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# Sliding or stumbling on the staircase: numerics of ocean circulation along piecewise-constant coastlines

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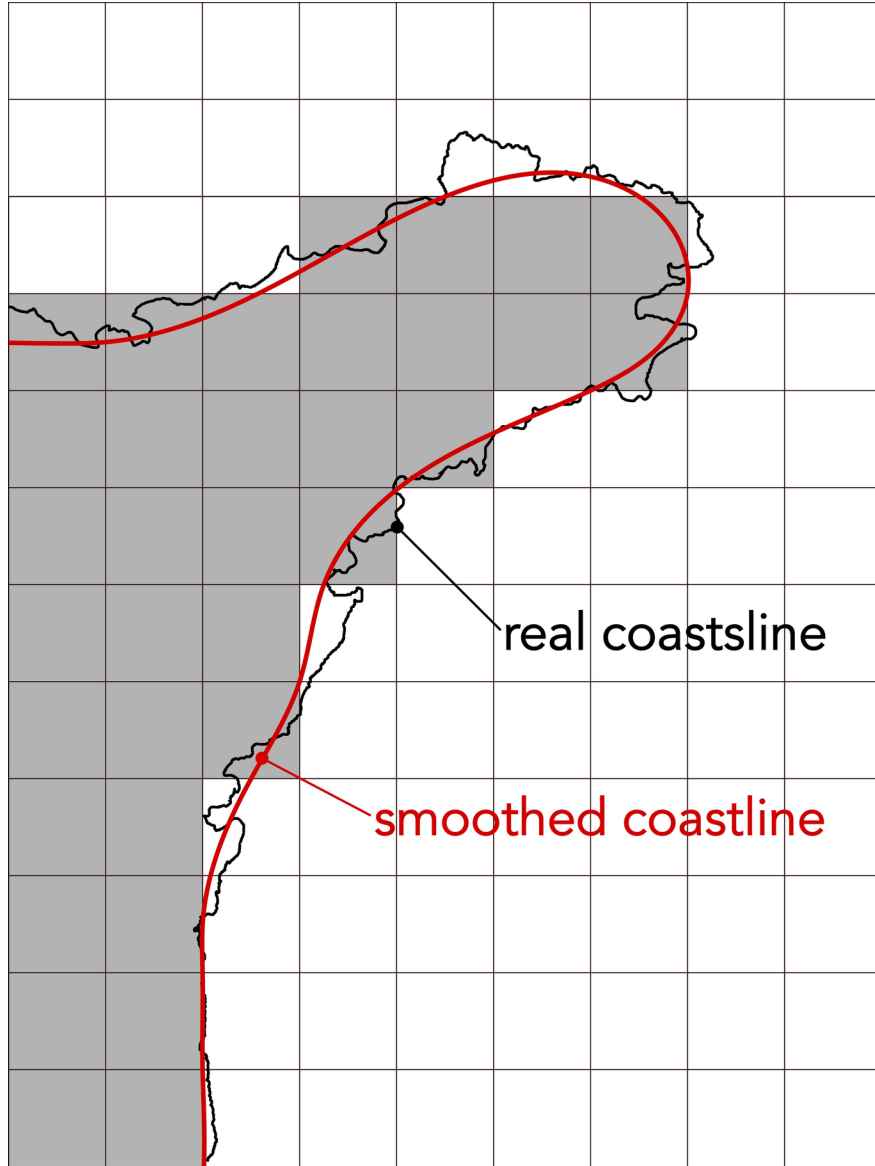
<sup>5</sup>Inria

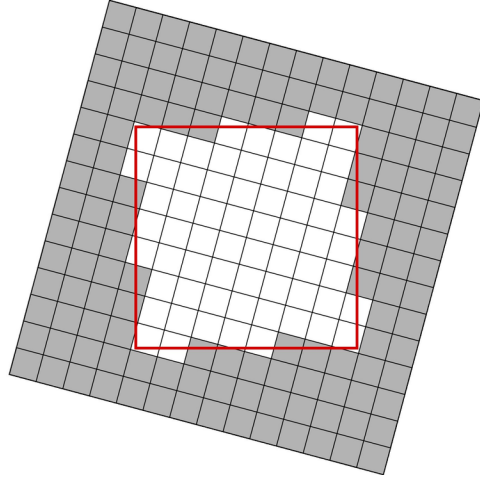
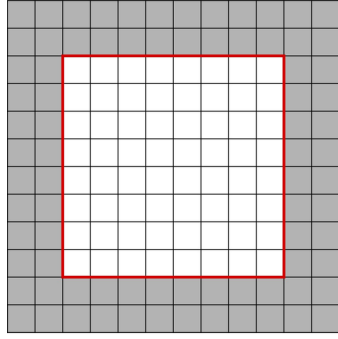
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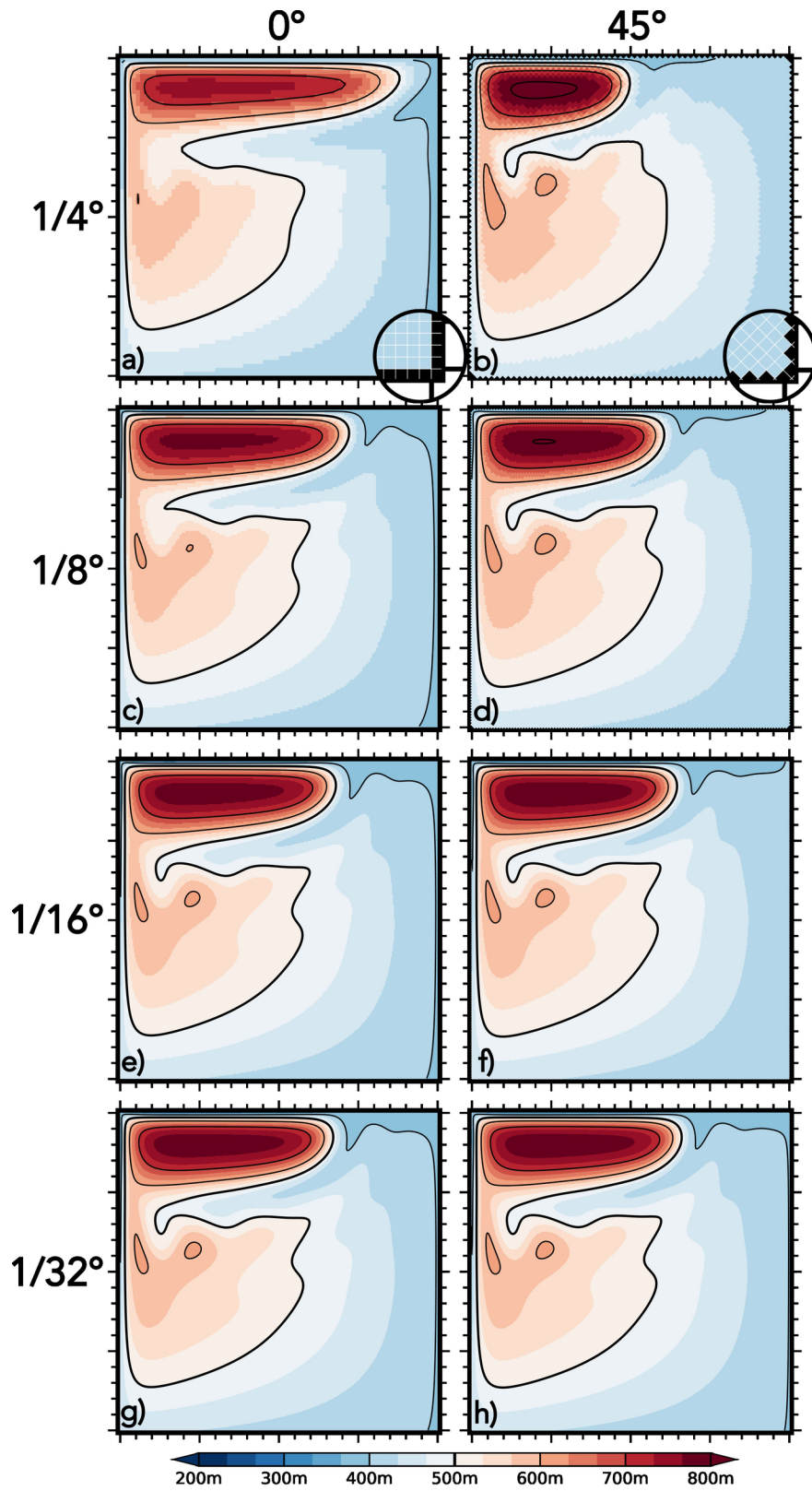
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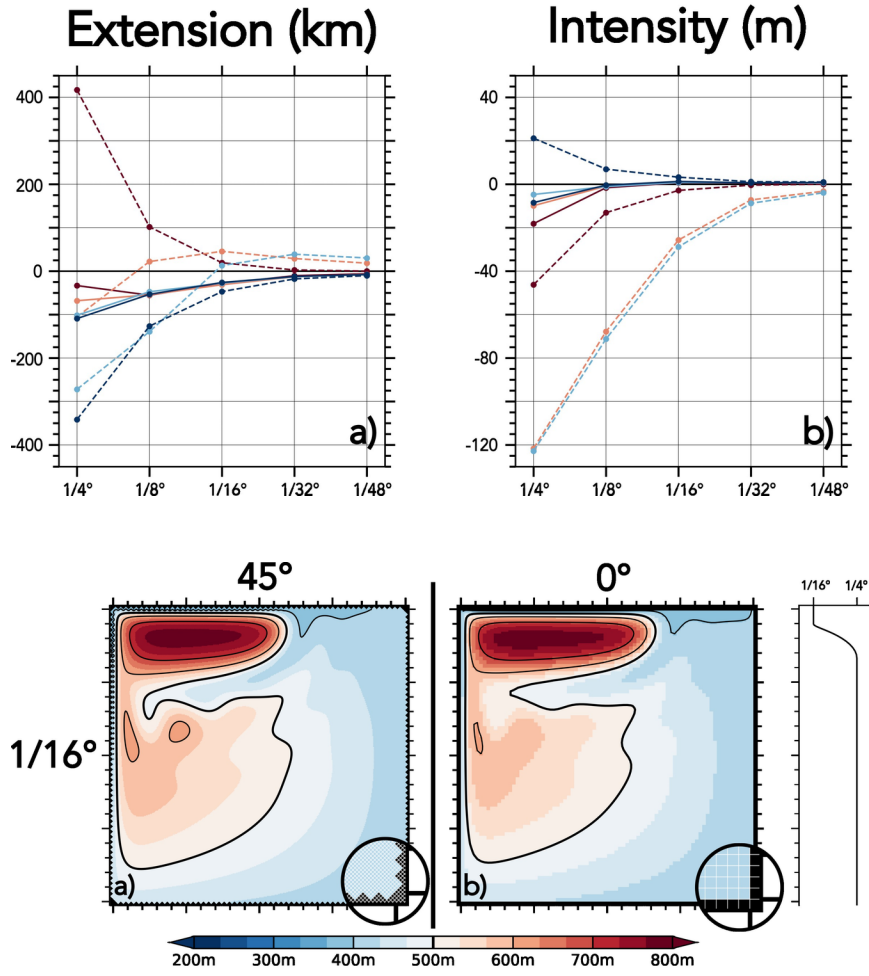
## Abstract

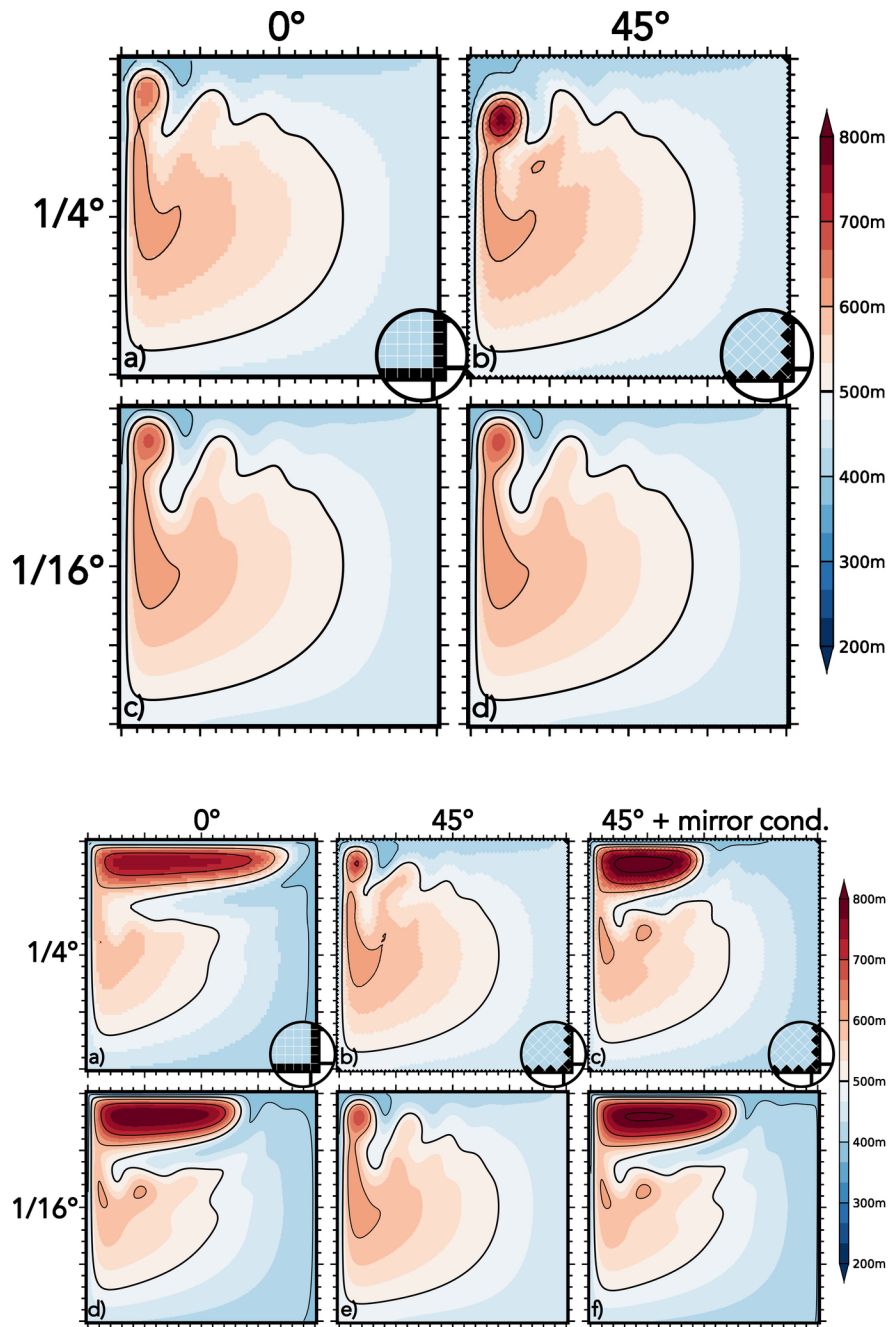
Coastlines in most ocean general circulation models are piecewise constant. Accurate representation of boundary currents along staircase-like coastlines is a long-standing issue in ocean modelling. Pioneering work by Adcroft and Marshall (1998) revealed that artificial indentation of model coastlines, obtained by rotating the numerical mesh within an idealized square basin, generates a *spurious form drag* that slows down the circulation. Here, we revisit this problem and show how this spurious drag may be eliminated. First, we find that *physical* convergence (i.e. the main characteristics of the flow are insensitive to the increase of the mesh resolution) allows simulations to become independent of the mesh orientation. An advection scheme with a wider stencil also reduces sensitivity to mesh orientation from coarser resolution. Second, we show that indented coastlines behave as straight and slippery shores when a true mirror boundary condition on the flow is imposed. This finding applies to both symmetric and rotational-divergence formulations of the stress tensor, and to both flux and vector-invariant forms of the equations. Finally, we demonstrate that the detachment of a vortex flowing past an outgoing corner of the coastline is faithfully simulated with exclusive implementation of impermeability conditions. These results provide guidance for a better numerical treatment of coastlines (and isobaths) in ocean general circulation models.

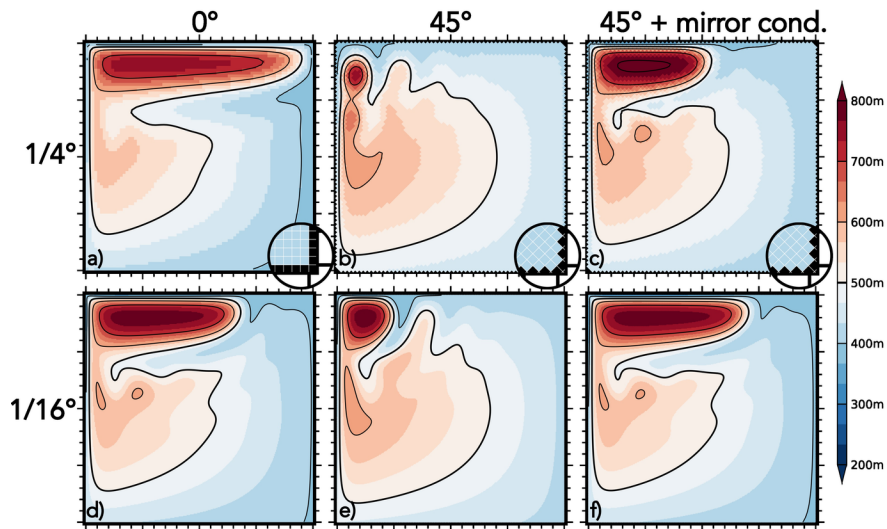
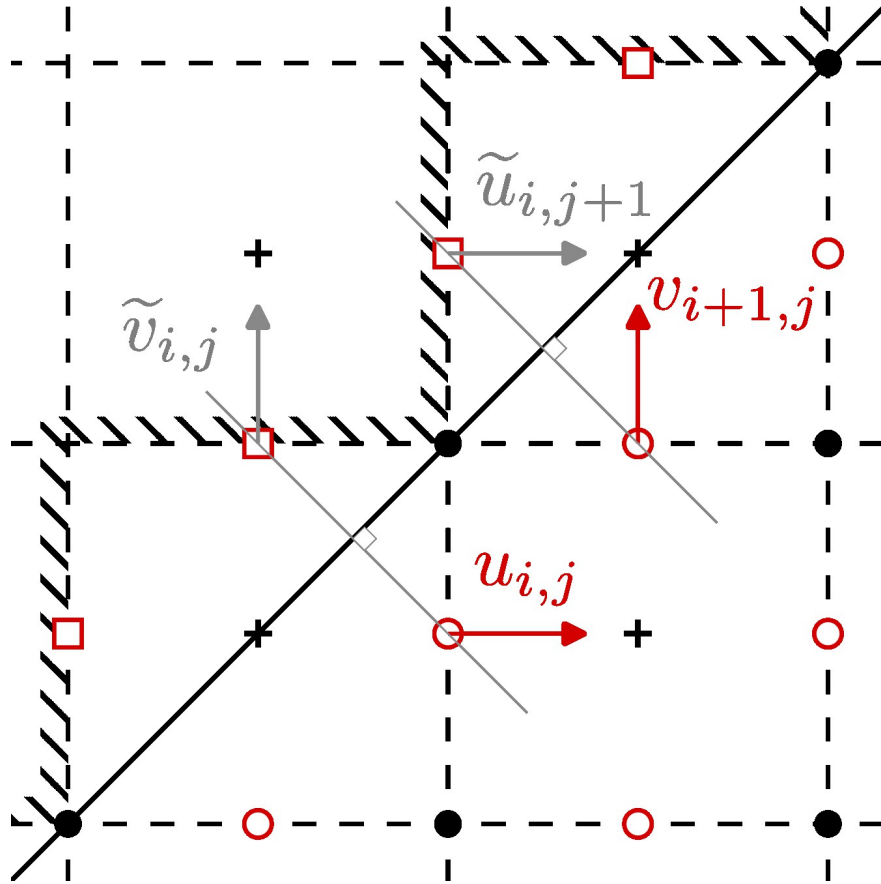




