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## Auto-commissioning of a Monte Carlo electron beam model with application to photon MLC shaped electron fields

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6 1 **Auto-commissioning of a Monte Carlo electron beam model**  
7 **with application to photon MLC shaped electron fields**  
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10 3 **M.K. Fix, D. Frei, S. Mueller, G. Guyer, H.A. Loebner, W. Volken and P. Manser**

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## 20 **Abstract**

21 *Objective:* Presently electron beam treatments are delivered using dedicated applicators. An alternative is the  
22 usage of the already installed photon multileaf collimator (pMLC) enabling efficient electron treatments.  
23 Currently, the commissioning of beam models is a manual and time-consuming process. In this work an auto-  
24 commissioning procedure for the Monte Carlo (MC) beam model part representing the beam above the pMLC is  
25 developed for TrueBeam systems with electron energies from 6 to 22 MeV.

26 *Approach:* The analytical part of the electron beam model includes a main source representing the primary beam  
27 and a jaw source representing the head scatter contribution each consisting of an electron and a photon component,  
28 while MC radiation transport is performed for the pMLC. The auto-commissioning of this analytical part relies  
29 on information pre-determined from MC simulations, in-air dose profiles and absolute dose measurements in  
30 water for different field sizes and source to surface distances (SSDs). For validation calculated and measured dose  
31 distributions in water were compared for different field sizes, SSDs and beam energies for eight TrueBeam  
32 systems. Furthermore, a sternum case in an anthropomorphic phantom was considered and calculated and  
33 measured dose distributions were compared at different SSDs.

34 *Main Results:* Instead of the manual commissioning taking up to several days of calculation time and several  
35 hours of user time, the auto-commissioning is carried out in a few minutes. Measured and calculated dose  
36 distributions agree generally within 3% of maximum dose or 2 mm. The gamma passing rates for the sternum  
37 case ranged from 96% to 99% (3% (global)/2 mm criteria, 10% threshold).

38 *Significance:* The auto-commissioning procedure was successfully implemented and applied to eight TrueBeam  
39 systems. The newly developed user-friendly auto-commissioning procedure allows an efficient commissioning of  
40 an MC electron beam model and eases the usage of advanced electron radiotherapy utilizing the pMLC for beam  
41 shaping.

42  
43 **Keywords:** Electron radiotherapy, Monte Carlo, beam modelling, dose calculation  
44

45

## 46 **1. Introduction**

47 Over the last few decades, an enormous effort was made to replace patient-specific blocks in photon  
48 radiotherapy with a photon multileaf collimator (pMLC). Initially, the pMLC substantially improved  
49 treatment efficiency and safety (Brewster *et al.*, 1995; Boyer *et al.*, 2001). Along with such new  
50 hardware, expansions of new treatment planning capabilities like inverse treatment planning were  
51 accomplished. These further enabled the development of dynamic delivery techniques like intensity  
52 modulated radiotherapy (IMRT) or volumetric modulated arc therapy (VMAT), which both are  
53 current state-of-the-art delivery techniques in photon radiotherapy (Convery and Rosenbloom, 1992;  
54 Bortfeld *et al.*, 1994; Yu, 1995; Otto, 2008). More recently new delivery techniques including even  
55 more degrees of freedom such as dynamic trajectory radiotherapy (DTRT) (Fix *et al.*, 2018; Guyer *et*  
56 *al.*, 2022) were proposed (Smyth *et al.*, 2019). However, a similar effort was not made for electron  
57 radiotherapy. Standard electron treatments are still applied using the cumbersome and inefficient  
58 standard or molded patient-specific cut-out placed in dedicated electron applicators for which limited  
59 planning features are available (Klein *et al.*, 2008). The usage of standard electron treatments needs  
60 effort in commissioning and maintenance for each energy-applicator combination and the fabrication  
61 of cut-outs including toxic materials (Fix *et al.*, 2013; Skinner *et al.*, 2019). Furthermore, combined  
62 photon and electron treatments have to be interrupted to mount and dismount the heavy add-on  
63 applicators (Henzen *et al.*, 2014b). In addition, treatment errors due to accidentally using a wrong cut-  
64 out are a potential risk (Mueller *et al.*, 2018a). All these issues negatively affect the workflow in  
65 clinical routine and make intensity and energy modulation of electron beams virtually unrealizable.  
66 Thus, the potential of electron radiotherapy is not yet utilized, although their sharp distal dose fall-off  
67 in tissue provides fundamentally different characteristics compared with photon beam dose  
68 distributions. This characteristic makes electron beams suitable for treatments of superficial targets. In  
69 research institutions the potential of electron beam dose characteristics was investigated by means of  
70 inverse planning based advanced techniques. These techniques include modulated electron  
71 radiotherapy (MERT) using either a few leaf electron collimator (Al-Yahya *et al.*, 2005; Al-Yahya *et*

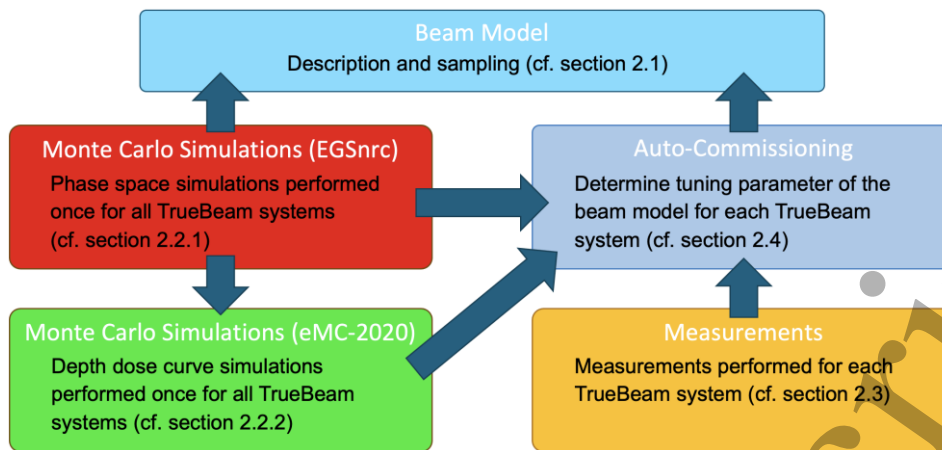
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3 72 *al.*, 2007; Alexander *et al.*, 2010; Eldib *et al.*, 2013), a dedicated add-on electron MLC (Engel and  
4  
5 73 Gauer, 2009; Vatanen *et al.*, 2009; Gauer *et al.*, 2010; O'Shea *et al.*, 2011b; Jin *et al.*, 2014) or the  
6  
7 74 pMLC (du Plessis *et al.*, 2006; Jin *et al.*, 2008; Klein *et al.*, 2008; Klein *et al.*, 2009; Salguero *et al.*,  
8  
9 75 2009; Salguero *et al.*, 2010; Mihaljevic *et al.*, 2011; Henzen *et al.*, 2014c; Henzen *et al.*, 2014a; Lloyd  
10  
11 76 *et al.*, 2016; Kaluarachchi *et al.*, 2020). Using pMLC based collimation devices for electron beams  
12  
13 77 offers great advantages with respect to the above-mentioned limitations for current standard electron  
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15 78 treatments. The usage of the pMLC has the additional benefit that the pMLC is already part of the  
16  
17 79 treatment unit head. Thus, no additional add-on hardware has to be mounted or dismounted, which  
18  
19 80 improves safety, reduces workload for radiation therapy technologists and avoids gantry sag due to  
20  
21 81 the weight of an electron beam add-on device. Using the pMLC for electron beams is also valuable  
22  
23 82 for the treatment workflow, specifically for advanced treatment techniques such as MERT, mixed  
24  
25 83 beam radiotherapy (MBRT) (Klein *et al.*, 2008; Surucu *et al.*, 2010; Ge and Faddegon, 2011; Palma *et al.*,  
26  
27 84 *al.*, 2012; Renaud *et al.*, 2017, 2019; Heng *et al.*, 2021; Mueller *et al.*, 2022) or dynamic mixed beam  
28  
29 85 radiotherapy (Mueller *et al.*, 2018b).

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32  
33 86 Using a Monte Carlo (MC) based beam model and dose calculation for predicting the dose  
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35 87 distribution of electron beams in radiotherapy is well established and available in commercial  
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37 88 products for standard electron beam applications (Cygler *et al.*, 2004; Cygler *et al.*, 2005; Ding *et al.*,  
38  
39 89 2005; Ding *et al.*, 2006; Pemler *et al.*, 2006; Popple *et al.*, 2006; Fragoso *et al.*, 2008; Edimo *et al.*,  
40  
41 90 2009; Ali *et al.*, 2011; Ojala *et al.*, 2016; Huang *et al.*, 2019; Snyder *et al.*, 2019). In order to apply  
42  
43 91 electron beams with field sizes shaped by the pMLC, the currently used beam models in treatment  
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45 92 planning systems for applicator-based electron beam delivery are not appropriate. Instead of the  
46  
47 93 applicator, the pMLC has to be considered in the beam model. Furthermore, a commissioning  
48  
49 94 procedure for such a beam model is an essential requirement for widespread use. Currently, such  
50  
51 95 procedures are performed mainly manually and typically in an iterative manner including substantial  
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53 96 computational resources and many user interactions (Leal *et al.*, 2004; Jin *et al.*, 2008; Klein *et al.*,  
54  
55 97 2008; Mihaljevic *et al.*, 2011; Henzen *et al.*, 2014c; Lloyd *et al.*, 2016; Mueller *et al.*, 2018a;  
56  
57 98 Kaluarachchi *et al.*, 2020). An automated commissioning was presented by Henzen *et al.* (2014c)  
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1  
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3 99 applied for a single Clinac and a single TrueBeam system for different field sizes at a source to  
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5 100 surface distance (SSD) of 70 cm. However, this commissioning procedure is limited to a sub-set of  
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7 101 beam model parameters, which are not sufficient for a larger range of SSDs and TrueBeam systems.  
8  
9 102 Therefore, manual commissioning steps have to be performed for the remaining beam model  
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11 103 parameter. Hence, the currently applied approaches are time consuming meaning the commissioning  
12  
13 104 takes up to several days. In addition, dedicated MC expertise as well as a detailed knowledge of the  
14  
15 105 beam model are needed. In this work a fast (i.e. ~ minutes) and user-friendly auto-commissioning  
16  
17 106 process of the beam model part representing the beam above the pMLC was developed for TrueBeam  
18  
19 107 systems (Varian Medical Systems, Palo Alto, CA) using the pMLC for shaping the electron fields and  
20  
21 108 electron energies ranging from 6 to 22 MeV. This auto-commissioning was then validated for eight  
22  
23 109 different TrueBeam systems.  
24  
25  
26

