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Original software publication

# Cost-effective simulation-based test selection in self-driving cars software



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#### ARTICLE INFO

# Article history: Received 14 April 2022 Received in revised form 11 January 2023 Accepted 12 January 2023 Available online 18 January 2023

Keywords:
Self-driving cars
Software simulation
Regression testing
Test case selection
Continuous integration

#### ABSTRACT

Simulation environments are essential for the continuous development of complex cyberphysical systems such as self-driving cars (SDCs). Previous results on simulation-based testing for SDCs have shown that many automatically generated tests do not strongly contribute to the identification of SDC faults, hence do not contribute towards increasing the quality of SDCs. Because running such "uninformative" tests generally leads to a waste of computational resources and a drastic increase in the testing cost of SDCs, testers should avoid them. However, identifying "uninformative" tests *before* running them remains an open challenge. Hence, this paper proposes SDC-Scissor, a framework that leverages Machine Learning (ML) to identify SDC tests that are unlikely to detect faults in the SDC software under test, thus enabling testers to skip their execution and drastically increase the cost-effectiveness of simulation-based testing of SDCs software. Our evaluation concerning the usage of six ML models on two large datasets characterized by 22'652 tests showed that SDC-Scissor achieved a classification F1-score up to 96%. Moreover, our results show that SDC-Scissor outperformed a randomized baseline in identifying more failing tests per time unit.

Webpage & Video: https://github.com/ChristianBirchler/sdc-scissor

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# Metadata

**Table 1**Code metadata.

| Code metadata description   |  |
|---|--|
| Current code version  | v2.1.2   |
| Permanent link to code/repository used for this code version      | https://github.com/ScienceofComputerProgramming/SCICO-D-22-00093 |
| Legal Code License  | GNU General Public License (GPLv3)                               |
| Code versioning system used                                       | Git  |
| Software code languages, tools, and services used                 | Python 3.9, BeamNG.tech v0.24.0.2                                |
| Compilation requirements, operating environments and dependencies | Windows 10   |
| If available, link to developer documentation/manual              | https://sdc-scissor.readthedocs.io/en/latest/                    |
| Support email for questions                                       | birchler.chr@gmail.com, spanichella@gmail.com                    |

#### 1. Introduction

Cyber-physical systems (CPSs) are complex systems that leverage physical capabilities from hardware components [1] and find applications in various domains including Robotics, Transportation and Healthcare. For instance, in the automotive domain, self-driving cars (SDCs) are one emerging example of CPS, expected to impact the transport system of our society profoundly. Specifically, human driving errors cause more than 90% of car accidents [2] and SDCs have the potential to reduce such errors and eliminate most of these accidents. However, the recent fatal crashes involving SDCs suggest that the advertised large-scale adoption of SDCs appears optimistic [1].

Automated testing of SDCs (and in general CPS) to ensure their proper behavior is still an open research challenge [3]. We argue that enabling cost-effective testing automation in Continuous Integration (CI) pipelines for SDCs is critical to address the safety and reliability requirements of SDCs [2,4]. However, current SDC testing practices have several limitations: (i) difficulty in testing SDCs using representative, safety-critical tests [5]; (ii) difficulty in assessing SDC's behavior in different environmental conditions [2].

To deal with such safety-related challenges, there is an increasing interest in adopting agile development paradigms within the CPS safety-critical domains [6,7] to identify hazards and elicit safety requirements iteratively [8]. Consequently, researchers proposed the usage of Digital-Twins<sup>1</sup> technologies to simulate and test CPSs in a diversified set of scenarios [9–13] to support testing automation [14,15], regression testing [12,16], and debugging [17,18] activities. In this context, simulation-based testing has been suggested as a promising direction to improve the SDC testing practices [19–21] because simulation environments enable efficient test execution, reproducible results, and testing under critical conditions [22]. Additionally, simulation-based testing can be as effective as traditional field operational testing [3,23]. However, the testing space of simulation environments is infinite, which poses the challenge of exercising the SDC behaviors adequately [24,25]. Given the limited budget devoted to testing activities, it is paramount that developers test SDCs in a cost-effective fashion: using test suites optimized to reduce testing effort (time) without affecting their ability to identify faults [26,27,25].

