


## ORIGINAL ARTICLE

# Effect of washed versus unwashed red blood cells on transfusion-related immune responses in preterm newborns

Tara M Crawford<sup>1,2</sup>, Chad C Andersen<sup>1,2</sup>, Nicolette A Hodyl<sup>2</sup>, Sarah A Robertson<sup>2</sup>  & Michael J Stark<sup>1,2</sup><sup>1</sup>The Women's and Children's Hospital, Adelaide, SA, Australia<sup>2</sup>The Robinson Research Institute, The University of Adelaide, Adelaide, SA, Australia**Correspondence**MJ Stark, The Women's and Children's Hospital, 72 King William Road, North Adelaide, SA 5006, Australia.  
E-mail: michael.stark@adelaide.edu.auReceived 23 September 2021;  
Revised 4 January and 3 February 2022;  
Accepted 4 February 2022

doi: 10.1002/cti.1377

*Clinical & Translational Immunology*  
2022; 11: e1377**Abstract**

**Objectives.** Transfusion with washed packed red blood cells (PRBCs) may be associated with reduced transfusion-related pro-inflammatory cytokine production. This may be because of alterations in recipient immune responses. **Methods.** This randomised trial evaluated the effect of transfusion with washed compared with unwashed PRBCs on pro-inflammatory cytokines and endothelial activation in 154 preterm newborns born before 29 weeks' gestation. Changes in plasma cytokines and measures of endothelial activation in recipient blood were analysed after each of the first three transfusions. **Results.** By the third transfusion, infants receiving unwashed blood had an increase in IL-17A ( $P = 0.04$ ) and TNF ( $P = 0.007$ ), whereas infants receiving washed blood had reductions in IL-17A ( $P = 0.013$ ), TNF ( $P = 0.048$ ), IL-6 ( $P = 0.001$ ), IL-8 ( $P = 0.037$ ), IL-12 ( $P = 0.001$ ) and IFN- $\gamma$  ( $P = 0.001$ ). The magnitude of the post-transfusion increase in cytokines did not change between the first and third transfusions in the unwashed group but decreased in the washed group for IL-12 ( $P = 0.001$ ), IL-17A ( $P = 0.01$ ) and TNF ( $P = 0.03$ ), with the difference between the groups reaching significance by the third transfusion ( $P < 0.001$  for each cytokine). **Conclusion.** The pro-inflammatory immune response to transfusion in preterm infants can be modified when PRBCs are washed prior to transfusion. Further studies are required to determine whether the use of washed PRBCs for neonatal transfusion translates into reduced morbidity and mortality.

**Keywords:** immunomodulation, preterm, red blood cells, transfusion**INTRODUCTION**

Packed red blood cell (PRBC) transfusion is an independent predictor of death in critically ill adults

and children. In addition, transfusion increases the incidence of multiple-organ system failure, length of hospital stay, nosocomial infection and long-term immune modulation.<sup>1,2</sup> Although this

association is well described, the underlying mechanism(s) remain poorly understood. One contributing pathway may be the recipient's immune response to the transfusion itself, a process termed transfusion-related immunomodulation (TRIM). TRIM is characterised by both adverse pro-inflammatory and immunosuppressive responses and is likely a 'two-insult' process.<sup>3</sup> Initial sensitisation to inflammatory processes primes host neutrophils with subsequent exposure to biological response mediators that accumulate during PRBC storage resulting in an amplified immune response in the recipient.<sup>4,5</sup>

Extremely premature infants are susceptible to so-called 'foetal inflammatory syndrome', the association of intra-amniotic infection in spontaneous preterm labour with systemic inflammation in the newborn.<sup>6</sup> It is associated with one or more potentially fatal or severely disabling morbidities, including necrotising enterocolitis (NEC) and bronchopulmonary dysplasia (BPD). These conditions are characterised by systemic and local tissue inflammation and endothelial activation, associated with elevated peripheral blood plasma pro-inflammatory cytokines.<sup>7,8</sup> Transfusion of blood products, an essential intervention for many critically ill preterm infants, is notoriously associated with the promotion of an inflammatory state in the recipient.<sup>9-11</sup> Modifications in blood processing, such as pre-storage leukodepletion, have resulted in reductions in the incidence of these morbidities.<sup>12</sup> However, blood products remain biologically active, with increases in both pro-inflammatory cytokines and measures of endothelial activation seen post-transfusion, effects that increase with repeated transfusion exposure.<sup>9-11</sup>

Pre-transfusion washing of PRBCs removes proteins, extracellular potassium, inflammatory cytokines and chemokines, as well as red blood cell microparticles,<sup>11</sup> reducing their adverse immunomodulatory potential. In adult patients, transfusion with washed PRBCs is associated with reduced morbidity and mortality,<sup>13</sup> while in paediatric cardiac surgical patients, it is associated with reduced transfusion-related pro-inflammatory cytokine production.<sup>14</sup> The aim of this study was to investigate whether transfusion with washed compared to unwashed leucodepleted PRBCs in extremely preterm infants results in an amelioration of both the pro-inflammatory cytokine and endothelial activation responses following transfusion.

## RESULTS

Baseline clinical characteristics are shown in Table 1. No differences were seen for antenatal characteristics, gestational age at birth, sex or birthweight. The transfusion characteristics at the three PRBC transfusion exposures are shown in Table 2, with no significant differences seen between the groups. Long-term clinical outcomes for both groups are shown in Table 3. No unexpected serious adverse events occurred in either treatment arm.

A random selection of transfusion pack samples across the three transfusions was carried out ( $n = 36$  per group) to determine whether detectable levels of cytokines and measures of endothelial activation were present. IFN- $\gamma$ , IL-1 $\beta$ , IL-10, IL-12 and IL-17A were undetectable in both the unwashed and washed packs. However, IL-6 was detectable in the unwashed packs and TNF, IL-8, sICAM, sVCAM, PAI and MIF were detectable in both pack types (Table 4).