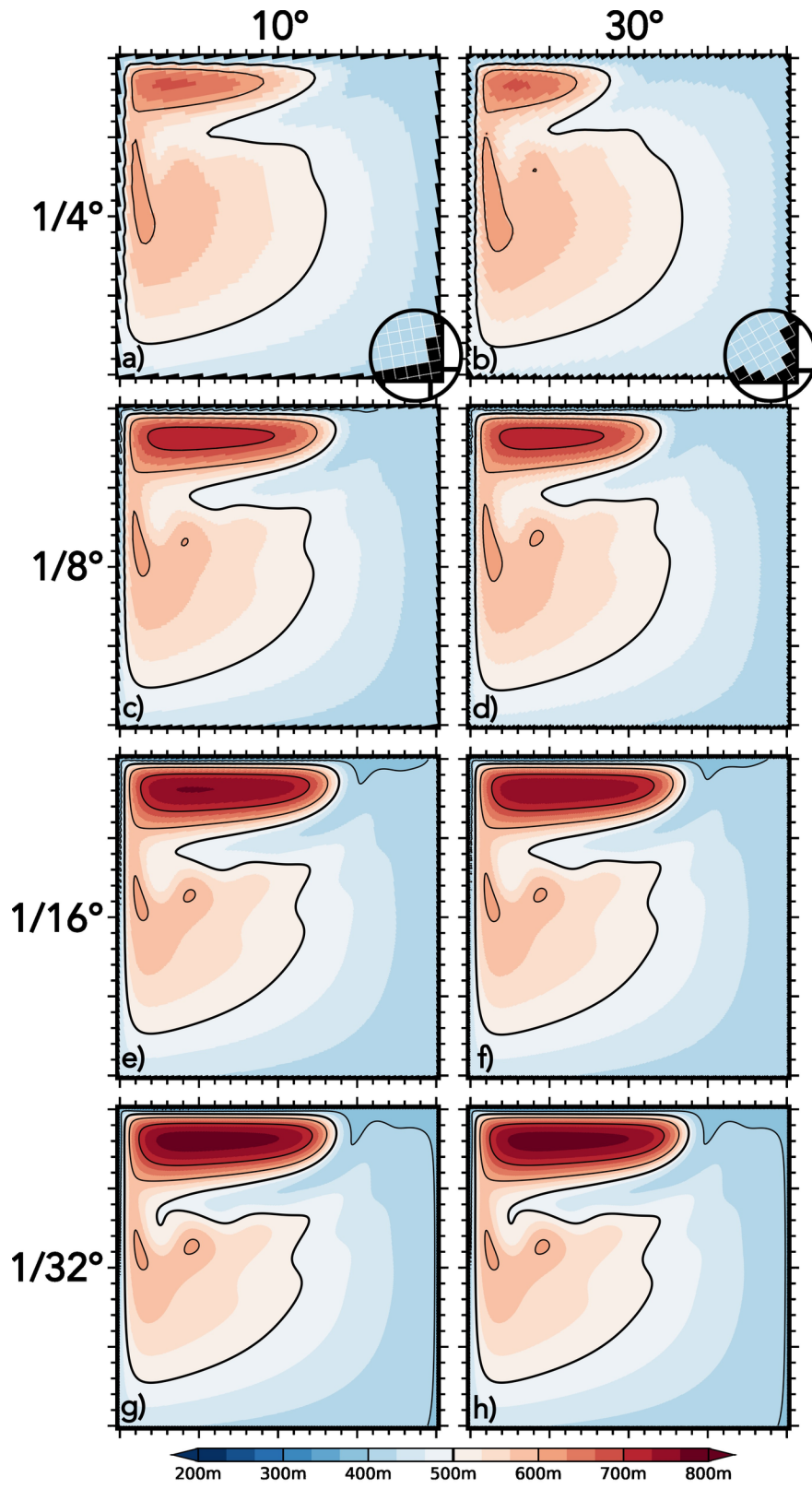


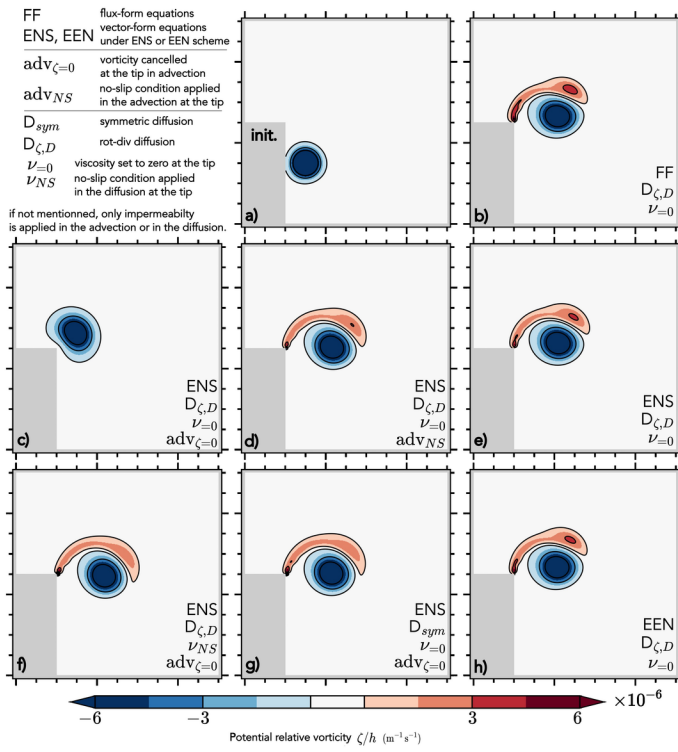
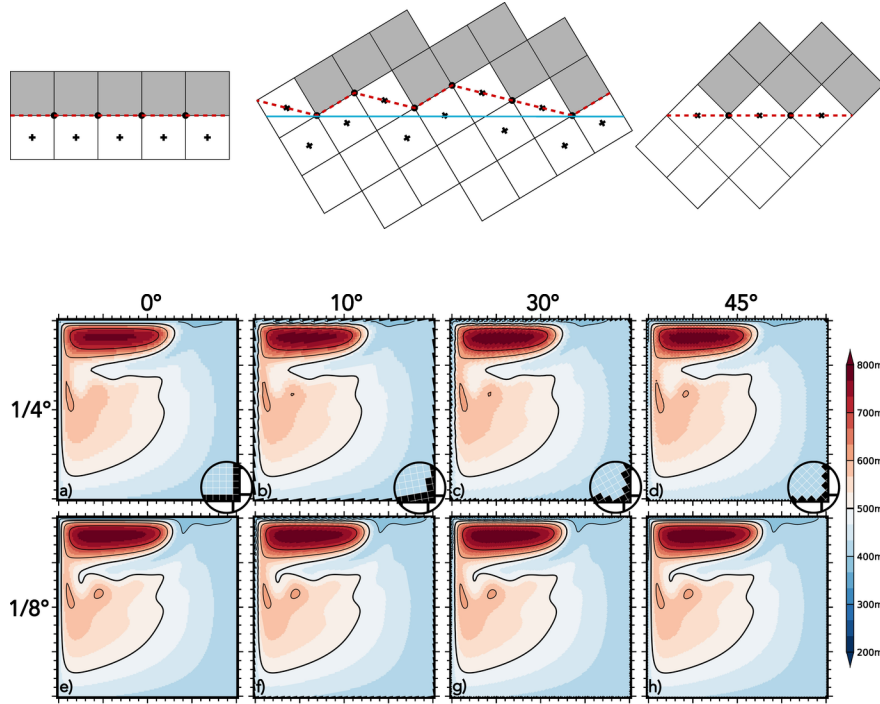


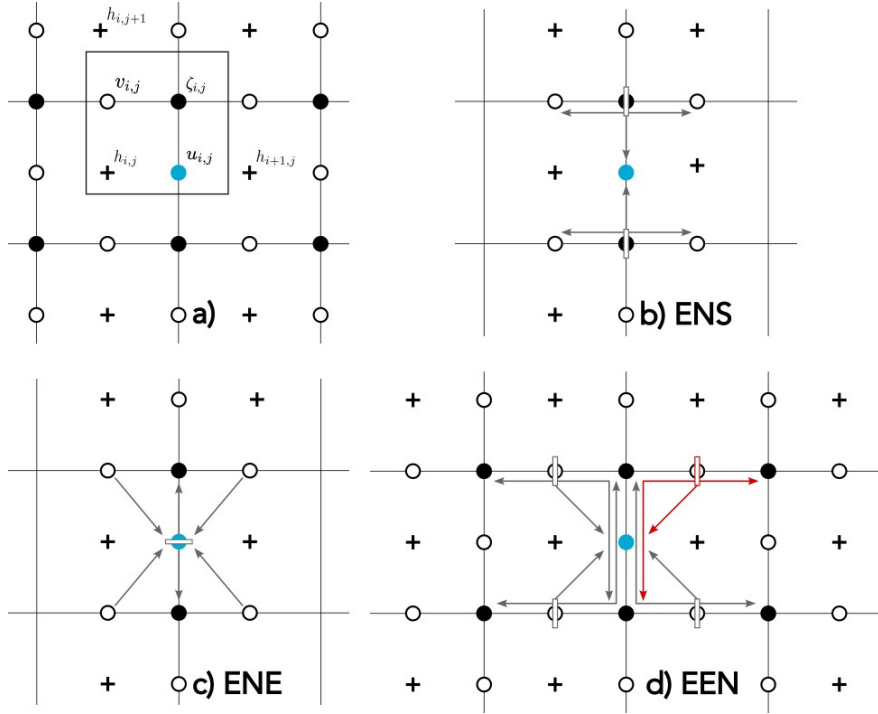












1           **Sliding or stumbling on the staircase: numerics of**  
2           **ocean circulation along piecewise-constant coastlines**

3           **Antoine-Alexis Nasser <sup>1,2</sup>, Gervan Madec <sup>1,2</sup>, Casimir de Lavergne <sup>2</sup>, Laurent**  
4           **Debreu <sup>1</sup>, Florian Lemarié <sup>1</sup>, Eric Blayo <sup>1</sup>**

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7           **Key Points:**

- 8           • Circulation within an idealized square basin is insensitive to mesh orientation and  
9           coastline indentation provided that physical convergence is achieved.  
10          • Indented coastlines behave as straight and slippery when a true mirror boundary  
11          condition is imposed on the flow.  
12          • The impact of a protruding corner in the coastline is faithfully simulated with ex-  
13          clusive implementation of impermeability conditions.

## Abstract

Coastlines in most ocean general circulation models are piecewise constant. Accurate representation of boundary currents along staircase-like coastlines is a long-standing issue in ocean modelling. Pioneering work by Adcroft and Marshall (1998) revealed that artificial indentation of model coastlines, obtained by rotating the numerical mesh within an idealized square basin, generates a *spurious form drag* that slows down the circulation. Here, we revisit this problem and show how this spurious drag may be eliminated. First, we find that *physical* convergence (i.e. the main characteristics of the flow are insensitive to the increase of the mesh resolution) allows simulations to become independent of the mesh orientation. An advection scheme with a wider stencil also reduces sensitivity to mesh orientation from coarser resolution. Second, we show that indented coastlines behave as straight and slippery shores when a true mirror boundary condition on the flow is imposed. This finding applies to both symmetric and rotational-divergence formulations of the stress tensor, and to both flux and vector-invariant forms of the equations. Finally, we demonstrate that the detachment of a vortex flowing past an outgoing corner of the coastline is faithfully simulated with exclusive implementation of impermeability conditions. These results provide guidance for a better numerical treatment of coastlines (and isobaths) in ocean general circulation models.

## Plain Language Summary

Most numerical models of the ocean represent real coastlines or undersea land-forms as a staircase-like boundary. This approximation is necessitated by the size and square shape of model grid cells, together with the need to separate ocean cells from land cells. Pioneering work by Adcroft and Marshall (1998) revealed that the resultant artificial indentations of the boundary exert a spurious drag that systematically impedes coastal flow. Here, we revisit this problem and show how this spurious drag may be eliminated. First, we find that having sufficiently fine spatial resolution to resolve the physical processes allows the model to be insensitive to coastal indentation. Second, we show that staircase-like coastlines behave as straight and slippery shores when a true mirror condition on the flow is imposed at the coast. Finally, we show how to faithfully simulate the natural detachment of a vortex past an outgoing corner of the coastline. In a nutshell, these results provide guidance for a better numerical representation of marine land-forms in numerical ocean models.

## 1 Introduction

Drawing the separation between land and ocean grid cells is a pre-requisite to building any ocean model configuration. This task requires non-trivial coarse-graining of the target (observed or idealized) bathymetry. Consider the example of the coastline in an ocean general circulation model (OGCM) with a structured numerical mesh (Fig. 1). The real coastline typically needs to be approximated by a piecewise constant boundary. This approximation involves the removal of sub-grid-scale features of the real coast, the sharpening of real bends into corners, and the creation of artificial steps due to misalignment between the real coastline and the numerical mesh (Fig. 1). This transformation of the real coastline carries important consequences for the simulated boundary currents, and therefore for the simulated large-scale circulation (Ezer, 2016). These consequences depend not only on the design of the model coastline, but also on other numerical choices such as lateral boundary conditions (Adcroft & Marshall, 1998; Shchepetkin & O'Brien, 1996). However, little guidance currently exists to make the most appropriate numerical choices for the chosen application, and it is often unclear to what extent the model boundary behaves as the originally intended coastline.

Using an idealized square basin configuration and various orientations of the numerical mesh, Adcroft and Marshall (1998) (hereafter AM98) first pointed out that artificial indentation of a shoreline systematically causes a *spurious form drag* that slows down the coastal flow. AM98 showed that this drag depends on the numerical formulation of diffusive stresses but always exists, including when a 'free slip' boundary condition is implemented. Subsequent investigations within similar configurations showed that the response of the flow to staircase-like coastlines depends on the advection and diffusion schemes (Dupont et al., 2003). These studies also suggested that the spurious drag may persist at higher resolution because coastal steps increase in number as they become smaller (Adcroft & Marshall, 1998; Dupont et al., 2003). Griffiths (2013) argued that the adverse effects of an indented coastline could be handled by implementing the right impermeability condition corresponding to the real coastline. However their findings apply only to travelling Kelvin waves, and it remains unclear how the long-standing issue of spurious form drag should be addressed in OGCMs.

The response to an isolated, large-scale bend in the coastline also deserves attention because real swerves of the shoreline can have pronounced impacts on boundary currents (Magaldi et al., 2008; Warner & MacCready, 2009), and because such swerves may be sharpened in their discrete model representation. Deremble et al. (2016) showed that an outgoing corner in the coastline induces retroreflection of a coastal stream. Their findings echo those of Dupont and Straub (2004), who varied the curvature of a wavy wall configuration and found that opposite vorticity filaments were created at the coastal tips and caused detachment of the flow. In both studies, only some numerical formulations enable to capture the expected physical behaviour. The reasons for this strong sensitivity to numerical formulations are not fully elucidated.

Here, we address these questions using idealized model configurations. We first reproduce the configuration of AM98 (section 2) and demonstrate that the circulation is insensitive to the mesh orientation—and related coastline indentation—provided that the model is *physically* converged (section 3). Next, we expose how a *true* mirror boundary condition on the flow renders the indented coastline as slippery as a straight, free-slip frontier (section 4). The numerical representation of an isolated, large-scale step in the coastline is investigated in section 5 using the configuration of Deremble et al. (2016). We summarize our findings and recommendations in section 6.

## 2 Methods

### 2.1 Configuration

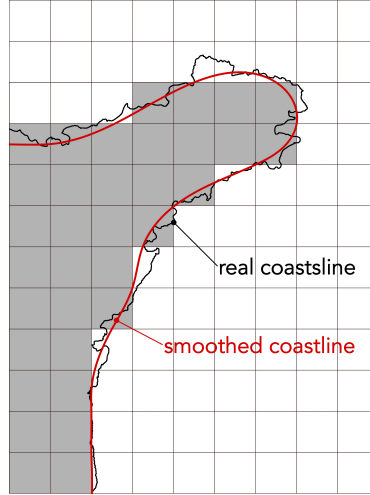
We consider the same problem as AM98: a shallow water model with reduced gravity is solved in a square basin of size  $L = 2000$  km. An anticyclonic wind stress  $\tau = (-\tau_0 \cos(\pi y/L), 0)$  is forcing the active layer. The coordinate system  $(x, y)$  has its origin in the lower left corner of the square basin. Equations in vector invariant form read

$$\partial_t h + \text{div}(h\mathbf{u}) = 0 \quad (1)$$

$$\partial_t \mathbf{u} + \left( \frac{f + \zeta}{h} \right) \mathbf{k} \times h\mathbf{u} + \nabla \frac{1}{2}(\mathbf{u} \cdot \mathbf{u}) = -g' \nabla h - r\mathbf{u} + \mathbf{D}_\nu + \frac{\tau}{\rho_0 h} \quad (2)$$

where  $h$  is the active layer thickness,  $\mathbf{u} = (u, v)$  represents the horizontal velocity vector,  $\zeta = \mathbf{k} \cdot (\nabla \times \mathbf{u})$  the relative vorticity,  $\mathbf{k}$  the vertical unit vector,  $f$  the Coriolis parameter,  $g'$  the reduced gravity,  $r$  the friction coefficient,  $\mathbf{D}_\nu$  the diffusion term and  $\rho_0$  the density.

Two formulations of the diffusion term ( $\mathbf{D}_\nu$  where  $\nu$  is the lateral viscosity) are considered, that will lead to different discretisations: the rotational-divergence (hereafter called 'rot-div') form (Madec et al., 1991) and the symmetric form (Griffies & Hallberg, 2000). The rot-div form is calculated as  $\nabla(\nu\chi) - \frac{1}{h}\nabla \times (\nu h\zeta)$  where transport diver-



**Figure 1.** Schematic illustrating the coarse-graining of a coastline on a structured mesh. The real coastline (thin brown line) presents an infinite number of details that are too small, relative to the size of grid cells (thin black), to be represented by the numerical model. It must be averaged over at least two grid points to avoid noise generation, resulting in a smooth coastline (red curve). Then the land mask (grey cells) is defined from the projection of the smooth coastline onto the grid, creating artificial abrupt changes in coastline direction.

108 gence  $\chi$  is defined as  $\chi = \frac{1}{h}(\partial_x(hu) + \partial_y(hv))$ . The symmetric form is expressed in-  
 109 stead as  $\frac{1}{h}\nabla \cdot (\nu h \sigma_{sym})$  (Gent, 1993) where

$$\sigma_{sym} = \begin{pmatrix} D_T & D_S \\ D_S & -D_T \end{pmatrix} \quad \begin{aligned} D_T &= \partial_x u - \partial_y v \\ D_S &= \partial_x v + \partial_y u \end{aligned} \quad (3)$$

110 A viscous boundary condition (see section 2.3) is applied at the wall of the basin by en-  
 111 forcing the values of  $\chi$  and  $\zeta$  in the 'rot-div' form and  $D_S$  and  $D_T$  in the symmetric form.  
 112 In the discrete form, the same viscous boundary condition can thus be written differently  
 113 depending on the formulation of  $\mathbf{D}_\nu$ .

114 We will also perform simulations using the flux form of the shallow water equations.  
 115 In this case, equation (2) rewrites as

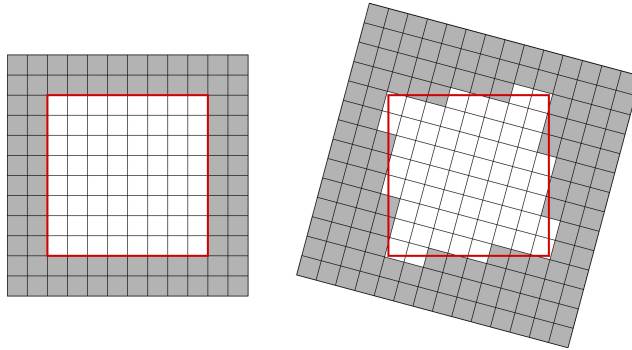
$$\partial_t(h\mathbf{u}) + \nabla \cdot (h\mathbf{u} \otimes \mathbf{u}) + f(\mathbf{k} \times h\mathbf{u}) = -g'h\nabla h - rh\mathbf{u} + h\mathbf{D}_\nu + \frac{\tau}{\rho_0} \quad (4)$$

## 116 2.2 Numerical discretisation

117 We use for the numerical simulations the shallow water option (SWE) introduced  
 118 in the version 4.2 of the NEMO general circulation model (Madec & NEMO System Team,  
 119 2022). The initial state is at rest with  $h_0 = 500$  m the uniform thickness of the active  
 120 layer. Experiments are integrated over 25 years to achieve a steady state on  $h$ . All the  
 121 simulations presented here use the Leap Frog Robert-Asselin time-stepping scheme (Leclair  
 122 & Madec, 2009). In contrast, AM98 used the third order Adams-Bashforth (III) scheme.  
 123 We do not expect the *spurious form drag* to be sensitive to the order of accuracy of the  
 124 time-stepping scheme. We performed sensitivity tests with the third order Runge-Kutta  
 125 method and found no noticeable differences in the equilibrium solutions (not shown).

126 Following AM98, we employ a Cartesian mesh with uniform resolution. Spatial res-  
 127 olution will be varied from  $1/4^\circ$  to  $1/32^\circ$ . In the reference case, the mesh is aligned with

128 the edges of the square basin, so that the model coastlines are perfectly straight (Fig. 2a).  
 129 In the rotated cases, the mesh is oriented at some angle (up to 45°) with respect to the  
 130 physical coastline, so that steps punctuate the model coastlines (Fig. 2b). Hence, the com-  
 131 parison of aligned and misaligned cases allows us to assess potential drag effects of arti-  
 132 ficial coastal indentation. Both physics (e.g., wind stress, Coriolis parameter) and grid-  
 133 cell size are kept unchanged when the mesh turns in relation to the physical basin, so  
 134 that only the shape of the model coastline changes. Physical and numerical parameters  
 135 are similar to AM98 and listed in Appendix A.



**Figure 2.** Effects of rotating the numerical mesh within an idealized square basin. The mesh is represented by the black lines. Land is shaded in grey, the oceanic domain in white. The red thick line represents the physical coastline. On the left, the grid is aligned with the basin. On the right, the mesh is rotated so that artificial steps appear in the model shoreline. This figure is adapted from AM98.

136 All the simulations solved in vector-invariant form and shown in this study use the  
 137 potential-*enstrophy* conserving vorticity scheme (called ENS) (Sadourny, 1975), except  
 138 in section 4.4 where we tested the scheme (called EEN) developed by Sadourny which  
 139 conserves kinetic energy and—provided there is no divergence in the flow—potential *en-*  
 140 *strophy* (Burrige & Haseler, 1977). Tests showed that solutions using the kinetic en-  
 141 ergy conserving advection scheme (called ENE) (Sadourny, 1975) behave very similarly  
 142 to the ones shown here with the ENS scheme. Note that AM98 used the ‘vorticity’ scheme  
 143 given by Bleck and Boudra (1986), which is similar to ENS except for the presence of  
 144 the vertical scale factor  $h$  at the numerator and denominator in ENS (as required to ef-  
 145 fectively conserve a discrete expression of potential *enstrophy* (Sadourny, 1975)). Ad-  
 146 ditional tests showed that ‘vorticity’ and ENS schemes yield solutions that are slightly  
 147 different but behave similarly in the presence of staircase-like coastlines. The expression  
 148 of the various discretisation schemes is given in Appendix B.

149 This configuration assumes an idealistic topography made of vertical walls and a  
 150 flat bottom. However, the effective topography can be distorted by the traditional es-  
 151 timation of the thickness  $h$  at U, V and F boundary nodes. In the open ocean on a C-  
 152 grid,  $h$  is naturally defined at the center of each cell and calculated as a two-point (or  
 153 four-point) average at velocity (or vorticity) nodes, for discrete conservation of prop-  
 154 erties. At the boundary, using the same definition and averaging with masked  $h$  can be  
 155 equivalent to imposing sub-grid-scale topography, and can reinforce topostrophy. Tests  
 156 under ENS, ENE and EEN advection schemes showed that simulations are sensitive to  
 157 the treatment of  $h$  at the boundary (not shown), especially with EEN. We chose to cal-  
 158 culate boundary  $h$  as the masked average of the surrounding masked heights, in order  
 159 to actually represent vertical walls.



