

Northumbria Research Link

Citation: Schelpe, Camilla and Gudmundsson, Hilmar (2023) Incorporating Horizontal Density Variations into Large-scale Modelling of Ice Masses. *Journal of Geophysical Research: Earth Surface*. e2022JF006744. ISSN 2169-9003 (In Press)

Published by: Wiley-Blackwell

URL: <https://doi.org/10.1029/2022jf006744> <<https://doi.org/10.1029/2022jf006744>>

This version was downloaded from Northumbria Research Link: <https://nrl.northumbria.ac.uk/id/eprint/51277/>

Northumbria University has developed Northumbria Research Link (NRL) to enable users to access the University's research output. Copyright © and moral rights for items on NRL are retained by the individual author(s) and/or other copyright owners. Single copies of full items can be reproduced, displayed or performed, and given to third parties in any format or medium for personal research or study, educational, or not-for-profit purposes without prior permission or charge, provided the authors, title and full bibliographic details are given, as well as a hyperlink and/or URL to the original metadata page. The content must not be changed in any way. Full items must not be sold commercially in any format or medium without formal permission of the copyright holder. The full policy is available online: <http://nrl.northumbria.ac.uk/policies.html>

This document may differ from the final, published version of the research and has been made available online in accordance with publisher policies. To read and/or cite from the published version of the research, please visit the publisher's website (a subscription may be required.)

Incorporating Horizontal Density Variations into Large-scale Modelling of Ice Masses

Camilla A. O. Schelpe¹ and G. Hilmar Gudmundsson¹

¹Department of Geography and Environmental Sciences, Northumbria University, Newcastle upon Tyne, UK

Key Points:

- We examine the impact of horizontal variations in ice density on large-scale ice-sheet simulations.
- A commonly used approximation, which adjusts the glacial thickness to account for density variations, has a number of shortcomings.
- An approach which explicitly includes horizontal density variations could potentially lead to a 10% correction in estimated sea level rise.

Corresponding author: Camilla Schelpe, camilla.schelpe@northumbria.ac.uk

This article has been accepted for publication and undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as [doi: 10.1029/2022JF006744](https://doi.org/10.1029/2022JF006744).

This article is protected by copyright. All rights reserved.

Abstract

Gravity-driven flow of large ice masses such as the Antarctic Ice Sheet (AIS) depends on both the geometry and the mass density of the ice sheet. The vertical density profile can be approximated as pure ice overlain by a firn layer of varying thickness, and for the AIS the firn thickness is not uncommonly 10 to 20% of the total thickness, leading to not insignificant variation in density. Nevertheless, in most vertically-integrated ice-flow models today the density is assumed constant, sometimes with an adjustment in thickness to compensate. In this study, we explore the treatment of horizontal density variations (HDVs) within vertically-integrated ice-sheet models. We assess the relative merits and shortcomings of previously proposed approaches, and provide new formulations for including HDVs. We use perturbation analysis to derive analytical solutions that describe the impact of density variations on ice flow for both grounded ice and floating ice shelves, which reveal significant qualitative differences between each of the proposed density formulations. Furthermore, by modelling the transient evolution of a large sector of the West Antarctic Ice Sheet (WAIS), we quantify the potential impact of HDVs on estimated sea level change. For the domain we considered, we find that explicitly including the horizontal density gradients in the momentum and mass conservation equations leads to about a 10% correction in the estimated change in volume above flotation over 40 years. We conclude that including horizontal density variations in flow modelling of the Antarctic Ice Sheet is important for accurate predictions of mass loss.

Plain Language Summary

Variation in the average ice-density across large ice sheets such as the Antarctic Ice Sheet will have an impact on the dynamics of the ice-flow. The question we wish to answer in this study is how significant this impact is and how best to model the density variations within large-scale numerical simulations. Variations in the average ice-sheet density come from layers of compactified snow which have a lower density than the underlying ice. Within the Antarctic Ice Sheet this compactified snow layer is approximately 10 to 20% of the total thickness, which leads to not insignificant variation in the average density. Nevertheless, in most numerical models that simulate the flow of large ice sheets, these variations are either ignored completely or approximated by an adjustment in the total ice-thickness. In all large-scale numerical models, there is a trade-off between computational complexity and an accurate depiction of the physical processes. We propose several formulations for including density variations, and study the theoretical behaviour of ice flows in each formulation. We find that numerical simulations of the Western Antarctic Ice Sheet over 40 years suggest that explicitly including density variations could potentially lead to a 10% correction in estimated sea level rise.

1 Introduction

Ice sheets typically comprise a core of meteoric ice, and an overlying layer of lower-density firn of variable thickness. This gives rise to spatial variation in the vertically-averaged density of the ice at each point on the surface. These density variations can be significant. For example, in Figure 1 we have plotted the vertically-averaged density over the ice shelves fringing the Antarctic Ice Sheet, extracted from estimates of firn thickness. We see a reduction in density of at least 5% over wide areas, and over many ice-shelves such as George IV and towards the calving fronts of the Filchner-Ronne and Ross ice shelves the reduction in average density can be as much as 15%. For unconfined ice shelves the local spreading rate is proportional to the third power of the local density (when using typical values to describe the rheology of ice), which would suggest that in some cases density variations could lead to a 40% reduction in estimated spreading rates. For grounded ice sheets the velocity is similarly impacted by the local density. Nevertheless, despite potentially having significant impact on ice flow, horizontal variations in the ice density

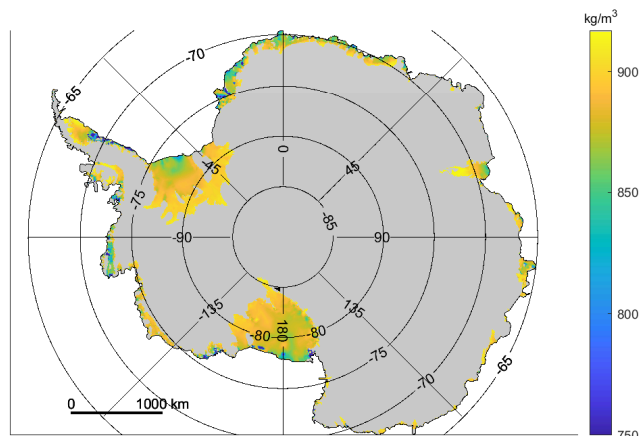


Figure 1. Vertically-averaged density of the ice-shelves in Antarctica, with the grounded domain masked (data extracted from BedMachine Antarctica (Morlighem, 2020; Morlighem et al., 2020)).

are generally not accounted for in most vertically-integrated large-scale ice sheet models today.

Here we provide the first systematic assessment of the impact of horizontal density variations on the flow of large ice masses and present new formulations for their inclusion in large-scale ice-flow models. We base our analysis on the *shallow ice stream approximation*, a commonly used vertically-integrated formulation in ice-flow modelling for describing the ice flow of large ice masses where the ice thickness is small compared to the horizontal span. (This formulation is also referred to as the shallow-shelf approximation or the shelfy approximation, and often abbreviated to SSA.) The SSA is deployed in many numerical simulation models of large ice masses. See, for example: the Pollard & DeConto Hybrid Ice Shelf Model (Pollard & DeConto, 2012), the MALI variable-resolution ice sheet model (Hoffman et al., 2018), PISM (Bueler & Brown, 2009), BISICLES (Cornford et al., 2013), f.ETISH (Pattyn, 2017), ISSM (Larour et al., 2012), and Úa (Gudmundsson, 2020b). The treatment of density variations is rarely mentioned in the literature on these ice-sheet models. In the published model descriptions, the vertically-averaged density, ρ , enters the SSA equations of mass and momentum conservation, but in all models, with the exception of Úa, the spatial and temporal derivatives of ρ appear to be set to zero. If any correction for HDVs is included, it appears to be done through modification of ice thickness. In the ice-flow model Úa, a variable density field can be specified as an input to the model, and a correction to the momentum and mass conservation equations is included. We return later to a detailed description of the implementation in Úa.

In all that follows, we consider variations in the vertically-averaged density as an input field to the ice-sheet model, similar to other input fields like the ice sheet bedrock topography or surface mass balance. We allow the density field to evolve in some of the models through advection, but we do not concern ourselves with how these variations in density arise or evolve in response to external climate forcings. The input density field can be extracted from datasets of ice and firn thicknesses, available for both the Greenland and the Antarctic Ice Sheets, e.g. the BedMachine Antarctica dataset (Morlighem, 2020; Morlighem et al., 2020). Typically, the total thickness of the ice sheet is considered to comprise an ice layer of fixed density $\rho_{\text{ice}} = 917 \text{ kg/m}^3$, and a variable firn layer for which the *firn air-content*, δ , is estimated. The firn air-content can be considered to

94 be the vertical distance by which the firn needs to be compacted for it to have acquired
95 the same density as ice. From the definition of δ it follows that it can be expressed as
96 $\delta = h \times (1 - \rho/\rho_{\text{ice}})$, where h is the total thickness of the ice column. Under this defini-
97 tion, $h_{\text{ice}} = h - \delta$ is the *ice-equivalent thickness*, for which $\rho_{\text{ice}} \times h_{\text{ice}} = \rho \times h$. See
98 Appendix A for a more detailed description.

99 A common approach to handle density variations in ice-flow models is to adjust the
100 height of the glacier to this *ice-equivalent thickness*, while keeping the ice-density con-
101 stant $\rho = \rho_{\text{ice}}$. This preserves the total mass of the ice-column at each spatial coordi-
102 nate and thus maintains hydrostatic equilibrium of the ice-shelves. We refer to this ap-
103 proximation as the *density-to-thickness* (D2T) adjustment method. The apparent advan-
104 tage of this approach is that, as a result, all spatial density gradients in the original
105 data sets disappear, and so no modification of the standard form to the SSA equations
106 is required. However, this commonly used approach may not capture the true impact
107 of density variations acting within the mass and momentum conservation equations. It
108 is important to realize that the D2T adjustment results in modification to all terms in-
109 volving ice thickness in the SSA equations, including several terms that do not involve
110 the density. Furthermore, once the ice thickness has been modified in this manner in the
111 initial model setup, the density variations are effectively advected with the ice over time.
112 In what follows, we analyse the ice flow under the D2T adjustment and propose a num-
113 ber of alternative formulations for incorporating HDVs into large-scale ice-flow models.
114 In particular, we examine the magnitude of the difference, or the error, when the vari-
115 ations in density are folded into the ice thickness distribution, as done in the D2T ad-
116 justment, compared to introducing the spatial gradients in density directly as additional
117 terms in the SSA equations, and solving the resulting augmented system of flow equa-
118 tions.

119 We start by presenting the field equations governing ice-flow in the presence of a
120 spatially varying density field within the SSA in section 2, with the derivation detailed
121 in Appendix A. In section 3, we discuss various approaches for including HDVs in vertically-
122 integrated ice-flow models, including the D2T adjustment approach outlined above. One
123 of the first questions to consider is the general importance of horizontal density varia-
124 tions, and whether they can reasonably be ignored. To this end, in section 4 we start by
125 looking at typical spatial scales governing large-scale ice flow to assess the relative size
126 of different terms in the momentum equations, particularly those terms containing the
127 spatial gradients in density.

128 The bulk of this paper is dedicated to comparing the behaviour of the different den-
129 sity approaches within a linearised version of the field equations. This analytical approach
130 focuses on the response to small perturbations in the density field, closely following the
131 approach of Gudmundsson (2008). In sections 5 and 6, we derive the transfer functions
132 for induced perturbations in the glacial thickness and surface velocity relative to two steady-
133 state reference solutions: that of a grounded ice sheet in section 5, and that of a float-
134 ing ice shelf in section 6. The transfer functions describe the response of the primary fields
135 to density perturbations at different spatial wavelengths. The application to a floating
136 ice shelf is complicated by the fact that the steady-state thickness and velocity fields are
137 spatially varying, and here we use the approximation proposed by Ng et al. (2018) for
138 perturbation analysis in the presence of a spatially varying background field. We derive
139 sixteen transfer functions in total: for the surface topography and horizontal velocity field
140 within each of the four density formulations, applied to each of the two reference states.
141 If the reader is less interested in the technical details of these derivations, they may choose
142 to skip forward to section 7, where we summarise the derived transfer functions, although
143 we do recommend paying attention to the details of the D2T formulation in section 5.5.
144 In section 7 we compare the different transfer functions and use them to examine the be-
145 haviour of the ice flow in a few simple simulations.

146 Finally, in section 8, we look at a specific example of the impact of the different
 147 density formulations on transient simulations of the Western Antarctic ice sheet within
 148 the shallow ice-model \dot{U} a. This is applied to a limited set of the different density formu-
 149 lations, restricted to those that can be applied to large-scale numerical simulations within
 150 the current set of ice-flow models. We conclude in section 9 with a summary of the im-
 151 portant findings of this study.

152 2 The SSA Field Equations with Horizontal Density Variations

153 The equations of motion describing the flow of isothermal masses are governed by
 154 the principles of conservation of mass and momentum. In this study, we restrict our anal-
 155 ysis to ice flows that can be described by the shallow ice stream approximation (SSA),
 156 where the ice thickness is small compared to the horizontal span. The SSA equations have
 157 been derived numerous times in the literature with the first derivation being, to our knowl-
 158 edge, by MacAyeal (1989). Baral et al. (2001) provides a useful overview of asymptotic
 159 theories of large-scale glacier flow. In the presence of horizontal density variation, we show
 160 how the SSA mass and momentum equations need to be modified in Appendix A. We
 161 have broadly followed the derivation given in Gudmundsson (2020a), but made various
 162 modifications and extensions to account for a variable density field, resulting in several
 163 additional terms to the momentum equations. The results are summarised below. In this
 164 derivation, we make the simplifying assumption that the density is constant with depth,
 165 and equal to the vertically averaged density at each spatial point (x, y) . Without this
 166 assumption, analytical solutions to the vertically-integrated field equations are not possi-
 167 ble, and would instead require numerical integration in the z -dimension. In Appendix
 168 B we discuss this assumption and its potential short-comings in a bit more detail.

169 The SSA momentum-conservation equations, in the presence of a horizontally vary-
 170 ing density field are

$$\begin{aligned}
 171 \quad \partial_x \left(4h\eta\partial_x u + 2h\eta\partial_y v + \frac{2h\eta}{\rho} \frac{D\rho}{Dt} \right) \\
 172 \quad + \partial_y (h\eta(\partial_x v + \partial_y u)) - t_{bx} &= \rho gh (\partial_x s \cos \alpha - \sin \alpha) + \frac{1}{2} h^2 g \partial_x \rho \cos \alpha \\
 173 \quad \partial_y \left(4h\eta\partial_y v + 2h\eta\partial_x u + \frac{2h\eta}{\rho} \frac{D\rho}{Dt} \right) \\
 174 \quad + \partial_x (h\eta(\partial_x v + \partial_y u)) - t_{by} &= \rho gh \partial_y s \cos \alpha + \frac{1}{2} h^2 g \partial_y \rho \cos \alpha \quad (1)
 \end{aligned}$$

175 in a tilted coordinate system aligned to the bed topography, where α is the angle of the
 176 coordinate system to the horizontal. Allowing the density field to vary has introduced
 177 two new contributions to these equations. On the left hand side, we have a term pro-
 178 portional to the material derivative of the density field, $D\rho/Dt$. This additional term
 179 represents momentum transfer between regions of low and high density. On the right hand
 180 side of the equations we have an additional driving term which scales as the horizontal
 181 gradient of the density. In this notation: η is the vertically-integrated effective viscos-
 182 ity; u, v are the horizontal velocities in the x, y directions respectively; t_{bx}, t_{by} are the
 183 horizontal components of the basal traction vector; s is the location of the upper glacial
 184 surface; and g is the acceleration due to gravity. We use the short-hand $\partial_x \equiv \frac{\partial}{\partial x}$, and
 185 the material derivative is defined as

$$186 \quad \frac{D\rho}{Dt} \equiv \partial_t \rho + \mathbf{v} \cdot \nabla \rho,$$

187 where $\mathbf{v} = (u, v, w)$ is the velocity vector of the ice-flow. All variables are defined through-
 188 out the text and summarised in the Notation section.

In three-dimensions, the generalised form of the mass-conservation equation which allows for density variation in the ice sheet is

$$\frac{D\rho}{Dt} + \rho \nabla \cdot \mathbf{v} = 0 \quad (2)$$

In the SSA, the vertically-integrated form of this equation is

$$\rho \partial_t h + \nabla_{xy} \cdot \mathbf{q}_{xy} + h \partial_t \rho = \rho a \quad (3)$$

where the horizontal mass-flux $\mathbf{q}_{xy} \equiv \int_b^s \rho \mathbf{v}_{xy} dz$; and the total accumulation, $a = a_s + a_b$, is the sum of the surface accumulation and basal melt rates.

In addition to these field equations, the modification to the mass-conservation equation also impacts a few other expressions used inside shallow ice-flow models. Firstly, the boundary conditions at the calving front become

$$\begin{aligned} 2\eta h \left(2\partial_x u + \partial_y v + \frac{1}{\rho} \frac{D\rho}{Dt} \right) n_x + \eta h (\partial_x v + \partial_y u) n_y &= \frac{1}{2} g (\rho h^2 - \rho_w d^2) n_x \\ 2\eta h \left(2\partial_y v + \partial_x u + \frac{1}{\rho} \frac{D\rho}{Dt} \right) n_y + \eta h (\partial_x v + \partial_y u) n_x &= \frac{1}{2} g (\rho h^2 - \rho_w d^2) n_y \end{aligned} \quad (4)$$

where n_x and n_y are the components of the unit normal pointing horizontally outwards from the ice front; ρ_w is the density of the ocean; and the draft at the ice-front $d \equiv S - b$ where S is the surface of the ocean. Secondly, when calculating the effective viscosity in Glen's flow law, one should use

$$\dot{\epsilon}_{zz}^2 = \left(\dot{\epsilon}_{xx} + \dot{\epsilon}_{yy} + \frac{1}{\rho} \frac{D\rho}{Dt} \right)^2 \quad (5)$$

instead of $\dot{\epsilon}_{zz} = -(\dot{\epsilon}_{xx} + \dot{\epsilon}_{yy})$, where $\dot{\epsilon}_{ij}$ are the strain rates.

3 Approaches to include Horizontal Density Variations in the SSA

There are a number of approaches we could take to handle the additional terms in the modified SSA equations when modelling ice-flow. The simplest would be to ignore the density variation completely and set the material derivative and spatial gradients of the density field to zero. An alternative, which is commonly used, is to treat the density as constant and adjust the input ice-thickness by the firm air-content, as discussed in section 1. We refer to this as the **Density-to-Thickness Adjustment [D2T]** formulation. In this approximation, we set $\rho = \rho_{\text{ice}}$ and $h = h_{\text{ice}}$ in all the field equations listed in section 2. Derivative terms in ρ will implicitly be introduced by the δ adjustment to the derivative terms in h .

A more realistic formulation is implemented in the shallow-ice model $\acute{U}a$ (Gudmundsson, 2020b), which allows a spatially-variable density field as one of the inputs. We refer to this as the **Density Variations - Body Force only [DV-BF]** formulation. Additional terms arising from the horizontal gradient of the density are included in the momentum and mass-conservation equations. It assumes a static density distribution, i.e. $\partial_t \rho = 0$. According to Sorge's law (Bader, 1954), which was based on observations of the density distribution in central Greenland, the ice density at a given depth generally does not change significantly over time. The compactification of snow into ice leads to a static density distribution, with the arrival of new low-density firm approximately balanced by the compactification and advection of existing material. However, this DV-BF formulation neglects the term in $D\rho/Dt$ on the left hand side of the momentum equation (and similarly does not modify the calving front boundary conditions nor the effective viscosity), which only leaves the correction to the body-force term on the right hand side of the momentum equation. There is a scaling argument which may justify that this is the more

231 significant correction term for real ice-flows, which we discuss in section 4. The correc-
 232 tion to the mass-conservation equation is implicit in the horizontal density variation of
 233 the mass-flux.

234 We propose two further formulations for incorporating horizontal density variations
 235 into ice-flow models. The first is a fuller implementation of the DV-BF formulation which
 236 does not neglect the $D\rho/Dt$ terms. We refer to this as the **Density Variations [DV]**
 237 formulation. It assumes a static density distribution, thus setting $\partial_t\rho = 0$ and $D\rho/Dt =$
 238 $\mathbf{v}\cdot\nabla\rho$ inside all the field equations in section 2. This leads to correction terms on both
 239 the left and right hand side of the momentum equations. However, this formulation does
 240 cause some conceptual difficulties as the left hand side of the momentum equation is no
 241 longer frame-invariant, which is inevitable since in order to assume $\partial_t\rho \approx 0$ we must
 242 be specifying a particular reference frame.

243 This lack of frame-invariance motivates our second proposal, which is to allow the
 244 temporal evolution of the vertically-integrated density field. We refer to this as the **Den-**
 245 **sity Variations Advected [DVA]** formulation. We ignore the overhead snow accu-
 246 mulation and compactification at depth, and assume that the initial density distribution
 247 advects with the ice, such that the flow is density-preserving. In this limit we set $D\rho/Dt =$
 248 0 in all the field equations listed in section 2, and the density evolves according to $\partial_t\rho =$
 249 $-\mathbf{v}\cdot\nabla\rho$. The DVA formulation is not a particularly realistic scenario, since it is the over-
 250 head snow accumulation and compactification which gives rise to the HDVs in the first
 251 place. However, we find the behaviour in the DVA formulation a helpful comparison for
 252 some of the behaviour observed in the D2T formulation, and it represents the opposing
 253 limit to the case of a static density distribution in the DV formulation. A full treatment
 254 that includes a detailed firn compactification model to estimate the evolution of the vertically-
 255 integrated density at each spatial coordinate is best kept to an external atmospheric model
 256 that updates a static density distribution over time. We discuss this further in the con-
 257 clusions.

