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A total diet replacement weight management programme for difficult-to-treat asthma associated with obesity

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1 Abstract

2 Background: Obesity is often associated with uncontrolled, difficult-to-treat asthma and increased
3 morbidity and mortality. Previous studies suggest that weight loss may improve asthma outcomes
4 but with heterogenous asthma populations studied and unclear consensus on optimal method of
5 weight management. The Counterweight-Plus weight management programme (CWP) is an
6 evidence-based, dietitian-led, total diet replacement (TDR) programme.

7 Research question: Can use of the CWP compared to usual care (UC) improve asthma control and
8 quality of life in patients with difficult-to-treat asthma and obesity?

9 Study design and methods: We conducted a 1:1 (CWP:UC) randomised, controlled single centre trial
10 in adults with difficult-to-treat asthma and body mass index $\geq 30\text{kg/m}^2$. CWP: 12-week TDR phase
11 (800kcal/day low-energy formula); stepwise food reintroduction and weight loss maintenance up to
12 1 year. Primary outcome: change in asthma control questionnaire (ACQ6) score over 16 weeks.
13 Secondary outcome: change in asthma quality of life questionnaire (AQLQ) score.

14 Results: 35 participants were randomised (36 screened) and 33 attended 16-week follow-up (17
15 CWP, 16 UC). Overall, mean (95%CI) ACQ6 at baseline was 2.8 (2.4, 3.1). Weight loss was greater in
16 CWP than UC (mean difference -12.1kg; 95%CI -16.9, -7.4; $p < 0.001$). ACQ6 improved more in CWP
17 than UC (mean difference -0.69; 95%CI -1.37, -0.01; $p = 0.048$). A larger proportion of participants
18 achieved minimal clinically important difference in ACQ6 with CWP than UC (53% vs 19%; $p = 0.041$;
19 NNT 3 (95%CI 1.5, 26.9)). AQLQ improvement was greater in CWP than UC (mean difference 0.76;
20 95%CI 0.18, 1.34; $p = 0.013$).

21 Interpretation: Utilising a structured weight management programme results in clinically important
22 improvements in asthma control and quality of life over 16 weeks compared to usual care, in adults
23 with difficult-to-treat asthma and obesity. This generalisable programme is easy to deliver for this
24 challenging phenotype. Longer-term outcomes continue to be studied.

26 Abbreviations

27 ACQ6 (Asthma Control Questionnaire-6); AQLQ (Asthma Quality of Life Questionnaire); ATS
28 (American Thoracic Society); BMI (body mass index); BTS (British Thoracic Society); CRF (Clinical
29 Research Facility); CWP (Counterweight-Plus programme); ERS (European Respiratory Society); FeNO
30 (fractional exhaled nitric oxide); FEV₁ (forced expiratory volume in one second); HAD (Hospital
31 Anxiety and Depression score); MCID (minimal clinically important difference); MRC (Medical
32 Research Council); PEFr (peak expiratory flow rate); SIGN (Scottish Intercollegiate Guidelines
33 Network); TDR (total diet replacement); UC (usual care); WtH (waist-to-hip ratio); WtHt (waist-to-
34 height ratio); 6MWT (six minute walk test).

36 Approximately 17% of people living with asthma have difficult-to-treat disease due to factors
37 including poor inhaler technique, treatment non-adherence and co-morbidities, such as obesity [1,
38 2]. Asthma associated with obesity is less steroid-responsive, linked with poorer control and quality
39 of life, increased morbidity and mortality and has limited treatment options [3, 4]. The
40 pathophysiological effects of obesity on asthma are multifactorial. Weight excess has direct effects
41 on thoracic wall mechanics [5], as well as increased airway closure [6, 7], airway
42 hyperresponsiveness [8, 9], and airway inflammation [10-12]. A Cochrane review [13] of four studies
43 (total n = 197) has suggested that weight loss may improve asthma control, but the quality of the
44 evidence was poor and further well-constructed randomised controlled trials were recommended.

45 In the UK, the Counterweight-Plus weight management programme (CWP) is a commercially
46 available dietitian-supported regime of total diet replacement (TDR), stepwise food re-introduction
47 and weight loss maintenance. It has shown efficacy in obesity (mean weight loss 10kg;
48 approximately a third achieving loss of ≥ 15 kg) and type 2 diabetes mellitus (remission in 46% of
49 cases) [14, 15]. Its effects in asthma have not been evaluated and we hypothesised that utilisation
50 of CWP would result in improvements in asthma control and asthma-related quality of life. To test
51 this hypothesis we performed a randomised, controlled proof-of-concept feasibility trial of CWP in
52 patients with obesity and difficult-to-treat asthma. Here, we report the primary outcome results for
53 the first 16 weeks of treatment, after completion of the first phase of the intervention programme.

54

55 **Study design and methods**

56 A randomised, controlled, open-label, parallel study of a TDR weight loss programme compared to
57 usual care in individuals with difficult-to-treat asthma and obesity. Participants were randomised 1:1
58 using a password-protected, online, third-party randomisation service to CWP or usual care (UC)
59 [16]. Study visits were scheduled at baseline and 16 weeks with further visits planned for 1- and 2-
60 year follow-up. The trial was approved by the West of Scotland Regional Ethics Committee
61 (18/WS/0216), sponsored and funded by an NHS Greater Glasgow and Clyde Endowment Fund, and
62 registered at ClinicalTrials.gov (NCT03858608), where trial protocol is described [17]. The funder and
63 contributors to the fund had no input in study design or the trial outcomes. Due to the coronavirus
64 (COVID-19) pandemic, face-to-face follow-up study visits were substituted for telephone
65 consultations where necessary to optimise data collection. Recruitment and randomisation was
66 undertaken by the Clinical Research Fellow. Study visits and data collection were performed by the
67 Clinical Research Fellow and Clinical Research Nursing team at the Glasgow Royal Infirmary Clinical
68 Research Facility (CRF).