## 2.3 Boundary condition

The system of equations (1)-(2) or (1)-(4) requires two horizontal boundary conditions to be well posed. The standard conditions on a solid wall consist of the impermeability condition  $\mathbf{u} \cdot \mathbf{n} = 0$ , with  $\mathbf{n}$  the coast-normal unit vector, and of a slipperiness condition that is a simplified representation of the effects of a viscous boundary layer.

AM98 considered two types of slipperiness condition (hereafter called *viscous boundary condition*): no-slip and free-slip. No-slip requires the tangential speed to be zero at the boundary which is  $\mathbf{u} \cdot \mathbf{t} = 0$ , with  $\mathbf{t}$  the coast-tangential unit vector. Combined with the impermeability condition ( $\mathbf{u} \cdot \mathbf{n} = 0$ ), no-slip thus entails  $\mathbf{u} = 0$  at the border. By contrast, free-slip is the absence of shearing and hence dissipation at the coast. It is defined as the absence of coast-normal shear at the border  $\partial \mathbf{u} / \partial \mathbf{n} = 0$  and can be interpreted as a mirror condition across the border, where virtual flow within land mirrors the oceanic flow.

It is possible to deduce from the viscous boundary condition the value of the vorticity at the boundary. In their section 2, Verron and Blayo (1996) write the vorticity at an impermeable boundary regular enough to define the local vectors  $(\mathbf{n}, \mathbf{t})$ :

$$\zeta = \left( \kappa \mathbf{u} - \frac{\partial \mathbf{u}}{\partial \mathbf{n}} \right) \cdot \mathbf{t} \quad (5)$$

with  $\kappa$  the local curvature of the coastline. When the coastline is straight ( $\kappa$  tends to 0), no-slip implies  $\zeta = -(\partial \mathbf{u} / \partial \mathbf{n}) \cdot \mathbf{t}$  while free-slip reduces to  $\zeta = 0$ . When dealing with a numerical model, depending on the numerical grid and discretisation schemes, the evaluation of a quantity on a grid-point near the boundary may require the use of another quantity at the boundary. It is for instance the case of  $\zeta$  in the non-linear term in equation (2), and the case of the rot-div formulation of the diffusive term. The boundary conditions therefore provide this information.

## 3 The need for physical convergence

### 3.1 Influence of resolution

The numerical parameters chosen by AM98 are those of an eddy-permitting OGCM: horizontal resolution is  $1/4^\circ$ , corresponding to a grid spacing  $\Delta x = \Delta y = 25$  km. At this resolution, neither the internal radius of deformation  $R$  nor the boundary layers are properly resolved throughout the basin (Hallberg, 2013). Indeed, in the initial state, the deformation radius is about 35 km at the northern boundary, so that  $\Delta x \sim R$ . It is therefore expected that increasing spatial resolution while keeping the same values for physical parameters (i.e. viscosity  $\nu$  and friction  $r$ ) will give solutions that differ from the reference solution of AM98.

Figure 3 shows the steady solution using the vector-invariant form of equations with the ENS advection scheme, the rot-div stress tensor and the free-slip boundary condition. From top to bottom, spatial resolution increases successively from  $1/4^\circ$  to  $1/8^\circ$ ,  $1/16^\circ$  and  $1/32^\circ$ . The mesh is either aligned with the coastline (left column) or rotated by  $45^\circ$  (right column). The  $45^\circ$  angle creates an artificially indented coastline, as illustrated in Figure 2. Shading and isolines depict the layer thickness  $h$ . In all cases, we obtain an anticyclonic (clockwise) circulation composed of two connected cells: a relatively weak Sverdrup interior intensified at the western boundary in the southern 1500 km of the domain, and an inertial recirculation sub-gyre confined to the northernmost 500 km. We find that some high resolution simulations vacillate in the eastern part of the recirculation cell; that is, the simulated flow displays an oscillatory behaviour in this region over a timescale of about 18 months. Such vacillation is thought to occur in a very restrained parameter range (Holland & Haidvogel, 1981). To ensure consistent comparisons, all shown free-slip solutions are averaged over the final 5 years.

207 Panels (a) and (b), corresponding to a resolution of  $1/4^\circ$ , reproduce the results of  
 208 AM98. At this resolution, the inertial recirculation cell extends all the way to the east-  
 209 ern boundary when the mesh is aligned with the physical coastline, whereas it occupies  
 210 only the western half of the basin when the mesh is rotated by  $45^\circ$ . Hence, the free-slip  
 211 circulation seems to dwindle as the mesh turns. However, the latter statement is no longer  
 212 true with a fine spatial resolution. At  $1/32^\circ$ , the two solutions are virtually identical (Fig. 3g,h).  
 213 As apparent in the evolution of the shape and maximum of the northern recirculation,  
 214 both aligned (Fig. 3a,c,e,g) and turned (Fig. 3b,d,f,h) solutions appear to tend toward  
 215 the same state. Besides, solutions at  $1/16^\circ$  look very similar to the ones obtained at  $1/32^\circ$   
 216 meaning that the solutions are *physically* converged from  $1/16^\circ$  (i.e. the main charac-  
 217 teristics of the flow are almost insensitive to the increase of the mesh resolution).

218 The inertial recirculation sub-gyre is the most sensitive feature to resolution and  
 219 mesh orientation. Hence, we choose to quantify the model sensitivity to the rotation and  
 220 resolution of the grid using two diagnostics: the zonal extension of the inertial cell, and  
 221 its overall intensity (calculated as the maximum of the active layer thickness within this  
 222 cell). Figure 4 compares these diagnostics at different orientations of the mesh as a func-  
 223 tion of resolution. The extension (Fig. 4a) and intensity (Fig. 4b) of the rotated (dark  
 224 blue dotted line) and aligned (red dotted line) solutions are very similar at  $1/16^\circ$  and  
 225 continue to get closer at the finest resolutions. Both characteristics rapidly tend toward  
 226 those of the  $1/48^\circ$  solution.

227 Figures 3 and 4 thus demonstrate that (i) the reference aligned solution should be  
 228 the one obtained at  $1/16^\circ$  resolution (Fig. 3e - now taken as the reference) instead of  $1/4^\circ$   
 229 (Fig. 3a); and (ii) the simulated circulation is insensitive to staircase-like coastlines pro-  
 230 vided that the model is physically converged.

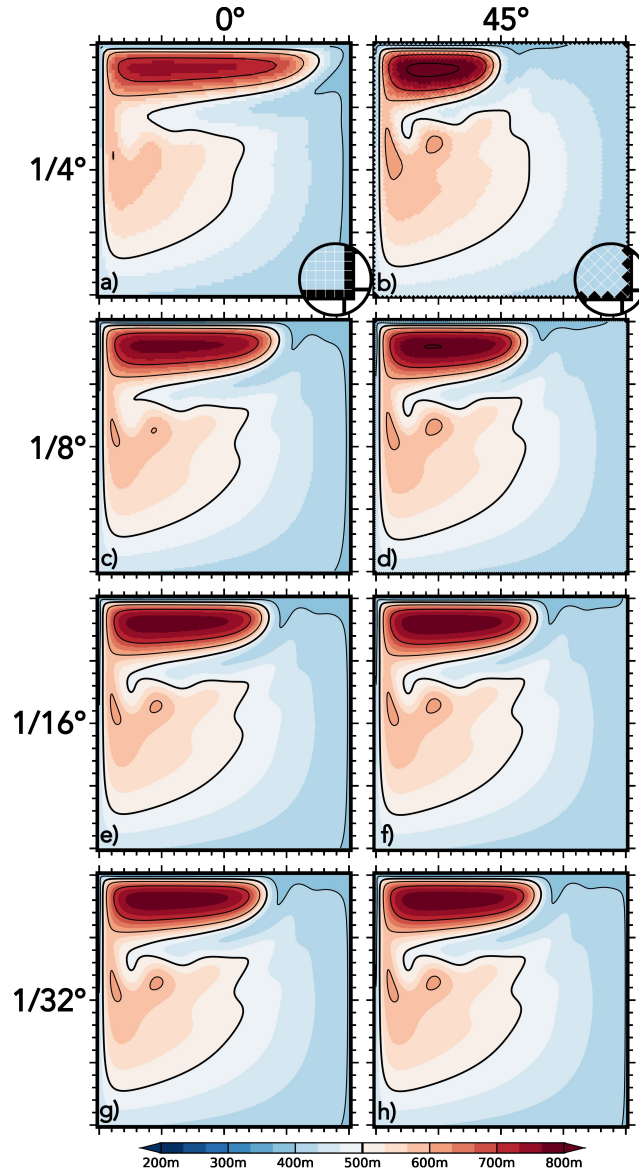
### 231 3.2 Preserving $1/4^\circ$ staircase steps

232 When increasing spatial resolution, the size of the coastal steps decreases as their  
 233 number increases along the coastline. Is the insensitivity to mesh orientation at high res-  
 234 olution due to the smaller step size? To answer this question, we performed  $1/16^\circ$  sim-  
 235 ulations with exaggerated coastal indentation (identical to its shape at  $1/4^\circ$  resolution).  
 236 In this way, we maintain the broken aspect of the shoreline unchanged while reducing  
 237 the grid spacing. We find that the final free-slip solution (Fig. 5a) is insensitive to the  
 238 larger steps: it is almost identical to the reference aligned solution (Fig. 3e). Hence, phys-  
 239 ical convergence allows the simulated circulation to be insensitive to mesh orientation  
 240 and coastal indentation, irrespective of the size of coastal indents.

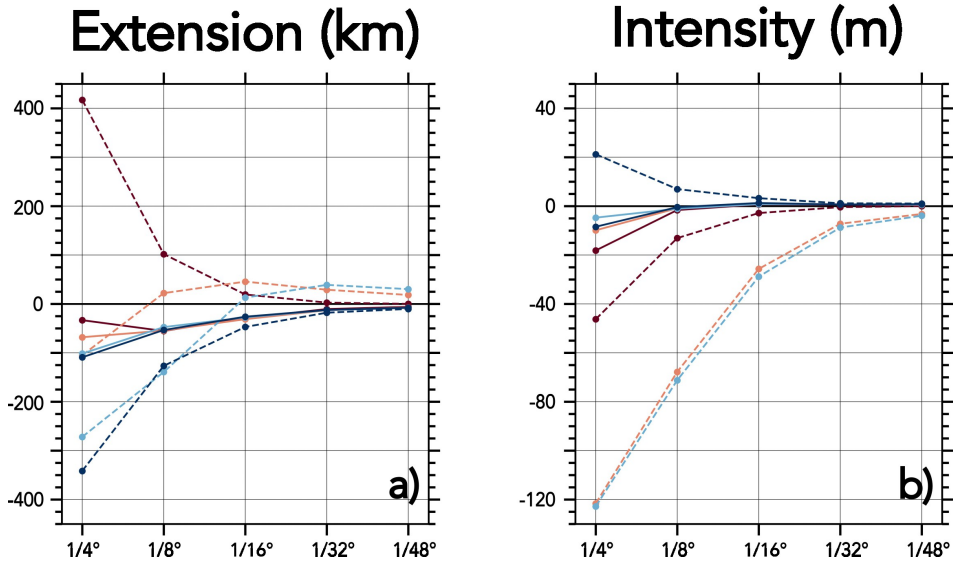
### 241 3.3 Condition for physical convergence

242 The zonal development of the northern recirculation cell results from a non-linear  
 243 interaction between the sub-gyre and its mirror recirculation induced by the free-slip bound-  
 244 ary condition (e.g. Fig. 1 of Cessi (1991)). The northern region is also where the defor-  
 245 mation radius is the smallest. This radius is about 35 km at the northern boundary in  
 246 the initial state and it decreases over time because the westerly wind stress causes up-  
 247 welling along the northern coast (thus shrinking  $h$ ). Therefore, the deformation radius  
 248 near the northern boundary is never properly resolved on a  $1/4^\circ$  mesh. To assess whether  
 249 resolution of the deformation radius along the northern frontier is key to obtain phys-  
 250 ical convergence, we performed experiments where the aligned,  $1/4^\circ$  mesh is refined in  
 251 a narrow northern band. Specifically, we reduce the meridional grid spacing from  $1/4^\circ$   
 252 300 km offshore to  $1/16^\circ$  at the northernmost grid cells.

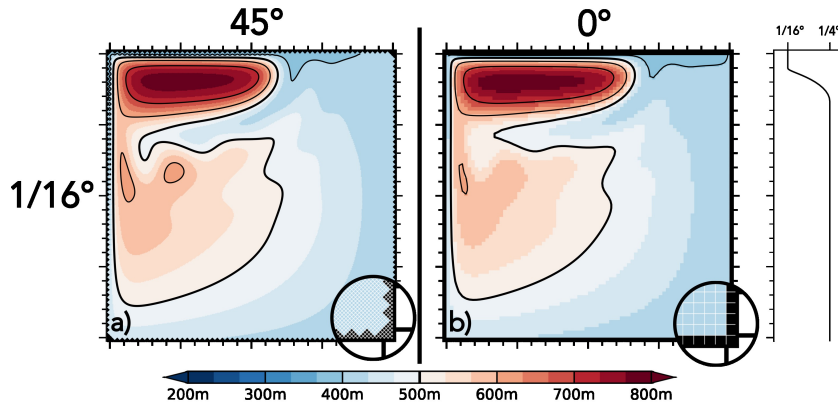
253 Figure 5b shows that refining the meridional grid spacing near the northern bound-  
 254 ary contracts the inertial recirculation sub-gyre (compare with Fig. 3a). Local grid re-  
 255 finement thus suffices to bring this recirculation cell closer to the physically converged



**Figure 3.** Free-slip solutions solved in vector-invariant form under ENS using the rot-div stress tensor. Shading and isolines (in black) depict the active layer thickness  $h$  (isoline 500 m is thickened).  $x$  and  $y$  main ticks are 500 km apart. In the left column (a,c,e,g), the mesh is aligned with the borders of the basin. In the right column (b,d,f,h), the mesh is turned at  $45^\circ$  relative to the borders, as illustrated in the bottom-right zooms. The spatial resolution increases from top to bottom: (a,b)  $1/4^\circ$ , (c,d)  $1/8^\circ$ , (e,f)  $1/16^\circ$  and (g,h)  $1/32^\circ$ .



**Figure 4.** Extension and intensity of the free-slip solutions solved in vector-invariant form using the rot-div stress tensor. The extension of the inertial recirculation cell (a) is the zonal length (in km) measured between the two most distant points on the 500 m isoline of upper-layer  $h$ . Its intensity (b) is the maximum (in m) of the active layer thickness  $h$ . Both quantities are plotted as a function of mesh resolution, and are shown as a difference relative to values from the finest aligned solution (1/48°) resolved under ENS (these values are 1311 km and 794 m). Dotted lines (solid lines) depict solutions solved under ENS (EEN) scheme. The sequence of colors (red, orange, light blue and dark blue) marks the orientation of the mesh (aligned, 10°, 30° and 45°, respectively).

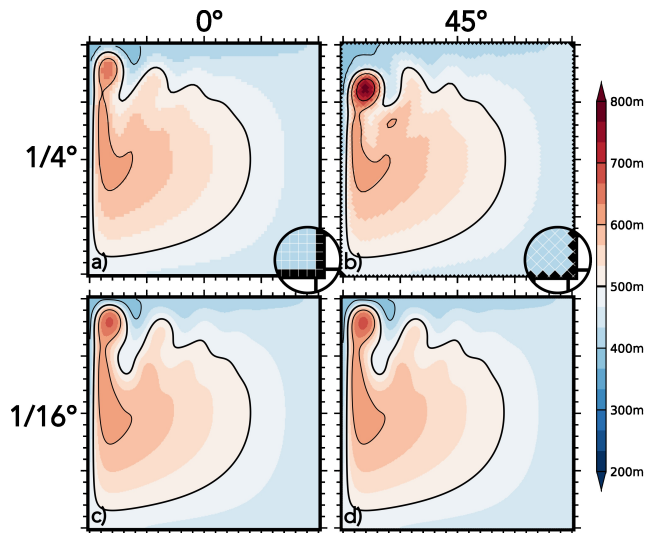


**Figure 5.** Free-slip solution solved in vector-invariant form under ENS using the rot-div stress tensor. Shading and isolines (in black) depict the active layer thickness  $h$  (isoline 500 m is thickened).  $x$  and  $y$  main ticks are 500 km apart. a) The mesh is oriented at 45° and the spatial resolution 1/16° but coastal steps remain of size corresponding to 1/4° resolution. b) The mesh is aligned and uniformly at 1/4° except within 300 km of the north coast where the meridional spatial resolution is refined from 1/4° to 1/16° (as illustrated in the right-end panel).

256 solution (Fig. 3e) than to the initial  $1/4^\circ$  solution (Fig. 3a). Analogous sensitivity tests  
 257 with local mesh refinement close to the western, southern or eastern coast showed very  
 258 little impact on the solution (not shown). Resolving the northern deformation radius,  
 259 hence the mirror interaction at the north boundary, appears to be the key ingredient for  
 260 physical convergence. We infer that a minimum of four grid points per deformation ra-  
 261 dius is necessary.

### 262 3.4 No-slip boundary condition

263 All experiments up to here have been conducted using the free-slip boundary condi-  
 264 tion. The no-slip boundary condition may be expected to generate weaker circulation  
 265 cells and weaker sensitivity to mesh orientation (AM98). Figure 6 shows no-slip solu-  
 266 tions using aligned (left) and  $45^\circ$ -rotated (right) meshes, at  $1/4^\circ$  (top) and  $1/16^\circ$  (bot-  
 267 tom) resolution. Under no-slip, there is no large recirculation cell in the northern part  
 268 of the domain and the zonal transport is two times weaker there. Instead, no-slip solu-  
 269 tions converge in time toward an oscillating small gyre nestled in the north-west corner.  
 270 At  $1/4^\circ$  resolution, a  $45^\circ$  rotation of the mesh causes the small gyre to shift south by about  
 271 200 km (Fig. 6a,b). At  $1/16^\circ$  resolution, this sensitivity vanishes (Fig. 6c,d). Hence, in-  
 272 sensibility to mesh orientation is again achieved provided that spatial resolution is suf-  
 273 ficiently fine. The same conclusion holds when no-slip is applied in the symmetric stress  
 274 tensor formulation (not shown).

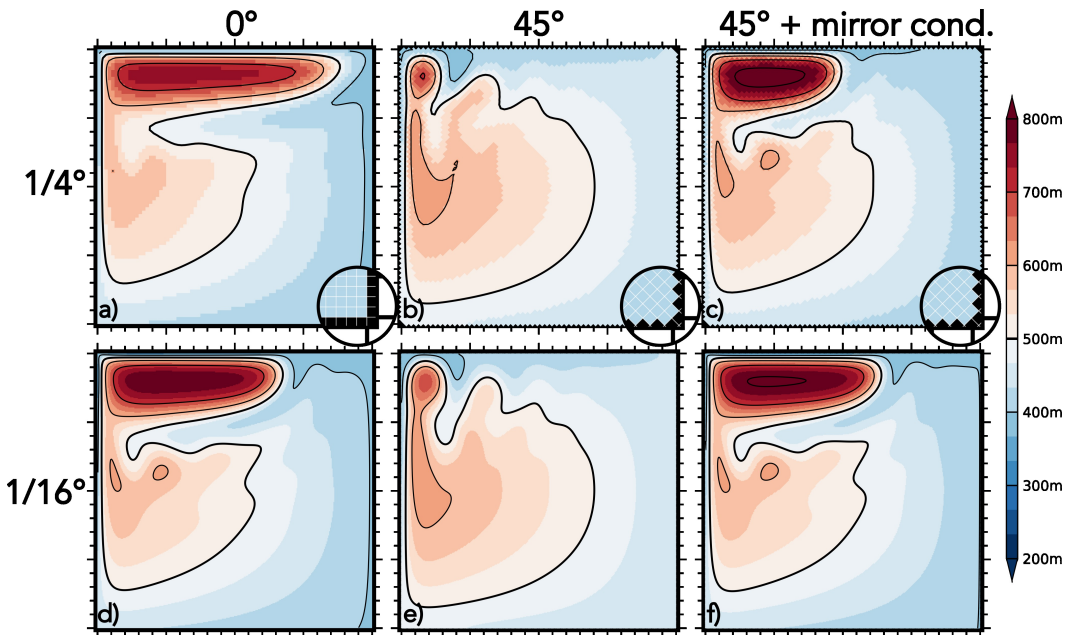


**Figure 6.** No-slip solutions solved in vector-invariant form under ENS with the rot-div stress tensor. Shading and isolines (in black) depict the active layer thickness  $h$  (isoline 500 m is thickened).  $x$  and  $y$  main ticks are 500 km apart. In the left column, the mesh is aligned with the physical coastline. In the right column, the mesh is  $45^\circ$ -turned. Spatial resolution is  $1/4^\circ$  in the top row and  $1/16^\circ$  in the bottom row. Because the final state steadily oscillates over periods of roughly 5 months (linked to the generation of Rossby waves), the shown solution is extracted by averaging over many periods.

## 4 True mirror boundary condition achieves slipperiness

### 4.1 Symmetric stress tensor

A major caveat pointed out in AM98 is the extreme sensitivity to mesh orientation of free-slip solutions that resort to the symmetric viscous stress tensor. Figure 7 shows solutions using the free-slip boundary condition and the symmetric stress tensor. When the mesh is aligned with the physical coastline (Fig. 7a,d), the model behaviour is qualitatively similar to what was obtained using the rot-div stress tensor (Fig. 3a,e). However, when the mesh is rotated by  $45^\circ$  (Fig. 7b,e), both coarse and fine solutions change starkly and resemble no-slip solutions (Fig. 6) as pointed out in AM98. Hence, the free-slip boundary condition combined with the symmetric stress tensor appears to act as no-slip when the mesh is oriented at  $45^\circ$ , which suggests that its implementation is not suitable. We next examine how to recover a true free-slip condition on the  $45^\circ$ -turned mesh using the symmetric tensor.



