




Spatio-temporal analysis of land use/land cover change dynamics in Paraguai/Jauquara Basin, Brazil

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Abstract

Although global climate change is receiving considerable attention, the loss of biodiversity worldwide continues. In this study, we investigated the dynamics of land use/land cover (LULC) change in the Paraguai/Jauquara Basin, Mato Grosso, Brazil. Two analyses were performed using R software. The first was a comparative study of LULC among the LULC classes at the polygon scale, and the second was a spatio-temporal analysis of moving polygons restricted to the agricultural regions in terms of topology, size, distance, and direction of change. The data consisted of Landsat images captured in 1993, 1997, 2001, 2005, 2009, 2013, and 2016, and processed using ArcGIS software. The proposed analytical approach handled complex data structures and allowed for a deeper understanding of LULC change over time. The results showed that there was a statistically significant change from regions of natural vegetation to pastures, agricultural regions, and land for other uses, accompanied by a significant trend of expansion of agricultural regions, appearing to stabilize from 2005. Furthermore, different patterns of LULC change were found according to soil type and elevation. In particular, the purple latosol soil type presented the highest expansion indexes since 2001, and the elevated agricultural areas have been expanding and/or stabilizing since 1997.

Keywords: LULC, Spatio-temporal pattern, Moving polygon analysis, Spatial autocorrelation, Remote Sensing, Anthropogenic activities

Declarations

Conflicts of interest/Competing interests: Daniela Silva, Edinéia A. S. Galvanin, and Raquel Menezes declare that they have no conflict of interest.


Availability of data and material: Data was collected from freely available images composites from the catalogs of the United States Geological Survey.

Code availability: QGIS software (version 2.14.21), Geo-reference Information Processing System (SPRING) (version 5.5.2), ArcGIS (version 9.2), and RStudio software (version 1.2.5033).

Acknowledgements

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1. Introduction

Over the last decades, tropical forests have been significantly degraded and destroyed by anthropogenic activities. Deforestation destroys the natural habitat and, consequently, leads to a decline in biodiversity. It can also result in forest fragmentation, which can result in areas that are too small for some species to survive or in large distances between species. Therefore, a long process of decay in residual diversity is observed (Morris, 2010), and land management becomes one of the most important factors influencing the supply of ecosystem services (van Oudenhoven et al., 2012).

In short, human activities make use of natural resources, and this impacts ecological processes and functions. This is known as land use (Veldkamp and Fresco, 1996). Information about land cover is essential for the planning and management of natural resources (Zhu, 1997). Thus, the analysis of ecosystem changes, particularly land use/land cover (LULC) change, has become relevant during the process of land management decision-making. Such changes can include losses of forest, water bodies, agricultural areas, and other vegetated green spaces. Detecting change dynamics has attracted great attention (Lu et al., 2013); however, it faces some challenges.

Although many change detection methods have been developed, most are only used to detect binary change and non-change categories (Lu et al., 2004). According to Mizutani and Murayama (2011), the major data formats used to represent LULC are the raster format and polygon-based formats. While in raster-based LULC data each location has an individual value and is described pixel by pixel, in polygon-based LULC data homogeneous space is defined as one polygon. Polygon representation is the most useful since it is the only one that can fully contain all geometric information, and it may consider polygon adjacency and topological relationships, which are important features for understanding LULC change (French and Li, 2010). Polygon-based data can also permit LULC fragmentation analysis; this is important for assessing how anthropogenic and natural factors can influence LULC changes (Lu et al., 2013).

Methods based on the polygon format to study LULC change have been proposed. To explain how societal and natural systems are affected by landscape changes, Sohl et al. (2019) applied a unique parcel-based modeling framework to produce high-resolution landscape projections at a national scale, the "Land Change Monitoring, Assessment, and Projection". Jacobson et al. (2015) introduced a method for accessing localized information in developing countries called GE Grids. This method is used to identify anthropogenic land conversion across East Africa and compare this against available land cover datasets through an interactive user-specified binary grid laid over Google Earth's high-resolution imagery. Galvanin et al. (2019) proposed a mixed-effects modeling approach for analyzing LULC change in the Brazilian Pantanal subregions of Cáceres, Mato Grosso State, Brazil. The models allow analysis of complex data structures and incorporate both fixed effects, associated with observed covariates, and random effects, which consider the particularities of each LULC class dependent on the year of data collection. Lu et al. (2013) provided a comparative analysis of LULC changes in the Brazilian Amazon at multiple scales, including per pixel, polygon, and census sector. Their research highlighted the necessity to implement change detection at multiple scales to understand LULC change patterns. Williams and Wentz (2008) proposed the TOSS method to understand LULC patterns. This method is used to examine whether similar geographic areas exhibit specific spatial patterns using additional attributes of polygons, such as type, orientation, size, and shape. Groups of similar regions are then created based on these attributes using cluster analysis, and the nearest neighbor analysis is used to compute the spatial distributions for each group.

Land use change modeling can be very challenging, and other advances have been made, such as the approaches presented by Gao and O'Neill (2019) and Verweij et al. (2018). Gao and O'Neill (2019) took a data-driven approach to develop a long-term spatially explicit urban land change model. The estimation process for each grid cell includes the capture of both the average grid-cell-level trajectory of land development applicable to all global grid cells and the local variations in the process of built-up land conversion across the world. The model incorporates the residuals resulting from both. Verweij et al. (2018) presented a new version of the CLUE model, the iCLUE model. This version incorporates solutions that address CLUE model issues, such as the process being time consuming and not producing

52 self-explanatory results, and other issues directly related to the software. Both methods present good
53 results, but they cannot be applied to the polygon data format, the importance of which has already been
54 discussed. Very recently, Machado et al. (2020) introduced the LDTtool, a toolbox designed to assess
55 landscape dynamics. The LDT method requires binary landscapes; as such, the area of interest must
56 contain only one class of polygon, and it compares two metrics from two dates: the area and the number
57 of patches. Although this method provides a diagnostic analysis and designs a future pattern, it works
58 better in situations where there is little human interference (Machado et al., 2020).

59 Spatial dependency gives us information on spatial patterns, structures, and processes. Overmars
60 et al. (2003) studied the spatial autocorrelation in LULC data from Ecuador. Since the analyzed LULC
61 indicators were continuous, Moran's I was applied to study spatial dependency. In many LULC studies,
62 the variable of interest is a factor, and Moran's I cannot be used. For this purpose, a join count test
63 was developed, and it is not only used for binary data but can also be applied to multi-categorical data
64 (Cliff and Ord, 1981). Mizutani and Murayama (2011) established an analytical framework for polygon-
65 based studies of LULC change by defining polygon event and polygon state. Polygon event is related
66 to changes in shape and attributes, while polygon state considers the spatial continuity and adjacency
67 during the process. In particular, the combination of polygon events constitutes the change in polygon
68 distributions (Sadahiro and Umemura, 2001), where events (such as stable, expansion, convergence,
69 and division) characterize the change patterns based on a combination of the shape and the attributes.
70 However, a more specific classification of changes can be considered, particularly the one proposed by
71 Robertson et al. (2007).

72 The two main objectives of this study were (i) to compare and analyze the evolution of LULC for dif-
73 ferent LULC classes in the Paraguai/Jauquara Basin (BHPJ) and (ii) to study the spatial pattern, structure,
74 and processes of changes in agricultural regions in the Bugres River Basin (BHRB). The ideas proposed
75 here are illustrated with these two case studies, BHPJ and BHRB, but they can be easily applied to similar
76 studies.

77 2. Methods

78 2.1. Study area

79 The BHPJ territory covers 16,482 km² and is located in the Brazilian area of the north-eastern Upper
80 Paraguay Basin (BAP), in the central-west region of Brazil (Figure 1). The BHPJ area includes the
81 Cerrado, Amazon, and Pantanal biomes, which are predominately savanna and seasonal forest (Brasil.
82 Ministério do Meio Ambiente e Instituto Brasileiro de Geografia – IBGE, 2004; IBGE, 2012).

83 According to the Köppen classification, the region has a Cwa climate (tropical climate), and it has
84 two well-defined seasons: the rainy season in the summer and the dry season in the winter (Fenner et al.,
85 2014). The mean monthly temperature ranges from 23.0°C to 26.84°C, and total annual rainfall ranges
86 from 1,200 to 2,000 mm (Souza et al., 2013).

87 The Serra das Araras Ecological Station is a conservation unit integral to the protection of nature
88 that extends for 271 km² of the Cerrado biome, present relief dissected, with elevations above 700m. It
89 is located in the southwest region of the BHPJ, with a mountain corridor connecting the Amazonian and
90 Pantanal biomes (Brasil, 1982). It contains the Umutina Indigenous Land, an area of 28,120 hectares
91 located between the municipalities of Barra do Bugres and Alto Paraguai (ISA, 2018; Monzilar, 2018).
92 The source of the Paraguay River is located in the northeast region of the BHPJ; this is an important
93 contributor to the flood pulse of the Pantanal.

94 According to Opršal et al. (2016), soil type may influence LULC changes. In addition to soil char-
95 acteristics, elevation is a significant biophysical factor in agricultural land change since most cultivated
96 lands are situated at lower elevations (Warra et al., 2015). Elevation can influence other factors that con-
97 tribute to agricultural occupation, such as soil quality, land accessibility, and the capacity to use modern
98 mechanical equipment (Opršal et al., 2016).

