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Algebraic geometry

## Remarks on minimal rational curves on moduli spaces of stable bundles <sup>☆</sup>



### Remarques sur les courbes rationnelles minimales sur les espaces des modules de faisceaux stables

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## ABSTRACT

Let  $C$  be a smooth projective curve of genus  $g \geq 2$  over an algebraically closed field of characteristic zero, and  $M$  be the moduli space of stable bundles of rank 2 and with fixed determinant  $\mathcal{L}$  of degree  $d$  on the curve  $C$ . When  $g = 3$  and  $d$  is even, we prove that, for any point  $[W] \in M$ , there is a minimal rational curve passing through  $[W]$ , which is not a Hecke curve. This complements a theorem of Xiaotao Sun.

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## R É S U M É

Soient  $C$  une courbe projective lisse de genre  $g \geq 2$  et  $M$  l'espace des modules de faisceaux stables de rang 2 et de déterminant fixe  $\mathcal{L}$  de degré  $d$  sur  $C$ . Nous prouvons que, lorsque  $g = 3$  et  $d$  est pair, il existe, pour tout point  $[W] \in M$ , une courbe rationnelle minimale passant par  $[W]$ , qui n'est pas une courbe de Hecke. Cela complète un théorème de Xiaotao Sun.

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## 1. Introduction

Throughout this paper, we assume that  $C$  is a smooth projective curve of genus  $g \geq 2$  over an algebraically closed field of characteristic zero. Let  $M := SU_C(r, \mathcal{L})$  be the moduli space of stable vector bundles of rank  $r \geq 2$  and with the fixed determinant  $\mathcal{L}$  of degree  $d$ , which is a smooth quasi-projective Fano variety with  $\text{Pic}(M) = \mathbb{Z} \cdot \Theta$  and  $-K_M = 2(r, d)\Theta$ , where  $\Theta$  is an ample divisor ([9,1]). By a rational curve of  $M$ , we mean a nontrivial proper morphism  $\phi : \mathbb{P}^1 \rightarrow M$  and its degree is defined to be  $\deg \phi^*(-K_M)$  (with respect to the ample anti-canonical line bundle  $-K_M$ ).

In [10], Xiaotao Sun has determined all rational curves of minimal degree passing through generic points of  $M$  except in the case where  $g = 3$ ,  $r = 2$ , and  $d$  is even.

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**Theorem 1.1.** (Theorem 1 of [10]) *If  $g \geq 3$ , then any rational curve  $\phi : \mathbb{P}^1 \rightarrow M$  passing through the generic point has degree at least  $2r$ . It has degree  $2r$  if and only if it is a Hecke curve unless  $g = 3, r = 2$ , and  $d$  is even.*

This implies that all the rational curves of  $(-K_M)$ -degree smaller than  $2r$ , called *small rational curves*, must lie in a proper closed subset [3,4]. In this note, we remark that the condition in Sun’s Theorem is necessary:

**Theorem 1.2.** *If  $g = 2, r = 2$  and  $d$  is odd, then, for any  $[W] \in M$ , there exists a rational curve passing through it, which has degree 2. If  $g = 3, r = 2$  and  $d$  is even, then, for any point  $[W] \in M$ , there exists a rational curve of degree 4 passing through it, which is not a Hecke curve.*

Recall that, by Lemma 2.1 of [10], any rational curve  $\phi : \mathbb{P}^1 \rightarrow M$  is defined by a vector bundle  $E$  on  $f : X = C \times \mathbb{P}^1 \rightarrow C$ . **If  $E$  is semi-stable on generic fiber**  $X_\xi = f^{-1}(\xi)$  (tensoring a pullback of line bundle on  $\mathbb{P}^1$ , we can assume the restriction of  $E$  to a generic fiber is of the form  $\mathcal{O}_{X_\xi}^{\oplus r}$ ), according to the arguments of section 2 in [10], there is a finite set  $S \subset C$  of points and a vector bundle  $V$  on  $C$  such that  $E$  just suits in the exact sequence

$$0 \rightarrow f^*V \rightarrow E \rightarrow \bigoplus_{p \in S} \mathcal{Q}_p \rightarrow 0$$