258 We consider each of these four approaches for including horizontal density varia-
 259 tions (DV, DV-BF, DVA and D2T) independently in the perturbation analysis that fol-
 260 lows in sections 5 and 6, and compare the results of the different approaches in 7. In the
 261 numerical simulations of the Antarctic Ice Sheet in section 8, we are obliged to restrict
 262 our analysis to comparing the DV-BF and D2T adjustment methods, which are the only
 263 two formulations that are enabled for large-scale simulations in current ice-flow models.

264 4 Significance of the Additional Density Variation Terms

265 One of the first questions to consider is the relative magnitude of the additional
 266 terms in the SSA equations that arise in the presence of a varying density field, as de-
 267 rived in section 2, regardless of the specific density formulation used.

268 We start with the modified momentum-conservation equation, and look at the typ-
 269 ical scales for the different variables, indicated by $[\cdot]$. Restricted to one-dimensional flow,
 270 and assuming that time scales advectively, i.e. $D\rho/Dt$ scales as $\mathbf{v}\cdot\nabla\rho$, Equation (1) can
 271 be expressed in terms of the typical scales as

$$272 \frac{4[\eta][h][u]}{[x]^2} \left(\frac{[\Delta u]}{[u]} + \frac{1}{2} \frac{[\Delta\rho]}{[\rho]} \right) - [t_{bx}] = \frac{[\rho][g][h]^2}{[x]} \left(\frac{[\Delta s]}{[h]} + \frac{1}{2} \frac{[\Delta\rho]}{[\rho]} \right) - [\rho][g][h][\alpha]$$

273 where $[\alpha] = [h]/[x] \ll 1$ in the shallow ice stream approximation. The scale $[\Delta\rho]$ rep-
 274 represents the variation in density over the horizontal length scale $[x]$. The additional terms
 275 on both the right and left hand sides of the momentum equation scale as $[\Delta\rho]/[\rho]$. The
 276 same is true of the additional terms in the D2T adjustment method, which scale as $[\delta]/[h] =$
 277 $[\Delta\rho]/[\rho]$. A reasonable estimate from Figure 1 is that the average density of an ice sheet
 278 can range from 917 kg m^{-3} to approximately 830 kg m^{-3} , such that $[\Delta\rho]/[\rho] \sim 0.1$. Stan-
 279 dard scaling arguments would argue that $[\Delta u]/[u] \sim 1$ and $[\Delta s]/[h] \sim 1$, which would

280 imply that the density terms on both sides of the momentum equation contribute equally,
 281 with a magnitude of approximately 10%.

282 The true relative contribution of the additional density terms will depend on the
 283 glacier topography. For example with grounded ice caps, which typically exhibit larger
 284 variations in $[\Delta s]$, the basal drag t_{bx} tends to dominate on the left hand side of the mo-
 285 mentum equation. Under this scenario, we might expect the density correction term on
 286 the left hand side to be negligible, and only the correction to the body-force term to be
 287 significant. For fast flowing ice streams and floating ice-shelves, the basal drag tends to
 288 zero. However the surface slope, $[\Delta s]$, also tends to zero, at which point the density cor-
 289 rection in the body-force term may become quite significant. In both scenarios, this is
 290 suggestive that the density correction term within the driving force on the right hand
 291 side of the momentum equation is more significant than that on the left, and should be
 292 prioritised in the implementation of any horizontal density formulation. This is consis-
 293 tent with the DV-BF formulation which prioritises the body-force term, as opposed to
 294 the more complete DV formulation which includes both terms.

295 The additional density terms in both the mass-conservation equation and the calv-
 296 ing front boundary conditions, in Equations (3) and (4), can also be seen to contribute
 297 approximately 10%. The contribution to the effective viscosity from Equation (5) is less
 298 obvious. It can be shown that it ultimately introduces a multiplicative factor to the ef-
 299 fective viscosity, which scales as $\left(1 - \frac{n-1}{2n} \frac{|\Delta\rho|}{|\rho|}\right)$. Typical values for the exponent in Glen's
 300 flow law, $n = 3$, would suggest that this correction is less significant, but not negligi-
 301 ble, at 3%.

302 5 Perturbation Analysis: The Case of a Grounded Ice-Sheet

303 We wish to understand the impact on the ice flow of including the additional terms
 304 arising from horizontal density variations. In this section and the next (section 6) we de-
 305 rive the transfer functions describing the first-order response to small perturbations in
 306 the glacial density. We follow closely the technique presented in Gudmundsson (2008),
 307 and derive transfer functions for the induced perturbations in the surface $s(x, t)$ and hor-
 308 izontal velocity $u(x, t)$, restricted to the one-dimensional case for simplicity. In this sec-
 309 tion we focus on the reference state of a grounded ice sheet, and derive the response to
 310 small perturbations about this reference solution. We do this separately for each of the
 311 four density formulations (DV, DV-BF, DVA, D2T) that were described in section 3. If
 312 the reader is less interested in the mathematical details of these derivations, they can
 313 skip forward to section 7 which summarises and analyses the different transfer functions,
 314 although we do recommend paying attention to the derivation in the D2T formulation
 315 in section 5.5.

316 We start by describing the reference solution for the grounded ice-sheet in section
 317 5.1. In section 5.2 we go through the steps to derive the transfer functions in the DV for-
 318 mulation in detail. This illustrates the technique, and then for each subsequent deriva-
 319 tion (in sections 5.3 to 5.5) we only include steps which require a different treatment.
 320 In outline, the steps in each derivation are to take the relevant momentum and mass con-
 321 servation equations, together with the kinematic boundary conditions, to arrive at a sys-
 322 tem of differential equations which relate small perturbations in the density and surface
 323 fields. Taking the Fourier and Laplace transforms turns this into a linearised system of
 324 equations, which can be solved to arrive at the transfer functions. These describe the
 325 phase and amplitude of surface field perturbations in response to a prescribed density
 326 perturbation as a function of frequency and time.

327

5.1 Reference Solution

328

329

330

In the case of a grounded ice sheet, the basal stress can be described by Weertman's sliding law:

$$t_{bx} = c^{-1/m} \|\mathbf{v}_b\|^{1/m-1} \mathbf{v}_b$$

331

332

333

334

335

where \mathbf{v}_b is the basal velocity and c is the slipperiness along the bed. In the SSA, the horizontal velocities are constant with depth and so in one-dimension $t_{bx} = (u/c)^{1/m}$. In this perturbation analysis, we assume that the viscosity is linear such that $\eta = \text{const}$. Taking the vertically-integrated SSA momentum equations presented in Equation (1), and restricting to a one-dimensional flow-line for simplicity, we find

336

$$\partial_x \left(4h\eta \partial_x u + \frac{2h\eta}{\rho} \frac{D\rho}{Dt} \right) - \left(\frac{u}{c} \right)^{1/m} = \rho gh (\partial_x s \cos \alpha - \sin \alpha) + \frac{1}{2} gh^2 \partial_x \rho \cos \alpha \quad (6)$$

337

338

339

340

341

We consider an idealised scenario of flow down a uniformly inclined slab of constant thickness, which extends infinitely in the x and y dimensions. To find the steady-state reference solution, we look for solutions which are independent of x . This can be solved trivially to find

$$u = c(\rho gh \sin \alpha)^m$$

342

which is our reference solution for the flow.

343

5.2 Perturbations within the DV formulation

344

345

346

Our first example is to apply a small density perturbation to the ice sheet, and assume the ice dynamics can be described by a static density distribution as specified by the *Density Variations* [DV] formulation.

347

348

Within this perturbation analysis, we apply a small perturbation to the ice density about a constant reference value:

349

$$\rho(x, t) = \bar{\rho} + \Delta\rho(x, t) \quad (7)$$

350

351

while holding other parameters constant, such as the viscosity η and basal slipperiness c . This induces small perturbations in the other variables:

352

353

354

355

$$\begin{aligned} h(x, t) &= \bar{h}(x) + \Delta h(x, t) \\ s(x, t) &= \bar{s}(x) + \Delta s(x, t) \\ u(x, t) &= \bar{u}(x) + \Delta u(x, t) \\ w(x, z, t) &= \bar{w}(x, z) + \Delta w(x, z, t) \end{aligned} \quad (8)$$

356

357

358

359

360

361

In the case of a grounded ice sheet, the reference solution is independent of x and so \bar{u} , \bar{h} and \bar{s} are constants, while \bar{w} is a function of z only. The lower surface remains unperturbed, such that $\bar{h} = \bar{s} - \bar{b}$ and $\Delta s = \Delta h$. In the DV formulation, the density distribution is held static, so we assume the perturbation in time is a step function $\mathcal{H}(t)$ with zero perturbation before $t = 0$, and a fixed contribution which varies with x thereafter: $\Delta\rho(x, t) = \mathcal{H}(t)\Delta\rho(x)$.

362

363

In the DV formulation, the momentum and mass conservation equations describing the ice flow, Equations (6) and (2) respectively, become

364

365

$$\begin{aligned} \partial_x \left(4h\eta \partial_x u + \frac{2h\eta}{\rho} u \partial_x \rho \right) - \left(\frac{u}{c} \right)^{1/m} &= \rho gh (\partial_x s \cos \alpha - \sin \alpha) + \frac{1}{2} h^2 g \partial_x \rho \cos \alpha \\ u \partial_x \rho + \rho (\partial_x u + \partial_z w) &= 0 \end{aligned}$$

366

367

Applying the perturbations of Equations (7) and (8), the momentum equation to zeroth-order is identically equal to the reference solution:

368

$$\bar{u} = c(\bar{\rho} \bar{g} \bar{h} \sin \alpha)^m \quad (9)$$

369 while to first-order in the perturbations, the equations of motion are

$$\begin{aligned}
 370 \quad 4\bar{h}\eta\partial_{xx}^2\Delta u + \frac{2\eta\bar{h}\bar{u}}{\bar{\rho}}\mathcal{H}(t)\partial_{xx}^2\Delta\rho - \gamma\Delta u &= \tau_d\partial_x\Delta s \cot\alpha - \frac{\tau_d}{\bar{h}}\Delta s \\
 371 \quad &\quad -\tau_d\mathcal{H}(t)\frac{\Delta\rho}{\bar{\rho}} + \frac{1}{2}\tau_d\bar{h}\mathcal{H}(t)\frac{\partial_x\Delta\rho}{\bar{\rho}} \cot\alpha \\
 372 \quad \bar{u}\mathcal{H}(t)\partial_x\Delta\rho + \bar{\rho}(\partial_x\Delta u + \partial_z\Delta w) &= 0
 \end{aligned} \tag{10}$$

373 where we have defined

$$\begin{aligned}
 374 \quad \tau_d &\equiv \bar{\rho}g\bar{h}\sin\alpha \\
 375 \quad \gamma &\equiv \left(\frac{\bar{u}}{c}\right)^{1/m} \frac{1}{m\bar{u}} = \tau_d^{1-m} \frac{1}{mc}
 \end{aligned}$$

376 and the final equality comes from the zeroth-order solution.

377 In addition to the equations of motion, we require the kinematic boundary condi-
 378 tions to find analytical solutions to these perturbations. At the upper and lower surfaces
 379 respectively,

$$\begin{aligned}
 380 \quad \partial_t s + u\partial_x s - w|_s &= a_s \\
 381 \quad \partial_t b + u\partial_x b - w|_b &= -a_b
 \end{aligned} \tag{11}$$

382 where all variables apart from the vertical velocity w are independent of z in the SSA.
 383 We set the accumulation rates $a_s = a_b = 0$ in the case of a grounded ice sheet, so that
 384 the reference solution is time-invariant. We need to be careful when considering the per-
 385 turbation response within the boundary conditions, to separate out the perturbation in
 386 a function due to variation with the location of the boundary surface Δs , and the per-
 387 turbation in the function due to other factors. Consider a function $f = f(z, \phi)$, which
 388 varies with depth, z , as well as other factors which we have aggregated together as ϕ .
 389 Using a Taylor expansion to first order,

$$\begin{aligned}
 390 \quad f(z, \phi) &= f(\bar{z}, \bar{\phi}) + \partial_z f(\bar{z}, \bar{\phi})\Delta z + \partial_\phi f(\bar{z}, \bar{\phi})\Delta\phi \\
 391 \quad &= \bar{f}(\bar{z}) + \partial_z \bar{f}(\bar{z})\Delta z + \Delta f(\bar{z})
 \end{aligned}$$

392 where for the sake of brevity, variation due to the other factors ϕ has been aggregated
 393 into Δf . We apply this technique to the horizontal (u) and vertical (w) velocities at the
 394 boundary. We find that to zeroth order,

$$395 \quad \bar{w}|_{\bar{s}} = \bar{w}|_{\bar{b}} = 0$$

396 while to first order,

$$\begin{aligned}
 397 \quad \partial_t\Delta s + \bar{u}|_{\bar{s}}\partial_x\Delta s - \Delta w|_{\bar{s}} &= 0 \\
 398 \quad \Delta w|_{\bar{b}} &= 0
 \end{aligned} \tag{12}$$

399 where the term in $\partial_z \bar{w}|_{\bar{s}}$ has vanished due to the zeroth-order solution.

400 To solve this system of first-order equations, we apply Fourier and Laplace trans-
 401 forms in the x and t dimensions respectively, defined as

$$\begin{aligned}
 402 \quad f(k) &= \int_{-\infty}^{+\infty} f(x)e^{ikx} dx \\
 403 \quad f(r) &= \int_{0^+}^{+\infty} f(t)e^{-rt} dt
 \end{aligned} \tag{13}$$

404 where a dependence on the spatial coordinate x is transformed into a dependence on the
 405 wavenumber $k = 2\pi/\lambda$ in the Fourier domain, and the time coordinate is transformed

406 into a dependence on the complex parameter r in the Laplace domain. We apply the trans-
 407 forms to the perturbations: $\Delta\rho$, Δs , Δu and Δw . These perturbations are functions of
 408 (x, t) . In the *F.T.* and *L.T.* space they become functions of (k, r) . Under these trans-
 409 forms, we have the following identities:

$$\begin{aligned} 410 \quad F.T. (f'(x)) &= -ik F.T. (f(x)) \\ 411 \quad F.T. (f''(x)) &= -k^2 F.T. (f(x)) \\ 412 \quad L.T. (f'(t)) &= r L.T. (f(t)) - f(t = 0^-) \\ 413 \quad L.T. (\mathcal{H}(t)) &= r^{-1} \end{aligned}$$

414 The Fourier and Laplace transforms of the first-order equations of motion and bound-
 415 ary conditions in Equations (10) and (12) give rise to the following linearised system of
 416 equations:

$$417 \quad \xi \Delta u = ik\tau_d \Delta s \cot \alpha + \frac{\tau_d}{h} \Delta s + \left(\tau_d + ik \frac{\tau_d \bar{h}}{2} \cot \alpha - 2\eta \bar{h} \bar{u} k^2 \right) \frac{\Delta \rho}{\bar{\rho} r} \quad (14)$$

$$418 \quad \partial_z \Delta w = ik \Delta u + ik \bar{u} r^{-1} \Delta \rho / \bar{\rho} \quad (15)$$

$$419 \quad \Delta w|_{\bar{s}} = r \Delta s - ik \bar{u} \Delta s \quad (16)$$

$$420 \quad \Delta w|_{\bar{b}} = 0 \quad (17)$$

421 where we have defined

$$422 \quad \xi \equiv \gamma + 4\bar{h}k^2\eta$$

423 and we have chosen to set $\Delta s(t = 0^-) = 0$. In the SSA equations, only Δw is a func-
 424 tion of z , and so we can integrate Equation (15) between the lower and upper surfaces
 425 and apply the boundary conditions given by Equations (16) and (17), to give

$$426 \quad (r - ik\bar{u}) \Delta s = ik\bar{h} \Delta u + ik\bar{h} \bar{u} r^{-1} \Delta \rho / \bar{\rho}$$

427 We eliminate Δu by inserting Equation (14), and collect terms in $\Delta \rho$ and Δs to arrive
 428 at the transfer function

$$429 \quad T_{s\rho}(k, r) \equiv \frac{\Delta s(k, r)}{\Delta \rho(k)} = \frac{\bar{h} (p + \frac{1}{2} t_r^{-1} - ik\bar{u}\zeta)}{\bar{\rho} r (r - p)}$$

430 where, following the definitions in Gudmundsson (2008), we have defined

$$\begin{aligned} 431 \quad p &\equiv it_p^{-1} - t_r^{-1} \\ 432 \quad t_p^{-1} &\equiv k (\bar{u} + \tau_d \xi^{-1}) \\ 433 \quad t_r^{-1} &\equiv \xi^{-1} k^2 \tau_d \bar{h} \cot \alpha \end{aligned}$$

434 in addition to

$$435 \quad \zeta \equiv 2\eta \bar{h} k^2 \xi^{-1}.$$

436 We convert $T_{s\rho}(k, r)$ back into the time dimension through the inverse Laplace trans-
 437 form:

$$438 \quad f(t) = \frac{1}{2\pi i} \int_{\gamma - i\infty}^{\gamma + i\infty} e^{rt} f(r) dr$$

439 Note in this context γ is an arbitrary real number so that the contour path of integra-
 440 tion is in the region of convergence of $f(r)$, not to be confused with the earlier param-
 441 eter γ in the field equations. The function $T_{s\rho}(k, r)$ has two poles: one at $r = 0$ and
 442 one at $r = p$. The quantities in the definition of t_r are always positive, and so the pole
 443 defined by $r = p$ will reside in the left half of the complex plane. We integrate over the
 444 left half of the complex plane, enclosing both poles, such that the contour integral is equal
 445 to $2\pi i$ times the sum of the residuals. The function $T_{s\rho}(k, r) \rightarrow 0$ as $|r| \rightarrow \infty$, and so

446 by Jordan's Lemma we can ignore the arc segment of the contour integral that expands
447 to infinity. Thus,

$$448 \quad T_{s\rho}(k, t) \equiv \frac{\Delta s(k, t)}{\Delta \rho(k)} = \frac{\bar{h} \left(p + \frac{1}{2} t_r^{-1} - ik\bar{u}\zeta \right)}{\bar{\rho}p} (e^{pt} - 1) \quad (18)$$

449 This transfer function has two components: an exponential term e^{pt} which decays over
450 time (since p resides in the left half of the complex plane), and a steady-state compo-
451 nent which means a perturbation will persist in the glacial surface. Numerical integra-
452 tion is required to transform the response in the surface from the frequency domain into
453 the spatial domain, with the inverse Fourier transform:

$$454 \quad \Delta s(x, t) = \int_{-\infty}^{\infty} T_{s\rho}(k, t) \Delta \rho(k) e^{-ikx} dk \quad (19)$$

455 where $\Delta \rho(k)$ is the Fourier transform of the small perturbation in the density field $\Delta \rho(x)$.

456 We can follow a similar procedure to find the response of the horizontal velocity
457 to perturbations in the density. In the Laplace domain,

$$458 \quad \Delta u(k, r) = \left(\frac{(r - ik\bar{u}) \left(\frac{1}{2} t_r^{-1} - ik\bar{u}\zeta \right) + r(p - ik\bar{u})}{r(r - p)} \right) \frac{\Delta \rho(k)}{ik\bar{\rho}}$$

459 and taking the inverse Laplace transform, the transfer function for the horizontal veloc-
460 ity in the time-domain is

$$461 \quad T_{u\rho}(k, t) \equiv \frac{\Delta u(k, t)}{\Delta \rho(k)} = \frac{\bar{u} \left(\frac{1}{2} t_r^{-1} - ik\bar{u}\zeta \right)}{\bar{\rho}p} + \frac{(p - ik\bar{u}) \left(p + \frac{1}{2} t_r^{-1} - ik\bar{u}\zeta \right)}{ik\bar{\rho}p} e^{pt} \quad (20)$$

462 5.3 Perturbations within the DV-BF formulation

463 We can follow exactly the same procedure to find the transfer functions when the
464 ice-flow is described by the *Density Variations - Body Force only* [DV-BF] formulation,
465 which just requires us to neglect the term in $u\partial_x \rho$ on the left hand side of the momen-
466 tum equation. In the DV-BF formulation, the momentum and mass conservation equa-
467 tions describing the ice flow, Equations (6) and (2) respectively, become

$$468 \quad \begin{aligned} \partial_x (4h\eta\partial_x u) - \left(\frac{u}{c} \right)^{1/m} &= \rho gh (\partial_x s \cos \alpha - \sin \alpha) + \frac{1}{2} h^2 g \partial_x \rho \cos \alpha \\ u\partial_x \rho + \rho(\partial_x u + \partial_z w) &= 0 \end{aligned}$$

470 and the derived transfer functions are

$$471 \quad T_{s\rho}(k, t) \equiv \frac{\Delta s(k, t)}{\Delta \rho(k)} = \frac{\bar{h} \left(p + \frac{1}{2} t_r^{-1} \right)}{\bar{\rho}p} (e^{pt} - 1) \quad (21)$$

$$472 \quad T_{u\rho}(k, t) \equiv \frac{\Delta u(k, t)}{\Delta \rho(k)} = \frac{\bar{u} \left(\frac{1}{2} t_r^{-1} \right)}{\bar{\rho}p} + \frac{(p - ik\bar{u}) \left(p + \frac{1}{2} t_r^{-1} \right)}{ik\bar{\rho}p} e^{pt} \quad (22)$$

473 5.4 Perturbations within the DVA formulation

474 In this next example, we assume the ice dynamics can be described by an initial
475 density distribution which then advects over time as specified by the *Density Variations*
476 *Advected* [DVA] formulation. We follow a similar procedure to that detailed in section
477 5.2.