## 27 110 **2. Methods**

28  
29 111 The development of the proposed auto-commissioning procedure for the beam model part representing  
30  
31 112 the beam above the pMLC consists of different parts illustrated in Figure 1 and described in detail in  
32  
33 113 this section. First the beam model itself including the sampling procedure is described (cf. section 2.1).  
34  
35 114 Next information of the MC simulations performed using EGSnrc (cf. section 2.2.1) and simulations  
36  
37 115 using the electron MC (eMC) algorithm eMC-2020 (cf. sections 2.2.2 and 2.5) are provided. These MC  
38  
39 116 simulations include the pre-determined information to be performed only once, meaning the resulting  
40  
41 117 data is used for all TrueBeam systems. Finally, the descriptions of necessary measurements of a specific  
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43 118 TrueBeam system (cf. section 2.3) and the auto-commissioning (cf. section 2.4), which determines the  
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45 119 tunable parameters of the beam model, are provided.  
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**Figure 1.** Overview of different parts of the proposed procedure. The arrows indicate which part provides input information for other parts in the procedure. (eMC-2020 refers to the electron MC algorithm described in section 2.5)

## 2.1. Electron beam model

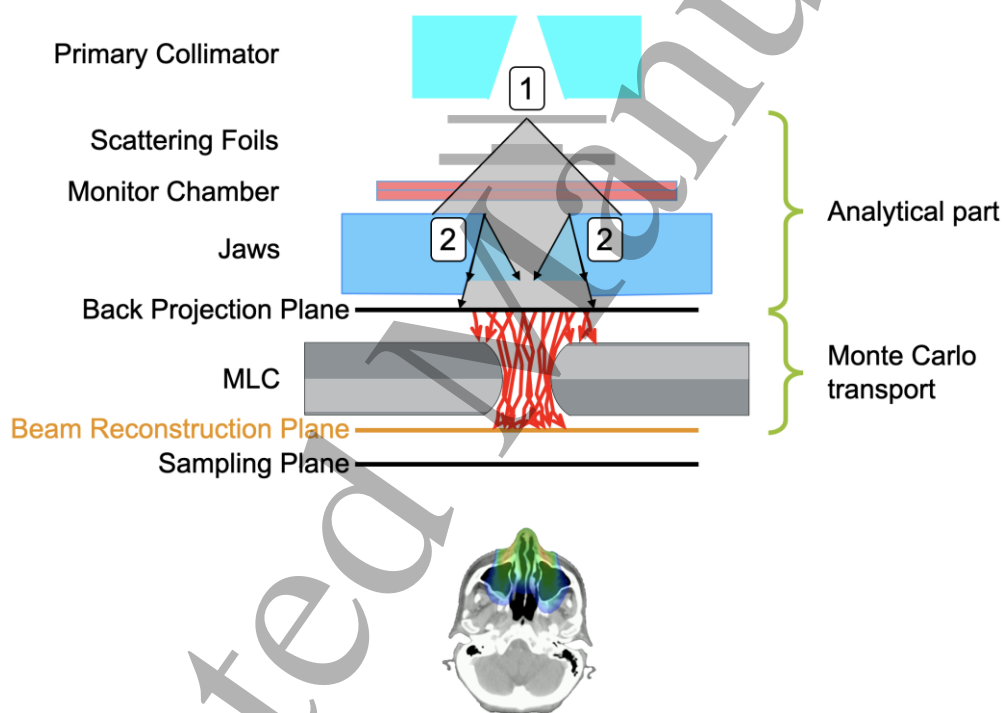
In this section the beam model and the corresponding sampling procedure is described. A schematic view is depicted in Figure 2.

### 2.1.1. Beam model

The beam model considered in this work is based on the beam model described in Henzen *et al.* (2014c). The beam defining components of the accelerator are illustrated in Figure 2, which are represented by the two sources in the analytical part and the pMLC as the patient specific component for which MC radiation transport is performed.

The primary electrons and bremsstrahlung photons are characterized by a main source with a focus  $f$  located closely to the scattering foil system in the linear accelerator head of a TrueBeam system. In addition, the main source (electrons and photons) is associated with a two-dimensional lateral Gaussian shaped intensity origin distribution with a standard deviation  $\sigma_f$ . To determine the initial direction of the source particle, a fluence distribution in a plane typically located at an SSD of 70 or 75 cm, referred to as sampling plane in Figure 2, is related to this source. In addition, an electron and photon energy spectrum are assigned to the main source. The scattered electrons and photons of all components in the treatment head except the scattering foil are associated with the jaw source, which consists of four sub-sources linked to the four secondary collimator jaws. The secondary collimator jaws are set to a patient independent static field size of 15 x 35 cm<sup>2</sup> for

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3 143 accelerators equipped with a Millennium 120 pMLC (M120), while this field size is reduced to  
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5 144  $15 \times 17 \text{ cm}^2$  in the case where the high-definition pMLC (HDMLC) is used. These settings remain the  
6  
7 145 same for all electron beam energies. Each sub-source defines a line source for electrons and photons  
8  
9 146 similar to the line source defined in a previous publication for applicator-based electron radiotherapy  
10  
11 147 (Fix *et al.*, 2013). The origin distribution is represented by a horizontal line on the inner side of the  
12  
13 148 jaw for which the width corresponds to the jaw setting of the corresponding field size. Similar to the  
14  
15 149 main source, a fluence distribution in the sampling plane is used to determine the initial direction of  
16  
17 150 the source particle. Furthermore, an electron and photon energy spectrum are associated with the line  
18  
19 151 source. Finally, a sampling procedure for the beam model is defined to provide a particle for the dose  
20  
21 152 calculation algorithm in the beam reconstruction plane (Figure 2).



153  
154 **Figure 2.** Schematic view of the proposed beam model and dose calculation algorithm. The beam model  
155 consists of a patient independent analytical part ([1] = main source and [2] = jaw source) followed by a patient  
156 specific Monte Carlo radiation transport layer. The beam model reconstructs the electron beam of a specific  
157 beam energy in the beam reconstruction plane starting from a sampling plane followed by a dedicated back  
158 projection algorithm to the back projection plane from where the Monte Carlo transport is applied. The particles  
159 are then provided for the dose calculation algorithm for which the electron Monte Carlo is used (cf. section 2.5).

### 161 2.1.2. Sampling procedure

162 The sampling procedure applied for the beam model reconstructs the radiation beam in the beam  
163 reconstruction plane (Figure 2) and consists of the following steps:



- 164 1. Sample the sub-source.
  - 165 2. Sample a point in the beam sampling plane from the two-dimensional fluence distribution for  
166 the sub-source determined in step 1.
  - 167 3. Sample the energy from the energy distribution for the sub-source determined in step 1.
  - 168 4. Determine the initial direction by connecting the point in the beam sampling plane (step 2)  
169 with a sampled point from the origin distribution for the sub-source determined in step 1.
  - 170 5. In case of a photon particle, ray-tracing is applied to determine if the photon hits the jaws. In  
171 case the photon hits the jaws, the photon is rejected. Otherwise, the location in the back  
172 projection plane (Figure 2) is determined by ray-tracing.
  - 173 6. In case of an electron particle, the initial direction of the electron determined in step 4 is  
174 corrected in order to account for the in-air scatter along the path from the origin to the  
175 sampling plane. The correction values for the direction cosines  $u$  and  $v$  for the electron is  
176 sampled from a Gaussian distribution with an energy  $E$  dependent standard deviation  $\sigma_d(E)$ ,  
177 which is determined using the Highland approximation for in-air scatter corrections (Lynch  
178 and Dahl, 1991). At this stage the sampling of the starting point does not take into account the  
179 impact of the jaws. The impact of the jaws is corrected by back projecting the electrons to the  
180 plane of the jaws. This procedure was described in Fix *et al.* (2010; 2013) except that in this  
181 work the Highland approximation for in-air scatter is used. With this procedure it is  
182 determined whether or not the electron passes through the opening of the jaws. The electron is  
183 rejected if it does not pass through the opening of the jaws. Otherwise, the electron is  
184 projected to the back projection plane.
  - 185 7. For the non-rejected particle, MC radiation transport through the pMLC starts in the back  
186 projection plane downstream to the beam reconstruction plane. In case the particle or all  
187 potentially created secondary particles reach this plane, they are passed on to the dose  
188 calculation algorithm.
- 189 Thus, for the commissioning procedure of the proposed beam model representing the analytical part  
190 the following parameters have to be determined for the specific electron beam energy: For the main  
191 electron and photon source the focus position, the focus size, the fluence distribution and the energy

1  
2  
3 192 spectrum in the sampling plane and for the jaw source or more specifically for each of the four  
4  
5 193 electron and photon sub-sources the origin distribution as well as the fluence distribution and energy  
6  
7 194 spectrum in the sampling plane. In addition, the weight of each individual sub-source has to be  
8  
9 195 determined.

## 12 196 **2.2. Pre-determined MC simulations**

14 197 In this work, the auto-commissioning of the beam model for a specific treatment unit of a TrueBeam  
15  
16 198 system is performed based on a sampling plane at an SSD of 70 or 75 cm, which does not have to  
17  
18 199 coincide with the beam reconstruction plane expected to be closer to the pMLC. The secondary  
19  
20 200 collimator jaws are set to a static field of 15 x 35 cm<sup>2</sup> or 15 x 17 cm<sup>2</sup> when the TrueBeam system is  
21  
22 201 equipped with the M120 or the HDMLC, respectively. For these settings pre-calculated information is  
23  
24 202 determined by MC simulations for a treatment unit in general, that is independent of a specific linear  
25  
26 203 accelerator instance, and described in the following sections.

### 30 204 *2.2.1. MC simulation using EGSnrc*

32 205 This part consists of full MC simulations using EGSnrc as the transport code (version 2020)  
33  
34 206 (Kawrakow and Rogers, 2002). For these simulations BEAMnrc (Rogers *et al.*, 1995) was applied to  
35  
36 207 model the beam defining components including the primary collimator, the scattering foil system, the  
37  
38 208 monitor chamber, the secondary collimator jaws and the reticle for the different electron beam  
39  
40 209 energies. The input is based on confidential information from Varian Medical System (Palo Alto, CA)  
41  
42 210 for Clinac linear accelerators together with physical measurements on scattering foils performed,  
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44 211 which is considered to be suitable to represent a TrueBeam system for the purpose of this work and  
45  
46 212 supported by the work of Lloyd *et al.* (2015). During these simulations, phase space files in the  
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48 213 sampling planes at SSD = 70 and 75 cm for the maximal field size possible (40 x 40 cm<sup>2</sup>), and the  
49  
50 214 field size used for the beam model were generated. These phase space files were analyzed in order to  
51  
52 215 determine radiation beam characteristics. One aspect of the phase space file analyses was the particle  
53  
54 216 fluence referred as fluence in the following. For this purpose, all particles (electrons and photons)  
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56 217 from the scattering foil system were initially assigned to the main source. However, if the particle  
57  
58 218 interacts in one of the secondary collimator jaws, they were re-assigned to the jaw source of the

1  
2  
3 219 corresponding collimator jaw. For the purpose of particle assignment to a source, the particle history  
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5 220 was scored during the MC simulation and accordingly stored in the phase space file. During the  
6  
7 221 evaluation of the phase space file, the particles can then be sorted according to the different sources  
8  
9 222 considered. This is especially important as the contributions of the different sources cannot be  
10  
11 223 separated in the measurement data, but are needed for the auto-commissioning.