### Transfusion-related changes in plasma cytokines

Neither washed nor unwashed PRBCs resulted in significant post-transfusion changes in IL-1 $\beta$ , IL-12, IL-17A, IFN- $\gamma$  or TNF following the first transfusion exposure. However, transfusion with washed PRBCs was associated with a significant post-transfusion reduction in IL-6 ( $P = 0.008$ ) and IL-10 ( $P = 0.014$ ) and both washed and unwashed PRBCs resulted in a reduction in IL-8 (washed  $P = 0.027$ , unwashed  $P = 0.024$ ). Following the second PRBC exposure, no changes were seen for IL-1 $\beta$ , IL-6 or IL-17A for either washed or unwashed PRBCs. However, transfusion of washed PRBCs resulted in a reduction in IFN- $\gamma$  ( $P = 0.043$ ), TNF ( $P = 0.041$ ), IL-8 ( $P = 0.004$ ) and IL-10 ( $P = 0.003$ ) and an increase in IL-12 ( $P = 0.01$ ). By the third transfusion, significant post-transfusion reductions were seen for IL-17A ( $P = 0.013$ ), TNF ( $P = 0.048$ ), IL-6 ( $P = 0.001$ ), IL-8 ( $P = 0.037$ ), IL-12 ( $P = 0.001$ ) and IFN- $\gamma$  ( $P = 0.001$ ) in the washed group, with IL-17A ( $P = 0.04$ ) and TNF ( $P = 0.007$ ) increased in the unwashed group (Figure 1).

Within-subject changes in the pre- to post-transfusion change in plasma cytokines for both groups across the three transfusion exposures were analysed by mixed linear models with gestation, sex, age at first transfusion and pre-transfusion haemoglobin as covariates. Tests for

**Table 1.** Baseline antenatal and neonatal characteristics

	Unwashed PRBCs ( <i>n</i> = 77)	Washed PRBCs ( <i>n</i> = 77)	<i>P</i> -value
<b>Maternal</b>			
Age, years	30 (26–35)	32 (27–36)	0.18
Parity	1 (0–1)	1 (0–2)	0.24
Mode of delivery			
SVD	29 (38)	26 (34)	0.74
LSCS	48 (62)	51 (66)	
Steroids			
None	6 (8)	5 (7)	0.8
Incomplete	13 (17)	15 (20)	
Complete	48 (63)	49 (64)	
Repeat	9 (12)	7 (9)	
Antibiotics	31 (40)	31 (40)	1.0
Magnesium sulphate	48 (62)	52 (67)	0.15
Chorioamnionitis, histological	30 (39)	23 (30)	0.3
High vaginal swab	20 (26)	19 (24)	1.0
Diabetes, any	3 (4)	6 (8)	0.5
Premature rupture of membranes	22 (29)	28 (36)	0.3
Antepartum haemorrhage	26 (34)	20 (26)	0.38
Preeclampsia	2 (3)	5 (6)	0.27
Multiple birth	22 (29)	17 (22)	0.46
<b>Neonatal</b>			
Gestation, weeks	26 (24–27)	26 (25–27)	0.72
Male	50 (65)	47 (61)	0.74
Birth weight, g	800 (660–966)	830 (650–990)	0.8
IUGR	9 (12)	15 (19)	0.27
Intubated at delivery	31 (40)	40 (52)	0.2
APGAR 5 min	7 (6–8)	7 (6–8)	0.9

IUGR, intrauterine growth restriction; LSCS, lower segment caesarean section; PRBCs, packed red blood cells; SVD, spontaneous vaginal delivery. Data are presented as median (IQR) or *N* (%). The Kruskal–Wallis test and the Pearson chi-squared test were used for comparison between groups.

fixed effects demonstrated a significant interaction effect between PRBC group and transfusion exposure for IL-12 ( $F_{1,307} = 4.0$ ,  $P = 0.04$ ), IL-17A ( $F_{1,307} = 4.9$ ,  $P = 0.03$ ) and TNF ( $F_{1,206} = 2.4$ ,  $P = 0.046$ ) (Figure 2). For newborns transfused with washed PRBCs, the pre- to post-transfusion reduction in IL-12 ( $P = 0.001$ ), IL-17A ( $P = 0.01$ ) and TNF ( $P = 0.03$ ) became progressively greater between the first and third transfusion exposures. No such changes were seen in newborns transfused with unwashed PRBCs. By the third transfusion, the pre- to post-transfusion change between the groups was significantly different for IL-12, IL-17A and TNF ( $P < 0.001$  for each). In addition, a fixed effect for transfusion exposure was demonstrated for IFN- $\gamma$  ( $F_{1,236} = 4.9$ ,  $P = 0.028$ ) with the change being greatest after the third transfusion irrespective of the type of PRBCs transfused.

No baseline differences were seen in cytokine concentrations between the groups for the first transfusion exposure. However, newborns receiving

washed PRBCs had higher IL-1 $\beta$  ( $P = 0.03$ ), IL-6 ( $P = 0.01$ ) and IFN- $\gamma$  ( $P = 0.05$ ) prior to their second transfusion and higher IL-1 $\beta$  ( $P = 0.03$ ) and IL-6 ( $P = 0.01$ ) prior to the third transfusion. For those newborns receiving unwashed blood, the pre-transfusion concentrations did not vary between the first and third transfusions. However, for newborns transfused with washed PRBCs, significant changes in baseline cytokine concentrations were seen for IFN- $\gamma$  ( $P < 0.001$ ), IL-12 ( $P = 0.012$ ), IL-17A ( $P = 0.002$ ) and IL-1 $\beta$  ( $P = 0.008$ ) (Table 5). The *post hoc* tests demonstrated increases in transfusion from 1 to 3 for IFN- $\gamma$  ( $P = 0.002$ ), IL-12 ( $P < 0.001$ ) and IL-17A ( $P = 0.01$ ).