**Figure 7.** Free-slip solutions solved in vector-invariant form under ENS using the symmetric stress tensor. Shading and isolines (in black) depict the active layer thickness  $h$  (isoline 500 m is thickened).  $x$  and  $y$  main ticks are 500 km apart. Spatial resolution is  $1/4^\circ$  in the top row and  $1/16^\circ$  in the bottom row. In the left column, the mesh is aligned with the physical coastline. In the middle and right columns, the mesh is  $45^\circ$ -turned. The first two columns use the traditional free-slip implementation in the symmetric tensor ( $D_S = 0$ ), whereas the third column uses the mirror condition proposed in equations (6) and (7).

When the mesh is not aligned with the physical coastline, the original straight boundaries become broken or indented in the model. To faithfully represent boundary flows, boundary conditions should be written with respect to the original, physical land-ocean frontier. For example, at  $45^\circ$ , the physical shoreline goes through T and F nodes (Fig. 8). A free-slip condition is a mirror condition at the coast (e.g., Shchepetkin and O'Brien (1996)). Therefore, we should set virtual flows on 'ghost nodes' (AM98), within land grid cells, that are symmetric to the ocean flows with respect to the physical shoreline. These virtual velocities are only used to evaluate the lateral friction term along the border. The

**Table 1.** Proposed viscous boundary conditions for a uniform and 45°-turned mesh. The table gives the modifications of quantities used in either tensor to be implemented at the coast, relative to the trivial case of zero virtual velocities. The top row describes quantities of the rot-div tensor while the bottom row describes quantities of the symmetric tensor, as defined in section 2.1.

	free-slip	no-slip
$\sigma_{\zeta,D}$	$\zeta \times 0$ $\chi \times 2$	$\zeta \times 2$ $\chi \times 0$
$\sigma_{sym}$	$D_S \times 2$ $D_T \times 0$	$D_S \times 0$ $D_T \times 2$

296 example of a western coastline is illustrated in Figure 8. In this case, the mirror condi-  
297 tion writes

$$\tilde{u}_{i,j+1} = v_{i+1,j} \quad (6)$$

$$\tilde{v}_{i,j} = u_{i,j} \quad (7)$$

298 where the tilde is used to denote inland virtual velocities. It is the same condition as  
299 proposed by Griffiths (2013) in the context of Kelvin waves. Both anti-diagonal ( $D_S$  or  
300  $\zeta$ ) and diagonal ( $D_T$  or  $\chi$ ) rates of deformation, defined at vorticity and tracer points  
301 respectively, are then deduced from this mirror condition. In particular, for a uniform  
302 grid spacing  $\Delta x$  ( $= \Delta y$ ), we have

$$(D_S)_{i,j} = \frac{1}{\Delta y} (\tilde{u}_{i,j+1} - u_{i,j}) + \frac{1}{\Delta x} (v_{i+1,j} - \tilde{v}_{i,j}) = \frac{2}{\Delta x} (v_{i+1,j} - u_{i,j+1}) \quad (8)$$

303 Hence,  $D_S$  is not zero at the tips of the coastal steps, but instead doubled compared  
304 to the value obtained with zero virtual inland velocities. This is contrary to the tradi-  
305 tional implementation of free-slip in the symmetric tensor, which sets  $D_S = 0$  at the  
306 boundary.  $D_S = 0$  is the correct condition when the numerical and physical shorelines  
307 perfectly coincide but fails when they are misaligned.

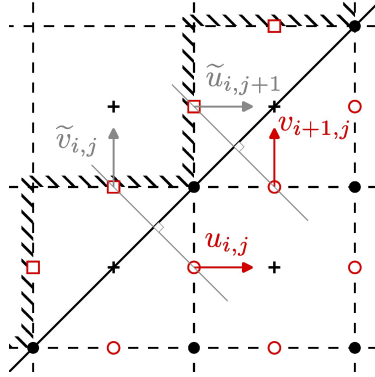
308 The no-slip condition can be defined following the same rationale, by setting in-  
309 land virtual velocities as the opposite to their oceanic mirrors:

$$\tilde{u}_{i,j+1} = -v_{i+1,j} \quad (9)$$

$$\tilde{v}_{i,j} = -u_{i,j} \quad (10)$$

310 The proposed free-slip and no-slip conditions for a 45°-turned mesh and either stress  
311 tensor are summarized in Table 1. Note that we could have considered that the phys-  
312 ical shoreline goes through (U,V) nodes, as AM98, instead of (T,F) nodes. In this case,  
313 the mirror condition requires a slightly more complex interpolation of the virtual veloc-  
314 ities.

315 We implemented the proposed free-slip condition in the symmetric stress tensor and  
316 assessed the impact on the equilibrium solution with a 45°-turned mesh (Fig. 7c,f). In  
317 contrast to previous results which relied on the standard free-slip boundary condition  
318 (Fig. 7b,e), a true free-slip circulation is simulated with a northern recirculation cell that  
319 extends roughly to the middle of the basin. The solutions in Figure 7c,f are very sim-  
320 ilar to those previously obtained with the rot-div tensor (Fig. 3b,f). Our simulations thus



**Figure 8.** Schematic presenting a mirror condition on the western coastline. Land grid cells are located west of the black hatching. The original, physical coastline, goes through the diagonals of the cells and is drawn in solid black. Red circles locate velocity points, black disks locate vorticity points (F nodes) and black crosses height points (T nodes), as is standard for a C-grid. Red squares mark boundary nodes or 'ghost nodes' where virtual velocities are defined. Example oceanic velocities are shown by red arrows, mirrored by the virtual velocities shown with grey arrows.

321 confirm that the traditional way of applying free-slip in the symmetric tensor ( $D_S =$   
 322 0) is not suitable when the mesh is misaligned with the coastline.

323 We stress that setting only  $D_S$  at the tips of steps is insufficient to obtain a true  
 324 free-slip solution (not shown). Boundary conditions on both  $D_S$  and  $D_T$  are necessary  
 325 to make the indented coastline slippery using the symmetric tensor. In contrast, can-  
 326 celling only  $\zeta$  in the rot-div tensor proved to be enough to achieve slipperiness; doubling  
 327  $\chi$  only brought minor changes. Since the condition  $\zeta = 0$  at the coast was already im-  
 328 plemented in the experiments described in section 3, a correct free-slip behaviour was  
 329 simulated.

## 330 4.2 Flux-form equations

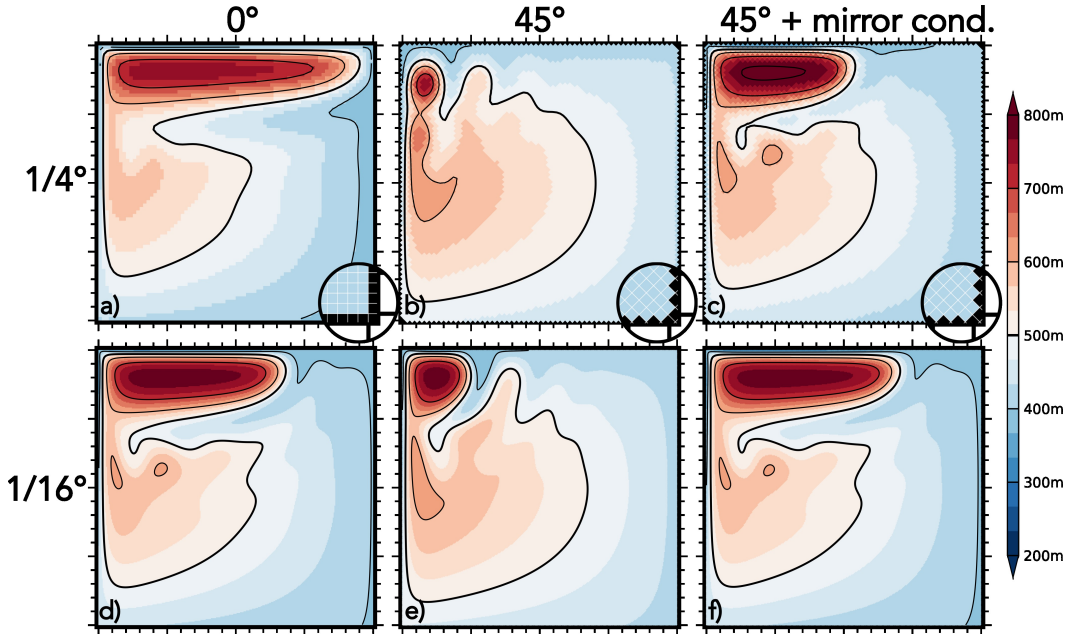
331 All numerical experiments documented above were solved in vector-invariant form  
 332 (Eq. 2). How slippery are staircase-like coastlines in a model solved in flux form (Eq. 4)?  
 333 Figure 9 shows the steady flux-form solutions on aligned (Fig. 9a,d) and 45°-turned (Fig. 9b,c,e,f)  
 334 meshes at 1/4° (top row) and 1/16° (bottom row) resolution, using the rot-div stress ten-  
 335 sor. Figure 9a,d reveals the same contraction of the northern recirculation cell with in-  
 336 creasing resolution as found previously (Fig. 3a,e) on the aligned mesh. However, when  
 337 the mesh is turned and the coastline becomes indented, solutions become akin to no-slip:  
 338 a small gyre is nestled in the northwest corner in an oscillatory steady state (Fig. 9b,e).

339 By construction, there is no viscous boundary condition applied in flux-form ad-  
 340 vection, only the impermeability condition holds. The viscous boundary condition is free-  
 341 slip and implemented in the rot-div stress tensor. Under such parameters, a coastal step  
 342 tends to generate filaments of opposite vorticity that cause the coastal current to retrofect  
 343 (Deremble et al. 2016; see also section 5). Therefore, solutions solved in flux-form are  
 344 expected to be sensitive to the presence of steps, as retroflection dynamics hinder along-  
 345 boundary flow.

346 To remedy this sensitivity to mesh orientation, we implemented the same mirror  
 347 condition (Eqs. (6) and (7)) but in the advective trend, by enforcing velocities at the coast  
 348 to satisfy this condition. The result is shown in Figure 9c,f for the 45°-turned mesh. We



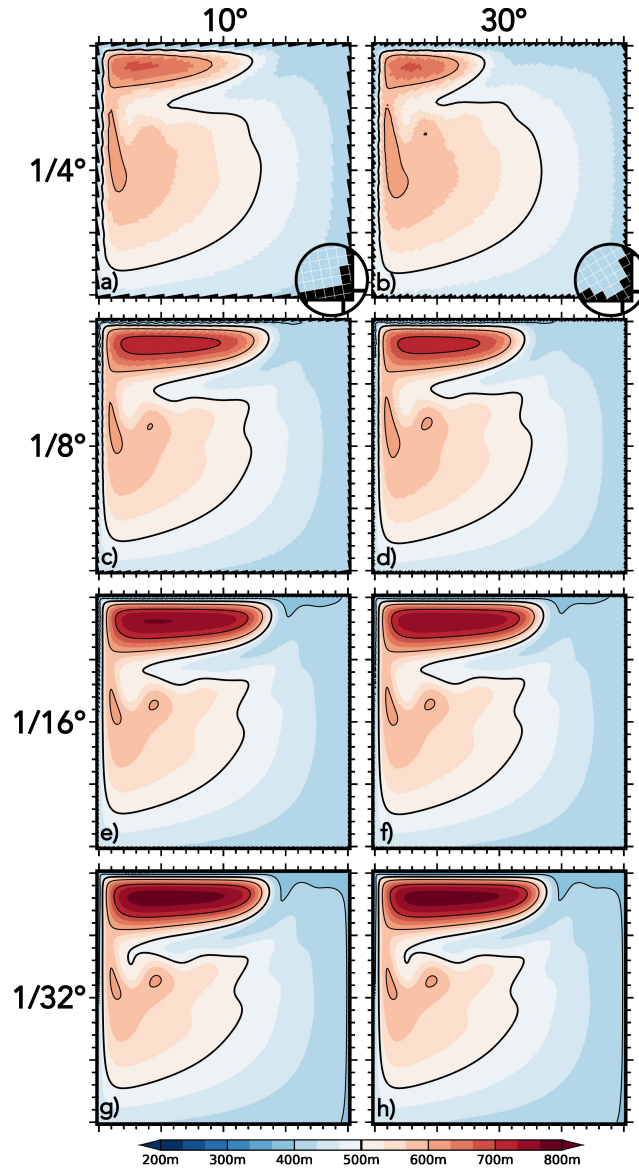
349 obtain a sizeable inertial recirculation cell in the northern part of the basin, with zonal  
 350 extensions close to the previous solutions (Fig. 3b,d,f,h and Fig. 7c,f). Hence, enforcing  
 351 the free-slip condition in both the diffusive and advective terms makes staircase-like coast-  
 352 lines slippery, including with flux-form equations.



**Figure 9.** Free-slip solutions solved in flux form using the rot-div stress tensor. Shading and isolines (in black) depict the active layer thickness  $h$  (isoline 500 m is thickened).  $x$  and  $y$  main ticks are 500 km apart. Spatial resolution is  $1/4^\circ$  (top row) and  $1/16^\circ$  (lower row). In the first column (a,d), the mesh is aligned so the coastline is straight. Second and third columns (b,c,e,f) have the mesh rotated at  $45^\circ$ . In the third column, a mirror condition is enforced in the advective trend.

### 353 4.3 Intermediate angles

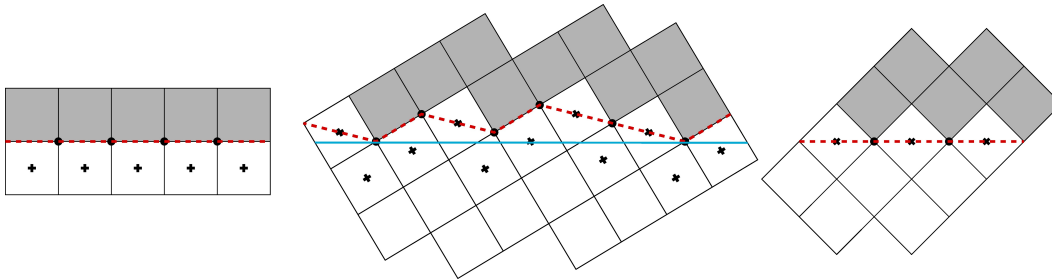
354 At  $1/4^\circ$  resolution, the fully indented coastline (Fig. 3b) leads to a solution closer  
 355 to the converged solution (Fig. 3e-h) than does the straight coastline (Fig. 3a). At inter-  
 356 mediate orientations of the mesh (strictly between  $0^\circ$  and  $45^\circ$ ), the coastline counts fewer  
 357 outgoing angles than at  $45^\circ$ , and a solution midway between the aligned and  $45^\circ$ -turned  
 358 cases might be expected. In reality, intermediate angles generate solutions that depart  
 359 much more from the converged solution (Fig. 10). Figure 10 uses the exact same numeri-  
 360 cal choices as Figure 3 except for the mesh orientation, which is either  $10^\circ$  (left column)  
 361 or  $30^\circ$  (right column). At these orientations, the inertial recirculation cell expands to-  
 362 ward the east between  $1/4^\circ$  and  $1/16^\circ$ , then contracts with resolution (Fig. 10). Solutions  
 363 (not shown) performed on  $1/48^\circ$  mesh are not significantly different from the ones ob-  
 364 tained on  $1/32^\circ$  mesh (Fig. 10g,h) meaning that physical convergence is reached near  $1/32^\circ$ .  
 365 The curves plotted in Figure 4 for  $10^\circ$  (orange dotted line) and  $30^\circ$  (light blue dotted line)  
 366 orientations confirm and quantify these results. Interestingly, the  $1/48^\circ$  solutions at in-  
 367 termediate angles depart from the aligned solution (Fig. 4): their recirculation cells are  
 368 wider by about 30 km, and weaker by about 5 m. It is puzzling that different converged  
 369 solutions seem to exist for different orientations; one might have expected that the same  
 370 solution be reached across all orientations at very fine resolution.



**Figure 10.** Free-slip solutions solved in vector-invariant form under ENS using the rot-div stress tensor. Shading and isolines (in black) depict the active layer thickness  $h$  (isoline 500 m is thickened).  $x$  and  $y$  main ticks are 500 km apart. The mesh orientation is  $10^\circ$  (left) and  $30^\circ$  (right). Spatial resolution increases from top to bottom:  $1/4^\circ$ ,  $1/8^\circ$ ,  $1/16^\circ$  and  $1/32^\circ$ .

371 Why do solutions at intermediate angles differ from their aligned and 45°-turned  
 372 counterparts? Once the model is converged, dynamics inside the domain must be well  
 373 captured independently of the orientation of the grid, so that differences are expected  
 374 to lie at the borders. If the mesh is aligned (Fig. 11, left) or turned at 45° (Fig. 11, right),  
 375 the physical coastline coincides with the F nodes of the C-grid and the free-slip condi-  
 376 tion  $\zeta = 0$  exactly matches that of a straight coastline. At intermediate angles how-  
 377 ever, this condition is inaccurate because the free-slip boundary resulting in the model  
 378 (red dotted line) is not straight but corrugated (Fig. 11, middle). Imposing  $\zeta = 0$  on  
 379 the tips does make artificial steps slippery yet does not achieve the true free-slip condi-  
 380 tion of a straight coastline. We expect that defining the free-slip condition with respect  
 381 to a straight boundary would yield the same solutions and convergence rate as with the  
 382 aligned mesh.

383 In other words, to accurately represent the free-slip condition, virtual inland ve-  
 384 locities should be interpolated as the mirrors of the ocean flows with respect to the straight  
 385 shoreline. Such a strategy would presumably allow to retrieve a true free-slip behaviour  
 386 also with advection in flux form, and with the symmetric stress tensor, for any orienta-  
 387 tion of the mesh. We have not endeavoured such an interpolation at intermediate an-  
 388 gles, for it is tedious and would not generalise to arbitrary (curved) physical shorelines.  
 389 Instead, we suggest using more general techniques such as immersed boundary methods  
 390 (Causon et al., 2000; Kirkpatrick et al., 2003; Ketefian & Jacobson, 2011).



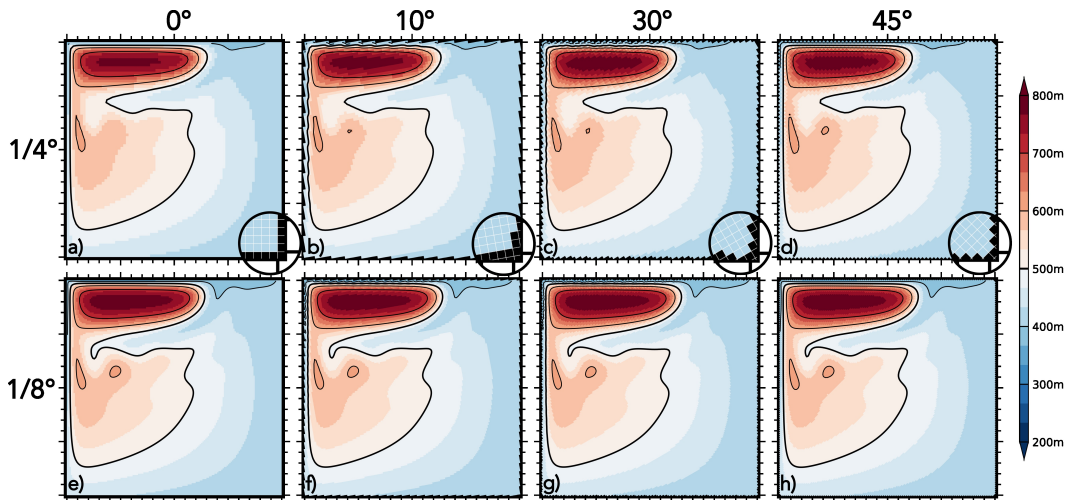
**Figure 11.** Representation on a C-grid of a straight shoreline with the piecewise constant approximation. The black disks are the vorticity (F) nodes and the crosses are the height (T) points. The numerical frontier (red dotted line) connects the vorticity nodes that influence the dynamics. In the aligned case (left) the numerical frontier occupies cell faces and joins F nodes so it coincides with a straight coastline. In a similar way, the numerical frontier in the 45°-turned case (right) goes through cell diagonals, hence intersecting F and T nodes. In the intermediate case (middle), the closest vorticity points to the targeted straight coastline (in blue) are not aligned, resulting in a slithering numerical frontier.

#### 391 4.4 Benefit of wide stencils

392 Strictly speaking, the mirror condition should apply in each term of the equations.  
 393 For example, on the 45° oriented mesh within oceanic cells along the border, this would  
 394 double the kinetic energy and  $\partial_t h$  in equations (1b) and (1a) respectively, while the ver-  
 395 tical scale factor  $h$  and the Coriolis term at the outgoing vorticity points would be mir-  
 396 rored with respect to the cell diagonals. However, our implementation of these condi-  
 397 tions did not bring noticeable changes on the 45°-turned solution (not shown), which is  
 398 already very close to the reference. This result indicates that the sensitivity of circula-  
 399 tion within this configuration is controlled primarily by the formulation of advective and  
 400 diffusive terms, in accord with Dupont et al. (2003).

401 Motivated by the sensitivity of solutions to the discrete formulation of advection,  
 402 we investigated the impacts of mesh orientation and coastline indentation using a dif-  
 403 ferent advection scheme (EEN). Results are shown in Figure 12 under the free-slip bound-  
 404 ary condition. The mesh is progressively turned from left to right ( $0^\circ$ ,  $10^\circ$ ,  $30^\circ$  and  $45^\circ$ )  
 405 and spatial resolution increases from  $1/4^\circ$  to  $1/8^\circ$ . First, we find that the aligned and  
 406 intermediate solutions are physically converged at  $1/8^\circ$  resolution ( $1/16^\circ$  not shown), con-  
 407 trary to solutions that resorted to ENS or ENE. Second, all solutions are very similar  
 408 across mesh orientations, even at  $1/4^\circ$  resolution, with a recirculation cell that extends  
 409 halfway through the basin. These results are quantified in Figure 4 which shows that EEN-  
 410 based solutions (in solid lines) are virtually identical as early as  $1/8^\circ$ , and that they con-  
 411 verge together to the same state as ENS-based solutions as resolution increases to  $1/48^\circ$ .

