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Differential Topology/Differential Geometry

# The topology of corank 1 multi-singularities of stable smooth mappings of equidimensional manifolds

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## Abstract

We study conditions for the coexistence of singularities of a stable smooth mapping of a closed manifold into a manifold of the same dimension  $n$ . Assuming that this mapping has only singularities of corank 1, we find universal linear relations between the Euler characteristics of the manifolds of multi-singularities in the image of the considered mapping. **To cite this article:** V.D. Sedykh, *C. R. Acad. Sci. Paris, Ser. I 340 (2005)*.

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## Résumé

**La topologie des multi-singularités de corank 1 des applications lisses et stables entre variétés de la même dimension.** Nous étudions des conditions pour la co-existence de singularités d'une application lisse et stable d'une variété fermée dans une variété de la même dimension  $n$ . Sous l'hypothèse de que cette application a seulement des singularités de corank 1, nous obtenons relations linéaires universelles entre les nombres d'Euler des variétés de multi-singularités dans l'image de cette application. **Pour citer cet article :** V.D. Sedykh, *C. R. Acad. Sci. Paris, Ser. I 340 (2005)*.

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Let  $M$  and  $N$  be real  $C^\infty$ -smooth  $n$ -dimensional manifolds,  $M$  be closed (compact without boundary). Consider a stable smooth mapping  $f : M \rightarrow N$  (see [1]). We say that  $f$  is a *mapping of corank  $\leq 1$*  if the dimension of the kernel of the derivative  $f_{*x} : T_x M \rightarrow T_{f(x)} N$  does not exceed 1 for any  $x \in M$ .

Germes of  $f$  are classified with respect to the left-right equivalence (smooth transformations of local coordinates in  $N$  and  $M$ ). The mapping  $f$  has a *singularity of type  $A_\mu$*  at a given  $x \in M$  if its local algebra at  $x$  is isomorphic to the  $\mathbb{R}$ -algebra  $\mathbb{R}[[t]]/(t^{\mu+1})$  of truncated polynomials in one variable of degree at most  $\mu$ . The mapping  $f$  of corank  $\leq 1$  can have only singularities of types  $A_\mu$ , where  $0 \leq \mu \leq n$  (see [7]).

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The *multi-singularity* of  $f$  at  $y \in N$  is the unordered set of singularities of  $f$  at points from  $f^{-1}(y)$ . Multi-singularities of the mapping  $f$  of corank  $\leq 1$  are classified by elements  $\mathcal{A} = A_{\mu_1} + \dots + A_{\mu_p}$  of the free additive Abelian semigroup  $\mathbb{A}$  whose generators are the symbols  $A_0, A_1, \dots$ . The numbers  $c(\mathcal{A}) = \mu_1 + \dots + \mu_p$  and  $d(\mathcal{A}) = c(\mathcal{A}) + p$  are called the *codimension* and the *degree* of a multi-singularity of type  $\mathcal{A}$ , respectively.

**Remark 1.** The mapping  $f$  has a multi-singularity of type 0 (the zero element of the semigroup  $\mathbb{A}$ ) at any point of the complement  $N \setminus f(M)$ . If  $\mathcal{A} = 0$ , then  $c(\mathcal{A}) = d(\mathcal{A}) = 0$ .

The mapping  $f$  of corank  $\leq 1$  can have only multi-singularities of codimension at most  $n$ . The set  $\mathcal{A}_f$  of points  $y \in N$ , where  $f$  has a multi-singularity of type  $\mathcal{A} \in \mathbb{A}$ , is a smooth submanifold of codimension  $c(\mathcal{A})$  in  $N$ . The Euler characteristic  $\chi(\mathcal{A}_f)$  of  $\mathcal{A}_f$  is the alternated sum of the Betti numbers of the homology groups with compact supports. Below,  $\chi((k_1 A_{\mu_1} + \dots + k_p A_{\mu_p})_f)$  is denoted by  $\chi^{(k_1, \dots, k_p)}_{(\mu_1, \dots, \mu_p)}$ .