69

70 *Participants*

71 Eligible participants aged 18–75 years, with body mass index (BMI) ≥ 30.0 kg/m², a diagnosis of
72 asthma as per Global Initiative for Asthma guidelines [18], and difficult-to-treat disease as per
73 Scottish Intercollegiate Guidelines Network (SIGN)/British Thoracic Society (BTS) guidelines [19],
74 were identified from secondary and tertiary asthma clinics and ward admissions across NHS Greater
75 Glasgow and Clyde (see online supplement for further detail). Asthma clinicians and asthma
76 specialist nurses referred patients to the Clinical Research Fellow for screening after a brief
77 explanation of the programme. Asthma clinicians were aware of participation in the trial (consent
78 forms were uploaded to electronic patient healthcare records), but not involved in recruitment,
79 study visits or data analysis. Eligible participants were provided with written information and invited

80 to attend the CRF where written informed consent was obtained prior to randomisation and
81 baseline data collection (Visit 1). Participants were enrolled and randomised by the Clinical Research
82 Fellow.

83

84 *Measurements*

85 Baseline demographics, asthma and other medical history, and medications were obtained at Visit 1.
86 At all visits, Asthma Control Questionnaire (ACQ6) and Asthma Quality of Life Questionnaire (AQLQ)
87 scores were recorded. ACQ6 is a validated asthma control score comprising 6 questions [20], a score
88 ≥ 1.5 reflecting poor disease control, and with a minimal clinically important difference (MCID) of 0.5.
89 AQLQ is a validated score comprising 32 questions covering several domains (symptoms, activity
90 limitation, emotional function and environmental stimuli) assessing quality of life in asthma [21]. A
91 higher score reflects better quality of life and MCID is 0.5.

92 At all visits, other data collected included anthropomorphic measures; healthcare usage; MRC
93 dyspnoea score; Hospital Anxiety Depression (HAD) scale; blood sampling; spirometry (Vitalograph
94 ALPHA™ spirometer, Buckingham, UK) as per European Respiratory Society (ERS)/American Thoracic
95 Society (ATS) standards [22]; peak expiratory flow rate (PEFR); fractional exhaled nitric oxide (FeNO;
96 NIOX VERO®, Aerocrine AB, Solna, Sweden) as per ATS guidelines [23]; 6-minute walk test (6MWT) as
97 per ERS/ATS standards [24]; and accelerometry (see online supplement for further detail).

98

99 *Counterweight-Plus weight management programme (CWP)*

100 CWP consisted of three phases: TDR (0-12 weeks), food reintroduction (13-18 weeks), and weight
101 loss maintenance (19-52 weeks), and was delivered by experienced dietitians with CWP training (see
102 online supplement for further details).

103 The TDR phase comprised of a low-energy liquid diet consisting of 825-853 kcal/day (approximately
104 59% carbohydrate, 13% fat, 26% protein, 2% fibre), administered via sachets of dried soups and
105 shakes in a variety of flavours, made up with water by the participant. The dietitian team reviewed
106 participants at one week and then fortnightly. To allow flexibility for participants, acknowledging
107 other commitments or logistical limitations, this phase was extended to 20 weeks if participants did
108 not lose >15kg by week 12. Conversely, if a participants BMI fell to <23.0 kg/m² then food
109 reintroduction was introduced earlier.

110 The food reintroduction phase involved a reducing formula diet and stepwise reintroduction of
111 calorie-controlled meals (with fortnightly dietitian review continuing). Flexible periods of 2-8 weeks
112 were used for this phase based on participant confidence with weight loss management.

113 In the weight loss maintenance phase, dietitians provided individually tailored calorie prescription
114 for weight stabilisation and to prevent weight regain, with monthly reviews. All programme phases
115 were underpinned by recognised behaviour change strategies [25, 26].

116 Dietitian-led relapse treatments to correct weight regain were available [27].

117

118 *Usual care*

119 Standard asthma care was continued in all participants in all groups. This included continuation of
 120 previously initiated asthma medication, but also modification of asthma treatment based on clinical
 121 need; those with worsening asthma had treatment escalation, whilst those with improving disease
 122 or lack of treatment efficacy had medication removal. All participants continued to be reviewed at
 123 their original secondary asthma clinic as part of usual care. All participants had opportunity for
 124 weight management advice (i.e., healthy eating and promoting exercise if in usual care), inhaler
 125 technique and asthma education as needed at each study visit.

126

127 *Primary outcome*

128 The primary outcome was difference in change in ACQ6 from baseline (Visit 1) to 16 weeks (Visit 2),
 129 between CWP and UC.

130

131 *Secondary outcomes*

132 Secondary measures included difference in change in AQLQ from baseline to 16 weeks between
 133 CWP and UC groups, overall and in each AQLQ domain (symptoms, activity, emotional and
 134 environmental); and the difference in proportion of participants with ≥ 0.5 change (MCID in ACQ6
 135 [20] and AQLQ [21]) between groups at 16 weeks. For other outcomes see online supplement.

136

137 *Sample size*

138 To demonstrate a difference of 0.5 between mean changes in ACQ6 in CWP and UC groups from
 139 baseline to 16 weeks, based on a SD of 0.5 from a similar population [28] a sample size of 30 (15 per
 140 group) was required, assuming an alpha of 0.05, beta 0.2 and power 0.8. A target of 40 was chosen
 141 to allow for a 25% dropout-rate.

142

143 *Statistical analysis*

144 Participants attending Visits 1 and 2 were included for intention-to-treat analysis. Continuous
 145 variables were described as mean (95%CI) or median (IQR) based on distribution and compared
 146 using independent t-tests or Mann-Whitney U tests respectively. Change in continuous variables
 147 over time was analysed using ANCOVA with the baseline variable as a covariate and comparing
 148 change in variable using t or Mann-Whitney U tests depending on distribution. Categorical variables
 149 were described as number (percentage) and compared using Pearson's chi-square or Fisher's exact
 150 test as appropriate. Analyses were performed using IBM SPSS Statistics for Mac, v28 (IBM Corp.,
 151 Armonk, N.Y., USA); graphs were produced using GraphPad Prism for Mac, v9.3.1 (GraphPad
 152 Software, San Diego, CA, USA). A p-value of ≤ 0.05 was significant. All data analysis was performed by
 153 the Clinical Research Fellow using anonymised data.

154

155 **Results**

156 *Participation and baseline characteristics (Figure 1; Table 1; Supplementary Table E1)*

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157 Participants were recruited from August 2019 until August 2021, with two-year follow-up scheduled
 158 to finish August 2023. 16-week follow-up visits continued until December 2021. Of 36 participants
 159 screened, one was ineligible (see online supplement) and 35 were randomised. Two patients were
 160 lost to follow-up and 33 attended Visit 2 to be included in intention-to-treat analysis (17 in CWP, 16
 161 UC group). Recruitment was halted before the target of 40 due to a lower-than-expected dropout
 162 rate.