412 Vorticity schemes previously used in this study have a 7-point wide stencil, whereas  
 413 the EEN scheme has a 17-point wide stencil (see Fig. B1). We infer that the larger stencil  
 414 of the EEN scheme effectively smoothens the discontinuity of the coast, making dynam-  
 415 ics much less sensitive to the misalignment of the grid with the physical shorelines.  
 416 These results advocate for the use of schemes having relatively wide stencils, possibly  
 417 high-order schemes, to minimise spurious effects of staircase-like coastlines.



**Figure 12.** Free-slip solutions solved in vector-invariant form with the EEN advection scheme and the rot-div stress tensor. Shading and isolines (in black) depict the active layer thickness  $h$  (isoline 500 m is thickened).  $x$  and  $y$  main ticks are 500 km apart. From left to right, the mesh is progressively rotated, at  $0^\circ$ ,  $10^\circ$ ,  $30^\circ$  and  $45^\circ$  with respect to the physical coastline. Spatial resolution increases from  $1/4^\circ$  (top) to  $1/8^\circ$  (bottom).

## 5 From straight to swerving coastlines

### 5.1 Dynamics along a cornered coastline

In the previous sections, we explored ways to eliminate spurious effects of artificial steps in model coastlines. However, coastal steps are not always artificial and their effects not necessarily spurious, since real coastlines contain sharp turns that exceed the grid scale and impact boundary currents. For example, a protruding corner in the coastline can cause boundary currents to retroflect (Dupont & Straub, 2004; Deremble et al., 2016), impacting the larger scale circulation (Weeks et al., 2010; Ansorge & Lutjeharms, 2005). Which boundary conditions are most appropriate to model this physical response to a coastal step?

To address this question, we reproduce the configuration of Deremble et al. (2016). The domain is a square basin of 500 km in length, cropped by a 100 km  $\times$  250 km land mass at the southwest end, as illustrated by the grey shading in Figure 13. The equations solved are those given in section 2.1, except that the model is barotropic and excludes wind forcing, bottom friction and the Coriolis effect (parameters are given in Appendix A). The simulation starts with a vortex of negative relative vorticity, standing near the eastern side of the land mass (Fig. 13a). The vortex is then advected up to the corner due to non-linear interaction with the straight coastline. When the vortex begins to overtake the corner, filaments of positive vorticity are generated at the tip, forcing the retroflection of the flow as explained by Deremble et al. (2016). Figure 13b shows the vortex detaching from the coast along a filament of opposite relative vorticity that stretches northeastward from the tip.

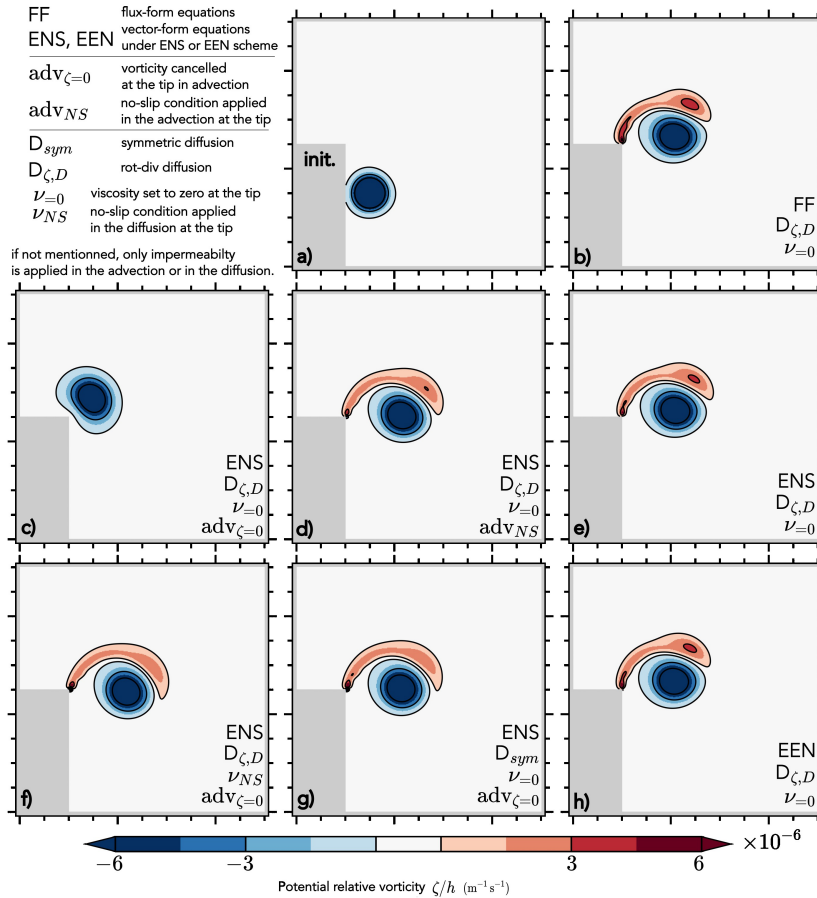
### 5.2 Lateral boundary conditions

On a large-scale isolated step, the definitions of the boundary conditions given in section 2.3 are less straightforward as it is no longer possible to define the local vectors ( $\mathbf{n}, \mathbf{t}$ ) at the singularity. A no-slip condition ( $\mathbf{u} = 0$ ) could potentially hold by continuous extension from the adjacent walls to the tip. However, the definition of the free-slip viscous boundary condition becomes unclear as the normal derivative of the flow is unknown at the tip. Furthermore, by bending a regular coastline toward the limiting case of a protruding corner ( $\kappa$  tends to infinity), the vorticity in equation (5) becomes infinite, suggesting that a singularity in the coastline acts as a source of vorticity in the flow (Dereble et al., 2016).

The detachment or retroflection of the vortex described by Deremble et al. (2016) is driven by advection. We show that this retroflection requires only impermeability conditions along both sides of the land mass and is faithfully represented when viscosity  $\nu$  is set to zero at the F-node of the singularity (Fig. 13b,e,h). The numerical schemes used in vector-invariant form allow the possibility to enforce  $\zeta = 0$  at the tip, causing the retroflection to be no longer simulated (Fig. 13c). If instead a no-slip viscous boundary condition is applied at the tip, vorticity filaments change intensity but are still represented (compare Fig. 13d with Fig. 13b,e,h). While the flux-form equations (whose solution is shown in Fig. 13b) disallow viscous boundary conditions, solutions using the vector-invariant form of equations are thus sensitive to the chosen viscous conditions (Fig. 13c,d,e,h).

When neutralizing the retroflection effect in the advection term (by imposing  $\zeta = 0$  at the tip in the advective trends), it is still possible to recover the filament generation and induce retroflection by applying either the no-slip viscous boundary condition (Fig. 13f) or the impermeability condition (not shown) in the diffusive term. However the filament of positive relative vorticity is different in shape and intensity (compare Fig. 13f with Fig. 13b,e,h), its characteristics become more sensitive to the chosen value of viscosity  $\nu$  (not shown), and its physical interpretation as the detachment of the viscous boundary layer (Dereble et al., 2016) is less straightforward.

468 The rot-div stress tensor is used for diffusion in the solutions discussed above (Fig. 13b-  
 469 f,h). In Fig. 13g, we show a solution that uses the symmetric stress tensor combined with  
 470 the viscosity  $\nu$  set to zero at the outgoing corner. The solution is quite similar to that  
 471 obtained using the no-slip condition in the rot-div stress tensor (Fig. 13f). This result  
 472 concurs with those of section 4 and emphasizes the problematic behaviour of the tradi-  
 473 tional implementation of slipperiness in the symmetric tensor. These findings also ex-  
 474 plain why Dupont and Straub (2004) and Deremble et al. (2016) obtained unexpected  
 475 behaviours at coastal tips when using the combination of symmetric tensor and free-slip  
 476 viscous boundary condition.



**Figure 13.** Potential relative vorticity field illustrating the interaction between a cyclonic vortex and a cornered coastline. Panel (a) shows the initial state, (b-h) are snapshots of the potential relative vorticity field after 45 days. Isolines are equivalent to streamlines in this configuration. On all walls, the free-slip boundary condition is applied in advection (vector-invariant form equations only) and in diffusion on both sides of the land mass—except at the tip where different treatments are assessed. Each of these treatments is specified in the bottom right corner of each panel, following notations defined at the top left of the figure.

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### 5.3 Implications for staircase-like coastlines

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Staircase-like coastlines studied in sections 3 and 4 using the AM98 configuration can be viewed as a series of small isolated steps. Each step may be expected to have a dynamical effect on the local circulation as described in 5.2, and the ensemble of steps may have a cumulative impact on the basin-scale gyres. For example, the behaviour of solutions using only the impermeability condition, as it is the case in flux-form equations on the 45°-rotated mesh (Fig. 9b,e), can be understood by noting that each step works to retroflect the boundary flow and ultimately distort the gyre circulation. Indeed, in these simulations the viscous boundary condition is free-slip and is applied only in the diffusive term. Therefore, the lack of a zonally extended inertial recirculation (Fig. 9b,e) likely stems from the retroflection — induced by the advection term — of the boundary current on the indented coastline. Interestingly, increasing spatial resolution (while keeping viscosity  $\nu$  and friction  $r$  unchanged) allows the inertial recirculation gyre to grow eastward (compare Fig. 9e with Fig. 9b). An additional simulation (not shown) at a finer resolution of 1/32° confirms this tendency: the inertial recirculation cell then occupies over half of the basin. We interpret this behaviour as the consequence of the reduction of the scale of steps (1/32°) relative to the width of the boundary currents ( $\sim 1/4^\circ$ ): the production of vorticity filaments, which feeds upon the discontinuity in the flow field across the tips of steps (Deremble et al., 2016), is damped if steps are too small to generate sizeable discontinuities.

## 6 Conclusions

We revisited the 'staircase problem' highlighted by AM98, who exposed the existence of a spurious form drag when smooth coastlines are numerically transformed into steps. We reproduced their configuration, which consists of a square closed basin under shallow water dynamics and cyclonic wind forcing, simulated on a Cartesian mesh with varying orientation. We tested various mesh resolutions with many combinations of advection formulations (flux or vector-invariant forms of equations with potential-enstrophy (ENS), energy (ENE) or energy and potential-enstrophy (EEN) conserving schemes developed by Sadourny) and two commonly used viscous stress tensors (rot-div and symmetric formulations).

We first show that the free-slip non-rotated solution is not physically converged at  $1/4^\circ$  resolution, under ENS or ENE, but only from  $1/16^\circ$  with the same viscosity and friction parameters as AM98. By *physical convergence* we mean the insensitivity of the main characteristics of the flow to further increase of the mesh resolution. Such convergence requires to resolve the inertial dynamics induced by the free-slip (i.e. mirror) boundary condition along the northern coast by having at least four grid points per internal radius of deformation, which is close to 30 km in this region.

In addition, we find that the  $45^\circ$ -rotated free-slip solution is also physically converged from  $1/16^\circ$  resolution and surprisingly looks almost identical to the aligned  $1/16^\circ$  solution, contrary to AM98. The reason is that the free-slip boundary condition (zero vorticity) applied at the tips of coastal indents created by the  $45^\circ$ -rotated mesh exactly stands for a straight shoreline passing through T and F nodes of a C-grid. At intermediate angles of mesh orientation (strictly between  $0^\circ$  and  $45^\circ$ ), physically converged solutions are only achieved near  $1/32^\circ$  resolution and depart from the non- or  $45^\circ$ -rotated  $1/16^\circ$  solution. We suggest that the different sensitivity and delayed convergence at intermediate angles stem from inaccurate declaration of the free-slip boundary condition as the resulting numerical frontier is corrugated, not straight.

The above-mentioned results hold in vector-invariant form with the ENS or ENE scheme combined with the rot-div stress tensor. When switching to the symmetric stress tensor or to flux-form advection, rotated solutions no longer converge toward the reference (aligned) solution and instead resemble no-slip solutions. In both cases, applying a *true* mirror boundary condition with respect to the physical coastline on the  $45^\circ$ -rotated mesh allows to retrieve solutions close to the reference at  $1/16^\circ$  resolution. These results pinpoint the spurious behaviour of the traditional implementation of 'free-slip' in the symmetric viscous tensor, which works as intended only if the mesh is aligned with the border.

Importantly, using an advection scheme with a larger stencil (EEN in vector-invariant form) makes the free-slip solutions virtually identical from  $1/8^\circ$  for any orientation of the mesh. We infer that larger stencils allow advection schemes and the simulated circulation to become much less sensitive to broken coastlines, providing a practical avenue to mitigate spurious effects of piecewise-constant land-ocean frontiers.

In addition to exposing ways to eliminate spurious effects of artificial coastal steps, we explored the numerical treatment of a single sharp turn in the physical coastline, using the configuration of Deremble et al. (2016). One expected impact of a large protruding corner in the coastline is the generation of vorticity filaments that force the boundary current to retrofect (Deremble et al., 2016). We show that the correct way to represent this phenomenon is to apply only an impermeability condition in advection at the maxima of curvature. Using a viscous boundary condition in the advection scheme may either cancel (free-slip) or alter (no-slip) the phenomenon.

We conclude that staircase-like coastlines can behave like straight and slippery coastlines provided that coastal dynamics are physically resolved and that an accurate mir-



**Table A1.** Grid-size and time-step used in discretisation for AM98’s configuration

Resolution	1/4°	1/8°	1/16°	1/32°	1/48°
Grid-spacing	25 km	12.5 km	6.25 km	3.125 km	~ 2.1 km
Time-step	30 min	15 min	7.5 min	3 min	1.5 min

ror boundary condition is used. To minimise spurious effects of artificial steps in OGCM boundaries, we recommend the use of advection schemes with large stencils (such as the energy-entropy conserving (EEN) scheme), combined with free-slip (zero vorticity) boundary conditions and the rot-div viscous stress tensor. If flux-form equations or the symmetric stress tensor are chosen, free-slip along staircase-like coastlines is best implemented with general techniques such as immersed boundary methods. However, perfect slipperiness may not be desirable to represent the impact on boundary currents of sharp turns in the real coastline; in this case, exclusive application of impermeability conditions may better represent physical flow-topography interactions than a free-slip boundary condition.

## Appendix A Numerical parameters

In the AM98 configuration, the initial state is at rest with the thickness of the active layer uniformly equal to  $h_0 = 500$  m. Density is  $\rho_0 = 1000$  kg m<sup>-3</sup> and reduced gravity  $g' = 0.02$  N s<sup>-2</sup>. The Coriolis parameter evolves on a beta-plane  $f(y) = f_0 + \beta y$  where  $f_0 = 0.5 \cdot 10^{-4}$  s<sup>-1</sup> and  $\beta = 2 \times 10^{-11}$  m s<sup>-1</sup> so that the internal radius of deformation  $R = \sqrt{g'h_0}/f$  is about 45 km at the mid-basin. Zonal wind stress is  $\tau = -\tau_0 \cos(\pi y/L)$  with  $\tau_0 = 0.2$  Nm<sup>-2</sup>. Uniform friction parameters are considered with  $r = 10^{-7}$  s<sup>-1</sup> the bottom linear friction and  $\nu = 500$  m<sup>2</sup> s<sup>-1</sup> the lateral viscosity. Uniform mesh resolution ( $\Delta x = \Delta y$ ) and associated time-step for the AM98 configuration are summarised in Table A1. The Asselin filter parameter of the Leap Frog Robert-Asselin time-stepping scheme is  $\epsilon = 10^{-1}$ . In vector-form, gradient of kinetic energy is discretised with a second order centered scheme. In flux form, advection is also discretised with a second order centered scheme while the Coriolis term is discretised with the ENS scheme.

In the single vortex configuration of Deremble et al. (2016), the initial condition is a vortex of negative vorticity placed next to the wall. In the relative frame of reference centered on the vortex, the initial horizontal speeds are given by the azimuthal profile  $v_\theta$  (Lamb-Oseen vortex):

$$v_\theta = \frac{\Gamma}{2\pi r} \left( 1 - \exp\left(-\frac{r^2}{2r_0^2}\right) \right) \quad (\text{A1})$$

with the pseudoradius  $r_0 = 20$  km and the strength of the vortex  $\Gamma = -5 \times 10^4$  m<sup>2</sup> s<sup>-1</sup>. The basin depth  $h$  is 1 m deep. Lateral viscosity is  $\nu = 20$  m<sup>2</sup> s<sup>-1</sup>. Simulations are ran over 45 days with an uniform spatial resolution of  $\Delta x = \Delta y = 1.25$  km and a time-step of 90 s.

## Appendix B Formulation of the discrete vorticity schemes used in vector-invariant form simulations

The discrete vorticity schemes considered in this study are defined on the Arakawa C-grid. The grid is staggered so that total vorticity  $\zeta_{i,j} + f_{i,j}$ , horizontal velocities ( $u_{i,j}; v_{i,j}$ ) and height  $h_{i,j}$  variables are arranged as shown in Figure B1a. The total potential vorticity  $q = \frac{(\zeta + f)}{h_f}$  is needed for vector-invariant form advection so layer thickness at F-

585 nodes  $h_f$  is deduced from the height  $h$  nodes:  $h_f = \overline{\overline{h}}^{i,j}$ ; while vorticity is diagnosed  
 586 as follows:

$$\zeta_{i,j} = \frac{1}{e_1 e_{2f}} (\delta_i [e_{2v} v] - \delta_j [e_{1u} u]) \quad (\text{B1})$$

587 where  $(\overline{\overline{\cdot}}^{i,j})$  and  $(\delta_i, \delta_j)$  are the averaging and differencing operators at the mid point,  
 588 e.g.,  $\overline{\overline{h}}^{i,j} = \frac{1}{2} (h_{i,j} + h_{i+1,j})$  and  $\delta_i v = v_{i+1,j} - v_{i,j}$ . The horizontal scale factors  $e_{1t}$ ,  
 589  $e_{1u}$ ,  $e_{1v}$  and  $e_{1f}$  ( $e_{2t}$ ,  $e_{2u}$ ,  $e_{2v}$  and  $e_{2f}$ ) are derived analytically at each node from the  
 590 latitudinal (longitudinal) coordinate; on a uniform regular mesh, they are all equal to  
 591  $\Delta x = \Delta y$ .

592 Figure B1b-d represents the discretisation at  $u_{i,j}$  nodes (blue disks) and illustrates  
 593 the size of the stencil for each scheme. First, the potential enstrophy conserving scheme  
 594 (Fig. B1b) (Sadourny, 1975) provides a global conservation of global enstrophy  $h_f q^2$  for  
 595 non-divergent flow. For  $x$  and  $y$  components of the vorticity term, it writes as:

$$+ \frac{1}{e_{1u}} \overline{\overline{q^j V}}^{i,j} \quad (\text{B2})$$

$$- \frac{1}{e_{2v}} \overline{\overline{q^i U}}^{i,j} \quad (\text{B3})$$

596 where  $(U; V)$  are the transports across cell faces, e.g.,  $V = e_{1v} h_v v$ . Then, the kinetic  
 597 energy conserving (ENE) vorticity scheme (Fig. B1c) (Sadourny, 1975) is defined as:

$$+ \frac{1}{e_{1u}} \overline{\overline{q V}}^{i,j} \quad (\text{B4})$$

$$- \frac{1}{e_{2v}} \overline{\overline{q U}}^{i,j} \quad (\text{B5})$$

598 Finally, the EEN scheme developed by Sadourny (Burrige & Haseler, 1977) is a member  
 599 of the family of vorticity schemes derived by Arakawa and Lamb (1981) that con-  
 600 serves kinetic energy and, provided there is no divergence in the flow, potential enstro-  
 601 phy. This scheme relies upon averaging triads of vorticity  ${}^i_j Q_m^l$  that ultimately widen the  
 602 stencil up to 17 velocity nodes; instead of 7 in the ENS or ENE schemes as they use a  
 603 much cheaper two-point averaging. A triad  ${}^i_j Q_m^l$  is defined as:

$${}^i_j Q_m^l = \frac{1}{12} \left( q_{j-1/2+m}^{i-1/2-l} + q_{j-1/2+l}^{i-1/2+m} + q_{j-1/2-m}^{i-1/2+l} \right) \quad (\text{B6})$$

604 with  $(l, m) \in I^2$  where  $I = (1/2; -1/2)$ . Each triad combines with the adjacent trans-  
 605 port  $V_j^i$ , e.g.,  $V_j^{i+1}$  multiplies with  ${}^{i+1}_j Q_{1/2}^{-1/2}$  (in red in Fig. B1d). Expressions for EEN  
 606 scheme summarize as

$$+ \frac{1}{e_{1u}} \sum_{l,m \in I^2} \overline{\overline{{}^i_{j+1/2-l} Q_m^l V_{j-1/2+m}^{i+1/2-l}}} \quad (\text{B7})$$

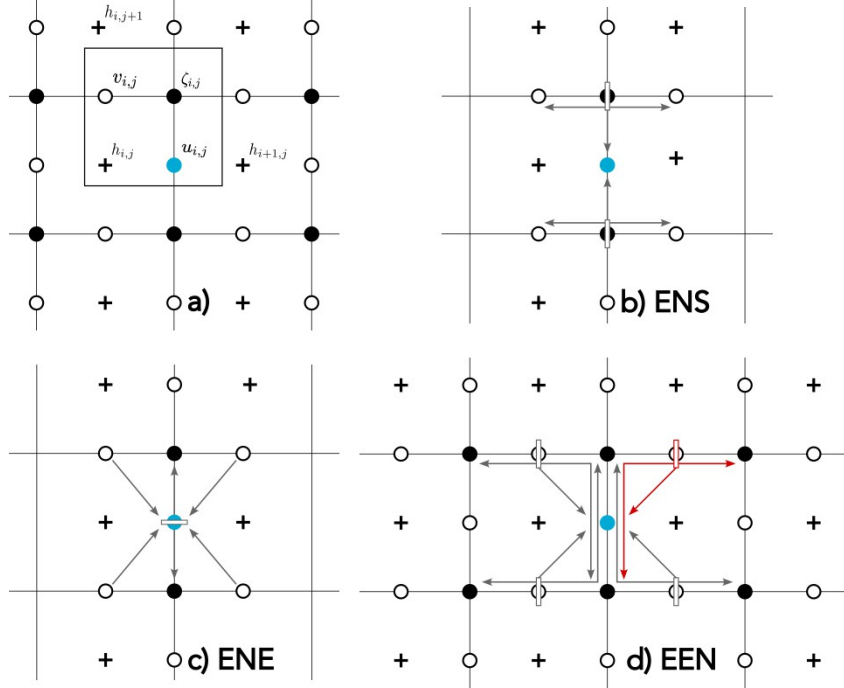
$$- \frac{1}{e_{2v}} \sum_{l,m \in I^2} \overline{\overline{{}^i_{j+1/2-m} Q_m^l U_{j+1/2-m}^{i-1/2+l}}} \quad (\text{B8})$$

## 607 Availability Statement

608 NEMO code is available at <https://forge.nemo-ocean.eu/nemo/nemo>. The de-  
 609 scribed version is 4.2. The configurations are available at <https://doi.org/10.5281/zenodo.7480139>  
 610 and the plotting scripts are available at <https://doi.org/10.5281/zenodo.7480159>.

