# PROTOCOL: New York State Race, Ethnicity, and Insurance Disparities in Follow-up Prostate Cancer Screening 

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TITLE: New York State Race, Ethnicity, and Insurance Disparities in Follow-up Prostate Cancer Screening

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## PURPOSE AND SPECIFIC AIMS:

Using de-identified reports from the Statewide Planning and Research Cooperative System (SPARCS) data, this descriptive study will identify the impact of socioeconomic status (SES) metrics on the follow-up prostate cancer screening care within 3 years of index prostate cancer screening test in NYS. The socioeconomic status metrics will be subclassified into race, insurance, and ethnicity and each of these sub-components will be evaluated for its impact on the follow-up cancer screening care. The exclusion criteria for this study includes patients records with unknown age, age $<55$ or $>75$, previous history of prostate cancer or radical prostatectomy, previous prostate biopsy, female sex, lives outside NYS, unknown or missing data on race, ethnicity, or insurance status, or multi-ethnic patients. For the included patients, initial prostate cancer screening, follow-up screening, characteristics (e.g., age, SES), and risk profiles will be evaluated. Moreover, patients diagnosed with prostate cancer or receiving prostatectomy will be reported.
Additionally, the following hypotheses will be tested:
$H(0)$ : Among patients with a baseline PSA test, socioeconomic status (SES) metrics (i.e., vulnerability based upon race/insurance/ethnicity) may pose as barriers to follow-up prostate cancer screening care within 3 years of index prostate cancer screening test (e.g., Vulnerability $=$ $\mathrm{V}=$ Black, Hispanic, and Self-pay Insurance)

- $H(0)$ : Among patients with a baseline PSA test, race does not impact the likelihood of follow-up prostate cancer screening care within 3 years of index prostate cancer screening test (e.g., R-FC)
- $\mathrm{H}(0)$ : Among patients with a baseline PSA test, insurance does not impact the likelihood of follow-up prostate cancer screening care within 3 years of index prostate cancer screening test (e.g., I-FC)
- $\mathrm{H}(0)$ : Among patients with a baseline PSA test, ethnicity does not impact the likelihood of follow-up prostate cancer screening care within 3 years of index prostate cancer screening test (e.g., E-FC)

Please note, the SPARCS database de-identified reports will be used. Additionally, a not human subject's research (NHSR) determination is requested.

## BACKGROUND AND SIGNIFICANCE:

For the period from January 2010 to December 2018, this analysis will use the NYS SPARCS database trends to evaluate the influence of race and insurance on follow-up prostate cancer screening. Disparities in prostate cancer survival based on patient's race has been well documented by previous research. A 2018 retrospective propensity analysis of prostate cancer patients diagnosed between 2004-2010 found that white men had a greater overall survival than black men, and that this survival difference was eliminated when their model simulated equal access to prostate cancer care. ${ }^{2}$ A 2017 study found that African American and Hispanic men are less likely to receive definitive treatment for prostate cancer than white men, and the rates of treatment declined throughout their study period from 2004-2011. ${ }^{3}$ Additionally, while Black men have a greater lifetime incidence of prostate cancer, a recent study found that they are less likely to receive PSA-testing. ${ }^{4}$ Moreover, a 2013 post-hoc study of patients enrolled in the Prostate, Lung, Colorectal, and Ovarian (PLCO) trial found that among patients screened with a PSA test, Black patients were less likely than non-Hispanic Whites to receive follow-up PSA testing or prostate biopsies. ${ }^{5}$

In addition to the racial disparities in prostate cancer outcomes, previous research has exhibited that there are disparities in prostate cancer care based on the patient's insurance status. A 2018 study on the association between expanded insurance coverage and prostate cancer screening found that the gap in PSA test utilization between the higher and lower income male patients in Medicaid early expansion states was significantly reduced. ${ }^{6}$ A 2019 study conducted on patients diagnosed with prostate cancer in Florida found that patients without insurance or with Medicaid were more likely to be diagnosed with late-stage prostate cancer. ${ }^{7}$ Furthermore, a 2019 retrospective cohort study found that patients with private and public insurance were more likely to receive favorable treatment. ${ }^{8}$ Bledsoe et al. (2018) found that insurance has an effect on treatment modality, as patients with private insurance were more likely to receive minimally invasive surgery and less likely to receive external beam radiotherapy than patients without insurance. ${ }^{9}$

Our study intends to further explore the relationship between socioeconomic factors and access to prostate cancer care, by examining how race and insurance affects patient's access follow up prostate cancer screening with PSA or biopsy. While this disparity has been studied with respect to Black and White race, ${ }^{5}$ there are no previous studies examining the effect of insurance and ethnicity on follow-up screening. All results will be adjusted for patient comorbidities and age. Lastly, these variables will be stratified by year, so that trends over time can be evaluated.