To increase SDC testing cost-effectiveness, we propose **SDC-Scissor** (**SDC** co**S**t-effe**CtI**ve te**S**t **S**elect**OR**), a framework that leverages Machine Learning (ML) approaches for identifying tests that are unlikely to detect faults and skips them before their execution, hence, reducing the time spent in executing tests. Specifically, we refer to tests that do not expose a fault as *safe* and deem them irrelevant. On the contrary, we consider tests that expose a fault (e.g., an SDC drives out of the road) as relevant and refer to them as *unsafe*.

SDC-Scissor exploits six ML models trained on SDC simulation-based tests features that can be computed before the actual test execution (i.e., input features) to classify whether the tests are safe or unsafe [12,16].

We originally proposed employing Machine Learning to classify simulation-based tests and select them in [12] for making the testing of SDCs more cost-effective. This paper extends our original work by making the following contributions:

- A structural refactoring and extension of SDC-Scissor framework to provide an extendable open API (e.g., facilitating the integration of other SDC simulation environments, or an interface to implement an own AI or an own test generator) as well as the possibility of using the *z* coordinate (defining a road position in three-dimensional space), which increases the level of realism of generated tests (given the non-flat roads).
- An extension of original datasets that include new configurations of the test subject (i.e., risk factors RF1, RF1.5, and RF2) and additional 14'107 simulations-based tests.
- We extended the automated, ML-based approach integrating more ML models trained on features of SDC simulation-based tests to classify whether SDC tests are safe or unsafe (computed before the actual test execution);
- An empirical study comparing the cost-effectiveness of the proposed approach with a randomized baseline as well as
  a Mean Decrease in Gini analysis to describe the most important SDC features used by the ML models in identifying
  unsafe tests.

<sup>&</sup>lt;sup>1</sup> A digital twin is a virtual representation of a real-time digital counterpart of a physical object or process.

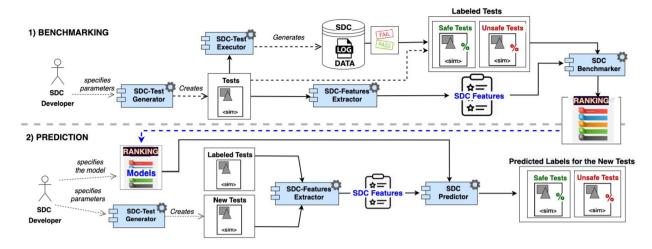


Fig. 1. The SDC-Scissor's architecture.

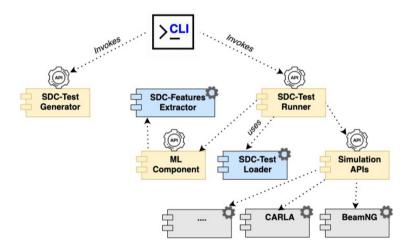


Fig. 2. The SDC-Scissor's main APIs.

• To enable future studies, we made SDC-Scissor compatible with the recent version of BeamNG.tech (v0.24.0.2), which allows the generation of more diverse tests, with the possibility to test multiple cars simultaneously.

Through a large empirical study concerning the usage of six ML models on two large datasets characterized by around 23'000 SDC simulation-based tests, we assessed the performance of SDC-Scissor in optimizing simulation-based testing. Our evaluation showed that SDC-Scissor achieved a higher classification F1-score (between 56% and 96%) with the best performing ML models and outperformed a randomized baseline in identifying failing tests as well as in reducing the time spent running uninformative (i.e., safe) tests.

# 2. The SDC-Scissor tool

In this section, we give an overview of SDC-Scissor's software architecture and its main usage scenarios (Fig. 1); we describe the simulation environment it uses (i.e., BeamNG.tech) and its main APIs (Fig. 2); finally, we discuss in details the components, the approach and the technologies behind SDC-Scissor.

# 2.1. SDC-Scissor architecture overview & main scenarios

SDC-Scissor supports two main usage scenarios: *Benchmarking* and *Prediction*. In the *Benchmarking* scenario, developers leverage SDC-Scissor to determine the best ML model(s) to classify SDC simulation-based tests as safe or unsafe. In the *Prediction* scenario, instead, developers use those model(s) to classify and select newly generated test cases.

SDC-Scissor Software Architecture implements these scenarios by means of the following software components (Fig. 1):
(i) SDC-Test Generator generates random SDC simulation-based tests, and (ii) SDC-Test Executor executes them.

