existential quantifier are given two different meanings. The
 216 above defined semantics is the so-called *lax*-semantics, whereas an alternative is the *strict*-
 217 semantics. In strict-semantics, the split of teams have to be disjoint and the supplementing
 218 function is replaced by a function $f : T \rightarrow A$. That is, the function f assigns a single element
 219 $a \in A$ to each $s \in T$. For dependence logic, the two semantics coincide due to the downwards
 220 closure property. That is, for any $\mathcal{FO}(\text{dep})$ -formula ϕ , if $(\mathcal{A}, T) \models \phi$ then $(\mathcal{A}, P) \models \phi$ for
 221 every $P \subseteq T$. For this reason we only consider lax semantics for $\mathcal{FO}(\text{dep})$. Further note
 222 that $(\mathcal{A}, T) \models \phi$ for all ϕ when $T = \emptyset$ (this is also called the *empty team property*). Finally,
 223 $\mathcal{FO}(\text{dep})$ -formulas are *local*, that is, for a team T in \mathcal{A} over domain X and a $\mathcal{FO}(\text{dep})$ -formula
 224 ϕ , we have that $(\mathcal{A}, T) \models \phi$ if and only if $(\mathcal{A}, T \upharpoonright \text{Fr}(\phi)) \models \phi$. $\mathcal{FO}(\perp)$ -formulas are also local
 225 under lax-semantics but not under strict-semantics [?, Prop. 4.7]. Notice that strict-semantics
 226 is relatively stricter (as the name suggest) than the lax-semantics [?]. That is, for every
 227 $\mathcal{FO}(\perp)$ -formula ϕ , if $(\mathcal{A}, T) \models_s \phi$ then $(\mathcal{A}, T) \models_\ell \phi$, where the subscript s and ℓ indicates
 228 the choice of the semantics. As a consequence, our hardness results for lax-semantics also
 229 apply to the case of strict-semantics. However, for membership we need to consider them
 230 separately for each case.

231 ► **Definition 6 (Gaifman graph).** Given a vocabulary τ and a τ -structure \mathcal{A} , the Gaifman
 232 graph $G_{\mathcal{A}} = (A, E)$ of \mathcal{A} is defined as

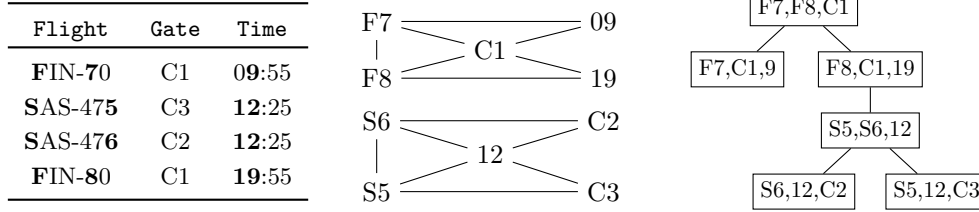
$$233 \quad E := \{ \{u, v\} \mid \text{if there is an } R^n \in \tau \text{ and } \mathbf{a} \in A^n \text{ with } R^{\mathcal{A}}(\mathbf{a}) \text{ and } u, v \in \mathbf{a} \}.$$

235 That is, there is a relation $R \in \tau$ of arity n such that u and v appear together in $R^{\mathcal{A}}$.

236 Intuitively, the Gaifman graph of a structure \mathcal{A} is an undirected graph with the universe
 237 of \mathcal{A} as vertices and connects two vertices when they share a tuple in a relation (see also
 238 Fig. 2).

239 ► **Definition 7 (Treewidth).** The tree decomposition of a given graph $G = (V, E)$ is a tree
 240 $T = (B, E_T)$, where the vertex set $B \subseteq \mathcal{P}(V)$ is the collection of bags and E_T is the edge
 241 relation such that the following is true.

- 242 ■ $\bigcup_{b \in B} b = V$,
- 243 ■ for every $\{u, v\} \in E$ there is a bag $b \in B$ with $u, v \in b$, and
- 244 ■ for all $v \in V$ the restriction of T to v (the subset with all bags containing v) is connected.



■ **Figure 2** An \mathcal{FO} -structure $\mathcal{A} = (A, S^{\mathcal{A}}, R^{\mathcal{A}})$ (Left) with the Gaifman graph $G_{\mathcal{A}}$ (Middle) and a possible treedecomposition of $G_{\mathcal{A}}$ (Right) of Example 8. For brevity, universe elements are written in short forms.

245 The width of a given tree decomposition $T = (B, E_T)$ is the size of the largest bag minus one:
 246 $\max_{b \in B} |b| - 1$. The treewidth of a given graph G is the minimum over all widths of tree
 247 decompositions of G .

248 Observe that if G is a tree then the treewidth of G is one. Intuitively, one can say that
 249 treewidth accordingly is a measure of tree-likeness of a given graph.

250 ► **Example 8.** Consider the database from our previous example. Recall that the universe A
 251 consists of entries in each row. Let $\tau = \{S^2, R^3\}$ include a binary relation S ($S(x, y)$: flights
 252 x and y are owed by the same company) and a ternary relation R ($R(x, y, z)$: the gate x is
 253 reserved by the flight y at time z). For simplicity, we only consider first four rows with the
 254 corresponding three columns from Table 4, see Figure 2 for an explanation. Since the largest
 255 bag size in our decomposition is 3, the treewidth of this decomposition is 2. Furthermore,
 256 the presence of cycles of length 3 suggests that there is no better decomposition. As a
 257 consequence the given structure has treewidth 2.

258 The decision problem to determine whether the treewidth of a given graph $\mathcal{G} = (V, E)$ is
 259 at most k , is **NP**-complete [?]. See Bodlaender’s Guide [?] for an overview of algorithms
 260 that compute tree decompositions. When considering the parameter treewidth, one usually
 261 assumes it as a given value and does not need to compute it.

262 In the following problem definitions let $\mathcal{C} \in \{\mathcal{FO}(\text{dep}), \mathcal{FO}(\perp)\}$. We consider only the
 263 model checking problem (MC) and two variants in this paper. First, let us define the most
 264 general version.

Problem:	$\text{cc}(\mathcal{C})$ (combined complexity of model checking)
Input:	a structure \mathcal{A} , team T and a \mathcal{C} -formula Φ .
Question:	$(\mathcal{A}, T) \models \Phi?$

266 We further consider the following two variants of the model checking problem.

Problem:	$\text{dc}(\mathcal{C})$ (data complexity of model checking, \mathcal{C} -formula Φ is fixed)
Input:	a structure \mathcal{A} , team T .
Question:	$(\mathcal{A}, T) \models \Phi?$

Problem:	$\text{ec}(\mathcal{C})$ (expression complexity of model checking, \mathcal{A}, T are fixed)
Input:	a \mathcal{C} -formula Φ .
Question:	$(\mathcal{A}, T) \models \Phi?$

268

Parameter	cc	dc	ec
#splits	paraPSPACE-hard ^{L25}	paraNP ^{L20}	paraPSPACE-hard ^{L25}
$ \Phi $	paraNP ^{L26}	paraNP ^{R21}	FPT ²⁷
#free-variables	paraNEXP ^{L24}	paraNP ^{L20}	paraNEXP ^{L24}
$\text{tw}(\mathcal{A})$	paraNEXP ^{L24}	paraNP ^{P19}	paraNEXP ^{L24}
$ \mathcal{A} $	paraNEXP ^{L24}	FPT ^{L22}	paraNEXP ^{L24}
$ T $	paraNEXP ^{L24}	paraNP ^{L23}	paraNEXP ^{L24}
$\#\forall$	paraNP-hard ^{L30}	paraNP ^{L20}	paraNP ^{L28}
arity	paraPSPACE-hard ^{L33}	paraNP ^{L20}	paraPSPACE ^{L31}
#variables	paraNP ^{L35}	paraNP ^{L20}	FPT ^{L36}

■ **Table 2** Complexity classification overview for both logics. The numbers in the exponent point to the corresponding result (Lx means Lemma x , Px means Proposition x , Rx means Remark x). Fig. 3 on page 18 is a graphical presentation of this table with a different angle.

