Indexed-channel estimation under frequency and time-selective fading channels in high-mobility systems

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ABSTRACT

Index modulation (IM) techniques have been employed in different communication systems to improve bandwidth efficiency by carrying additional information bits. In high-mobility communication systems and under both time-selective and frequency-selective fading channels with Doppler spread, channel variations can be tracked by employing pilot-aided channel estimation with minimum mean-squared error estimation. However, inserting pilot symbols among information symbols reduces the system's spectral efficiency in pilot-aided channel estimation schemes. We propose pilot-aided channel estimation with zero-pilot symbols and an energy detection scheme to tackle this issue. Part of the information bit-stream is conveyed by the indices of zero-pilot symbols leading to an increase in the system's spectral efficiency. We used an energy detector at the receiver to detect the transmitted zero-pilot symbols. This paper examines the impacts of diversity order on the zero-pilot symbol detection error probability and the mean-squared of error estimation. The impacts of pilot symbols number and the zero-pilot symbol number on the mean-squared error of the minimum mean-squared error (MMSE) estimator and the system error performance are also investigated in this paper.

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1. INTRODUCTION

The availability of high-speed broadband wireless communication services such as online video streaming and gaming, internet of things applications, and multimedia transmission [1] has become necessary in high-speed transport such as aircraft, trains, and unmanned aerial vehicles (UAV) [2]. In high-mobility wireless communication systems, Doppler spread is a critical design parameter that should be considered to maximize the system's performance. High mobility of vehicles, such as high-speed trains (HST), produces a large Doppler spread that can destroy orthogonality among subcarriers in multi-carrier systems leading to intercarrier interference (ICI) [3], [4]. Furthermore, these variations result in channel estimate errors.

It is shown in [5] that the error performance of a communication system with perfectly known channel state information (PNCSI) consistently outperforms an identical system with channel estimation errors, and the performance gap increases with Doppler spread. However, channel variations can provide significant Doppler diversity, leading to performance enhancement [6], [7]. The tradeoff between channel estimation errors and Doppler diversity is investigated in [8]. Results show that a system with imperfect

channel estimation can achieve the same diversity order as a system with PNCSI if the signal-to-noise ratio (SNR) of data and pilot symbols have a linear relationship with each other [9]. The authors of [10] show that at low pilot percentage, the benefits of Doppler diversity might be reduced due to channel estimation errors. If the pilot samples the channel at a rate greater or equal to the Nyquist rate of the time-variant channel, the mean squared of channel estimation at pilot and data symbols is equal [11]. The optimum pilot percentage that maximizes the system spectral efficiency is examined in [12], where the results reveal that the pilot symbols should be assigned more energy with Doppler spread increase. Pilot estimation based on sending pilot symbols is examined in [13] for bad urban, typical urban and rural areas. In adaptive filter-based channel estimation techniques with least-square (LS) or minimum mean-squared error (MMSE) are widely used [15], [16]. In the Rayleigh and Rician channels, the MMSE estimator outperforms the LS estimator. The MMSE estimator, on the other hand, is more complex than the LS [17]–[19].

Sending pilot symbols among data symbols for channel estimation reduced the spectral efficiency of the wireless system. Spectral efficiency reduction in systems with pilot-assisted based channel estimation schemes can be compensated using index modulation. The primary goal of index modulation is to improve the spectral efficiency of the communication system by sending extra bits using: the indices of the active subcarriers in multi-carrier communication system [20]–[22], indices of Hermite Gaussian pulses [23], indices of pilot positions [24], indices of transmit antennas of multiple input multiple output (MIMO) systems [25], and impedance matching between transmitter and receiver [26]. In [27], the performance of an energy detector for an unknown signal transmitted over fading channels and additive white Gaussian noise (AWGN) is studied. The findings indicate that improving the detection probability considerably improves the detection error performance. Energy detection is commonly used for spectrum sensing in cognitive communication due to its simplicity, and it does not require prior knowledge about the targeted signal [28], [29]; the detection is performed by comparing the energy of the received signal with a predefined threshold.