**Table 2** Full Road Attributes extracted by the *SDC-Features Extractor*.

| Feature   | Description   | Range   |
|---|---|---|
| direct_distance<br>road_distance<br>num_l_turns<br>num_r_turns<br>num_straights | Euclidean dist. between start and end (m) Tot. length of the driving path (m) Nr. of left turns on the driving path Nr. of right turns on the driving path Nr. of straight segments on the driving path | [0 - 490]<br>[50.6 - 3,317]<br>[0 - 18]<br>[0 - 17]<br>[0 - 11] |
| total_angle   | Cumulative turn angle on the driving path   | [105 – 6,420]   |

The test results produced by SDC-Test Executor are recorded and used to label tests as safe or unsafe; (iii) SDC-Features Extractor extracts input features of the executed SDC tests, while (iv) SDC-Benchmarker uses these features and corresponding labels as input to train the ML models and determine which model best predicts the tests that are more likely to detect faults in SDCs; finally, (v) SDC-Predictor uses the ML models to classify newly generated test cases and enables test selection.

# 2.2. BeamNG.tech's simulation environment

SDC-Scissor uses BeamNG.tech to execute SDC tests as physically accurate and photo-realistic driving simulations. BeamNG.tech can procedurally generate tests [24] and was recently adopted in the ninth edition of the Search-Based Software Testing (SBST) CPS testing tool competition [28].

BeamNG.tech is organized around a central *game engine* that communicates with the *physics simulation*, the *UI*, and the *BeamNGpy API*.<sup>2</sup> The UI can be used for game control and manual content creation (e.g., *assets*, *scenarios*). For example, developers can use the world editor to create or modify the virtual environments that are used in the simulations; testers, instead, can create test scripts implementing driving scenarios (i.e., the tests). The API, instead, allows the automated generation and execution of tests, the collection of simulation data (e.g., camera images, LIDAR point clouds) for training, testing, and validating SDCs. It also enables driving agents to drive simulated vehicles and get programmatic control over running simulations (e.g., pause/resume simulations, move objects around). The *game engine* manages the simulation setup, camera, graphics, sounds, gameplay, and overall resource management. The *physics core*, instead, handles resource-intensive tasks such as collision detection and basic physics simulation; it also orchestrates the concurrent execution of the vehicle simulators. The *vehicle simulators* —one for each of the simulated vehicles— simulate the high-level driving functions and the vehicle sub-systems (e.g., drivetrain, ABS).

We employ the BeamNG.Al<sup>3</sup> lane-keeping system as the test subject for our evaluation: the driving agent is shipped with BeamNG.tech and drives the car by computing an ideal driving trajectory to stay in the center of the lane while driving within a configurable speed limit. As explained by BeamNG.tech developers, the *risk factor* (RF) is a parameter that controls the driving style of BeamNG.Al: low-risk values (e.g., 0.7) result in smooth driving, whereas high-risk values (e.g., 1.7 and above) result in an edgy driving that may lead the ego-car to cut corners [12].

# 2.3. The SDC-Scissor's approach and technology overview

SDC-Scissor integrates the extensible testing pipeline defined by the SBST tool competition<sup>4</sup> in its SDC-Test Executor. We use the SBST tool competition infrastructure since it allows us to (i) seamlessly execute the tests in BeamNG.tech and (ii) distinguish between *safe* and *unsafe* tests based on whether the self-driving car keeps its lane (non-faulty tests) or depart from it (faulty tests) [24]. Consequently, SDC-Scissor can accommodate various SDC-Test Generators for generating SDC simulation-based tests. In this paper, we demonstrate SDC-Scissor by using the Frenetic test generation [29], one of the most effective tool submitted to the SBST tool competition.

SDC-Scissor predicts whether the tests are likely to be safe or unsafe before their execution using input features that SDC-Features Extractor extracted. Specifically, this component extracts *Full Road Features* (FRFs), i.e., a set of SDC features that describe the global characteristics of the tests. Those features include the main *road attributes* (see Table 2) and *road statistics* concerning the road composition (see Table 3). Road statistics are calculated in three steps: (i) extraction of the *reference driving path* that the ego-car has to follow during the test execution (e.g., the road segments that the car needs to traverse to reach the target position); (ii) extraction of metrics available for each road segment (e.g., length of road segments); and (iii) computation of standard aggregation functions on the collected road segments metrics (e.g., minimum and maximum).