163 Overall, mean age was 53 years, 63% were female, with 54% ex-smokers and 43% never-smokers.
 164 Co-morbidity was common including atopy (71%), allergic (54%) and perennial (46%) rhinitis, gastro-
 165 oesophageal reflux disease (86%), mental health problems (51%) and osteopenia/osteoporosis
 166 (43%). There was significant treatment burden with, notably, 17% taking maintenance prednisolone,
 167 and just over a third receiving biologic treatment. The study population consisted of frequent
 168 exacerbators with uncontrolled disease as reflected by the median (IQR) for oral corticosteroid
 169 courses in the previous 12 months of 3 (2 to 5) and mean ACQ of 2.8 (2.4, 3.1). Mean overall AQLQ
 170 was 3.8 (3.4, 4.2). Median weight was 101.7 (91.4 to 118.7) kg, with a median BMI of 37.5 (35.0 to
 171 42.3) kg/m², mean waist-to-hip (WtH) ratio of 0.99 and mean waist-to-height (WtHt) ratio of 0.74 all
 172 suggestive of a morbidly obese, high-risk population. Low median FeNO and eosinophil count (18
 173 ppb and 0.11x10⁹/L respectively) suggest predominance of a T2-low endotype within the population.

174 Individuals in CWP were slightly older, had lower baseline PEFR and forced expiratory volume in 1
 175 second (FEV₁), and were more sedentary with accelerometry data demonstrating more inactive
 176 time and less time spent in light/moderate-vigorous physical activity compared to the UC group;
 177 there were no other between-group differences.

178

179 *Primary outcome (Table 2; Supplementary Table E2; Figure 2)*

180 Over 16 weeks, mean change in ACQ6 was -0.45 (-1.02, 0.13) for CWP and 0.23 (-0.17, 0.63) for UC
 181 with a mean difference of -0.69 (-1.37, -0.01; p=0.048) between groups.

182

183 *Secondary outcomes (Tables 2 and 3; Supplementary Table E3; Figures 2 and 3)*

184 Over 16 weeks, mean change in overall AQLQ was 0.81 (0.28, 1.35) for CWP and 0.08 (-0.32, 0.48) for
 185 UC with a mean difference of 0.76 (0.18, 1.34; p=0.013) between groups. Likewise, mean changes in
 186 AQLQ symptom, activity and environmental domains favoured CWP with mean between-group
 187 differences, respectively, of 0.72 (0.14, 1.31; p=0.018), 0.78 (0.08, 1.47; p=0.029) and 0.98 (0.01,
 188 1.96; p=0.048). Change in AQLQ emotional domain was not significantly different between groups.

189 A greater proportion of participants achieved ACQ6 MCID with CWP compared to UC (53% vs 19%
 190 respectively, p=0.041; NNT=3 (95%CI 1.5, 26.9)), but no significant differences were seen for
 191 proportions achieving MCID for AQLQ overall or within the four AQLQ domains.

192 There were no changes in number of prednisolone courses, out-of-hours GP or emergency
 193 department attendances, hospital admission or intensive care admissions between the two groups.

194

195 *Other outcomes (Table 3; Supplementary Table E4)*

196 Mean weight loss was -13.5kg (-17.5, -9.6) for CWP and -1.4kg (-3.2, 0.4) for UC (mean difference -
 197 12.1kg, 95% CI -16.9, -7.4, p<0.001), with a mean total body weight loss of ~12% with CWP. BMI

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198 change was -4.9kg/m^2 (-6.3, -3.5) for CWP and -0.3kg/m^2 (-1.1, 0.6) for UC (mean difference -
 199 4.6kg/m^2 (-6.3, -2.9); $p < 0.001$).

200 Median change in MRC dyspnoea score was -1 (-1 to 0) for CWP and 0 (0 to 0) for UC ($p = 0.004$).

201 There were no significant between-group differences for spirometry, six-minute walk distance
 202 (6MWD), Borg breathlessness scale, HAD scores, peripheral eosinophils, FeNO or accelerometry.

203

204 *Per-protocol analysis (Supplementary Tables E5, E6 and E7)*

205 Of the 33 participants attending Visit 2, 2 did not tolerate CWP (see online supplement). 31
 206 participants were included for per-protocol analysis. Mean weight loss was greater in CWP than UC
 207 (mean difference -13.3kg , 95% CI -17.2 , -9.4 , $p < 0.001$), with a 13% loss of total body weight with
 208 CWP.

209 Over 16 weeks, mean change in ACQ6 was -0.60 (-1.20, 0.01) for CWP and 0.23 (-0.17, 0.63) for UC
 210 (mean difference -0.86 (-1.55, -0.18); $p = 0.015$). Mean change in overall AQLQ was 0.97 (0.42, 1.53)
 211 for CWP and 0.08 (-0.32, 0.48) for UC (mean difference 0.95 (0.40, 1.50); $p = 0.001$). Likewise, mean
 212 changes in AQLQ symptom, activity and environmental domains favoured CWP with mean between-
 213 group differences, respectively, of 0.89 (0.32, 1.46; $p = 0.003$), 0.97 (0.32, 1.62; $p = 0.005$) and 1.18
 214 (0.21, 2.14; $p = 0.018$). Change in AQLQ emotional domain was not significantly different between
 215 groups.

216 A greater proportion of participants achieved MCID for ACQ6 and AQLQ with CWP compared to UC
 217 (ACQ: 60% vs 19%, $p = 0.018$; AQLQ: 67% vs 31%, $p = 0.049$). There were no significant between-group
 218 differences for separate AQLQ domains.

219

220 *Weight loss extent and change in ACQ/AQLQ (Table 4)*

221 Post-hoc analysis of changes in ACQ and AQLQ with CWP in groups based on extent of total body
 222 weight loss (<10%, 10-15% and $\geq 15\%$) showed trends towards greater benefit with greater weight
 223 loss. Within 10-15% and $\geq 15\%$ weight loss groups, mean ACQ change was -0.7 (-1.6, 0.3) and -1.2 (-
 224 3.1, 0.7) respectively, and mean change in AQLQ was 0.6 (-0.1, 1.3) and 1.4 (-0.8, 3.6), respectively.
 225 Similar trends were seen for each of the four AQLQ domains.