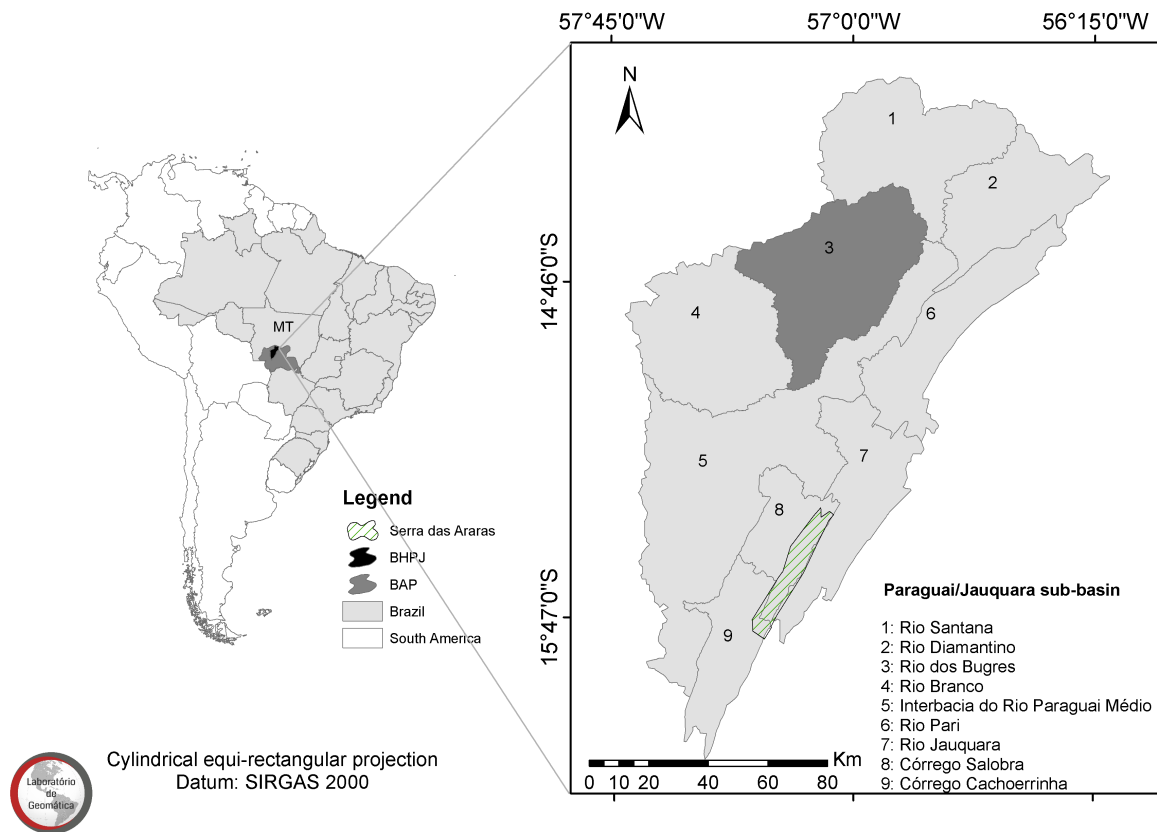


Figure 1: Map of BHPJ, Mato Grosso State, Brazil. On the right map, area 3 marked in dark-grey identifies BHRB.

99 **2.2. Data pre-processing**

100 A spatio-temporal analysis was used to study the LULC change in the BHPJ, Mato Grosso, Brazil.
 101 The methodology flowchart is presented in Figure 2.

102 Spatio-temporal data were collected to extract the LULC information. Image composites from the
 103 Thematic Mapper (TM) sensor onboard Landsat-5 (bands 3, 4, and 5) and the Operational Land Imager
 104 (OLI) sensor onboard Landsat-8 (bands 4, 5, and 6) were obtained; both were freely available from the
 105 image catalogs of the United States Geological Survey (USGS, 2017).

106 The BHPJ was covered by the Landsat scenes (path: 227; rows: 70 and 71) (30-m spatial reso-
 107 lution; 185-km swath width; 16-day temporal resolution; and 8-bit or 16-bit radiometric resolutions)
 108 (USGS, 2017). The images were captured in 1993, 1997, 2001, 2005, 2009, 2013 (Landsat-5, overpass:
 109 September), and 2016 (Landsat-8, overpass: August). Both August and September are in the dry period.

110 The delimitation of the BHPJ and BHRB was performed with the Digital Elevation Model from the
 111 Shuttle Radar Topography Mission (SRTM), with a spatial resolution of 30m, adapted for the Datum
 112 SIRGAS 2000, using the QGIS software (version 2.14.21) (QGIS Development Team, 2016).

113 The Landsat-5 images were geo-referenced using the Geo-reference Information Processing System
 114 (SPRING) (version 5.5.2) (Câmara et al., 1996) with Landsat-8 images as reference and a minimum error
 115 tolerance of 0.5 per pixel. ArcGIS (version 9.2) (ESRI, 2011) was used by radiometric correction. The
 116 mosaic of the images obtained by Landsat-5 and -8 and the geo-referenced images were imported into
 117 the Geo-reference Information Processing System (Câmara et al., 1996).

118 Landsat images were processed using the region growth algorithm available in the SPRING software.
 119 The best combinations for grouping two spectrally similar regions into a single region were: similarity
 120 value 10 and area 16 (1.44 hectare) for 1993, 1997, 2001, 2005, 2009 and 2013 images; and similarity
 121 value 10 and area 20 (1.8 hectare) for 2016 images.

122 Five thematic classes were considered based on the LULC classes proposed by IBGE (2013): pasture
 123 (grassland composed of cultivated pastures); natural vegetation (savanna and seasonal forest); agriculture

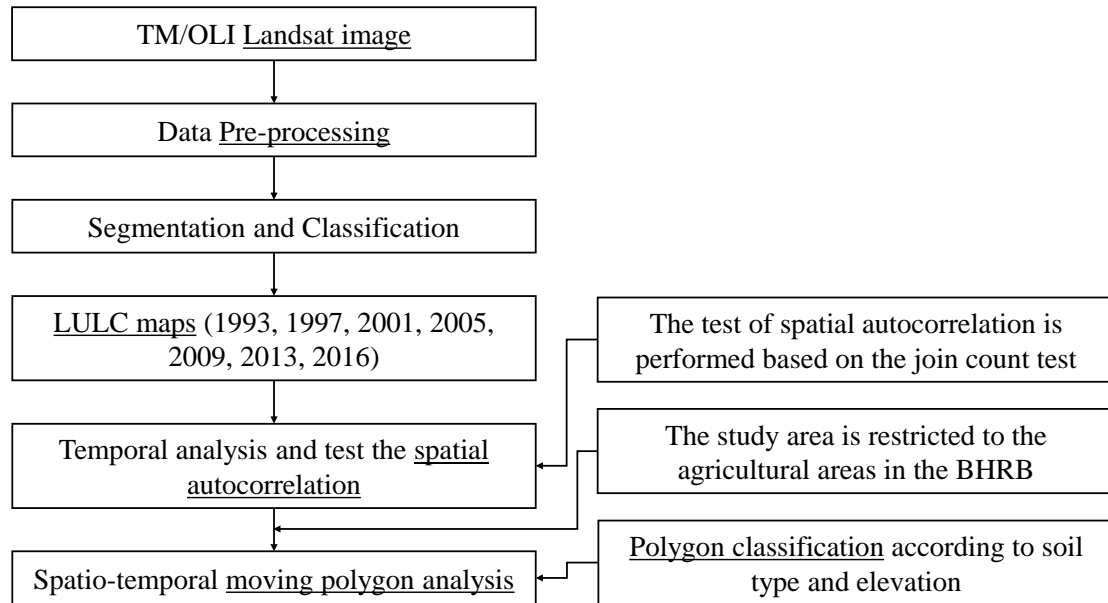


Figure 2: Methodology flowchart.

124 (all types of agricultural crops); water (all water bodies); and other uses (urban areas, farmhouses, roads,
 125 civil constructions, and mining).

126 The class training samples were identified, and the classification was supervised using Bhattacharya's
 127 method, which was performed in SPRING with a threshold of acceptance of 95% (Xaud and Epiphanio,
 128 2014). The maps generated by SPRING were converted to matrix-vector form and exported in a shape
 129 file format to ArcGIS for cartographic mapping and quantification of thematic classes.

130 2.3. Spatio-temporal analysis

131 The spatio-temporal analysis was split into two steps. First, an exploratory method was used to
 132 analyze LULC changes at the polygon scale in the BHPJ, and this included a spatial autocorrelation
 133 analysis. Second, the area of interest was restricted to the BHRB, the area with more agricultural regions
 134 of the BHPJ. This second part consisted of a detailed spatio-temporal moving analysis of agricultural
 135 land use polygons in terms of topology, size, distance, and direction of change.

136 All analyses were performed using RStudio statistical software version 1.2.5033 (RStudio Team,
 137 2019). The *spdep* package (Bivand, 2020) was used to study the spatial autocorrelation, while the *stampr*
 138 package was used for the spatio-temporal moving analysis (Long and Robertson, 2018).