SDC-Scissor relies on the SDC-Benchmarker to determine the ML model that best classifies the SDC tests that are likely to detect faults. It follows an empirical approach to do so: given a set of labeled tests and corresponding input fea-

<sup>&</sup>lt;sup>2</sup> beamngpy is available on PyPI and Github (https://github.com/BeamNG/BeamNGpy).

<sup>&</sup>lt;sup>3</sup> https://wiki.beamng.com/Enabling\_AI\_Controlled\_Vehicles#AI\_Modes.

<sup>&</sup>lt;sup>4</sup> https://github.com/se2p/tool-competition-av.

**Table 3**Full Road Statistics extracted by the *SDC-Features Extractor*.

| Feature  | Description   | Range   |
|--|---|---|
| median_angle std_angle max_angle min_angle mean_angle median_pivot_off std_pivot_off max_pivot_off min_pivot_off | Median turn angle on the driving path (DP) Std. Deviation of turn angles on the DP Max. turn angle on the DP Min. turn angle on the DP Average turn angle on the DP Median turn radius on the DP Std. Deviation of turn radius on the DP Max. turn radius on the DP Min. turn radius on the DP Min. turn radius on the DP | [30 - 330]<br>[0 - 150]<br>[60 - 345]<br>[15 - 285]<br>[52.5 - 307.5]<br>[7 - 47]<br>[0 - 22.5]<br>[7 - 47]<br>[2 - 47] |
| mean_pivot_off   | Average turn radius on the DP   | [5.3 - 47]  |

tures, SDC-Benchmarker trains and evaluates an ensemble of standard ML models using the well-established sklearn<sup>5</sup> library. Next, it assesses ML models' quality using either 10-fold cross-validation or a testing dataset; and, finally, selects the best performing ML models according to Precision, Recall, and F1-score metrics [12]. Noticeably, SDC-Scissor can use many different ML models; however, in this work, we consider Naive Bayes [30], Logistic Regression [31], Random Forests [32], Gradient Boosting [33], Support Vector Machine [34], and Decision Tree [35]. We do so because these ML models have been successfully used for defect prediction or other classification problems in Software Engineering [36,37].

Finally, the SDC-Predictor uses the ML models to predict the likelihood that newly generated SDC tests are safe or not. Specifically, developers have the possibility to select the ML models recommended by the SDC-Benchmarker (considered most accurate), or they can select other models of their choice.

#### 2.4. SDC-Scissor's main APIs

SDC-Scissor was refactored and is now more modularized into components that offer APIs for enhancing better extensibility of the tool, as shown in Fig. 2. The CLI component is where the user directly interacts with the tool, as described in Section 3. Furthermore, other test generators can be integrated by implementing the relevant API of the SDC-Test Generator component. The main goal of the refactoring was to enable SDC-Scissor to work with other simulators for the future (e.g., CARLA). For this purpose, we define Simulation APIs for simulators. The current version of SDC-Scissor provides an implementation of the API for the BeamNG.tech simulator. SDC-Scissor also provides a ML Component and API for the training and testing of the machine-learning models. This allows SDC-Scissor to experiment easier on more diverse test selection approaches for the research on simulation-based regression testing on SDCs.

# 3. Using SDC-Scissor

SDC-Scissor tool is openly available and can be used as a Python command-line utility via  $poetry^6$  or pip. In the following sections, it will be explained how SDC-Scissor can be installed and used for *Benchmarking* and *Prediction* as shown in Fig. 1.

#### 3.1. Installation

```
git clone https://github.com/ChristianBirchler/sdc-scissor.git
cd sdc-scissor
poetry install
poetry run sdc-scissor [COMMAND] [OPTIONS]
```

To simplify SDC-Scissor's usage, we also enable to execute it as a Docker<sup>7</sup> container:

```
docker build --tag sdc-scissor .
docker run --volume "$(pwd)/results:/out" --rm
    sdc-scissor [COMMAND] [OPTIONS]
```

As we detail below, SDC-Scissor's command-line supports the execution of the main usage scenarios described in Section 2.2 by taking appropriate commands and inputs (see Fig. 3).

3.2. Benchmarking

**Test generation.** To generate SDC tests by running the Frenetic generator within a given number of desired tests, SDC-Scissor requires the following command:

<sup>&</sup>lt;sup>5</sup> https://scikit-learn.org/.

<sup>6</sup> https://python-poetry.org/.

<sup>&</sup>lt;sup>7</sup> https://www.docker.com.