226

227 *Adverse events*

228 There were no unexpected serious adverse events or intervention-related adverse events during the
 229 trial. Overall, 5 participants were hospitalised during the 16-week period: 3 UC participants (1
 230 exacerbation of asthma ward level; 1 exacerbation of asthma requiring high dependency monitoring;
 231 1 with COVID-19 pneumonitis) and 2 in CWP (1 COVID-19 gastroenteritis; 1 migraine).

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233

234 **Discussion**

235 In this pragmatic open label, randomised, controlled trial we showed that delivery of a supported
 236 low-calorie total diet replacement programme (Counterweight-Plus) to patients with difficult-to-

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237 treat asthma and obesity, was safe and led to significant improvements in asthma control and
238 quality of life compared to usual care over 16 weeks. We demonstrated clinically significant
239 improvements in favour of CWP for ACQ6 score, AQLQ score overall, and symptoms, activity and
240 environmental AQLQ domains. Comparison by percentage total body weight loss showed that >10%
241 loss is needed to gain clinically relevant benefits, though loss >15% likely imparts greater benefit. In
242 addition, CWP had favourable impacts on exertional breathlessness and anthropometric measures,
243 the latter likely to have important consequences for other aspects of general health. These findings
244 suggest that conservative treatment targeting substantial weight loss in patients with difficult-to-
245 treat asthma and obesity is safe and can favourably impact on patient-centred outcomes. Longer-
246 term outcomes are awaited to determine whether benefits persist. This programme can be
247 administered in a primary care setting.

248 A small number of trials have evaluated the impact of weight loss interventions in the obesity-
249 associated asthma population, with varying methodology and outcome. Freitas et al [29] report
250 improvements in ACQ and AQLQ in a randomised trial of cardiovascular exercise for 3 months
251 compared to sham breathing/stretching in asthma (n=51). Weight loss was lower than our results
252 (6kg), the population studied differed at baseline considerably (predominantly female (98%), lower
253 weight (91kg), higher eosinophils ($>0.3 \times 10^9/L$) and lower ACQ score (2.0)), the definition/criteria for
254 disease severity were not pre-specified and participants taking daily oral corticosteroids were
255 excluded. Trial pragmatism and generalisability are unclear as from 645 participants screened only
256 55 were eligible (167 had no documented reason).

257 Conversely, a study of 330 participants (2022 screened) reported by Ma et al [30], showed no
258 significant change in ACQ or quality of life with a lifestyle intervention protocol (calorie-reduction,
259 moderate-intensity physical activity and behavioural self-management skills) compared to usual
260 care. Baseline weight (104.2kg)/BMI (37.5 kg/m²) were comparable to our population, though
261 baseline control was markedly better (mean ACQ 1.4). Participants requiring daily oral
262 corticosteroids were excluded. However, their mean weight loss was much lower than our trial (5kg)
263 at 6 months, probably insufficient to impact significantly on asthma-related outcomes. Sub-analysis
264 suggested improved ACQ in those with weight loss >5%, and larger effects with weight loss >10%.

265 Scott et al [28] reported a randomised uncontrolled three-arm parallel trial of either dietary or
266 exercise intervention or both over 10 weeks in participants with BMI 28-40kg/m² and asthma. Per
267 protocol analysis showed mean (SD) weight loss was lower than our trial (8.5±4.2%, 1.8±2.6% and
268 8.3±4.9% with diet, exercise and combined interventions respectively), with improved mean ACQ in
269 diet and combined groups (-0.6±0.5 and -0.5±0.7 respectively) and median AQLQ in all groups (0.9
270 [0.4 to 1.3], 0.49 [0.03 to 0.78] and 0.5 [0.1 to 1.0] with diet, exercise and combined respectively).
271 However, as well as lacking a control group, the population was better controlled (ACQ range 1.00-
272 1.36), had better quality of life (AQLQ range 5.8-6.8) and lower ICS doses (1000mcg BDP equivalent)
273 at baseline compared to our difficult-to-treat population.

274 Özbey et al [31] performed a randomised controlled trial (n=55) of asthma and BMI $\geq 30.0\text{kg/m}^2$,
275 comparing usual care to a 10-week dietitian-led weight loss program. They report improved asthma
276 control and quality of life scores, however studied an almost entirely female (96%) general asthma
277 population with uncertainty around the diagnosis of asthma, active disease and disease severity.
278 Furthermore, a mean Asthma Control Test (ACT) score of 21 suggests a well-controlled population.
279 The authors correctly question the generalisability based on these limitations.

280 Grandi Silva et al [32] reported a trial of weight loss (nutritional support, psychology input and a
281 varied exercise programme) in women with BMI 35-40kg/m² and moderate-to-severe asthma (n=51)

282 to assess the effect on dynamic hyperinflation. Post-hoc analysis showed improvements in ACQ and
283 AQLQ in those that lost $\geq 5\%$ weight, though no significant change in ACQ when compared to those
284 that lost $< 5\%$. The lack of randomisation, control, as well as unclear details of the intervention and
285 results are limitations.

286 There are several possible limitations in our trial. This proof-of-concept feasibility study was
287 sufficient to detect significant effects, but a larger study is needed to generate definitive results.
288 There were small differences between groups at baseline (age, PEFr, FEV₁ and accelerometry)
289 though, due to randomisation, unlikely to impact on the primary and secondary outcomes we
290 obtained. Baseline asthma control and quality of life measures (which are affected by factors such as
291 lung function and activity levels) were similar in both groups suggesting that potential clinical gains
292 would be similar in both groups. National lockdowns during the COVID-19 pandemic limited data
293 collection (39% complete datasets) for variables requiring physical attendance (specifically blood
294 tests, FeNO, spirometry, 6MWT and accelerometry), though data for primary and key secondary
295 outcomes were complete (see online supplement). With a higher proportion of complete datasets, it
296 would be possible to assess differences in other key outcomes (e.g., lung function and
297 inflammation). As with all weight management studies, this was an open-label trial potentially
298 subject to biases which may affect treatment effect estimates. This trial was conducted in a real-life
299 clinical setting where asthma clinicians could be aware of the substantial effects on body weight
300 from the intervention, and as such blinding would not be feasible. It is feasible that participants
301 pleased with weight loss, in the intervention group, might be more inclined to minimise asthma
302 symptoms and thus generate a more positive response to the intervention than their physiology
303 might reveal. However, this still constitutes a positive beneficial outcome from both patient and
304 healthcare perspectives. Certain variables (e.g., number of exacerbations) were reliant on
305 participant recollection, thus are subject to recall bias. Participants willing to take part in a weight
306 loss trial are more likely to be motivated to lose weight leading to potential selection bias, though
307 this would not detract from clinical value. Key strengths of the trial include the pragmatic and real-
308 world applicability of the intervention. Randomisation led to broadly comparable CWP and UC
309 groups, which adds confidence to reporting results. The population studied is one of difficult-to-treat
310 asthma with frequent exacerbations, an at-risk group that have disease which is troublesome to
311 manage.