12  
13  
14 224 In addition to the fluence in the sampling plane, also the origin distribution for the jaw source was  
15  
16 225 analyzed based on the phase space files. As mentioned above, the origin distribution for each jaw sub-  
17  
18 226 source is a line located on the beam shaping surface of each jaw. While the true origin distribution  
19  
20 227 covers the complete surface along the beam direction, this distribution is not homogeneous and the  
21  
22 228 line determined represents the average value of the extracted distribution from the phase space files.

23  
24  
25  
26 229 Apart from the determination of the fluence also the energy spectrum of each photon source (main  
27  
28 230 and jaw) was extracted from the phase space file for each electron beam energy.

29  
30  
31 231 Finally, the depth dose curve for the jaw sources was calculated by performing MC simulations using  
32  
33 232 DOSXYZnrc with the corresponding particles from the phase space files as input. All these  
34  
35 233 simulations were performed for each electron beam energy considered, namely 6, 9, 12, 15, 16, 18, 20  
36  
37 234 and 22 MeV.

38  
39  
40 235 In summary, this part provides the following input to the eMC-2020 simulations (cf. section 2.2.2),  
41  
42 236 the auto-commissioning (cf. section 2.4) as well as the beam model (cf. Figure 1):

- 43  
44  
45 237
- 46 • Electron fluence of the jaw source used in the eMC-2020 simulations, the auto-  
47 commissioning as well as the beam model  
48
  - 49 239 • Photon fluence of the main and jaw source used in the eMC-2020 simulations, the auto-  
50 commissioning as well as the beam model  
51
  - 52 240 • Origin distribution of the jaw source used in the eMC-2020 simulations and the beam model  
53
  - 54 241 • Photon energy distributions of the main and jaw source used in the eMC-2020 simulations  
55
  - 56 242 and the beam model  
57
  - 58 243
- 59  
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- 1  
2  
3 244 • Depth dose curve in water of the jaw source used in the auto-commissioning  
4  
5

6 245 2.2.2. *Simulation using eMC-2020*  
7

8 246 In addition to the MC simulation described in the previous section, additional depth dose calculations  
9  
10 247 are needed for the auto-commissioning using the identical dose calculation algorithm as for which the  
11  
12 248 beam model is commissioned. In this study the electron MC (eMC) algorithm eMC-2020 (cf. section  
13  
14 249 2.5) is used. This guarantees the reproducibility of calculated dose distributions when using the  
15  
16 250 commissioned beam model in conjunction with eMC-2020. In total four different sets of depth dose  
17  
18 251 curves were calculated, namely for:

- 21  
22 252 • mono-energetic electrons of the main electron source  
23  
24 253 • mono-energetic electrons of the jaw electron source  
25  
26 254 • the main photon source using the energy spectrum from the EGSnrc simulation  
27  
28 255 • the jaw photon source using the energy spectrum from the EGSnrc simulation  
29  
30

31 256 Thereby for the main sources the calculations were performed for different locations of the focus  $f$  of  
32  
33 257 the main source at distances of 6, 8, 10, 12 and 14 cm from the upper surface of the photon  
34  
35 258 bremsstrahlung target being the typically used origin of the central beam axis. In addition, for each  
36  
37 259 focus position  $f$  different  $\sigma_f$  values of 0.0, 0.5, 1.0, 1.5 and 2.0 cm were considered. For all the four  
38  
39 260 above mentioned sets of depth dose calculations the following situations were included: field sizes of  
40  
41 261  $15 \times 35 \text{ cm}^2$  and  $15 \times 17 \text{ cm}^2$ , SSD1 = sampling plane (70 or 75 cm) and SSD2 = 90 cm, pMLC  
42  
43 262 shaped field sizes of  $2 \times 2 \text{ cm}^2$ ,  $5 \times 5 \text{ cm}^2$  and fully retracted pMLC leaves.  
44  
45  
46

47 263 **2.3. Measurements**  
48

49 264 In order to perform the auto-commissioning a set of dose measurements are needed to determine the  
50  
51 265 tuning parameters of the beam model. For the proposed auto-commissioning procedure, the following  
52  
53 266 set of measurements for each electron beam energy are required:  
54  
55

- 56 267 • relative in-air dose profiles in crossline and inline directions with the pMLC fully retracted  
57  
58 268 for a field size of  $40 \times 40 \text{ cm}^2$  at SSD1  
59  
60

- 269 • depth dose curves in water at SSD1 and SSD2 in units of cGy/MU with the pMLC fully  
 270 retracted and field sizes of either 15 x 35 cm<sup>2</sup> or 15 x 17 cm<sup>2</sup> depending on the pMLC type  
 271 available
- 272 • depth dose curves in water at SSD1 in units of cGy/MU and pMLC shaped field sizes of  
 273 5 x 5 cm<sup>2</sup> and 2 x 2 cm<sup>2</sup>

274 The complete set of commissioning measurements were performed for in total eight TrueBeam  
 275 systems with seven TrueBeam systems equipped with a M120 and one TrueBeam system equipped  
 276 with an HDMLC. Thereby, the electron beam energy ranges from 6 to 22 MeV. Table 1 summarizes  
 277 the data available.

278 **Table 1.** Overview of the TrueBeam systems including the set of electron beam energies, for which a complete  
 279 commissioning data set together with additional validation measurements were available. The last column  
 280 provides information of the water tank and detector (field & reference detector) equipment used for the  
 281 measurements (mD = microDiamond; SF = Semiflex 31010; D = diode TW60017; E = EDGE-Detector).

TB-System	6 MeV	9 MeV	12 MeV	15 MeV	16 MeV	18 MeV	20 MeV	22 MeV	measurement equipment used
Millennium-1	X	X	X	X		X		X	MP3 mD & SF
Millennium-2	X	X	X	X		X		X	BEAMSCAN mD & SF
Millennium-3	X	X	X	X		X		X	BEAMSCAN mD & SF
Millennium-4	X	X	X	X		X			MP3 mD & SF
Millennium-5	X	X	X	X		X			MP3 mD & SF
Millennium-6	X	X	X	X		X			BEAMSCAN mD & SF
Millennium-7		X	X				X		3D Scanner D & E
HDMLC-1	X	X	X		X		X		BEAMSCAN mD & SF

282

283 These measurements were performed using a MP3, a BEAMSCAN (both PTW Freiburg, Germany)  
 284 or a 3D Scanner (Sun Nuclear, Melbourne, FL) water tank. For the two PTW water tanks a  
 285 microDiamond and a Semiflex 31010 (both PTW, Freiburg, Germany) were used as field detector and  
 286 reference detector, respectively. In case of the 3D scanner water tank a diode detector TW60017

287 (PTW, Freiburg, Germany) and an EDGE-Detector (Sun Nuclear, Melbourne, FL) was used as field  
 288 detector and reference detector, respectively.

#### 289 **2.4. Auto-commissioning**

290 The auto-commissioning procedure is illustrated in Figure 3:

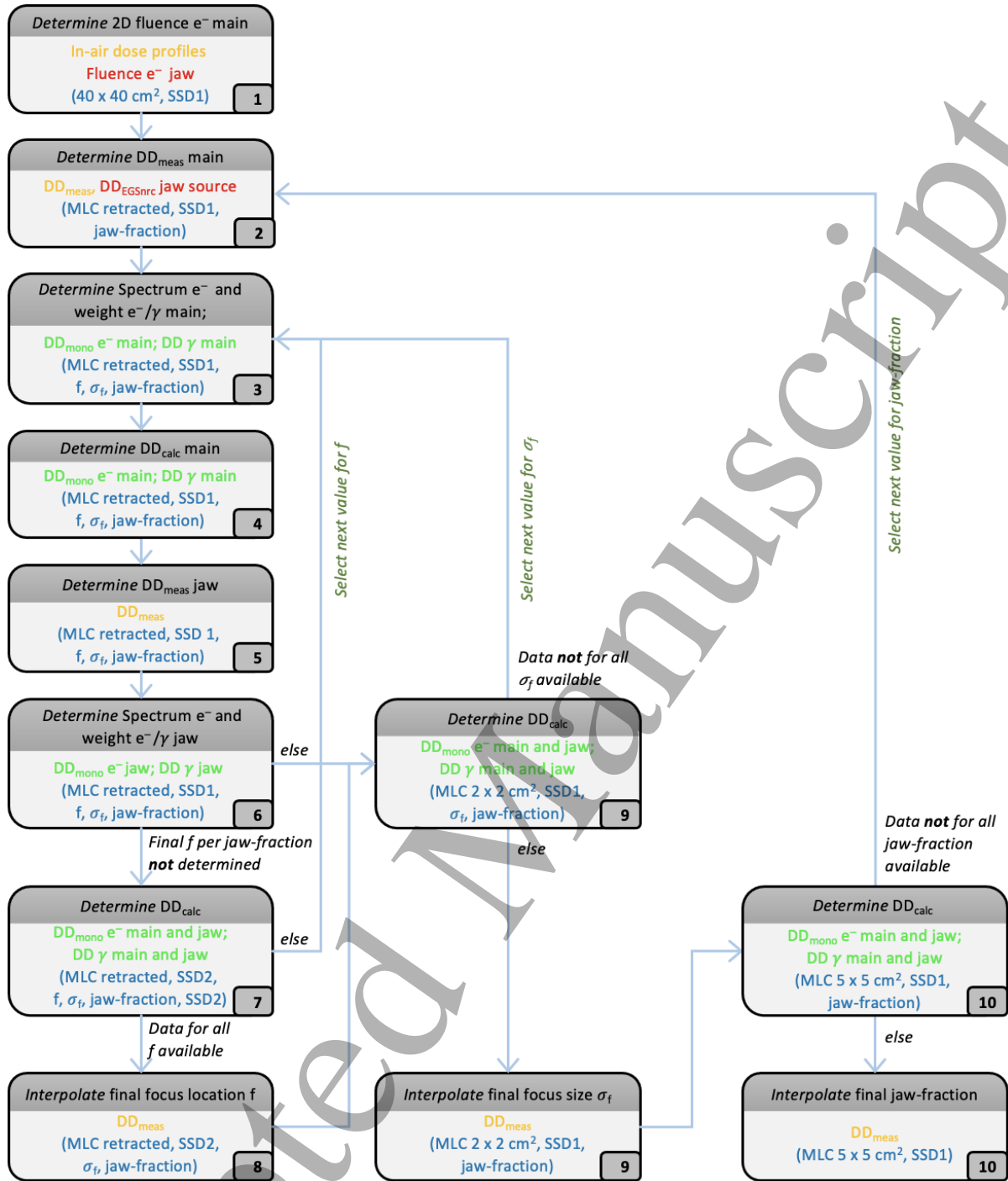
- 291 1. The first step in the auto-commissioning part is to construct a two-dimensional fluence  
 292 distribution for the main electron source. For this purpose, the measured in-air profiles in  
 293 crossline and inline direction at SSD1 using a 40 x 40 cm<sup>2</sup> field size (at iso-center) are used.  
 294 The corresponding contribution from electrons from the secondary collimator jaws as  
 295 determined by MC simulations using EGSnrc (cf. Figure 2) are subtracted from these  
 296 measured in-air profiles. This results in measured fluence profiles for the main electron  
 297 source  $p_x$  and  $p_y$  in crossline and inline direction. The two-dimensional fluence distribution  
 298  $f_{main_{e^-}}$  for the electrons of the main source is then determined by the following equation:

$$299 \quad f_{main_{e^-}} = \frac{p_x(r)+p_x(-r)+p_y(r)+p_y(-r)}{4} \quad (1)$$

300 For the photons of the main source the fluence distribution as determined by the EGSnrc MC  
 301 simulation is used.