139 The analyzed geodata consisted of polygons, each identified by: year of data collection (seven dis-
 140 tinct years); area of the polygon (in hectares); LULC class (five classes); and geographical area of the
 141 region of interest (four classes: northwest, northeast, southwest, and southeast). Note that different rep-
 142 resentations of polygons were considered for the two data sets used in this study. For the BHPJ data,
 143 the polygon was identified by a pair of coordinates, while for the BHRB data, each polygon had defined
 144 boundaries determined by the LULC class.

145 2.3.1. Spatial autocorrelation

146 Spatial autocorrelation represents the relationship between nearby spatial units, where each unit has
 147 a realization of a single associated variable. In fact, the concept of spatial autocorrelation can be adopted
 148 in different situations. It can be used as a test for model mis-specification, a measure of the strength of
 149 the spatial effects on any variable, a means of identifying spatial clusters, a test for hypotheses about
 150 spatial relationships, and for other purposes. Examples of applications are reported by Getis (2010);
 151 Garcia-Soidan and Menezes (2012); Menezes et al. (2016).

152 In the current study, the spatial units were polygons, and the presence of spatial autocorrelation
153 among realizations of the variable of interest, LULC class, was tested. Therefore, to assess the degree of
154 clustering and dispersion of each LULC class, the joint count statistics test was used, with the assumption
155 of independence between sampling outcomes and each locality.

156 The test statistic is given by the standardized normal statistic of the number of same-color joins
157 (also denoted by Black-Black [BB] joins), testing whether they occurred more frequently than would
158 be expected if the zones were labeled in a spatially random way (Cliff and Ord, 1981; Sokal and Oden,
159 1978). The spatial weights used were binary and retained a weight of unit for each neighbor relationship.
160 On the other hand, the neighborhood was defined according to the k -nearest neighbor method, which
161 involved finding, for each spatial unit, the closest group of k objects in terms of the Euclidian distance.
162 The choice of k was based on a thumb rule, the square root of the data set dimension.

163 2.3.2. *Spatio-temporal moving polygon*

164 Spatio-temporal moving polygon analysis started with a categorization of polygon movement events
165 based on the intersection of polygons from two different time stamps, and a distance threshold was used
166 to verify whether the polygons were related between the time stamps. This categorization was performed
167 with a hierarchical system (Robertson et al., 2007):

- 168 • Level 1 - stable (STBL), generation (GENR), and disappearance (DISA);
- 169 • Level 2 - STBL, GENR, DISA, expansion (EXPN), and contraction (CONT);
- 170 • Level 3 - STBL, GENR, DISA, EXPN, CONT, displacement (DISP), convergence (CONV), con-
171 centration (CONC), fragmentation (FRAG), and divergence (DIVR).

172 According to Robertson et al. (2007), this approach requires two assumptions: only unmovable
173 polygons can be considered, and polygon changes are discontinuous. In the present case, both were
174 verified since the agricultural regions were not movable objects and the observed time was discrete.
175 Furthermore, the polygon events were classified based on combinations of change in shape and LULC
176 class.

177 To compare different periods, the ratio and area of the observed events may be used (Sadahiro and
178 Umemura, 2001; Mizutani and Murayama, 2011). However, the size measures only provide information
179 about local changes in the sizes of temporally related polygons. In addition to the ratio and the size of
180 events, other spatial properties associated with polygons may be taken into account, such as distance
181 and direction, which may show the spatial relationships of polygons. In particular, the combination of
182 local size changes with measures of direction can provide a metric and topological description of the
183 characteristics of local change (Robertson et al., 2007).

184 Methods for quantifying distance relationships in polygon sets are well developed, and the calcula-
185 tion can be relatively straightforward. For this purpose, the Hausdorff distance may be adopted, which
186 can be described as the maximum distance separating two polygons; that is, it measures the degree of
187 mismatch between two polygons (Shao et al., 2010). The choice of the Hausdorff distance is justified
188 by its greater sensitivity to changes in shape when compared to the centroid distance. Hence, the Haus-
189 dorff distance was used to quantify the distance between a polygon observed in a specific year and in the
190 following year.

191 Conversely, there are many methods for performing polygon direction analysis. In this study, the
192 simplest and most straightforward method was used—the centroid angle method. It measures the angle
193 between two polygon centroids.

194 For this analysis, the study area was restricted to the agricultural areas of the BHRB due to the clear
195 increases in these regions over time. Furthermore, after the categorization of polygon movement events,
196 the soil type and elevation data were obtained for each area corresponding to each topological event.
197 Soil type was classified into three categories: purple latosol (PL), red-yellow podzolic (RP), and red-
198 yellow latosol (RL). PL soil is characterized by its great agricultural potential; it has higher fertility than
199 the remaining latosols. RL soil has the largest and widest geographic distribution in Brazil, although it

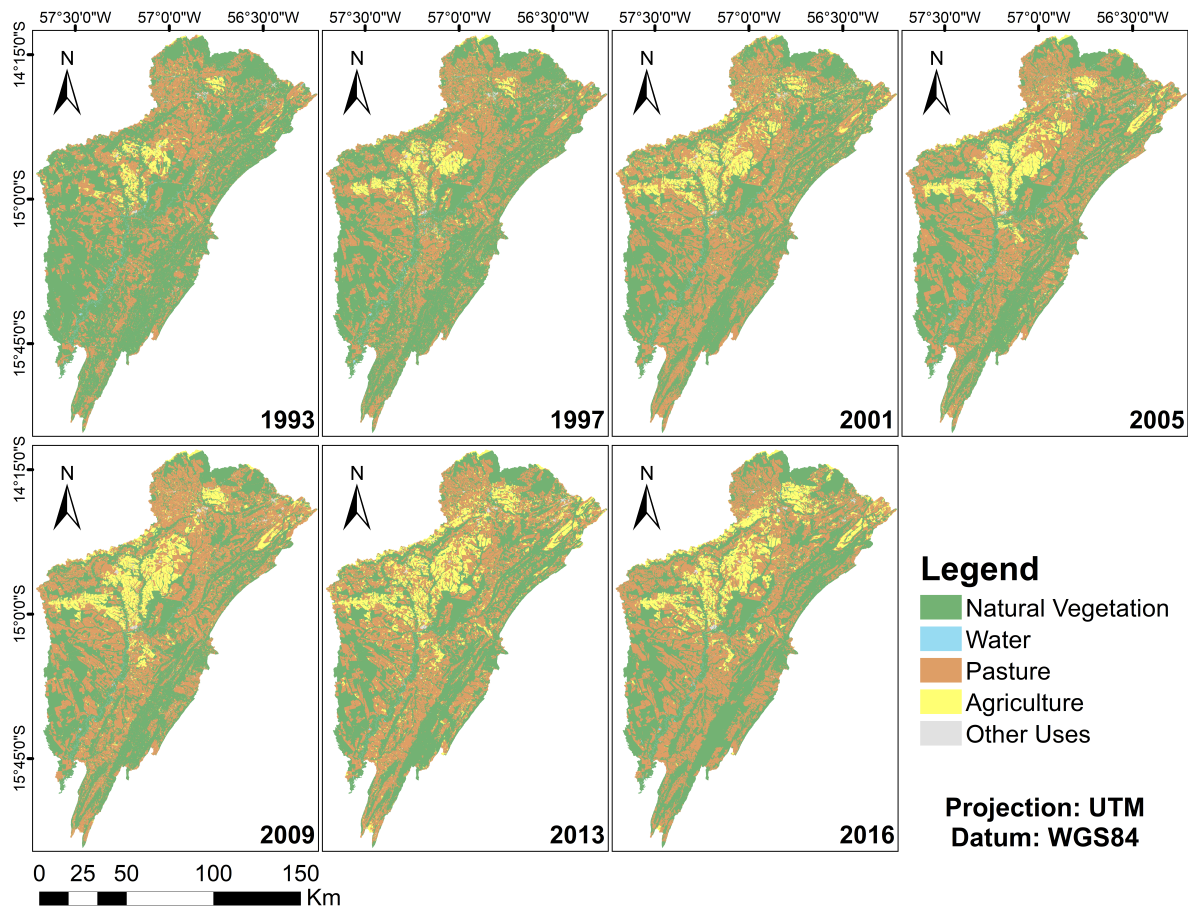


Figure 3: Distribution of thematic Land Use/Land Cover classes in the BHPJ.

200 generally has low-medium fertility (Ker, 2013). RP soil is mostly used for pasture activities on the tops
 201 and slopes of hills. Elevation was categorized by intervals of 100 m: 100–200m, 200–300m, 300–400m,
 202 400–500m, and 500–600m.

203 3. Results and Discussion

204 3.1. Analysis of BHPJ data

205 Figure 3 provides an overview of the LULC classes that were present in the BHPJ from 1993 to 2016.
 206 The intensification of agricultural LULC and the decrease in the natural vegetation area can be observed.