269 **List of Parameterizations.** Now let us turn to the parameters that are under investigation
270 in this paper. We study the model checking problem of \mathcal{C} under nine various parameters
271 that naturally occur in an MC-instance. Let $\langle \mathcal{A}, T, \Phi \rangle$ be an instance of MC, where Φ is
272 a \mathcal{C} -formula, \mathcal{A} is a structure and T is a team over \mathcal{A} . The parameter #splits denotes the
273 number of occurrences of the split operator (\vee), $\#\forall$ is the number of universal quantifiers in
274 Φ . Moreover, #variables (resp., #free-variables) denotes the total number of (free) variables
275 in Φ . The parameter $|\Phi|$ is the size of the input formula Φ , and similarly the two other size
276 parameters are $|\mathcal{A}|$ and $|T|$. The treewidth of the structure \mathcal{A} (see Def. 7) is defined as the
277 treewidth of $G_{\mathcal{A}}$ and denoted by $\text{tw}(\mathcal{A})$. Note that for formulas using the dependence atom
278 $\text{dep}(\mathbf{x}; \mathbf{y})$, one can translate to a formula using only dependence atoms where $|\mathbf{y}| = 1$ (via
279 conjunctions). That is why the arity of a dependence atom $\text{dep}(\mathbf{x}; \mathbf{y})$ is defined as $|\mathbf{x}|$. The
280 arity of an independence atom $\mathbf{x} \perp_{\mathbf{z}} \mathbf{y}$ is defined as $|\mathbf{x} \cup \mathbf{y} \cup \mathbf{z}|$. Finally, arity is the maximum
281 arity of any dependence (independence) atom in Φ . Let k be any parameterization and
282 $P \in \{\text{dc}, \text{ec}, \text{cc}\}$, then by k - P we denote the problem P when parameterized by k . If more
283 than one parameterization is considered, then we use ‘+’ as a separator and write these
284 parameters in brackets, e.g., $(|\Phi| + \#\text{free-variables})\text{-dc}$ as the problem dc with parameterization
285 $|\Phi| + \#\text{free-variables}$. Finally, notice that since the formula Φ is fixed for dc this implies that
286 $|\Phi|\text{-dc}$ is nothing but dc. That is, bounding the parameter does not make sense for dc as the
287 problem dc remains NP-complete.

288 3 Complexity results

289 We begin by proving several relationships between various parameterizations. These results
290 are true for both $\mathcal{FO}(\text{dep})$ and $\mathcal{FO}(\perp)$.

291 ► **Lemma 9.** *Let Φ be a \mathcal{C} -formula, \mathcal{A} be a structure and T a team over \mathcal{A} . Then the
292 following relations among parameters hold.*

- 293 1. $|\Phi| \geq k$ for any $k \in \{\#\text{splits}, \#\forall, \text{arity}, \#\text{free-variables}, \#\text{variables}\}$,
- 294 2. $|\mathcal{A}| \geq \text{tw}(\mathcal{A})$. Moreover, for dc, $|\mathcal{A}|^{O(1)} \geq |T|$,
- 295 3. For ec, #free-variables is constant.

296 **Proof. 1.** Clearly, the size of the formula limits all parts of it including the parameters
297 mentioned in the list.

	x	y	z	t	u
s_0	0	0	0	1	1
s_1	0	1	1	0	1
s_2	1	1	0	1	0
s_3	1	0	0	1	1
s_4	0	1	0	1	0
s_5	0	1	0	0	1
s_6	0	0	1	1	1

■ **Table 3** An example team that satisfies the formula in Example 10 in lax-semantics, but not in strict-semantics.

298 2. Notice that for data complexity, the formula Φ and consequently the number of free
 299 variables in Φ is fixed. Moreover, due to locality principle it holds that $T \subseteq A^r$, where r
 300 is the number of free variables in Φ . That is, the team T can be considered only over the
 301 free variables of Φ . This implies that teamsize is polynomially bounded by the universe
 302 size, as $|T| \leq |\mathcal{A}|^r$. Notice that $\mathcal{FO}(\perp)$ with strict-semantics does not satisfy locality.
 303 Consequently, the aforementioned proof works for $\mathcal{FO}(\text{dep})$ -formulas, but only for lax
 304 semantics in the context of $\mathcal{FO}(\perp)$ -formulas.

305 Finally, the result for $\text{tw}(\mathcal{A})$ follows due to Definition 7. This is due to the reason that
 306 in the worst case all universe elements belong to one bag in the decomposition and
 307 $\text{tw}(\mathcal{A}) = |\mathcal{A}| - 1$.

308 3. Notice that the team T is fixed in ec. This implies that the domain of T (which contains
 309 the set of free variables in the formula Φ) is also fixed and as a result, $\#\text{free-variables}$ is
 310 constant. ◀

311 As discussed before, $\mathcal{FO}(\text{dep})$ -formulas are local in the sense that: given a team T and
 312 a formula Φ then $T \models \Phi$ iff $T \upharpoonright_{\text{VAR}(\Phi)} \models \Phi$. Moreover, $\mathcal{FO}(\perp)$ -formulas are also local but
 313 only under lax-semantics. The locality fails for strict semantics due to the reason that there
 314 might exist two assignments $s, t \in T$ such that $s \neq t$ and $s(v) = t(v)$ for each $v \in \text{VAR}(\Phi)$. If
 315 we restrict T to $\text{VAR}(\Phi)$ then s and t collapse into just one assignment restricting the ways
 316 in which a team can be split into two disjoint parts.

317 ▶ **Example 10.** Consider the formula $\phi = (x \perp y \wedge z \neq t) \vee (y \perp z \wedge x \neq u)$ and the team T
 318 as depicted in Table 3. Clearly, $\{s_0, s_1, s_2, s_3, s_4\} \models x \perp y \wedge z \neq t$ and $\{s_0, s_1, s_2, s_5, s_6\} \models$
 319 $y \perp z \wedge x \neq u$, thereby $T \models_{\ell} \phi$. Whereas, s_3, s_4 must be in the left split and s_5, s_6 must be in
 320 the right split. Moreover, we can add s_2 to the left split and s_1 to the right. Now, s_1 must
 321 be in both splits in order for the independence atoms to be true but this is not allowed in
 322 strict semantics.

323 As Example 10 depicts, the question whether $T \models \Phi$ cannot be reduced to the question
 324 whether $T \upharpoonright_{\text{VAR}(\Phi)}$ in the strict-semantics. As a consequence, for $\mathcal{FO}(\perp)$ -formulas under
 325 strict semantics when T is part of the input the size of T cannot be directly bounded by other
 326 parameters. However, when $|\mathcal{A}| = k$ is the parameter and $|\Phi|$ is fixed (for data complexity),
 327 the following lemma applies.