### Transfusion-related changes in measures of endothelial activation

No changes were observed for any of the measures of endothelial activation following the first transfusion in either group. Following the second PRBC transfusion, unwashed PRBCs resulted in an

**Table 2.** Transfusion exposure

	Transfusion 1		Transfusion 2		Transfusion 3		P-value
	UW (n = 77)	W (n = 77)	UW (n = 59)	W (n = 62)	UW (n = 47)	W (n = 50)	
Postnatal age (days)	4 (2–11)	3 (2–7)	6 (3–11)	7 (3–18)	13 (6–26)	19 (7–28)	0.58
Pre-transfusion Hb (g L <sup>-1</sup> )	122 (103–135)	122 (102–131)	115 (97–126)	111 (95–123)	105 (89–126)	100 (84–115)	0.16
Post-transfusion Hb (g L <sup>-1</sup> )	133 (122–149)	135 (124–146)	133 (119–144)	131 (115–144)	126 (118–141)	127 (114–137)	0.82
Transfusion out of protocol	22 (28)	17 (22)	15 (25)	8 (13)	13 (28)	11 (23)	0.84

Hb, haemoglobin; UW, unwashed group; W, washed group. Data are presented as median (IQR). The Kruskal–Wallis test and the Pearson chi-squared test were used for comparison between groups.

increase in sICAM1 ( $P = 0.023$ ), while transfusion with washed PRBCs was associated with an increase in sFas ( $P = 0.026$ ). At the third transfusion, there was an increase in MIF ( $P = 0.005$ ) and PAI1 ( $P = 0.05$ ) in those transfused with unwashed PRBCs. However, no significant fixed effects in either washed or unwashed group were seen on mixed linear model analysis. Pre-transfusion concentrations of the measures of endothelial activation did not differ between the first, second and third transfusions for either group.

## DISCUSSION

Preterm neonates are particularly susceptible to inflammatory injury, characterised by elevated pro-inflammatory cytokines in peripheral blood plasma.<sup>15,16</sup> This is consistent with a mild-to-moderate inflammatory response attributable to the insult of premature delivery, and often, especially in spontaneous preterm birth, exposure to pro-inflammatory infectious or sterile stimuli *in utero*.<sup>17</sup> This inflammatory state, related to exposure to intra-amniotic infection, is associated with a greater incidence of inflammatory pathologies, particularly affecting the lungs, gastrointestinal tract and brain.<sup>18–20</sup> Therefore, interventions that improve or limit further inflammation are likely to improve clinical outcomes and reduce neonatal morbidity and mortality. In the current study, repeated transfusion exposures to washed leucodepleted PRBCs elicited a decrease in plasma pro-inflammatory cytokines and chemokines, an effect not seen in newborns transfused with standard unwashed leucodepleted PRBCs. As a result, by the third transfusion exposure, the post-transfusion levels of plasma IL-12, IL-17A and TNF were significantly lower than those in infants exposed to unwashed PRBCs. In addition, newborns transfused with unwashed PRBCs also had increased MIF and PAI1, markers of endothelial activation, an effect not seen with washed PRBCs.

Extremely preterm newborns are a heavily transfused population with the greatest exposure in the first days to weeks following birth.<sup>21</sup> Despite this, previous studies have focused on single exposures, often weeks after birth.<sup>10,22</sup> Observational studies in this high-risk patient group suggest an association between increasing transfusion exposure and cumulative volume of blood transfused with a greater risk of mortality<sup>23</sup> and morbidities such as BPD and NEC.<sup>5,24,25</sup> We

**Table 3.** Long-term clinical outcomes

	Unwashed PRBCs (n = 77)	Washed PRBCs (n = 77)	P-value
RDS	74 (96)	72 (94)	0.72
Surfactant	64 (83)	70 (91)	0.23
Sepsis, culture positive	20 (26)	12 (16)	0.5
Early (< 48 h)	1	3	
Late	19	9	
Total transfusions	3 (2–6)	3 (2–6)	1.0
Emergency transfusion	21 (27)	21 (27)	1.0
Received platelets or plasma	23 (31)	21 (29)	0.9
SIP	5 (6)	2 (3)	0.44
NEC	5 (6)	2 (3)	0.44
PVL	2 (3)	4 (5)	0.7
IVH	9 (12)	11 (14)	0.8
ROP	21 (27)	18 (23)	0.7
BPD	45 (58)	45 (58)	1.0
Length of ventilation, days	10 (2–22)	11 (4–18)	0.9
Postnatal steroids	38 (49)	40 (52)	0.87
Length of stay, days	91 (78–110)	92 (68–111)	0.49
Death	5 (6)	8 (10)	0.4

BPD, bronchopulmonary dysplasia; IVH, intraventricular haemorrhage; NEC, necrotising enterocolitis; PRBC, packed red blood cells; PVL, periventricular leucomalacia; RDS, respiratory distress syndrome; ROP, retinopathy of prematurity; SIP, spontaneous intestinal perforation. Data are presented as median (IQR) or N (%). The Kruskal–Wallis test and the Fisher exact test or the Pearson chi-squared test were used for comparison between groups.

**Table 4.** Transfusion pack concentrations of cytokines and markers of endothelial activation (pg mL<sup>-1</sup>)

	Unwashed (n = 34)	Washed (n = 34)	P-value
IFN- $\gamma$	UD	UD	–
IL-6	5.5 (1.2–13.8)	0	< 0.001
IL-8	6.5 (2.7–8.6)	3.7 (2.1–8.3)	0.36
IL-12	UD	UD	–
IL-17A	UD	UD	–
TNF	4.5 (3.9–5.2)	3.3 (3.2–3.9)	0.01
MIF	100.2 (67.7–158.9)	199.5 (104.5–290.6)	0.002
sICAM	83 (53–116)	37 (29–105)	0.32
sVCAM	1097 (789–1424)	198 (144–608)	< 0.001
PAI1	61 (48–100)	26 (14–43)	0.005