where  $\mathcal{Q}_p$  is a vector bundle on  $X_p = \{p\} \times \mathbb{P}^1$ . The curves defined by such  $E$  were said to be of **Hecke type** in [8,11] (since a Hecke curve by definition is defined by a vector bundle  $E$  suited in  $0 \rightarrow f^*V \rightarrow E \rightarrow \mathcal{O}_{X_p}(-1) \rightarrow 0$ ). **If  $E$  is not semi-stable on the generic fiber**  $X_\xi$  (curves defined by such  $E$  were said of **split type** in [11]) and the curve has minimal degree  $2(r, d)$ , then  $E$  must suit in

$$0 \rightarrow f^*V_1 \otimes \pi^* \mathcal{O}_{\mathbb{P}^1}(1) \rightarrow E \rightarrow f^*V_2 \rightarrow 0$$

where  $\pi : X \rightarrow \mathbb{P}^1$  is the projection and  $V_1, V_2$  are stable vector bundles on  $C$  of rank  $r_1, r_2$ , and degrees  $d_1, d_2$  satisfy  $r_1d - rd_1 = (r, d)$ . Note that rational curves of degree  $2(r, d)$  have degree 1 with respect to  $\Theta$  because of  $-K_M = 2(r, d)\Theta$ , which will be called lines in  $M$ .

The rational curves we constructed in Theorem 1.2 are of split type (thus they are not Hecke curves). We have in fact a more general result. Let  $M = \mathcal{S}U_C(2, \mathcal{L})$  be the moduli space of rank-two stable bundles with fixed determinant  $\mathcal{L}$  on a smooth projective curve  $C$  of genus  $g \geq 3$ . Let  $M_s \subset M$  be the locus of stable bundles  $[W] \in M$  with the Segre invariant  $s(W) = s$  (refer to Section 3 for the definition of Segre invariant). Then we have the following theorem.

**Theorem 1.3.** *When  $d$  is even, for any  $[W] \in M_2$ , there is a rational curve of split type passing through it, which has degree 4. If  $d$  is odd, for any  $[W] \in M_1$ , there is a rational curve of split type passing through it, which has degree 2.*

When  $g = 3$  and  $d$  is even, we have  $M_2 = M$  (see Lemma 3.1). Thus Theorem 1.2 is a corollary of Theorem 1.3.

## 2. Rational curves of split type

Let  $C$  be a smooth projective curve with genus  $g \geq 2$  over an algebraically closed field of characteristic zero,  $W$  be a stable bundle of rank  $r$  and of degree  $d$  with determinant  $\mathcal{L}$  over  $C$ . Assume that there is a stable subbundle  $V_1$  of  $W$  such that

$$r_1d - d_1r = (r, d), \tag{1}$$

where  $r_1 = \text{rank } V_1, d_1 = \text{deg } V_1$  and  $d = \text{deg } W$ . Let  $V_2 := W/V_1$  be the quotient bundle, then  $W$  fits a non-trivial extension

$$0 \rightarrow V_1 \rightarrow W \rightarrow V_2 \rightarrow 0. \tag{2}$$

It is known that there is a family of vector bundles  $\{\mathcal{E}_p\}_{p \in P}$  on  $C$  parametrized by  $P = \mathbb{P} \text{Ext}^1(V_2, V_1)$  so that for each  $p \in P, \mathcal{E}_p$  is isomorphic to the bundle obtained as the extension of  $V_2$  by  $V_1$  given by  $p$  (see Lemma 2.3 of [9]). Let  $l$  be a line in  $P = \mathbb{P} \text{Ext}^1(V_2, V_1)$  passing through the point  $p_0$ , where  $p_0$  is the point in  $P$  given by (2). If it happens that  $\mathcal{E}_p$  is stable for each  $p \in l$ , then

$$\{\mathcal{E}_p\}_{p \in l}$$

will define a rational curve of degree  $2(r, d)$  (with respect to  $-K_M$ ) passing through  $[W] \in \mathcal{S}U_C(r, \mathcal{L})$  ([10,4]). Such a rational curve in  $\mathcal{S}U_C(r, \mathcal{L})$  will be called a **rational curve of split type**.