Notice that  $f$  is a finite mapping of a closed manifold. Therefore there exists the maximum of the number of points in  $f^{-1}(y)$  by all  $y \in N$ . We will denote this maximum by  $d_f$ . It is clear that the mapping  $f$  of corank  $\leq 1$  can have only multi-singularities of degree at most  $d_f$ .

**Definition 1.** The *index*  $I_{\mathcal{A}}(X)$  of a multi-singularity of type  $X = A_{v_1} + \dots + A_{v_r}$  with respect to a multi-singularity of type  $\mathcal{A} = A_{\mu_1} + \dots + A_{\mu_p}$  is a nonnegative integer, calculated recursively as follows: 1) if  $\mu^* = \max\{\mu_1, \dots, \mu_p\} > v^* = \max\{v_1, \dots, v_r\}$ , then  $I_{\mathcal{A}}(X) = 0$ ; 2) if  $\mu^* \leq v^*$ , then  $I_{\mathcal{A}}(X) = \sum_{v_i = \mu^*} I_{\mathcal{A} - A_{\mu^*}}(X - A_{v_i}) + \sum_{v_i > \mu^*} I_{\mathcal{A} - A_{\mu^*}}(X - A_{v_i} + A_{v_i - \mu^* - 1})$ , where  $I_0(Y) = 1$  for any  $Y \in \mathbb{A}$ .

Consider the union  $\mathcal{A}_f^\infty$  of the manifolds  $(\mathcal{A} + kA_0)_f$  by all integers  $k \geq 0$  (here,  $(\mathcal{A} + kA_0)_f = \emptyset$  for any  $k > d_f - d(\mathcal{A})$ ). By  $\overline{\mathcal{A}_f^\infty}$  denote the closure of  $\mathcal{A}_f^\infty$  in the ambient manifold  $N$ .

**Theorem 2.** Let  $\mathcal{A} \neq 0$  and the manifold  $\mathcal{A}_f^\infty$  have odd dimension. Then the Euler characteristics  $\chi(X_f)$  of the manifolds  $X_f$  of multi-singularities of types  $X \in \mathbb{A}$  such that  $X_f \subseteq \overline{\mathcal{A}_f^\infty}$  satisfy the relation

$$\sum_X (-1)^{c(X)} I_{\mathcal{A}}(X) \chi(X_f) = 0. \tag{1}$$

If the manifold  $N$  is closed, then this formula is true for the case  $\mathcal{A} = 0$  as well.

**Corollary 3.** Let  $f : M^n \rightarrow N^n$  be a stable smooth mapping of corank  $\leq 1$ . Assume that  $M$  is a closed manifold. Then the following statements hold.

(1) For any  $\mathcal{A} \in \mathbb{A} \setminus \{0\}$  such that the manifold  $\mathcal{A}_f$  of multi-singularities of type  $\mathcal{A}$  of the mapping  $f$  has odd dimension, the Euler characteristic  $\chi(\mathcal{A}_f)$  of the manifold  $\mathcal{A}_f$  is a linear combination

$$\chi(\mathcal{A}_f) = \sum_X K_{\mathcal{A}}(X) \chi(X_f) \tag{2}$$

(with rational coefficients) of the Euler characteristics  $\chi(X_f)$  of even-dimensional manifolds  $X_f$  of multi-singularities of types  $X \in \mathbb{A}$ , where  $c(X) > c(\mathcal{A})$  and  $d(X) \geq d(\mathcal{A})$ . If the manifold  $N$  is closed, then this formula is true for the case  $\mathcal{A} = 0$  as well.