328 ▶ **Lemma 11.** *Let Φ be an $\mathcal{FO}(\perp)$ -formula with $\text{VAR}(\Phi) = V$, \mathcal{A} be a structure and T be a
 329 team in \mathcal{A} over variables X . Then it is possible to construct in time polynomial in the size
 330 of Φ , \mathcal{A} and T a formula Φ' , a structure \mathcal{A}' and a team T' over $V \cup \{z\}$, where $z \notin V$, such
 331 that $(\mathcal{A}, T) \models_s \Phi$ iff $(\mathcal{A}', T') \models_s \Phi'$.*

332 **Proof.** The idea is to simulate the multiplicity of assignments in $s \in T \upharpoonright_V$ by an additional
 333 variable z . Let ℓ be the the largest multiplicity of any assignment $s \in T \upharpoonright_V$. That is, let
 334 $\ell_s = \#\{t \mid t \in T \text{ and } t \upharpoonright_V = s\}$ and $\ell = \max\{\ell_s \mid s \in T\}$. In order to count up assignments in
 335 T' we add ℓ additional elements to \mathcal{A}' . This can be problematic for quantifiers in Φ as those
 336 now range over elements in \mathcal{A}' rather than elements of \mathcal{A} . We avoid this by adding a unary
 337 relation symbol P such that $P^{\mathcal{A}'}$ is true only for these new elements. Let $\{a_1, \dots, a_\ell\}$ be a
 338 collection of fresh elements and consider the structure $\mathcal{A}' = (\mathcal{A} \cup \{a_1, \dots, a_\ell\}, P^{\mathcal{A}'})$ where P
 339 is a unary relation as described above. First we construct the team T' from T by considering
 340 each collection $s_1^i, \dots, s_{r_i}^i \in T$ of assignments that agree over V and extending it in such a
 341 way that $s_j^i(z) = a_j$. Clearly, $j \leq \ell$ by construction. Notice that $\ell \leq |T|$ and therefore, the
 342 construction can be achieved in polynomial time. Moreover, $|T| = |T'|$. Now we construct
 343 the formula Φ' from Φ . It suffices to replace only the quantifiers. That is, $\forall x\psi$ is replaced by
 344 $\forall x(P(x) \vee (\neg P(x) \wedge \psi'))$ and $\exists x\psi$ is replaced by $\exists x(\neg P(x) \wedge \psi')$. The intuition for universal
 345 quantifier is that once each assignment in T' have been supplemented by \mathcal{A}' , we ignore those
 346 assignments which map x to $\{a_1, \dots, a_\ell\}$ because the quantified variable x in Φ ranges over
 347 elements of \mathcal{A} alone. Similarly, for the case of existential quantifiers we assure that the
 348 supplementing function takes values only over \mathcal{A} and not over \mathcal{A}' .

349 Now we prove the correctness by an induction on Φ for all T and T' as above. The case
 350 when Φ is a literal is easy because atomic formulas and their negations satisfy locality in both
 351 semantics. When $\Phi = \psi_0 \wedge \psi_1$, then the claim follows due to the induction hypothesis. Now
 352 we prove the claim for $\Phi = \psi_0 \vee \psi_1$. Clearly, $(\mathcal{A}, T) \models_s \Phi$ iff $\exists T_0 T_1$ such that $T_0 \uplus T_1 = T$
 353 (that is, $T_0 \cup T_1 = T, T_0 \cap T_1 = \emptyset$) and $(\mathcal{A}, T_i) \models_s \psi_i$ for $i = 0, 1$. But we can use subteams
 354 T_i to construct subteams T'_i of T' such that $T'_0 \cup T'_1 = T', T'_0 \cap T'_1 = \emptyset$ and $(\mathcal{A}', T'_i) \models_s \psi'_i$
 355 by induction hypothesis. This is due to the reason that $|T| = |T'|$ and there is a 1-1-
 356 correspondence ($g: T \rightarrow T'$) between T and T' . Consequently, the claim follows. Now, let
 357 $\Phi = \exists x\phi$. Then there is a function $f: T \rightarrow A$ such that $(\mathcal{A}, T_f^x) \models_s \phi$. But then consider
 358 the function $f': T' \rightarrow A'$ such that for each $s \in T'$, $f'(s) = f(g^{-1}(s))$. Clearly, $f'(s) \in A$
 359 and $(\mathcal{A}', T_{f'}^x) \models_s \exists x(\neg P(x) \wedge \phi')$ and consequently $(\mathcal{A}', T') \models_s \Phi'$. The reverse direction
 360 follows a similar argument since $(\mathcal{A}', T') \models_s \exists x(\neg P(x) \wedge \phi')$ implies that the supplementing
 361 function $f': T' \rightarrow A'$ is allowed to take only elements in A because of the subformula $\neg P(x)$.
 362 This together with the bijection g gives a supplementing function f such that $(\mathcal{A}, T_f^x) \models_s \phi$.
 363 Finally, the case when $\Phi = \forall x\phi$ is similar. \blacktriangleleft

364 We extract the following definition from the proof of Lemma 11.

365 **► Definition 12.** *Let T be a team, V be a set of variables, and $s \in T$ be an assignment.*
 366 *Then define $\ell_s = |\{t \mid t \in T \text{ and } t \upharpoonright_V = s\}|$ as the multiplicity of s .*

367 It is important to notice that the number ℓ of repeating assignments is neither bounded
 368 by \mathcal{A} nor by $|\Phi|$ but by the multiplicity of assignments in T . It turns out that we can not
 369 directly bound the teamsize by the structure size and the size of the formula alone. However,
 370 with the following observation we can still achieve an upper bound. The idea is to determine
 371 the maximum multiplicity of each assignment required to evaluate a subformula in Φ , where
 372 we count the multiplicity with respect to $\text{Fr}(\Phi)$ rather than only with respect to the variables
 373 in subformulas $\phi \in \text{SF}(\Phi)$. That is, we do not restrict the multiplicity of assignments with
 374 respect to $\text{VAR}(\phi)$ because $\text{Fr}(\Phi)$ suffices for our purpose. Intuitively, for an atomic $\phi \in \text{SF}(\Phi)$
 375 it is enough to consider each assignment over $\text{Fr}(\Phi)$ only once. The case of conjunction
 376 is simple because the team is the same for both conjuncts and therefore it is enough to
 377 take the maximum multiplicity for assignments in any conjunct. The interesting cases are
 378 split junction and the existential quantifier. If a subformula ϕ_i requires the multiplicity of

379 an assignment s to be r_i for $i = 0, 1$, then clearly $\phi_0 \vee \phi_1$ requires the multiplicity of s to
 380 be $r_0 + r_1$. This is due to the reason that the considered subteam P for $\phi_0 \vee \phi_1$ can then
 381 split (according to the strict semantics) into subteams P_1 and P_2 with their corresponding
 382 multiplicities. Moreover, for $\exists x\phi$ the analysis takes into consideration the worst case scenario.
 383 That is, where the supplementing function for a strict existential quantifier takes only one
 384 value for x . In the worst case, there may be so many assignments that x can take each
 385 element a of the universe. As a consequence, the multiplicity of assignments increases by $|\mathcal{A}|$
 386 (in principle, this can increase to $\min\{\ell, |\mathcal{A}|\}$ but we want to relate it with $|\mathcal{A}|$). Finally, the
 387 case of $\forall x\phi$ is simple because the supplementing function will map x to each element of the
 388 universe under each assignment.