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**Figure B1.** Discrete vorticity schemes on the Arakawa C-grid. (a) Location and indexing of height  $h$  nodes (black crosses), horizontal velocity  $u$  and  $v$  nodes (black circles) and vorticity  $\zeta$  nodes (black disks) on a single cell. Panels (b), (c) and (d) illustrate respectively the ENS, ENE and EEN discretisation of vorticity in vector-invariant form. Single arrows (in grey) add themselves; double arrows represent two-point averaging; right-angle cornered arrows symbolize triads  ${}^i_j Q_m^l$ ; and rectangles (grey edged) is the factoring of the averaged quantities with the local variables.

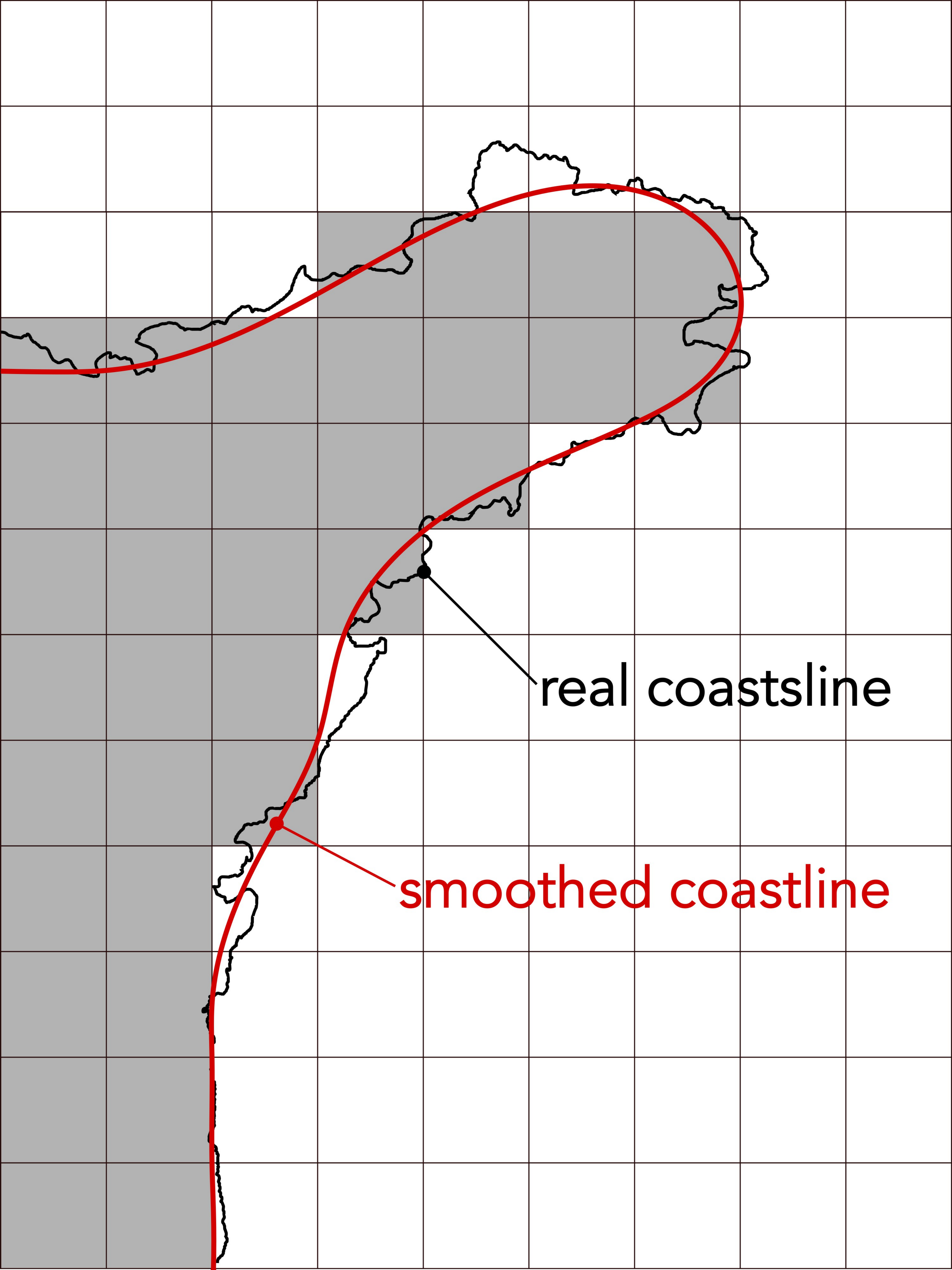
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Figure 1.



real coastline

smoothed coastline



Figure 2.

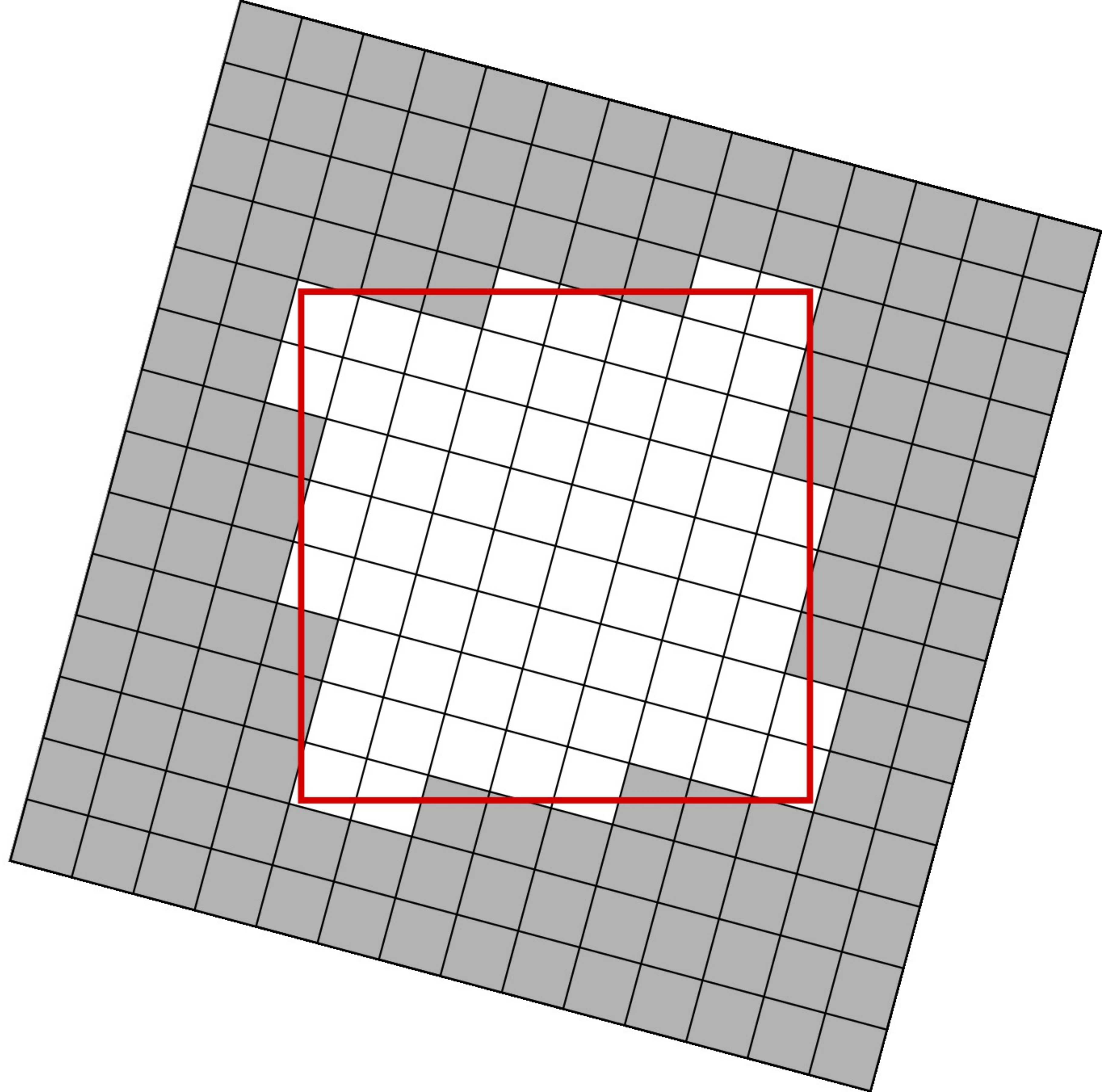
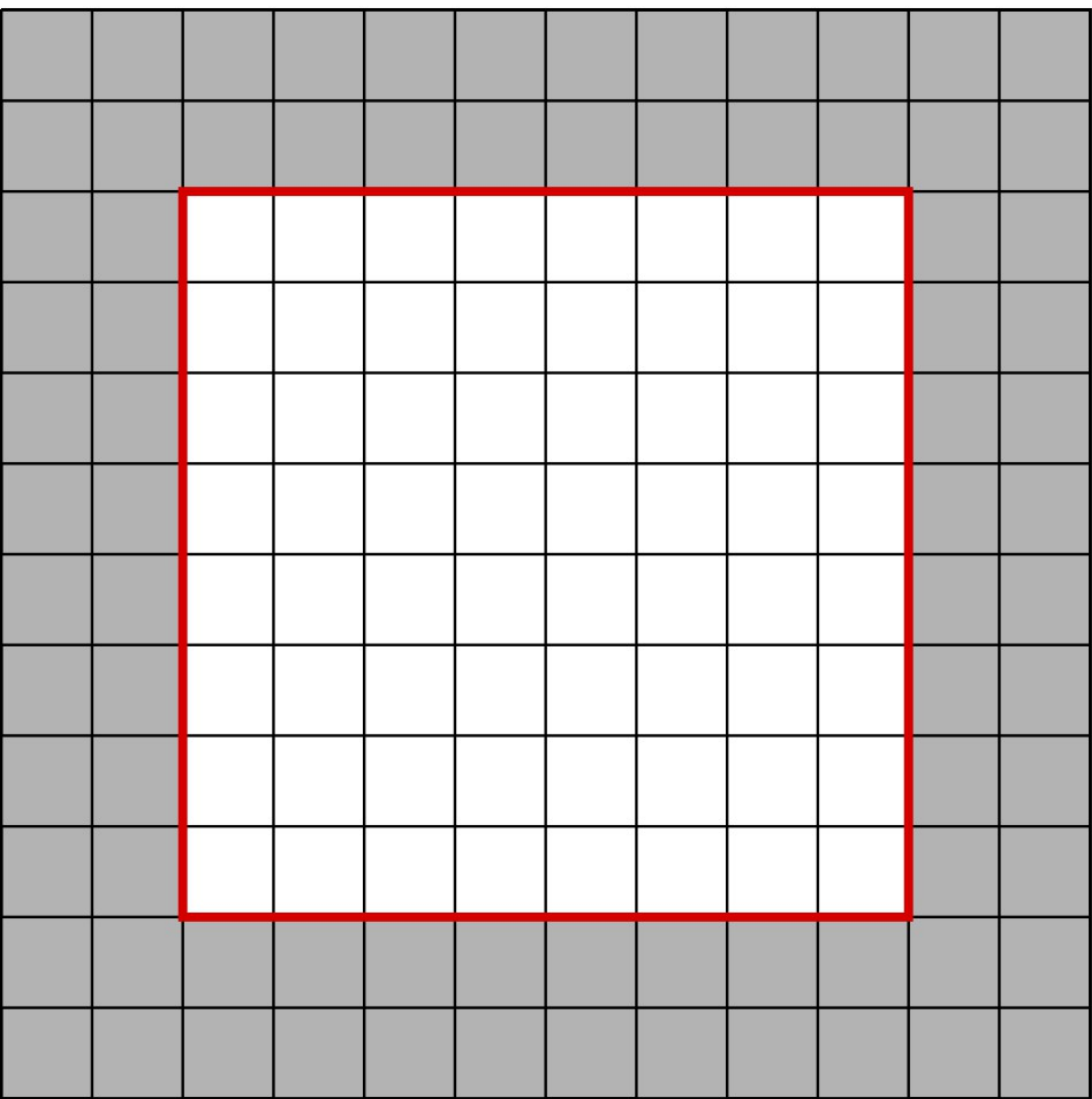


Figure 3.

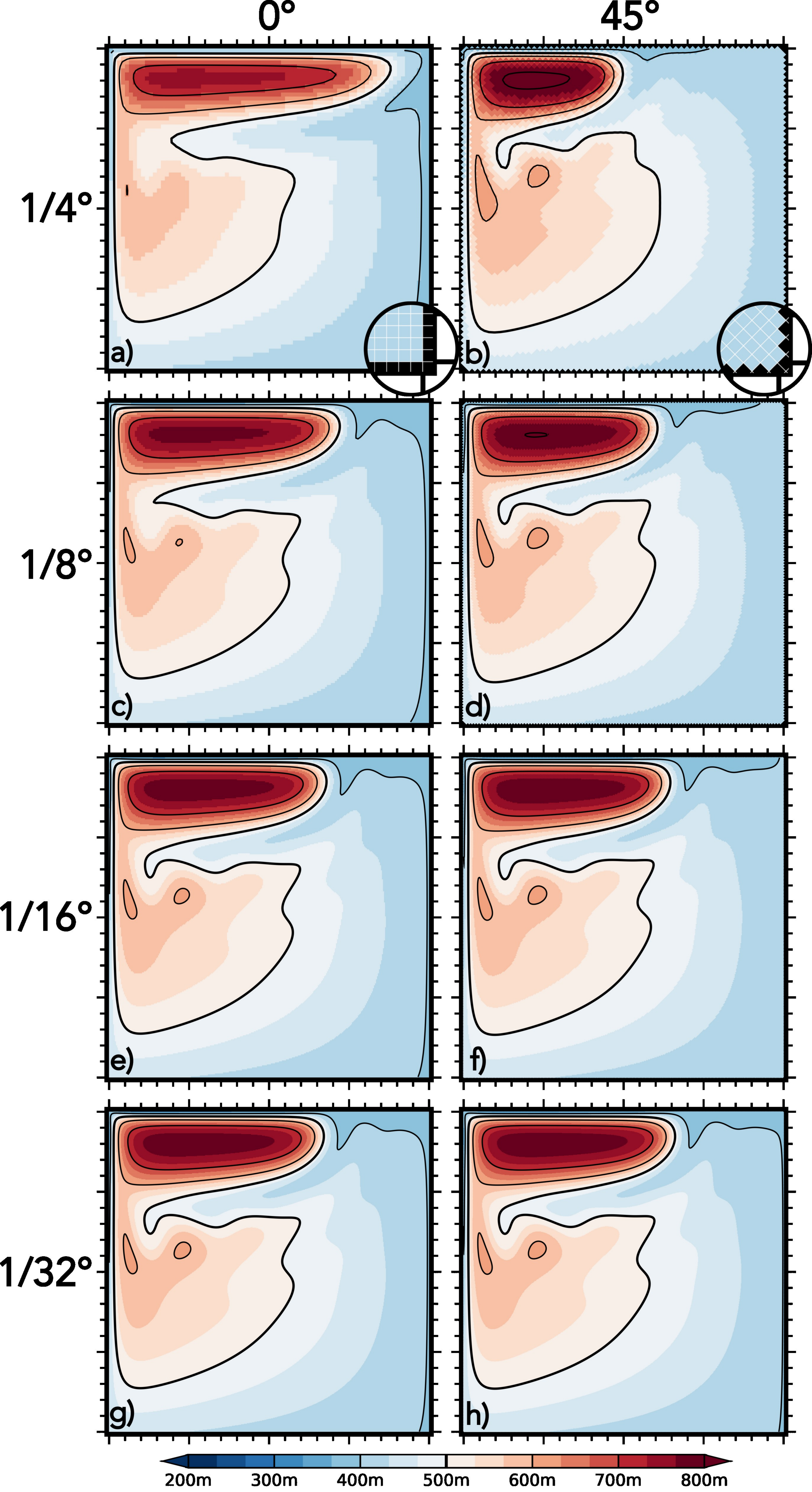
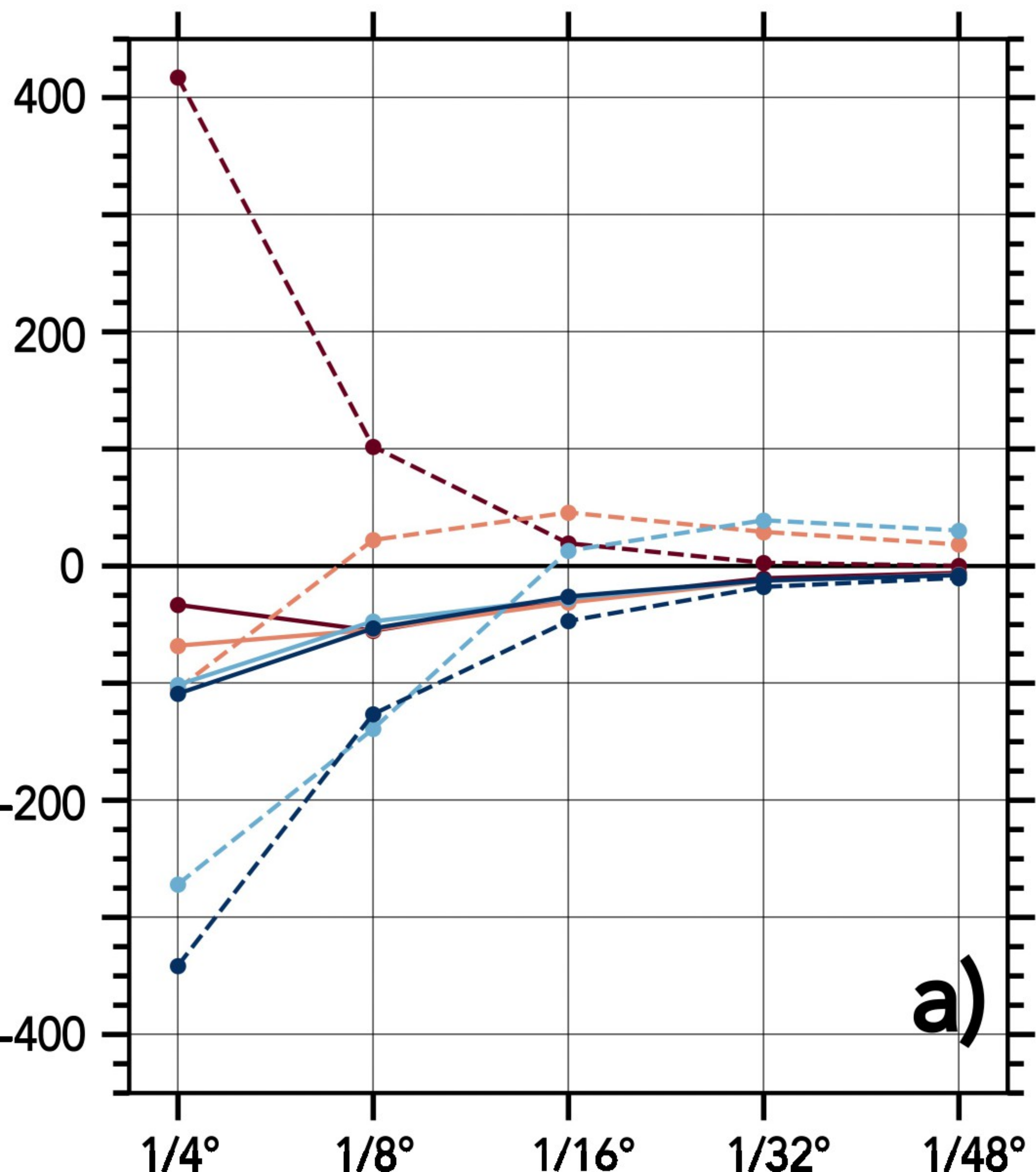


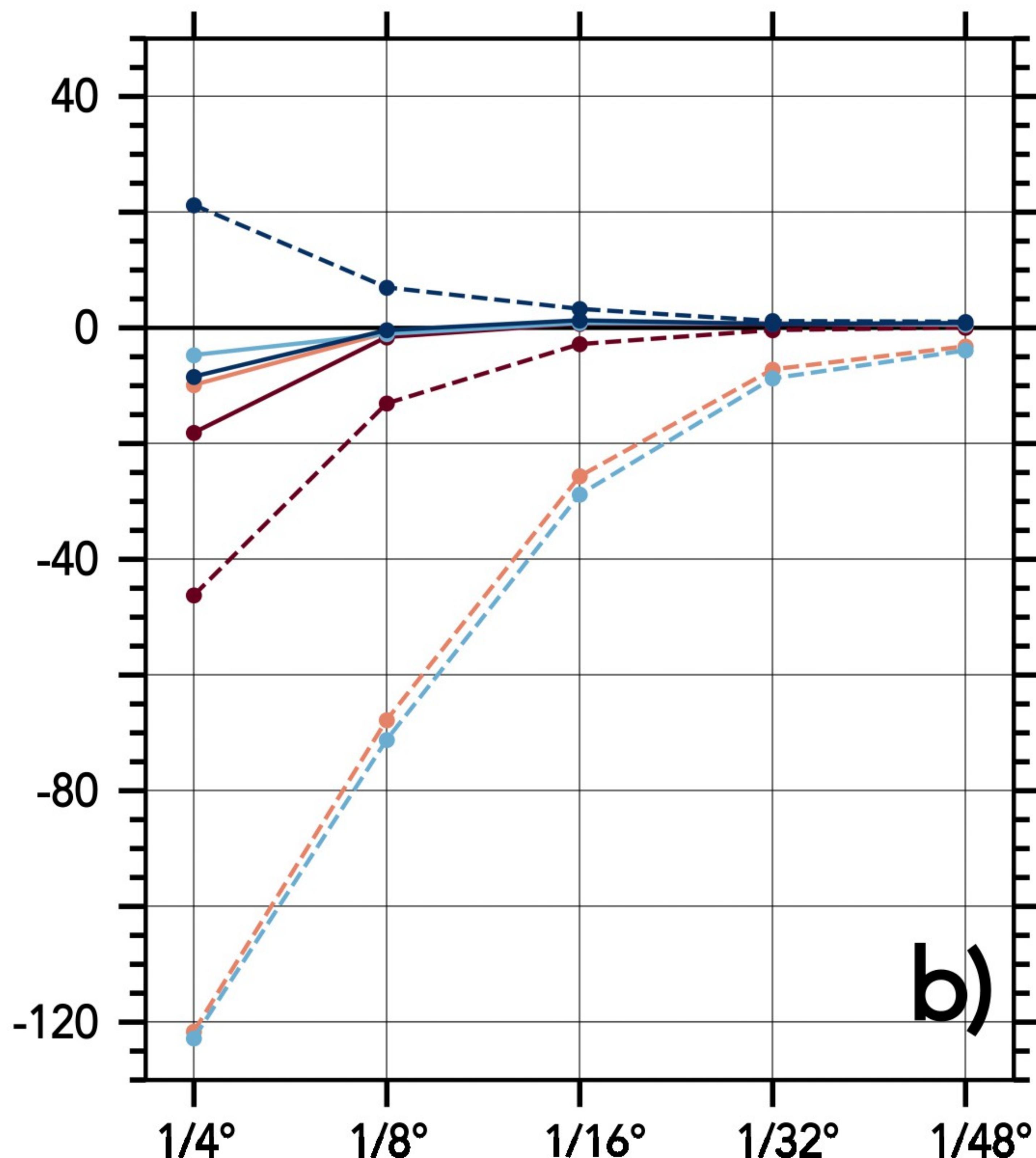
Figure 4.