2) The formula (2) is universal in the sense that every coefficient  $K_{\mathcal{A}}(X)$  depends only on  $\mathcal{A}$  and  $X$  (i.e. it does not depend on  $f$  and on the topology of the manifolds  $M, N$ ). Namely,

$$K_{\mathcal{A}}(X) = \sum_{i \geq 0} (-1)^i P_i(\mathcal{A}, X), \tag{3}$$

where  $P_i(\mathcal{A}, X)$  is the sum of products of the form  $\prod_{j=0}^i \frac{I_{Y_j}(Y_{j+1})}{I_{Y_j}(Y_j)}$  by all ordered sets  $(Y_0, Y_1, \dots, Y_{i+1})$  of elements of the semigroup  $\mathbb{A}$  such that  $Y_0 = \mathcal{A}, Y_{i+1} = X, d(\mathcal{A}) \leq d(Y_1) \leq \dots \leq d(Y_i) \leq d(X), c(\mathcal{A}) \leq c(Y_1) \leq \dots \leq$

**Table 1**  
List of formulas (2) for even  $n \leq 4$

$c = 1$	$2\chi_{(1,0)}^{(1,k)} = 2\chi_{(1,0)}^{(2,k-2)} + 2\chi_{(1,0)}^{(2,k)} + 2\chi_{(2,0)}^{(1,k-1)}$ $- 2\chi_{(1,0)}^{(4,k-6)} - 6\chi_{(1,0)}^{(4,k-4)} - 6\chi_{(1,0)}^{(4,k-2)} - 2\chi_{(1,0)}^{(4,k)} - 2\chi_{(2,1,0)}^{(1,2,k-5)} - 4\chi_{(2,1,0)}^{(1,2,k-3)} - 2\chi_{(2,1,0)}^{(1,2,k-1)}$ $- 2\chi_{(2,0)}^{(2,k-4)} - 2\chi_{(2,0)}^{(2,k-2)} - 2\chi_{(3,1,0)}^{(1,1,k-4)} - 3\chi_{(3,1,0)}^{(1,1,k-2)} - 2\chi_{(4,0)}^{(1,k-3)} - 2\chi_{(4,0)}^{(1,k-1)}$
$c = 3$	$2\chi_{(1,0)}^{(3,k)} = 4\chi_{(1,0)}^{(4,k-2)} + 4\chi_{(1,0)}^{(4,k)} + 2\chi_{(2,1,0)}^{(1,2,k-1)} + \chi_{(3,1,0)}^{(1,1,k)}$ $\chi_{(2,1,0)}^{(1,1,k)} = \chi_{(2,1,0)}^{(1,2,k-2)} + \chi_{(2,1,0)}^{(1,2,k)} + 2\chi_{(2,0)}^{(2,k-1)} + \chi_{(3,1,0)}^{(1,1,k-1)} + \chi_{(4,0)}^{(1,k)}$ $2\chi_{(3,0)}^{(1,k)} = \chi_{(3,1,0)}^{(1,1,k-2)} + \chi_{(3,1,0)}^{(1,1,k)} + 2\chi_{(4,0)}^{(1,k-1)}$

$c(Y_i) < c(X)$ ,  $c(Y_1) \equiv \dots \equiv c(Y_i) \equiv c(\mathcal{A}) \pmod{2}$ , and if  $c(Y_{j+1}) = c(Y_j)$  for some  $j = 0, \dots, i - 1$ , then there is a positive integer  $k \leq d(Y_{j+2}) - d(Y_j)$  such that  $Y_{j+1} = Y_j + kA_0$ .

(3) For any  $K_{\mathcal{A}}(X)$ , there exists an integer  $\alpha \geq 0$  such that  $K_{\mathcal{A}}(X)2^\alpha$  is an integer. For any  $\mathcal{A}, X \in \mathbb{A}$  such that  $c(X) - c(\mathcal{A})$  is an odd positive integer,  $K_{\mathcal{A}+kA_0}(X + kA_0) = K_{\mathcal{A}}(X)$  for every integer  $k \geq 0$ .