## RESEARCH DESIGN AND METHODS:

This retrospective observational cohort study will be done using the SPARCS Health Facts dataset. With the help of the SBU SOM Bioinformatics Department and Biostatistics Core Lab, the SPARCS database will be matched/merged to the enclosed coding listings to create a study-specific de-identified prostate cancer screening database. Furthermore, the Bioinformatics and Biostatistics team members will be responsible for providing the descriptive statistics listed below as well as providing a study-database for future analyses. For this study's primary hypothesis, a p-value of $<0.001$ will be used (however, all p-values will be reported by separate interpretation by readers). All secondary and tertiary analyses, as well as all exploratory analyses, will use a p-value of $<0.01$. SAS version 9.4 will be used to complete all the
necessary statistical tests. More detailed methods including ICD-10, ICD-9, and CPT codes used in this study are described in the protocol below.

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## Protocol:

Population: The population of this study is NYS males from the ages of 55-75 who received a prostate cancer screening test from 2010-2018 as defined in Table 1. Each patient will be sorted by the year of the initial screening test performed, in order to track trends over time.
Table 1: Prostate Cancer Screening Test Codes


## Inclusion Criteria:

-PSA test, elevated PSA test, or prostate biopsy between 2010-2018
-Male sex
-Age 55-75
-NYS Resident

## Exclusion Criteria:

-In-hospital death in initial record
-With prostate cancer diagnosis prior to or at the time of initial encounter (Table 2a or $\mathbf{2 b}$ codes)
-With prostatectomy or prostatectomy prior to or at the time of initial encounter (Table 3 codes)
-With prostate biopsy prior to or at the time of initial encounter
-With unknown or missing data on race, ethnicity, or insurance or multi-ethnic patients

Step 1: The population will be divided into three groups based on the first screening test recorded in SPARCS. They are listed in order of priority below (Group $1=$ highest priority)

Group 1: Elevated PSA test from diagnostic code
Group 2: Prostate Specific Antigen (PSA) test, results unspecified (outpatient data only) Group 3: Prostate biopsy

Table 2a: Prostate Cancer Diagnosis Codes:

| Diagnosis | ICD-9 | ICD-10 | Notes |
| :--- | :--- | :--- | :--- |
| Prostate Cancer | 185 | C61 |  |
| Carcinoma in <br> situ of prostate | 233.4 | D07.5 |  |

Table 2b: Prostate Cancer Metastasis Codes

| Diagnosis | ICD-9 | ICD-10 | Notes |
| :--- | :--- | :--- | :--- |
| Prostate Cancer | 198.5 | C79.51 C79.82 |  |
| Metastasis | 198.82 | C77.2 C77.5 |  |
|  | 196.2 |  |  |
|  | 196.6 |  |  |

Table 3: Radical Prostatectomy Codes

| Procedure | ICD-9 | ICD-10 | CPT | Notes |
| :--- | :--- | :--- | :--- | :--- |
| Radical | $60.3,60.4$, | OVTOOZZ, | 55810,55812, | Any ONE ICD-9, ICD-10, |
| Prostatectomy | 60.5, | OVTO4ZZ, | 55815,55840, | or CPT satisfies criteria |
| (Laparoscopic/robotic <br> or open) | 60.62, or | OVT07ZZ, | 55842,55845, |  |
| 60.69 | or OVT08ZZ | 55866 |  |  |