478 In the DVA formulation, the momentum and mass conservation equations describ-
479 ing the ice flow, Equations (6) and (2) respectively, become

$$480 \quad \begin{aligned} \partial_x (4h\eta\partial_x u) - \left(\frac{u}{c} \right)^{1/m} &= \rho gh (\partial_x s \cos \alpha - \sin \alpha) + \frac{1}{2} h^2 g \partial_x \rho \cos \alpha \\ \partial_x u + \partial_z w &= 0 \end{aligned}$$

482 together with the equation of motion for the density evolution,

$$483 \quad \frac{D\rho}{Dt} \equiv \partial_t \rho + u \partial_x \rho = 0 \quad (23)$$

484 while the kinematic boundary conditions are the same as before in Equation (12).

485 We apply a perturbation to the density field which can evolve over time, as described
486 in Equations (7) and (8), with $\Delta\rho(x, t < 0) = 0$. Keeping terms to first-order in the
487 perturbations, and applying the Fourier and Laplace transforms defined in Equation (13),
488 we arrive at the following linearised system of equations:

$$489 \quad \begin{aligned} \xi \Delta u &= ik\tau_d \Delta s \cot \alpha + \frac{\tau_d}{h} \Delta s + \left(\tau_d + ik \frac{\tau_d \bar{h}}{2} \cot \alpha \right) \frac{\Delta \rho}{\bar{\rho}} \\ \partial_z \Delta w &= ik \Delta u \\ r \Delta \rho - \Delta \rho_0(k) &= ik \bar{u} \Delta \rho \\ \Delta w|_{\bar{s}} &= r \Delta s - ik \bar{u} \Delta s \\ \Delta w|_{\bar{b}} &= 0 \end{aligned}$$

494 where the initial density distribution $\Delta \rho_0(k) \equiv \Delta \rho(k, t = 0)$, and as before we have
495 chosen to set $\Delta s(t \leq 0) = 0$. This system of equations can be solved to arrive at

$$496 \quad \Delta s(k, r) = \frac{\bar{h} (p - ik\bar{u} + \frac{1}{2}t_r^{-1})}{(r - p)(r - ik\bar{u})\bar{\rho}} \Delta \rho_0(k)$$

497 which describes the surface perturbation relative to the *initial* density distribution. The
498 poles of the transfer function are at $r = p$ and $r = ik\bar{u}$. The latter is associated with
499 the timescale for the advection of the density distribution. Applying the inverse Laplace
500 transform, the transfer function in frequency space is

$$501 \quad T_{s\rho_0}(k, t) \equiv \frac{\Delta s(k, t)}{\Delta \rho_0(k)} = \frac{\bar{h} (p - ik\bar{u} + \frac{1}{2}t_r^{-1})}{\bar{\rho}(p - ik\bar{u})} (e^{pt} - e^{ik\bar{u}t}) \quad (24)$$

502 We can follow a similar procedure to find the response of the horizontal velocity
503 to perturbations in the density:

$$504 \quad T_{u\rho_0}(k, t) \equiv \frac{\Delta u(k, t)}{\Delta \rho_0(k)} = \frac{p - ik\bar{u} + \frac{1}{2}t_r^{-1}}{ik\bar{\rho}} e^{pt} \quad (25)$$

505 Note that in the DVA formulation, the spatial distribution of the density at any
506 point in time can be found by taking the inverse *F.T.* of the transfer function which re-
507 lates the density to the initial density distribution:

$$508 \quad T_{\rho\rho_0}(k, t) = \frac{\Delta \rho(k, t)}{\Delta \rho_0(k)} = e^{ik\bar{u}t}$$

509 5.5 Perturbations within the D2T formulation

510 Finally, we again repeat the perturbation analysis outlined in section 5.2, but this
511 time we assume the ice flow can be described by the *Density-to-Thickness Adjustment*
512 [D2T] formulation. The equations of motion and the boundary conditions are shifted to
513 mimic the thickness adjustment performed in the D2T formulation, such that all vari-
514 ables relate to the same physical quantities.

515 In the D2T formulation, the density is set as a constant ρ_{ice} everywhere, and the
516 surface of the glacier is shifted by the firn air-content, such that the height is equal to
517 the ice-equivalent thickness: $h_{\text{ice}} \equiv h - \delta$. In this formulation, the momentum-conservation
518 described by Equation (6) becomes

$$519 \quad \partial_x (4(h - \delta)\eta \partial_x u) - t_{bx} = \rho_{\text{ice}} g (h - \delta) (\partial_x (s - \delta) \cos \alpha - \sin \alpha)$$

520 which can be expressed as

$$521 \quad \partial_x \left(4 \frac{\rho}{\rho_{\text{ice}}} h \eta \partial_x u \right) - \left(\frac{u}{c} \right)^{1/m} = \frac{\rho}{\rho_{\text{ice}}} \rho g h \partial_x s \cos \alpha - \rho g h \sin \alpha + \frac{\rho}{\rho_{\text{ice}}} g h^2 \partial_x \rho \cos \alpha$$

522 (26)

523 where the firm air-content has been replaced by the vertically-averaged density $\rho = \rho_{\text{ice}}(1 - \delta/h)$. Comparing this to Equation (6), which is the complete form of the SSA momentum equation in the presence of a varying density field, we see that, while there is some similarity in the additional terms, there are many differences which do not disappear to order $\mathcal{O}(\delta)$ in the limit $\delta \ll h$. The density is constant in the D2T formulation, and so the mass-conservation in Equation (2) becomes

$$529 \quad \partial_x u + \partial_z w = 0 \quad (27)$$

530 The kinematic boundary conditions in Equation (11) are also modified in the D2T formulation, since the location of the surface in the model is shifted. At the upper and lower surfaces respectively,

$$533 \quad \begin{aligned} \partial_t(s - \delta) + u \partial_x(s - \delta) - w|_{s-\delta} &= a_s \\ \partial_t b + u \partial_x b - w|_b &= -a_b \end{aligned}$$

535 Combining the two boundary conditions and replacing the firm air-content with the vertically-averaged density, we find

$$537 \quad \partial_t \left(\frac{\rho}{\rho_{\text{ice}}} h \right) + u \partial_x \left(\frac{\rho}{\rho_{\text{ice}}} h \right) - (w|_{s-\delta} - w|_b) = a \quad (28)$$

538 The firm air-content is applied as an initial static adjustment to the glacial surface in the D2T formulation, and so the applied density perturbation in this analysis is also static: $\Delta \rho(x, t) = \mathcal{H}(t) \Delta \rho(x)$. Applying the perturbations in Equations (7) and (8), the momentum equation to zeroth-order solution is identically equal to the reference solution in Equation (9), in other words the average density can equally well be expressed as a shift in the glacial thickness. This is not the case at higher orders. Keeping terms to first-order in the perturbations, and applying the Fourier and Laplace transforms defined in Equation (13), the equations of momentum and mass conservation together with the kinematic boundary conditions, become

$$541 \quad \begin{aligned} \tilde{\xi} \Delta u &= \left(\frac{ik\tau_d}{\rho_{\text{ice}}} \cot \alpha + \frac{\tau_d}{\bar{\rho}h} \right) (\bar{\rho} \Delta s + r^{-1} \bar{h} \Delta \rho) \\ \Delta_z \Delta w &= ik \Delta u \\ \Delta w|_{\bar{s}-\bar{\delta}} - \Delta w|_{\bar{b}} &= (r - ik\bar{u}) \frac{\bar{\rho}}{\rho_{\text{ice}}} \Delta s - ik\bar{u} r^{-1} \frac{\bar{h}}{\rho_{\text{ice}}} \Delta \rho \end{aligned}$$

550 where $\tau_d \equiv \bar{\rho} \bar{g} \bar{h} \sin \alpha$ as before, but we have defined

$$551 \quad \tilde{\xi} \equiv 4\eta \frac{\bar{\rho}}{\rho_{\text{ice}}} \bar{h} k^2 + \gamma$$

552 There is a subtlety that is important to think about carefully when applying the Laplace transform to the kinematic boundary condition. Within the D2T adjustment, density perturbations are applied within the ice sheet geometry *before the run starts*. Therefore $\Delta \rho(x, t = 0^-) = \Delta \rho(x)$. This could also be expressed as $\mathcal{H}(t = 0^-) = 1$ in our notation, although it diverges from the strict definition of the Heaviside step function. Both here and in the earlier analysis, we choose $\Delta s(t = 0^-) = 0$, i.e. we don't apply an instantaneous response in the *unmodified* glacial surface. Therefore when taking the *L.T.* of the first term in Equation (28) to first order in the perturbations, we have

$$560 \quad \begin{aligned} L.T. \left(\partial_t \left(\frac{\bar{\rho}}{\rho_{\text{ice}}} \Delta h + \frac{\bar{h}}{\rho_{\text{ice}}} \mathcal{H}(t) \Delta \rho \right) \right) &= r \left(\frac{\bar{\rho}}{\rho_{\text{ice}}} \Delta h + \frac{\bar{h}}{\rho_{\text{ice}}} r^{-1} \Delta \rho \right) - \left[\frac{\bar{\rho}}{\rho_{\text{ice}}} \Delta h + \frac{\bar{h}}{\rho_{\text{ice}}} \mathcal{H}(t) \Delta \rho \right]_{t=0^-} \\ 561 &= \frac{\bar{\rho}}{\rho_{\text{ice}}} r \Delta h \end{aligned}$$

562 This system of equations can be solved to arrive at

$$563 \quad T_{s\rho}(k, r) \equiv \frac{\Delta s(k, r)}{\Delta \rho(k)} = \frac{\bar{h}\tilde{p}}{\bar{\rho}r(r - \tilde{p})}$$

564 where we have defined

$$\begin{aligned} 565 \quad \tilde{p} &\equiv i\tilde{t}_p^{-1} - \tilde{t}_r^{-1} \\ 566 \quad \tilde{t}_p^{-1} &\equiv k(\bar{u} + \tau_d\tilde{\xi}^{-1}) \\ 567 \quad \tilde{t}_r^{-1} &\equiv \frac{\bar{\rho}}{\rho_{\text{ice}}}\tilde{\xi}^{-1}k^2\tau_d\bar{h}\cot\alpha \end{aligned}$$

568 Taking the inverse Laplace, the transfer function in frequency space is

$$569 \quad T_{s\rho}(k, t) \equiv \frac{\Delta s(k, t)}{\Delta \rho(k)} = \frac{-\bar{h}}{\bar{\rho}}(1 - e^{\tilde{p}t}) \quad (29)$$

570 We observe that the time scale of this transfer function is different to those derived previously in Equations (18, 21 & 24). This is because of the dependence in the definition of δ on h , which is one of the response variables in the perturbation analysis. The location of the pole in the complex plane changes whenever the contribution to Δs changes, and with it the expression for the time scale.

575 We can transform this transfer function into the response observed in the adjusted surface $s_{\text{ice}} \equiv s - \delta$, using the relationship $\Delta \rho = -\rho_{\text{ice}}\Delta(\delta/h)$:

$$577 \quad \frac{\Delta s_{\text{ice}}}{\Delta \rho} = \frac{\Delta(h - \delta)}{\Delta \rho} = \frac{\bar{h}}{\rho_{\text{ice}}} + \left(1 - \frac{\bar{\delta}}{\bar{h}}\right) \frac{\Delta h}{\Delta \rho} = \frac{\bar{h}}{\rho_{\text{ice}}} e^{\tilde{p}t}$$

578 The perturbation in the adjusted surface is equal to the firn air-content perturbation initially, and as the response evolves $\Delta s_{\text{ice}} \rightarrow 0$, such that the induced perturbation in the glacial surface decays away. If we express the perturbation in terms of the firn air-content which has units ‘distance’, and set $\bar{\delta} = 0$, such that $\Delta \rho = -(\rho_{\text{ice}}/\bar{h}) \times \Delta \delta$, then we find

$$583 \quad \frac{\Delta s_{\text{ice}}}{\Delta \delta} = -e^{\tilde{p}t}$$

584 which is identical to the transfer function $-T_{ss_0}$ derived in Equation (27) of Gudmundsson (2008). This makes sense since the density perturbation expressed as $\Delta \delta$ in the D2T adjustment is identical to a shift in $s_0 = -\delta$. While over time the perturbation in the *ice-equivalent surface* dissipates, by definition this means that the unmodified surface develops a depression equal to the firn air-content of the density perturbation, giving rise to a constant transfer function at all frequencies in the steady-state.

590 We can follow a similar procedure to find the response of the horizontal velocity to perturbations in the density:

$$592 \quad T_{u\rho}(k, t) \equiv \frac{\Delta u(k, t)}{\Delta \rho(k)} = \frac{\tilde{p} - ik\bar{u}}{ik\bar{\rho}} e^{\tilde{p}t} \quad (30)$$

593 Note that again this expression reduces to the transfer function T_{us_0} derived in Equation (29) of Gudmundsson (2008), if we set $\bar{\delta} = 0$ and write the perturbation in terms of the firn air-content: $T_{u\delta} = -\frac{\rho_{\text{ice}}}{\bar{h}} \times T_{u\rho}$; while at the same time restricting Equation (29) of Gudmundsson (2008) to the flow-line case by setting the transverse wave number l to zero.

598 6 Perturbation Analysis: The Case of a Floating Ice-Shelf

599 In this section, we repeat the perturbation analysis detailed extensively in section 600 5 for each of the four density formulations in the case of a grounded ice sheet, but this

601 time applied to a floating ice shelf reference state. There are a number of key differences
 602 to that of a uniform grounded ice sheet, which we highlight as we go through the deriva-
 603 tions below. If the reader is less interested in the mathematical details of these deriva-
 604 tions, they can skip forward to section 7 which summarises and analyses the different
 605 transfer functions.

606 We follow the same layout as before by describing the reference solution for the float-
 607 ing ice-shelf in section 6.1, and then in section 5.2 we go through the steps that are par-
 608 ticular to deriving the transfer functions in the case of a floating ice-shelf in the DV for-
 609 mulation. Subsequent derivations (in sections 6.3 to 6.5) build on this derivation and only
 610 explicitly present steps which require a different treatment. In outline, the steps in each
 611 derivation are to take the relevant momentum and mass conservation equations, together
 612 with the kinematic boundary conditions, to arrive at a system of differential equations
 613 which relate small perturbations in the density and surface fields. By assuming a separa-
 614 tion of scales, derivatives in the background thickness and velocity fields are treated
 615 as locally constant. This makes it possible to take the Fourier and Laplace transforms
 616 and arrive at a linearised system of equations. These can be solved to arrive at the trans-
 617 fer functions, which describe the phase and amplitude of surface field perturbations in
 618 response to a prescribed density perturbation as a function of frequency and time. The
 619 transfer functions are now additionally a function of the spatial coordinate x , due to their
 620 dependence on the value of the background field derivatives at the point of the pertur-
 621 bation.

6.1 Reference Solution

622
 623 The equilibrium profile of a floating ice shelf is a well-known solution in glaciology,
 624 with one of the earliest derivations, to our knowledge, being that in Van der Veen (1983).
 625 We repeat the derivation in Appendix C for reference. The SSA momentum equation
 626 given by Equation (1), for a floating ice shelf restricted to a one-dimensional flow-line
 627 for simplicity, in the presence of a varying density field, is

$$628 \quad \partial_x \left(4h\eta\partial_x u + \frac{2h\eta}{\rho} \frac{D\rho}{Dt} \right) = \rho gh\partial_x s + \frac{1}{2}h^2 g\partial_x \rho$$

629 For a floating ice shelf, the glacial height and surface are no longer offset by a constant.
 630 Instead they obey the flotation condition, where the upthrust of the ocean on the bed
 631 is equal to the weight of the water displaced, and this balances the weight of the over-
 632 lying ice sheet, such that

$$633 \quad s - S = h \left(1 - \frac{\rho}{\rho_w} \right) \quad (31)$$

634 The ocean surface is always unperturbed, $\partial_x S = 0$, and so we can substitute the re-
 635 lationship,

$$636 \quad \partial_x s = \partial_x \left(h \left(1 - \frac{\rho}{\rho_w} \right) \right)$$

637 into the momentum equation, to arrive at

$$638 \quad \partial_x \left(4h\eta\partial_x u + \frac{2h\eta}{\rho} \frac{D\rho}{Dt} \right) = \partial_x \left(\frac{1}{2}\rho gh^2 \left(1 - \frac{\rho}{\rho_w} \right) \right)$$

639 Integrating both sides, we find that momentum-conservation for a floating ice shelf with
 640 variable density, obeys

$$641 \quad 4\eta\partial_x u + \frac{2\eta}{\rho} \frac{D\rho}{Dt} = \frac{1}{2}\varrho gh \quad (32)$$

642 where $\varrho \equiv \rho(1 - \rho/\rho_w)$, and we have used the boundary conditions at the calving front
 643 in Equation (4) to set the integration constant to zero.

644

6.2 Perturbations within the DV formulation

645

646

647

648

649

650

651

We begin with applying a small perturbation to the ice shelf, and assume that the ice dynamics can be described by a static density distribution as specified by the *Density Variations* [DV] formulation. This is a repeat of the analysis of section 5.2 but applied to a floating ice shelf. One of the key complications is that, unlike the reference solutions for a uniform ice sheet of constant thickness, the reference solutions for h and u vary with x . Additionally, the relationship between s and h is determined by the flotation condition in Equation (31) and so $\Delta h \neq \Delta s$.

652

653

In the DV formulation, the momentum and mass conservation equations describing the ice flow, Equations (32) and (2) respectively, become

654

655

$$\begin{aligned} 4\eta\partial_x u + \frac{2\eta}{\rho}u\partial_x\rho &= \frac{1}{2}\rho gh \\ u\partial_x\rho + \rho(\partial_x u + \partial_z w) &= 0 \end{aligned}$$

656

657

658

659

660

and the kinematic boundary conditions are given by Equation (11). We apply a static perturbation to the density field, as described by Equations (7) and (8), with $\Delta\rho(x, t) = \mathcal{H}(t)\Delta\rho(x)$ and the reference density $\bar{\rho}$ is assumed to be spatially and temporarily constant. The momentum equation to zeroth-order is identically equal to the reference solution:

661

$$\partial_x \bar{u} = \frac{\bar{\rho}g\bar{h}}{8\eta}$$

662

663

where $\bar{\rho} \equiv \bar{\rho}(1 - \bar{\rho}/\rho_w)$. While to first-order in the perturbations, the equations of motion are

664

665

$$\begin{aligned} 4\eta\partial_x\Delta u + \frac{2\eta}{\bar{\rho}}\bar{u}\mathcal{H}(t)\partial_x\Delta\rho &= \frac{1}{2}\bar{\rho}g\Delta h + \frac{1}{2}g\bar{h}\mathcal{H}(t)\Delta\rho\left(2\frac{\bar{\rho}}{\rho} - 1\right) \\ \partial_z\Delta w &= -\partial_x\Delta u - \bar{u}\mathcal{H}(t)\frac{\partial_x\Delta\rho}{\bar{\rho}} \end{aligned} \quad (33)$$

666

The kinematic boundary conditions at the upper and lower surfaces to zeroth-order are

667

668

$$\begin{aligned} \bar{u}\partial_x\bar{s} - \bar{w}|_{\bar{s}} &= a_s \\ \bar{u}\partial_x\bar{b} - \bar{w}|_{\bar{b}} &= -a_b \end{aligned}$$

669

670

671

672

Notice the additional terms that arise due to the spatial variability of the reference solutions, $\bar{s}(x)$ and $\bar{b}(x)$. Nonetheless they still impose $\partial_z\bar{w}|_{\bar{s}} = \partial_z\bar{w}|_{\bar{b}} = 0$, since u is independent of depth in the SSA. Therefore, to first-order in the perturbations, and combining the two boundary conditions, we have

673

$$\Delta w|_{\bar{s}} - \Delta w|_{\bar{b}} = \partial_t\Delta h + \bar{u}\partial_x\Delta h + \Delta u\partial_x\bar{h} \quad (34)$$

674

675

676

677

678

679

680

681

682

683

684

We wish to solve the system of equations given by Equations (33) and (34). The spatially varying reference solutions, $\bar{h}(x)$ and $\bar{u}(x)$, mean that applying the Fourier transform as before would lead to convolution between variables in frequency space, which then no longer creates a linear system of equations. A direct solution of these differential equations is also not possible. Instead we turn to an approximation proposed in Ng et al. (2018). This approximation applies the Fourier and Laplace transforms to derive the transfer equations, under the assumption that the length scale for variation in the reference solution is much larger than that in the perturbations. In other words, we derive the transfer functions assuming that the reference solutions, $\bar{u}(x)$ and $\bar{h}(x)$, are locally constant. Under this assumption, the Fourier and Laplace transforms of Equations (33) and (34) are

685

686

687

$$\begin{aligned} -4ik\eta\Delta u - \frac{2ik\eta}{\bar{\rho}}\bar{u}r^{-1}\Delta\rho &= \frac{1}{2}\bar{\rho}g\Delta h + g\bar{h}r^{-1}\Delta\rho\left(\frac{\bar{\rho}}{\rho} - \frac{1}{2}\right) \\ \partial_z\Delta w &= ik\Delta u + ik\bar{u}r^{-1}\frac{\Delta\rho}{\bar{\rho}} \\ \Delta w|_{\bar{s}} - \Delta w|_{\bar{b}} &= r\Delta h - ik\bar{u}\Delta h + \Delta u\partial_x\bar{h} \end{aligned}$$

688 where we have chosen to set the instantaneous response $\Delta h(t = 0^-) = 0$ as before.
 689 Notice that \bar{u} and \bar{h} continue to be functions of x , while Δu and Δh are functions of (k, r) .
 690 Solving this system of equations, we arrive at the transfer function in the Laplace do-
 691 main:

$$692 \quad T_{h\rho}(k, x, r) = \frac{\Delta h}{\Delta \rho} = \frac{\bar{h} \left(\frac{1}{2} ik \bar{u} \phi^* - \phi (2\partial_x \bar{u} - \beta) \right)}{r \bar{\rho} (r - p_{\text{FL}})}$$

693 where the dependence on x comes from the spatial variation of the background fields \bar{h}
 694 and \bar{u} , and we have defined

$$695 \quad \beta \equiv \frac{\bar{\rho} g \bar{h}}{8\eta}$$

$$696 \quad \phi \equiv 1 - \frac{\partial_x \bar{h}}{ik \bar{h}}$$

$$697 \quad \phi^* \equiv 1 + \frac{\partial_x \bar{h}}{ik \bar{h}}$$

$$698 \quad p_{\text{FL}} \equiv ik \bar{u} - \phi \partial_x \bar{u}$$

699 We can convert back into the time-domain through the inverse Laplace transform. The
 700 function has two poles at $r = 0$ and $r = p_{\text{FL}}$. For the reference solution $\partial_x \bar{u} > 0$, and
 701 so the pole defined by $r = p_{\text{FL}}$ will reside in the left half of the complex plane, the same
 702 as in previous solutions. We arrive at

$$703 \quad T_{h\rho}(k, x, t) = \frac{\bar{h} \left(\frac{1}{2} ik \bar{u} \phi^* - \phi (2\partial_x \bar{u} - \beta) \right)}{\bar{\rho} p_{\text{FL}}} (e^{p_{\text{FL}} t} - 1) \quad (35)$$

704 Numerical integration is required to transform this from the frequency domain into the
 705 spatial domain. Following the procedure in Ng et al. (2018), the transformation into the
 706 spatial domain is slightly different to that described in Equation (19). The thickness per-
 707 turbation in the Fourier-domain is given by

$$708 \quad \Delta h(k, t) = \int_{-\infty}^{\infty} T_{h\rho}(k, x, t) \Delta \rho(x) e^{ikx} dx$$

709 and taking the inverse Fourier transform, we arrive at the thickness perturbation, $\Delta h(x, t)$.

