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7 **Predictors of Hypersensitivity Reactions to Platinum-Based Chemotherapy in**
8 **a Tertiary Hospital in Oman**
9 *A case-control study*

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21
22 **Abstract**

23 **Objectives:** Platinum-based compounds (PBC) play an important role in cancer therapy.
24 However, one of the drawbacks of PBC is the occasional occurrence of hypersensitivity reactions
25 (HSR) which can lead to serious consequences. The aim of the study is to estimate the
26 prevalence and evaluate risk factors of HSR to PBC in cancer patients. **Methods:** A case-control
27 study of patients who received any PBC for the management of non-haematological cancers
28 from 2013 to 2020 at Sultan Qaboos University Hospital, Oman. Data regarding demographic
29 features and diseases and treatment details were collected from the hospital's electronic patients
30 record. We quantitatively described the data, and Student's t-test and Wilcoxon Man-Whitney

31 tests were used to detect significant differences. **Results:** A total of 38 cases and 148 matched
32 controls were studied. The prevalence of HSR to PBC in our cohort was 4.7% (95% confidence
33 interval: 3.33%-6.37%), more with carboplatin compared to cisplatin and oxaliplatin. In our
34 study, female gender ($p=0.032$), concomitant taxanes ($p=0.002$) and concurrent radiation
35 ($p<0.001$) were significant predictors of HSR to PBC. The majority of reactions were of mild to
36 moderate severity and the rechallenge rate after HSR development was 13%. **Conclusion:** HSR
37 to PBC impact therapy decisions and understanding the risk factors are important to improve
38 treatment outcomes in cancer patients.

39 **Keywords:** Hypersensitivity; Platinum; Anti-neoplastic; Oncology; Oman.

40

41 **Advances in Knowledge**

42 - This is the first report from the middle-eastern countries reporting the incidence,
43 presentation, outcomes and predictive factors of HSR to PBC.

44

45 **Application to Patient Care**

46 - As the study showed that around 5% of patients can develop HSR to PBC, and the
47 combination of platinum-taxane, in addition to concurrent chemo-radiation significantly
48 predicted higher HSR rates, this should be taken into consideration during treatment planning.

49

50 - Also, since most of the reactions were of a lower grade, more work should be done on re-
51 challenge criteria and protocols, as not to compromise treatment outcomes caused by the
52 elimination of platinum use in patients showing mild signs of HSR.

53

54 **Introduction**

55 Platinum-based compounds (PBCs) (cisplatin, carboplatin, oxaliplatin) play a very important role
56 in cancer therapy. They act by inhibition of DNA replication through the formation of DNA
57 adducts leading to apoptosis, and hence preventing replication of cancer cells.¹ These compounds
58 have been approved for treatment of several cancers of different origins. For several cancers,
59 PBCs form the backbone of treatment². However, one of the drawbacks of PBCs is the
60 occasional occurrence of hypersensitivity reactions (HSR) which can be potentially fatal. The
61 incidence of HSR to PBC is rising due to the growing use of these agents in cancer

62 management.² The symptoms of HSR range from a mild skin rash to severe anaphylaxis, and
63 multiple types of hypersensitivity pathways seem to be implicated.^{2,3}

64
65 The reported incidence of HSR to PBC differs according to the specific agent used, ranging from
66 5% to 20% with cisplatin, depending on concomitant therapy.⁴ For carboplatin, HSR occurs at a
67 rate of < 1% during the first five cycles, with a sharp increment to 44% in the third-line treatment
68 setting, after more than eight cycles have been administered.⁵ Oxaliplatin-related HSR has been
69 reported to be less common, but with the growing use of this drug, the frequency ranges from
70 3.6-18.9% in clinical practice.^{2,3}