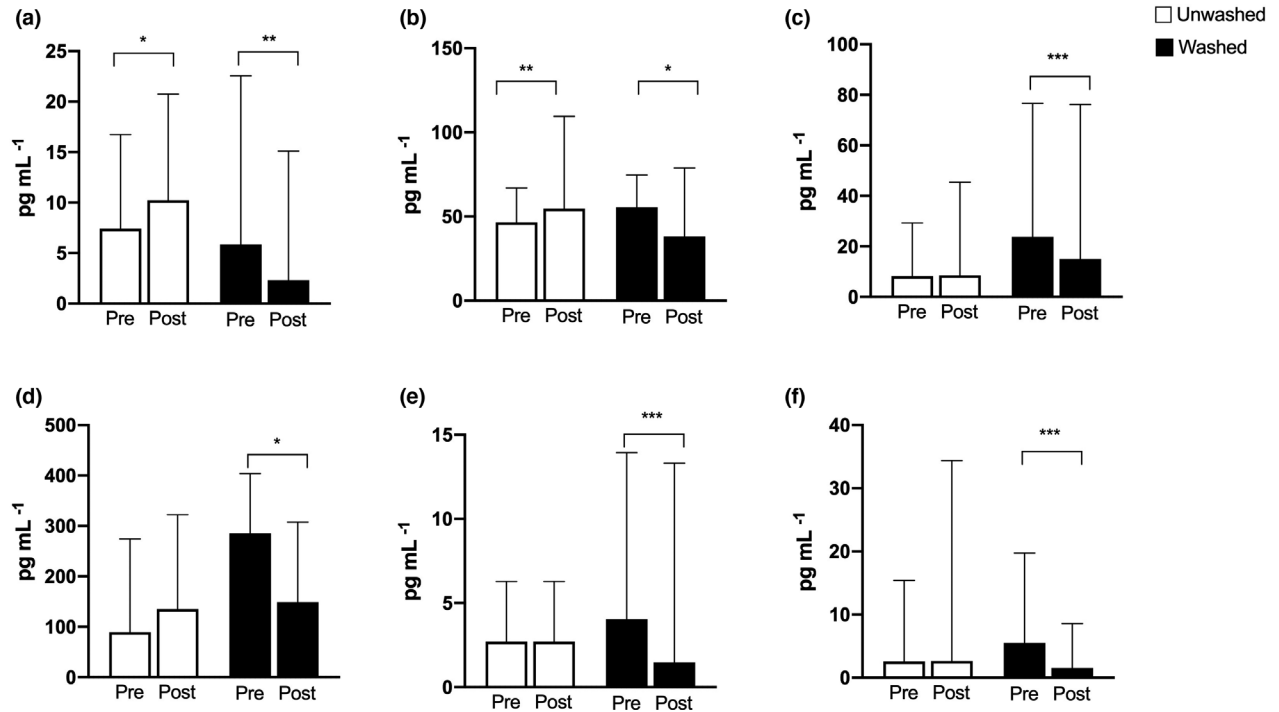
UD, undetectable.

Data are presented as median (IQR). The Mann–Whitney *U*-test was used for comparison between groups.

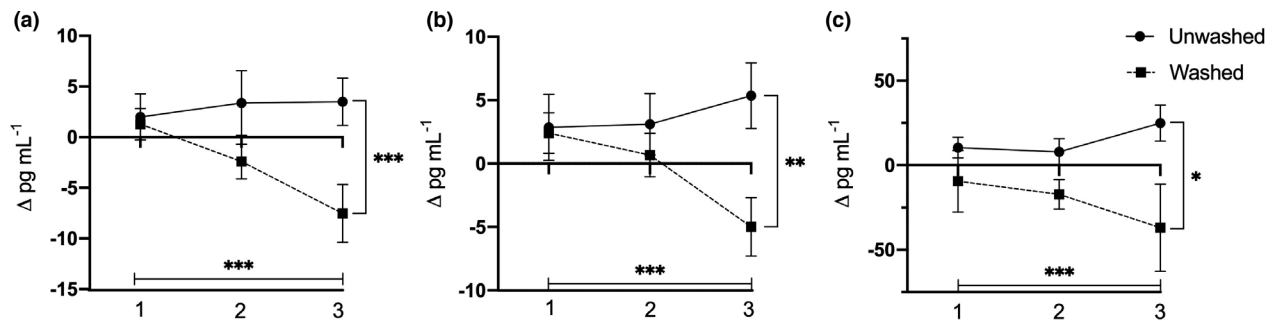
have previously shown that repeated transfusion with unwashed leucodepleted PRBCs results in a greater magnitude of the post-transfusion increases in several pro-inflammatory cytokines.<sup>9</sup> The current data suggest that the strength and nature of transfusion-related alterations in circulating pro-inflammatory cytokines changes over the course of repeated transfusion exposure, but importantly, this can be modified by washing PRBCs prior to transfusion. If TRIM underlies the

association between transfusion exposure and neonatal morbidity and mortality, transfusion with washed PRBCs could reduce its impact, ultimately contributing to improved clinical outcomes.

The current observations are consistent with emerging evidence that suggests washing alters the immunomodulatory potential of PRBCs. This is proposed to be secondary to the removal of cell-free haemoglobin, microparticles, eicosanoids and pro-inflammatory cytokines and chemokines, all of which accumulate during storage and stimulate the production of pro-inflammatory cytokines in the transfusion recipient.<sup>11,26,27</sup> *In vitro* data support a beneficial effect of PRBC washing, with exposure to supernatant from unwashed PRBCs resulting in increased endothelial permeability and higher pro-inflammatory cytokine and chemokine release, an effect not induced by supernatant from washed PRBCs.<sup>11,28</sup> In the sole clinical study comparing the effect of transfusion with washed to unwashed PRBCs in paediatric patients, transfusion with washed PRBCs was associated with a reduction in post-transfusion increases in IL-6 and IL-10.<sup>14</sup> It would appear from the current data that the beneficial effect of PRBC washing is also evident in the extremely preterm newborn. Washing may, however, result in post-washing increases in potassium and haemolysis,<sup>29</sup>



**Figure 1.** Plasma cytokine response following three transfusion exposures. (a) IL-17A, (b) TNF, (c) IL-6, (d) IL-8, (e) IL-12 and (f) IFN- $\gamma$ . Median (IQR). \* $P$ -value < 0.05, \*\* $P$ -value < 0.01 and \*\*\* $P$ -value < 0.001.