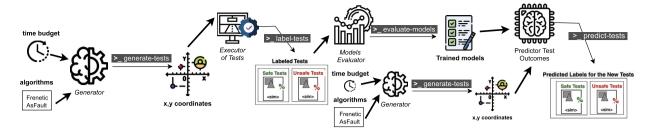


Fig. 3. The SDC-Scissor's fine-grained view.

poetry run sdc-scissor generate-tests -c {number of tests to generate}

**Automated test labeling.** SDC-Scissor labels tests as safe and unsafe by executing them in BeamNG.tech. Since BeamNG.tech cannot be run as a Docker container, labeling tests can only be run locally (i.e., outside Docker). This labeling facility allows developers to create datasets that can be used for the training and validation of ML models (e.g., ML-based prediction of unsafe tests) in the context of *Benchmarking*. Generating a labeled dataset requires a set of already generated SDC tests and the execution of the following command:

```
poetry run sdc-scissor label-tests -t /path/to/tests --rf {risk factor} --oob {OOB criteria}
```

If the car drives out of the lane to a certain percentage, also referred to as the out-of-bound (OOB) criteria, then the test is labeled as unsafe. Based on the arguments for the risk factor and OOB, the tests will be labeled. With different values for those arguments, the tests can be labeled differently and, therefore, also affect the ML-based predictions.

**Feature extraction.** The ML models require as inputs features as described in Table 2 and Table 3. SDC-Scissor extracts those features from the tests and stores them in a separate CSV file with the following command:

```
poetry run sdc-scissor extract-features -t /path/to/tests
```

**ML models evaluation.** For identifying the models that SDC-Scissor could use for the prediction, SDC-Scissor implements a 10-fold cross-validation strategy on the labeled dataset. The following command tells SDC-Scissor to benchmark all the configured ML models:

poetry run sdc-scissor evaluate-models --csv /path/to/road features.csv

# 3.3. Prediction

For the prediction use case scenario, we generate new tests with the same command as in Section 3.2. The goal is to predict the test outcome before executing them. For this reason, we generate new tests for which we do not know the oracle yet.

**Test outcome prediction.** SDC-Scissor classifies unlabeled tests, i.e., it predicts their outcome, using a trained ML model with the following command:

```
poetry run sdc-scissor predict-tests -t /path/to/tests
```

**Random baseline evaluation.** SDC-Scissor allows to select tests using a random strategy that provides a baseline evaluation with the following command:

poetry run sdc-scissor evaluate-cost-effectiveness -csv /path/to/road\_features.csv

# 4. Empirical evaluation

In this paper, we seek to answer the following research questions:

- RQ<sub>1</sub>: To what extent is it possible to predict safe and unsafe SDC test cases?
- RQ<sub>2</sub>: To what extent SDC-Scissor is cost-effective compared to a random baseline?
- RQ<sub>3</sub>: To what extent are different road features relevant to predict safe and unsafe SDC test cases?

We are interested to investigate the extent to which predicting unsafe SDC test cases before executing them (RQ<sub>1</sub>) is possible in a practical sense (e.g., do we achieve a reasonable precision, recall, and F-measure?). More importantly, we also investigate whether SDC-Scissor allows reducing testing cost dedicated to the execution of so-called irrelevant tests (RQ<sub>2</sub>), i.e., test cases not leading to actual faults. To achieve these objectives, as described below, we, first of all, constructed a dataset of SDC test cases that can be used to experiment with such research questions. Hence, we specifically investigated the usage of SDC road features to predict SDC test outcomes as well as investigate the ability of SDC-Scissor to outperform a

**Table 4**Datasets Summary.

| Dataset   | Test               | Data Points |              |        |
|-----------|--------------------|-------------|--------------|--------|
|           | Subject            | Unsafe      | Safe         | Total  |
| Dataset 1 | BeamNG.AI cautious | 1'318 (28%) | 3'397 (72%)  | 4'715  |
|           | BeamNG.AI moderate | 1'502 (34%) | 2'908 (66%)  | 4'410  |
|           | BeamNG.AI reckless | 1'680 (34%) | 3'302 (66%)  | 4'982  |
| Dataset 2 | BeamNG.AI cautious | 312 (26%)   | 866 (74%)    | 1'178  |
|           | BeamNG.AI moderate | 2'543 (45%) | 3'095 (55%)  | 5'638  |
|           | BeamNG.AI reckless | 1'655 (96%) | 74 (4%)      | 1'729  |
|           | Total              | 9'010 (40%) | 13'642 (60%) | 22'652 |