389 ► **Definition 13.** Let Φ be an $\mathcal{FO}(\perp)$ -formula with $\text{VAR}(\Phi) = V$, \mathcal{A} be a structure and T be
 390 a team with domain $X \supseteq V$. Define the function $f_{\#}: \text{SF}(\Phi) \rightarrow \mathbb{N}$ such that

- 391 1. $f_{\#}(\phi) = 1$ for each atomic ϕ ,
- 392 2. $f_{\#}(\phi \wedge \psi) = \max\{f_{\#}(\phi), f_{\#}(\psi)\}$,
- 393 3. $f_{\#}(\phi \vee \psi) = f_{\#}(\phi) + f_{\#}(\psi)$,
- 394 4. $f_{\#}(\exists x\phi) = f_{\#}(\phi) + |\mathcal{A}|$,
- 395 5. $f_{\#}(\forall\phi) = f_{\#}(\phi)$.

396 The value $f_{\#}(\phi)$ assigns the maximum multiplicity of any assignment in a team T that
 397 might be required to evaluate $T \models \phi$.

398 ► **Example 14.** Consider a team T and the formula $\Phi := \exists x\forall y[\phi_2(x, y, z) \wedge (\phi_0(x, y, z) \vee$
 399 $\phi_1(x, y))]$ where ϕ_i is atomic for each $i \leq 2$. This implies each ϕ_1 is local and therefore
 400 $f_{\#}(\phi_i) = 1$. Moreover, $f_{\#}(\phi_0 \vee \phi_1) = 2$, $f_{\#}(\phi_1 \wedge (\phi_0 \vee \phi_1)) = 2$ and $f_{\#}(\forall y\phi_1 \wedge (\phi_0 \vee \phi_1)) = 2$.
 401 Finally, $f_{\#}(\Phi) = 2 + s$ where $s = \min\{\ell, |\mathcal{A}|\}$ and ℓ is the maximum multiplicity of any
 402 assignment $s \in T \upharpoonright_V$.

403 The following lemma is essential in bounding the teamsize for data complexity of $\mathcal{FO}(\perp)$
 404 under strict-semantics in terms of $|\mathcal{A}|$.

405 ► **Lemma 15.** Let Φ be an $\mathcal{FO}(\perp)$ -formula with $\text{VAR}(\Phi) = V$, $\#\text{splits}(\Phi) = r$, $\#\exists(\Phi) = q$,
 406 \mathcal{A} be a structure and T be a team in \mathcal{A} over X . Then the following two claims are true:

- 407 1. $f_{\#}(\Phi) \leq (r + 1) + q \cdot |\mathcal{A}|$.
- 408 2. Let $T' \subseteq T$ be a team such that in T' each assignment $s \in T \upharpoonright_V$ has a multiplicity of at
 409 most $f_{\#}(\Phi)$. Then, we have that $(\mathcal{A}, T) \models_s \Phi$ iff $(\mathcal{A}, T') \models_s \Phi$. Furthermore, such a team
 410 T' can be computed in polynomial time in $|T|$.

411 **Proof.** The claim that $f_{\#}(\Phi) \leq (r + 1) + q \cdot |\mathcal{A}|$ is easy to observe since $f_{\#}(\phi)$ only changes
 412 when $\phi = \psi_0 \vee \psi_1$ or $\phi = \exists x\psi$. In the first case, we take the sum for each split and in the
 413 second case, we add a factor of $|\mathcal{A}|$ for each existential quantifier.

To prove the second claim, notice first that if each assignment $s \in T \upharpoonright_V$ has already a
 multiplicity of at most $f_{\#}(\Phi)$ then there is nothing to prove and we take $T' = T$. Now, we
 show using induction on Φ that for all T', T satisfying for each assignment $s \in T \upharpoonright_V$ that
 either s has the same multiplicity, or a multiplicity of at least $f_{\#}(\Phi)$ in both of them, this
 implies that

$$(\mathcal{A}, T) \models \Phi \Leftrightarrow (\mathcal{A}, T') \models \Phi.$$

414 If Φ is an atomic or negated atomic formula then the claim follows from the fact that
 415 $T \upharpoonright_V = T' \upharpoonright_V$. Assume then that $\Phi = \psi_1 \vee \psi_2$ and T and T' satisfy the assumption on the
 416 number of extensions of assignments for $f_{\#}(\Phi) = n$. Then, $(\mathcal{A}, T) \models_s \Phi$ iff $\exists T_0, \exists T_1$, such
 417 that $T_0 \uplus T_1 = T$ and $(\mathcal{A}, T_i) \models_s \psi_i$ for $i = 0, 1$. It is now straightforward to check that

418 we can define a partition of T' into T'_1 and T'_2 such that for all $s \in T_i \upharpoonright_V$ either s has the
 419 same multiplicities in T_i and T'_i , or multiplicities of at least $f_{\#}(\psi_i)$ in both of them. By
 420 the induction assumption it follows that $(\mathcal{A}, T'_i) \models \psi_i$. The converse implication is proved
 421 symmetrically. The other connectives can be treated in the same way. \blacktriangleleft

422 Lemma 14 results in bounding the size of an input team T by a constant factor of a polynomial
 423 in $|\mathcal{A}|$. The following corollary essentially provides the counterpart of second item in Lemma 9
 424 for strict semantics of $\mathcal{FO}(\perp)$.

425 **► Corollary 16.** *Let Φ be an $\mathcal{FO}(\perp)$ -formula with $\text{VAR}(\Phi) = V$, $\#\text{splits}(\Phi) = r$, $\#\exists(\Phi) = q$,
 426 \mathcal{A} be a structure and T be a team in \mathcal{A} over variables X . Then there is a team T' with
 427 $|T'| \leq (r + 1 + q \cdot |\mathcal{A}|) \cdot |T \upharpoonright_V|$ such that $T \models_s \Phi$ iff $T' \models_s \Phi$.*

428 **Proof.** For each assignment $s \in T \upharpoonright_V$, it is enough to consider at most $f_{\#}(\Phi)$ extensions of
 429 s . This yields the desired bound on the size of T' . \blacktriangleleft

430 **► Remark 17.** If the number of free variables ($\#\text{free-variables}$) in a formula Φ is bounded
 431 then the total number of variables ($\#\text{variables}$) is not necessarily bounded, on the other hand,
 432 bounding $\#\text{variables}$ also bounds $\#\text{free-variables}$.

433 Now we explore the relationship between $\mathcal{FO}(\text{dep})$ and $\mathcal{FO}(\perp)$ which is essential in proving
 434 hardness results for $\mathcal{FO}(\perp)$.