**Figure 2.** Mixed models analysis of the pre- to post-transfusion change in plasma cytokines at transfusions 1–3. (a) IL-12, (b) IL-17A and (c) TNF. \* $P$ -value < 0.05, \*\* $P$ -value < 0.01 and \*\*\* $P$ -value < 0.001.

and as a result, washed PRBCs have a reduced shelf life of 28 days compared with that of 35 for standard unwashed leucodepleted PRBCs. In addition, while animal data report conflicting effects on survival and end-organ injury,<sup>30,31</sup> a recent review concludes that it is unclear how these data relate to humans.<sup>29</sup>

While a ‘two-insult’ hypothesis has been proposed to underlie TRIM with long-term or permanent alteration to immune function compounded by repeat transfusion exposure,<sup>32</sup> the exact mechanism remains poorly understood.

PRBCs have been shown to prime mononuclear cells and neutrophils resulting in increased pro-inflammatory cytokines such as IL-8, while altering the chemotactic properties of red blood cells.<sup>33,34</sup> PRBCs may also activate vascular endothelial cells and platelets, cells that are highly sensitive to inflammatory signals, with subsequent release of additional toxic bioactive mediators.<sup>4</sup> Here, we show that post-transfusion levels of pro-inflammatory cytokines and markers of endothelial activation can be modified by washing PRBCs before transfusion. However, the characterisation

**Table 5.** Baseline cytokines and markers of endothelial activation

	Transfusion 1		Transfusion 2		Transfusion 3	
	UW	W	UW	W	UW	W
IFN- $\gamma$	0.9 (0–13.9)	1.32 (0–4.9)	0 (0–15.2)	4.2* (0.4–6.7)	2.58 (0–15.4)	5.5 (0.8–19)
IL-10	13 (1.4–40.1)	16 (4–32.1)	11.9 (1.7–66.3)	12.8 (3.6–49.8)	11.3 (1.7–25.1)	12 (5.1–25.1)
IL-12	1.8 (0–8.8)	1.7 (0.8–6.4)	3.5 (0–9)	2.7 (1–8)	2.7 (0–6.3)	4 (1.3–12.5)
IL-17A	3.5 (0–14.8)	2.1 (0.1–7)	5.1 (0–11.9)	3.4 (0.4–9.6)	7.4 (1.2–16.7)	5.9 (0.6–22)
IL-1 $\beta$	0 (0–6.4)	0.5 (0–2.8)	0 (0–1.2)	0.8* (0–5.4)	0 (0–2.1)	1.2* (0.3–5.3)
IL-6	17.58 (0–54.6)	28.1 (6.4–103)	10.9 (0–42.8)	29.9** (7.4–87.2)	8.2 (0–29.3)	23.8** (8.9–73.4)
IL-8	191 (64–314)	252 (118–527)	75.4 (37.5–242.5)	259.6 (97.5–455)	89.2 (35.3–274.4)	285.3 (67.4–396.2)
TNF	50.2 (31.8–81)	40.2 (28.5–66)	40.8 (26–93.9)	44.2 (30.2–71.6)	46.5 (29.9–66.9)	55.5 (32.7–73.6)
MIF	126.5 (61.7–296.2)	149 (62–349)	121.8 (98.9–210.2)	237.3** (126.5–628.2)	132.8 (70.2–332.9)	126.8 (50.8–404.9)
sICAM1 <sup>a</sup>	19.8 (14.3–31.4)	20.4 (11–32.6)	22.9 (16.0–34.7)	26.4 (13.3–41.7)	29.0 (23.8–42.9)	23.6 (11.5–32.9)
sFasL	17.2 (6.7–28.7)	15.1 (8.6–30.6)	15.4 (7.1–25.6)	17.4 (11.3–37.2)	15.2 (8.5–26.5)	18.9 (5.2–33.4)
sFas	245 (173–380)	322 (261–442)	257.8 (168.7–392)	343* (242–439)	247 (194–506)	318 (221–422)
sVCAM1 <sup>a</sup>	227 (171–389)	226 (19–446)	251 (160–367)	246 (184–420)	190 (113–375)	259 (114–397)
PAI1 <sup>a</sup>	12.2 (7.0–16.6)	9.3 (4.2–17.1)	9.5 (4.3–13.0)	14.1 (4.1–25.5)	6.9 (3.8–13.9)	10.5 (7.6–17.5)

UW, unwashed; W, washed.

Data are presented as median (IQR) and pg mL<sup>-1</sup> unless <sup>a</sup>ng mL<sup>-1</sup>. The Mann–Whitney *U*-test was used for comparison between groups.

\**P* < 0.05 and \*\**P* < 0.01.

of the immunomodulatory effects of PRBC products in individual patients is challenging.<sup>35</sup> We propose that the increases in post-transfusion cytokines, even if modest, contribute to an amplified inflammatory response, which represents the ‘second hit’ in the proposed two-insult model of transfusion-related immunomodulation. The development of morbidities linked to transfusion exposure in the very preterm newborn is not the result of a single event but rather cumulative events in nature. Indeed, multiple risk factors for these morbidities have been identified, including gestational age, requirement for mechanical ventilation and growth restriction. As such, the removal of biological response mediators that accumulate during storage by the washing process prevents the ‘second insult’ and amplification of the inflammatory response with beneficial consequences for the transfused newborn.