Table 4: Radiation Procedure Codes

| Procedure | ICD-9 | ICD-10 PCS | CPT | Notes |
| :---: | :---: | :---: | :---: | :---: |
| Radiation (Stereotactic Radiosurgery, Brachytherapy, IMRT, Beam Radiation) | $\begin{aligned} & \hline 92.30,92.31, \\ & 92.32,92.33, \\ & 92.39,92.20, \\ & 92,23,92.28, \\ & 92.27,92.22, \\ & 92.24,92.25, \\ & 92.26,92.21, \\ & 99.85,92.29, \\ & 17.69,92.41 \end{aligned}$ | DV20DZZ, DV20HZZ, DV2OJZZ, DV1097Z, DV1098Z, DV1099Z, DV109BZ, DV109CZ, DV109YZ, DV10B7Z, DV10B8Z, DV10B9Z, DV10BBZ, | 77373, 77385, 77386, <br> 77424, 77425, 77520, <br> 77522, 77523, <br> 77525,77600, 77605, <br> 77610, 77615, 77620, <br> 77770, 77771, 77772, <br> 77778, 77371, 77372, <br> 77373, 7740177402, <br> 77403, 77404, 77406, <br> 77407, 77408, 77409, <br> 77411, 77412, 77413, <br> 77414, 77416, 77418, <br> 77423, 77424, 77425, <br> 77520, 77522, 77523, | Any ONE ICD-9, ICD10 , or CPT satisfies criteria |


|  |  | DV10BB1, <br> DV10BCZ, <br> DV10BYZ, <br> 3EON304, <br> 3EON704, <br> 3EON804, <br> DV000ZZ, <br> DV001ZZ, <br> DV002ZZ, <br> DV003ZO, <br> DV003ZZ, <br> DV004ZZ, <br> DV005ZZ, <br> DV006ZZ, <br> DVY07ZZ, <br> DVY08ZZ, <br> DVYOCZZ, <br> DVYOFZZ, DVYOKZZ | 77525, 77781, 77782, <br> 77783, 77784, <br> 77785,77786, 77787 <br> 0395T, G0173, G0251, <br> G0339, G0340, G6003, <br> G6004, G6005, G6006, <br> G6007, G6008, G6009, <br> G6010, G6011, G6012, <br> G6013, G6014, G6015, <br> G6016 |  |
| :---: | :---: | :---: | :---: | :---: |

Step 2: For each group of patients, the number/percentage that had each of the following follow-up scenarios will be recorded as outcomes.

## Screening Outcomes:

Outcome 1A: Repeat/Follow-up PSA, results unspecified, within 3 years
Outcome 1B: Repeat/Follow-up elevated PSA within 3 years
Outcome 1C: Follow up biopsy (Table 2) within 3 years

## No follow-up

Outcome 2: No repeat screening tests within 3 years
Step 3: Initially, a univariate analysis will be performed to determine the marginal association between the following variables/exposures and the above outcomes using either Chi-Square tests and/or other statistical tests. Additionally, the specific vulnerable groups listed below will be compared to others who are outside that group.

## Variables/Exposures:

Age: Yearly
Race: Black, Non-Hispanic White, other races
Ethnicity: Hispanic, Non-Hispanic
Insurance: Medicare, Medicaid, Commercial, Self-pay
Vulnerable groups: Black race, Hispanic ethnicity, Self-pay insurance status

Additional note: The following variables will be adjusted for in the analysis including comorbidities, risk factors for prostate cancer, and subsequent prostate cancer diagnosis/treatment after initial treatment. To clarify, our study will exclude patients who are diagnosed/treated with prostate cancer before their initial PSA test or biopsy but will adjust for patients in the analysis who received a prostate cancer diagnosis or treatment after their initial screening test but before their subsequent screening test.

Comorbidities: Charlson or Elixhauser Comorbidity Score
Risk Factors: Smoking/Tobacco, Morbid Obesity, Family History of Prostate Cancer, Personal History of Irradiation
Prostate Cancer Diagnosis or Treatment w/ prostatectomy or radiation (Tables 2a, 2b, 3, and 4)

## SAMPLE TABLES

Table 1: Descriptive table of patients' characteristics, risk factors, comorbidity score, index of comorbidities and outcomes by vulnerability status

| Variable | Level | Total <br> $(\mathbf{N}=)$ | $\mathbf{V}(\mathbf{N}=)$ | NOT V $(\mathbf{N}$ <br> $=)$ | P-value* |
| :--- | :--- | :--- | :--- | :--- | :--- |