207 Figure 4 hands an overview of the LULC changes in the BHPJ from 1993 to 2016 in terms of the
 208 average polygon area and the observed number of polygons. In particular, Figure 4 indicates that there
 209 has been a decreasing trend in the average area of natural vegetation polygons over the years. The
 210 decline is prominent in the first years; the rate was lower from 2005 to 2009 (also highlighted by Neto
 211 et al. (2009)), and an increase is seen in 2016. While the opposite has occurred with land used for pasture,
 212 agriculture (also reported in the temporal analysis by Ribeiro et al. (2016)) and other LULC use classes.

213 Therefore, it can be noted that the natural vegetation regions are disappearing and/or contracting and
 214 changing to pasture, agriculture, and other uses. According to Ribeiro et al. (2005), over the centuries,
 215 forests have been suppressed to allow the practice of economic activities such as agriculture, livestock
 216 rearing, and mining. Also, Casarin (2007) reported that forests, wetlands, and water sources were trans-
 217 formed into pasture in the Paraguay/Diamantino Basin, Mato Grosso. In particular, the LULC changes
 218 from agriculture to pasture can be due to the ever lower yields of various agricultural crops (Kosmas
 219 et al., 2000).

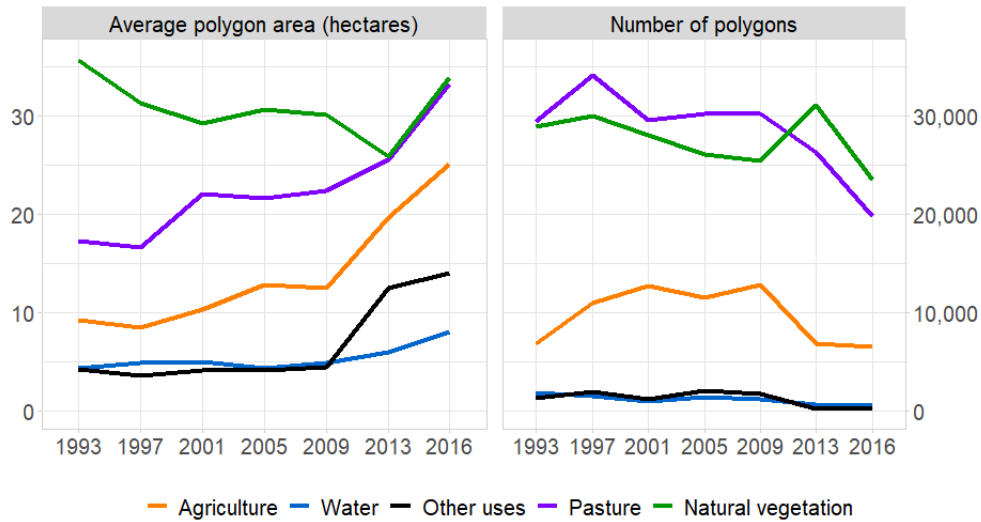


Figure 4: Evolution of the average polygon area and the number of polygons.

220 To understand the fragmentation caused by LULC change, a deep analysis of the number of polygons
 221 over time for each LULC class is relevant. Due to the LULC characteristics of the BHPJ, the number
 222 of polygons representing the LULC classes varied by orders of magnitude (right panel of Figure 4). For
 223 example, the number of natural vegetation polygons ranged from 23,514 to 31,089, while the number of
 224 water polygons was 565 to 1,947. This reflects the results for the large green areas and the rare water
 225 resources presented in Figure 3. Figure 5 shows that, for regions of natural vegetation larger than 0.5
 226 hectares, the number of polygons sharply decreased. This may be due to conversion of the area into pas-
 227 ture and agriculture or destruction or degradation of habitat by wild fire, water pollution, unsustainable
 228 tourism, or the introduction of invasive exotic species (Alho and Sabino, 2011). Moreover, the dryness
 229 observed over time was confirmed by the decreasing number of polygons for all ranges of water areas.
 230 For the natural vegetation and pasture LULC classes, the number of polygons, in general, decreased
 231 across the range of area, independently of the year, which implies that these areas are more fragmented
 232 than the remaining areas. Furthermore, for the agriculture and pasture classes, the number of polygons
 233 of smaller areas decreased, but the number of polygons of larger areas increased and remained. The
 234 expansion of larger agricultural areas and the reduction of natural vegetation areas were also observed in
 235 the data presented in Figure 3. In summary, Figure 5 suggests that there were relevant changes in LULC
 236 classes from 2009 to 2016, mainly in agriculture regions.

237 Spatial LULC data tend to be dependent (Overmars et al., 2003), once most biophysical processes
 238 exhibit spatial autocorrelation (Munroe et al., 2001). That is, random variables have values over dis-
 239 tance that are more or less similar than expected for randomly associated pairs of observations. This
 240 phenomenon is known as spatial autocorrelation, and it was studied using the statistical test described in
 241 Section 2.3.1.

242 For all data sets corresponding to each year and each LULC class, the observed p-values were less
 243 than 0.05, so it is reasonable to assume the presence of spatial autocorrelation. Furthermore, all observed
 244 values were higher than the corresponding expected values, which is an indicator of clustering (positive
 245 spatial autocorrelation). Thus, the closest regions were more similar than the distant ones, reflecting the
 246 interaction between sites, since most changes are consequences of anthropogenic activities and these also
 247 exhibit neighborhood effects (Munroe et al., 2001).

248 Since the number of joins allows assessment of the degree of clustering or dispersion (Section 2.3.1),
 249 the analysis of the percentage of BB joins over time by LULC class may be very informative (Figure
 250 6). The results presented in Figure 6 enable visualization of a decreasing tendency of the percentage of
 251 BB joins for the pasture and natural vegetation areas, and an increasing trend for the agriculture areas.
 252 Despite the observed values for the agriculture and natural vegetation areas in 2013, the pasture and
 253 natural vegetation areas were sparser and the agriculture areas more clustered over time. This pattern in

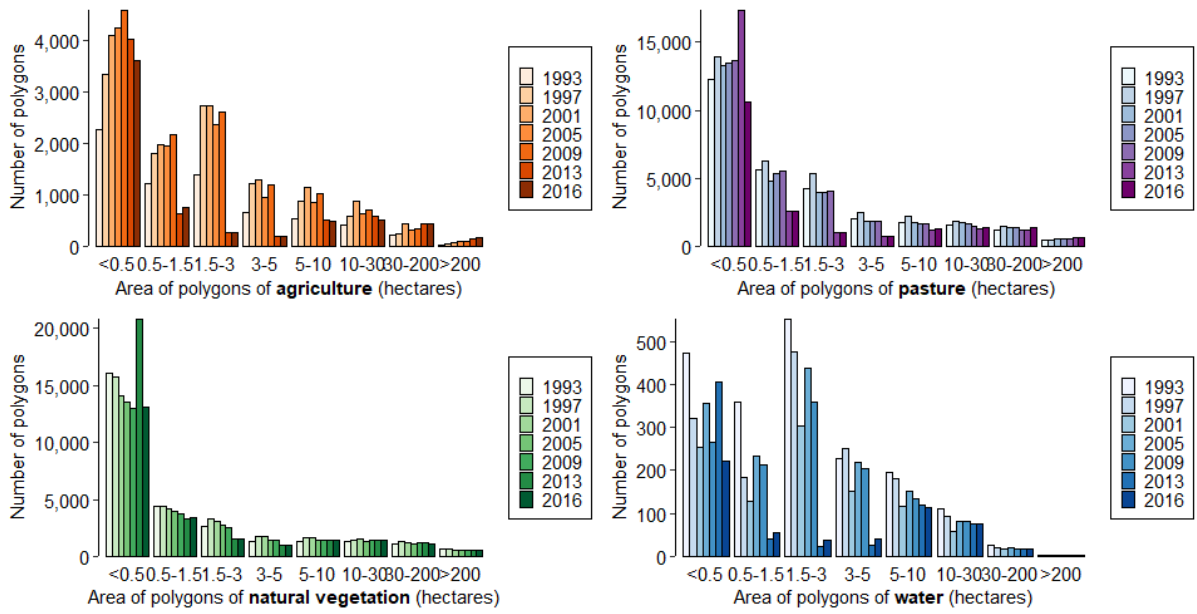


Figure 5: Comparison of polygon areas of LULC classes among different years.

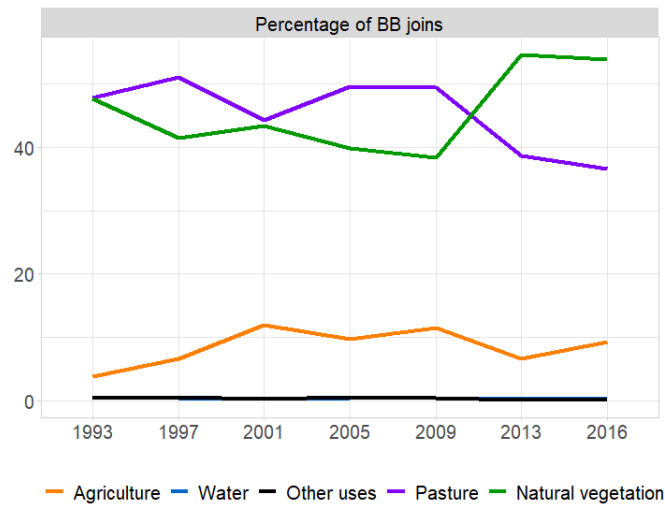


Figure 6: Percentage of the number of BB joins by LULC class.