312 Longer term follow-up is required to determine whether weight loss is maintained and whether
313 asthma-related benefits persist. Additionally, a future trial with a greater sample size is justified to
314 generate definitive results. Further research should explore the factors associated with successful
315 treatment outcome, and efficacy in the overweight (BMI 25.0-29.9 kg/m²) population with difficult-
316 to-treat asthma.

317

318 **Interpretation**

319 Compared to usual care, use of the Counterweight-Plus weight management programme, with
320 dietitian support, improves asthma control and quality of life as well as dyspnoea and
321 anthropomorphic measures over 16 weeks, in individuals with difficult-to-treat asthma and obesity.
322 Further research is needed to confirm the longer-term outcomes and identify predictors of
323 treatment response.

324

325

326 **Table 1: Baseline characteristics**

Variable	Overall (n = 35)	CWP (n = 18)	UC (n = 17)
Age (years)	52.6 (48.3, 56.9)	56.7 (51.3, 62.1)	48.3 (41.5, 55.1)
Female sex	22 (62.9)	13 (72.2)	9 (52.9)
Smoking status:			
Current smoker	1 (2.9)	0 (0.0)	1 (5.9)
Ex-smoker	19 (54.3)	12 (66.7)	7 (41.2)
Lifelong non-smoker	15 (42.9)	6 (33.3)	9 (52.9)
Smoking (pack years)	15.0 (6.0 to 30.0)	15.0 (5.0 to 22.5)	5.0 (0.0 to 20.0)
Age at asthma diagnosis (years)	30.9 (23.8, 38.1)	34.3 (24.1, 44.4)	27.4 (16.6, 38.2)
Duration of asthma (years)	21.7 (16.5, 27.0)	22.5 (13.7, 31.3)	20.9 (14.3, 27.5)
Atopy	25 (71.4)	12 (66.7)	13 (76.5)
Allergic rhinitis	19 (54.3)	9 (50.0)	10 (58.8)
Perennial rhinitis	16 (45.7)	7 (38.9)	9 (52.9)
Nasal polyps	4 (11.4)	3 (16.7)	1 (5.9)
Nasal surgery	4 (11.4)	3 (16.7)	1 (5.9)
Eczema	13 (37.1)	6 (33.3)	7 (41.2)
GORD	30 (85.7)	16 (88.9)	14 (82.4)
ILO/DFB	8 (22.9)	5 (27.8)	3 (17.6)
Psychological illness	18 (51.4)	8 (44.4)	10 (58.8)
Emphysema	5 (14.3)	3 (16.7)	2 (11.8)
Bronchiectasis	1 (2.9)	1 (5.6)	0 (0.0)
SAFS/ABPA	9 (25.7)	3 (16.7)	6 (35.3)
Diabetes mellitus	4 (11.4)	4 (22.2)	0 (0.0)
Hypertension	9 (25.7)	6 (33.3)	3 (17.6)
Cardiac disease	7 (20.0)	2 (11.1)	5 (29.4)
Osteopenia/osteoporosis	15 (42.9)	6 (33.3)	9 (52.9)
BDP equivalent dose (mcg)	1600 (1600 to 2000)	1600 (1600 to 1600)	2000 (1600 to 2400)
LAMA	33 (94.3)	18 (100.0)	15 (88.2)
Maintenance prednisolone	6 (17.1)	4 (22.2)	2 (11.8)
Prednisolone dose (mg)	4.5 (1.2, 7.8)	4.5 (-1.9, 10.9)	4.5 (-1.9, 10.9)
Montelukast	27 (77.1)	14 (77.8)	13 (76.5)
Theophylline	22 (62.9)	10 (55.6)	12 (70.6)
Azithromycin	7 (20.0)	6 (33.3)	1 (5.9)
Omalizumab	4 (11.4)	1 (5.6)	3 (17.6)
Mepolizumab	8 (22.9)	4 (22.2)	4 (23.5)
Antihistamine	24 (68.6)	11 (61.1)	13 (76.5)
Nasal steroid	24 (68.6)	12 (66.7)	12 (70.6)
PPI/H2A	30 (85.7)	17 (94.4)	13 (76.5)