435 **► Observation 18.** *The equivalence $\text{dep}(\mathbf{x}; \mathbf{y}) \equiv \mathbf{y} \perp_{\mathbf{x}} \mathbf{y}$ between dependence and independence
 436 atoms implies $\mathcal{FO}(\text{dep})$ can be viewed as a sublogic of $\mathcal{FO}(\perp)$. As a consequence, (in the
 437 classical setting) the hardness results for $\mathcal{FO}(\text{dep})$ immediately translate to those for $\mathcal{FO}(\perp)$.*

438 Nevertheless, in the parameterized setting, one has to further check whether this translation
 439 ‘respects’ the parameter value of the two instances. In our analysis, this concerns parameters
 440 arity and $|\Phi|$ because these are the only two parameters that change when we replace a
 441 dependence atom with an equivalent independence atom. Recall that a dependence atom
 442 $\text{dep}(\mathbf{x}; \mathbf{y})$ has arity $|\mathbf{x}|$, whereas, the equivalent independence atom $\mathbf{y} \perp_{\mathbf{x}} \mathbf{y}$ has arity $|\mathbf{x} \cup \mathbf{y}|$.
 443 In general one assumes that only dependence atoms of the form $\text{dep}(\mathbf{x}; \mathbf{y})$ can appear in
 444 a $\mathcal{FO}(\text{dep})$ -formula which increases the arity by one. However, we do not restrict ourself
 445 to these atoms and prove that the reductions presented for the hardness of $\mathcal{FO}(\text{dep})$ when
 446 parameterised by arity and $|\Phi|$ can be easily adapted to the case of $\mathcal{FO}(\perp)$. For arity , in the
 447 given reductions we will argue that replacing every dependence atom by independence atoms
 448 increases the arity only by a constant factor. For $|\Phi|$, we use the following observation.

449 **► Remark 19.** Let Φ be a $\mathcal{FO}(\text{dep})$ -formula and Φ' be the $\mathcal{FO}(\perp)$ -formula obtained after
 450 replacing every dependence atom by an independence atom. Then, for any reasonable
 451 encoding of formulas we have that $|\Phi'| \leq \#\text{atoms} \cdot |\Phi|^2$, where $\#\text{atoms}$ denotes the number
 452 of dependence atoms in Φ and $\#\text{atoms}(\Phi) \leq |\Phi|$.

453 That is, replacing a dependence atom $\text{dep}(\mathbf{x}; \mathbf{y})$ by an independence atom $\mathbf{y} \perp_{\mathbf{x}} \mathbf{y}$ in Φ increases
 454 the size by $|\mathbf{y}| \leq |\Phi|$. Consequently, we have $|\Phi'| \leq |\Phi|^3$, and the hardness results for $\mathcal{FO}(\perp)$
 455 when parameterized by $|\Phi|$ follow from the corresponding cases for $\mathcal{FO}(\text{dep})$.

456 3.1 Data complexity (dc)

457 Classically, the data complexity of model checking for a fixed \mathcal{C} -formula Φ is **NP**-complete [?,
 458 ?].

$x = \text{'variable'}$	$y = \text{'parity'}$	$u = \text{'clause'}$	$v = \text{'position'}$
p_1	1	1	0
p_2	0	1	1
p_3	0	1	2

■ **Table 4** An example team for $(p_1 \vee \neg p_2 \vee \neg p_3)$

459 ▶ **Proposition 20.** *For a fixed \mathcal{C} -formula, the problem whether an input structure \mathcal{A} and a*
 460 *team T satisfies the formula is **NP**-complete. That is, the data complexity of dependence*
 461 *and independence logic is **NP**-complete.*

462 In this section we prove that none of the considered parameter lowers this complexity,
 463 except $|\mathcal{A}|$. The proof relies on the fact that the complexity of model checking for already a
 464 very simple formula (see below) is **NP**-complete.

465 ▶ **Lemma 21.** *Let $k \in \{\#\text{splits}, \#\text{free-variables}, \#\text{variables}, \#\forall, \text{arity}, \text{tw}(\mathcal{A})\}$. Then the prob-*
 466 *lem $k\text{-dc}(\mathcal{C})$, is **paraNP**-c.*

467 **Proof.** The upper bound follows from Proposition 19. Kontinen [?, Theorem 4.9] proves that
 468 the data complexity for a fixed $\mathcal{FO}(\text{dep})$ -formula of the form $\text{dep}(x; y) \vee \text{dep}(u; v) \vee \text{dep}(u; v)$ is
 469 already **NP**-complete. For clarity, we briefly sketch the reduction presented by Kontinen [?].
 470 Let $\phi = \bigwedge_{i \leq m} (\ell_{i,1} \vee \ell_{i,2} \vee \ell_{i,3})$ be an instance of 3-SAT. Consider the structure \mathcal{A} over the
 471 empty vocabulary, that is, $\tau = \emptyset$. Let $A = \text{Var}(\phi) \cup \{0, 1, \dots, m\}$. The team T is constructed
 472 over variables $\{x, y, u, v\}$ that take values from A . As an example, the clause $(p_1 \vee \neg p_2 \vee \neg p_3)$
 473 gives rise to assignments in Table 4. Notice that, a truth assignment θ for ϕ is constructed
 474 using the division of T according to each split. That is, $T \models \text{dep}(x; y) \vee \text{dep}(u; v) \vee \text{dep}(u; v)$
 475 if and only if $\exists P_0, P_1, P_2$ such that $\cup_i P_i = T$ for $i \leq 2$ and each P_i satisfies i th dependence
 476 atom. Let P_0 be such that $P_0 \models \text{dep}(x; y)$, then we let $\theta(p_j) = 1 \iff \exists s \in P_0$, s.t. $s(x) = p_j$
 477 and $s(y) = 1$. That is, one literal in each clause must be chosen in such a way that satisfies
 478 this clause, whereas, the remaining two literals per each clause are allowed to take values
 479 that does not satisfy it. As a consequence, each clause is satisfied by the variables chosen in
 480 this way, which proves correctness.

481 This implies that the 2-slice (for $\#\text{splits-dc}$), 4-slice (for $\#\text{free-variables-dc}$ as well as
 482 $\#\text{variables-dc}$), 0-slice (for $\#\forall\text{-dc}$), and 1-slice (for arity-dc) are **NP**-complete. Moreover,
 483 replacing each dependence atom in $\text{dep}(x; y) \vee \text{dep}(u; v) \vee \text{dep}(u; v)$ by the equivalent in-
 484 dependence atom increases the arity of independence atoms by at most 1. Consequently,
 485 the **paraNP**-hardness of these cases follow. Finally, the case for $\text{tw}(\mathcal{A})$ also follows due to
 486 the reason that the vocabulary of the reduced structure is empty. As a consequence, our
 487 definition 7 yields a tree decomposition of width 1 trivially as no elements of the universe
 488 are related. This completes the proof to our lemma. ◀

489 ▶ **Remark 22.** Recall that $|\Phi|$ as a parameter for $\text{dc}(\mathcal{C})$ does not make sense as the input
 490 consists of $\langle \mathcal{A}, T \rangle$. That is, the formula Φ is already fixed which is stronger than fixing the
 491 size of Φ .

492 We now prove the only tractable case for the data complexity.

493 ▶ **Lemma 23.** $|\mathcal{A}|\text{-dc}(\mathcal{C}) \in \text{FPT}$.

494 **Proof.** Notice first that restricting the universe size $|\mathcal{A}|$ polynomially bounds the teamsize
 495 $|T|$, due to Lemma 9 (for $\mathcal{FO}(\text{dep})$) and Corollary 15 (for $\mathcal{FO}(\perp)$). This implies that the size

496 of whole input is (polynomially) bounded by the parameter $|\mathcal{A}|$. The result follows trivially
 497 because any PP P is **FPT** when the input size is bounded by the parameter $[?]$. ◀

498 ▶ **Lemma 24.** $|T|$ -dc is **paraNP**-complete.