254 agricultural areas can be justified by the importance of this activity for the Mato Grosso state. In fact,
 255 farming and raising cattle were defined as the main economic activities of this state, representing almost
 256 30% of the state's gross domestic product, and agriculture accounted for 23% of this percentage (Mato
 257 Grosso (Estado). Secretaria de Estado de Planejamento e Coordenação Geral – Seplan, 2012). In short,
 258 the data verified that there was an increase in agricultural regions at the expense of natural vegetation and
 259 pasture zones, which contracted or disappeared. Furthermore, the increase in BB joins for the natural
 260 vegetation LULC class in 2013 can be justified by the increase in the respective area.

261 3.2. Analysis of Bugres river basin data

262 As alluded to in Section 2.3, this spatio-temporal analysis was fostered by the growth of agricultural
 263 areas over time. Table 1 shows the number of regions and the corresponding total areas over time, and
 264 Figure 7 presents the evolution of the agricultural regions' area in the BHRB.

Table 1: Observed number of regions and total area over time.

Year	1993	1997	2001	2005	2009	2013	2016
Number of regions	427	841	1149	653	767	444	308
Total area (hectares)	32,880.98	40,137.70	61,458.97	73,416.89	74,566.48	71,395.65	67,762.06

265 Table 1 shows that the number of regions and the corresponding total area for agriculture
 266 were not correlated (for instance, in 1993 and 2013, almost the same number of polygons was
 267 observed, but the total areas were very different). However, there was a pattern of growth in the
 268 total agriculture area until 2009, which is an indicator of expansion according to Pessoa et al.
 269 (2014). The observed decreases in 2013 and 2016 show a change in the BHRB agricultural area.
 270 Therefore, the results indicate that changes were occurring in space and time. In particular,
 271 Figure 7 shows the changes that occurred in the sizes of regions, which mainly happened in the
 272 south, where some regions were increasing and others decreasing without a well-defined pattern.
 273 The northern area comprises smaller areas, and it seemed to present a more discrete evolution
 274 of sizes over the years. Overall, these changes may indicate the expansion and contraction of
 275 agricultural regions. These results indicate the importance and relevance of spatio-temporal
 276 moving polygon analysis.

277 The acquired data included seven periods; therefore, six change intervals were included in
 278 the analysis of topological events; these were labeled 1–6 in ascending order. Analysis was
 279 restricted to a level 2 change indicator, once at least 98% of agricultural area remained, gen-
 280 erated, disappeared, contracted, or expanded, which revealed that displacement, convergence,
 281 divergence, concentration, and fragmentation were rare in agricultural regions' changes over
 282 time (see Table A.2 from Appendix A). Figure 8 provides an overview of this indicator in the
 283 BHRB over the six change intervals. Most regions remained stable over time, while the dis-
 284 appearance and the appearance of regions were rare when compared with the expansion and
 285 contraction events.

286 Even though the expansion event was significant in the first change interval, there was a
 287 decreasing trend in this event until 2009, and an increase in contraction events. Hence, this
 288 resulted in a balance between these events in the following years. Another important inference
 289 based on the level 2 changes indicator was that the regions that appeared or expanded in a
 290 certain time frame ended up disappearing or contracting, indicating a greater change in the
 291 BHRB agricultural regions.

292 Next, the geographical area, soil type, and elevation were investigated due to the noticeable
 293 differences in these variables (Figure 7). Spatial representation of these variables is presented
 294 in Figure 9. Most of the BHRB agricultural areas are at low elevation (100–300m) and use RL

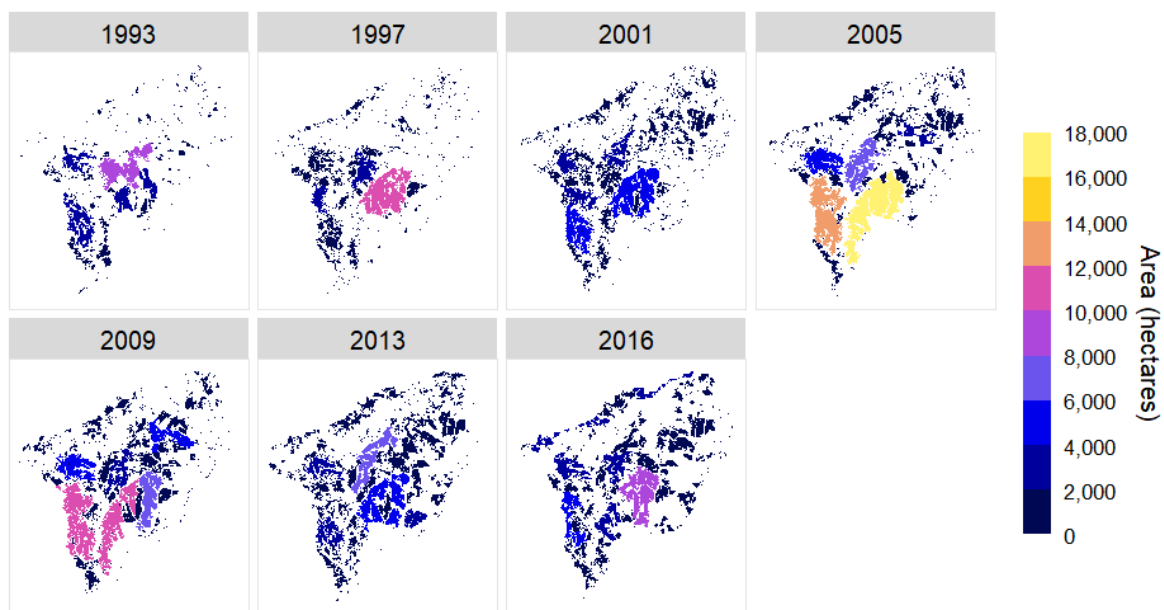


Figure 7: Evolution of the area of agricultural regions.

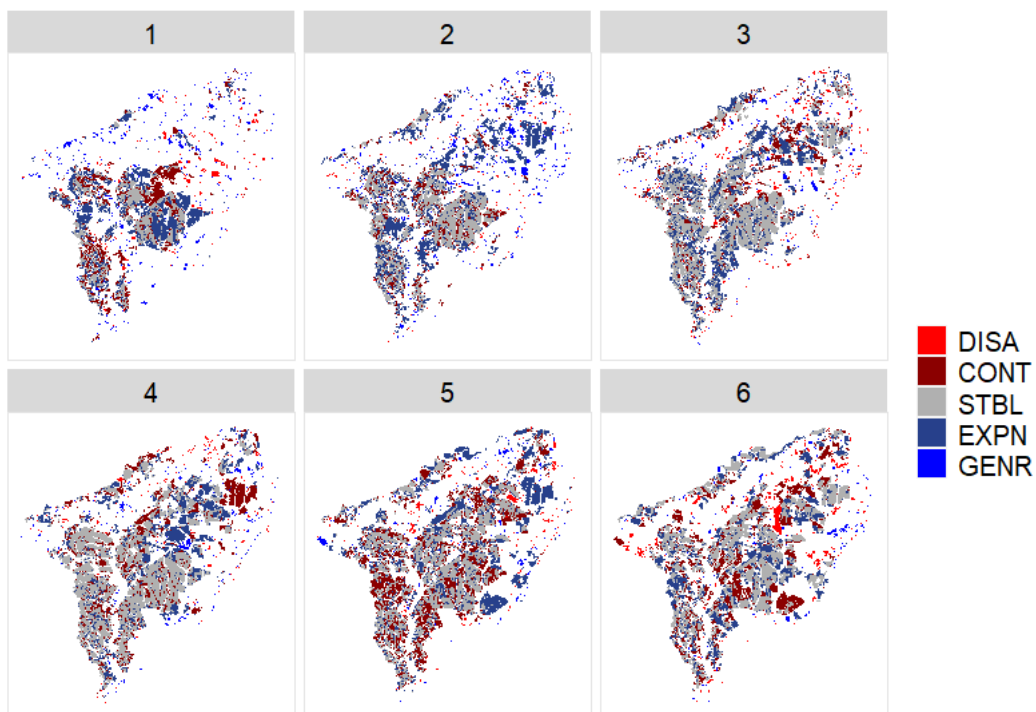


Figure 8: Level 2 topological events: GENR (generation), EXPN (expansion), STBL (stable), CONT (contraction) and DISA (disappearance).