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1	Previous 12 months:			
2	Prednisolone courses	3 (2 to 5)	4 (2 to 5)	3 (2 to 5)
3	Out of hours GP attendance	0 (0 to 0)	0 (0 to 0)	0 (0 to 0)
4	ED attendance	0 (0 to 0)	0 (0 to 0)	0 (0 to 0)
5	Hospital admissions	0 (0 to 1)	0 (0 to 0)	0 (0 to 1)
6	ICU admissions	0 (0 to 0)	0 (0 to 0)	0 (0 to 0)
7				
8	Weight (kg)	101.7 (91.4 to 118.7)	103.3 (96.9 to 118.3)	97.0 (86.5 to 122.0)
9	BMI (kg/m ²)	37.5 (35.0 to 42.3)	38.2 (35.6 to 45.3)	36.1 (32.7 to 42.5)
10	MRC dyspnoea scale	3 (3 to 4)	3 (3 to 4)	3 (3 to 4)
11	ACQ6	2.8 (2.4, 3.1)	2.8 (2.2, 3.3)	2.8 (2.2, 3.3)
12				
13	AQLQ:			
14	Overall	3.8 (3.4, 4.2)	3.8 (3.3, 4.4)	3.8 (3.2, 4.4)
15	Symptom domain	3.8 (3.4, 4.2)	3.7 (3.2, 4.3)	3.8 (3.2, 4.5)
16	Activity domain	3.8 (3.4, 4.2)	3.9 (3.4, 4.4)	3.7 (3.0, 4.3)
17	Emotional domain	3.8 (3.2, 4.3)	3.6 (2.8, 4.5)	3.9 (3.1, 4.7)
18	Environmental domain	4.1 (3.6, 4.6)	4.0 (3.4, 4.6)	4.2 (3.4, 5.0)
19				
20	HAD:			
21	Anxiety score	8 (6 to 11)	9 (7 to 11)	7 (5 to 11)
22	Depression score	8 (5 to 11)	8 (5 to 11)	9 (7 to 14)
23				
24	Eosinophils (x10 ⁹ /L)	0.11 (0.08 to 0.42)	0.17 (0.08 to 0.42)	0.1 (0.04 to 0.51)
25				
26	FeNO (ppb)	18 (11 to 33)	15 (10 to 35)	20 (13 to 51)
27				
28	PEF (L/min)	375 (334, 415)	318 (275, 360)	435 (374, 496)
29				
30	Spirometry:			
31	Pre-BD FEV ₁ (%)	72.1 (66.0, 78.1)	65.8 (57.1, 74.6)	78.7 (70.7, 86.7)
32	Pre-BD FEV ₁ /FVC (%)	70.4 (67.2, 73.5)	67.9 (62.5, 73.2)	73.0 (69.7, 76.2)
33	Post-BD FEV ₁ change (%)	3.4 (1.3, 5.4)	5.1 (1.5, 8.7)	1.5 (-0.5, 3.6)
34				
35	6MWD (m)	326 (284, 367)	315 (250, 381)	337 (282, 393)
36	Continuous variables described as mean (95% confidence intervals) if parametric or median (first quartile to third quartile) if non-parametric.			
37	Categorical variables described as no. (%).			
38	Abbreviations: ABPA (Allergic Bronchopulmonary Aspergillosis); ACQ6 (Asthma Control Questionnaire-6); AQLQ (Asthma Quality of Life Questionnaire); BD (Bronchodilator); BDP (Beclomethasone dipropionate); BMI (Body Mass Index); CWP (Counterweight Plus); DFB (Dysfunctional breathing); ED (Emergency Department); FeNO (Fractional exhaled Nitric Oxide); FEV ₁ (Forced Expiratory Volume in 1 second); FVC (Forced Vital Capacity); GORD (Gastro-oesophageal Reflux Disease); HAD (Hospital Anxiety and Depression scale); H2A (H2-receptor antagonists); ICU (Intensive Care Unit); ILO (Inducible Laryngeal Obstruction); LAMA (Long-acting anti-muscarinic); LPA (Low Physical Activity); MRC (Medical Research Council); MVPA (Moderate to Vigorous Physical Activity); OOH (Out-of-hours); PEF (Peak Expiratory Flow); ppb (parts per billion); PPI (Proton pump inhibitor); SAFS (Severe Asthma with Fungal Sensitisation); UC (Usual Care); 6MWD (6 minute Walk Distance).			

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329 **Table 2: Intention-to-treat comparison of asthma control and quality of life outcomes between**
 330 **CWP and UC over 16 weeks**

<u>Change in variable</u>	<u>CWP group (n = 17)</u>	<u>UC group (n = 16)</u>	<u>Mean difference between CWP and UC</u>	<u>p-value*</u>
ACQ6	-0.45 (-1.02, 0.13)	0.23 (-0.17, 0.63)	-0.69 (-1.37, -0.01)	0.048
AQLQ	0.81 (0.28, 1.35)	0.08 (-0.32, 0.48)	0.76 (0.18, 1.34)	0.013
AQLQ Symptom	0.98 (0.44, 1.52)	0.25 (-0.13, 0.63)	0.72 (0.14, 1.31)	0.018
AQLQ Activity	0.53 (0.01, 1.05)	-0.13 (-0.73, 0.46)	0.78 (0.08, 1.47)	0.029
AQLQ Emotional	1.47 (0.59, 2.35)	0.66 (0.07, 1.25)	0.72 (-0.16, 1.59)	0.104
AQLQ Environmental	0.52 (-0.26, 1.30)	-0.52 (-1.30, 0.26)	0.98 (0.01, 1.96)	0.048
Variables described as mean (95% confidence intervals).				
*Comparison of mean difference using ANCOVA with baseline variable as covariate.				
Abbreviations: ACQ6 (Asthma Control Questionnaire); AQLQ (Asthma Quality of Life Questionnaire); CWP (Counterweight Plus); UC (Usual Care).				

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333 **Table 3: Intention-to-treat comparison of other outcomes between CWP and UC**

Change in variable	CWP group		UC group		Mean difference between CWP and UC	p-value*
	N	Difference	N	Difference		
Weight (kg)	13	-13.5 (-17.5, -9.6)	9	-1.4 (-3.2, 0.4)	-12.1 (-16.9, -7.4)	<0.001
Total body weight (%)	13	-12.3 (-15.7, -8.8)	9	-1.2 (-3.0, 0.7)	-11.1 (-15.4, -6.9)	<0.001
BMI (kg/m ²)	13	-4.9 (-6.3, -3.5)	9	-0.3 (-1.1, 0.6)	-4.6 (-6.3, -2.9)	<0.001
MRC dyspnoea scale	16	-1 (-1 to 0)	15	0 (0 to 0)	n/a	0.004
HAD:						
Anxiety	17	1 (-1, 3)	16	1 (-1, 2)	0 (-3, 3)	0.972
Depression	17	-1 (-3, 2)	16	1 (-1, 2)	-1 (-4, 2)	0.445
Eosinophils (x10 ⁹ /L)	8	0.05 (0.00 to 0.11)	6	0.00 (-0.23 to 0.12)	n/a	0.228
FeNO (ppb)	8	1 (-3 to 21)	6	-6 (-28 to 18)	n/a	0.573
PEF (L/min)	9	38 (-16, 91)	6	7 (-36, 49)	31 (-37, 99)	0.343
Spirometry:						
Pre-BD FEV ₁ (%)	8	5.5 (-3.2, 14.2)	6	3.7 (-1.4, 8.8)	1.8 (-7.5, 11.1)	0.671
Pre-BD FEV ₁ /FVC	8	-1.96 (-4.23, 0.32)	6	1.09 (-4.25, 6.43)	-3.0 (-8.4, 2.3)	0.224
Post-BD FEV ₁ (%)	8	3.4 (-2.8, 9.6)	6	4.2 (-7.3, 15.7)	-0.8 (-11.5, 9.9)	0.874
Annualised healthcare use:						
Prednisolone courses	17	-2 (-2 to 0)	16	-2 (-3 to 1)	n/a	0.790
OOH GP attendances	17	0 (0 to 3)	16	0 (0 to 3)		0.737
ED attendances	17	0 (0 to 0)	16	0 (0 to 0)		0.557
Hospital admissions	17	0 (0 to 0)	16	0 (-1 to 0)		0.510
ICU admissions	17	0 (0 to 0)	16	0 (0 to 0)		1.000
6MWD (m)	8	8 (-16, 31)	5	0 (-50, 50)	8 (-34, 49)	0.698