(4) The lists of formulas (2) for all possible  $\mathcal{A} \in \mathbb{A}$  such that  $c = c(\mathcal{A}) \leq 4$  are given in Table 1 for even  $n \leq 4$  and in Table 2 for odd  $n \leq 5$ . In these tables,  $k$  is an arbitrary nonnegative integer (we let  $\chi_{(\mu_1, \dots, \mu_p)}^{(k_1, \dots, k_p)} = 0$  if there exists  $i$  such that  $k_i < 0$ ). The formula for  $\chi_{(0)}^{(k)}$  with  $k = 0$  is valid if  $N$  is closed.

(5) If  $n$  is even, then the Euler characteristic  $\chi(M)$  of  $M$  and the Euler characteristic  $\chi(N)$  of  $N$  (in the case of a closed  $N$ ) are linear combinations of the Euler characteristics  $\chi(X_f)$  of even-dimensional manifolds  $X_f$  of multi-singularities of types  $X \in \mathbb{A}$  of the mapping  $f$ . These combinations are universal in the same sense as above (their coefficients do not depend on  $f, M$  and  $N$ ). In particular, if  $n \leq 4$ , then

$$\chi(M) = \sum_k [k\chi_{(0)}^{(k)} - (k + 2)\chi_{(1,0)}^{(2,k)} - \chi_{(2,0)}^{(1,k)} + (5k + 20)\chi_{(1,0)}^{(4,k)} + (2k + 9)\chi_{(2,1,0)}^{(1,2,k)} + (k + 4)\chi_{(2,0)}^{(2,k)} + (k + 5)\chi_{(3,1,0)}^{(1,1,k)} + (k + 3)\chi_{(4,0)}^{(1,k)}], \quad (4)$$

$$\chi(N) = \sum_k [\chi_{(0)}^{(k)} - \chi_{(1,0)}^{(2,k)} + 5\chi_{(1,0)}^{(4,k)} + 2\chi_{(2,1,0)}^{(1,2,k)} + \chi_{(2,0)}^{(2,k)} + \chi_{(3,1,0)}^{(1,1,k)} + \chi_{(4,0)}^{(1,k)}]. \quad (5)$$

The sums in the formulas (4) and (5) are taken by all nonnegative integers  $k \leq d_f$  (in the formula (4) for the case of a nonclosed  $N$ , we let  $k\chi_{(0)}^{(k)} = 0$  if  $k = 0$ ).

Proof of the formula (1) is based on a resolution of multi-singularities of the mapping  $f$  which is similar to the resolution [9] of corank 1 singularities of the front of a stable Legendre mapping. This resolution is closely related to the iteration principle which is used in complex problems for the analysis of multiple-point cycles of generic holomorphic mappings having only singularities of corank 1 (see [2,4,5]).

**Remark 2.** The existence of relations of the form (2) (even for an arbitrary Whitney stratification of a smooth closed manifold) can be extracted from some papers on stratified sets (for example, from [8] or [6]). The formula (3), we obtained, supply a simple combinatorial algorithm for the calculation of all such relations between multi-singularities of a stable mapping  $f : M^n \rightarrow N^n$  of corank  $\leq 1$  for any fixed  $n$ .

**Remark 3.** It turns out that the system of relations of the form (2) is complete in the following sense. Let  $n$  and  $d$  be arbitrary fixed positive integers and  $W_{n,d}$  be the class of all stable smooth mappings  $f : M^n \rightarrow N^n$  of corank  $\leq 1$ , where  $M$  and  $N$  are any smooth closed manifolds of dimension  $n$  and  $d_f \leq d$ . Then any universal linear relation with real coefficients between the Euler characteristics of manifolds of multi-singularities of mappings  $f \in W_{n,d}$  is a linear combination of the relations of the form (2) corresponding to  $\mathcal{A} \in \mathbb{A}$  such that  $c(\mathcal{A}) < n$ ,  $c(\mathcal{A}) \equiv n - 1 \pmod{2}$  and  $d(\mathcal{A}) \leq d$ .