It is known that an extension  $0 \rightarrow E \rightarrow W \rightarrow F \rightarrow 0$ , where  $E, W, F$  are vector bundles on  $C$ , gives rise to an element  $\delta(W) \in H^1(C, \text{Hom}(F, E))$ , which is the image of the identity homomorphism in  $H^0(C, \text{Hom}(F, F))$  by the connecting homomorphism  $H^0(C, \text{Hom}(F, F)) \rightarrow H^1(C, \text{Hom}(F, E))$ . This gives a one:one correspondence between the set of equivalent classes of extensions of  $F$  by  $E$  and  $H^1(C, \text{Hom}(F, E))$  (refer to section 2 in [9]).

**Lemma 2.1.** Let  $d$  be an even number, and  $0 \rightarrow L_1 \rightarrow W \rightarrow L_2 \rightarrow 0$  be any non-trivial extension of  $L_2$  by  $L_1$ , where  $L_1$  (resp.  $L_2$ ) is a line bundle of degree  $\frac{d}{2} - 1$  (resp.  $\frac{d}{2} + 1$ ). Then

- (i)  $W$  is semi-stable;
- (ii)  $W$  is non-stable if and only if the element  $\delta(W) \in H^1(C, L_2^{-1} \otimes L_1)$  corresponding to  $W$  is in the kernel of the map

$$H^1(C, L_2^{-1} \otimes L_1) \longrightarrow H^1(C, L_2^{-1} \otimes L_1 \otimes L_x),$$

for some  $x \in C$ , where  $L_x = \mathcal{O}_C(x)$  is the line bundle defined by  $x$ . In this case,  $W$  is  $S$ -equivalent to  $L_2 \otimes L_x^{-1} \oplus L_1 \otimes L_x$  (refer to section 2 of [7] for the definition of  $S$ -equivalent).

**Proof.** (i) See Lemma 2.2 in [4] and [5].

(ii) Let  $L'$  be a line bundle of degree  $\frac{d}{2}$ . Then, since  $H^0(C, \text{Hom}(L', L_1)) = 0$ , it is easy to see that  $H^0(C, \text{Hom}(L', W)) \neq 0$  if and only if  $L'$  is of the form  $L_2 \otimes L_x^{-1}$  for some  $x \in C$  and the natural map  $L_2 \otimes L_x^{-1} \rightarrow L_2$  can be lifted into a map  $L_2 \otimes L_x^{-1} \rightarrow W$ .

Consider the commutative diagram of vector bundles

$$\begin{array}{ccccccc} 0 \rightarrow \text{Hom}(L_2, L_1) & \longrightarrow & \text{Hom}(L_2, W) & \longrightarrow & \text{Hom}(L_2, L_2) & \rightarrow & 0 \\ \downarrow & & \downarrow & & \downarrow & & \\ 0 \rightarrow \text{Hom}(L_2 \otimes L_x^{-1}, L_1) & \longrightarrow & \text{Hom}(L_2 \otimes L_x^{-1}, W) & \longrightarrow & \text{Hom}(L_2 \otimes L_x^{-1}, L_2) & \rightarrow & 0, \end{array}$$

where the horizontal sequences are exact and the vertical maps are induced by the natural map  $L_2 \otimes L_x^{-1} \rightarrow L_2$ . From this, we deduce the commutative diagram

$$\begin{array}{ccccccc} 0 \rightarrow H^0(C, \text{Hom}(L_2, W)) & \longrightarrow & H^0(C, \text{Hom}(L_2, L_2)) & \longrightarrow & H^1(C, \text{Hom}(L_2, L_1)) & \rightarrow & \dots \\ \downarrow & & \downarrow & & \downarrow & & \\ 0 \rightarrow H^0(C, \text{Hom}(L_2 \otimes L_x^{-1}, W)) & \longrightarrow & H^0(C, \text{Hom}(L_2 \otimes L_x^{-1}, L_2)) & \longrightarrow & H^1(C, \text{Hom}(L_2 \otimes L_x^{-1}, L_1)) & \rightarrow & \dots \end{array}$$

which implies the lemma.  $\square$

**Remark 2.2.** Lemma 2.1 (ii) asserts that the non-stable bundles in  $\mathbb{P}H^1(L_2^{-1} \otimes L_1)$  correspond precisely to the image of  $C$  in  $\mathbb{P}H^1(L_2^{-1} \otimes L_1)$  under the map given by the linear system  $K_C \otimes L_1^{-1} \otimes L_2$ . Which implies that the dimension of the subset of non-stable bundles in  $\mathbb{P}H^1(L_2^{-1} \otimes L_1)$  is at most 1.