**Table 5**Performance of the best three ML models with dataset split 80/20. The best results are shown in boldface.

| Dataset   | RF     | Model               | Prec. | Recall | F1-score |
|-----------|--------|---------------------|-------|--------|----------|
| Dataset 1 | RF 1   | Logistic Regression | 40.3% | 55.5%  | 46.7%    |
|           |        | Naïve Bayes         | 40.3% | 49.8%  | 44.6%    |
|           |        | Random Forest       | 38.9% | 57.5%  | 46.4%    |
| Dataset 1 | RF 1.5 | Logistic Regression | 45.8% | 60.9%  | 52.3%    |
|           |        | Naïve Bayes         | 40.2% | 92.5%  | 56.1%    |
|           |        | Random Forest       | 41.3% | 30.5%  | 35.1%    |
| Dataset 1 | RF 2   | Logistic Regression | 39.4% | 53.6%  | 45.5%    |
|           |        | Naïve Bayes         | 34.6% | 100.0% | 51.4%    |
|           |        | Random Forest       | 38.3% | 53.3%  | 44.6%    |
| Dataset 2 | RF 1   | Logistic Regression | 43.3% | 87.3%  | 57.9%    |
|           |        | Naïve Bayes         | 36.7% | 92.1%  | 52.5%    |
|           |        | Random Forest       | 40.7% | 79.4%  | 53.8%    |
| Dataset 2 | RF 1.5 | Logistic Regression | 78.1% | 65.3%  | 71.1%    |
|           |        | Naïve Bayes         | 79.3% | 53.2%  | 63.6%    |
|           |        | Random Forest       | 75.8% | 62.7%  | 68.6%    |
| Dataset 2 | RF 2   | Logistic Regression | 99.6% | 82.8%  | 90.4%    |
|           |        | Naïve Bayes         | 98.7% | 94.3%  | 96.4%    |
|           |        | Random Forest       | 99.7% | 92.7%  | 96.1%    |

random baseline. Finally, we also discuss the most important features (RQ<sub>3</sub>) used for enabling the prediction in the context of our work.

**Dataset construction.** We evaluated SDC-Scissor conducting a large study on two datasets, referred to as *Dataset 1* and *Dataset 2*, that contain over 22, 000 SDC tests (see Table 4). We adopted the following experimental setup to obtain comprehensive and unbiased training datasets. For *Dataset 1*, we *randomly* generated 13, 207 valid tests using Frenetic [29] as well as collected input features and executed them to collect labels. For the *Dataset 2*, instead, we generated 8, 545 tests using AsFault [24].

Al engine and risk factor considered. It is important to note that in executing all those tests, we experimented with different BeamNG.Al risk factors as it influences the ego-car driving style. Specifically, we considered three configurations: cautious (RF 1.0), moderate (RF 1.5), and reckless (RF 2.0) driver. Using different values for the risk factor enabled us to study the effectiveness of SDC-Scissor on various SDCs' driving styles. We empirically validated our expectations by running the cautious, moderate, and reckless drivers to generate both *Dataset 1* and *Dataset 2* tests. From Table 4 we can observe that the number of unsafe tests increased with increasing values of BeamNG.Al's risk factor. This result seems to suggest that the risk factor may influence the safety of BeamNG.Al and the outcome of tests. However, we would like to make the note that for *Dataset 1*, the ratio of safe (66%) and unsafe (34%) tests between moderate (RF 1.5) and reckless (RF 2.0) drivers is identical.

**ML models and training process considered.** To assess the performance of SDC-Scissor in optimizing simulation-based SDCs testing via test selection (i.e., in selecting unsafe tests before executing them), for both *Dataset 1* and *Dataset 2*, we experimented with the ML models mentioned in Section 2.3 trained and validated using an 80/20 data split.

# 4.1. Results

**Prediction (RQ**<sub>1</sub>). As reported in Table 5, on *Dataset 1* SDC-Scissor accurately identified unsafe test cases, with F1-score ranging between 35.1% and 56.1%. On *Dataset 2*, instead, SDC-Scissor identified unsafe test cases with F1-score ranging between 52.5% and 96.4%.