710 We can also follow a similar procedure to find the response of the horizontal ve-
 711 locity to perturbations in the density:

$$712 \quad T_{u\rho}(k, x, t) = \frac{-\bar{u} \left(\frac{1}{2} ik \bar{u} + \partial_x \bar{u} - \beta \right)}{\bar{\rho} p_{\text{FL}}} - \frac{\partial_x \bar{u} \left(\frac{1}{2} ik \bar{u} \phi^* - \phi (2\partial_x \bar{u} - \beta) \right)}{ik \bar{\rho} p_{\text{FL}}} e^{p_{\text{FL}} t} \quad (36)$$

713 The first term in this transfer function is the steady-state response, which for small wave-
 714 lengths tends to $-\bar{u}/2\bar{\rho}$ and for large wavelengths tends to zero. While the second term
 715 is a transient component which decays over time. For small wavelengths it tends to zero,
 716 but for large wavelengths ($k \rightarrow 0$) it scales as $1/k$ and tends to infinity. This spurious
 717 behaviour arises because the derivation of the transfer function relied on a separation
 718 of scales between the perturbation and the background steady-state, which breaks down
 719 at very large wavelengths. If $\partial_x \bar{u}$ and $\partial_x \bar{h} = 0$, then the term would disappear. It is
 720 important to filter out these very large wavelength contributions in any perturbations
 721 that are applied. In the simulations that follow in section 7, we set the lowest frequency
 722 component of the transfer function to zero, so that the numerical integration is well-behaved.

723 6.3 Perturbations within the DV-BF formulation

724 If we follow the same procedure, but ignore the term in $D\rho/Dt$ on the left hand
 725 side of the momentum equation, as described by the *Density Variations - Body Force*

only [DV-BF] formulation, then the momentum and mass conservation equations describing the ice flow, Equations (32) and (2) respectively, become

$$\begin{aligned} 4\eta\partial_x u &= \frac{1}{2}\rho gh \\ u\partial_x \rho + \rho(\partial_x u + \partial_z w) &= 0 \end{aligned}$$

and the derived transfer functions are

$$T_{h\rho}(k, x, t) = \frac{\bar{h}(ik\bar{u} - \phi(2\partial_x\bar{u} - \beta))}{\bar{\rho}p_{\text{FL}}} (e^{p_{\text{FL}}t} - 1) \quad (37)$$

$$T_{u\rho}(k, x, t) = \frac{-\bar{u}(\partial_x\bar{u} - \beta)}{\bar{\rho}p_{\text{FL}}} - \frac{\partial_x\bar{u}(ik\bar{u} - \phi(2\partial_x\bar{u} - \beta))}{ik\bar{\rho}p_{\text{FL}}} e^{p_{\text{FL}}t} \quad (38)$$

6.4 Perturbations within the DVA formulation

In this next example, we assume the ice dynamics can be described by an initial density distribution which then advects over time as specified by the *Density Variations Advected* [DVA] formulation. We follow the procedure in section 5.4 closely but this time applied to the reference state of a floating ice shelf.

In the DVA formulation, the equations of motion describing the ice flow, from Equations (32), (2) and (23) respectively, are

$$\begin{aligned} 4\eta\partial_x u &= \frac{1}{2}\rho gh \\ \partial_x u + \partial_z w &= 0 \\ \partial_t \rho + u\partial_x \rho &= 0 \end{aligned}$$

We apply a perturbation to the density field which can evolve over time as described in Equations (7) and (8) with $\Delta\rho(x, t < 0) = 0$. The kinematic boundary conditions are the same as we had before in Equation (34). Keeping terms to first-order and applying the Fourier and Laplace transforms, as described in section 6.2 for spatially variable reference states, we arrive at the following system of equations:

$$\begin{aligned} -4ik\eta\Delta u &= \frac{1}{2}\bar{\rho}g\Delta h + \frac{1}{2}g\bar{h}\Delta\rho \left(2\frac{\bar{\rho}}{\bar{\rho}} - 1\right) \\ \partial_z\Delta w &= ik\Delta u \\ \Delta w|_{\bar{s}} - \Delta w|_{\bar{b}} &= r\Delta h - ik\bar{u}\Delta h + \Delta u\partial_x\bar{h} \\ r\Delta\rho - \Delta\rho_0 &= ik\bar{u}\Delta\rho \end{aligned}$$

where $\Delta\rho_0 \equiv \Delta\rho(k, t = 0)$, and as before we have chosen to set $\Delta h(t = 0^-) = 0$. This system of equations can be solved to arrive at an expression for the transfer function in the Laplace-domain:

$$T_{h\rho_0}(k, x, r) \equiv \frac{\Delta h}{\Delta\rho_0} = \frac{-\bar{h}\phi(2\partial_x\bar{u} - \beta)}{\bar{\rho}(r - p_{\text{FL}})(r - ik\bar{u})}$$

Applying the inverse-Laplace this can be converted to the time-domain, and after some simplification, we arrive at

$$T_{h\rho_0}(k, x, t) = \bar{h} \left(\frac{2}{\bar{\rho}} - \frac{1}{\bar{\rho}} \right) (e^{p_{\text{FL}}t} - e^{ik\bar{u}t}) \quad (39)$$

We can also follow a similar procedure to find the response of the horizontal velocity to perturbations in the *initial* density field:

$$T_{u\rho_0}(k, x, t) = \frac{-(2\partial_x\bar{u} - \beta)}{ik\bar{\rho}} e^{p_{\text{FL}}t} \quad (40)$$

762

6.5 Perturbations within the D2T formulation

763

764

765

Finally, we repeat the perturbation analysis for a floating ice shelf, but assume the ice-flow can be described by the *Density-to-Thickness Adjustment* [D2T] formulation. This follows closely a combination of the procedures in section 5.5 and section 6.2.

766

767

In the D2T formulation, the momentum-conservation described by Equation (32) becomes

768

$$4\eta\partial_x u = \frac{1}{2}\rho_{\text{ice}}g(h - \delta) \left(1 - \frac{\rho_{\text{ice}}}{\rho_w}\right)$$

769

which can be expressed in terms of the vertically-averaged density as

770

$$4\eta\partial_x u = \frac{1}{2}\rho gh \left(1 - \frac{\rho_{\text{ice}}}{\rho_w}\right)$$

771

772

773

774

775

776

The mass-conservation and kinematic boundary conditions are given by Equations (27) and (28) respectively. We apply a static perturbation to the density field, as described by Equations (7) and (8), with $\Delta\rho(x, t) = \mathcal{H}(t)\Delta\rho(x)$. Unlike in all the previous configurations, the zeroth-order solution to the momentum equation is not identically equal to the reference solution given by Equation (C1). We have a slight shift in the equilibrium profile of the floating ice shelf:

777

$$\partial_x \bar{u} = \frac{\bar{\rho}g\bar{h}}{8\eta} \left(1 - \frac{\rho_{\text{ice}}}{\rho_w}\right)$$

778

779

780

which vanishes if the background density is equal to that of pure ice. Keeping terms to first-order and applying the Fourier and Laplace transforms as described in section 6.2 for spatially variable reference states, we arrive at the following system of equations:

781

782

783

$$\begin{aligned} -4ik\eta\Delta u &= \frac{1}{2}g(\bar{\rho}\Delta h + \bar{h}r^{-1}\Delta\rho) \left(1 - \frac{\rho_{\text{ice}}}{\rho_w}\right) \\ \partial_z\Delta w &= ik\Delta u \\ \Delta w|_{\bar{s}-\bar{\delta}} - \Delta w|_{\bar{b}} &= (r - ik\bar{u})\frac{\bar{\rho}}{\rho_{\text{ice}}}\Delta h - ik\bar{u}r^{-1}\frac{\bar{h}}{\rho_{\text{ice}}}\Delta\rho + \frac{\bar{\rho}}{\rho_{\text{ice}}}\partial_x\bar{h}\Delta u \end{aligned}$$

784

785

786

787

where again with the D2T adjustment approach, the density perturbation gets applied *before the run starts*, and so $\Delta s(t = 0^-) = 0$, but effectively $\mathcal{H}(t = 0^-) = 1$, as discussed in section 5.5. Following the same steps as before, we arrive at the transfer function,

788

$$T_{h\rho}(k, x, r) \equiv \frac{\Delta h}{\Delta\rho} = \frac{\bar{h}\tilde{p}_{\text{FL}}}{\bar{\rho}r(r - \tilde{p}_{\text{FL}})}$$

789

where we have defined

790

$$\tilde{p}_{\text{FL}} \equiv ik\bar{u} - \beta\phi \left(1 - \frac{\rho_{\text{ice}}}{\rho_w}\right)$$

791

Taking the inverse Laplace, we arrive at

792

$$T_{h\rho}(k, x, t) = \frac{-\bar{h}}{\bar{\rho}} (1 - e^{\tilde{p}_{\text{FL}}t}) \quad (41)$$

793

794

795

This is identical to the transfer function derived in Equation (29) for the D2T adjustment in the context of a grounded ice sheet, just with an adjusted pole \tilde{p}_{FL} . Again, this leads to a constant transfer function at all frequencies in the steady-state.

796

797

We can also follow a similar procedure to find the response of the horizontal velocity to perturbations in the density:

798

$$T_{u\rho}(k, x, t) = -\beta \left(1 - \frac{\rho_{\text{ice}}}{\rho_w}\right) \frac{1}{ik\bar{\rho}} e^{\tilde{p}_{\text{FL}}t} \quad (42)$$

7 Comparing the Perturbation Analysis Results

The transfer functions derived in the previous two sections, which describe the response of the ice sheet to small perturbations in the ice density, have given us a number of insights into the different density formulations proposed in section 3. In this section we summarise the results and analyse their implications.

7.1 The Steady-State Solutions with Uniform Density

In sections 5.1 and 6.1, we derived the equilibrium solutions for the ice-flow with constant density for two reference configurations: a grounded ice sheet, and a floating ice shelf. We would expect this to be the same as the zeroth-order solution within the perturbation analysis for each of the density formulations. For the grounded ice sheet, this is indeed the case, with the zeroth-order D2T solution the same as for all the other density approaches. In all cases,

$$\bar{u} = c (\bar{\rho} g \bar{h} \sin \alpha)^m$$

The reference velocity scales as $\rho \times h$, a quantity which is preserved in the D2T adjustment, and so this agreement is perhaps not surprising. However, for the floating ice shelf, the D2T zeroth-order momentum equation is

$$\partial_x \bar{u} = \frac{\bar{\rho} g \bar{h}}{8\eta} \left(1 - \frac{\rho_{\text{ice}}}{\rho_w} \right) \quad (43)$$

whereas in the other density formulations we have

$$\partial_x \bar{u} = \frac{\bar{\rho} g \bar{h}}{8\eta} \left(1 - \frac{\bar{\rho}}{\rho_w} \right) \quad (44)$$

where all variables refer to the same physical quantities. Therefore, in a situation without horizontal density variations, but in which the average density is less than pure ice (i.e. the same proportion of firn everywhere), we find that the velocity field will be inaccurate when estimated from a simulation which uses the D2T adjustment. To understand how this arises, consider the flotation condition obeyed by an ice shelf, in which the weight of the water displaced equals the weight of the ice-column above. In the D2T adjustment, the weight of the ice-column is preserved and so the amount of water displaced is the same, which means that the location of the lower surface b is unchanged. However, in the D2T adjustment, the thickness of the ice shelf is reduced if the average density is less than that of pure ice. This means that the upper surface s is shifted downwards. The thickness of the ice shelf decreases with distance from the grounding line, and so a constant average density implies a decreasing firn air-content $\delta(x)$ with distance from the grounding line. Therefore, the D2T adjustment is largest close to the grounding line, and as such the gradient of the upper surface $\partial_x s$ is smaller in the D2T adjustment formulation. This is one of the many factors influencing the velocity field (and ultimately the thickness profile) and leads to a slightly different equilibrium state for the floating ice shelf in the D2T formulation.

7.2 The Transfer Functions

In Tables 1 and 2, we summarise the transfer functions derived in sections 5 and 6 for the grounded ice sheet and floating ice shelf respectively, in the limit as $t \rightarrow \infty$. The transfer functions have a steady-state component (summarised here) as well as a time component which decays exponentially. The transfer functions describe the amplitude and phase of the induced perturbations in the thickness and velocity fields as a function of the wavelength of the applied density perturbation. The analytical transfer functions derived in this study are valid for wavelengths of one ice-thickness or greater ($\lambda > \bar{h}$), otherwise the validity of the SSA breaks down. In the case of the floating ice shelf,

Table 1. Normalised steady-state transfer functions for induced perturbations in the *glacial thickness* in response to an initial density perturbation: $T_{h\rho}(k, t) \times \left(\frac{-\bar{\rho}}{h}\right)$. Note, for the grounded ice sheet $\Delta h = \Delta s$, and so $T_{h\rho} = T_{s\rho}$.

	GROUNDING ICE-SHEET	FLOATING ICE-SHELF
Density Variations [DV]:	$\frac{p + \frac{1}{2}t_r^{-1} - ik\bar{u}\zeta}{p}$	$\frac{\frac{1}{2}ik\bar{u}\phi^* - \phi(2\partial_x\bar{u} - \beta)}{p_{FL}}$
Density Variations (body force term only) [DV-BF]:	$\frac{p + \frac{1}{2}t_r^{-1}}{p}$	$\frac{ik\bar{u} - \phi(2\partial_x\bar{u} - \beta)}{p_{FL}}$
Density Variations Advected [DVA]:	$\frac{p - ik\bar{u} + \frac{1}{2}t_r^{-1}}{p - ik\bar{u}} e^{ik\bar{u}t}$	$\frac{-\phi(2\partial_x\bar{u} - \beta)}{p_{FL} - ik\bar{u}} e^{ik\bar{u}t}$
Density Variations translated to thickness adjustment [D2T]:	1	1

we have the additional restriction that the wavelength must be less than the scale of variation in the background fields for the method to be valid. This restricts $k < \partial_x \bar{h} / \bar{h}$ and $k < \partial_x \bar{u} / \bar{u}$. The steady-state transfer functions for a grounded ice sheet are plotted in Figure 2, and for a floating ice shelf in Figure 3. For the grounded ice sheet, results are shown for two different slipperiness values, and for the floating ice shelf for two different horizontal velocities. There are clear qualitative and quantitative differences between the four different density formulations that we have studied.

One notable difference is that within the D2T adjustment formulation, the steady-state transfer function describing the amplitude transfer between the density perturbations and induced perturbations in the thickness, is equal to unity independently of wavelength. The other density formulations are more nuanced in their frequency response and dependent on the flow characteristics. For example, the induced surface perturbations are dampened at small wavelengths for many of the density formulations. On the other hand, in the case of a floating ice shelf, the amplitude of the induced thickness perturbations, particularly at larger wavelengths and slower flows, is amplified to be larger than that of the initial density perturbation. Comparing the behaviour of the DV and DV-BF formulations, we see that the transfer functions are more similar at larger wavelengths, and are a particularly close match for less-slippery grounded topography. This makes sense, since as the slipperiness decreases the basal drag dominates on the left hand side of the momentum equation, and the additional density correction term becomes less significant, as discussed in section 4. Note that for very small wavelengths the SSA breaks down and we care less about the discrepancy between different methods.

In both the D2T adjustment and DVA formulations, the perturbation in the ice velocity field decays over time to zero across all wavelengths. This is a consequence of the advection of the density perturbation with the ice-flow. This advection is explicit in the DVA formulation, but implicit in the D2T adjustment method. In the D2T method, the density perturbation is translated to a perturbation in the adjusted *ice-equivalent*

Table 2. Normalised steady-state transfer functions for induced perturbations in the *horizontal velocity* in response to an initial density perturbation: $T_{u\rho}(k, t) \times \left(\frac{-\bar{p}}{\bar{u}}\right)$.

	GROUNDING ICE-SHEET	FLOATING ICE-SHELF
Density Variations [DV]:	$\frac{(\frac{1}{2}t_r^{-1} - ik\bar{u}\zeta)}{p}$	$\frac{-\left(\frac{1}{2}ik\bar{u} + \partial_x\bar{u} - \beta\right)}{p_{FL}}$
Density Variations (body force term only) [DV-BF]:	$\frac{\frac{1}{2}t_r^{-1}}{p}$	$\frac{-\left(\partial_x\bar{u} - \beta\right)}{p_{FL}}$
Density Variations Advected [DVA]:	0	0
Density Variations translated to thickness adjustment [D2T]:	0	0

871 surface which then dissipates over time. Consequently, the velocity perturbation tends
 872 to zero in the steady-state. However, the steady-state D2T thickness transfer function
 873 does not approach zero, because we add the initial density perturbation back onto the
 874 adjusted surface to find the *unmodified* surface at the end of the simulation.

875 7.3 Transient Response to a Perturbation

876 The transfer functions allow us to now calculate the transient flow response to a
 877 prescribed initial perturbation in density. In Figures 4 and 5, we have plotted the evo-
 878 lution of the surface and velocity in response to a 10% Gaussian perturbation in the den-
 879 sity field, for the grounded ice sheet and floating ice shelf respectively. As discussed in
 880 section 7.1, the zeroth-order solution for a floating ice shelf with the D2T adjustment
 881 applied (Equation (43)) is slightly different to that in the other density formulations. Here
 882 we are focusing on the first-order contribution from small perturbations and so we have
 883 plotted the D2T perturbation relative to the zeroth-order solution in the other formu-
 884 lations (Equation (44)) to aid comparison.