71
72 The risk of HSR to both cisplatin and carboplatin increase with increasing number of infusions
73 and the cumulative dose delivered, as well as the type of concomitantly administered
74 antineoplastic drugs.² Cisplatin HSRs also increase with concurrent radiation therapy.⁴ Patients
75 who receive first-line platinum-based chemotherapy and are then treated again with a platinum
76 agent at the time of relapse, are at the greatest risk of experiencing HSR after a long platinum-
77 free interval.⁶ Other factors such as higher lymphocyte and neutrophil count and lower monocyte
78 count are also implicated as potential risk factors.^{7,8}

79
80 The occurrence of HSR raises important issues when it comes to subsequent therapy decisions,
81 because changing the chemotherapy could affect the tumour's evolution. Though the
82 characteristics of platinum-based HSR are widely reported, limited data are available regarding
83 such reactions in different types of patients. Additionally, to the best of our knowledge, HSR to
84 PBC has not been previously studied in the Omani population and ethnic variations can play an
85 important role in determining such outcomes. Therefore, the aim of this study was to estimate the
86 prevalence of HSR and to evaluate the predictive factors for the occurrence of allergic reactions
87 to PBC in cancer patients treated at a tertiary care centre in Oman.

88

89 **Methods**

90 In this case-control study, we included patients who received any platinum-based chemotherapy
91 regimen for the treatment of non-hematological tumors at the Sultan Qaboos University Hospital
92 (SQUH), a tertiary care and cancer center in Oman, between January 2013 and December 2020.

93 The study included adult cancer patients, who received at least one dose of a platinum-agent
94 during this period. Details of chemotherapy were retrieved from the Cytosoft© software used for
95 chemotherapy order management. Chemotherapy protocols at our institution are based on British
96 Columbia Cancer Agency (BCCA) protocols. Ethical approval of the study was granted by the
97 Medical Research Ethics Committee at SQU College of Medicine and Health Sciences. All
98 patients had received dexamethasone and an antihistamine prior to every dose of platinum
99 chemotherapy as part of the routine supportive care protocol.

100

101 HSRs were reported either using the adverse drug reaction form or were documented in the
102 electronic patient's record (EPR). This reporting system can be activated either by the physician,
103 the pharmacist or the nurse involved in the patient care and sent to a central pharmacovigilance
104 centre. Only patients with acute HSR infusion-related reactions were included in the study.
105 HSR severity was defined according to the Common Terminology Criteria for Adverse Events
106 version 4 (CTCAE ver.4). For each case, four controls were selected. Controls were randomly
107 selected patients who received platinum –based chemotherapy and did not develop symptoms of
108 HSR, and were stratified according to age, gender, and the year of treatment. About 18-20
109 random patients with equal numbers of males and females were selected from each treatment
110 year within the study period. We did not match the controls for disease site or chemotherapeutic
111 regimens.

112

113 Patient data were collected from the EPR and included demographic features (age, gender,
114 height, weight, diagnosis, and stage of the disease), history of allergy to other medications,
115 baseline laboratory parameters (complete blood count including hemoglobin, white blood cell
116 with differentials, platelets count), and treatment details (type of platinum agent used, treatment
117 setting, number of cycles received prior to the development of HRS, cumulative dose received
118 prior to HRS, grade of HSR, platinum-free interval, concomitant chemotherapy, and concomitant
119 radiotherapy, and subsequent therapy after occurrence of HSR).

120

121 Descriptive statistics were used to describe the data. For categorical variables, frequencies and
122 percentages were reported. Differences between groups were analysed using Pearson's χ^2 tests
123 (or Fisher's exact tests for expected cells <5). For continuous variables, mean and standard

124 deviation were used to summarize the data and differences between groups stratified by
125 platinum-based hypersensitivity reactions were analysed using Student's t-test. Variables that
126 were not normally distributed (e.g., number of cycles, cumulative dose) were summarized using
127 median and interquartile range (IQR) and analysis was performed using Wilcoxon-Mann-
128 Whitney test. The Kolmogorov–Smirnov test was used to determine normal distribution. Odds
129 ratios (OR) with their respective 95% confidence intervals (CI) were derived using univariate
130 logistic regression model. An *a priori* two-tailed level of significance was set at 0.05. Statistical
131 analyses were conducted using STATA version 13.1 (STATA Corporation, College Station, TX,
132 USA).