- 302 2. In the next step, the measured depth dose contribution from the main source (electrons and  
 303 photons) at SSD1 is extracted. This is done by subtracting the pre-calculated jaw source depth  
 304 dose curve using EGSnrc MC simulations from the measured depth dose curve. In this step  
 305 the depth dose contribution from the jaws is multiplied by a jaw-fraction factor with values of  
 306 1, 2, 4, 6, 8 or 10% of the measured depth dose curve around the maximum dose.
- 307 3. Then the measured depth dose contribution from the main source is de-convolved with the  
 308 corresponding pre-calculated depth dose curves for mono-energetic electrons and the photon  
 309 depth dose curve for the main source. This results in the energy spectrum of the electrons of  
 310 the main source as well as the source weight of the photons of the main source.
- 311 4. Now the contribution to the depth dose curve in water of the electrons and photons from the  
 312 main source can be calculated at SSD1.

- 1  
2  
3 313 5. The depth dose curve contribution determined in step 4 is now subtracted from the measured  
4  
5 314 depth dose curve leading to the measured depth dose associated with the jaw source.  
6  
7 315 6. Similar to step 3 the resulting measured depth dose curve from step 5 is de-convolved with  
8  
9 316 the corresponding pre-calculated depth dose curves for mono-energetic electrons and the  
10  
11 317 photon depth dose curve for the jaw source. In addition, the source weight of the photons of  
12  
13 318 the jaw source is obtained.  
14  
15  
16 319 7. Now all parameters are determined in order to calculate the total depth dose curve in water at  
17  
18 320 SSD2 with the pMLC fully retracted.  
19  
20 321 8. Steps 3 to 7 are repeated for each location of the focus  $f$  of the main source. These depth dose  
21  
22 322 curves as a function of the focus  $f$  are now compared with the corresponding measured depth  
23  
24 323 dose curve at SSD2. Interpolation of the focus location  $f$  to match this measured depth dose  
25  
26 324 curve is performed to determine the final focus  $f$  of the main source for a given  $\sigma_j$  and jaw-  
27  
28 325 fraction.  
29  
30 326 9. Now all steps from 3 to 6 are repeated for each value of  $\sigma_j$ . Then the depth dose curves in  
31  
32 327 water at SSD1 for an pMLC shaped field size of  $2 \times 2 \text{ cm}^2$  are calculated. These depth dose  
33  
34 328 curves as a function of  $\sigma_j$  are now compared with the corresponding measured depth dose  
35  
36 329 curve. Interpolation of  $\sigma_j$  to match this measured depth dose curve is performed to determine  
37  
38 330 the final value for  $\sigma_j$  of the main source for a given jaw-fraction.  
39  
40  
41 331 10. Next all steps from 2 to 9 are repeated for each value of jaw-fraction and the depth dose  
42  
43 332 curves in water at SSD1 for an pMLC shaped field size of  $5 \times 5 \text{ cm}^2$  are calculated. These  
44  
45 333 depth dose curves as a function of jaw-fraction are now compared with the corresponding  
46  
47 334 measured depth dose curve. Interpolation of the jaw-fraction to match this measured depth  
48  
49 335 dose curve is performed to determine the final value for the jaw-fraction.  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60



336

337 **Figure 3.** Flow chart of the auto-commissioning procedure. The different steps (1 to 10) are described in the  
 338 text. The upper part (dark grey) in the rectangles illustrates what is performed in the step, while the lower part  
 339 indicates the input used for the step. The source of the input data shown is additionally indicated by different  
 340 colours: yellow refers to measured data, red refers to pre-calculated data based in EGSnrc Monte Carlo  
 341 simulations, green refers to pre-calculated data based on eMC-2020 (cf. section 2.5) and blue indicates specific  
 342 settings for the MLC and SSD as well as for the used beam model parameters in the step. (DD = depth dose;  
 343  $f$  = focus position of the main source;  $\sigma_f$  = focus size of the main source; main and jaw refer to the main source  
 344 and jaw source, respectively)

345



1  
2  
3 346 After the completion of the ten steps all parameters of the beam model are determined and the  
4  
5 347 commissioning procedure is fully completed.  
6  
7

8 348 During the auto-commissioning the focus position  $f$  and the standard deviation  $\sigma_f$  of the main source  
9  
10 349 associated with the spot size are determined in step 8 and 9. Both parameters are determined  
11  
12 350 independently from each other. This is possible as these parameters are established by means of  
13  
14 351 different measurements and the depth dose curve for the field size with fully retracted pMLC is  
15  
16 352 virtually independent on the spot size, hence the corresponding measured depth dose curve at  
17  
18 353 SSD = 90 cm can be used to determine the position of the focus. Given the position of the focus the  
19  
20 354 depth dose curve for the pMLC shaped field size of  $2 \times 2 \text{ cm}^2$  is then used to determine the value  
21  
22 355 for  $\sigma_f$ .  
23  
24  
25

## 26 356 **2.5. Dose calculation**

27  
28 357 The fully commissioned beam model provides the particles in the beam reconstruction plane for the  
29  
30 358 dose calculation algorithm (cf. Figure 2). The dose calculation performed in this work is based on the  
31  
32 359 eMC algorithm for both pre-determined MC simulations and validation (Neuenschwander and Born,  
33  
34 360 1992; Fix *et al.*, 2013). Compared to the previously used version of this dose calculation algorithm, a  
35  
36 361 new version was used for this study, referred to as eMC-2020, which includes improvements recently  
37  
38 362 developed for this work. This version is based on local simulations performed with the more recent  
39  
40 363 EGSnrc version 2020 (Kawrakow and Rogers, 2002). In this context not only the program language  
41  
42 364 was changed from Mortran to C++ for the simulation framework using the advanced application in  
43  
44 365 egs++ to generate the database for eMC-2020 in the local simulation, but also improvements in the  
45  
46 366 macro simulation were included. Mainly the following improvements were implemented in the  
47  
48 367 version of eMC-2020 used in this work, for which some more detailed information about these  
49  
50 368 improvements is provided in the appendix:  
51  
52  
53

- 54 369 1. The correlation between energy and direction of secondary particles was taken into account.  
55  
56 370 Hence, the generation of the database was modified to score the data needed for such a  
57  
58 371 correlated sampling.  
59  
60

- 372 2. The energy deposition of the primary electron was modified. The new version takes the small  
373 build-up effect occurring in the spheres into account and thus improves the energy deposition  
374 distribution of the local simulation in the macro step compared with the previous versions.
- 375 3. Lung with density  $0.1 \text{ g/cm}^3$  (lung light) was included in the database as an additional  
376 sampling material between air and lung with density  $0.3 \text{ g/cm}^3$ .
- 377 4. Dedicated and efficient air transport.

378 The statistical uncertainty of the MC calculated dose distributions was less than 1% (one std. dev.).

## 379 2.6. Validation

380 As a first test of the completely commissioned beam model calculations of the dose distributions for  
381 those situations used during the commissioning are performed in order to demonstrate that the beam is  
382 able to reproduce the measured dose distributions as expected by design. Secondly and to validate the  
383 commissioned beam model, calculated and measured dose distributions in water were compared for  
384 different pMLC shaped field sizes ( $2 \times 2$ ,  $5 \times 5$ ,  $10 \times 10 \text{ cm}^2$ ) at SSDs ranging from 70 to 100 cm for  
385 electron beam energies ranging from 6 to 22 MeV.

386 Finally, a clinically realistic sternum case is considered as validation for the commissioned beam  
387 model. For this purpose, an Alderson anthropomorphic phantom is used and a CT scan from the chest  
388 part including the sternum is performed. The contours of the clinical target volume (CTV), the  
389 planning target volume (PTV) and structures of organs at risk, that is lungs and heart, from a clinical  
390 case are converted to the Alderson phantom to obtain a realistic situation. A dose of 30 Gy in  
391 10 fraction was prescribed to the median dose of the PTV. Electron beams were manually setup  
392 utilizing a 22 MeV electron beam for this sternum case at two different SSDs of 75 and 96 cm.  
393 Applying an SSD = 75 cm demonstrates a short but still realistic SSD, while an SSD = 96 cm  
394 demonstrates a use case in which the iso-center corresponds with the iso-center used for image guided  
395 setup. Since due to in-air scatter of the electrons degrades the penumbra of the electron beam, reduced  
396 SSDs are advantageous (Klein *et al.*, 2008). The dose distributions for the pMLC shaped electron  
397 field are calculated using the commissioned beam model together with the eMC-2020 dose calculation

1  
2  
3 398 algorithm at both SSDs. During dose delivery in the developer mode of a TrueBeam equipped with a  
4  
5 399 M120 pMLC, dose distributions using radiochromic films were measured in two transversal planes of  
6  
7 400 the Alderson phantom per SSD. For this purpose, the scanned films were corrected for lateral scanner  
8  
9 401 response artefacts (Lewis and Chan, 2015). A triple channel calibration was used to convert the  
10  
11 402 scanned values to absolute dose along with the one-scan protocol (Micke *et al.*, 2011; Lewis *et al.*,  
12  
13 403 2012). The red color channel was used for the comparison of the four measured dose distributions  
14  
15 404 with the corresponding calculated dose distributions by means of gamma passing rates. Gamma  
16  
17 405 criteria of 3% (global) and 2 mm, 2% (global) and 2 mm as well as 1% (global) and 2 mm each with a  
18  
19 406 threshold of 10% were used.  
20  
21  
22