499 **Proof.** For a fixed sentence $\Phi \in \mathcal{FO}(\text{dep})$ (that is, with no free variables) and for all models
 500 \mathcal{A} and team T we have that $(\mathcal{A}, T) \models \Phi \iff (\mathcal{A}, \{\emptyset\}) \models \Phi$. As a result, the problem \leq^{FPT} -
 501 reduces to the model checking problem with $|T| = 1$. Consequently, 1-slice of $|T|$ -dc($\mathcal{FO}(\text{dep})$)
 502 is **NP**-complete because model checking for a fixed $\mathcal{FO}(\text{dep})$ -sentence is also **NP**-complete
 503 $[?]$. This gives **paraNP**-hardness for $\mathcal{FO}(\text{dep})$. The hardness for $\mathcal{FO}(\perp)$ uses Observation 17
 504 additionally.

505 For the membership, note that given a structure \mathcal{A} and a team T then for a fixed \mathcal{C} -formula
 506 Φ the question whether $(\mathcal{A}, T) \models \Phi$ is in **NP**. Consequently, giving **paraNP**-membership. ◀

507 A comparison with the propositional dependence (\mathcal{PDL}) and independence logic (\mathcal{PLIND})
 508 at this point might be interesting. If the formula size is a parameter then the model checking
 509 for \mathcal{PDL} and \mathcal{PLIND} can be solved in **FPT**-time $[?, ?]$. However, this is not the case for
 510 $\mathcal{FO}(\text{dep})$ and $\mathcal{FO}(\perp)$ even if the formula is fixed in advance.

511 3.2 Expression and Combined Complexity (ec, cc)

512 Now we turn towards the expression and combined complexity of model checking for \mathcal{C} .
 513 Here again, in most cases the problem is still intractable for the combined complexity.
 514 However, expression complexity when parameterized by the formula size ($|\Phi|$) and the total
 515 number of variables ($\#\text{variables}$) yields membership in **FPT**. Similar to the previous section,
 516 we first present results that directly translate from the known reductions for proving the
 517 **NEXP**-completeness for \mathcal{C} .

518 ▶ **Lemma 25.** Let $k \in \{|\mathcal{A}|, \text{tw}(\mathcal{A}), |T|, \#\text{free-variables}\}$. Then both k -cc(\mathcal{C}) and k -ec(\mathcal{C}) are
 519 **paraNEXP**-complete.

520 **Proof.** In the classical setting, **NEXP**-completeness of the expression and the combined
 521 complexity for \mathcal{C} was shown by Grädel $[?, \text{Theorems 5.1 \& 5.2}]$. This immediately gives
 522 membership in **paraNEXP**. Interestingly, for hardness the universe in the reduction consists
 523 of $\{0, 1\}$ with empty vocabulary and the formula obtained is a $\mathcal{FO}(\text{dep})$ -sentence. This
 524 implies that 2-slice (for $|\mathcal{A}|$), 1-slice (for $\text{tw}(\mathcal{A})$), 1-slice (for $|T|$), and 0-slice (for the number
 525 of free variables) are **NEXP**-complete. As a consequence, **paraNEXP**-hardness for the
 526 mentioned cases follows for $\mathcal{FO}(\text{dep})$. The corresponding cases for $\mathcal{FO}(\perp)$ also follow due to
 527 Observation 17 and this completes the proof. ◀

528 For the number of splits as a parameterization, we only know that this is also highly
 529 intractable, with the precise complexity open for now.

530 ▶ **Lemma 26.** $\#\text{splits-ec}(\mathcal{C})$ and $\#\text{splits-cc}(\mathcal{C})$ are both **paraPSPACE**-hard.

531 **Proof.** Consider the equivalence of $\{\exists, \forall, \wedge\}$ - \mathcal{FO} -MC to quantified constraint satisfaction
 532 problem (QCSP) $[?, \text{p. 418}]$. That is, the fragment of \mathcal{FO} with only operations in $\{\exists, \forall, \wedge\}$
 533 allowed. Then QCSP asks, whether the conjunction of quantified constraints (\mathcal{FO} -relations)
 534 is true in a fixed \mathcal{FO} -structure \mathcal{A} . This implies that already in the absence of a split operator
 535 (even when there are no dependence atoms), the model checking problem is **PSPACE**-hard.
 536 Consequently, the mentioned results follow. ◀

537 The formula size as a parameter presents varying behaviour depending upon if we consider
 538 the expression or the combined complexity. However, the complexity remains same for both
 539 logics we considered.

540 ► **Lemma 27.** $|\Phi|$ -cc(\mathcal{C}) is **paraNP**-complete.

541 **Proof.** Notice that, due to Lemma 9, the size k of a formula Φ also bounds the maximum
 542 number of free variables in any subformula of Φ . This gives the membership in conjunction
 543 with [?, Theorem 5.1]. That is, the combined complexity of \mathcal{C} is **NP**-complete if maximum
 544 number of free variables in any subformula of Φ is fixed. The lower bound follows because
 545 of the construction by Kontinen [?] (see also Lemma 20) since for a fixed formula (of fixed
 546 size), the problem is already **NP**-complete. ◀

547 ► **Lemma 28.** $|\Phi|$ -ec(\mathcal{C}) is in **FPT**.

548 **Proof.** Recall that in expression complexity, the team T and the structure \mathcal{A} are fixed.
 549 Whereas, the size of the input formula Φ is a parameter. The result follows trivially because
 550 any PP P is **FPT** when the input size is bounded by the parameter. ◀

551 The expression complexity of \mathcal{C} regarding the number of universal quantifiers as a param-
 552 eter drops down to **paraNP**-completeness, which is still intractable but much lower than
 553 **paraNEXP**-completeness. However, regarding the combined complexity we can only prove
 554 the membership in **XNP**, with **paraNP**-lower bound.

555 ► **Lemma 29.** $\#\forall$ -ec(\mathcal{C}) is **paraNP**-complete.

556 **Proof.** We first prove the lower bound for $\#\forall$ -ec($\mathcal{FO}(\text{dep})$) through a reduction from the
 557 satisfiability problem for propositional dependence logic (\mathcal{PDL}). That is, given a \mathcal{PDL} -
 558 formula ϕ , whether there is a team T such that $T \models \phi$? Let ϕ be a \mathcal{PDL} -formula over
 559 propositional variables p_1, \dots, p_n . For $i \leq n$, let x_i denote a variable corresponding to
 560 the proposition p_i . Let $\mathcal{A} = \{0, 1\}$ be the structure over empty vocabulary. Clearly ϕ is
 561 satisfiable iff $\exists p_1 \dots \exists p_n \phi$ is satisfiable iff $(\mathcal{A}, \{\emptyset\}) \models \exists x_1 \dots \exists x_n \phi'$, where ϕ' is a $\mathcal{FO}(\text{dep})$ -
 562 formula obtained from ϕ by simply replacing each proposition p_i by the variable x_i . Notice
 563 that the reduced formula does not have any universal quantifier, that is $\#\forall(\phi') = 0$. This gives
 564 **paraNP**-hardness of $\#\forall$ -ec($\mathcal{FO}(\text{dep})$) since the satisfiability for \mathcal{PDL} is **NP**-complete [?].
 565 Moreover, the hardness of $\#\forall$ -ec($\mathcal{FO}(\perp)$) also follows due to Observation 17.