# Extension (km)



a)

# Intensity (m)



b)

Figure 5.

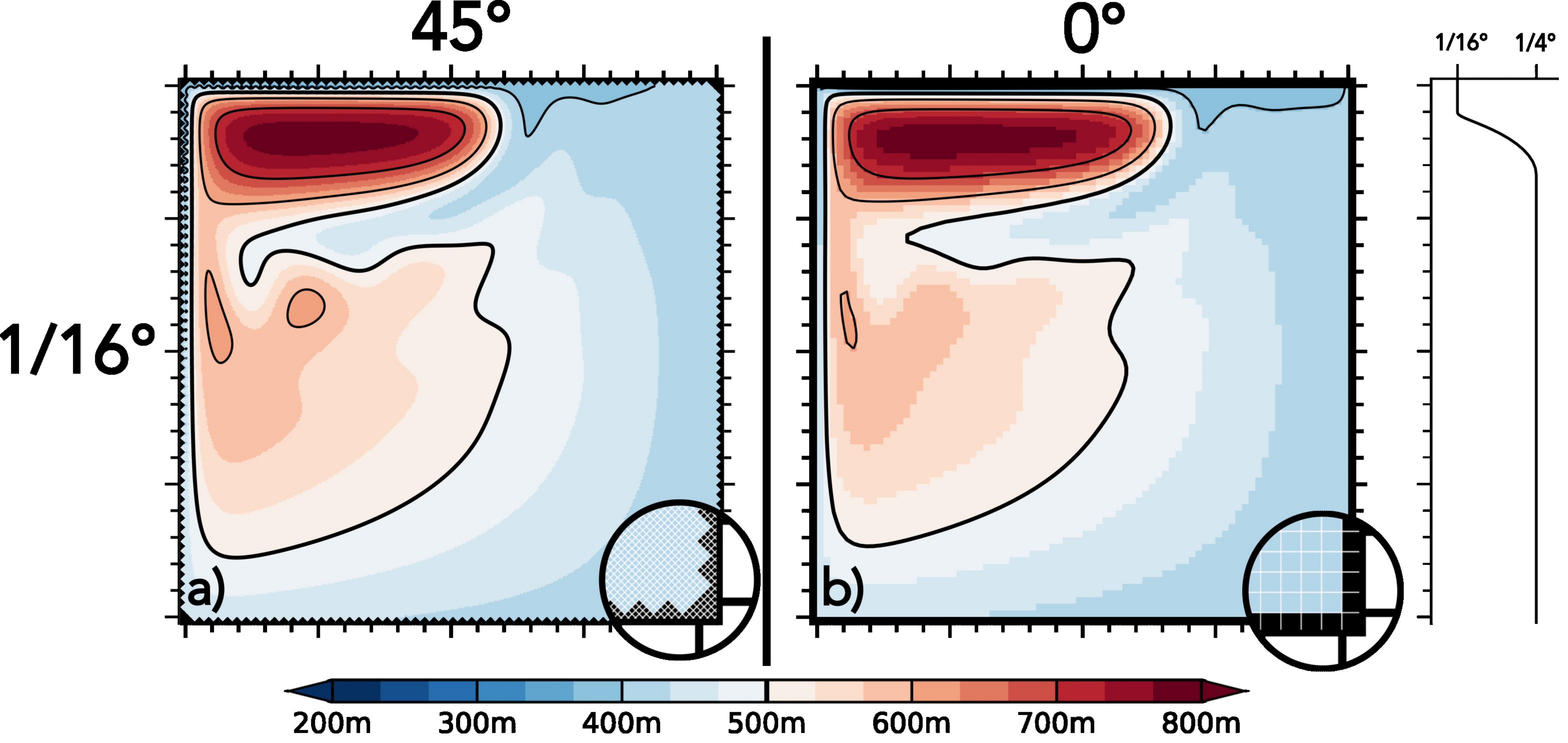




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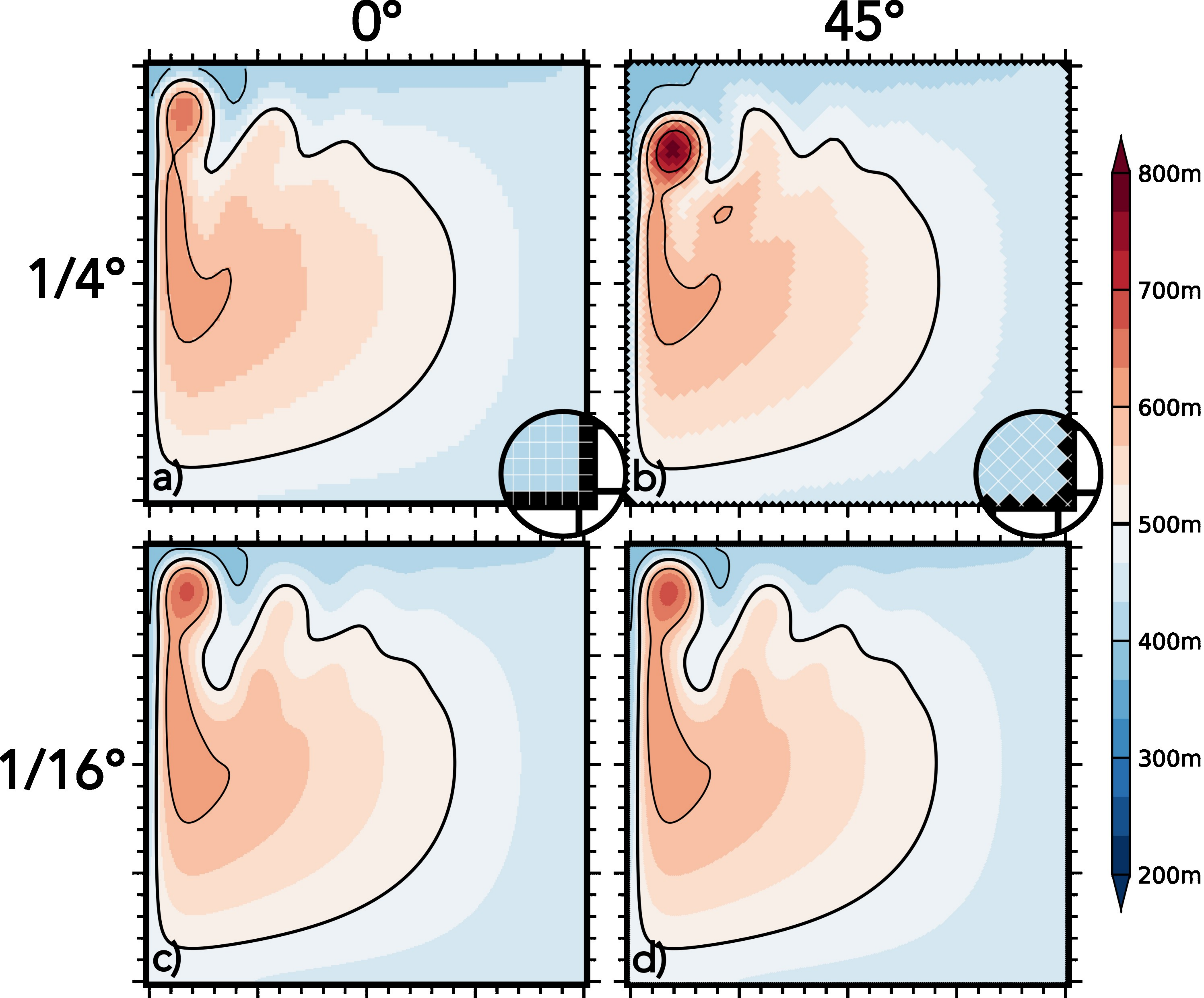


Figure 7.

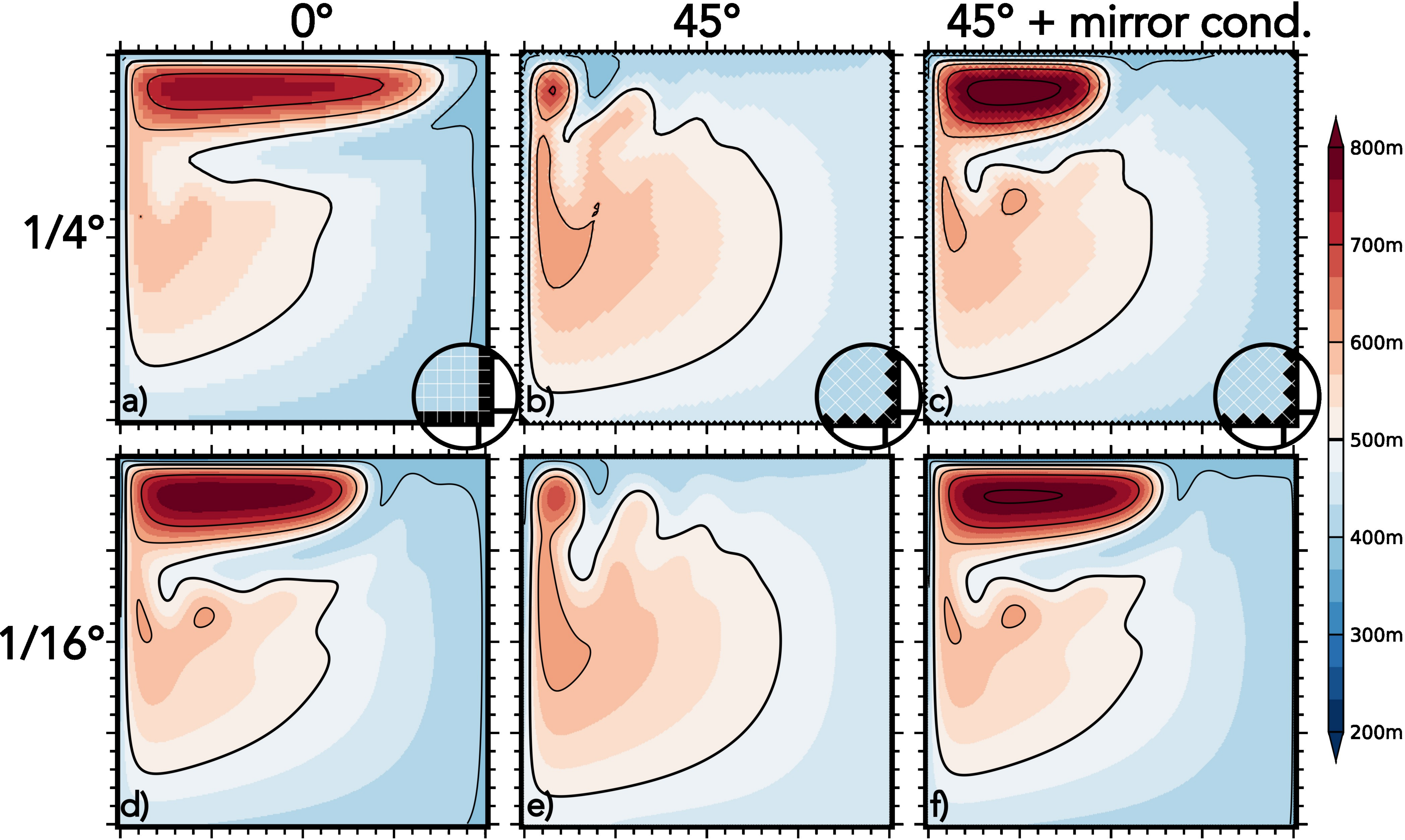


Figure 8.

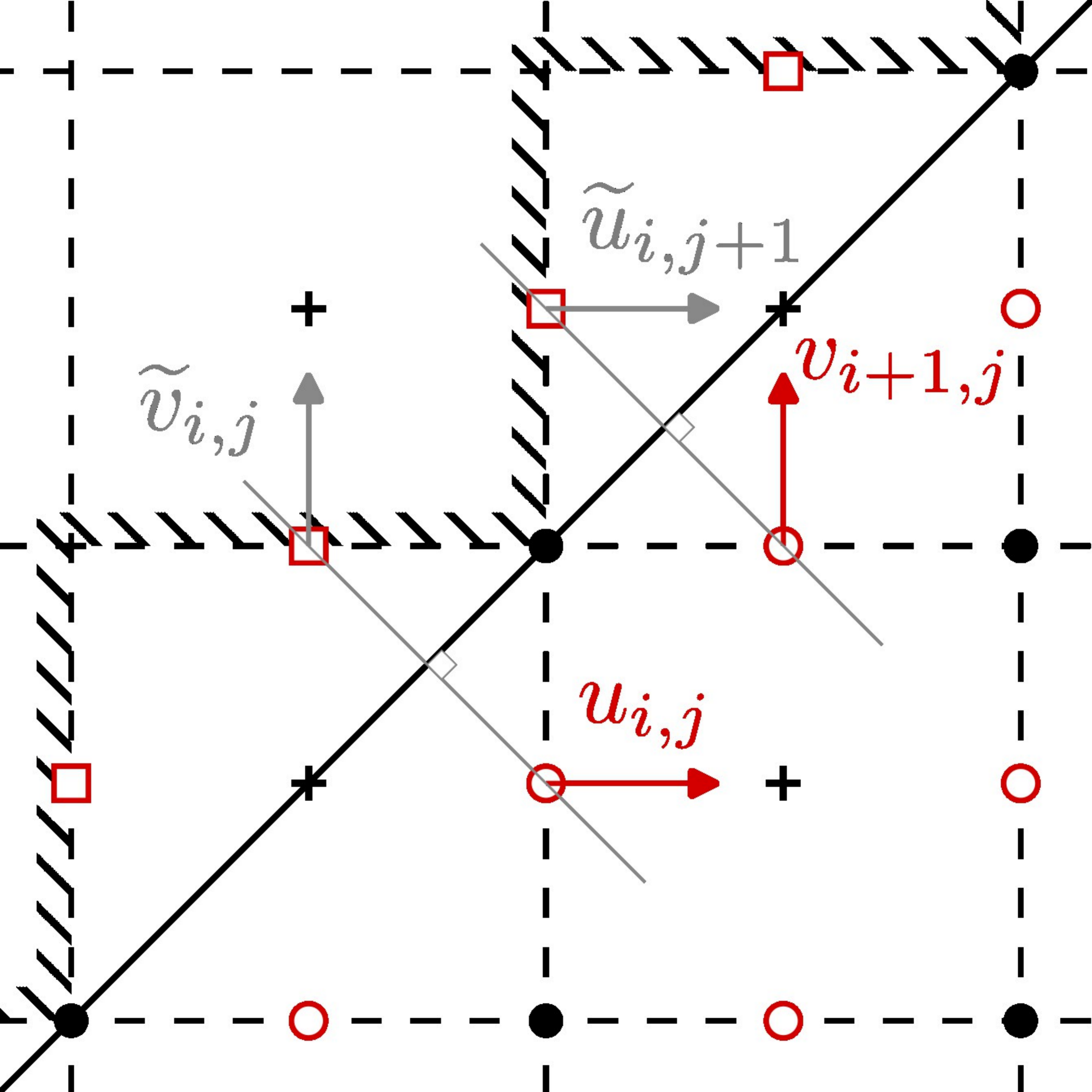


Figure 9.

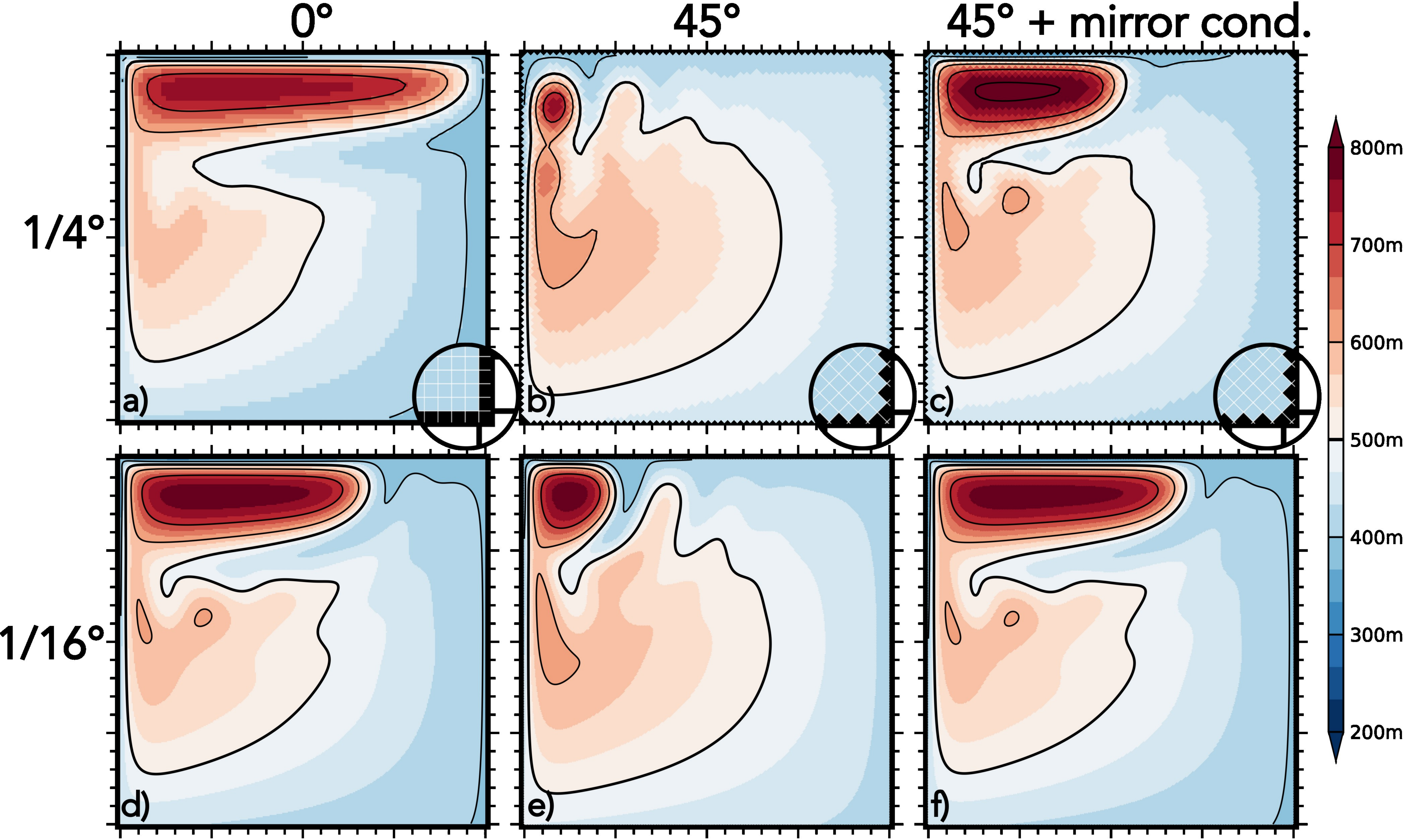




Figure 10.