Variables described as mean (95% confidence intervals) for parametric or median (first quartile to third quartile) for non-parametric.
*Comparison using independent t test for parametric or Mann Whitney U test for non-parametric data.
Annualised healthcare use variables compare change from baseline data (number of events in prior 12 months) to 16-weeks ((number of events x 365)/number of days between visits).
Abbreviations: BD (Bronchodilator); BMI (Body Mass Index); CWP (Counterweight Plus); ED (Emergency Department); FeNO (Fractional exhaled Nitric Oxide); FEV₁ (Forced Expiratory Volume in 1 second); FVC (Forced Vital Capacity); HAD (Hospital Anxiety and Depression scale); ICU (Intensive Care Unit); MRC (Medical Research Council); OOH (Out-of-hours); PEF (Peak Expiratory Flow); ppb (parts per billion); UC (Usual Care); 6MWD (6 minute Walk Distance).

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336 **Table 4: Post-hoc comparison of asthma control and quality of life with CWP by percentage weight**
 337 **loss**

Change in variable	<10% group (n = 3)	10-15% group (n = 6)	≥15% group (n = 4)	p value*
ACQ6	-0.1 (-2.0, 1.8)	-0.7 (-1.6, 0.3)	-1.2 (-3.1, 0.7)	0.390
AQLQ	0.2 (-2.1, 2.5)	0.6 (-0.1, 1.3)	1.4 (-0.8, 3.6)	0.309
AQLQ Symptom	0.5 (-1.1, 2.0)	0.9 (0.1, 1.8)	1.7 (-0.4, 3.9)	0.259
AQLQ Activity	-0.1 (-4.2, 4.0)	0.4 (-0.3, 1.0)	1.3 (-0.5, 3.1)	0.236
AQLQ Emotional	0.8 (-2.7, 4.3)	1.0 (-0.4, 2.4)	1.4 (-1.8, 4.6)	0.876
AQLQ Environmental	-0.4 (-3.8, 3.0)	0.3 (-1.7, 2.3)	0.9 (-1.2, 2.9)	0.625
Variables described as mean (95% confidence intervals).				
*Comparison of mean difference using ANOVA.				
Abbreviations: ACQ6 (Asthma Control Questionnaire); AQLQ (Asthma Quality of Life Questionnaire).				

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339 **Figure captions**

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341 Figure 1: CONSORT flow chart.

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343 Figure 2: Change in Asthma Control Questionnaire (ACQ6) score (top left), Asthma Quality of Life
344 Questionnaire (AQLQ) score overall (top right), symptom domain (middle left), activity domain
345 (middle right), emotional domain (bottom left) and environmental domain (bottom right), between
346 Counterweight-Plus group (CWP) and usual care (UC) at baseline (V1) and 16-weeks (V2). P value
347 compares change in variable between CWP and UC with independent t test.

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349 Figure 3: Proportion of participants achieving minimal clinically important difference in Asthma
350 Control Questionnaire (ACQ6) and Asthma Quality of Life Questionnaire (AQLQ) with Counterweight-
351 Plus group (CWP) and usual care (UC) over 16-weeks. Compared using chi-square or Fisher's exact. *
352 denotes significant result; ns = not significant.

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436 Take home point

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2 437 Study question: Can use of the Counterweight-Plus weight management programme improve
3 438 asthma control and quality of life in patients with difficult-to-treat asthma and obesity, compared to
4 439 usual care? Results: Over 16 weeks, the Counterweight-Plus programme resulted in clinically
5
6 440 relevant improvements in both asthma control and quality of life indices, with substantial weight
7 441 loss, as compared to usual care. Interpretation: Initial results using this programme are encouraging,
8 442 and adherence to the programme was better-than-expected, though longer-term outcomes are
9 443 awaited to assess sustainability of the benefits seen.