885 For the grounded ice sheet of Figure 4, the surface is initially unperturbed, and then
 886 as the ice flows through this more dense region, a surface depression is formed at the lo-
 887 cation of the density perturbation. Note that in the context of the D2T formulation, we
 888 are referring to the *unmodified* surface, where the initial density perturbation is added
 889 back on to the ice-equivalent surface in the model as a corresponding thickness pertur-
 890 bation. This depression travels with the ice-flow in the case of the DVA (density vari-
 891 ations advected) formulation, but for the static DV, DV-BF and D2T formulations it stays
 892 fixed. The depression is most pronounced in the D2T adjustment method, whereas there
 893 is some damping of the perturbation in the other density formulations. As the ice flows
 894 through the density perturbation, a kinematic wave is formed at the surface travelling
 895 at a phase speed of ω/k , where the angular frequency ω is equal to the imaginary part
 896 of the exponent of the transfer function $T_{s\rho}(k, t)$ in Equations (18, 21, 24 & 29). This
 897 phase speed is identical across all the density formulations (the slight correction due to

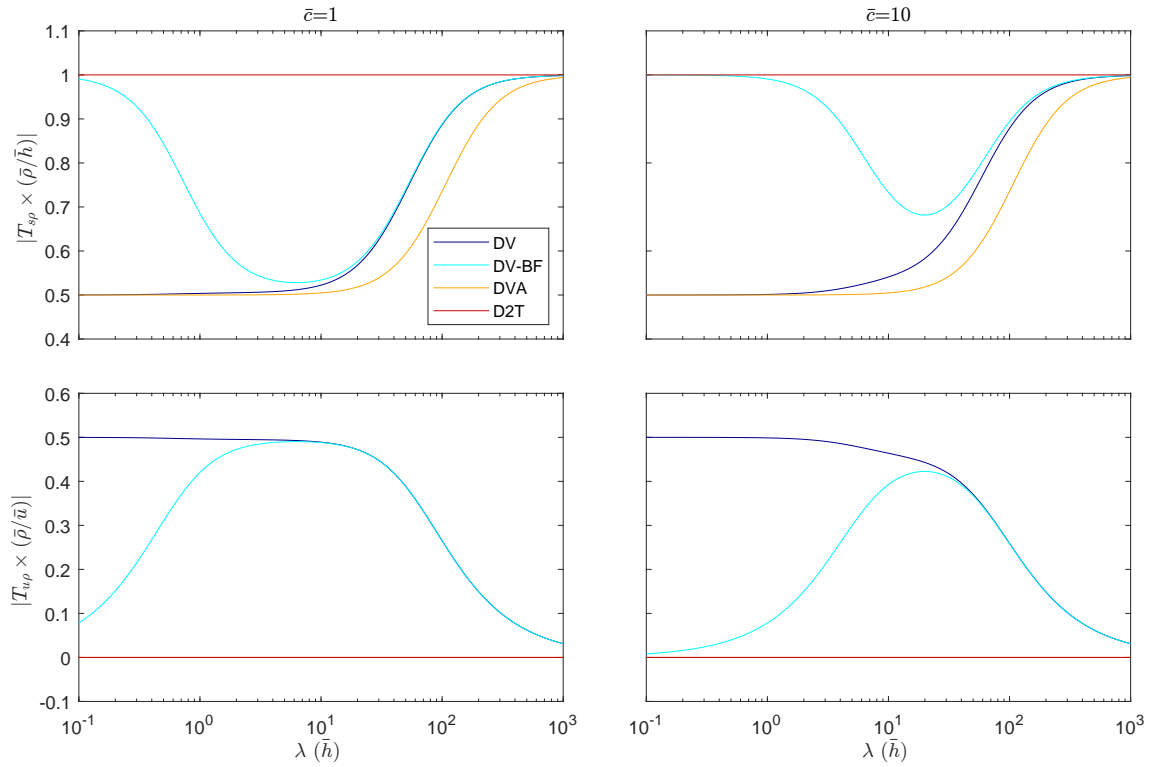


Figure 2. Steady-state transfer functions for the grounded ice sheet, showing the impact of horizontal density variations on surface topography (upper panel) and horizontal velocity (lower panel). The scales are chosen such that the mean thickness, basal shear stress, and deformational velocity are all set to unity, i.e. $\bar{h} = 1$, $g = 1/\bar{\rho}\bar{h} \sin \alpha$ and $\eta = 0.5$. Additionally we set $\alpha = 3^\circ$, $\bar{\rho} = 792$, $m = 1$, and consider two choices of mean slipperiness: $c = 1$ (LHS) and $c = 10$ (RHS). The wavelength is in units of \bar{h} . Note the DVA line is obscured behind the D2T line in the lower panel plots.

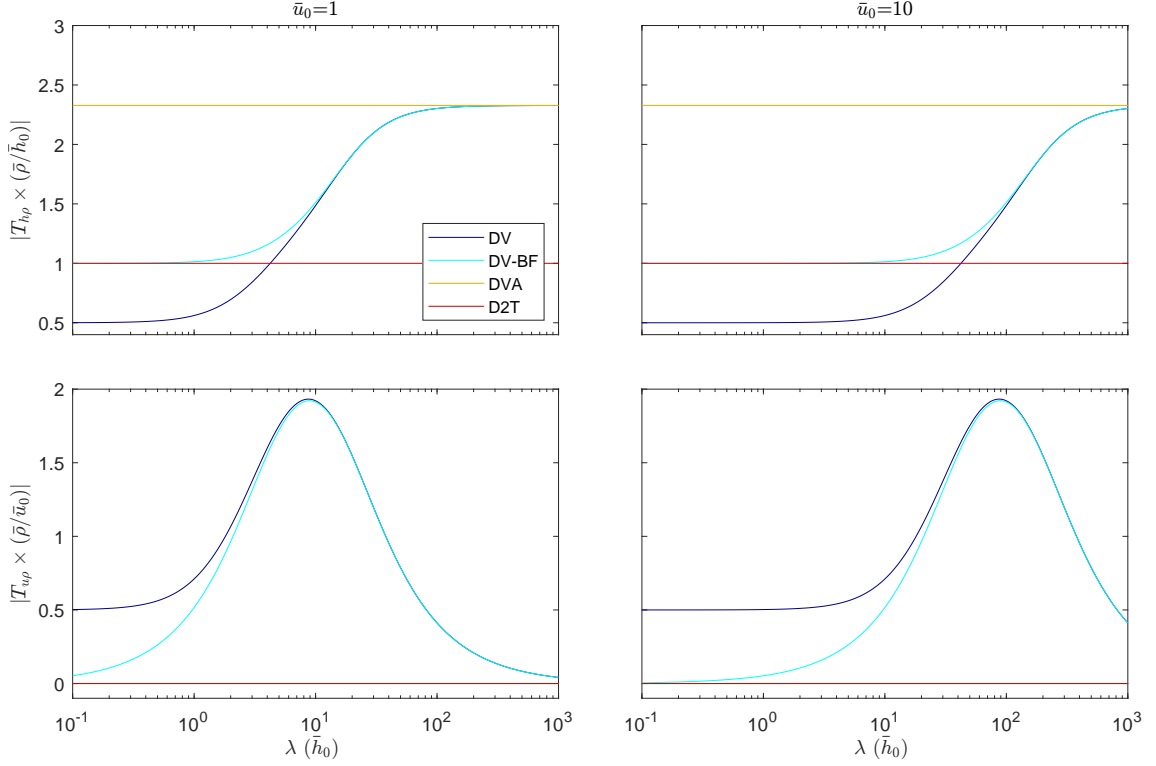


Figure 3. Steady-state transfer functions for the floating ice shelf, showing the impact of horizontal density variations on ice-shelf thickness (upper panel) and horizontal velocity (lower panel). The transfer functions are spatially-dependent; here we consider the transfer functions at a particular spatial coordinate for which $\bar{h}(x) = \bar{h}_0$ and $\bar{u}(x) = \bar{u}_0$. The scales are chosen such that the mean thickness, horizontal deviatoric stress and strain rate are all set to unity, i.e. $\bar{h}_0 = 1$, $g = 4/\bar{\rho}\bar{h}_0$ and $\eta = 0.5$. Additionally we set $\alpha = 3^\circ$, $\bar{\rho} = 792$, $a = 0.5$ and consider two choices for the horizontal velocity: $\bar{u}_0 = 1$ (LHS) and $\bar{u}_0 = 10$ (which impacts the solution through $\partial_x \bar{h}$). The wavelength is in units of \bar{h}_0 . Note the DVA line is obscured behind the D2T line in the lower panel plots.

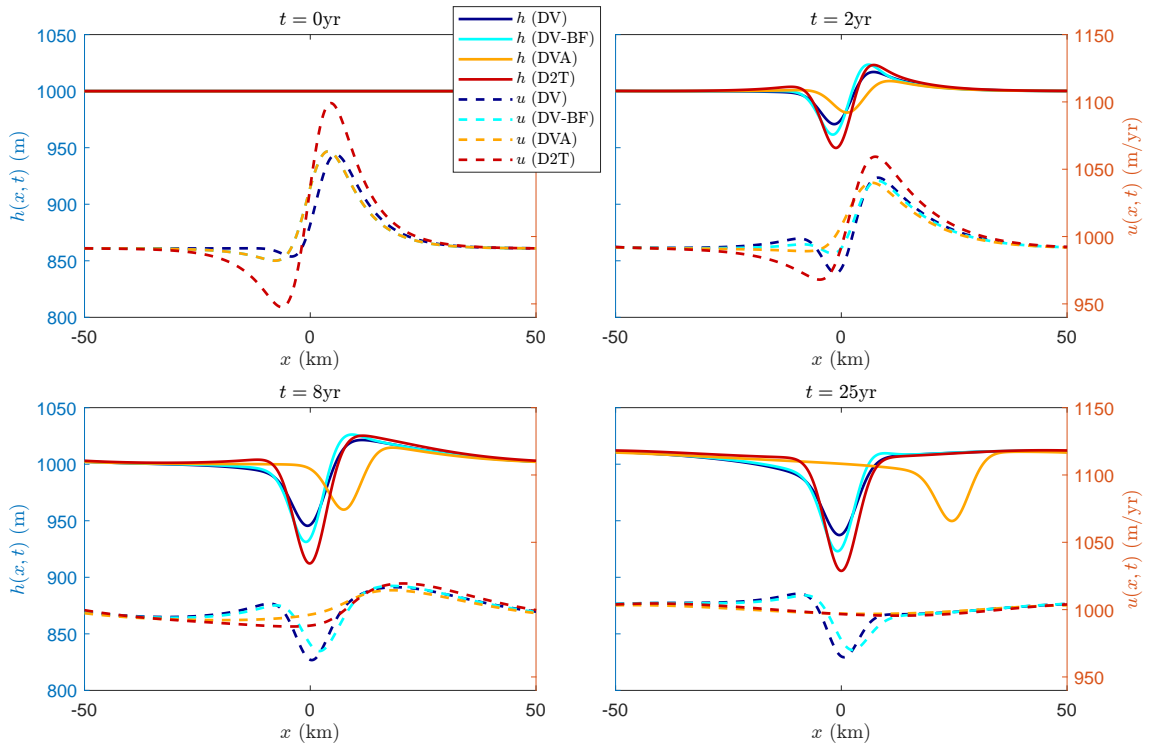


Figure 4. Example of the evolution of the spatial distribution in $h(x, t)$ [solid lines] and $u(x, t)$ [dashed lines] after an initial 10% Gaussian density perturbation applied in $\Delta\rho$, for the grounded ice sheet. This compares the analytical responses across the four different approaches for handling density evolution. See the body of the text for a description of the four methods. In this simulation, we set $\alpha = 3^\circ$, $\bar{\rho} = 900 \text{ kg/m}^3$, $m = 1$, $\eta = 5 \times 10^3 \text{ kPa} \cdot \text{yr}$ and $\bar{u} = 1000 \text{ m/yr}$.

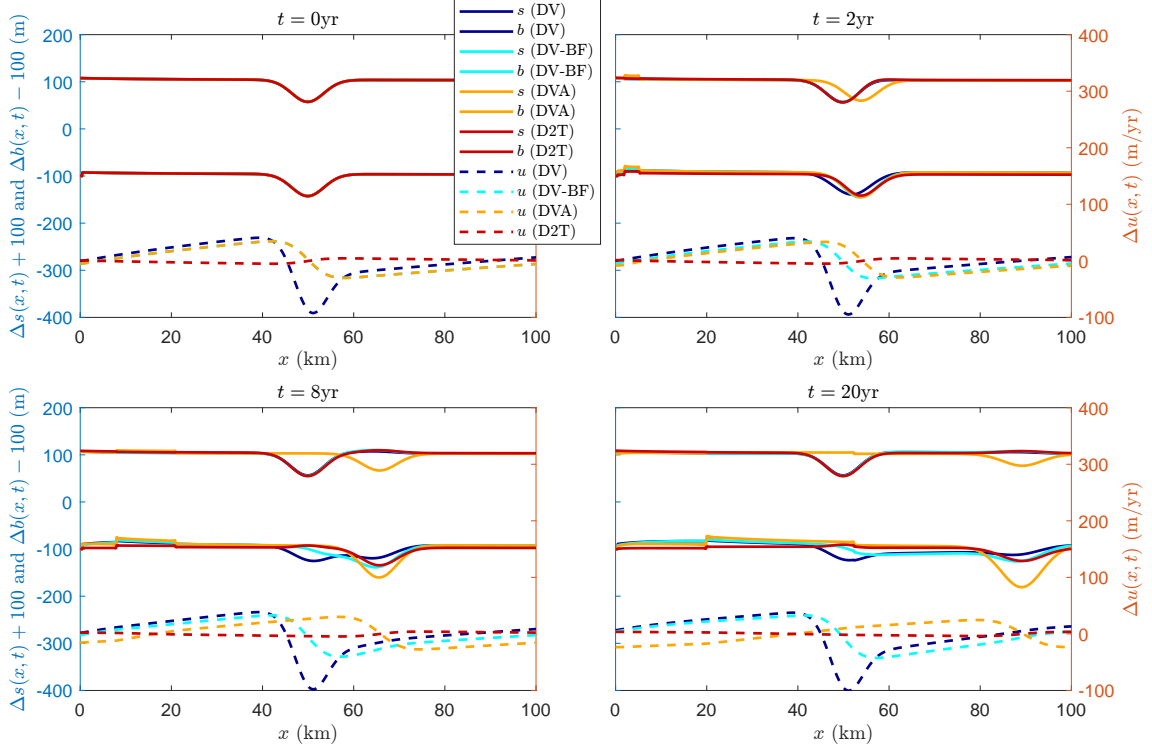


Figure 5. Example of the evolution of the spatial distribution in the upper and lower surfaces, $s(x, t)$ and $b(x, t)$ [solid lines], and velocity, $u(x, t)$ [dashed lines], after an initial 10% Gaussian density perturbation applied in $\Delta\rho$, for the floating ice shelf. The perturbed surfaces and velocity are plotted relative to their equilibrium values (plotted in Figure C1), with the upper and lower surfaces offset by $\pm 100\text{m}$ to separate them. This compares the analytical responses across the four different approaches for handling density evolution. See the body of the text for a description of the four methods. In this simulation, we set $\bar{\rho} = 900 \text{ kg/m}^3$, $\eta = 5 \times 10^3 \text{ kPa} \cdot \text{yr}$ and the surface accumulation $a_s = 1 \text{ m/yr}$. Note the slight glitches in the lower surface in the unperturbed region to the left of each plot are just an artefact of the numerical integration in the DVA approach.

898 $\bar{\rho}$ vs ρ_{ice} in the D2T method is negligible), and equals

$$899 \quad \frac{\omega}{k} = \bar{u} + \tau_d \xi^{-1} = \bar{u} + \frac{m\bar{u}}{1 + 4\bar{h}\eta k^2/\gamma}$$

900 The wavelength dependency of the phase speed causes the kinematic wave to disperse
 901 as it propagates. In the limit of small and large wavelengths, the phase speed tends to
 902 \bar{u} and $(m+1)\bar{u}$ respectively. In general a surface disturbance will propagate at the group
 903 velocity, given by $d\omega/dk$. In the DVA formulation, there is an additional transient component
 904 in the transfer function in Equation (24), with a phase speed which travels with
 905 the advecting of the density perturbation: $\omega/k = \bar{u}$. In the example in Figure 4, this
 906 phase speed is 1000 m/yr, which is in excellent agreement with the apparent propaga-
 907 tion of the surface depression in the figure.

908 For the floating ice shelf of Figure 5, the initial density perturbation immediately
 909 causes a depression in the ice due to the flotation condition, which requires more water
 910 be displaced to counteract the weight of the heavier ice. This depression dissipates in
 911 the lower surface, but persists in the upper surface due to the flotation condition, and
 912 stays fixed in the DV, DV-BF and D2T formulations. The perturbation generates a kine-
 913 matic wave, which is most visible in the lower surface (since flotation dictates that $\Delta s \approx$
 914 $0.1\Delta b$). From the transfer functions in Equations (35, 37, 39 & 41), the phase speed of
 915 the kinematic wave is

$$916 \quad \frac{\omega}{k} = \bar{u} - \frac{\partial_x \bar{u}}{k^2 \bar{h}}$$

917 Again, the dependency of the phase speed on wavelength results in dispersion of the wave.
 918 In the DVA formulation, the additional transient component describing the propagation
 919 of the surface depression itself also has a phase speed equal to $\bar{u} = 2000$ m/yr, for the
 920 parameters used in the experiment in Figure 5, consistent with the apparent propaga-
 921 tion of the depression.

922 These simulations show some broad patterns of similarity between the different ap-
 923 proaches for including HDVs in ice-flow models, but also some important qualitative dif-
 924 ferences. In the D2T adjustment, the density perturbation is applied to the *adjusted* sur-
 925 face from which it then dissipates, which means the velocity profile is a closer match to
 926 that of the advecting (DVA) formulation. However, to arrive at the *unmodified* surface
 927 (which is what we plotted here), the initial density perturbation must be added back onto
 928 the *adjusted* surface, and so the surface response in the D2T formulation is a closer match
 929 to that of the DV or DV-BF formulations. For all simulations, the DV and DV-BF for-
 930 mulations produce similar results, although not identical. The relative significance of the
 931 additional density correction term, present in the DV but not the DV-BF formulation,
 932 depends on the topography as discussed in section 4. In this example, the high frequency
 933 components in the Gaussian perturbation may increase the impact of this term, and ex-
 934 aggerate the differences between the DV and DV-BF formulations.

935 For all these examples, with the exception of the DVA formulation, we compared
 936 the analytical response calculated from the transfer functions (plotted in Figures 4 and
 937 5 above), to numerical simulations implemented in the ice-flow model $\dot{U}a$. The details
 938 are provided in Appendix D. We found an excellent agreement which gives us confidence
 939 in the derived transfer functions. The results for the floating ice shelf are particularly
 940 pleasing since they rely on the approximation presented in Ng et al. (2018) to derive the
 941 analytical transfer functions, which confirms the validity of this approximation.

942 8 Numerical Simulations of Antarctica

943 In the preceding sections, we have extensively analysed the behaviour of the ice flow
 944 within a theoretical framework for the four different density formulations proposed in
 945 section 3: *Density-to-Thickness adjustment* (D2T), *Density Variations* (DV), *Density*

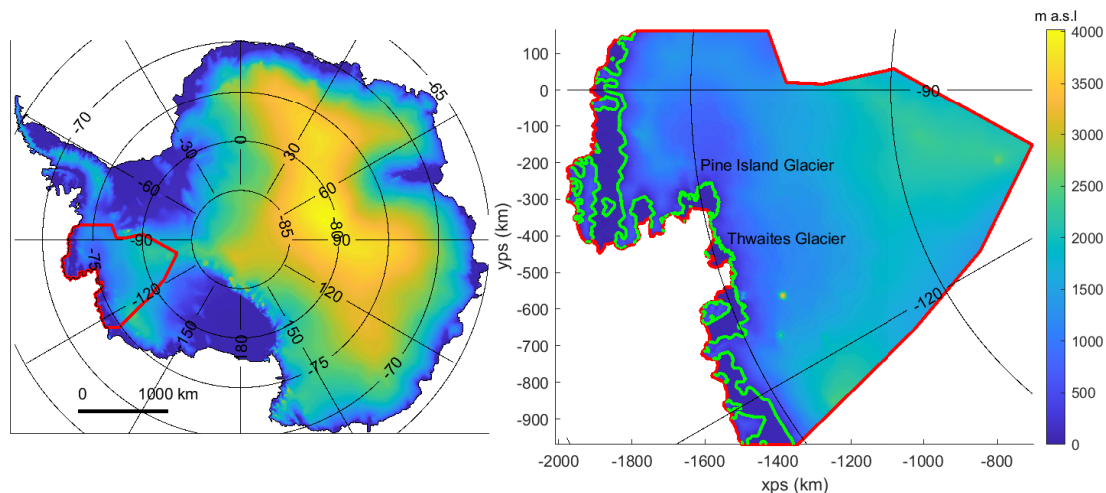


Figure 6. Surface elevation of the Pine Island glacier and Thwaites glacier region in Antarctica. Our domain is outlined in red; the grounding line in bright green.

946 *Variations - Body Force only* (DV-BF), and *Density Variations Advected* (DVA). In this
 947 section we investigate the impact of horizontal density variations (HDVs) in a real-world
 948 setting, and focus on the two approaches for including HDVs which are used in current
 949 ice-flow models. The first is the DV-BF formulation, which is the default implementa-
 950 tion in $\dot{U}a$. This incorporates a static density distribution, with horizontal density gra-
 951 dients included in the body-force driving term of the SSA momentum equation. The sec-
 952 ond formulation is the D2T adjustment method which is the default in many ice-flow mod-
 953 els, and requires no adjustment to the standard SSA equations. It is simple to imple-
 954 ment, only requiring an adjustment to the initial ice-thickness distribution.

955 We use the shallow-ice model $\dot{U}a$ (Gudmundsson, 2020b) for these simulations, and
 956 focus on the Pine Island and Thwaites glaciers in the Western Antarctic, a region which
 957 has suffered some of the most rapid mass-loss in the Antarctic (Rignot et al., 2019; Shep-
 958 herd et al., 2018). The computational domain is outlined in Figure 6. In addition to the
 959 DV-BF and D2T methods, we include a simulation where the density is assumed con-
 960 stant throughout the ice sheet, but the height is set at the thickness of the ice sheet, with-
 961 out any D2T adjustment. We refer to this method as *No Variations* [NV]. We choose
 962 an average density $\rho = 900 \text{ kg/m}^3$ everywhere, which minimises the grounding line mis-
 963 match in the simulation domain.