566 For membership, notice first that a $\mathcal{FO}(\text{dep})$ -sentence Φ with k universal quantifiers can
 567 be reduced in **P**-time to an \mathcal{ESO} -sentence Ψ of the form $\exists f_1 \dots \exists f_r \forall x_1 \dots \forall x_k \psi$ [?, Cor. 3.9],
 568 where ψ is a quantifier free \mathcal{FO} -formula, $r \in \mathbb{N}$, and each function symbol f_i is at most
 569 k -ary for $1 \leq i \leq r$. Finally, $(\mathcal{A}, \{\emptyset\}) \models \Phi \iff \mathcal{A} \models \bigvee_{f_1} \dots \bigvee_{f_r} \forall x_1 \dots \forall x_k \psi'$. Where the
 570 latter question can be solved by guessing an interpretation for each function symbol f_i and
 571 $i \leq r$. This requires $r \cdot |\mathcal{A}|^k$ guessing steps, and can be achieved in **paraNP**-time for a
 572 fixed structure \mathcal{A} (as we consider expression complexity). Similarly, an $\mathcal{FO}(\perp)$ -sentence Φ
 573 with k universal quantifiers can be reduced in **P**-time to an \mathcal{ESO} -sentence Ψ of the form
 574 $\exists f_1 \dots \exists f_r \forall x_1 \dots \forall x_k \forall x_{k+1} \psi$ [?, Proposition 20]. The only difference being an additional
 575 universal quantifier in the case of $\mathcal{FO}(\perp)$ -sentences. It is worth mentioning that the proof
 576 by Kontinen and Hannula [?, Proposition 20] does not state explicitly that the function
 577 symbols can be assumed to have arity at most k . However, this can be assumed using a
 578 result by Durand et al. [?, Theorem 5.11]. Consequently, the membership in **paraNP** follows
 579 for $\#\forall$ -ec(\mathcal{C}).

580 Notice that the arity of function symbols in the **paraNP**-membership above is bounded
 581 by k if Φ is a \mathcal{C} -sentence. However, if Φ is a \mathcal{C} -formula with m free variables then the arity
 582 of function symbols as well as the number of universal quantifiers in the reduction, both
 583 are bounded by $k + m$ where $k = \#\forall(\Phi)$ and $m = \#\text{free-variables}(\Phi)$. Nevertheless, recall
 584 that for **ec**, the team is also fixed. Moreover, due to Lemma 9 the collection of free variables
 585 in Φ has constant size. This implies that the reduction above provides an \mathcal{ESO} -sentence
 586 with $k + m$ universal quantifiers as well as function symbols of arity $k + m$ at most. Finally,
 587 guessing the interpretation for functions still takes **paraNP**-steps (because m is constant)
 588 and consequently, we get **paraNP**-membership for open \mathcal{C} -formulas as well. ◀

589 The following corollary immediately follows from the proof above.

590 ▶ **Corollary 30.** $(\#\forall + \#\text{free-variables})\text{-ec}(\mathcal{C})$ is **paraNP**-complete.

591 ▶ **Lemma 31.** $\#\forall\text{-cc}(\mathcal{C})$ is **paraNP**-hard. Moreover, $\#\forall\text{-cc}(\mathcal{C})$ is in **XNP** for \mathcal{C} -sentences.

592 **Proof.** The **paraNP**-lower bound follows due to the fact that the expression complexity of
 593 \mathcal{C} is already **paraNP**-complete when parameterized by $\#\forall$ (Lemma 28).

594 For sentences, similar to the proof in Lemma 28, a \mathcal{C} -sentence Φ can be translated to an
 595 equivalent \mathcal{ESO} -sentence Ψ in polynomial time. However, if the structure is not fixed as for
 596 expression complexity, then the computation of interpretations for functions can no longer be
 597 done in **paraNP**-time, but requires non-deterministic $|\mathcal{A}|^k$ -time for each guessed function,
 598 where $k = \#\forall$. Consequently, we reach only membership in **XNP** for sentences. ◀

599 For open formulas, we do not know if $\#\forall\text{-cc}(\mathcal{C})$ is also in **XNP**. Our proof technique does
 600 not immediately settle this case as the team is not fixed for **cc**.

601 Similar to the case of universal quantifiers, the arity as a parameter also reduces the
 602 complexity for both logics, but not as much as the universal quantifiers. Moreover, the
 603 precise combined complexity when parameterized by the arity is also open.

604 ▶ **Lemma 32.** $\text{arity-ec}(\mathcal{C})$ is **paraPSPACE**-complete.

605 **Proof.** For hardness, notice that the expression complexity of \mathcal{FO} is **PSPACE**-complete.
 606 This implies that already in the absence of any (in)dependence atoms, the complexity remains
 607 **PSPACE**-hard, as a consequence, the 0-slice of $\text{arity-ec}(\mathcal{C})$ is **PSPACE**-hard.

608 For membership, notice that a $\mathcal{FO}(\text{dep})$ -sentence Φ with k -ary dependence atoms can
 609 be reduced in **P**-time to an \mathcal{ESO} -sentence Ψ of the form $\exists f_1 \dots \exists f_r \psi$ [?, Thm. 3.3], where
 610 ψ is an \mathcal{FO} -formula and each function symbol f_i is at most k -ary for $1 \leq i \leq r$. Finally,
 611 $\mathcal{A} \models \Phi \iff \mathcal{A} \models \bigvee_{f_1} \dots \bigvee_{f_r} \psi'$. That is, one needs to guess the interpretation for each
 612 function symbol f_i , which can be done in **paraNP**-time. Finally, evaluating an \mathcal{FO} -formula
 613 ψ' for a fixed structure \mathcal{A} can be done in **PSPACE**-time. This yields membership in
 614 **paraPSPACE**. Moreover, if Φ is an open $\mathcal{FO}(\text{dep})$ -formula then the result follows due to a
 615 similar discussion as in the proof of Lemma 28. Finally, for $\mathcal{FO}(\perp)$ the result follows because
 616 $\mathcal{FO}(\perp)(k\text{-ind}) = \mathcal{FO}(\text{dep})(k\text{-dep})$ [?, Theorem 35]. That is, the fragment of independence
 617 logic obtained by allowing only k -ary independence atoms is equivalent to the fragment of
 618 dependence logic obtained by allowing only k -ary dependence atoms. This proves the desired
 619 result. ◀

620 The combination $(\text{arity} + \#\text{free-variables})$ also does not lower the expression complexity
 621 as discussed before in the case of $\#\forall$.

622 ▶ **Corollary 33.** $(\text{arity} + \#\text{free-variables})\text{-ec}(\mathcal{C})$ is **paraPSPACE**-complete.

623 ► **Lemma 34.** $\text{arity-cc}(\mathcal{C})$ is **paraPSPACE-hard**.