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62
63
64
65

Figure 1 – CONSORT flow chart

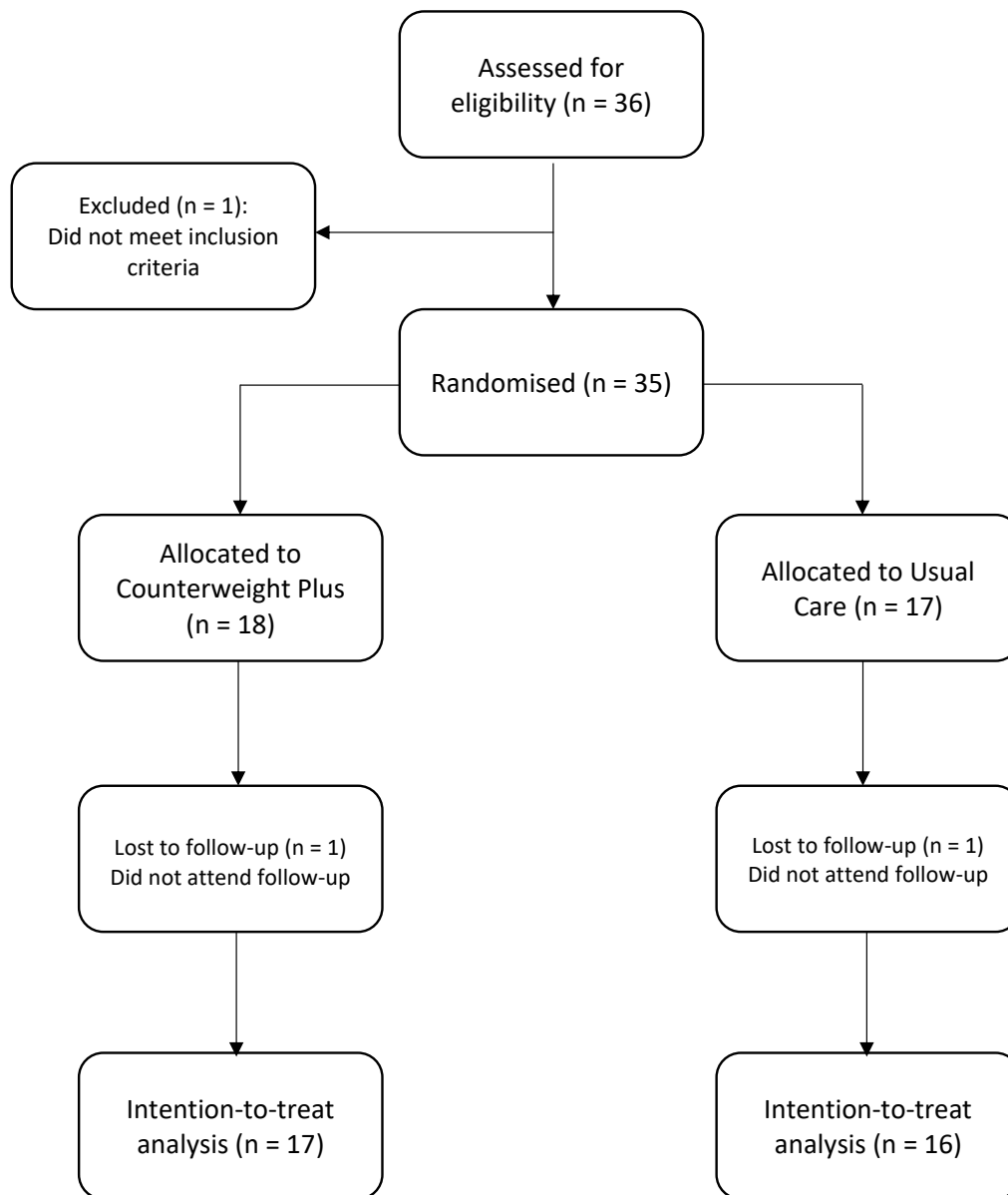


Figure 2

[Click here to access/download;Figure;Figure_2_v2.png](#)

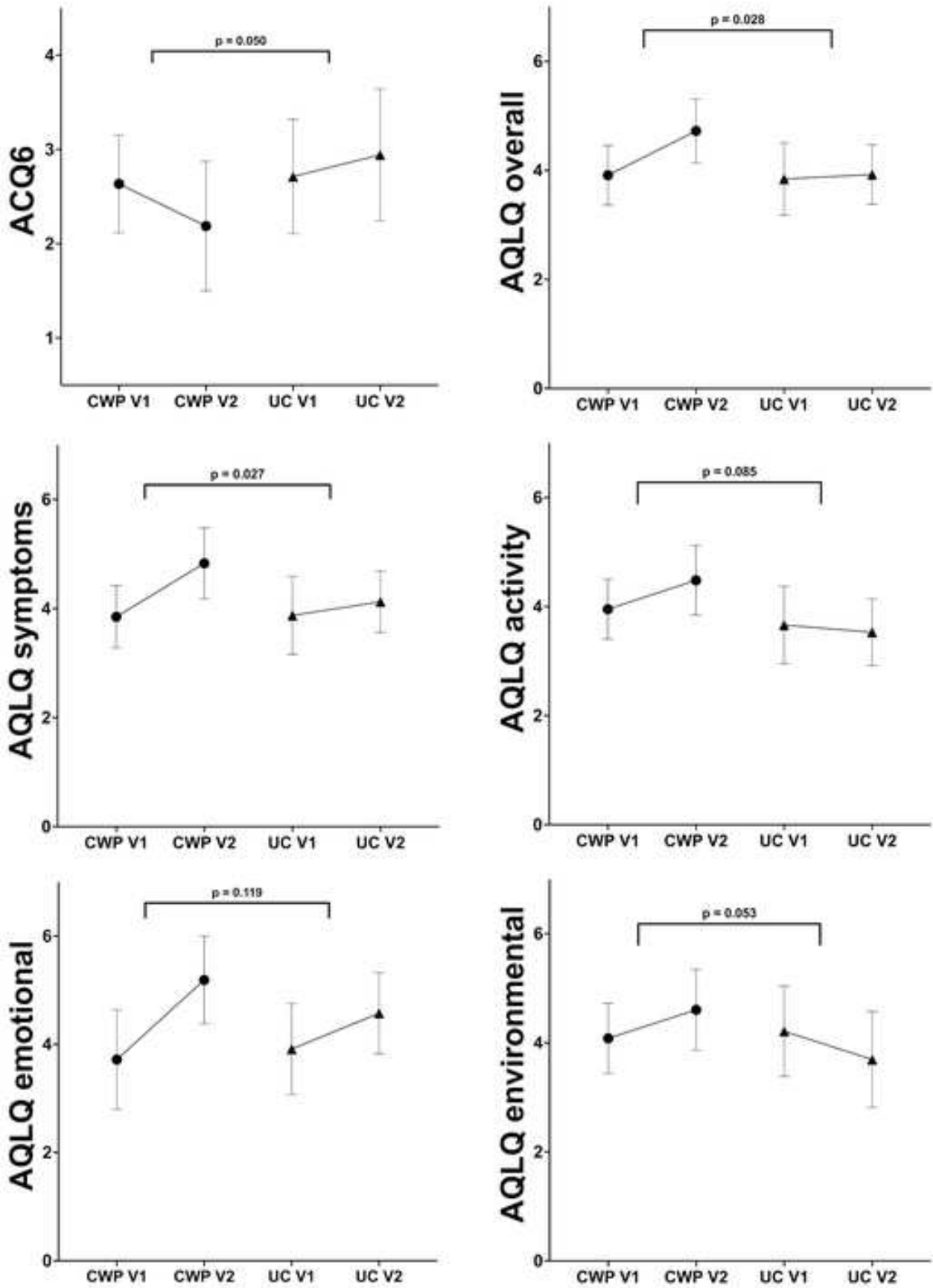


Figure 3

[Click here to access/download;Figure;rename;Figure_3.png](#)