964 We follow the approach taken in recent simulation studies of this region, such as
 965 in Barnes et al. (2021) and De Rydt et al. (2021). The geometry of the Western Antarc-
 966 tic Ice Sheet was taken from the BedMachine Antarctica dataset (Morlighem, 2020; Morlighem
 967 et al., 2020), which includes estimates of the *firn air-content*, δ . The firn correction is
 968 applied by default to the thickness published in the BedMachine Antarctica dataset. The
 969 varying density of the Western Antarctic Ice Sheet can be extracted from the firn air-
 970 content and is plotted in Figure 7, together with measurements of surface velocity ex-
 971 tracted from Gardner, Moholdt, et al. (2018). Model parameters relating to the rheol-
 972 ogy of ice (rate factor A) and basal sliding conditions (slipperiness C) were selected us-
 973 ing a model inversion. The inversion depends on two regularisation parameters. A pre-
 974 vious study by Barnes et al. (2021) looked in detail at inversion methods used in three
 975 different ice-flow models, including $\dot{U}a$. The authors performed an L-curve analysis to
 976 find the optimal trade-off between minimising the misfit and regularisation terms in the
 977 cost function. We utilise the regularisation parameters found in that study: $\gamma_a = 1$, $\gamma_s =$

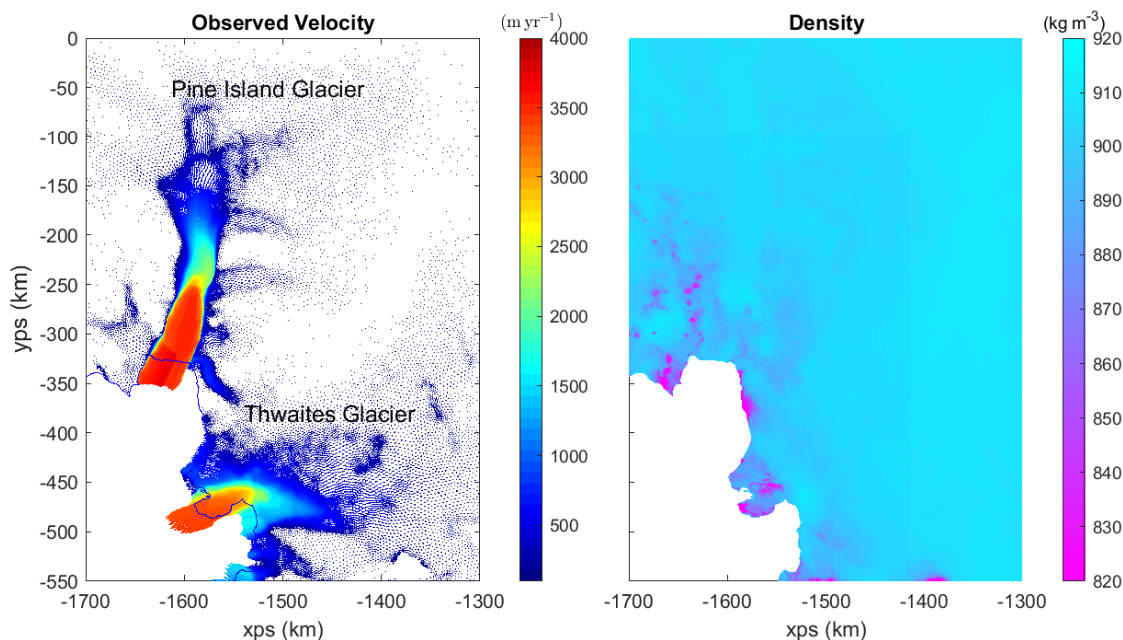


Figure 7. The observed velocities and density variation for the Western Antarctic around the Pine Island and Thwaites glaciers.

10⁴. See Barnes et al. (2021) for a comprehensive description of these parameters. We assume a common choice for the creep exponent in Glen’s flow law, $n = 3$ which describes the ice rheology, and similarly set the exponent $m = 3$ in Weertman’s sliding law to describe the basal sliding.

It is important to note that the inversion products, A and C , are not unique to the domain. The inversion process selects the A and C fields which are consistent with the geometry, observed velocity fields and forward ice-flow model. Between each of the density formulations, the forward model is slightly different, and so each formulation requires a slightly different A and C field to recover the observed velocities in the Antarctic domain. Therefore within each of the simulations for the three different density approaches (DV-BF, D2T and NV), we optimise for A and C separately. This separate inversion for A and C is essential to ensure that the difference in sea level estimates is coming from the different ice-flow dynamics in the different density formulations, as opposed to different initial velocities. After a diagnostic run, the model velocities are a close match to the observed surface velocities in each of the approaches, suggesting that any difference in the model dynamics due to different density formulations can, initially, be compensated for through optimisation of other model parameters. The real test of the impact of including density variations in the model is the evolution of the ice flow over a significant period of time.

When performing a time-dependent run, the model also requires inputs for the estimated surface mass-balance, and applied basal melting. Similar to other studies in this region, the surface mass-balance is derived from the Regional Climate Model (Van Wessem et al., 2014, RACMO v2.3). However, the basal melt is more difficult to infer. An estimate can be made from principles of mass-conservation, together with observations of grounding line retreat in the region. Within each simulation, we calculate the changes in volume above flotation (VAF) over a 40 year period, and compare the results between the different formulations for including HDVs. This is plotted in Figure 8, together with the corresponding change in sea level. Over a 40yr horizon the variation between the dif-

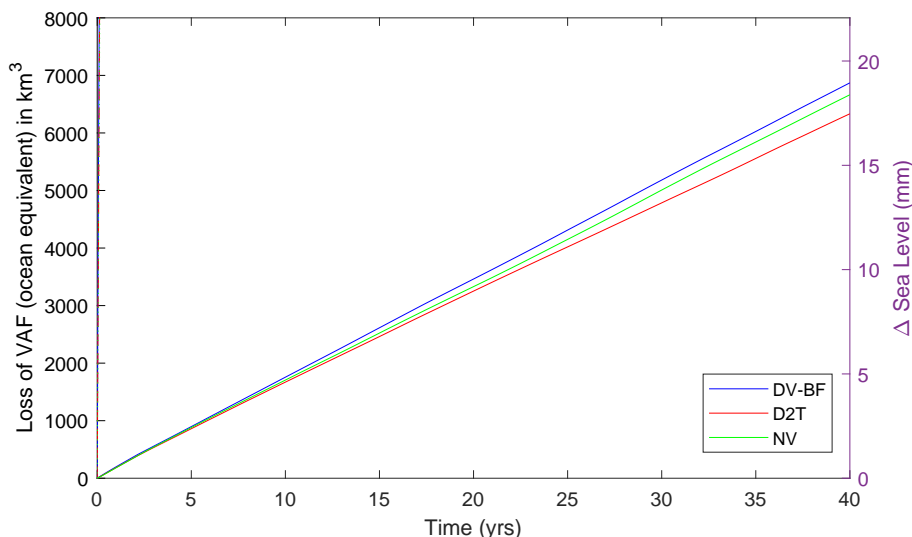


Figure 8. The change in Volume Above Flotation (VAF) for the model domain in the Western Antarctic, and corresponding sea level rise, for each of the density formulations we consider here.

1006 ferent density formulations is approximately 2mm, a 10% correction to the overall es-
 1007 timate of sea level rise, with the DV-BF formulation leading to the largest estimates of
 1008 Δ VAF. We ran a number of simulations to confirm that the impact was relatively in-
 1009 sensitive to some of the modelling choices we made. For example, a reduction in the ap-
 1010 plied basal melting leads to significantly less total mass-loss, but similar absolute differ-
 1011 ence in Δ VAF between the different configurations. In Figure 9, we also plot the model
 1012 velocities and grounding line position at the end of the 40yr run for each of the density
 1013 formulations. While the grounding line positions are roughly identical between each of
 1014 the simulations, the velocity fields in the fast flowing regions of the Pine Island and Thwaites
 1015 ice-shelves show subtle differences. We see slightly higher velocities in the central fast-
 1016 flowing region of the Pine Island ice shelf and Thwaites Eastern tongue for the DV-BF
 1017 compared to the D2T formulation. The slightly reduced velocity in the D2T formu-
 1018 lation is in keeping with the lower estimates of sea level rise.

1019 In summary, we find that for the particular case of the West Antarctic Ice Sheet
 1020 and using a model setup typical of many recent ice-flow modelling studies, the inclusion
 1021 of horizontal density variations by adjusting the thickness (D2T) as commonly done, com-
 1022 pared to adjusting the body-force term in the momentum equation (DV-BF), leads to
 1023 about a 10% change in the sea level contribution of that area over 40 years.

1024 9 Conclusions

1025 Here we have provided a new theoretical framework for the inclusion of horizon-
 1026 tal density variations (HDVs) in large-scale ice sheet models, within the shallow ice stream
 1027 approximation (SSA), and given specific examples of the resulting impact on ice flow.
 1028 We analysed all previously published approaches to this problem that we could find in
 1029 the glaciological literature, and provided further new formulations which offer a more
 1030 complete description of the impact of HDVs on ice flow.

1031 There are several different approaches to including HDVs, some of which require
 1032 modifications to the typical form of the SSA momentum and mass conservation equa-

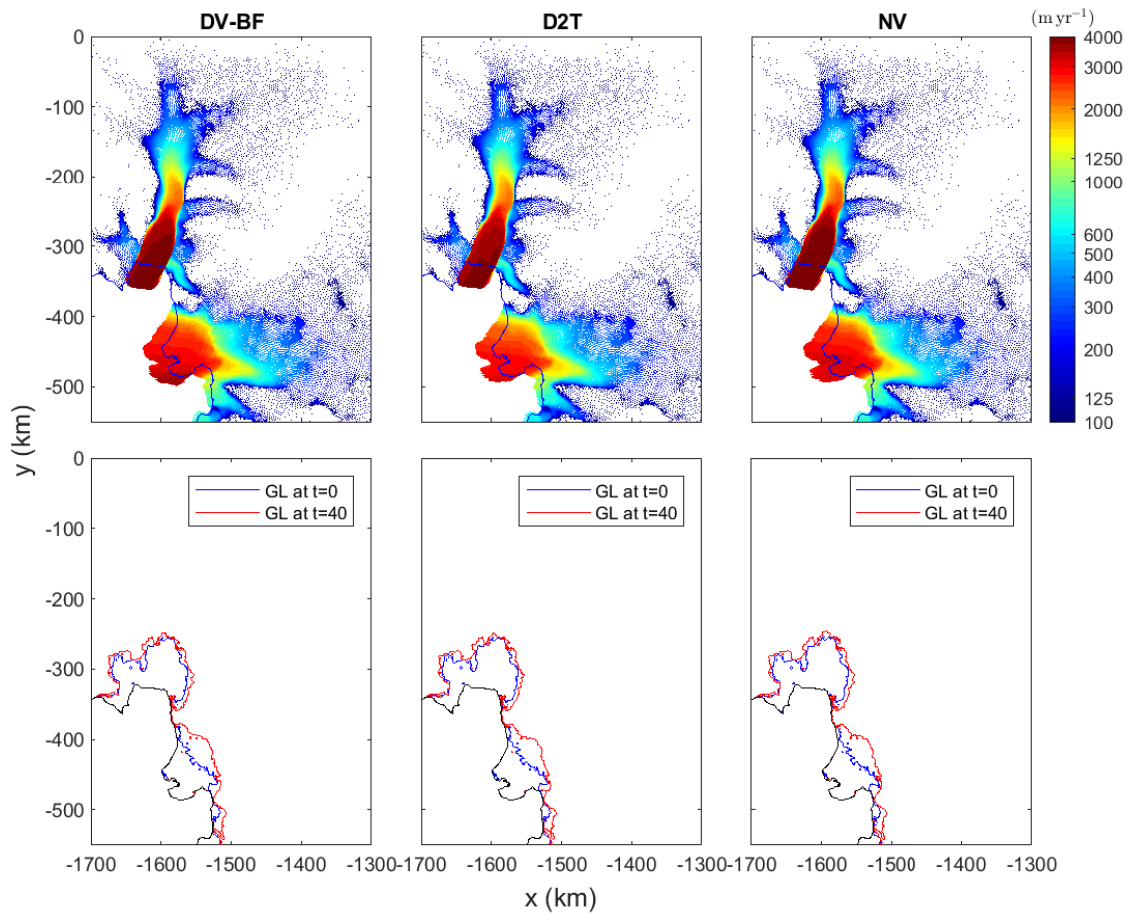


Figure 9. The model velocities (upper panel) and change in grounding line position (lower panel) for the model domain in the Western Antarctic at the end of the simulation after $t = 40$ yrs, for each of the density formulations we consider here.

1033 tions. The arguably simplest approach, which requires no modifications to the SSA equa-
1034 tions as usually listed in the literature, is to adjust the ice thickness instead of the den-
1035 sity. We refer to this commonly-used approach as the *density-to-thickness adjustment*
1036 [D2T] throughout this paper. We have shown how this approach leads to the resulting
1037 adjustment in ice thickness being advected with the ice, which in effect is equivalent to
1038 the initial density variations moving with the ice flow. In a situation where the density
1039 and firn thickness distributions are primarily related to atmospheric processes, this might
1040 not be very realistic. The key practical advantage of the D2T approach is that it requires
1041 no modifications to the typical form of the SSA equations used in large-scale ice-sheet
1042 modelling, and only some modifications to the input fields.

1043 An alternative approach to including HDVs in large scale ice-sheet models is to ac-
1044 count for them in the body-force term of the momentum-conservation equation, and to
1045 express the mass-conservation equation in terms of the product of density and velocity
1046 which then implicitly includes variation in the density field. This is referred to as the
1047 *Density Variations - Body Force only* [DV-BF] approach in this paper. It requires some
1048 modifications to the standard form of the SSA equations, such as including the gradi-
1049 ent of density in the body-force term of the momentum equation, as shown in Equation
1050 (1). In contrast to the D2T approach, the HDVs are static and do not advect with the
1051 ice over time.

1052 We have also suggested two other, arguably more complete, descriptions for includ-
1053 ing HDVs in ice-flow models, and have shown how these lead to further additional terms
1054 in the SSA equations. One is a full implementation of static density variations within
1055 the SSA equations, referred to as the DV formulation in this paper. The other is an evol-
1056 ving model, where the initial density distribution advects with the ice, referred to as the
1057 DVA formulation in this paper. These two models are both complete descriptions of hor-
1058 izontal density variations, and do not make any approximations about the dominance
1059 of certain terms in the SSA equations. The two models span the range of behaviour we
1060 might expect for the density evolution. In the DV formulation, the HDVs are static, with
1061 the rate of snow accumulation and compactification balanced by the advection of the ice.
1062 Based on the observations of Sorge's law (Thomas, 1973) we expect this to be the more
1063 realistic formulation. At the other extreme, in the DVA formulation, the atmospheric
1064 processes are limited such that density variations are fully advected with the ice flow.
1065 To our knowledge, these new options have never been described before and are not im-
1066 plemented in any ice-sheet models to date.

1067 By solving the first-order perturbation analysis in all these various formulations
1068 for the inclusion of HDVs, we have provided new insights into the impact of HDVs on
1069 large-scale ice flow. We find that the different formulations result in both qualitative and
1070 quantitative differences, and they sometimes result in what at first seem somewhat sur-
1071 prising impacts on the ice flow. For example, over floating ice shelves in the D2T approach,
1072 density variations lead to an adjustment in the position of the upper surface, while the
1073 lower surface elevation is not impacted. The transfer characteristics for the different for-
1074 mulations are qualitatively rather different, as we saw in Figures 2 and 3. The steady-
1075 state D2T transfer function is independent of wavelength in all cases, which is only some-
1076 thing we observe elsewhere in the explicitly advecting density formulation (DVA) applied
1077 to a floating ice shelf. In all the other approaches, the transfer amplitudes depend on the
1078 wavelength of the applied density perturbation.

1079 Based on our perturbation analysis, we conclude that the commonly-used D2T ap-
1080 proach has very different characteristics to the physically motivated DV formulation. We
1081 instead recommend always including the gradient of the density in the body-force term
1082 of the momentum equation, and in the mass-conservation equation. This follows what
1083 we term the DV-BF approach, and is a closer approximation to the DV formulation. Do-
1084 ing so should only require relatively simple modifications to existing computational mod-
1085 els, and have minimal impact on code performance. It is more realistic than the commonly-

1086 used D2T approach, in which all terms involving ice thickness in the SSA equations and
 1087 boundary conditions are modified by the HDVs, for which there appears to be limited
 1088 justification.

1089 Finally, we have conducted a numerical study of the West Antarctic Ice Sheet, and
 1090 provided a specific example of the impact of HDVs on ice flow within the D2T and the
 1091 DF-BF formulations. We find that HDVs can lead to significant differences in the esti-
 1092 mated ice loss over time, although these differences are likely to be small compared to
 1093 those resulting from uncertainties in the external forcings applied to the model. In our
 1094 particular simulation (see Figure 8) we find that the resulting difference in projections
 1095 of global sea level rise is of the order of a few mm over 40 years. While this is, at least
 1096 in this particular case, not a particularly large difference compared to the overall esti-
 1097 mated contribution from WAIS, this result shows that HDVs do impact ice flow and there-
 1098 fore should be taken into account accurately where possible.

1099 Throughout this analysis we have treated the density as constant in the vertical
 1100 direction, and equal to the vertically-averaged density. This is a necessary assumption
 1101 in the derivation of the SSA equations in closed form with an arbitrary vertical density
 1102 profile, and is the first step in correctly incorporating HDVs into vertically-integrated
 1103 ice sheet models. However, there is scope for further improvement by implementing a
 1104 parameterised model for the vertical firn distribution. We discuss the implications of this
 1105 in Appendix B. Extending the vertically-integrated ice sheet models in this way would
 1106 benefit from coupling to an atmospheric model that predicts the firn density and depth
 1107 at the surface of the ice sheet.

1108 In this study, we have been concerned with how best to incorporate HDVs into the
 1109 ice-flow dynamics of vertically-integrated ice-sheet models. This treats the initial vertically-
 1110 averaged density as an input field to the ice-sheet model, similar to other input fields like
 1111 the ice sheet bedrock topography or surface mass balance. In reality, we could expect
 1112 the horizontal density distribution to change over time in response to climate forcings,
 1113 with different regions experiencing higher or lower snowfall. To incorporate the changes
 1114 due to climate forcings would require coupling to an atmospheric model which has a com-
 1115 plete description of the surface processes (such as surface melt, refreezing, firn accumu-
 1116 lation) that lead to HDVs in the ice sheet. This would allow the horizontal density dis-
 1117 tribution to be updated inside the ice sheet model as it evolves.

1118 Appendix A Vertical Integration of the Field Equations

1119 In this appendix, we derive the modified SSA field equations that were presented
 1120 in section 2 which take into account horizontal variation in glacial density. These equa-
 1121 tions appear to have been derived for the first time by Morland (1987), although then
 1122 intended to describe the flow of ice shelves only. Subsequently they were derived for cou-
 1123 pled ice-shelf/ice-stream flow by Muszynski and Birchfield (1987), and then for grounded
 1124 ice where most of the motion is due to sliding by MacAyeal (1989). They have been de-
 1125 rived numerous times in various papers since then, e.g. (Baral et al., 2001; Schoof, 2006),
 1126 and well-summarised in the review article by Schoof and Hewitt (2013). Here we broadly
 1127 followed the derivation given in Gudmundsson (2020a), but with various modifications
 1128 and extensions to account for a variable density field.

1129 We start by defining the vertically-integrated density:

$$1130 \langle \rho \rangle = \frac{1}{h} \int_b^s \rho(z) dz$$

1131 where h is the ice-sheet thickness, and s and b are the upper and lower ice surface el-
 1132 evations, respectively. This expression can be split into a meteoric ice layer of density

1133 ρ_{ice} , and a firn layer of thickness F and variable density ρ_{firn} :

1134
$$\langle \rho \rangle = \frac{1}{h} \left(\int_b^{s-F} \rho_{ice} dz + \int_{s-F}^s \rho_{firn}(z) dz \right)$$

1135 From this we can define the *firn air-content*:

1136
$$\delta \equiv \int_{s-F}^s \frac{\rho_{ice} - \rho_{firn}(z)}{\rho_{ice}} dz$$

1137 which represents the vertical distance by which the firn needs to be compacted for it to
1138 have acquired the same density as that of ice, such that

1139
$$\langle \rho \rangle = \rho_{ice} (1 - \delta/h)$$

1140 and

1141
$$\rho_{ice} \times h_{ice} = \langle \rho \rangle \times h$$

1142 where $h_{ice} \equiv h - \delta$ is the ice-equivalent thickness. In all that follows, we make the sim-
1143 plifying assumption that the density is constant with depth and equal to the vertically
1144 averaged density, i.e. that at each spatial point the density $\rho(x, y, z)$ is given by the ver-
1145 tically averaged density $\langle \rho \rangle(x, y)$. Without this assumption, analytical solutions to the
1146 vertically-integrated field equations are not possible, and would instead require numer-
1147 ical integration and differentiation in the z -dimension, which is incompatible with shallow-
1148 ice models. In all that follows we assume that the glacial density $\rho(x, y, z) = \rho(x, y)$
1149 and we drop the angle brackets to indicate the vertical average.