133

134 **Results**

135 Between 2013 and 2020, a total of 812 patients received PBC at our institution. A total of 38
136 cases of HSR were reported (Carboplatin; 20, Oxaliplatin; 13 and Cisplatin; 5). The incidence of
137 HSR in patients receiving PBC was 4.7% (38/812). All cases developed an immediate allergic
138 reaction to a platinum-based drug during infusion and up to one hour later. Clinical features of
139 allergy ranged from mild skin rash to more severe bronchospasm and tachycardia. 148 case
140 matched controls were identified and a total of 186 patients including cases and controls were
141 studied for predictive factors. Of the cohort, 88, 89, and 37 patients received carboplatin,
142 oxaliplatin and cisplatin, respectively, whereas four patients (2%) received all three types of
143 platinum compounds, and 21 patients received to at least two platinum compounds before the
144 occurrence of HSR.

145

146 The mean age of the cohort was 53 ± 15 years and 53% (99/187) were females. The demographic
147 and clinical characteristics of the study population are shown in **Table 1**. The majority of
148 patients were treated for stage IV disease (68%). Details of clinical stage at the time of allergic
149 reaction are shown in **Table 2**. Consequently, 68% of the patients were receiving palliative
150 chemotherapy, whereas 15 and 17% of the patients were receiving neoadjuvant and adjuvant
151 chemotherapy, respectively. The most frequently used concomitant chemotherapeutic agents
152 were paclitaxel, gemcitabine and 5-fluorouracil. Concurrent radiation was used in 28 (15%)
153 patients.

154

155 No significant difference in age was noted between the cases and controls (52 vs 53 years; $p =$
156 0.615), however, females were significantly more likely to be associated with HSR reactions
157 than males (26% vs 14%; $p = 0.032$). In addition, a trend towards higher HSR rate with a lower
158 body surface area was observed (1.67 vs 1.59 m²; $p = 0.064$). No significant differences were
159 observed in other demographic features, clinical stage, blood counts and prior exposure to
160 platinum compounds between cases and controls.

161
162 Patients who developed a reaction to carboplatin, had a median number of 5 (2-8) cycles prior to
163 the HSR, compared to a median of 3 cycles (1-6) for cisplatin, and 8 (7-10) cycles for
164 oxaliplatin. Only 3/38 patients developed an HSR with the first dose of a platinum agent. The
165 cumulative number of cycles of any platinum received by the patient was not a significant
166 predictor for HSR, with an average of nine cycles in each group ($p = 0.4$). The average
167 cumulative total dose of carboplatin, cisplatin and oxaliplatin prior to HSR was 3362, 3449 and
168 1699mg, respectively.

169
170 Higher rate of HSR was observed with the concomitant use of taxane chemotherapy and
171 concurrent chemoradiation. A taxane was administered concomitantly with a platinum in 42
172 patients during the entire cohort. Out of the 38 cases, 16 developed HSR (42%; 95% CI: 26%-
173 59%), compared to 26/148 (18%; 95% CI: 12%-25%) controls ($p = 0.002$). Use of a taxane was
174 significantly associated with the development of HSR to platinum-based agents (odds ratio (OR),
175 3.4; 95% CI: 1.6–7.4; $p = 0.002$). Likewise, of the 28 patients who received concurrent radiation,
176 13/38 cases (35%), and 15/148 controls (10%) developed HSR (OR, 4.8 95% CI: 2.1–11.4; $p <$
177 0.001).