Table 2

List of formulas (2) for odd  $n \leq 5$ 

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$$\begin{aligned}
c = 0 \quad 4\chi_{(0)}^k &= 2\chi_{(1,0)}^{(1,k-2)} + 2\chi_{(1,0)}^{(1,k)} - \chi_{(1,0)}^{(3,k-6)} - 3\chi_{(1,0)}^{(3,k-4)} - 3\chi_{(1,0)}^{(3,k-2)} - \chi_{(1,0)}^{(3,k)} \\
&\quad - \chi_{(2,1,0)}^{(1,1,k-5)} - 2\chi_{(2,1,0)}^{(1,1,k-3)} - \chi_{(2,1,0)}^{(1,1,k-1)} - \chi_{(3,0)}^{(1,k-4)} - 2\chi_{(3,0)}^{(1,k-2)} + \chi_{(3,0)}^{(1,k)} \\
&\quad + 2\chi_{(1,0)}^{(5,k-10)} + 10\chi_{(1,0)}^{(5,k-8)} + 20\chi_{(1,0)}^{(5,k-6)} + 20\chi_{(1,0)}^{(5,k-4)} + 10\chi_{(1,0)}^{(5,k-2)} + 2\chi_{(1,0)}^{(5,k)} + 2\chi_{(2,1,0)}^{(1,3,k-9)} + 8\chi_{(2,1,0)}^{(1,3,k-7)} \\
&\quad + 12\chi_{(2,1,0)}^{(1,3,k-5)} + 8\chi_{(2,1,0)}^{(1,3,k-3)} + 2\chi_{(2,1,0)}^{(1,3,k-1)} + 2\chi_{(2,1,0)}^{(2,1,k-8)} + 6\chi_{(2,1,0)}^{(2,1,k-6)} + 6\chi_{(2,1,0)}^{(2,1,k-4)} + 2\chi_{(2,1,0)}^{(2,1,k-2)} \\
&\quad + 2\chi_{(3,1,0)}^{(1,2,k-8)} + 7\chi_{(3,1,0)}^{(1,2,k-6)} + 8\chi_{(3,1,0)}^{(1,2,k-4)} + 3\chi_{(3,1,0)}^{(1,2,k-2)} + 2\chi_{(3,2,0)}^{(1,1,k-7)} + 5\chi_{(3,2,0)}^{(1,1,k-5)} + 3\chi_{(3,2,0)}^{(1,1,k-3)} \\
&\quad + 2\chi_{(4,1,0)}^{(1,1,k-7)} + 6\chi_{(4,1,0)}^{(1,1,k-5)} + 5\chi_{(4,1,0)}^{(1,1,k-3)} + \chi_{(4,1,0)}^{(1,1,k-1)} + 2\chi_{(5,0)}^{(1,k-6)} + 5\chi_{(5,0)}^{(1,k-4)} + 2\chi_{(5,0)}^{(1,k-2)} + \chi_{(5,0)}^{(1,k)} \\
c = 2 \quad 4\chi_{(1,0)}^{(2,k)} &= 6\chi_{(1,0)}^{(3,k-2)} + 6\chi_{(1,0)}^{(3,k)} + 4\chi_{(2,1,0)}^{(1,1,k-1)} + 2\chi_{(3,0)}^{(1,k)} - 10\chi_{(1,0)}^{(5,k-6)} - 30\chi_{(1,0)}^{(5,k-4)} - 30\chi_{(1,0)}^{(5,k-2)} - 10\chi_{(1,0)}^{(5,k)} \\
&\quad - 9\chi_{(2,1,0)}^{(1,3,k-5)} - 18\chi_{(2,1,0)}^{(1,3,k-3)} - 9\chi_{(2,1,0)}^{(1,3,k-1)} - 8\chi_{(2,1,0)}^{(2,1,k-4)} - 8\chi_{(2,1,0)}^{(2,1,k-2)} - 7\chi_{(3,2,0)}^{(1,1,k-3)} - 3\chi_{(3,2,0)}^{(1,1,k-1)} \\
&\quad - 8\chi_{(3,1,0)}^{(1,2,k-4)} - 12\chi_{(3,1,0)}^{(1,2,k-2)} - 2\chi_{(3,1,0)}^{(1,2,k)} - 7\chi_{(4,1,0)}^{(1,1,k-3)} - 7\chi_{(4,1,0)}^{(1,1,k-1)} - 6\chi_{(5,0)}^{(1,k-2)} - 2\chi_{(5,0)}^{(1,k)} \\