1150 **A1 Momentum Equations**

1151 The shallow-ice stream approximation (SSA) applies to ice flows where the depth
1152 of the ice sheet is much smaller than the horizontal dimensions. See MacAyeal (1989)
1153 for a detailed discussion of the approximation. Within this approximation, the momentum-
1154 conservation equations describing the ice flow in a tilted coordinate system that is par-
1155 allel to the bed topography are

1156
$$\partial_x \sigma_{xx} + \partial_y \tau_{xy} + \partial_z \tau_{xz} = -\rho g \sin \alpha \quad (A1)$$

1157
$$\partial_x \tau_{xy} + \partial_y \sigma_{yy} + \partial_z \tau_{yz} = 0 \quad (A2)$$

1158
$$\partial_z \sigma_{zz} = \rho g \cos \alpha \quad (A3)$$

1159 where α is the angle of the coordinate system to the horizontal, and σ_{ij} and τ_{ij} are the
1160 Cauchy and deviatoric stress components respectively. The Cauchy and deviatoric stresses
1161 are related through the pressure: $\tau_{ij} = \delta_{ij} p + \sigma_{ij}$. The deviatoric stresses are related
1162 to the strain rates through the effective viscosity:

1163
$$\tau_{ij} \equiv 2\eta \dot{\epsilon}_{ij}$$

1164 with the strain rate given by

1165
$$\dot{\epsilon}_{ij} \equiv \frac{1}{2} (\partial_i v_j + \partial_j v_i)$$

1166 The viscosity is often described by a model such as Glen's flow law:

1167
$$\dot{\epsilon}_{ij} = A \tau^{n-1} \tau_{ij} \quad (A4)$$

1168 with rate factor A and exponent n . In some ice-flow models, the rate factor describing
1169 the ice rheology is allowed to vary with depth since it is strongly dependent on temper-
1170 ature, and treated as a vertically-integrated quantity. However in this derivation, we as-
1171 sume the rate factor A is constant with depth, and consequently that the effective vis-
1172 cosity η is constant with depth in the SSA. In the SSA, the horizontal velocities are in-
1173 dependent of depth, and the vertical velocity varies linearly with depth. Thus by def-
1174 inition τ_{xx} , τ_{xy} and τ_{yy} are also independent of z .

To find the vertically integrated solution to these equations, we need to impose the boundary conditions at the upper surface:

$$\begin{aligned} -\sigma_{xx}\partial_x s - \tau_{xy}\partial_y s + \tau_{xz} &= 0 \\ -\sigma_{yy}\partial_y s - \tau_{xy}\partial_x s + \tau_{yz} &= 0 \\ \sigma_{zz} &= 0 \end{aligned}$$

together with the boundary conditions at the lower surface:

$$\begin{aligned} t_{bx} &= (\sigma_{zz} - \sigma_{xx})\partial_x b - \tau_{xy}\partial_y b + \tau_{xz} \\ t_{by} &= (\sigma_{zz} - \sigma_{yy})\partial_y b - \tau_{xy}\partial_x b + \tau_{yz} \end{aligned}$$

where t_{bx} and t_{by} are the horizontal components of the basal traction vector. We start by integrating Equation (A3) from z to $z = s(x, y)$:

$$\sigma_{zz}(s) - \sigma_{zz}(z) = (s - z) \rho g \cos \alpha$$

The boundary conditions at the surface impose $\sigma_{zz}(s) = 0$ and so

$$\sigma_{zz}(z) = -(s - z) \rho g \cos \alpha \quad (\text{A5})$$

Integrating again, we arrive at

$$\partial_x \int_b^s \sigma_{zz}(z) dz = \sigma_{zz}(b) \partial_x b - \frac{1}{2} h^2 \partial_x \rho g \cos \alpha \quad (\text{A6})$$

The next step is to integrate Equation (A1) from $z = b(x, y)$ to $z = s(x, y)$:

$$\int_b^s \partial_x \sigma_{xx} dz + \int_b^s \partial_y \tau_{xy} dz + \int_b^s \partial_z \tau_{xz} dz = -\rho g h \sin \alpha$$

and use Leibniz' rule to interchange the order of integration and differentiation:

$$\begin{aligned} \partial_x \int_b^s \sigma_{xx} dz - \sigma_{xx}(s) \partial_x s + \sigma_{xx}(b) \partial_x b \\ + \partial_y \int_b^s \tau_{xy} dz - \tau_{xy}(s) \partial_x s + \tau_{xy}(b) \partial_x b \\ + \tau_{xz}(s) - \tau_{xz}(b) = -\rho g h \sin \alpha \end{aligned}$$

Substituting the boundary conditions at the upper and lower surface, we arrive at

$$\partial_x \int_b^s \sigma_{xx} dz + \sigma_{zz}(b) \partial_x b - t_{bx} + \partial_y \int_b^s \tau_{xy} dz = -\rho g h \sin \alpha \quad (\text{A7})$$

The next step of the derivation is to express σ_{xx} in terms of σ_{zz} and other quantities which are independent of z . Based on the definition of the deviatoric stresses, we can write

$$\sigma_{xx} = \tau_{xx} - \tau_{zz} + \sigma_{zz} \quad (\text{A8})$$

and eliminate τ_{zz} (which varies with depth) by using the mass-conservation equation as follows. The generalised form of the mass-conservation equation is given by Equation (2). In the typical derivation for the vertical-integration of the momentum equations in the SSA, the density is assumed constant and the constraint simplifies to $\nabla \cdot \mathbf{v}$. However, if we use the mass conservation equation for compressible material (Equation (2)), and substitute the expression for the deviatoric stresses in terms of the velocity gradients, then we arrive at

$$-\tau_{zz} = \tau_{xx} + \tau_{yy} + \frac{2\eta}{\rho} \frac{D\rho}{Dt} \quad (\text{A9})$$

1209 The additional term, which scales as the material derivative of ρ , does not appear if we
 1210 assume a constant density ice sheet. It also disappears if we assume that the initial den-
 1211 sity distribution advects with the ice, such that $D\rho/Dt = 0$. Substituting Equation (A9)
 1212 into Equation (A8), we arrive at

$$1213 \quad \sigma_{xx} = \sigma_{zz} + 2\tau_{xx} + \tau_{yy} + \frac{2\eta}{\rho} \frac{D\rho}{Dt} \quad (\text{A10})$$

1214 where all terms on the right hand side of the equation apart from σ_{zz} are independent
 1215 of depth in the SSA. Substituting Equation (A10) into Equation (A7), we find

$$1216 \quad \partial_x \int_b^s \sigma_{zz} dz + \partial_x \left(2h\tau_{xx} + h\tau_{yy} + \frac{2\eta h}{\rho} \frac{D\rho}{Dt} \right) + \sigma_{zz}(b)\partial_x b - t_{bx} + \partial_y(h\tau_{xy}) = -\rho gh \sin \alpha \quad (\text{A11})$$

1217 Inserting Equation (A6) into Equation (A11), we arrive at the first vertically-integrated
 1218 momentum equation:

$$1219 \quad \partial_x \left(2h\tau_{xx} + h\tau_{yy} + \frac{2\eta h}{\rho} \frac{D\rho}{Dt} \right) + \partial_y(h\tau_{xy}) - t_{bx} = \rho gh (\partial_x s \cos \alpha - \sin \alpha) + \frac{1}{2} h^2 g \partial_x \rho \cos \alpha \quad (\text{A12})$$

1220 The procedure can be repeated for Equation (A2), where we use the relationship,

$$1221 \quad \sigma_{yy} = \sigma_{zz} + 2\tau_{yy} + \tau_{xx} + \frac{2\eta}{\rho} \frac{D\rho}{Dt}$$

1222 to derive the second vertically-integrated momentum equation:

$$1223 \quad \partial_y \left(2h\tau_{yy} + h\tau_{xx} + \frac{2\eta h}{\rho} \frac{D\rho}{Dt} \right) + \partial_x(h\tau_{xy}) - t_{by} = \rho gh \partial_y s \cos \alpha + \frac{1}{2} h^2 g \partial_y \rho \cos \alpha$$

1224 These results can be expressed in terms of the components of the velocity vector:

$$1225 \quad \begin{aligned} \partial_x \left(4h\eta \partial_x u + 2h\eta \partial_y v + \frac{2h\eta}{\rho} \frac{D\rho}{Dt} \right) \\ + \partial_y (h\eta (\partial_x v + \partial_y u)) - t_{bx} &= \rho gh (\partial_x s \cos \alpha - \sin \alpha) + \frac{1}{2} h^2 g \partial_x \rho \cos \alpha \\ \partial_y \left(4h\eta \partial_y v + 2h\eta \partial_x u + \frac{2h\eta}{\rho} \frac{D\rho}{Dt} \right) \\ + \partial_x (h\eta (\partial_x v + \partial_y u)) - t_{by} &= \rho gh \partial_y s \cos \alpha + \frac{1}{2} h^2 g \partial_y \rho \cos \alpha \end{aligned}$$

1229 where u and v are the horizontal velocities in the x and y direction respectively.

1230 **A2 Mass-Conservation Equation**

1231 The generalised form of the mass-conservation equation which allows for density
 1232 variation in the ice sheet is

$$1233 \quad \nabla \cdot (\rho \mathbf{v}) + \partial_t \rho = 0 \quad (\text{A13})$$

1234 To solve the vertical integration of this equation, we require the kinematic boundary con-
 1235 ditions:

$$1236 \quad \begin{aligned} \partial_t s + u \partial_x s + v \partial_x s - w_s &= a_s \\ \partial_t b + u \partial_x b + v \partial_x b - w_b &= -a_b \end{aligned} \quad (\text{A14})$$

1238 where the horizontal velocities are independent of depth in the SSA; w_s, w_b are the ver-
 1239 tical velocity components at the upper and lower surfaces respectively; and a_s and a_b
 1240 are the surface accumulation rate and basal melt rates respectively. Integrating Equa-
 1241 tion (A13) from $z = b(x, y)$ to $s(x, y)$:

$$1242 \quad \int_b^s (\partial_x(\rho u) + \partial_y(\rho v) + \partial_z(\rho w)) dz + h \partial_t \rho = 0$$

1243 Changing the order of differentiation using Leibniz rule:

$$1244 \quad \nabla_{xy} \cdot \mathbf{q}_{xy} - \rho u \partial_x h - \rho v \partial_y h + \rho(w_s - w_b) + h \partial_t \rho = 0$$

1245 where ρ is assumed constant with depth, and we have introduced the horizontal mass
1246 flux which is defined as

$$1247 \quad \mathbf{q}_{xy} \equiv \int_b^s \rho \mathbf{v}_{xy} dz$$

1248 Substituting Equations (A14), we arrive at the vertically integrated mass-conservation
1249 equation:

$$1250 \quad \rho \partial_t h + \nabla_{xy} \cdot \mathbf{q}_{xy} + h \partial_t \rho = \rho a$$

1251 where the total accumulation $a = a_s + a_b$.

1252 A3 Boundary Conditions at the Calving Front

1253 The variation in the density distribution also has an impact on the boundary condi-
1254 tions that exist at the calving front, a key constraint applied in shallow-ice models. At
1255 the calving front Γ_c , we require balance of the vertically-integrated horizontal stresses.
1256 In the x and y directions, this stress condition is

$$1257 \quad \int_b^s (\sigma_{xx} n_x + \tau_{xy} n_y) dz = - \int_b^S p_w n_x dz$$

$$1258 \quad \int_b^s (\tau_{xy} n_x + \sigma_{yy} n_y) dz = - \int_b^S p_w n_y dz \quad (\text{A15})$$

1259 where p_w is the hydrostatic ocean pressure, n_x and n_y are the components of the unit
1260 normal pointing horizontally outward from the ice front, and S is the surface of the ocean.
1261 The x -component of the vertically-integrated ocean pressure acting on the calving front,
1262 can be solved to give

$$1263 \quad - \int_b^S p_w n_x dz = - \frac{1}{2} \rho_w g d^2 n_x \quad (\text{A16})$$

1264 where $d \equiv S - b$ is the draft at the ice front. Meanwhile, combining Equations (A10)
1265 and (A5), we have

$$1266 \quad \sigma_{xx} = - (s - z) \rho g + 2\tau_{xx} + \tau_{yy} + \frac{2\eta}{\rho} \frac{D\rho}{Dt}$$

1267 where $\alpha = 0$ in this coordinate system. Integrating from $z = b$ to s :

$$1268 \quad \int_b^s \sigma_{xx} dz = h \left(2\tau_{xx} + \tau_{yy} + \frac{2\eta}{\rho} \frac{D\rho}{Dt} \right) - \frac{1}{2} \rho g h^2 \quad (\text{A17})$$

1269 Substituting Equations (A16) and (A17) into Equation (A15), we arrive at the bound-
1270 ary conditions at the calving front:

$$1271 \quad h \left(2\tau_{xx} + \tau_{yy} + \frac{2\eta}{\rho} \frac{D\rho}{Dt} \right) n_x + h \tau_{xy} n_y = \frac{1}{2} g (\rho h^2 - \rho_w d^2) n_x$$

$$1272 \quad h \left(2\tau_{yy} + \tau_{xx} + \frac{2\eta}{\rho} \frac{D\rho}{Dt} \right) n_y + h \tau_{xy} n_x = \frac{1}{2} g (\rho h^2 - \rho_w d^2) n_y$$

1273 which can alternatively be expressed in terms of the velocity components as

$$1274 \quad 2\eta h \left(2\partial_x u + \partial_y v + \frac{1}{\rho} \frac{D\rho}{Dt} \right) n_x + \eta h (\partial_x v + \partial_y u) n_y = \frac{1}{2} g (\rho h^2 - \rho_w d^2) n_x$$

$$1275 \quad 2\eta h \left(2\partial_y v + \partial_x u + \frac{1}{\rho} \frac{D\rho}{Dt} \right) n_y + \eta h (\partial_x v + \partial_y u) n_x = \frac{1}{2} g (\rho h^2 - \rho_w d^2) n_y$$

1276

A4 Effective Viscosity

1277

1278

1279

1280

1281

Variations in the density field can also have an impact on the derivation of the effective viscosity in shallow-ice models. A simple linear model for viscosity, such that η is a constant, will be unaffected. However in general, the rheology of the ice can be described by a model such as Glen's flow law in Equation (A4), for which the effective viscosity is

1282

$$\eta = \frac{1}{2} A^{-1/n} \dot{\epsilon}^{(1-n)/n}$$

1283

1284

where $\dot{\epsilon} = \sqrt{\dot{\epsilon}_{ij}\dot{\epsilon}_{ij}/2}$ is the *effective strain rate*. In the SSA, the components $\dot{\epsilon}_{xz}$ and $\dot{\epsilon}_{yz}$ are second order and can be neglected. Thus,

1285

$$\dot{\epsilon} = \sqrt{(\dot{\epsilon}_{xx}^2 + \dot{\epsilon}_{yy}^2 + \dot{\epsilon}_{zz}^2)/2 + \dot{\epsilon}_{xy}^2}$$

1286

In the vertically-integrated approach, $\dot{\epsilon}_{zz}$ is unknown and specified via the mass-conservation:

1287

$$\dot{\epsilon}_{ii} = \nabla \cdot \mathbf{v} = -\frac{1}{\rho} \frac{D\rho}{Dt}$$

1288

which leads to

1289

$$\dot{\epsilon}_{zz}^2 = \left(\dot{\epsilon}_{xx} + \dot{\epsilon}_{yy} + \frac{1}{\rho} \frac{D\rho}{Dt} \right)^2$$

1290

Appendix B Vertically-Varying Density Profile

1291

1292

1293

1294

In the preceding derivation of the SSA equations, we assumed that the density of the ice sheet was constant with depth and equal to the vertically-averaged density, because it is not possible to derive the SSA equations in a closed form for an arbitrary vertical density profile. In this appendix, we explore this decision in a bit more detail.

1295

1296

1297

1298

1299

1300

1301

1302

In Thomas (1973) there is a discussion of the impact of vertical variations in density on the creep of ice shelves. They found that the effective shear stress at the ice-shelf front was overestimated by a factor of two if the density was treated as constant, compared to assuming a particular (exponential) form for the vertical density profile. However, this relationship is determined by the value of the double integral $\int_b^s \int_z^s \rho(z') dz' dz$ relative to the hydrostatic pressure at the ice front, and is not indicative of the effects we could expect to see in the SSA equations, where it is the horizontal gradient of the double integral that is the driving force.

1303

1304

1305

The key step in the derivation of the SSA equations occurs at Equations (A5) and (A6), where the density field is integrated twice with respect to z . If we allowed for a depth-varying density field, then equation A6 instead becomes:

1306

$$\partial_x \int_b^s \sigma_{zz}(z) dz = -\partial_x \left(\int_b^s \int_z^s \rho(z') dz' dz \right) g \cos \alpha \quad (\text{B1})$$

1307

1308

1309

1310

1311

This integral cannot be further evaluated without specifying the form of the vertical density profile. The simplest assumption is that the density is constant with depth. This is what we have done in the derivation of the SSA field equations and underpins the results presented in this paper. We could instead consider some parameterisations of the vertical density profile which we explore further below.

1312

1313

1314

1315

1316

One formulation is to model the density as two distinct layers: the bottom layer is pure ice with density ρ_{ice} ; the top layer is firn with constant density $\rho_{\text{firn}} = 500 \text{ kg/m}^3$. The thickness of the firn layer varies to match the measurements of the vertically averaged density. With this model for the vertical density profile, we can solve the double integral:

1317

$$\int_b^s \int_z^s \rho(z') dz' dz = \frac{1}{2} \bar{\rho} h (h - h_f) + \frac{1}{2} \rho_{\text{firn}} h_f h \quad (\text{B2})$$

1318 where h_f is the thickness of the firn layer, and by definition

$$1319 \quad \bar{\rho} \times h = \rho_{\text{ice}}(h - h_f) + \rho_{\text{firn}}h_f$$

1320 With this model for the vertical density profile, Equation (A6) becomes

$$1321 \quad \partial_x \int_b^s \sigma_{zz}(z) dz = \sigma_{zz}(b) \partial_x h - ((h - h_f)h \partial_x \bar{\rho} - (\bar{\rho} - \rho_{\text{firn}})h_f \partial_x h) g \cos \alpha \quad (\text{B3})$$

1322 This propagates through the derivation, and modifies the driving force on the right-hand
1323 side of the SSA momentum Equation (A12). It becomes

$$1324 \quad \tau_{\text{driving}}^{2\text{-layer}} = \bar{\rho}gh (\partial_x s \cos \alpha - \sin \alpha) + ((h - h_f)h \partial_x \bar{\rho} - (\bar{\rho} - \rho_{\text{firn}})h_f \partial_x h) g \cos \alpha \quad (\text{B4})$$

1325 Previously, when assuming a constant vertical density profile, the driving force was

$$1326 \quad \tau_{\text{driving}}^{\text{constant}} = \bar{\rho}gh (\partial_x s \cos \alpha - \sin \alpha) + \frac{1}{2}h^2 g \partial_x \rho \cos \alpha \quad (\text{B5})$$

1327 In the D2T adjustment, the firn layer is effectively modeled as $h_f = \delta$ (the firn correction)
1328 and $\rho_{\text{firn}} = 0$. Substituting these values into Equation (B4), this does indeed re-
1329 cover the RHS of the momentum equation presented in Equation (26):

$$1330 \quad \tau_{\text{driving}}^{\text{D2T}} = \bar{\rho}gh (\partial_x s \cos \alpha - \sin \alpha) + ((h - \delta)h \partial_x \bar{\rho} - \bar{\rho}\delta \partial_x h) g \cos \alpha \quad (\text{B6})$$

1331 It should be noted that in the D2T formulation, terms on the left-hand side of the mo-
1332 mentum equation multiplying the viscosity are also modified, as demonstrated in sec-
1333 tion 5.5.

1334 An alternative formulation is to model the density with the exponential vertical
1335 profile described in Thomas (1973):

$$1336 \quad \rho(z) = \rho_{\text{ice}} - (\rho_{\text{ice}} - \rho_{\text{firn}})e^{-\nu(s-z)}$$

1337 where ρ_{firn} is the density at the surface, and ν is the decay parameter. Typically $\nu \sim$
1338 $5/h$. If we fix the density at the surface, we have a one-to-one relationship between the
1339 decay parameter and the vertically-averaged density:

$$1340 \quad \nu^{-1} = \left(\frac{\rho_{\text{ice}} - \bar{\rho}}{\rho_{\text{ice}} - \rho_{\text{firn}}} \right) h$$

1341 Following through the derivation, the driving force on the right-hand side of the SSA mo-
1342 mentum Equation (A12) in this case becomes

$$1343 \quad \tau_{\text{driving}}^{\text{exponential}} = \bar{\rho}gh (\partial_x s \cos \alpha - \sin \alpha) + \left(h - \frac{2}{\nu} \right) (h \partial_x \bar{\rho} - (\rho_{\text{ice}} - \bar{\rho}) \partial_x h) g \cos \alpha \quad (\text{B7})$$

1344 where the exponential term is dropped once the double integral has been performed be-
1345 cause $e^{-\nu h} \ll 1$.

1346 Substituting some typical values into the different expressions for the driving force
1347 in Equations (B4, B5, B6 & B7), we find that the correction due to HDVs consists of
1348 a term proportional to $h^2 \partial_x \rho$ with a coefficient that ranges from 0.5 (constant vertical
1349 density) to 0.9 (D2T approximation) and another much smaller correction term that is
1350 proportional to $h \partial_x h$. The assumption of constant vertical density is a good first approx-
1351 imation, but this highlights that the HDV correction term in the SSA equations is sensi-
1352 tive to the exact parameterisation of the vertical density profile that is assumed. This
1353 is a limitation inherent in any vertically-integrated ice-sheet model. For a more compre-
1354 hensive treatment it would be necessary to resort to a 3-D ice sheet model.