### 3. Proof of Theorem 1.3

Let  $C$  be a smooth irreducible curve over an algebraically closed field of characteristic zero,  $W$  a vector bundle of rank 2 over  $C$ , set

$$m(W) := \max\{\text{deg}(L) \mid L \subset W \text{ is a sub line bundle of } W\}. \tag{3}$$

A sub line bundle  $L$  of  $W$  of maximal degree  $m(W)$  is called a **maximal sub line bundle**. The **Segre invariant** is defined by

$$s(W) := \text{deg}(W) - 2m(W). \tag{4}$$

Note that  $s(W) \equiv \text{deg}(W) \pmod{2}$  and that  $W$  is stable (resp. semi-stable) if and only if  $s(W) \geq 1$  (resp.  $s(W) \geq 0$ ). Nagata proved in [6] that

$$s(W) \leq g.$$

It is easy to see that

**Lemma 3.1.** If  $g = 3$ , then, for any stable bundle  $W$  over  $C$  of rank 2 and with even degree  $d$ , we have  $s(W) = 2$ .

In general, the function  $s : M \rightarrow \mathbb{Z}$  defined by  $[W] \mapsto s(W)$  is lower semicontinuous and gives a stratification of  $M$  into locally closed subsets  $M_s$  according to the value of  $s$ . Then, by Proposition 3.1 in [2], we have

**Proposition 3.2.** ([2]) Suppose that  $1 \leq s \leq g - 2$  and  $s \equiv d \pmod{2}$ . Then  $M_s$  is an irreducible algebraic variety of dimension  $2g + s - 2$ .

The proof of Theorem 1.3 follows the following two propositions.

**Proposition 3.3.** *Suppose that  $g \geq 3$ ,  $r = 2$ ,  $d$  is even and  $M_2$  is non-empty. Then, for any  $[W] \in M_2$ , there is a rational curve of split type passing through it, which has degree 4.*

**Proof.** For any  $[W] \in M_2$ , there is a sub line bundle  $L_1$  of  $W$  with  $\deg L_1 = \frac{d}{2} - 1$ , where  $d = \deg \mathcal{L}$ . Let  $L_2 := W/L_1$  be the quotient bundle, which has degree  $\frac{d}{2} + 1$ . It is easy to see that

$$1 \times d - \left(\frac{d}{2} - 1\right) \times 2 = 2 = (2, d).$$

Let  $i : L_1 \rightarrow W$  be the natural injection, then

$$0 \longrightarrow L_1 \xrightarrow{i} W \longrightarrow L_2 \longrightarrow 0$$

is a non-trivial extension (otherwise, we have  $W \cong L_1 \oplus L_2$ , which contradicts the stability of  $W$ ).

It is known that there is a family of vector bundles  $\mathcal{E}$  on  $C$  parametrized by  $P_{(L_1, L_2)} = \mathbb{P} \text{Ext}^1(L_2, L_1)$  so that for each  $p \in P_{(L_1, L_2)}$ , the  $\mathcal{E}_p$  is isomorphic to the bundle obtained as the extension of  $L_2$  by  $L_1$  given by  $p$  (see Lemma 2.3 of [9]). More precisely, there is a universal extension

$$0 \rightarrow f^*L_1 \otimes \pi^* \mathcal{O}_{P_{(L_1, L_2)}}(1) \rightarrow \mathcal{E} \rightarrow f^*L_2 \rightarrow 0 \tag{5}$$

on  $C \times P_{(L_1, L_2)}$ , where  $f : C \times P_{(L_1, L_2)} \rightarrow C$  and  $\pi : C \times P_{(L_1, L_2)} \rightarrow P_{(L_1, L_2)}$  are projections. Then  $\mathcal{E}$  is a family of semi-stable bundles of rank 2 and with fixed determinant  $\det(L_1) \otimes \det(L_2) \cong \mathcal{L}$  (Lemma 2.1). Thus, the universal extension (5) defines a morphism

$$\Phi_{(L_1, L_2)} : P_{(L_1, L_2)} \longrightarrow U_C(2, \mathcal{L}), \tag{6}$$

where  $U_C(2, \mathcal{L})$  denotes the moduli space of semi-stable bundles of rank 2 and with fixed determinant  $\mathcal{L}$ , which is a projective compactification of  $M$ .