178
179 The grade of HSR according to NCI-CTCAE v4.0 is shown in Table 3. Eight patients developed
180 grade III HSR, and no patient developed grade IV reaction. After the onset of allergic episode,
181 the platinum agent was permanently discontinued in 33 (87%) patients. Two patients received
182 the same agent successfully at a slower rate and three patients with an HSR to carboplatin were
183 shifted to cisplatin. Out of the three, only one showed cross-reactivity. All patients who received
184 a platinum agent after a documented HSR had grade I to II HSRs initially, mainly manifesting as
185 itching, urticaria and erythema within few minutes of administration.

186

187 **Discussion**

188 To the best of our knowledge, this is the first report from the middle-eastern countries reporting
189 the incidence, presentation, outcomes and predictive factors of HSR to PBC. Over a period of
190 eight years, the incidence of HSR was 4.7%, more with carboplatin compared to either cisplatin
191 or oxaliplatin. Patients receiving a taxane or radiotherapy concomitantly were at a significantly
192 higher risk of developing an HSR. Although the majority of patients developed either grade I or
193 II HSR, a platinum compound of any kind was omitted from the chemotherapy combination for
194 the subsequent cycles.

195

196 The broad use of PBC in the last decade has contributed significantly to HSR development in the
197 treatment of cancer patients. In literature, varying HSR rates between different platinum-based
198 agents have been reported.² Our results are consistent with the published data suggesting that
199 HSRs are characteristically uncommon in the first few cycles of treatment with PBC.^{2,3} For
200 carboplatin, the incidence has reported to be as low as 1% in the first 5 cycles² with an
201 exponential surge to 6.5% during the 6th cycle⁹ and up to 27% in patients who received seven or
202 more cycles.¹⁰ At our institution patients taking carboplatin had a cumulative rate of HSR of
203 23%, higher than the rate of HSRs with cisplatin or oxaliplatin. Carboplatin hypersensitivity
204 occurred after a median of 5 cycles, which is considered borderline in other reports.² The rate of
205 cisplatin-hypersensitivity was 14% and the occurrence of HSRs was evident between the 1st and
206 the 6th cycle (median=3) which is earlier than the 4th – 8th cycle mark.² On the other hand,
207 oxaliplatin HSR was found to manifest after a median of 8 cycles, which is significantly later
208 than a median of 3 cycles.¹¹ These discrepancies in the characteristics of HSR between different
209 populations warrant further investigations. HSRs may happen in association with multiple
210 factors, such as gender, concomitant chemotherapy, and radiation therapy.

211

212 Only a few studies investigated the association between HSRs occurrence in different genders.
213 Shibata et al.¹² reported that there was no interrelation between HSRs and gender, while Seki et
214 al.⁸ suggested that young female patients were more prone to experience HSRs when compared
215 to males of the same age. Our analysis showed that females were significantly more likely to
216 develop HSRs than males (26% vs 14%; $p=0.032$), the reason for this prevalence is unknown.

217 However, some investigators proposed that hormonal influences play a significant role in
218 developing HSRs, as well as the presence of preexisting allergies to food, drugs and allergens.¹³
219 Patients who were treated at our hospital were asked regarding the presence of allergies towards
220 food, drugs as well as other allergens. However, low level of awareness by patients regarding
221 their preexisting allergies cannot be excluded.

222
223 The concomitant use of other antineoplastic drugs has been reported to increase the rate of HSRs
224 in cancer patients, especially when carboplatin is used concomitantly with paclitaxel.² Our data
225 showed that the incidence of HSRs when using concurrent paclitaxel was significantly higher
226 when compared with other antineoplastics, such as, gemcitabine and 5-fluorouracil (5-FU) based
227 chemotherapies. Studies suggest the use of other chemotherapeutic agents to avoid this
228 interaction. For example, pegylated liposomal doxorubicin (PLD) was studied and demonstrated
229 a lower incidence of HSR when compared to paclitaxel, where a combination of carboplatin-
230 paclitaxel vs carboplatin-PLD was associated with 18.8% and 5.6% risk of HSR occurrence,
231 respectively.¹⁴