1355 Appendix C The Equilibrium Profile for a Floating Ice-Shelf

1356 Starting from the momentum conservation in Equation (32), we derive the equi-
 1357 librium profile for a floating ice-shelf. This is a well-known solution in glaciology, with
 1358 one of the earliest derivations, to our knowledge, being that in Van der Veen (1983). Set-
 1359 ting the density of the ice shelf to be constant, we also assume linear viscosity such that
 1360 $\eta = \text{const}$, and constant surface mass-balance, $a = a_s + a_b$. It is important that $a \neq 0$,
 1361 otherwise this is not a steady-state solution, and instead the ice shelf spreads out infinitely
 1362 thinly. The momentum-conservation simplifies to

$$1363 \quad \partial_x u = \frac{\rho g h}{8\eta} \quad (\text{C1})$$

1364 and in a steady-state, with constant density, the vertically-integrated mass-conservation
 1365 in Equation (3) reduces to

$$1366 \quad \partial_x (uh) = a \quad (\text{C2})$$

1367 Integrating this equation, and setting $x = 0$ at the grounding line (or some arbitrary
 1368 point on the ice shelf) without loss of generality, we have

$$1369 \quad u(x)h(x) - q_{gl} = ax \quad (\text{C3})$$

1370 where $q_{gl} = u|_{x=0}h|_{x=0}$. Substituting the expressions for $\partial_x u$ and $u(x)$, from Equations
 1371 (C1) and (C3) respectively, into Equation (C2), we find

$$1372 \quad \frac{\rho g h^2}{8\eta} + \frac{ax + q_{gl}}{h} \partial_x h = a$$

1373 which can be rearranged to

$$1374 \quad \left(\frac{h^{-3}}{ah^{-2} - \rho g / 8\eta} \right) \frac{dh}{dx} = \frac{1}{(ax + q_{gl})}$$

1375 Integrating both sides we arrive at the steady-state solution:

$$1376 \quad h(x) = \left[\frac{1}{a} \left(\frac{K}{(ax + q_{gl})^2} + \frac{\rho g}{8\eta} \right) \right]^{-\frac{1}{2}}$$

1377 and

$$1378 \quad u(x) = \left[\frac{1}{a} \left(K + \frac{\rho g}{8\eta} (ax + q_{gl})^2 \right) \right]^{\frac{1}{2}}$$

1379 where K is an arbitrary integration constant, which can be determined by specifying the
 1380 thickness at $x = 0$:

$$1381 \quad K = q_{gl}^2 \left(\frac{a}{h_{gl}^2} - \frac{\rho g}{8\eta} \right)$$

1382 where $h|_{x=0} = h_{gl}$. We have plotted the equilibrium positions of the velocity, and up-
 1383 per and lower surfaces, of a floating ice shelf in Figure C1.

1384 Appendix D Numerical vs Analytical Perturbations

1385 In Figure D1, we compare the analytical results presented in Figures 4 and 5, to
 1386 numerical simulations performed in the large-scale ice-flow model $\dot{U}a$, for each of the dif-
 1387 ferent approaches to include HDVs: *Density Variations* [DV], *Density Variations - Body*
 1388 *Force only* [DV-BF] and *Density-to-Thickness adjustment* [D2T]. To arrive at these re-
 1389 sults required a modification to $\dot{U}a$ to include additional terms in the momentum equa-
 1390 tion in order to replicate the DV formulation. The DVA formulation, which requires the
 1391 density distribution to evolve over time in the model, is not implemented in $\dot{U}a$, and so
 1392 not included here.

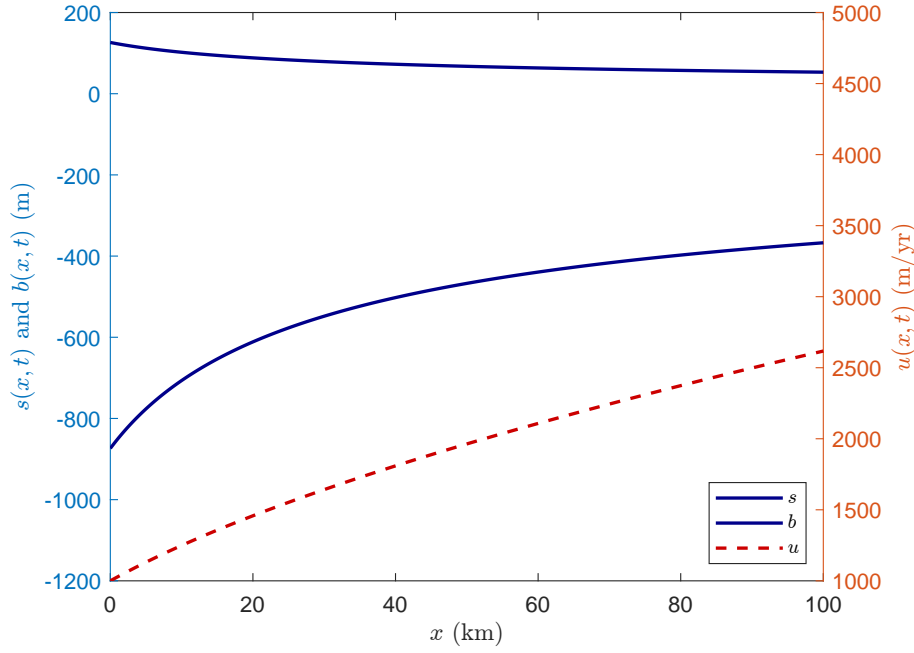


Figure C1. Equilibrium positions of the upper and lower surfaces, $s(x, t)$ and $b(x, t)$ [solid lines], and velocity, $u(x, t)$ [dashed line], for the floating ice shelf. In this example, we set $\bar{\rho} = 900 \text{ kg/m}^3$, $\eta = 5 \times 10^3 \text{ kPa} \cdot \text{yr}$ and the surface accumulation $a_s = 1 \text{ m/yr}$.

The numerical and analytical results match very closely, which gives us confidence that no mistakes were made in the analytical derivations, and that $\hat{U}a$ is behaving correctly. The close match for the floating ice shelf is important, and confirms the validity of the approximation proposed in Ng et al. (2018), as well as the approach taken to mask the $k = 0$ component of the transfer function to avoid the transfer function (in this approximation) blowing up to infinity.

Notation

- ∂_x partial derivative w.r.t. x
- $\frac{D}{Dt}$ material derivative
- α slope of the basal surface
- β defined through $\beta \equiv \frac{\bar{\rho}gh}{8\eta}$
- γ defined through $\gamma \equiv \tau_d^{1-m}/mc$
- δ firn air-content of the ice sheet
- $\dot{\epsilon}_{ij}$ strain rates
- ζ defined through $\zeta \equiv 2\eta hk^2 \xi^{-1}$
- η vertically-integrated effective viscosity
- λ wavelength
- ξ defined through $\xi \equiv \gamma + 4hk^2\eta$
- ρ vertically-averaged ice-sheet density
- ρ_{ice} density of pure meteoric ice, 917 kg m^{-3}
- ρ_w density of the ocean, 1030 kg m^{-3}
- ϱ defined through $\varrho \equiv \rho(1 - \rho/\rho_w)$
- σ_{ij} components of the Cauchy stress tensor
- τ_{ij} deviatoric stresses

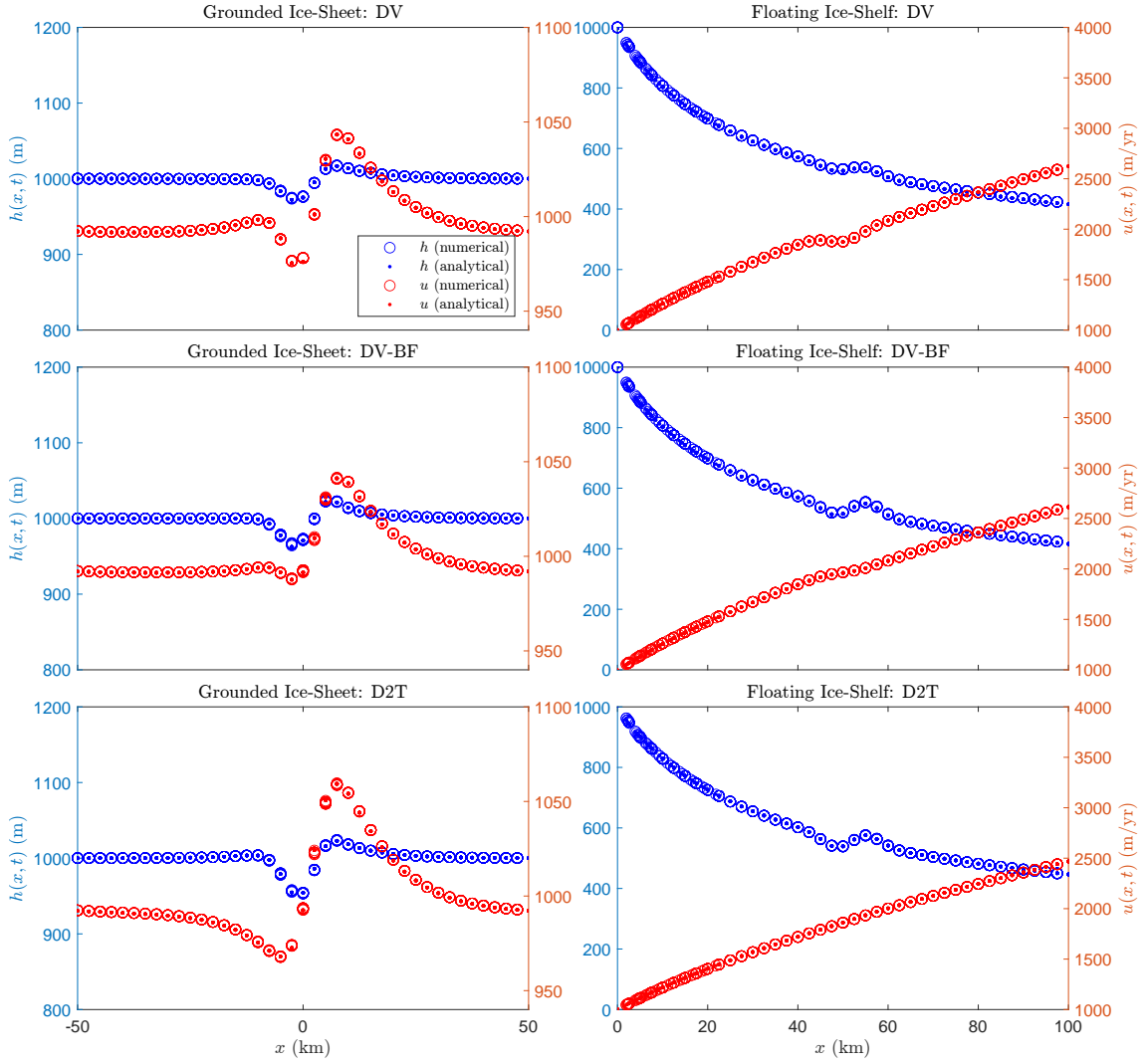


Figure D1. Example of the spatial distribution in $h(x,t)$ and $u(x,t)$ at $t = 2$ yrs after an initial 10% Gaussian density perturbation applied in $\Delta\rho$. This compares the analytical response to a full numerical simulation in \hat{U}_a . The simulation parameters are the same as those specified in Figures 4 and 5.

1417	τ_d driving stress
1418	ϕ defined through $\phi \equiv 1 - \frac{\partial_x \bar{h}}{ikh}$
1419	ϕ^* defined through $\phi^* \equiv 1 + \frac{\partial_x \bar{h}}{ikh}$
1420	ω angular frequency
1421	a total accumulation, $a_s + a_b$
1422	a_b basal melt
1423	a_s surface accumulation
1424	A rate factor in Glen's flow law
1425	b lower glacial surface
1426	c, C basal slipperiness
1427	d draft at the ice-front: $S - b$
1428	h total glacial thickness
1429	h_{ice} ice-equivalent thickness, $h - \delta$
1430	$\mathcal{H}(t)$ Heaviside step function
1431	k wavenumber in the x -direction
1432	m exponent in Weertman's sliding law
1433	n_i components of unit normal vector
1434	p pole in the Laplace frame for the grounded ice perturbations, $it_p^{-1} - t_r^{-1}$
1435	p_{FL} pole in the Laplace frame for the floating ice perturbations, $iku - \phi \partial_x u$
1436	q_{xy} horizontal mass-flux
1437	r laplace transform variable
1438	s upper glacial surface
1439	S ocean surface
1440	t time
1441	$t_b = (t_{bx}, t_{by})$ basal drag
1442	t_p phase timescale
1443	t_r relaxation timescale
1444	$v, \{v_i\}, (u, v, w)$ components of the velocity vector
1445	v_b basal velocity

1446 Acknowledgments

1447 This work was supported and carried out as a part of the NSFGEONERC funded project
 1448 *Processes, drivers, predictions: Modelling the response of Thwaites Glacier over the next*
 1449 *century using ice/ocean coupled models* (NE/S006745/1).

1450 Availability Statement

1451 All numerical simulations in this study were performed with the shallow-ice model
 1452 $\acute{U}a$. The source code of $\acute{U}a$ can be downloaded from <https://github.com/GHilmarG/UaSource>
 1453 (Gudmundsson, 2020b). The input and output files for the experiments presented in this
 1454 paper, as well as the MATLAB code for computing the transfer functions, can be accessed
 1455 at <https://doi.org/10.5281/zenodo.6501217>. For the numerical simulations of the West-
 1456 ern Antarctic Ice Sheet: the geometry inputs are from BedMachine Antarctica v.2 (Morlighem,
 1457 2020; Morlighem et al., 2020) which can be downloaded from <https://doi.org/10.5067/E1QL9HFQ7A8M>;
 1458 the surface mass balance inputs derive from RACMO v2.3 (Van Wessem et al., 2014) which
 1459 can be accessed via <https://www.projects.science.uu.nl/iceclimate/models/racmo-archive.php>;
 1460 and the surface velocity data was generated using auto-RIFT (Gardner, Moholdt, et al.,
 1461 2018) and provided by the NASA MEaSURES ITS_LIVE project (Gardner, Fahnestock,
 1462 & Scambos, 2018), which can be accessed at <https://doi.org/10.5067/IMR9D3PEI28U>.

1463

References

1464

Bader, H. (1954). Sorges law of densification of snow on high polar glaciers. *Journal of Glaciology*, *2*, 319-323. doi: 10.3189/S0022143000025144

1465

1466

Baral, D. R., Hutter, K., & Greve, R. (2001). Asymptotic theories of large-scale motion, temperature, and moisture distribution in land-based polythermal ice sheets: A critical review and new developments. *Applied Mechanics Reviews*, *54*, 215256. doi: 10.1115/1.3097296

1467

1468

1469

Barnes, J. M., dos Santos, T. D., Goldberg, D., Gudmundsson, G. H., Morlighem, M., & Rydt, J. D. (2021). The transferability of adjoint inversion products between different ice flow models. *The Cryosphere*, *15*, 1975-2000. doi: 10.5194/tc-15-1975-2021

1470

1471

1472

1473

Bueler, E., & Brown, J. (2009). Shallow shelf approximation as a “sliding law” in a thermodynamically coupled ice sheet model. *J. Geophys. Res.*, *114*, F03008. doi: 10.1029/2008JF001179

1474

1475

1476

Cornford, S. L., Martin, D. F., Graves, D. T., Ranken, D. F., Le Brocq, A. M., Gladstone, R. M., ... Lipscomb, W. H. (2013). Adaptive mesh, finite volume modeling of marine ice sheets. *Journal of Computational Physics*, *232*, 529-549.

1477

1478

1479

1480

De Rydt, J., Reese, R., Paolo, F. S., & Gudmundsson, G. H. (2021). Drivers of pine island glacier speed-up between 1996 and 2016. *The Cryosphere*, *15*, 113-132. doi: 10.5194/tc-15-113-2021

1481

1482

1483

Gardner, A. S., Fahnestock, M. A., & Scambos, T. A. (2018). Measures its_live land-sat image-pair glacier and ice sheet surface velocities: Version 1. doi: 10.5067/IMR9D3PEI28U

1484

1485

1486

Gardner, A. S., Moholdt, G., Scambos, T., Fahnestock, M., Ligtenberg, S., Van Den Broeke, M., & Nilsson, J. (2018). Increased west antarctic and unchanged east antarctic ice discharge over the last 7 years. *The Cryosphere*, *12*, 521547. doi: 10.5194/tc125212018

1487

1488

1489

1490

Gudmundsson, G. H. (2008). Analytical solutions for the surface response to small amplitude perturbations in boundary data in the shallow-ice-stream approximation. *The Cryosphere*, *2*, 77-93. doi: 10.5194/tc-2-77-2008

1491

1492

1493

1494

Gudmundsson, G. H. (2020a). Úa compendium. Retrieved from <https://github.com/GHilmarG/UaSource/blob/master/UaCompendium.pdf> (date accessed: Oct 2021)

1495

1496

1497

Gudmundsson, G. H. (2020b). Úa (v2019b). Retrieved from <https://github.com/GHilmarG/UaSource> (date accessed: Oct 2021) doi: 10.5281/zenodo.3706624

1498

1499

1500

Hoffman, M. J., Perego, M., Price, S. F., Lipscomb, W. H., Zhang, T., Jacobsen, D., ... Bertagna, L. (2018). Mpas-albany land ice (mali): a variable-resolution ice sheet model for earth system modeling using voronoi grids. *Geosci. Model Dev.*, *11*, 3747-3780. doi: 10.5194/gmd-11-3747-2018

1501

1502

1503

Larour, E., Seroussi, H., Morlighem, M., & Rignot, E. (2012). Continental scale, high order, high spatial resolution, ice sheet modeling using the ice sheet system model. *Journal of Geophysical Research*, *117*, F01022. doi: 10.1029/2011JF002140

1504

1505

1506

MacAyeal, D. R. (1989). Large-scale ice flow over a viscous basal sediment: Theory and application to ice stream b, antarctica. *Journal of Geophysical Research: Solid Earth*, *94*(B4), 4071-4087. doi: 10.1029/JB094iB04p04071

1507

1508

1509

Morland, L. W. (1987). Unconfined ice-shelf flow. In C. J. Van der Veen & J. Oerlemans (Eds.), *Dynamics of the west antarctic ice sheet* (pp. 99-116). Dordrecht: Springer Netherlands. doi: 10.1007/978-94-009-3745-1_6

1510

1511

1512

Morlighem, M. (2020). Measures bedmachine antarctica, version 2. Retrieved from <https://nsidc.org/data/nsidc-0756> (date accessed: 2021) doi: 10.5067/E1QL9HFQ7A8M

1513

1514

1515

Morlighem, M., Rignot, E., Binder, T., Blankenship, D. D., Drews, R., Eagles, G., ... Young, D. A. (2020). Deep glacial troughs and stabilizing ridges un-

1516

1517

- 1518 veiled beneath the margins of the antarctic ice sheet. *Nature Geoscience*, *13*,
 1519 132-137. doi: 10.1038/s41561-019-0510-8
- 1520 Muszynski, I., & Birchfield, G. E. (1987). A coupled marine ice-stream ice-shelf
 1521 model. *Journal of Glaciology*, *33*(113), 315. doi: 10.3189/S002214300005281
- 1522 Ng, F. S. L., Ignéczi, A., Sole, A. J., & Livingstone, S. J. (2018). Response of sur-
 1523 face topography to basal variability along glacial owlines. *Journal of Geophysi-
 1524 cal Research: Earth Surface*, *123*, 2319-2340. doi: 10.1029/2017JF004555
- 1525 Pattyn, F. (2017). Sea-level response to melting of antarctic ice shelves on
 1526 multi-centennial timescales with the fast elementary thermomechanical
 1527 ice sheet model (f.etch v1.0). *The Cryosphere*, *11*, 1851-1878. doi:
 1528 10.5194/tc-11-1851-2017
- 1529 Pollard, D., & DeConto, R. M. (2012). Description of a hybrid ice sheet-shelf model,
 1530 and application to antarctica. *Geosci. Model Dev.*, *5*, 1273-1295. doi: 10.5194/
 1531 gmd-5-1273-2012
- 1532 Rignot, E., Mouginot, J., Scheuchl, B., van den Broeke, M., van Wessem, M. J.,
 1533 & Morlighem, M. (2019). Four decades of antarctic ice sheet mass balance
 1534 from 1979–2017. *Proceedings of the National Academy of Sciences*, *116*(4),
 1535 1095–1103. doi: 10.1073/pnas.1812883116
- 1536 Schoof, C. (2006). A variational approach to ice stream flow. *Journal of Fluid Me-
 1537 chanics*, *556*, 227251. doi: 10.1017/S0022112006009591
- 1538 Schoof, C., & Hewitt, I. (2013). Ice-sheet dynamics. *Annual Review of Fluid Me-
 1539 chanics*, *45*(1), 217-239. doi: 10.1146/annurev-fluid-011212-140632
- 1540 Shepherd, A., Ivins, E., Rignot, E., & et al. (2018). Mass balance of the antarctic ice
 1541 sheet from 1992 to 2017. *Nature*, *558*, 219-222. doi: 10.1038/s41586-018-0179
 1542 -y
- 1543 Thomas, R. (1973). The creep of ice shelves: Interpretation of observed behaviour.
 1544 *Journal of Glaciology*, *12*, 55-70. doi: 10.3189/S002214300002270X
- 1545 Van der Veen, C. (1983). A note on the equilibrium profile of a free floating ice shelf.
 1546 *IMAU Report V83-15, State University Utrecht*.
- 1547 Van Wessem, J. M., Reijmer, C. H., Morlighem, M., Mouginot, J., Rignot, E., Med-
 1548 ley, B., ... Van Meijgaard, E. (2014). Improved representation of east antarctic
 1549 surface mass balance in a regional atmospheric climate model. *Journal of
 1550 Glaciology*, *60*, 761770. doi: 10.3189/2014JoG14J051