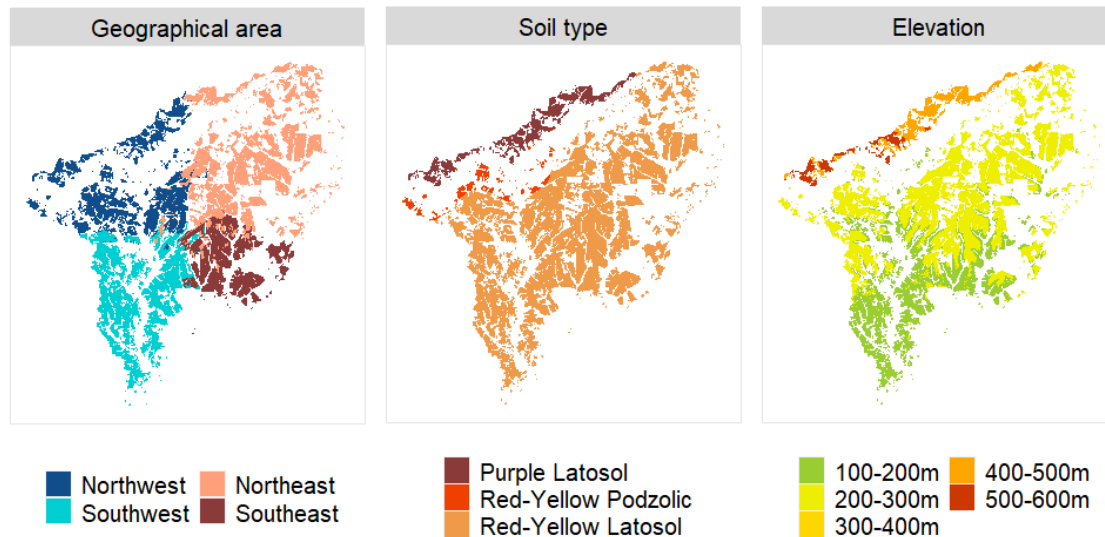


Figure 9: Geographical area, soil type and elevation maps.

soil. Higher areas (400–600m) seem to be associated with PL soil (see Figure 9). Additionally, the elevation increases from south to north of the basin.

Analysis of Table A.2 in Appendix A indicated that there was a pattern in contraction and expansion events mainly in the western and southeastern areas. More specifically, there was more area expanding than contracting until 2005, and then the pattern changed. In the northeast, since 2005, the stable agricultural area has been larger than the contracting area, with variations in the expansion area rate over time. Therefore, it may suggest that since 2005 there has been a stabilization of agricultural regions, which indicates a slowdown in its expansion. This fact is congruent with the observed stabilization of intensive agricultural areas since 2006 in BAP by Coutinho et al. (2016).

Similarly, Table A.3 in Appendix A shows the changes in areas by soil type. The PL soil type corresponded to the highest expansion indexes since 2001, the RL soil type corresponded to the highest stabilization percentage until 2013, and the RP soil type corresponded to the highest generation rates since 2001, despite its decreasing trend and showing the highest disappearing percentage over time. Also, worthy of note is the observation that the fertility of PL soil seems to be higher than that of the other latosols (Ker, 2013), which likely explains the larger stabilization observed in this soil type.

Furthermore, different change patterns were observed according to the elevation (see Table A.4 in Appendix A). For lower lands, there was more contraction than expansion, in terms of the change area percentage since 2005. In contrast, areas at 200–300m, 400–500m, and 500–600m recorded more expansion events than contraction events, except during change intervals 6, 4 and 5, respectively. Despite the contraction area being larger than the expansion area for elevations of 200–300m and 500–600m in the 6 and 5 change intervals, respectively, the difference between the agricultural area expansion and contraction was very small. Lower agricultural lands (100–300m) were more stable than areas at 300–600m; this was also observed by Opršal et al. (2016). The generation event was the most observed event at higher elevations during the first interval change, and then expansion/stabilization events dominated.

Another index of change, described in Section 2.3.2, was the distance between moving regions. Since the distance index is given by the distance between two regions from different

324 years, it allows analysis of the evolution of region movement. In particular, for contracting
325 regions, an increase in distance may indicate that the area of the region has decreased and its
326 shape and location have changed. The four geographical areas (Figure 10a), the three soil types
327 (Figure 10b), and the various elevation classes (Figure 10c) may also display different patterns
328 in terms of the distance of contracting and expanding regions, so the average of the distance by
329 time interval was computed.

330 We may conclude that agricultural regions have been contracting and expanding at increas-
331 ingly distant places in the north and southwestern areas, which also reflects an increasingly
332 drastic change. Furthermore, Figure 10a suggests that changes in the southwest occur at a
333 higher level than in the remaining areas in terms of distance. While in the southeastern area,
334 the average distance from the events of contraction has been constant regardless of the class of
335 change, despite the larger variation in terms of distance. This allows us to conclude that there
336 has been more change in contracting areas than in expanding areas over time in the southeastern
337 area.

338 The average of change distance for PL soil exhibited an exponential behavior, which was
339 also observed for higher elevations ($\geq 300\text{m}$). In fact, higher elevations are associated with the
340 PL soil type, as confirmed by Figure 9. Nevertheless, the contraction occurred at more distant
341 places than the expansion regarding areas with elevation between 400m and 600m, while the av-
342 erage distance of expanding and contracting moving areas were similar over time at 300–400m.
343 Therefore, in agricultural regions at higher elevations, there were more significant contracting
344 changes than expanding ones in terms of moving distance. Similarly, an increase in the con-
345 tracting distance over time for RL soil areas was confirmed. In the RP soil type, the moving
346 distance of expansion and contraction decreased until 2009 and 2013, respectively, and then the
347 change index started to increase.

348 It was noted that the contracting distance was larger than the expanding distance for the last
349 two intervals of change. Comparing the regions at 100–200m and 200–300m, similar patterns
350 of expanding and contraction average distance were found, although the values were lower for
351 the second elevation class. Thus, regions at 100–200m exhibited greater indexes of change than
352 the remaining regions until 2013 in terms of the moving distance, possibly due to the propen-
353 sity for agricultural practice in these areas, as stated by Warra et al. (2015) and Opršal et al.
354 (2016). However, higher areas presented greater average distance in 2016 for both contraction
355 and expansion events, likely explained by the farmers' necessity to expand agricultural regions
356 to higher elevations (Warra et al., 2015).

357 The changes in moving regions may also be explained in terms of their direction, as dis-
358 cussed in Section 2.3.2 (see Figure 11). Figure 11a shows distinct patterns of direction change
359 for the different soil types and Figure 11b for the elevation classes. Until 2009, larger areas
360 of RL soil were expanding to the south, while contraction was occurring in all directions from
361 2009. In the PL soil, there was less contraction in the northwest, a decreasing trend of expan-
362 sion to the southeast was verified, and the expansion event was higher in the southwest over the
363 last three change intervals.

364 Furthermore, most of the areas at an elevation of 100–200m were found to be moving to
365 the south despite the observed decrease in corresponding area in the last year, while expan-
366 sion mostly occurred to the north over time, at an elevation of 200–300m. At an elevation of
367 400–500m, there was a decreasing trend of contraction in the northwest, presenting a contrac-
368 tion rate in this direction lower than 4% in the last year. Regarding areas at 500–600m, most
369 changes occurred to the southwest. In particular, the contraction rate increased over time, al-
370 though most expanding regions also moved in this direction. The expansion rate was higher
371 than the contraction rate in only the second and third change intervals, highlighting agricultural