232
233 As studies have reported, the patho-physiology of HSR to PBC is not limited to type I HSR^{2,3}
234 and this might overlap with the mechanism of HSR to taxanes which is probably not IgE
235 mediated.¹⁵ However, in clinical practice the features of HSR to PBC and taxanes are distinct,
236 and clinicians are less likely to misclassify the cause of HSR.¹⁵ Concomitant use of radiation
237 therapy might also be considered predictor for HSR. In our study, a significant association was
238 found (35%; $p < 0.001$). This observation is consistent with multiple reports which have
239 suggested the occurrence of HSR when using PBC followed by radiation therapy.⁴ This might be
240 related to the either antigen release or cytokine release caused by irradiation and caution is
241 warranted in this group of patients.^{4,16}

242
243 Around 80% of the HSR seen in our study were grade I/II. However, platinum chemotherapy
244 was permanently discontinued in 90% of the cases. Out of the five patients who were re-
245 challenged, four were able to successfully receive the same or a different platinum agent without
246 untoward reactions, and one patient showed cross-reactivity with another platinum agent. This
247 rate of rechallenge was very low. Tate et al. demonstrated the safety of changing the platinum

248 compound with low risk of HSR recurrence.¹⁷ In addition, slowing the infusion rate was found to
249 allow successful delivery of the platinum agents in 97% of 174 patients with platinum HSR.¹⁸
250 Furthermore, skin testing, despite limited use, was shown to have a high level of accuracy of
251 detecting a HSR (81% to 88%)^{19,20} and these management lines should be considered to allow
252 those patients at high risk to receive a safe course of treatment without efficacy compromise.
253 There are limitations of the study, such as the case-control design with inherent selection bias
254 risk and long period of time to accumulate the data. However, this is due to the rarity of HSR
255 events. Also, the fact that physicians, pharmacists and nurses can enter information either in hard
256 or soft copy reduces the chances of underreporting.

257

258 **Conclusion**

259 In conclusion, HSR to platinum agents are not uncommon. Fortunately, most patients experience
260 mild reactions. Predicting the risk depends on multiple factors, and that can be used to monitor
261 future patients to prevent from unwanted HSR. Also, further studies may help elucidate the
262 interactions and dynamics of HSR with other chemotherapeutic agents and radiation therapy.

263

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326

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330 validating the status and labelling of the patients who developed hypersensitivity reactions.

331

332 **Authors' contribution**

333 MM conceptualised the idea of the study and BS managed the project. KB designed the study.
334 FR, BA and KM collected the data. EA conducted the literature review and structured the study
335 background. BS, FR and NW analysed and interpreted the data. IZ conducted the statistical
336 analysis. BS and NW drafted the manuscript. KB, IB and KM reviewed and edited the
337 manuscript. All authors approved the final version of the manuscript.

338

339 **Conflict of Interest**

340 The authors declare no conflicts of interest.

341

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344

345 **Table 1:** Demographic and clinical characteristics.