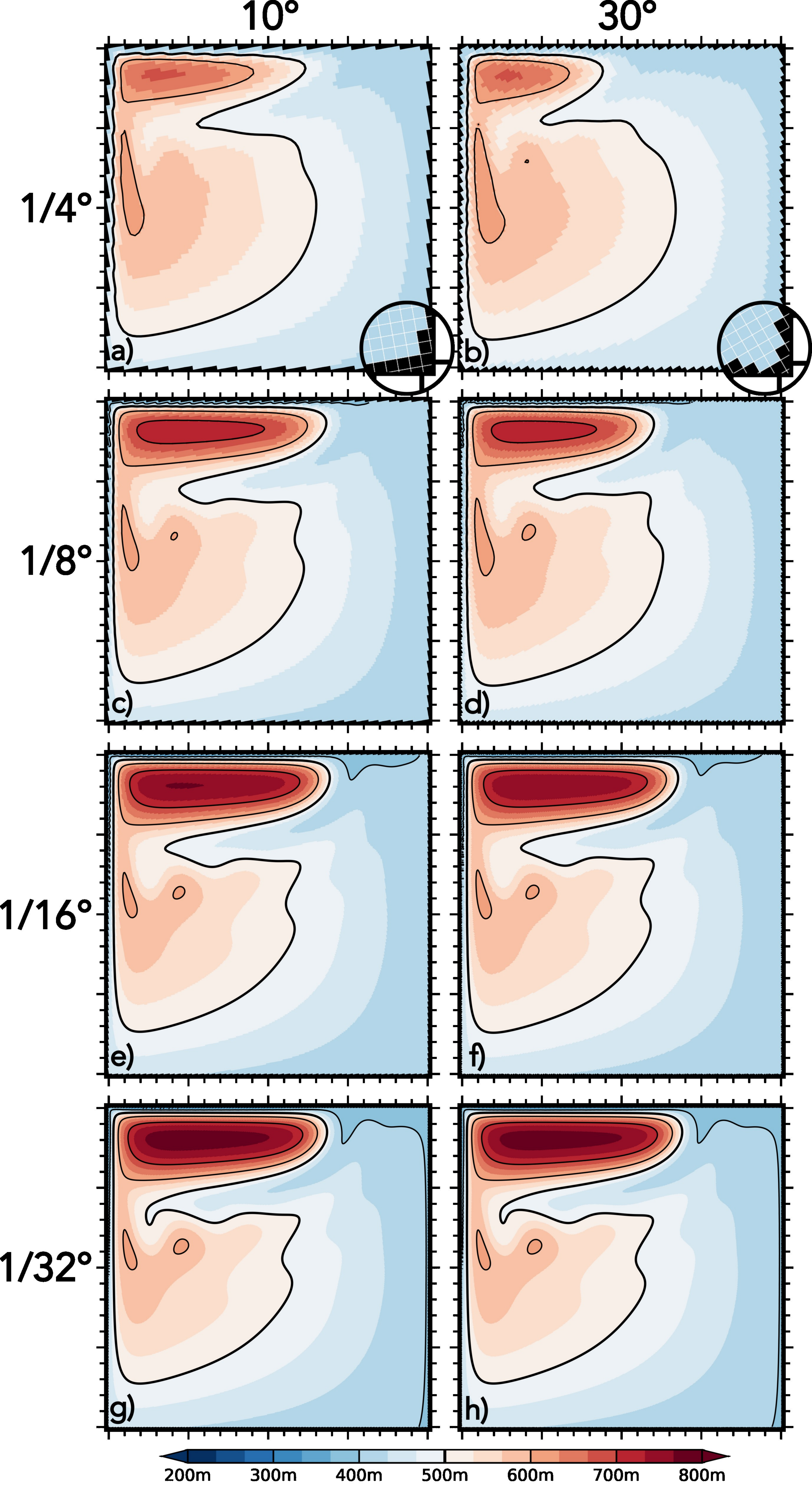


Figure 11.

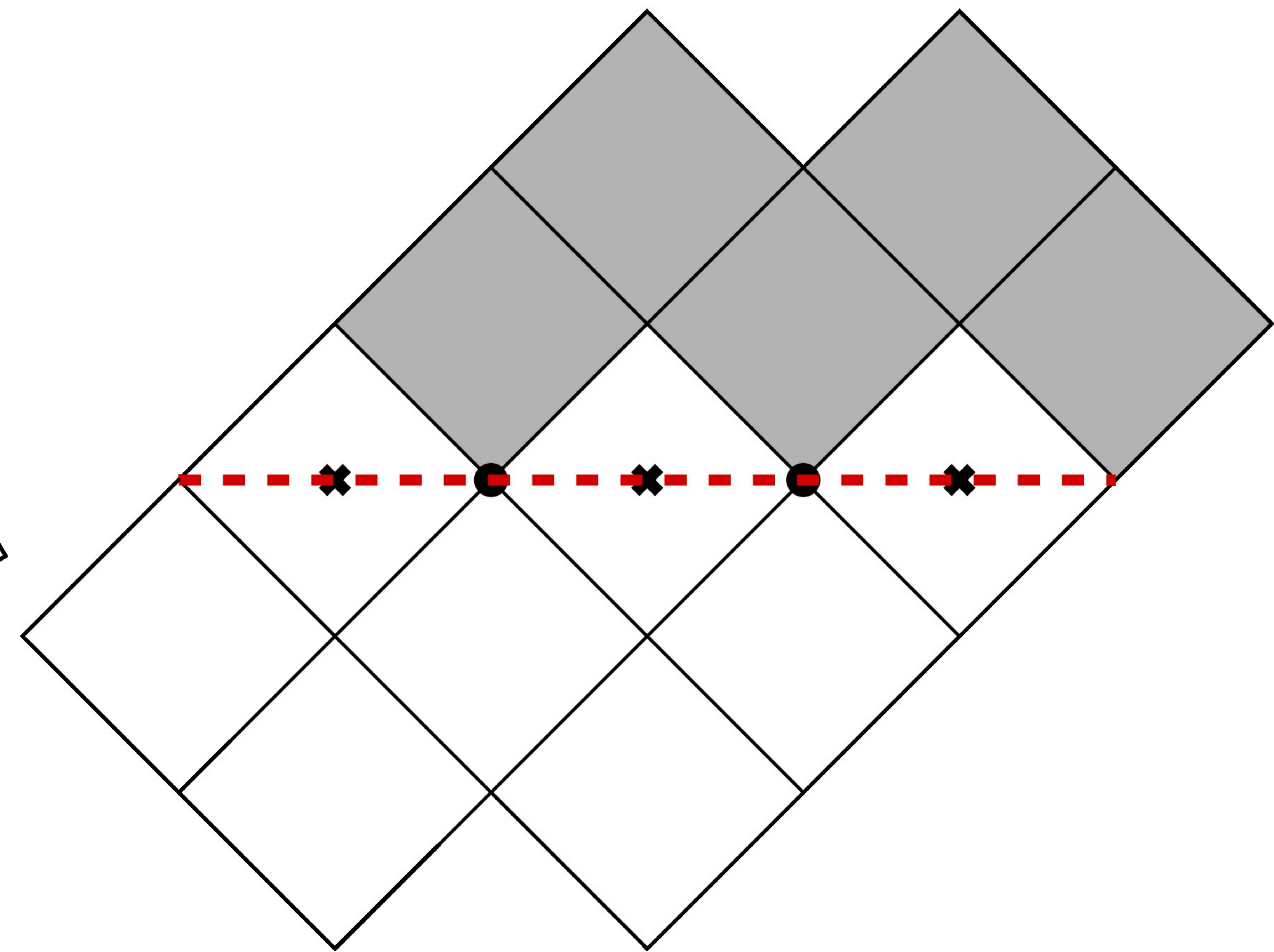
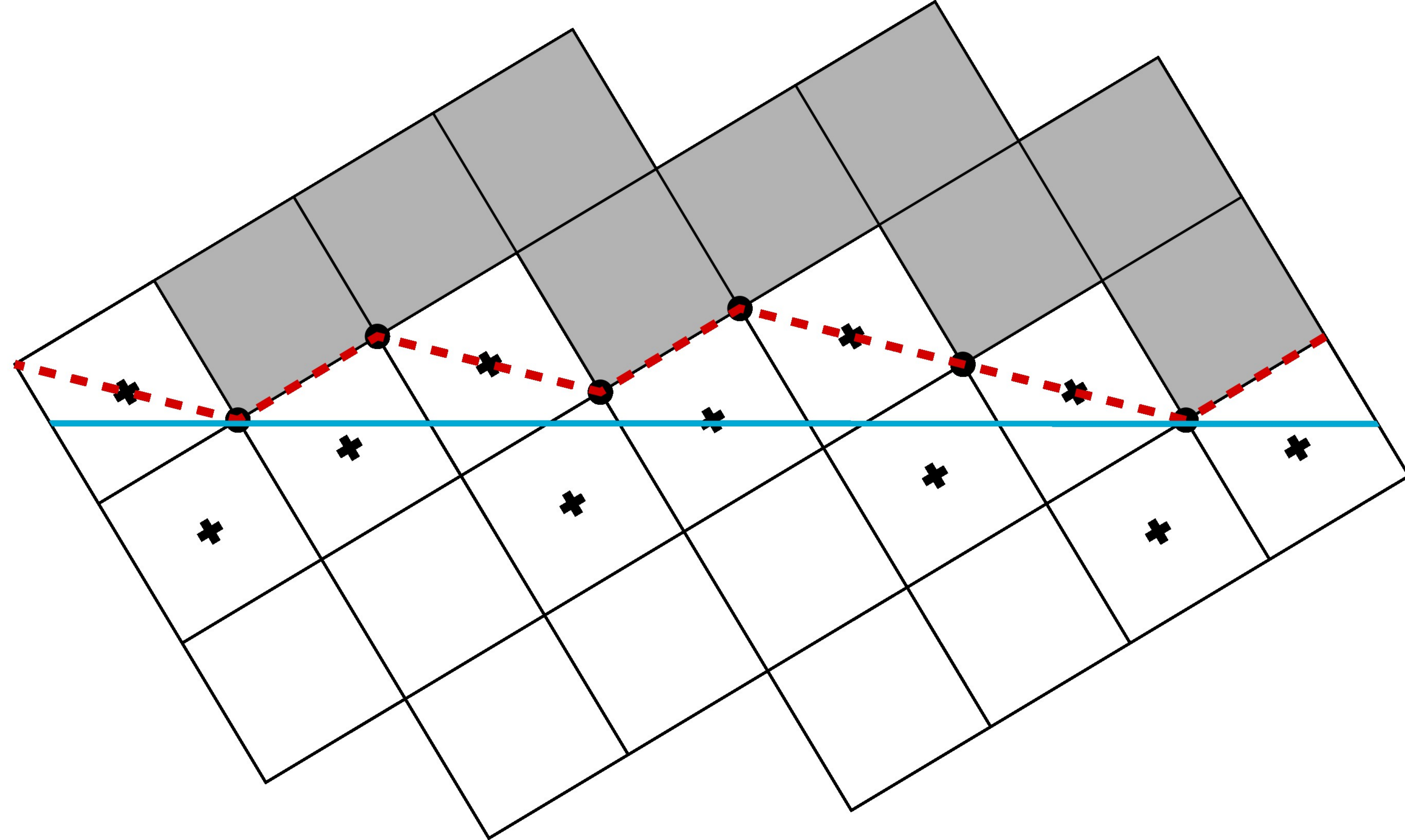
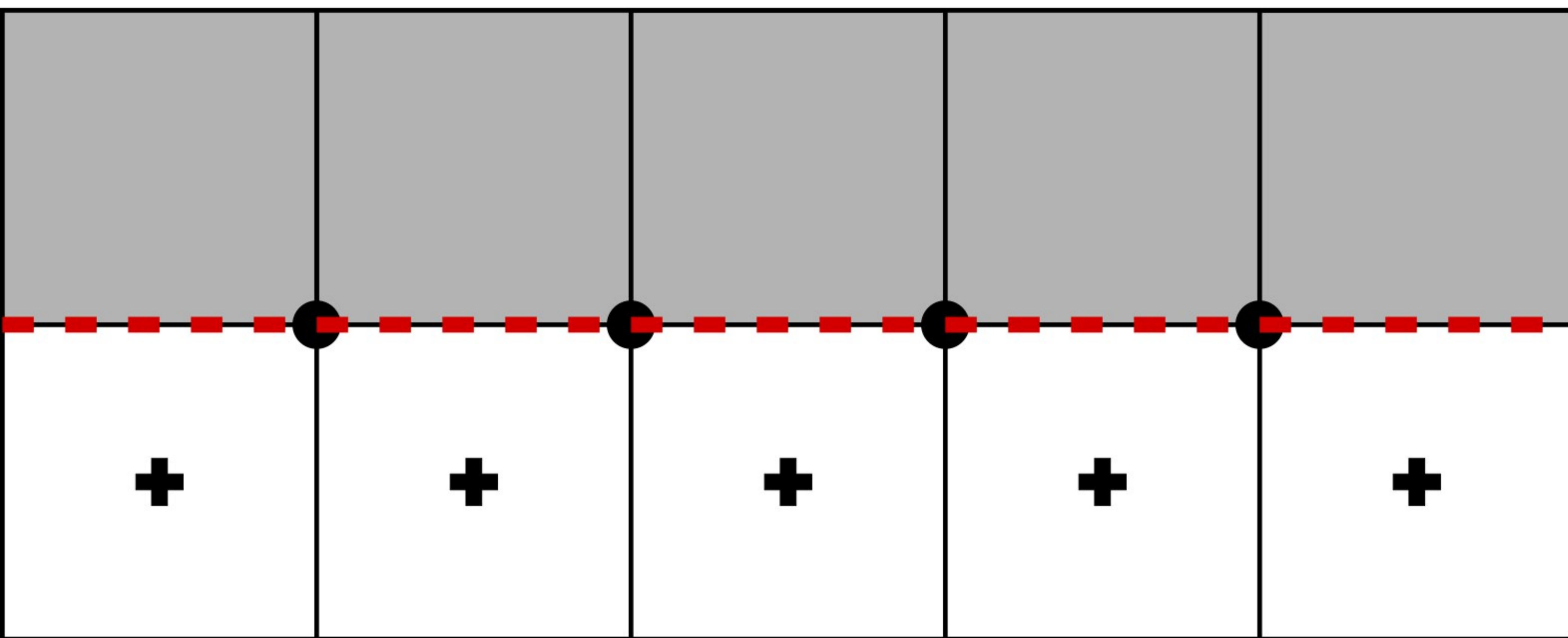


Figure 12.

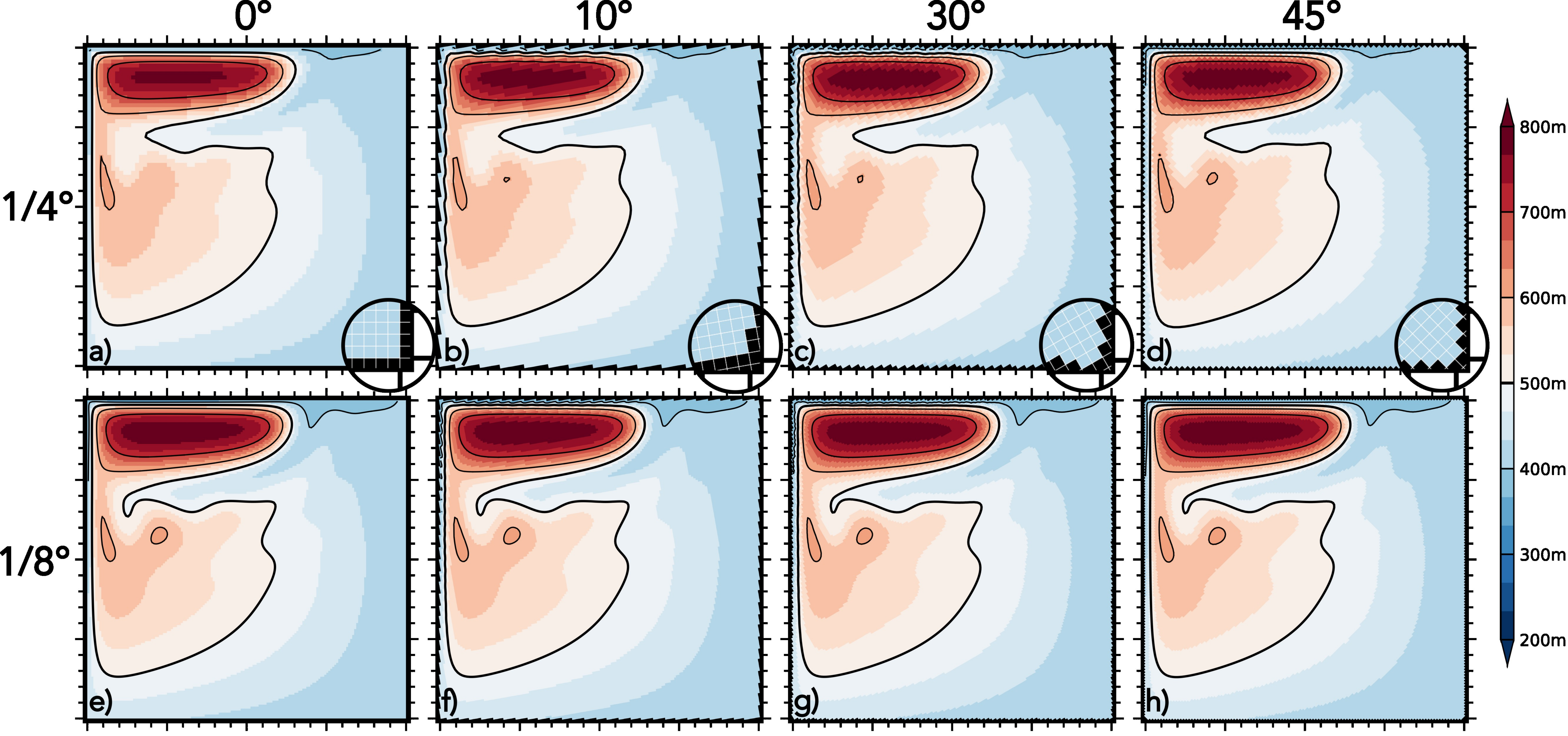


Figure 13.

FF flux-form equations  
 ENS, EEN vector-form equations  
 under ENS or EEN scheme

$\text{adv}_{\zeta=0}$  vorticity cancelled  
 at the tip in advection  
 $\text{adv}_{NS}$  no-slip condition applied  
 in the advection at the tip

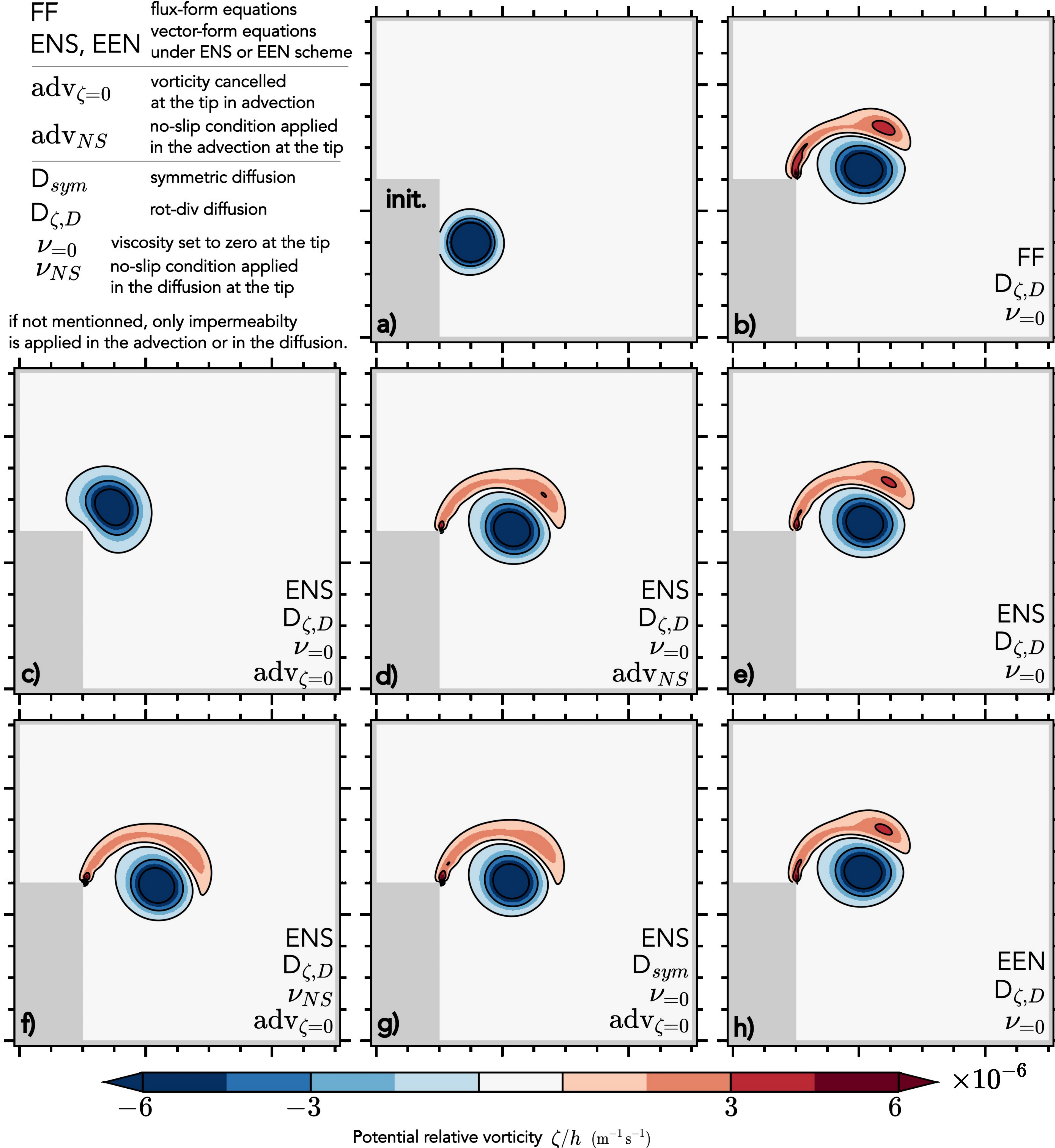
$D_{sym}$  symmetric diffusion

$D_{\zeta,D}$  rot-div diffusion

$\nu=0$  viscosity set to zero at the tip

$\nu_{NS}$  no-slip condition applied  
 in the diffusion at the tip

if not mentioned, only impermeability  
 is applied in the advection or in the diffusion.





**Figure B1.**