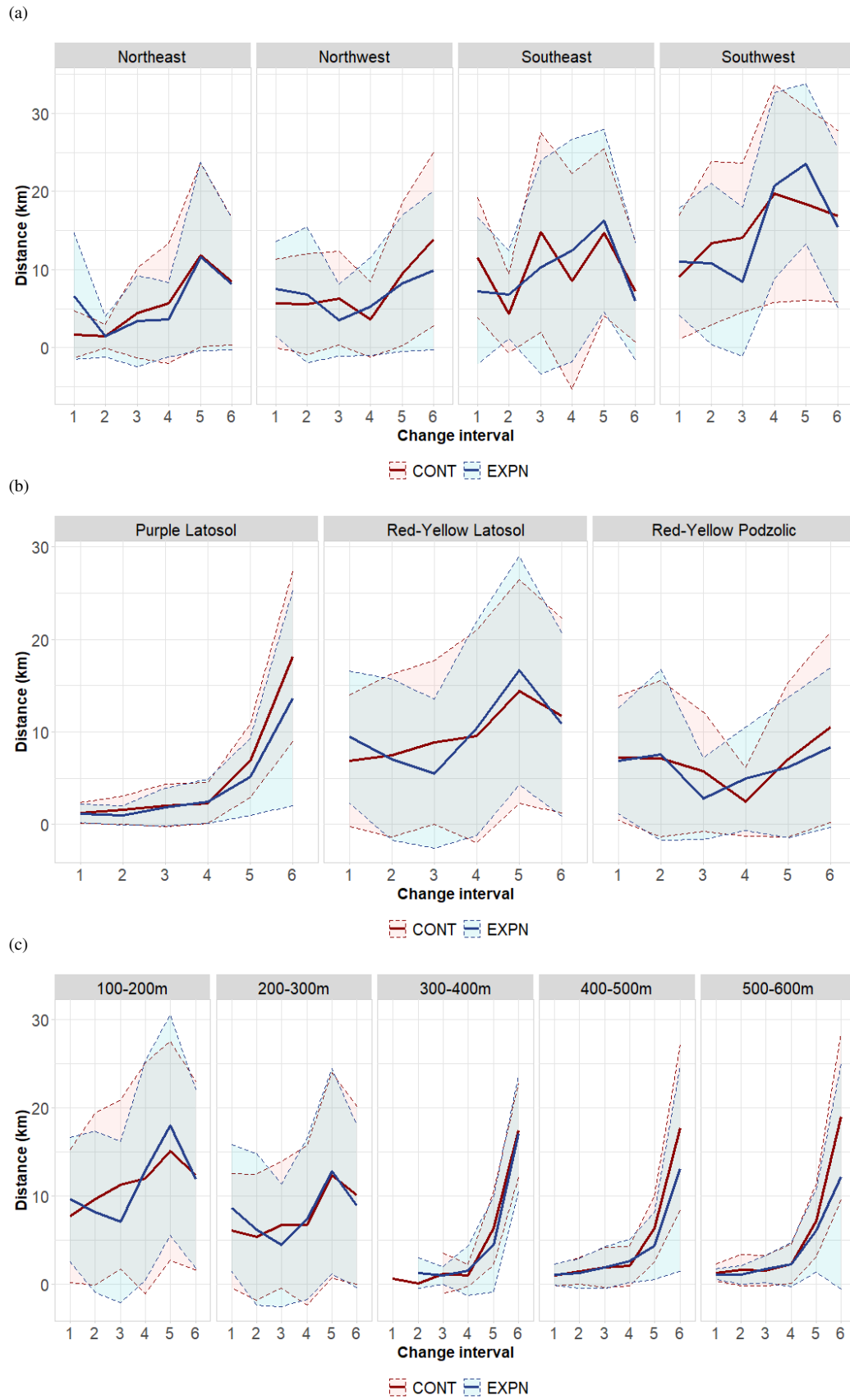
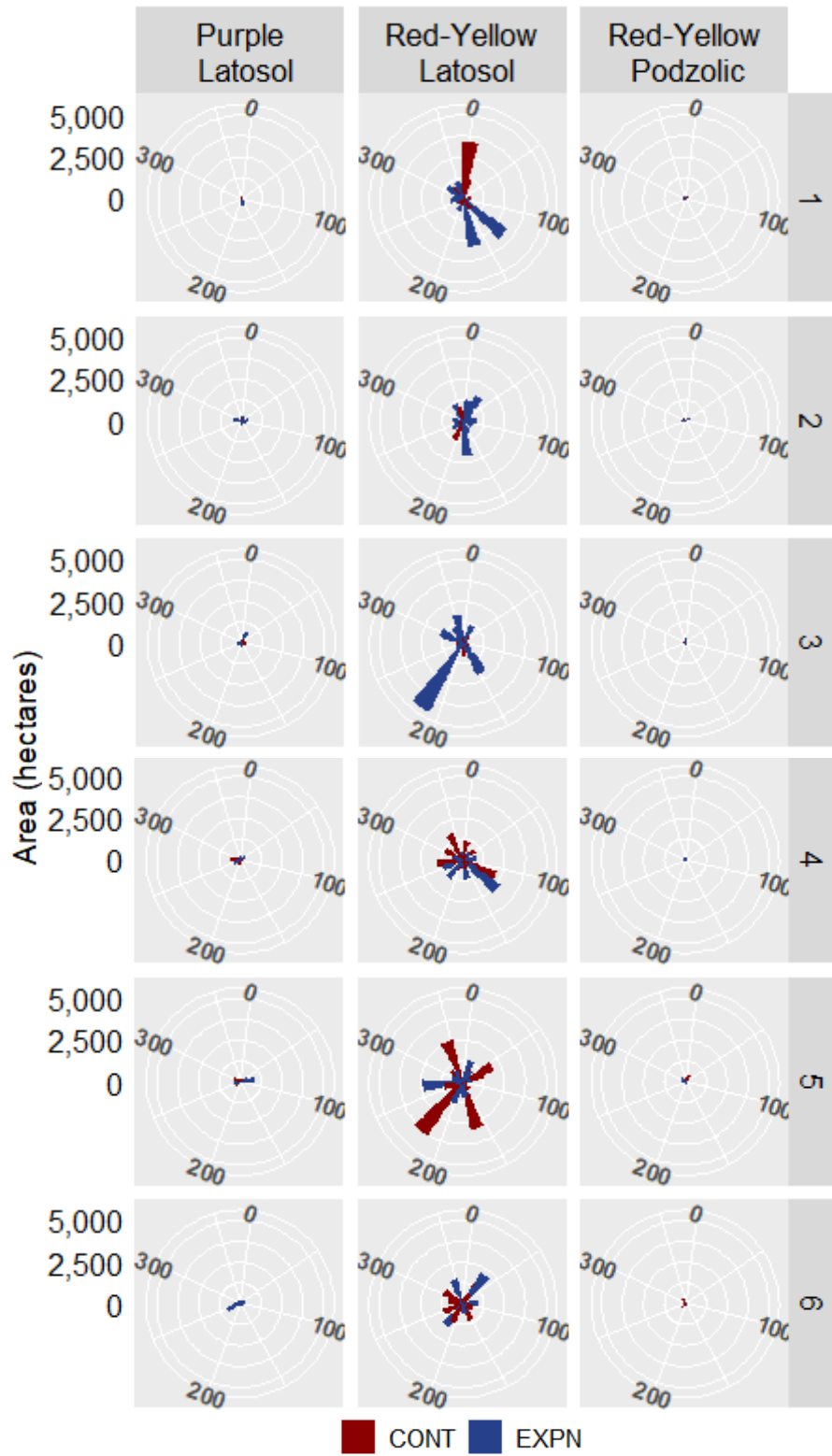


Figure 10: Average and standard deviations for Hausdorff distance over change interval and topological event (CONT and EXPN) by geographical area (a), soil type (b) and elevation class (c).

(a)



(b)

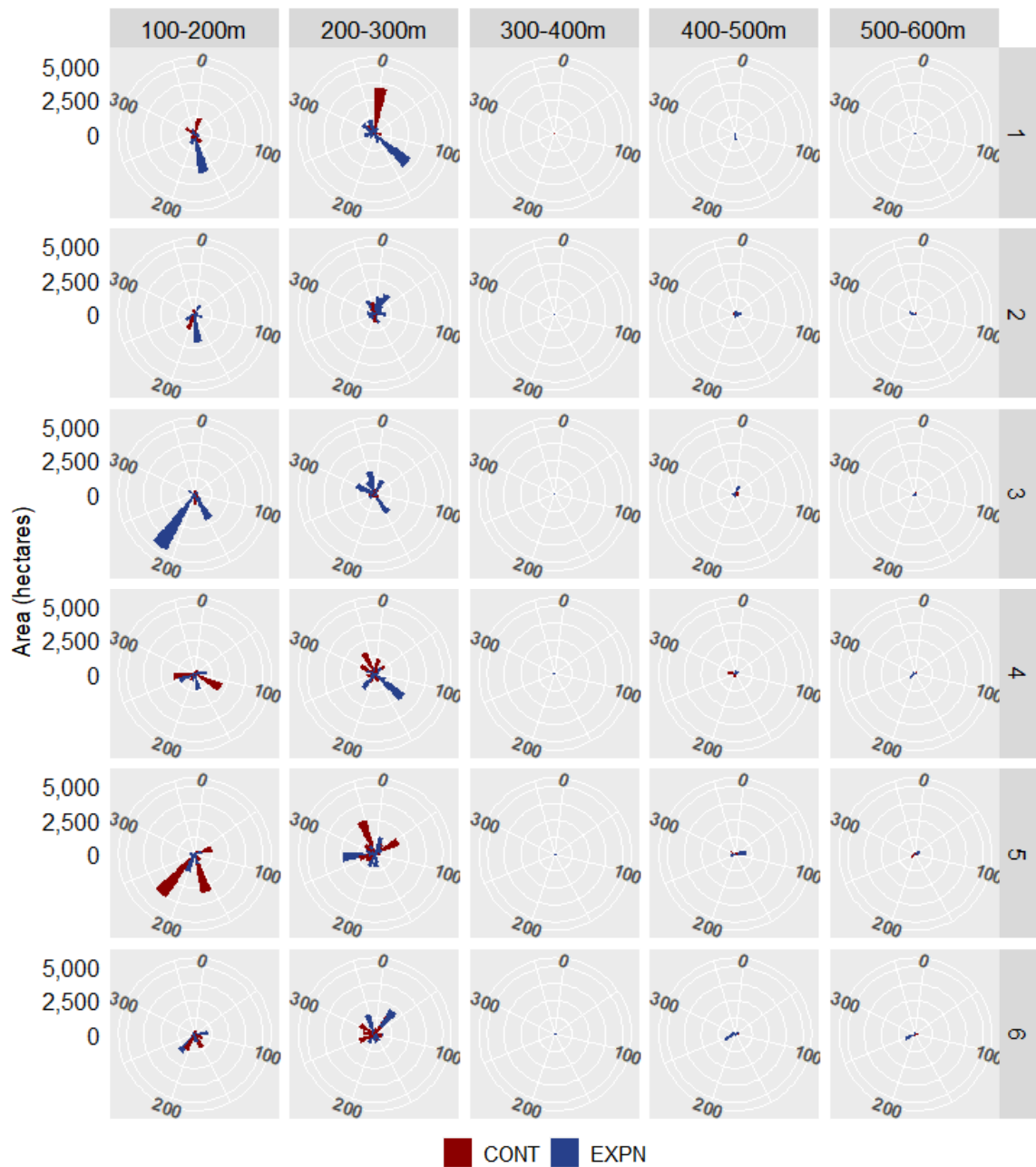


Figure 11: Directional changes over change interval and topological event (CONT and EXPN) by soil type (a) and elevation class (b).