Characteristic, n (%) unless specified otherwise	All (N = 186)	Controls (n = 148)	Cases (n = 38)	P value
Age, mean ± SD, years	52.6 ± 15.7	52.8 ± 15.7	51.6 ± 15.9	0.704
Gender				
Female	99 (53%)	73 (73%)	26 (26%)	0.032*
Male	87 (47%)	75 (86%)	12 (14%)	
BSA, mean ± SD, m ²	1.65 ± 0.24	1.67 ± 0.24	1.59 ± 0.26	0.064
BMI, mean ± SD, (kg/m ²)	25.07 ± 8.64	23.53 ± 6.57	25.46 ± 9.07	0.218
Diagnosis				
Lower GIT	70 (37%)	58 (39%)	12 (32%)	-
Gynecological	24 (13%)	16 (11%)	8 (21%)	-
Breast	24 (13%)	18 (12%)	6 (16%)	-
Upper GIT	22 (12%)	16 (11%)	6 (16%)	-
Lung	18 (9.6%)	17 (11%)	1 (2.6%)	-
Urological	15 (8.0%)	14 (9.4%)	1 (2.6%)	-
Head and neck	8 (4.3%)	5 (3.4%)	3 (7.9%)	-
Skin	3 (1.6)	2 (1.3%)	1 (2.6%)	-
Bone	2 (1.1%)	2 (1.3%)	0	-
Concurrent chemotherapy				
5-FU-based	77 (41%)	65 (44%)	12 (32%)	0.168
Paclitaxel	42 (22%)	26 (18%)	16 (42%)	0.001
Gemcitabine	39 (21%)	30 (20%)	9 (24%)	0.645
Etoposide	17 (9%)	17 (12%)	0	0.026
Pemetrexed	6 (3%)	5 (3%)	1 (2%)	1.000
Capecitabine	3 (2%)	3 (2%)	0	1.000
Doxorubicin/Epirubicin	2 (2%)	2 (1%)	0	1.000
Baseline blood count				
Hb, mean ± SD, g/dL	11.1 ± 1.7	12.0 ± 1.8	10.9 ± 1.7	0.487
WBC, mean ± SD, *10 ³ /L	6.6 ± 2.9	6.6 ± 3.0	6.5 ± 2.5	0.912
ANC, mean ± SD, *10 ³ /L	4.3 ± 4.8	4.1 ± 4.3	4.9 ± 6.4	0.372
Eos, mean ± SD, *10 ³ /L	0.29 ± 0.70	0.32 ± 0.77	0.19 ± 0.20	0.291
Mono, mean ± SD, *10 ³ /L	0.64 ± 0.55	0.67 ± 0.6	0.53 ± 0.26	0.204
ALC, mean ± SD, *10 ³ /L	2.07 ± 1.6	1.99 ± 1.01	2.3 ± 2.8	0.21
PLT, mean ± SD, *10 ³ /L	339 ± 144	336 ± 151	350 ± 118	0.594
Allergy to other medications	10 (5%)	7 (4.7%)	3 (7.9%)	0.427

346 *HSR = hypersensitivity reaction; SD = standard deviation; BSA = body surface area; BMI = body*
 347 *mass index; 5-FU = 5-fluorouracil; GIT = gastrointestinal tract; Hb = hemoglobin; WBC = white*
 348 *blood cell; ANC = absolute neutrophil count; Eos = eosinophils; Mono = monocytes; ALC =*
 349 *absolute lymphocytes count; PLT = platelets.*

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352 **Table 2.** Predictors associated with hypersensitivity reaction (HSR).

Characteristic, n (%) unless specified otherwise	All (N = 186)	Controls (N=148)	HSR (n = 38)	P value
Disease stage				
I	5 (2%)	3 (2%)	2 (5.3%)	0.557
II	18 (10%)	15 (9%)	3 (7.9%)	
III	37 (20)	31 (21%)	6 (16%)	
IV	126 (68)	99 (67%)	27 (71%)	
Previous lines of therapy				
Neoadjuvant	28 (15%)	21 (14%)	7 (18%)	0.441
Adjuvant	32 (17%)	28 (19%)	4 (11%)	
Palliative	126 (68%)	99 (67%)	27 (71%)	
Previous exposure to platinum	20 (11%)	16 (11%)	4 (11%)	1.000
Number of cycles, median (IQR)	9 (6-12)	9 (6-12)	8 (5-12)	0.431
Cumulative dose, median (IQR), mg	1890 (1200-3684)	1800 (1174-3615)	2075 (1352-3795)	0.446
Concomitant taxane therapy	42 (23%)	26 (17%)	16 (41%)	0.002
Concomitant radiotherapy	28 (15%)	15 (10%)	13 (35%)	<0.001

353 *IQR, interquartile range.*

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Table 3. HSR grade according to NCI-CTCAEv4.0.

Grade of HSR	No. of patients (% of cases)
I	15 (39%)
II	15 (39%)
III	8 (21%)
IV	0

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