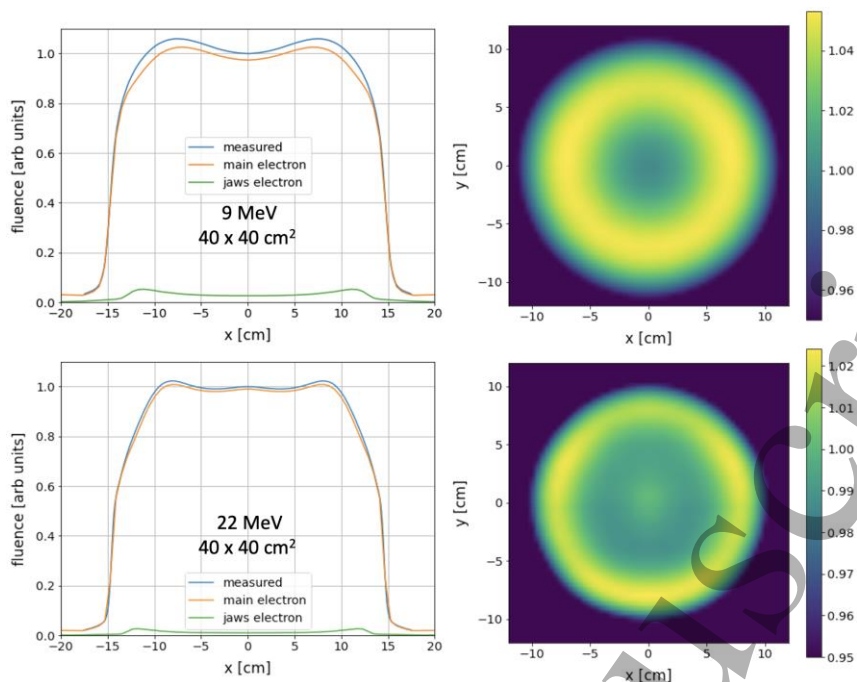
### 23 407 **3. Results**

#### 24 25 26 408 **3.1. Commissioning**

27  
28 409 The auto-commissioning was successfully implemented and applied for all available energies of the  
29  
30 410 eight TrueBeam systems. Instead of the manual commissioning taking up to several days of  
31  
32 411 calculation time and several hours of user time, the auto-commissioning is carried out in a few  
33  
34 412 minutes for a single TrueBeam system.  
35  
36

37  
38 413 Initially, all of the collected measurement data were reviewed. The analysis of measured lateral dose  
39  
40 414 profiles at a depth of 1 cm in water in crossline and inline directions identified a systematic lateral  
41  
42 415 offset of the dose profiles in inline direction of all the TrueBeam systems considered in this work.  
43  
44 416 This lateral offset is different for different electron beam energies and most pronounced for high  
45  
46 417 electron beam energies and an offset of up to about 2 mm at SSD = 90 cm was determined and is  
47  
48 418 included optionally in the auto-commissioning process.  
49

50  
51 419 The first step in the auto-commissioning part is the construction of a two-dimensional fluence  
52  
53 420 distribution for the main electron source and Figure 4 shows examples of such measurements and  
54  
55 421 fluence profiles along with the resulting two-dimensional fluence distributions for two different  
56  
57 422 electron beam energies. Thereby the measured fluence distributions were normalized to one on the  
58  
59 423 central axis.  
60

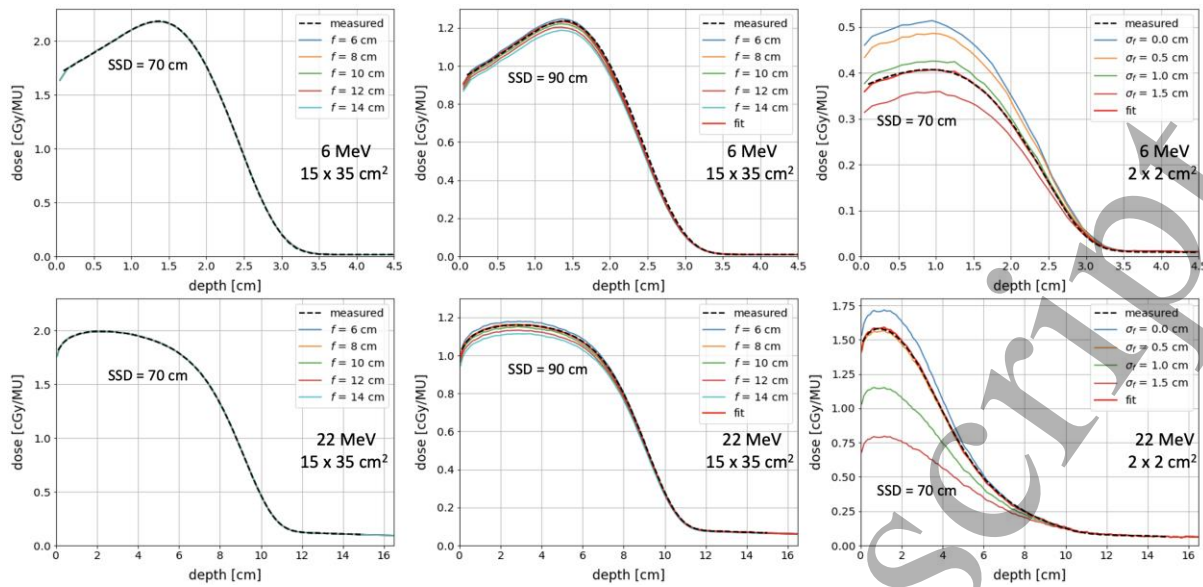


424

425 **Figure 4.** Measured crossline in-air profiles in the sampling plane for two different beam energies are shown on  
 426 the left together with the fluence for the electrons from the jaw source as determined by BEAMnrc MC  
 427 simulations and the fluence distribution of the main electron source determined as the difference between the  
 428 measured and the electron fluence from the jaws. The colour coded two-dimensional fluence distribution for the  
 429 main electron source for these beam energies constructed based on the in-air measured profiles are shown on the  
 430 right. These fluence distributions are used in the sampling process of the beam model. Data shown for the  
 431 Millennium-1 system.

432

433 During the auto-commissioning the focus position  $f$  and the standard deviation  $\sigma_f$  of the main source  
 434 associated with the spot size are determined which is illustrated in Figure 5. Both parameters are  
 435 determined independently from each other. The depth dose curves at SSD = 70 cm with the MLC  
 436 fully retracted match measurements within 1% for all settings of  $f$ , as expected. As illustrated in  
 437 Figure 5 there is a strong sensitivity of the output for the different settings of  $\sigma_f$  at the field size of  
 438  $2 \times 2 \text{ cm}^2$ , which is also increasing for increasing electron beam energy. The resulting depth dose  
 439 curves by means of interpolation is shown to match the corresponding measurements.



**Figure 5.** Illustration of the determination of the position of the focus  $f$  and the sigma  $\sigma_f$  of the main source as described in the auto-commissioning part (cf. section 2.4.) for two electron beam energies. The tuning process is designed to match depth dose curves at  $SSD = 70$  cm (used as sampling plane in this case). To also match the measured depth dose curve at  $SSD = 90$  cm the used focus position is interpolated. Applying the final value of the focus position, the measured depth dose curve for the field size of  $2 \times 2$  cm<sup>2</sup> (at iso-center) is matched with calculated depth dose by interpolation of  $\sigma_f$ . Data shown for the Millennium-3 system.

Table 2 presents the resulting final values for the tuning parameters of the locations of the focus  $f$ , the sigma of the Gaussian shaped intensity distribution  $\sigma_f$  as well as the jaw-fraction for the different electron beam energies for the TrueBeam systems equipped with the M120 pMLC.

**Table 2.** Overview of the resulting electron beam model parameter focus  $f$ , sigma  $\sigma_f$  and jaw-fraction per electron beam energy of the auto-commissioning part for all TrueBeam system equipped with the Millennium 120 pMLC. Note, that for 16 and 20 MeV only one system was available.

Tuning Parameter	6 MeV	9 MeV	12 MeV	15 MeV	16 MeV	18 MeV	20 MeV	22 MeV
Focus $f$ [cm]	8.5	8.0	9.4	8.4	8.2	8.1	8.5	8.3
mean (min, max)	(7.2, 9.8)	(7.0, 8.8)	(8.8, 9.9)	(8.0, 8.8)	8.2	(7.7, 8.6)	8.5	(8.1, 8.5)
Sigma $\sigma_f$ [cm]	1.26	0.63	0.52	0.50	0.46	0.45	0.44	0.42
mean (min, max)	(1.14, 1.40)	(0.59, 0.69)	(0.49, 0.57)	(0.48, 0.55)	0.46	(0.42, 0.48)	0.44	(0.41, 0.44)
Jaw-fraction [%]	4.1	6.8	6.1	5.2	5.4	5.0	5.2	5.2
mean (min, max)	(2.8, 5.1)	(6.3, 7.4)	(5.6, 6.7)	(4.9, 5.7)	5.4	(4.4, 5.9)	5.2	(5.0, 5.4)

Over all electron beam energies and TrueBeam systems, the variation of the focus position is within 3.5 cm, with the largest system to system variation for the 6 MeV electron beam energy. Although the focus positions are around the locations of the electron scattering foil systems in the linear accelerator head, there is no clear correlation with the specific electron scattering foils used for the different electron beam energies. While there is no clear dependency for the focus position and jaw-fraction as

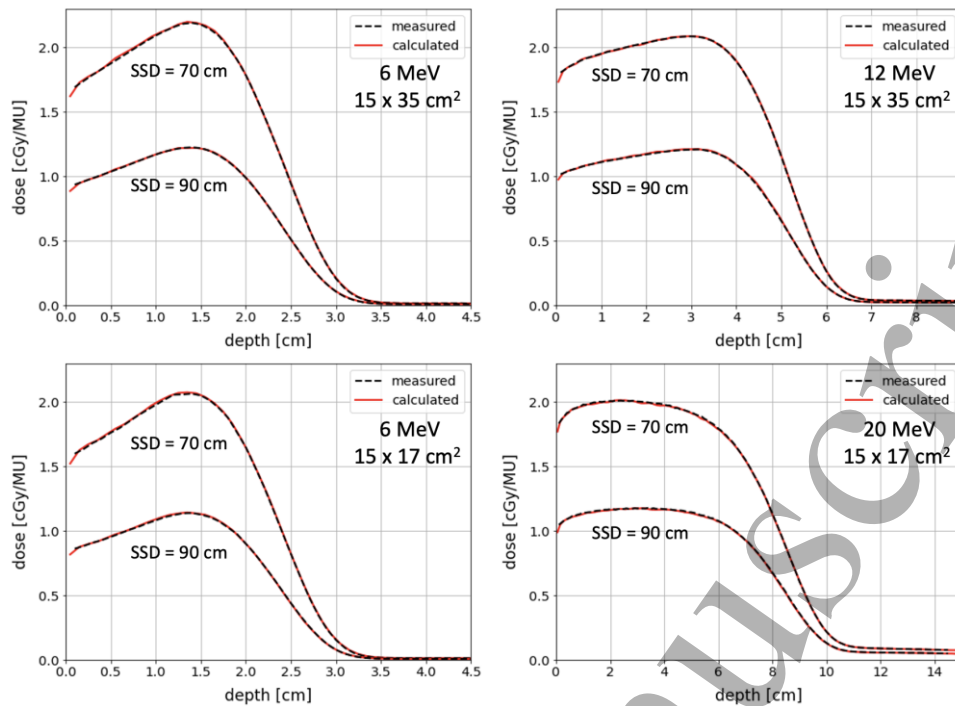
460 a function of electron beam energy, the values for sigma decrease for increasing electron beam  
 461 energy. In addition, the values for sigma are consistent and within 0.3 cm for the different TrueBeam  
 462 systems and all electron beam energies and within 0.1 cm for the individual electron beam energies,  
 463 except for the 6 MeV electron beam energy. The value for  $\sigma_f$  as well as its variation of within 0.25 cm  
 464 for the 6 MeV beam is substantially larger compared to the remaining electron beam energies and  
 465 might be due to the increase of in-air scatter at the low electron energy level. The corresponding  
 466 values for the TrueBeam system equipped with the HDMLC are shown in Table 3.