Repeat transfusion with washed versus unwashed PRBCs was associated with significantly different changes in both pro-inflammatory cytokines and markers of endothelial activation. The interaction between pro-inflammatory cytokines and the endothelium may play an important role in linking transfusion exposure to adverse outcomes. For instance, TNF and IL-1 $\beta$  activate endothelial cells resulting in the recruitment of leucocytes to sites of cellular damage<sup>36</sup> and IL-8 promotes leucocyte emigration from the vasculature.<sup>37</sup> Central to these processes is MIF, which was only increased

following transfusion with unwashed PRBCs. MIF is important in the regulation of host inflammatory and immune responses and is produced by monocytes/macrophages upon stimulation with various pro-inflammatory stimuli including TNF and interferon- $\gamma$ .<sup>38</sup> Similarly, transfusion with unwashed PRBC alone resulted in increased concentrations of PAI1. PAI1 has been proposed to play a role in the pathogenesis of BPD.<sup>39</sup> Produced by a number of cells including macrophages, it is strongly influenced by inflammatory cytokines such as TNF and IL-6.<sup>40</sup> The current data suggest a potential link between TRIM and endothelial activation or damage, with the third transfusion exposure to unwashed but not washed PRBCs associated with increases in pro-inflammatory cytokines and measures of endothelial activation. This could contribute to organ dysfunction and morbidity, for instance post-transfusion lung injury.<sup>41</sup>

Previous studies, focusing solely on unwashed PRBCs, report conflicting evidence for the presence of detectable levels of cytokines and markers of endothelial activation in the transfusion packs.<sup>10,22,42</sup> The current data are consistent with those reported by Keir *et al.*,<sup>10</sup> but contradict findings by Dani and Locke.<sup>22,42</sup> While the age of the PRBCs and the assay methodology are similar between these studies, in the current study and that of Keir *et al.*, the blood pack additive solution was SAG-M rather than AS-5 Optisol.<sup>10</sup> While some studies have reported increased cytokine levels,

microparticle production and endothelial adhesion<sup>43</sup> in packs containing SAG-M,<sup>44</sup> others have failed to show a difference.<sup>45</sup> We contend that the presence of detectable cytokines in both the unwashed and washed PRBC packs is of questionable significance. As SAG-M was the blood product additive for both the unwashed and washed PRBCs in the current study, it does not explain the observed differences between the groups. Further, if any post-transfusion increase was the result of an infusion of cytokines along with the PRBCs, one would expect consistent post-transfusion changes following each transfusion exposure rather than the transfusion-specific changes observed.

The current study has several strengths and limitations. Transfusion requirement and the age of PRBCs were controlled using a defined transfusion threshold and a maximum 14-day shelf life as per routine practice. By investigating repeat transfusion exposure, the current data have greater clinical relevance in extremely preterm newborns who are typically serially transfused.<sup>21</sup> However, as post-transfusion cytokines and measures of endothelial activation were only determined at a single time point, it is unknown whether the changes are transient or sustained, an important consideration given that there is evidence for time-related changes in specific cytokines and adhesion molecules.<sup>22</sup> In addition, data on temporal changes in cytokines during early life in extremely preterm infants are limited and conflicting,<sup>46,47</sup> with reports of both increases and decreases.<sup>48,49</sup> These descriptive studies are confounded by small sample sizes<sup>50</sup> and exposure to intrauterine inflammation,<sup>51</sup> or limited by a focus on predicting a specific morbidity.<sup>52</sup> As a result, it is difficult to interpret the significance of the pre-transfusion increases in IFN- $\gamma$ , IL-1 $\beta$ , IL-12 and IL-17A across the three transfusion exposures, an effect only observed in the washed transfusion group. Further, this difference between the washed and unwashed groups cannot be explained by differences in clinical characteristics. In addition, as the post-transfusion concentrations were decreased rather than being increased for each cytokine where the baseline changed, we contend that these baseline differences have no impact on the post-transfusion differences observed between the groups.

While all newborns only received PRBCs as per study allocation, it is important to acknowledge that a significant number of newborns were

transfused outside the transfusion protocol. This was a result of clinical decisions made to transfuse above the predefined threshold. This is an inherent difficulty in studying this high-risk population, one that is prone to cardiovascular instability particularly during the early postnatal period. Importantly, the rate of transfusions out of protocol was similar between the unwashed and washed groups and across the three transfusion exposures. In addition, pre-transfusion haemoglobin was included as a covariate in the mixed model analysis. Finally, as the sample size in both groups fell as transfusion exposure increased, resulting in a loss of power, we concede that this should be considered when interpreting the observed differences between the groups.

## CONCLUSION

The current data support the potential for TRIM to be present early following preterm birth, influenced by repeat transfusion exposure and, critically, to be modifiable when PRBCs are washed before transfusion. These data indicate that PRBC washing may mitigate the adverse consequences of PRBC transfusion in neonates and provide important mechanistic insights into the potential of washed PRBCs to be associated with improved neonatal outcome. However, to conclusively determine whether transfusion with washed PRBCs results in improved survival and reduced postnatal morbidity requires an adequately powered randomised controlled trial to compare clinical outcomes after transfusion with washed and unwashed PRBCs.

## METHODS

This multicentre, double-blinded, parallel randomised clinical trial was conducted in the two level III NICUs located in South Australia (The Women's and Children's Hospital and Flinders Medical Centre) from September 2015 to December 2019. The Women's and Children's Human Research Ethics Committee approved the study protocol (REC 2498/9/15, HREC/12/WCHN/5, SSA/12/WCHN/56, SSA/17/SAC/230). In addition, ethics approval was also gained from the Australian Red Cross Lifeblood Human Research Ethics Committee (2013#06). The study was prospectively registered in the Australia and New Zealand Clinical Trial Registry (ACTRN12613000237785).

## Randomisation

A computer-generated randomisation schedule using a balanced variable block design was generated by an independent statistician not involved with the trial



participants or data analysis. Infants were randomised into washed and unwashed groups with a 1:1 allocation ratio, stratified by gestational age ( $23^{+0-25^{+6}}$  and  $26^{+0-28^{+6}}$  weeks). Randomisation was completed by the Women's and Children's Hospital transfusion laboratory when notified of an enrolled infant reaching the transfusion threshold. Study investigators, clinical staff and parents were blinded to study allocation, whereas transfusion laboratory staff were unblinded to comply with hospital ordering and Australian Red Cross Lifeblood safety protocols.