It is easy to see that  $P_{(L_1, L_2)}$  is a projective space of dimension  $g \geq 3$ . By Lemma 2.1 and Remark 2.2, there is a line  $l$  in  $P_{(L_1, L_2)}$  passing through

$$q = [0 \longrightarrow L_1 \xrightarrow{i} W \longrightarrow L_2 \longrightarrow 0]$$

such that  $\mathcal{E}_p$  is stable for each  $p \in l$ . Thus,  $\Phi_{(L_1, L_2)}(l) \subset M = SU_C(2, \mathcal{L})$  and

$$\Phi_{(L_1, L_2)}|_l : l \rightarrow M = SU_C(2, \mathcal{L}) \tag{7}$$

is a rational curve of split type passing through the point  $[W] \in M$ .  $\square$

**Proposition 3.4.** *Suppose  $g \geq 2$ ,  $r = 2$ ,  $d$  is odd and  $M_1$  is non-empty. Then, for any  $[W] \in M_1$ , there is a rational curve of split type passing through it, which has degree 2.*

**Proof.** Let  $[W]$  be a point in  $M_1$ , then we have  $s(W) = 1$  and there is a sub line bundle  $L_1$  of  $W$  with  $\deg L_1 = \frac{d-1}{2}$ , where  $d = \deg \mathcal{L}$ . Let  $L_2 := W/L_1$ , which is a line bundle of degree  $\frac{d+1}{2}$ . It is easy to see that

$$1 \times d - \frac{d-1}{2} \times 2 = 1 = (2, d).$$

Let  $\iota : L_1 \rightarrow W$  be the natural injection, then

$$0 \longrightarrow L_1 \xrightarrow{\iota} W \longrightarrow L_2 \longrightarrow 0$$

is a non-trivial extension because  $W$  is a stable bundle.

It is known that there is a family of vector bundles  $\{\mathcal{E}_p\}$  on  $C$  parametrized by  $P_{(L_1, L_2)} = \mathbb{P} \text{Ext}^1(L_2, L_1)$  such that for each  $p \in P_{(L_1, L_2)}$ ,  $\mathcal{E}_p$  is isomorphic to the bundle obtained as the extension of  $L_2$  by  $L_1$  given by  $p$  (Lemma 2.3 of [9]). By Lemma 3.1 of [10],  $\{\mathcal{E}_p\}$  is a family of stable bundles of rank 2 and with fixed determinant  $\det(L_1) \otimes \det(L_2) \cong \mathcal{L}$ , which defines a morphism

$$\Psi_{(L_1, L_2)} : P_{(L_1, L_2)} \longrightarrow SU_C(2, \mathcal{L}) = M. \tag{8}$$

Let  $l$  be a line in  $P_{(L_1, L_2)}$  passing through

$$q = [0 \longrightarrow L_1 \xrightarrow{\iota} W \longrightarrow L_2 \longrightarrow 0],$$

then

$$\Psi_{(L_1, L_2)}|_l : l \rightarrow M = SU_C(2, \mathcal{L}) \tag{9}$$

is a rational curve of split type passing through the point  $[W] \in M$ , which has degree 2.  $\square$

When  $g = 2$ , the same as Lemma 3.1, we have:

**Lemma 3.5.** *If  $g = 2$ ,  $r = 2$  and  $d$  is odd, for any  $[W] \in M$ ,  $s(W) = 1$ .*

By Lemma 3.5 and Proposition 3.4, we have:

**Proposition 3.6.** *If  $g = 2$ ,  $r = 2$  and  $d$  is odd, then, for any  $[W] \in M$ , there exists a rational curve of split type passing through it, which has degree 2.*

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