467 **Table 3.** Overview of the resulting electron beam model parameter focus  $f$ , sigma  $\sigma_f$  and jaw-fraction per electron  
 468 beam energy of the auto-commissioning part for the TrueBeam system equipped with the high-definition pMLC.

Tuning Parameter	6 MeV	9 MeV	12 MeV	16 MeV	20 MeV
Focus $f$ [cm] mean	9.4	9.0	10.4	8.6	8.2
Sigma $\sigma_f$ [cm] mean	1.27	0.69	0.55	0.49	0.41
Jaw-fraction [%] mean	1	6.1	8.1	7.1	6.2

### 470 3.2. Validation

471 As a first validation of the completely commissioned beam model, calculations of the dose  
 472 distributions for those situations used during the commission are performed and some results of this  
 473 dosimetric comparison are shown in Figure 6 in units of cGy/MU. Dose calculations in water using  
 474 the fully commissioned beam model are able to match with the corresponding dose measurements  
 475 generally within 1% for all situations considered.

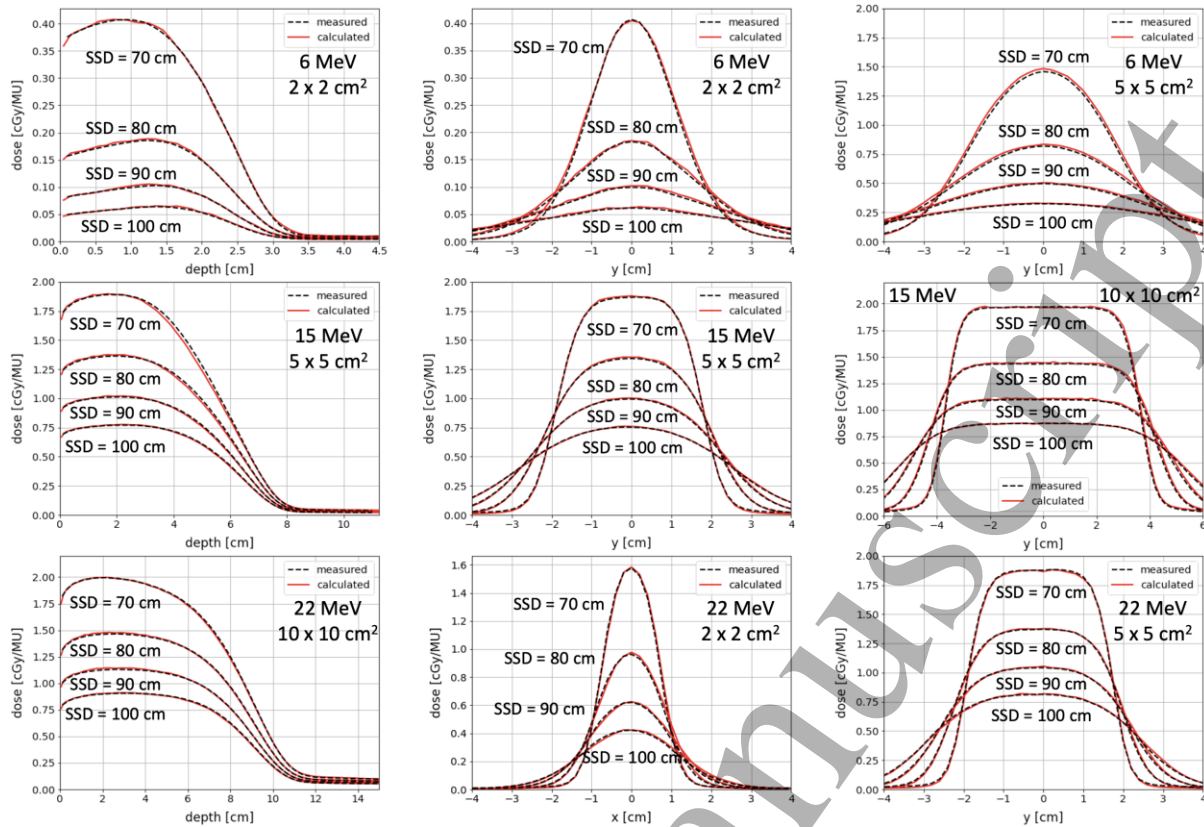


476

477 **Figure 6.** Comparison of measured and calculated absolute depth dose curves in water for different beam  
 478 energies and field sizes of  $15 \times 35 \text{ cm}^2$  (upper row) and  $15 \times 17 \text{ cm}^2$  (lower row) of TrueBeam systems equipped  
 479 with the Millennium 120 pMLC and the high-definition pMLC, respectively. The sampling plane is located at  
 480  $\text{SSD} = 70 \text{ cm}$ . The agreement between measured and calculated dose values is below 1%. Data shown for the  
 481 Millennium-6 system (upper row) and for the HDMLC-1 system (lower row).

482

483 The next level of validation includes comparisons of measured and calculated dose distributions in  
 484 water for situations that were not used during the auto-commissioning. Some validation results with  
 485 depth dose curves and lateral dose profiles are exemplarily shown in Figure 7 and Figure 8 for  
 486 TrueBeam systems equipped with the M120 and with the HDMLC, respectively.



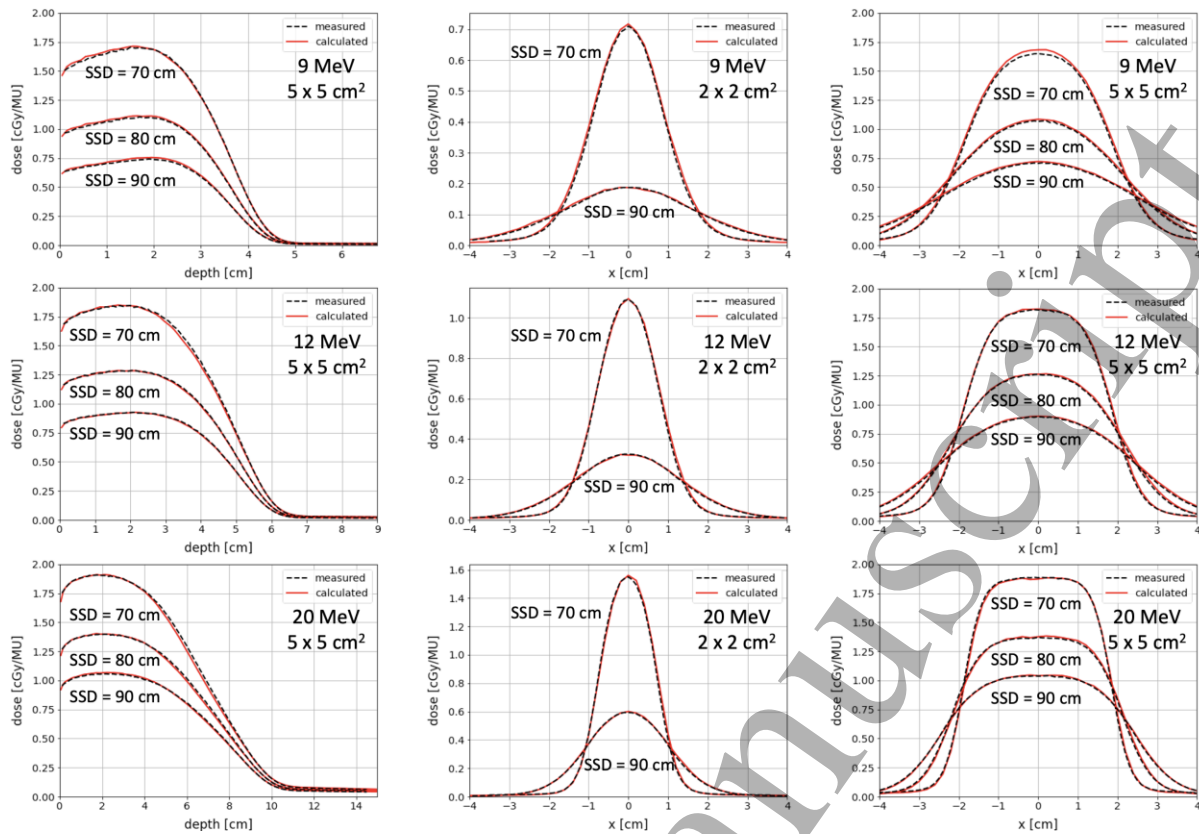
487

488 **Figure 7.** Examples of validation results by means of comparisons of measured and calculated absolute dose  
 489 distributions for different pMLC shaped field sizes (at iso-center), SSDs and electron beam energies for a  
 490 TrueBeam system equipped with Millennium 120 pMLC. The shown lateral dose profiles are at a depth of 1 cm  
 491 in water. Data shown for the Millennium-3 system.

492

493 Note that all these comparisons are performed in absolute dose units over a large range of field sizes  
 494 and SSDs. Overall, measured and calculated dose distributions agree generally within 3% of  
 495 maximum dose or 2 mm distance to agreement for all TrueBeam systems considered.