372 deintensification. It is also noteworthy that the events of contraction and expansion did not
373 always occur in opposite directions.

374 4. Conclusion

375 A spatio-temporal analysis of LULC changes was conducted. The results were used to de-
376 termine the general changes in the BHPJ and to analyze the specific changes in agricultural areas
377 in the BHRB. Data for the analysis were obtained from multi-year satellite imagery, processed
378 using ArcGIS software, and subjected to statistical analysis in the R environment.

379 The statistical analysis incorporated exploratory methods and a study of spatial dependency.
380 We concluded that natural vegetation is disappearing and/or contracting and changing to pas-
381 ture, agriculture and land for other uses, reflecting economic practices and other human activi-
382 ties.

383 The spatial dependency study pointed to a significant interaction between the locations, in-
384 dicating that the closest regions are similar. In particular, the agricultural area has been increas-
385 ingly concentrated, since this similarity is growing. The spatio-temporal analysis of moving
386 agricultural areas consisted of the categorization of polygon changes and their combination
387 with metrics such as area, number of patches, distance, and direction of change. This analysis
388 revealed an expansion of the agricultural area but also its stabilization since 2005. However, the
389 pattern of change was different across the study area. Thus, agricultural regions are contract-
390 ing and expanding at increasingly distant places in the BHRB. In fact, greater changes were
391 observed between 1993 and 2016.

392 This study demonstrated that the combination of remote sensing, GIS, and spatio-temporal
393 analysis offers relevant results for analyzing LULC change. In fact, it can add value to studies
394 related to the planning and management of land and biodiversity conservation.

395 Nevertheless, this analysis has strengths and limitations. The strengths are the easy inter-
396 pretation of the outputs, the simplicity of the metrics used, the deep study of changes with the
397 incorporation of distance and direction metrics, and the friendly environment used to perform it
398 (R software). However, the purpose of this study was to assess the dynamics of LULC changes
399 and project them into the future, and not to predict the LULC. Another limitation of this work
400 is the time-consuming nature of the categorization of polygon changes for big data.

401 Therefore, one direction for future study is to develop a predictive method that takes into ac-
402 count the restrictions and characteristics of LULC data and introduces environmental/biological
403 and economic variables to the polygon data format.

404 **Appendix A. Evolution of the percentage of the topological events area by explanatory** 405 **factors**

Table A.2: Evolution of the percentage of the topological events area by geographical area

Geographical area	LEV3	Change interval					
		1	2	3	4	5	6
Northeast	CONC	0.02	0.01	0.04	0.00	0.00	0.00
	CONT	31.81	9.15	22.70	25.70	22.81	22.90
	CONV	0.00	0.06	0.10	0.01	0.00	0.00
	DISA	10.58	4.23	7.99	3.76	4.71	10.20
	DISP1	1.68	0.20	0.15	0.01	0.00	0.00
	DISP2	0.04	0.15	0.37	0.01	0.00	0.00
	DIVR	0.01	0.06	0.49	0.05	0.06	0.00
	EXPN	28.94	56.70	30.32	34.02	39.34	25.17
	FRAG	0.00	0.18	0.00	0.01	0.00	0.00
	GENR	12.48	23.59	4.45	5.36	3.22	3.71
	STBL	14.43	5.67	33.39	31.07	29.86	38.01
Northwest	CONC	0.00	0.00	0.07	0.00	0.00	0.00
	CONT	19.88	20.71	12.60	22.89	26.76	22.02
	CONV	0.00	0.00	0.00	0.15	0.03	0.00
	DISA	2.32	2.01	2.79	2.15	2.91	4.95
	DISP1	0.00	0.08	0.01	0.06	0.00	0.00
	DISP2	0.00	0.06	0.01	0.17	0.00	0.00
	DIVR	0.01	0.03	0.03	0.04	0.10	0.00
	EXPN	35.01	34.13	30.77	19.33	22.39	21.53
	FRAG	0.00	0.14	0.00	0.03	0.00	0.00
	GENR	9.56	3.61	2.65	2.40	2.91	1.92
	STBL	33.22	39.24	51.07	52.78	44.91	49.58
Southeast	CONC	0.00	0.00	0.00	0.00	0.07	0.00
	CONT	9.92	7.53	3.63	17.98	25.54	20.13
	CONV	0.00	0.37	0.00	0.00	0.00	0.00
	DISA	2.24	2.21	3.83	1.04	1.55	0.81
	DISP1	0.00	0.00	0.00	0.00	0.00	0.00
	DISP2	0.00	0.00	0.00	0.00	0.00	0.00
	DIVR	0.00	0.00	0.00	0.00	0.00	0.00
	EXPN	52.69	10.83	17.66	13.14	26.64	17.86
	FRAG	0.00	0.00	0.00	0.00	0.00	0.00
	GENR	5.53	3.58	1.60	2.51	1.21	1.60
	STBL	29.61	75.48	73.29	65.32	44.99	59.60
Southwest	CONC	0.00	0.02	0.01	0.00	0.13	0.00
	CONT	28.65	18.92	11.64	15.80	36.60	29.94
	CONV	0.04	0.00	0.05	0.00	0.04	0.00
	DISA	2.18	1.02	1.08	0.38	0.82	1.64
	DISP1	0.00	0.01	0.02	0.01	0.00	0.00
	DISP2	0.00	0.02	0.01	0.01	0.00	0.00
	DIVR	0.03	0.12	0.00	0.03	0.00	0.00
	EXPN	30.16	38.80	30.47	15.69	14.52	28.38
	FRAG	0.03	0.01	0.01	0.03	0.00	0.00
	GENR	4.65	2.44	0.47	0.76	0.46	0.94
	STBL	34.27	38.64	56.24	67.29	47.44	39.11

Table A.3: Evolution of the percentage of the topological events area by soil type

Soil type	LEV2	Change interval					
		1	2	3	4	5	6
Purple Latosol	CONT	6.39	13.49	20.66	28.28	24.48	13.11
	DISA	8.64	2.85	4.23	5.56	1.65	2.77
	EXPN	25.44	48.71	32.71	29.12	40.14	30.99
	GENR	49.02	8.40	6.48	2.21	2.56	3.05
	STBL	10.50	26.55	35.92	34.83	31.16	50.09
Red-Yellow Latosol	CONT	25.01	15.43	13.71	20.35	28.81	24.54
	DISA	4.55	2.05	3.71	1.49	2.34	5.02
	EXPN	35.22	37.00	28.81	21.26	24.93	23.81
	GENR	5.87	7.98	1.93	2.61	1.61	2.07
	STBL	29.35	37.53	51.85	54.28	42.31	44.56
Red-Yellow Podzolic	CONT	29.61	18.58	14.42	20.92	19.17	45.02
	DISA	9.54	10.85	11.27	8.81	15.10	20.14
	EXPN	21.93	37.42	28.28	23.35	32.04	9.69
	GENR	22.88	13.30	12.76	13.75	12.58	4.66
	STBL	16.04	19.85	33.27	33.17	21.11	20.49

Table A.4: Evolution of percentage of the topological events area by elevation categories

Elevation	LEV2	Change interval					
		1	2	3	4	5	6
100-200m	CONT	27.57	17.72	11.12	19.47	34.45	29.72
	DISA	3.99	2.71	3.12	1.01	2.52	3.69
	EXPN	33.83	34.73	31.09	17.61	20.43	24.86
	GENR	6.41	5.90	1.68	2.88	1.59	2.40
	STBL	28.19	38.94	52.99	59.04	41.01	39.33
200-300m	CONT	23.29	14.17	15.32	21.10	24.89	22.84
	DISA	5.24	2.09	4.23	2.03	2.94	6.63
	EXPN	35.68	38.74	27.44	23.48	27.76	22.44
	GENR	6.20	9.12	2.54	3.00	2.35	2.04
	STBL	29.59	35.88	50.48	50.39	42.06	46.04
300-400m	CONT	39.09	2.21	14.82	12.44	29.40	43.35
	DISA	44.96	9.02	22.53	22.39	15.72	8.36
	EXPN	0.00	8.60	45.51	45.37	28.36	25.46
	GENR	15.95	78.67	10.00	12.19	3.00	5.09
	STBL	0.00	1.51	7.13	7.61	23.52	17.74
400-500m	CONT	7.23	11.06	20.67	31.25	21.79	11.79
	DISA	8.11	2.42	6.08	7.76	2.46	1.84
	EXPN	27.95	46.48	30.21	23.74	47.28	30.11
	GENR	44.18	10.70	6.66	2.69	3.22	2.85
	STBL	12.53	29.34	36.38	34.56	25.25	53.41
500-600m	CONT	3.40	18.37	22.55	19.07	28.73	17.11
	DISA	7.40	3.42	4.69	2.83	2.16	4.29
	EXPN	15.53	47.57	32.62	41.78	28.73	31.82
	GENR	69.92	13.46	8.09	2.36	1.00	2.91
	STBL	3.74	17.17	32.05	33.96	39.38	43.86

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