Patients' characteristics at the time of Initial PC Screening

| Abnormal PSA |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Age | Yearly |  |  |  |  |
| Age Categories | $40-55$ |  |  |  |  |
|  | $55-69$ |  |  |  |  |
|  | $70-75$ |  |  |  |  |
| Year of prostate <br> cancer screening | 2011 |  |  |  |  |
|  | 2012 |  |  |  |  |
|  | 2013 |  |  |  |  |
|  | 2014 |  |  |  |  |







|  | Yes |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- |


| AIDS/HIV | No |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Coronary Artery <br> Disease | No |  |  |  |  |
|  | Yes |  |  |  |  |
| Connective <br> Tissue Disorder | No |  |  |  |  |
|  | Yes |  |  |  |  |


*: For categorical variables, p -values were based on Chi-squared test with exact p -value from Monte Carlo simulation; for continuous variable, p -value was based on Wilcoxon rank sum test.

Note: For continuous variable, median+/-IQR were reported.

Table 2: Descriptive table of patients' characteristics, risk factors, comorbidity score, index of comorbidities and outcomes by race

| Variable | Level | Total <br> $(\mathbf{N}=)$ | Black $(\mathbf{N}=)$ | NOT Black <br> $(\mathbf{N}=)$ | P-value* |
| :--- | :--- | :--- | :--- | :--- | :--- |

Patients' characteristics at the time of Initial PC Screening

| Abnormal PSA |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Age | Yearly |  |  |  |  |
| Age Categories | $40-55$ |  |  |  |  |
|  | $55-69$ |  |  |  |  |
|  | $70-75$ |  |  |  |  |
| Year of prostate <br> cancer screening | 2011 |  |  |  |  |
|  | 2012 |  |  |  |  |
|  | 2013 |  |  |  |  |
|  | 2014 |  |  |  |  |
|  | 2015 |  |  |  |  |
| Risk factors at the time of Initial PC Screening |  |  |  |  |  |









Table 3: Descriptive table of patients' characteristics, risk factors, comorbidity score, index of comorbidities and outcomes by insurance status

| Variable | Level | Total <br> $(\mathbf{N}=)$ | Self-Pay (N <br> $=)$ | NOT Self- <br> Pay (N =) | P-value* |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Patients' characteristics at the time of Initial PC Screening |  |  |  |  |  |
| Abnormal PSA |  |  |  |  |  |



## Comorbidities' score at the time of Initial PC Screening

| Elixhauser Score |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Charlson Score |  |  |  |  |  |

Elixhauser Comorbidities at the time of Initial PC Screening






| Any malignancy w <br> lymphoma and <br> leukemia w/o <br> malignant <br> neoplasm of skin |
| :--- | Ye 


| Follow-up <br> Abnormal PSA | Yes |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |
|  | No |  |  |  |  |
| Follow-up PSA/ <br> PC Screening (not <br> abnormal PSA) <br> NEW CODES+ <br> CPT CODE | Yes |  |  |  |  |

Table 4: Descriptive table of patients' characteristics, risk factors, comorbidity score, index of comorbidities and outcomes by ethnicity

| Variable | Level | Total $(\mathbf{N}=)$ | $\begin{aligned} & \text { Hispanic ( } \mathbf{N} \\ & =) \end{aligned}$ | $\begin{aligned} & \text { NOT } \\ & \text { Hispanic (N } \\ & =\text { ) } \end{aligned}$ | P-value* |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Patients' characteristics at the time of Initial PC Screening |  |  |  |  |  |
| Abnormal PSA |  |  |  |  |  |
| Age | Yearly |  |  |  |  |
| Age Categories | 40-55 |  |  |  |  |
|  | 55-69 |  |  |  |  |
|  | 70-75 |  |  |  |  |
| Year of prostate cancer screening | 2011 |  |  |  |  |
|  | 2012 |  |  |  |  |
|  | 2013 |  |  |  |  |




| Diabetes w/o <br> chronic <br> complications |
| :--- |
| Diabetes w/ <br> chronic <br> complications No    Yes |
| Hypothyroidism | No





| AIDS/HIV | No |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Coronary Artery <br> Disease | No |  |  |  |  |
|  | Yes |  |  |  |  |
| Connective <br> Tissue Disorder | No |  |  |  |  |
|  | Yes |  |  |  |  |



[^0]Note: For continuous variable, median+/-IQR were reported.


[^0]:    *: For categorical variables, p-values were based on Chi-squared test with exact p-value from Monte Carlo simulation; for continuous variable, p -value was based on Wilcoxon rank sum test.