**Cost-effectiveness (RQ<sub>2</sub>).** In the context of regression testing, we want to select only relevant test scenarios so that the testing cost (execution time) is reduced. We evaluated the cost-effectiveness of SDC-Scissor by computing the ratio of selected unsafe test scenarios and the overall test execution time. Thus, the cost-effectiveness score is computed as

 Table 6

 Cost-effectiveness (=  $\frac{\text{number of unsafe tests selected}}{\text{simulation time of all selected tests}}$ ) of SDC-Scissor against a random baseline on Dataset~1 with RF~1.5.

| Model               | Cost-effectiveness |                 |  |
|---------------------|--------------------|-----------------|--|
|                     | SDC-Scissor        | Random Baseline |  |
| Random Forest       | 0.29%              | 0.22%           |  |
| Gradient Boosting   | 0.40%              | 0.19%           |  |
| SVM                 | 0.35%              | 0.19%           |  |
| Naive Bayes         | 0.24%              | 0.22%           |  |
| Logistic Regression | 0.37%              | 0.22%           |  |
| Decision Tree       | 0.23%              | 0.26%           |  |

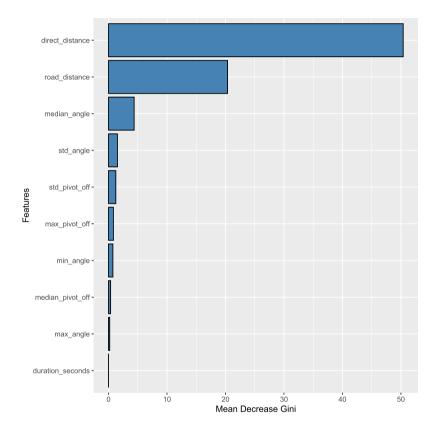


Fig. 4. Mean Decrease in Gini when using RF 1.0. The top 10 features are visualized (simulation time attributes included).

 $CE = \frac{\text{number of selected unsafe tests}}{\text{simulation time of all selected tests}}.$ 

We compared the cost-effectiveness of SDC-Scissor with a random baseline test selector. In the case of SDC-Scissor, the models were trained on 80% of *Dataset 1 RF 1.5*. SDC-Scissor selected from the remaining 20% 10 tests that are most likely to be unsafe, whereas the random baseline selector picks 10 tests at random. As shown in Table 6, SDC-Scissor has only in the case of the *Decision Tree* model a worse cost-effectiveness of 0.23% against the baseline with a cost-effectiveness score of 0.26%. For the *Gradient Boosting, Support Vector Machine*, and *Logistic Regression* classifiers we have the highest differences of more than 0.1%. Overall, we observed a better cost-effectiveness score of SDC-Scissor compared to a random baseline test selector. In general, with our approach, we detect more unsafe tests as the baseline per time unit.

**Feature Importance** (RQ<sub>3</sub>). To better understand the features that contribute more to the prediction of safe and unsafe tests, we computed the Mean Decrease in Gini (also called Mean Decrease in Impurity) [38–40] considering the designed road features. As shown in Fig. 4, Fig. 5 and Fig. 6, we can find the (top 10) features considered as important for the identification of safe and unsafe tests, for different risk factors (RF1.0, RF1.5, and RF2). It is interesting to observe from such features that the three top most important features vary depending on the specific configuration of the driving agent (i.e., RF). This observation suggests that certain characteristics of the road play an important role in the safety of the SDC, depending on the driving style (i.e., each RF). Specifically, for RF 1.0, the top three most important road features are the *Direct Distance*, *Road Distance*, and *Median Angle*. For RF 1.5, the top three most important road features are the *Road Distance*,

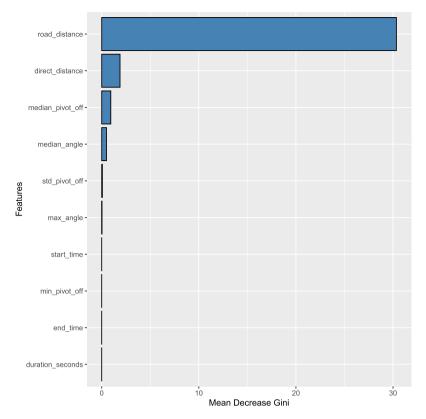


Fig. 5. Mean Decrease in Gini when using RF 1.5. The top 10 features are visualized (simulation time attributes included).