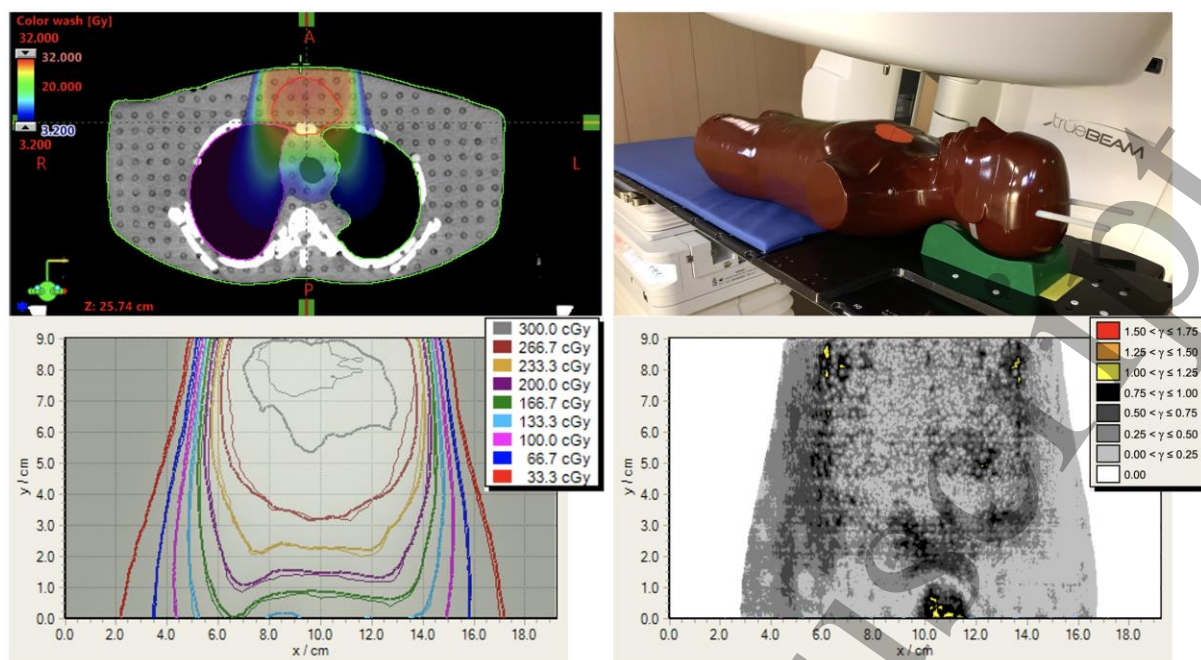




496

497 **Figure 8.** Examples of validation results by means of comparisons of measured and calculated absolute dose  
 498 distributions for different pMLC shaped field sizes (at iso-center), SSDs and electron beam energies for  
 499 TrueBeam systems equipped with high-definition pMLC. The shown lateral dose profiles are at a depth of 1 cm  
 500 in water. Data shown for the HDMLC-1 system.  
 501

502 Finally, Figure 9 presents an example validation of the comparison of film measured and calculated  
 503 dose distributions for the sternum case by means of gamma analysis using 3% (global) and 2 mm  
 504 criteria with a threshold of 10%. The gamma passing rates for the two situations were 99% (both  
 505 films) for dose delivery at SSD = 96 cm and 99% and 96% for dose delivery at SSD = 75 cm  
 506 considered using the criteria mentioned above. When using the 2% instead of the 3% criterion the  
 507 gamma passing rate were 97% (both films) for dose delivery at SSD = 96 cm and 99% and 93% for  
 508 dose delivery at SSD = 75 cm. Using the 1% instead of the 3% criterion the gamma passing rate were  
 509 95% and 92% for dose delivery at SSD = 96 cm and 96% and 89% for dose delivery at SSD = 75 cm.



510

511 **Figure 9.** The upper row shows the dose distribution (left) and the setup (right) for the sternum case of the  
 512 Alderson phantom at an SSD of 75 cm. On the bottom left the iso-dose comparison of measured (thin lines) and  
 513 calculated (thick lines) dose distributions for one slice at an SSD of 75 cm is depicted. The corresponding  
 514 gamma distribution leads to 99% passing rate for 3% (global) and 2 mm criteria with a 10% threshold (lower  
 515 right).  
 516

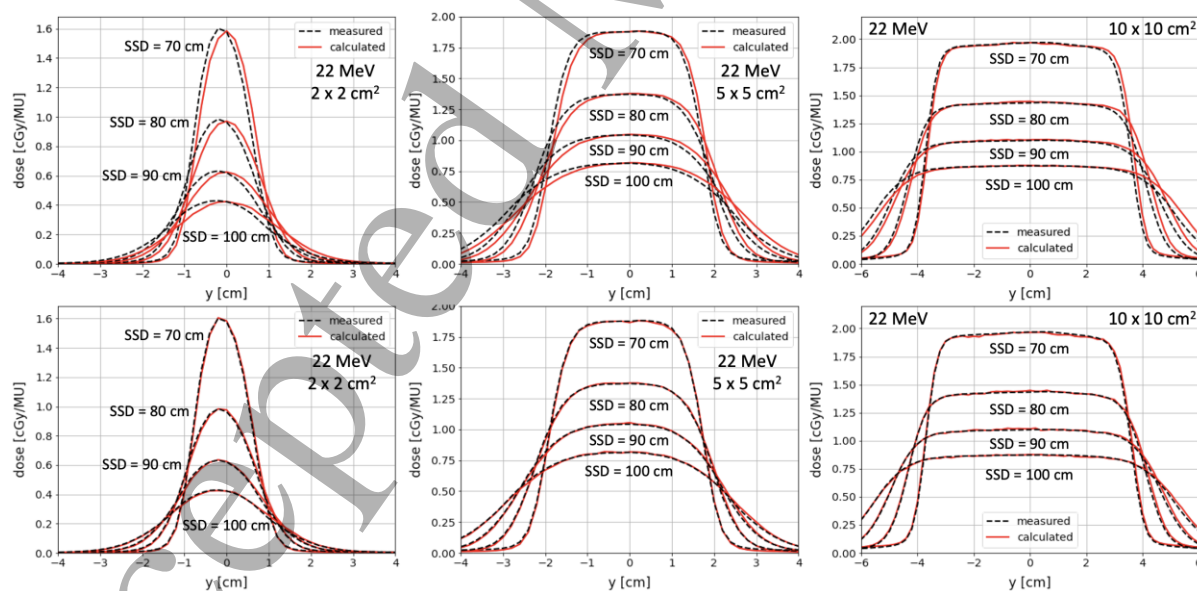
#### 517 4. Discussion and conclusions

518 This work presents a newly developed auto-commissioning procedure of a MC based beam model for  
 519 pMLC shaped electron beams applicable for TrueBeam systems equipped with a M120 or an  
 520 HDMLC. The commissioned beam model reproduces the electron beam characteristics leading to  
 521 accurate dose distributions for a large range of SSDs, field sizes and electron beam energies ranging  
 522 from 6 to 22 MeV. This was demonstrated for in total eight TrueBeam systems. It is important to  
 523 mention that the enormous computational effort spent in the pre-determined MC simulations  
 524 (cf. section 2.2) has to be done only once and is then applicable for all consecutively performed auto-  
 525 commissioning of other TrueBeam systems. Hence, the application of the proposed procedure does  
 526 not require any specific MC expertise for the auto-commissioning. In addition, no information of the  
 527 beam defining system of the treatment unit or knowledge on how to generate the pre-determined data  
 528 is needed by the user. The obtained database allows the auto-commissioning of the individual  
 529 TrueBeam systems in a few minutes, while previously applied manual procedures needed several days  
 530 of computation time along with hours for the user. The resulting accuracy when compared with

1  
2  
3 531 measurements corresponds at least to the level of agreement achieved for the manual procedure as  
4  
5 532 shown by Mueller *et al.* (2018a). Other studies achieved agreement between measured and calculated  
6  
7 533 percentage depth dose curves and lateral dose profiles within mainly 2% or 2 mm (Jin *et al.*, 2008;  
8  
9 534 Klein *et al.*, 2008; Mihaljevic *et al.*, 2011; Henzen *et al.*, 2014c; Lloyd *et al.*, 2016). However, these  
10  
11 535 studies compared relative dose distributions for at most two SSDs, while in this study absolute dose  
12  
13 536 distributions over a larger range of SSDs are considered. Some small remaining dose differences  
14  
15 537 observed in the validation as for example shown in Figures 6 and 7 might be due to uncertainties in  
16  
17 538 the measurements such as setup uncertainties. In addition, also the beam model itself includes  
18  
19 539 approximations and further improvements could reduce these remaining dose differences. Another  
20  
21 540 advantage of the developed auto-commissioning procedure is that only two in-air dose profiles and  
22  
23 541 four depth dose curves for the commissioning of one electron beam energy have to be acquired by the  
24  
25 542 user with an additional lateral dose profile in order to determine the systematic lateral shift as  
26  
27 543 discussed below. This is reduced compared to applicator-based electron radiotherapy, in which for  
28  
29 544 each energy-applicator combination a set of dose measurements is needed (Cygler *et al.*, 2004; Ding  
30  
31 545 *et al.*, 2006; Fix *et al.*, 2013; Huang *et al.*, 2019). For example, typically two to three days are needed  
32  
33 546 to perform all commissioning measurements (including optional measurements) for a total of six  
34  
35 547 electron beam energies for the electron MC algorithm in Eclipse (Varian Medical Systems, Palo Alto,  
36  
37 548 CA). This can be reduced to one day for the commissioning measurements (including optional  
38  
39 549 measurements) when using the proposed auto-commissioning.

40  
41  
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43  
44 550 When evaluating the different sets of measurements, a systematic shift of the lateral dose profiles in  
45  
46 551 inline direction was observed. The larger the electron beam energy, the larger was the shift in the dose  
47  
48 552 distribution reaching about 2 mm for the largest electron beam energy of 22 MeV considered in this  
49  
50 553 work. This offset can be determined by means of a dose measurement and included optionally in the  
51  
52 554 auto-commissioning process by either providing a value of this offset by the user or by providing a  
53  
54 555 lateral dose profile acquired as described in the following. First the detector has to be aligned to the  
55  
56 556 central beam axis using a 5 x 5 cm<sup>2</sup> pMLC shaped field of a photon beam, assuming a centered beam  
57  
58  
59 557 alignment for photon beams. Note that this alignment procedure should also be used for the  
60

558 commissioning and validation measurements in order to avoid a misalignment due to the lateral offset  
 559 in electron beams. Using this aligned detector position, the lateral dose profile in water in inline  
 560 direction for a  $5 \times 5 \text{ cm}^2$  pMLC shaped field is measured at a depth of 1 cm in water at SSD = 90 cm.  
 561 Since the pMLC for a collimator angle of  $0^\circ$  is not symmetric in the inline direction (bottle shaped  
 562 pMLC leaves), a collimator rotation of  $90^\circ$  or  $270^\circ$  is suggested to be applied in order to shape the  
 563 field by the rounded leaf ends of the pMLC. A lateral shift in inline direction can then be determined  
 564 as the difference between the central beam axis and the center of the measured lateral dose profile.  
 565 We hypothesize that the reason for such lateral shifts might be a combination of the impact of the  
 566 fringe magnetic field from the bending magnet and a lateral offset of the focus location on the  
 567 scattering foil (O'Shea *et al.*, 2011a). The auto-commissioning procedure allows to include this shift  
 568 by counter-shifting the main source in the beam model accordingly. The impact of this behavior is  
 569 illustrated Figure 10 showing comparisons of measured and calculated lateral dose profiles for  
 570 different pMLC shaped field sizes, different SSDs and an electron beam energy of 22 MeV. This  
 571 demonstrates the capability of the beam model and the auto-commissioning procedure to take such a  
 572 shift into account.