4\chi_{(2,0)}^{(1,k)} &= 2\chi_{(2,1,0)}^{(1,1,k-2)} + 2\chi_{(2,1,0)}^{(1,1,k)} + 4\chi_{(3,0)}^{(1,k-1)} - \chi_{(2,1,0)}^{(1,3,k-6)} - 3\chi_{(2,1,0)}^{(1,3,k-4)} - 3\chi_{(2,1,0)}^{(1,3,k-2)} - \chi_{(2,1,0)}^{(1,3,k)} \\
&\quad - 2\chi_{(2,1,0)}^{(2,1,k-5)} - 4\chi_{(2,1,0)}^{(2,1,k-3)} - 2\chi_{(2,1,0)}^{(2,1,k-1)} - 2\chi_{(3,1,0)}^{(1,2,k-5)} - 4\chi_{(3,1,0)}^{(1,2,k-3)} - 2\chi_{(3,1,0)}^{(1,2,k-1)} \\
&\quad - 3\chi_{(3,2,0)}^{(1,1,k-4)} - 4\chi_{(3,2,0)}^{(1,1,k-2)} + \chi_{(3,2,0)}^{(1,1,k)} - 3\chi_{(4,1,0)}^{(1,1,k-4)} - 4\chi_{(4,1,0)}^{(1,1,k-2)} - \chi_{(4,1,0)}^{(1,1,k)} - 4\chi_{(5,0)}^{(1,k-3)} - 4\chi_{(5,0)}^{(1,k-1)} \\
c = 4 \quad 2\chi_{(1,0)}^{(4,k)} &= 5\chi_{(1,0)}^{(5,k-2)} + 5\chi_{(1,0)}^{(5,k)} + 2\chi_{(2,1,0)}^{(1,3,k-1)} + \chi_{(3,1,0)}^{(1,2,k)} \\
2\chi_{(2,1,0)}^{(1,2,k)} &= 3\chi_{(2,1,0)}^{(1,3,k-2)} + 3\chi_{(2,1,0)}^{(1,3,k)} + 4\chi_{(2,1,0)}^{(2,1,k-1)} + 2\chi_{(3,1,0)}^{(1,2,k-1)} + \chi_{(3,2,0)}^{(1,1,k)} + 2\chi_{(4,1,0)}^{(1,1,k)} \\
2\chi_{(2,0)}^{(2,k)} &= \chi_{(2,1,0)}^{(2,1,k-2)} + \chi_{(2,1,0)}^{(2,1,k)} + 2\chi_{(3,2,0)}^{(1,1,k-1)} + \chi_{(5,0)}^{(1,k)} \\
\chi_{(3,1,0)}^{(1,1,k)} &= \chi_{(3,1,0)}^{(1,2,k-2)} + \chi_{(3,1,0)}^{(1,2,k)} + \chi_{(3,2,0)}^{(1,1,k-1)} + \chi_{(4,1,0)}^{(1,1,k-1)} + \chi_{(5,0)}^{(1,k)} \\
2\chi_{(4,0)}^{(1,k)} &= \chi_{(4,1,0)}^{(1,1,k-2)} + \chi_{(4,1,0)}^{(1,1,k)} + 2\chi_{(5,0)}^{(1,k-1)}
\end{aligned}$$


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**Remark 4.** Similar results for Legendre mappings and for mappings from  $m$ -dimensional manifold into  $n$ -dimensional manifold where  $m < n$  have been published in [9] and [10].

**Remark 5.** (Multi)singularities of mappings under consideration also satisfy coexistence conditions of different nature. In order to find them, different methods and objects are used: Thom polynomials, cobordism classes and so on (see [1,3]).

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