Direct Distance, and Median Pivot Off, while for RF 2.0, the top three most important road features are the Road Distance, Direct Distance, and Mean Pivot Off. Hence, for less cautious driving styles (for RF > 1.0), the most important feature is always represented by the Road Distance, followed by the Direct Distance feature and the Mean/Median Pivot Off feature. Finally, for a more cautious driving style (for RF = 1.0), the most important feature is represented by the Direct Distance, followed by the Road Distance and the Median Angle features. In a practical sense, this means that for a more cautious driving style (for RF = 1.0), the safety of the SDC is influenced by the direct/road distance and the turn angle on the driving path (i.e., the distance and the presence of turns are together influencing the SDC behavior). Complementary, for a less cautious driving style (for RF > 1.0), the safety of the SDC is influenced by the direct/road distance and the average/median radius of the road segments turned on the test track (i.e., the distance and the radius of specific road segments are together influencing the SDC behavior).

# 4.2. Threats to validity

SDC-Scissor is an ML-based test selector that depends on the data for training the models. The datasets were labeled with the internal BeamNG.AI of the used BeamNG simulator. The use of a single AI engine may introduce a threat to validity because the results might be biased since no other experiments with different AI were considered. Furthermore, we do not know how BeamNG.AI behaves with different weather conditions, which would increase the level of realism. The use of different simulators with different physical behavior could alter the results because BeamNG is a soft-body physics simulator with high fidelity that simulates deformations of multiple parts of the car, such as the chassis, engine, transmission, tires, etc., whereas other simulators like CARLA use a rigid-body physics engine. Furthermore, the ML models are trained with the default configurations. The prediction performances might be improved so that the results change and the ranking of the models vary.

#### 5. Conclusions

This paper presented SDC-Scissor, an ML-based test selection approach that classifies SDC simulation-based tests as likely (or unlikely) to expose faults before executing them. SDC-Scissor trains ML models using input features extracted from driving scenarios, i.e., SDC tests, and uses them to classify SDC tests before their execution. Consequently, it selects only those tests that are predicted to likely expose faults. Our evaluation shows that SDC-Scissor successfully selected unsafe test

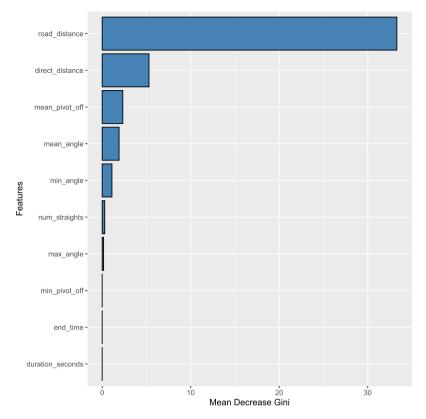


Fig. 6. Mean Decrease in Gini when using RF 2.0. The top 10 features are visualized (simulation time attributes included).

cases across different driving styles and drastically reduced the execution time dedicated to executing safe tests compared to a random baseline approach.

As future work, we plan to replicate our study on further SDC datasets, Al engines, and more advanced SDC features to study how the results generalize in various autonomous systems domains. Additionally, given our close contacts with the BeamNG.tech team, we plan the integration of SDC-Scissor into BeamNG.tech environment to enable researchers and SDC developers to use SDC-Scissor as a cost-effective testing environment for SDCs. Finally, we plan to investigate the use of SDC-Scissor in other CPS domains, such as drones, to investigate how it performs when testing focuses on different types of safety-critical faults. Specifically, it is important to investigate approaches that are more human-oriented or are able to integrate humans into-the-loop [36,37], via multi-objective optimizations [41,42].

Last but not least, our empirical research has some practical implications. It is our understanding that SDC-Scissor could be used in an industrial context to identify relevant test scenarios. When it comes to different levels of testing like Software-in-the-loop or Hardware-in-the-loop, SDC-Scissor provides a platform to conduct those experiments without manual human-based interaction. The testing costs can be reduced, and the fault detection rate is increased compared to a random test selector.

# **Declaration of competing interest**

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

Christian Birchler reports financial support was provided by European Commission. Nicolas Ganz reports financial support was provided by European Commission. Sajad Khatiri reports financial support was provided by European Commission. Sebastiano Panichella reports financial support was provided by European Commission. Alessio Gambi reports financial support was provided by German Research Foundation.

# Acknowledgements

We gratefully acknowledge the Horizon 2020 (EU Commission) support for the project COSMOS (DevOps for Complex Cyber-physical Systems), Project No. 957254-COSMOS) and the DFG project STUNT (DFG Grant Agreement n. FR 2955/4-1).

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