624 **Proof.** Consider the fragment of $\mathcal{FO}(\text{dep})$ with only dependence atoms of the form $\text{dep}(;x)$,
 625 the so-called constancy logic. The combined complexity of constancy logic is **PSPACE-**
 626 **complete** [?, Theorem 5.3]. This implies that the 0-slice of $\text{arity-cc}(\mathcal{FO}(\text{dep}))$ is **PSPACE-**
 627 **hard**, proving the result. The hardness for $\mathcal{FO}(\perp)$ follows because of the equivalence
 628 $\text{dep}(;x) \equiv x \perp_{\emptyset} x$. ◀

629 The combined complexity of model checking for constancy logic is **PSPACE** [?, Thm. 5.3].
 630 Aiming for an **paraPSPACE**-upper bound via squeezing the fixed arity of dependence atoms
 631 (in some way) into constancy atoms is unlikely to happen as $\mathcal{FO}(\text{dep})$ (as well as $\mathcal{FO}(\perp)$)
 632 captures \mathcal{ESO} whereas constancy logic for sentences (and also open formulas) collapses to
 633 \mathcal{FO} [?].

634 Notice that a similar reduction as in the proof of Lemma 28 holds from \mathcal{PL} , in which both
 635 parameters ($\#\forall$ and **arity**) are bounded. This implies that there is no hope for tractability
 636 even when both parameters are considered together. That is, the expression complexity
 637 remains **paraNP**-complete when parameterized by the combination of parameters ($\#\forall$,
 638 **arity**).

639 ► **Corollary 35.** $(\#\forall + \text{arity})\text{-ec}(\mathcal{C})$ is also **paraNP-complete**.

640 Finally, for the parameter total number of variables, the expression complexity drops
 641 to **FPT** whereas, the combined complexity drops to **paraNP**-completeness. The case of
 642 expression complexity is particularly interesting. This is due to the reason that it was posed
 643 as an open question by Virtema [?] whether the expression complexity of the fixed variable
 644 fragment of dependence logic ($\mathcal{FO}(\text{dep})^k$) is **NP**-complete similar to the case of the combined
 645 complexity therein. We answer this negatively by stating **FPT**-membership for $\#\text{variables-ec}$,
 646 which as a corollary proves that the expression complexity of $\mathcal{FO}(\text{dep})^k$ is in **P** for each
 647 $k \geq 1$.

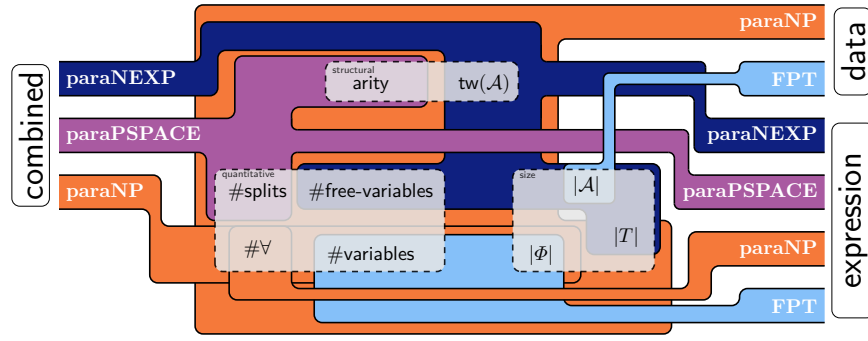
648 ► **Lemma 36.** $\#\text{variables-cc}(\mathcal{C})$ is **paraNP-complete**.

649 **Proof.** Notice that if the total number of variables in a \mathcal{C} -formula Φ is fixed, then the number
 650 of free variables in any subformula ψ of Φ is also fixed. This implies the membership in
 651 **paraNP** due to [?, Theorem 5.1]. On the other hand, by [?, Theorem 3.9.6] we know that
 652 the combined complexity of \mathcal{D}^k is **NP**-complete. This implies that for each k , the k -slice of
 653 the problem is **NP**-hard. The desired hardness for $\mathcal{FO}(\perp)^k$ follows due to Observation 17.
 654 This gives the lower bounds for both logics. ◀

655 The following lemma once again utilizes the fact that a \mathcal{C} -formula can be reduced to an
 656 equivalent \mathcal{ESO} -formula. However, an important observation here is that this reduction also
 657 preserves the number of variables in the formula

658 ► **Lemma 37.** $\#\text{variables-ec}(\mathcal{C})$ is **FPT**.

659 **Proof.** Given a formula Φ of dependence logic with k variables, we can construct an equivalent
 660 formula Ψ of \mathcal{ESO}^{k+1} in polynomial time [?, Theorem 3.3.17]. Moreover, since the structure
 661 \mathcal{A} is fixed, there exists a reduction of Ψ to an \mathcal{FO} -formula ψ with $k+1$ variables (big
 662 disjunction on the universe elements for each second order existential quantifier). Finally, the
 663 model checking for \mathcal{FO} -formulas with k variables is solvable in time $O(|\psi| \cdot |A|^k)$ [?, Prop 6.6].
 664 This implies the membership in **FPT**. If Φ is an $\mathcal{FO}(\perp)$ -formula, then the (worst case)
 665 reduction of Φ into an \mathcal{ESO} -sentence uses $3k$ variables [?, Prop. 14]. The above discussion
 666 gives a similar **FPT**-algorithm for $\mathcal{FO}(\perp)$ running in time $O(|\psi| \cdot |A|^k)$. ◀



■ **Figure 3** Complexity classification overview for model checking problem of (in)dependence logic, that takes grouping of parameters (quantitative, size, structural) and complexity classes into account.

667 ► **Corollary 38.** *The expression complexity of $\mathcal{FO}(\text{dep})^k$ is in \mathbf{P} for every $k \geq 1$.*

668 **Proof.** Since both, the number of variables and the universe size is fixed. The runtime of
 669 the form $O(|\psi| \cdot |A|^k)$ in Lemma 36 implies membership in \mathbf{P} . ◀

670 4 Conclusion

671 In this paper, we analyzed the parameterized complexity classification of model checking
 672 for dependence ($\mathcal{FO}(\text{dep})$) and independence logic ($\mathcal{FO}(\perp)$) with respect to nine different
 673 parameters (see Table 2 for an overview of the results). In Fig. 3 we depict a different kind of
 674 presentation of our results that also takes the grouping of parameters into quantitative, size
 675 related, and structural into account. Interestingly, the complexity for both considered logics
 676 remains same under each parameterization. Moreover, the complexity of $\mathcal{FO}(\perp)$ also remains
 677 same under both (strict and lax) semantics. The data complexity of \mathcal{C} shows a dichotomy
 678 (**FPT** vs. **paraNP**-complete), where surprisingly there is only one case ($|A|$) where one can
 679 reach **FPT**. This is even more surprising in the light of the fact that the expression (ec
 680 and the combined (cc) complexities under the same parameter are still highly intractable.
 681 Furthermore, there are parameters when cc and ec vary in the complexity ($\#variables$). The
 682 combined complexity of \mathcal{C} stays intractable under any of the investigated parameterizations.
 683 It might be interesting to study combination of parameters and see their joint effect on the
 684 complexity (yet, Corollaries 29, 32, 34 tackle already some cases).

685 We want to close this presentation with some further questions:

- 686 ■ What other parameters could be meaningful (e.g., number of conjunction, number of
 687 existential quantifiers, treewidth of the formula)?
- 688 ■ What is the exact complexity of $\#\forall\text{-cc}(\mathcal{C})$, $\#\text{splits-ec}(\mathcal{C})/\text{-cc}(\mathcal{C})$, $\text{arity-cc}(\mathcal{C})$?
- 689 ■ What new insights brings the parameterized complexity analysis for inclusion logic?