## Participants

Infants with < 29 weeks' gestation were screened prior to participation, and written informed consent was obtained from parents before enrolment (Figure 3). Those infants with major congenital malformations or requiring emergency transfusion prior to consent were excluded. For enrolled infants, the decision to transfuse was based on the haemoglobin concentration determined by complete blood picture with the transfusion threshold based on the restrictive arm of the Premature Infants in Need of Transfusion (PINT) study (Table 6).<sup>21</sup> The contribution of enteral feeding during transfusion on significant morbidity, specifically NEC, remains contentious. For the current study, infants were fasted 4 h before, during and 4 h following transfusion, according to standard nursery practice. A fixed transfusion volume of  $15 \text{ mL kg}^{-1}$  was given over 3 h via a peripheral intravenous cannula. Exposure to other transfusion products (platelets, fresh frozen plasma and cryoprecipitate) was recorded.

## Intervention

Australian Red Cross Lifeblood supplied both washed and unwashed PRBC packs for the duration of the study period. Unwashed PRBC packs were group O rhesus negative, CMV negative, non-irradiated and leucodepleted (quad packs). Each unwashed pack had a mean (SD) volume of  $60 \pm 4 \text{ mL unit}^{-1}$  and a mean (SD) haematocrit of  $61 \pm 4$ .<sup>53</sup> The PRBCs were washed according to established protocols and divided into quad packs.<sup>53</sup> Each washed, leucodepleted red cell pack had a mean (SD) volume of  $65 \pm 6 \text{ mL unit}^{-1}$  and a mean (SD) haematocrit of  $55 \pm 3$ .<sup>53</sup> Both washed and unwashed packs contained the storage additive SAG-M (adenine,  $0.169 \text{ g L}^{-1}$ ; glucose,  $9.0 \text{ g L}^{-1}$ ; mannitol,  $5.25 \text{ g L}^{-1}$ ; sodium chloride,  $8.77 \text{ g L}^{-1}$ ). The shelf life of both washed and unwashed leucodepleted PRBC quad packs was limited to less than 14 days to control for the risk of storage lesion.

## Outcomes

We, and others, have investigated the potential inflammatory effect of PRBC transfusions mediated by increases in plasma pro-inflammatory cytokines, though the targets of interest and post-transfusion differences have varied.<sup>9,10,22,41</sup> The primary outcome therefore was the change from pre- to post-transfusion plasma cytokine concentrations in the recipient, specifically IFN- $\gamma$ , IL-1 $\beta$ , IL-6, IL-8, IL-10, IL-12, IL-17A and TNF.

Secondary outcomes included the pre- to post-transfusion change from baseline in plasma markers of endothelial activation, specifically macrophage inhibitory factor (MIF), soluble intercellular adhesion molecule (sICAM), soluble vascular cell adhesion molecule (sVCAM), soluble Fas ligand (sFasL), soluble Fas (sFas) and endothelial plasminogen activator inhibitor (PAI1). These cytokines, chemokines and markers on endothelial activation were chosen based on our previous studies investigating the response to single and repeated exposure to standard unwashed PRBC transfusions in preterm newborns.<sup>9,10</sup>

Blood samples ( $0.4 \text{ mL}^{-1}$ ) were collected immediately prior to and 4–6 h following transfusion to determine the effect of transfusion on recipient responses as previously described.<sup>9,10,14</sup> A further sample was collected from each transfusion pack. Samples were centrifuged at  $3500 \text{ g}$ , and plasma was aliquoted and stored at  $-80^\circ\text{C}$ . Pre- to post-transfusion changes in cytokines and markers of endothelial activation were analysed by MILLIPLEX Human Cytokine/Chemokine and Human Sepsis Panel multiplex ELISA, respectively (Merck Millipore, Billerica, MA, USA).

## Sample size

Significant post-transfusion changes in IFN- $\gamma$ , IL-6, IL-8 and IL-17A have been reported following exposure to allogeneic leucodepleted unwashed PRBCs,<sup>9,10,22</sup> with *in vitro* data showing blunted responses following exposure to supernatant from washed PRBCs.<sup>11</sup> However, there is a lack of consistency in the timing of post-transfusion cytokine measurements. Given that IL-17A increases at multiple post-transfusion time points,<sup>22</sup> the current sample size was based on the  $\Delta$  (the difference between pre- and post-transfusion concentrations) in IL-17A derived from our previous study in extremely preterm infants.<sup>9</sup> With the mean (SD)  $\Delta$ IL-17 in this previous study of 46 infants being  $15.3 (13.1) \text{ pg mL}^{-1}$ , we determined that a sample size of 62 newborns per group would be required to detect a group difference of  $6.6 \text{ pg mL}^{-1}$  (one-half of a SD) in  $\Delta$ IL-17A between the groups with 80% power and an  $\alpha = 0.05$ . Since it could not be determined prospectively which newborns would be transfused, enrolment exceeded the necessary sample size to ensure adequate power for the primary aim.

## Statistical analysis

In keeping with the hypothesis that repeated exposure results in an amplified inflammatory response in the transfusion recipient and with reports of immunomodulation following exposure to 3–4 PRBC transfusions,<sup>54–57</sup> an *a priori* decision was made to determine the effect of washed compared with unwashed PRBC transfusion on the first three transfusions. As pre- and post-transfusion cytokine concentrations were not normally distributed, the Friedman test was used to assess differences between baseline concentrations prior to each transfusion exposure, with an alpha level of 0.01 to adjust for multiple comparisons. Pre- and post-transfusion levels of cytokines and measures of endothelial activation are presented as median (IQR), with differences assessed using the Wilcoxon signed rank test.