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 574 **Figure 10.** Validation results when ignoring (upper row) and considering (bottom row) the TrueBeam specific  
 575 lateral shift of the beam in inline direction for the auto-commissioning of the beam models. Comparisons shown  
 576 for measured and calculated lateral absolute dose profiles at a depth of 1 cm in water for different pMLC shaped  
 577 field sizes (at iso-center) and SSDs for a 22 MeV electron beam energy. Data shown for the Millennium-3  
 578 system.  
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3 580 The proposed beam model explicitly simulates the radiation transport in the pMLC. However,  
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5 581 compared to photon beams the geometrical implementation of the pMLC for electron beams was  
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7 582 substantially simplified without impacting the accuracy of the calculated dose distribution. For  
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9 583 example, the mechanical guides were omitted. The simplification improves the computational  
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11 584 efficiency of the MC transport through the pMLC by a factor of about 100 to 300 depending on the  
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13 585 energy and the pMLC type.

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16 586 In this study, an auto-commissioning procedure was developed for TrueBeam systems. However, it is  
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18 587 assumed that the developed methodology of the procedure would also work for different treatment  
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20 588 units that offer electron treatment fields. Nonetheless, information of the beam defining system is  
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22 589 necessary in order to generate the corresponding configuration data.

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26 590 The newly developed auto-commissioning process allows an efficient commissioning of an MC  
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28 591 electron beam model for TrueBeam systems equipped with an M120 or an HDMLC without dedicated  
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30 592 MC expertise by the user. Measured and calculated dose distributions agree generally within 3% of  
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32 593 maximum dose or 2 mm distance to agreement over a large range of SSDs and field sizes. This auto-  
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34 594 commissioning procedure enables the dose calculation of pMLC shaped electron fields and thus  
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36 595 supports the usage of more advanced techniques in electron radiation therapy such as MERT as well  
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38 596 as different kinds of MBRT.

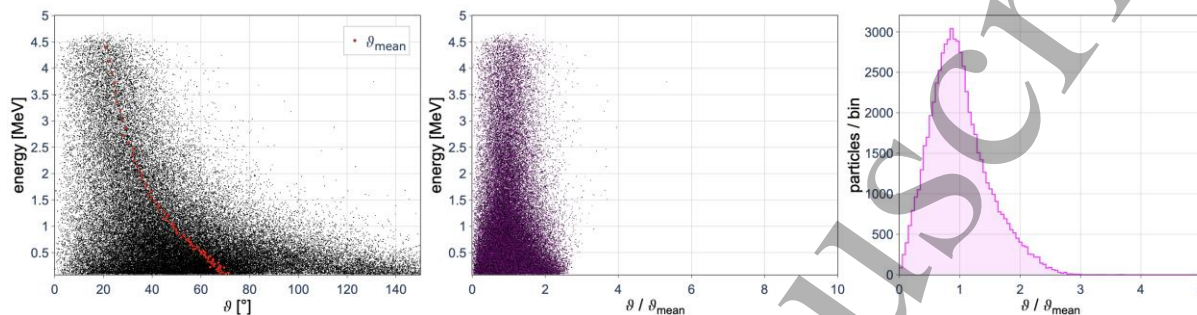
## 39 40 41 597 **Acknowledgments**

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47  
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50 601 commissioning and validation measurements of their TrueBeam systems.

## 51 52 53 602 **Appendix**

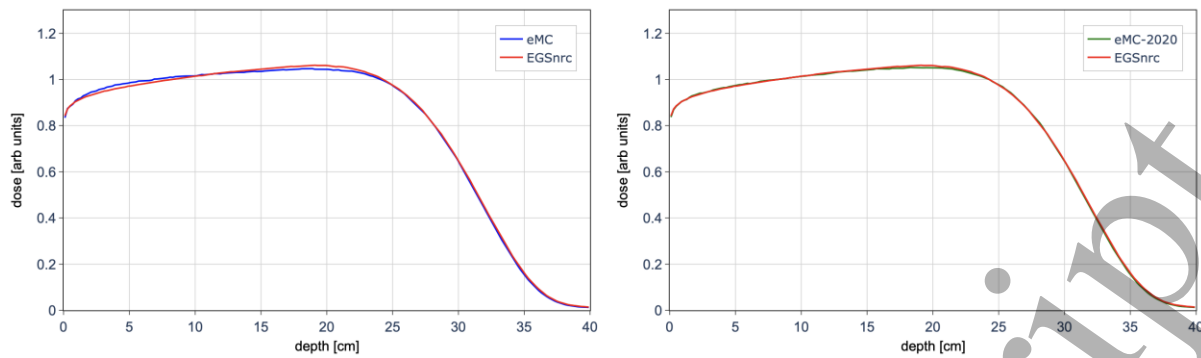
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56 603 This appendix provides more details about the improvements implemented in eMC for the eMC-2020  
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58 604 version used in this work for dose calculations. First, during the local MC simulation of the spheres  
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60 605 with different materials it was observed that the energy and direction of the secondary particles

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3 606 leaving the sphere are correlated (see Figure A1 left). When binning these particles on the energy axis  
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5 607 a mean direction angle  $\vartheta_{mean}$  can be determined, as shown as red dots in Figure A1 (left). Dividing the  
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7 608 direction distribution in each energy bin by the corresponding mean value  $\vartheta_{mean}$  leads to the  
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9 609 distribution in Figure A1 (middle), that is the data is now presented with a substantially reduced  
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11 610 correlation.



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26 612 **Figure A1.** Energy-direction scatter plot for secondary particles (left) resulting from scored data of the local  
27 613 simulation (water sphere with 4 mm diameter for 10 MeV electrons). Energy-binning with bins including the  
28 614 same number of particles lead to mean theta values indicated by the red dots in the scatter plot on the left.  
29 615 Normalizing all particles within an energy bin by the obtained mean theta value leads to the distribution shown  
30 616 in the scatter plot on the right with substantially reduced correlation.

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32 618 This distribution is then used in the global simulation to reproduce the energy-direction correlation for  
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34 619 the secondary particles. For this purpose, all particles are used to determine a one-dimensional  
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36 620 distribution as shown in Figure A1 (right), from which a value is sampled. After sampling the energy  
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38 621 of the secondary particle, the sampled relative  $\vartheta/\vartheta_{mean}$  value is multiplied by the  $\vartheta_{mean}$  value of the  
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40 622 corresponding energy bin. Thus, the correlated distribution shown in Figure A1 (left) is reproduced.  
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42 623 The impact of this specific improvement is exemplarily shown in Figure A2.

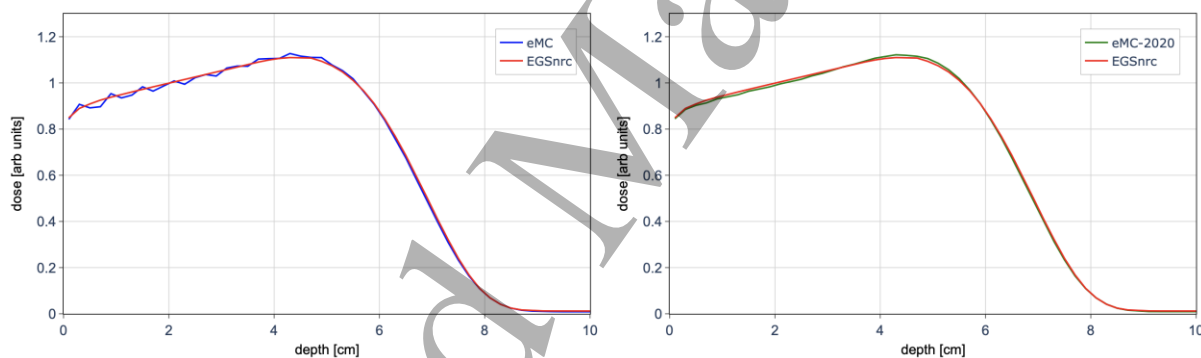


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625 **Figure A2.** Impact of the correlated energy-direction sampling for the secondary particles on the dose  
 626 distribution for electrons with an energy of 22 MeV in lung resulting in an improved agreement with full  
 627 EGSnrc dose calculation. Left: without correlated sampling in eMC; Right: correlated sampling enabled in  
 628 eMC-2020.

629

630 The second improvement considers the energy deposition of the primary electron. For this purpose,  
 631 the sphere in the local simulation was sliced and the energy deposition in each slice was scored. This  
 632 information can then be used during the energy deposition in the global simulation. The impact of this  
 633 second specific improvement is exemplarily shown in Figure A3.

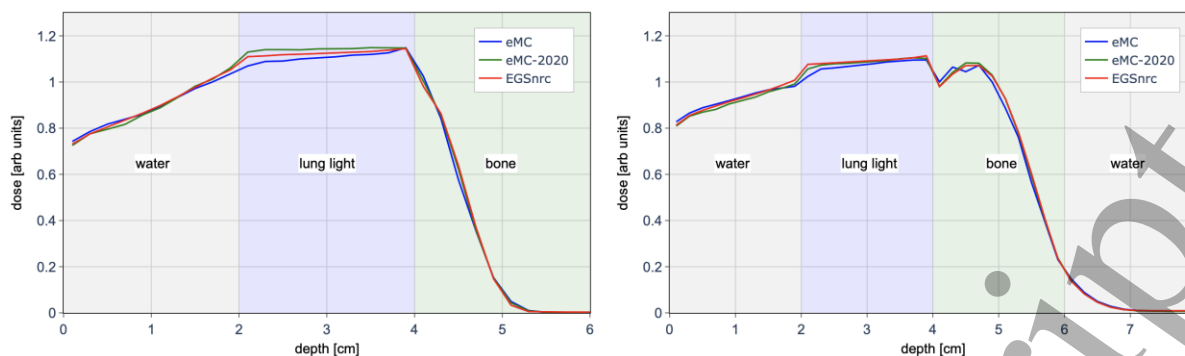


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635 **Figure A3.** Impact of the modified energy deposition of the primary electron on the dose distribution for  
 636 electrons with an energy of 16 MeV in water resulting in an improved agreement with full EGSnrc dose  
 637 calculation. Left: without modified energy deposition in eMC; Right: modified energy deposition enabled in  
 638 eMC-2020.

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640 Furthermore, an additional material was included in the database, that is lung with a density of  
 641  $0.1 \text{ g/cm}^3$  (lung light) was included between air and lung with density of  $0.3 \text{ g/cm}^3$ . Overall, the  
 642 accuracy of the dose calculation was improved when compared eMC-2020 with eMC and EGSnrc,  
 643 where the latter was used as benchmark. This improvement is exemplarily demonstrated in Figure A4.



**Figure A4.** Comparison of dose distributions in an inhomogeneous phantom using the eMC, the improved eMC-2020 version and EGSnrc for dose calculation of mono-energetic electrons with an energy of 8 MeV (left) and 12 MeV (right). The eMC-2020 version shows an improved agreement with EGSnrc compared to eMC.

Finally, a dedicated air transport was developed, which is specifically applied for the air transport between the beam reconstruction plane and the patient, to further improve the efficiency of eMC-2020 compared to EGSnrc. This dedicated air transport takes multiple scattering into account, but no energy deposition is scored, no secondary particles are generated and larger spheres of up to a diameter of 4 cm are included in the database of eMC-2020.

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