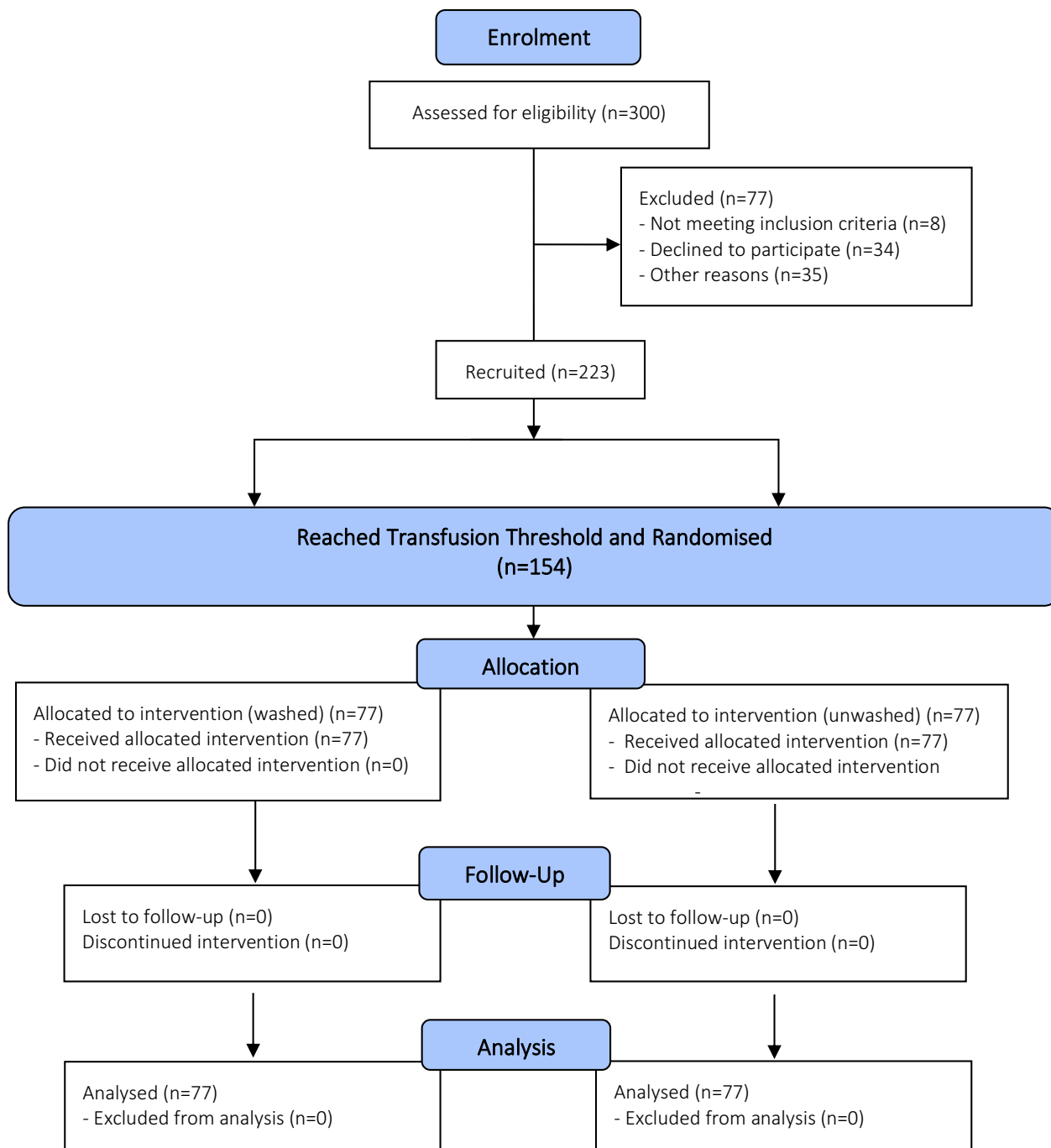


Figure 3. Study consort diagram.

Within-subject temporal differences in the pre- to post-transfusion change in cytokines and measures of endothelial activation across the three transfusion exposures for the two groups were analysed using mixed linear models. Heterogeneous compound symmetry was used as the repeated covariance type and LSD as the confidence interval

adjustment to compare changes within treatment groups. To investigate whether transfusion-related changes were influenced by gestation, sex, age at first transfusion and pre-transfusion haemoglobin, these variables were included as covariates. Data were analysed using the Statistical Package for the Social Sciences (SPSS v26; IBM SPSS, Chicago, IL, USA).

**Table 6.** Transfusion algorithm based on modified transfusion threshold employed in the PINT study

Age (days)	Blood sampling	Respiratory support <sup>a</sup>	No respiratory support <sup>a</sup>
1–7	Capillary	≤ 135	≤ 120
	Central	≤ 122	≤ 109
8–14	Capillary	≤ 120	≤ 100
	Central	≤ 109	≤ 90
≥ 15	Capillary	≤ 100	≤ 85
	Central	≤ 90	≤ 77

<sup>a</sup>Hb threshold levels (g L<sup>-1</sup>).

## ACKNOWLEDGMENTS

We acknowledge the significant contribution of Adam Dichiera, Transfusion Laboratory, SA Pathology, the Women's and Children's Hospital, Adelaide, Dr Scott Morris, Neonatal Medicine, Flinders Medical Centre, Adelaide, and Associate Professor Denese Marks, Australian Red Cross Lifeblood. Sample analysis was supported by a National Blood Authority Grant, ID413.

## CONFLICT OF INTEREST

The authors declare no conflict of interest.

## AUTHOR CONTRIBUTIONS

**Tara M Crawford:** Data curation; Formal analysis; Funding acquisition; Investigation; Project administration; Visualization; Writing – original draft; Writing – review & editing. **Chad C Andersen:** Conceptualization; Methodology; Supervision; Writing – original draft; Writing – review & editing. **Nicolette A Hodyl:** Conceptualization; Formal analysis; Supervision; Writing – review & editing. **Sarah A Robertson:** Resources; Supervision; Writing – original draft; Writing – review & editing. **Michael J Stark:** Conceptualization; Data curation; Formal analysis; Funding acquisition; Investigation; Methodology; Project administration; Resources; Supervision; Writing – original draft; Writing – review & editing.

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