This paper studies the performance of channel estimations with pilot symbol selection technique in high mobility wireless communications under frequency and time selective fading channels. In the studied system, pilot symbols are inserted among data symbols and then transmitted for channel estimation purposes. Some inserted pilot symbols are zero-pilot symbols; the positions of zero-pilot symbols and their numbers are chosen according to a part of the transmitted data bits. Based on the channel length, extra zeros are added between data and pilot symbols to maintain non-zero pilot symbols from inter-symbol interference. Energy detection is employed at the receiver to detect the zero pilot symbols, and then the transmitted pilot sequence can be determined. From a look-up table, the assigned data bits sent using zero pilot symbols positions can be determined. The detected pilot symbols are then used for channel estimation at pilot and data symbol locations with the help of the MMSE estimator.

This paper also investigates the impact of channel length, number of total pilot symbols, number of zero-pilot symbols, signal-to-noise ratio, and Doppler spread on the mean squared error of channel estimation. The rest of this paper is organized as follows: section 2 presents the system model. Section 3 presents results and discussion, and finally, section 4 concludes the paper.

2. RESEARCH METHOD

2.1. System model

Consider a wireless communication system that operates on frequency and time-selective fading channels and uses pilot-aided channel estimation with pilot symbol selection technique. In this system, the transmitted bit stream is split into two groups: group A, with p_1 binary bits, is converted to M-array phase shift keying (PSK) symbols, and group B, with p_2 bits, which is transmitted through zero-value primary pilot symbol selection technique. In this technique, a pilot-slot is added after every K-length M-array PSK symbols. Each pilot slot contains 2L - 1 symbols, where L is the length of the multipath fading channel. The primary pilot symbol is the middle of the pilot slot, which is selected as 1 or 0 based on bits in the group B. The rest of the pilot-slot contains 2L - 1 zeros or secondary pilots located before and after each primary pilot symbol to prevent the primary pilot symbol from inter-symbol interference (ISI) problems due to frequency and time selective fading channels. The data symbols along with primary and secondary pilot symbols are transmitted as one segment of length N + NK, where N is the number of the primary pilot symbols and NK is the number of data symbols. The relationship between the number of bits in group B and the number of the primary pilot with zero symbols and the number of inserted primary pilots can be expressed as $p_2 = \lfloor \log_2(C(N,m)) \rfloor$ with C being the binomial operation and $\lfloor \rfloor$ being the floor function. The number of primary pilot symbols with 0s and their locations are determined based on data bits in group B.

For each transmitted pilot slot there will an *L* ISI-free received signals. The *l*-th received signal of the n-th transmitted pilot symbol $x_p(n) \in \{-1,1,0\}$ is given as (1):

$$y_p(n) = \sqrt{E_p} h_p(n, l) x_p(n) + w_p(n+l)$$
 (1)

for

$$n = 0, \cdots, (N - 1), l = 0, \cdots, L - 1$$

where $y_p(n)$ is the *n*-th received signal at pilot-symbol position, h(n,l) is the discrete-time impulse response of the transmission channel, E_p is the energy of the transmitted non-zero pilot symbol, and $w_p(n + l)$ is the corresponding AWGN with noise power N_0 . We set $E_p = E_s(2L - 1)$ with E_s being the energy of each data symbol due to fixed transmission power assumption. Vector format can be used to represent the *L* ISI-free received signals from the *n*-th transmitted pilot symbol as (2):

$$\begin{bmatrix} y_p(n) \\ y_p(n+1) \\ \vdots \\ y_p(n+L-1) \end{bmatrix} = \begin{bmatrix} h_p(n,0) \\ h_p(n,1) \\ \vdots \\ h_p(n,L-1) \end{bmatrix} x_p(n) + \begin{bmatrix} w_p(n) \\ w_p(n+1) \\ \vdots \\ w_p(n+L-1) \end{bmatrix}$$
(2)

or equivalently (2) can be written as (3):

$$y_p^n = \sqrt{E_p} h_p^n x_p(n) + w_p^n \tag{3}$$

where

$$y_p^n = \begin{bmatrix} y_p(n) \\ y_p(n+1) \\ \vdots \\ y_p(n+L-1) \end{bmatrix}, h_p^n = \begin{bmatrix} h_p(n,0) \\ h_p(n,1) \\ \vdots \\ h_p(n,L-1) \end{bmatrix}, w_p^n = \begin{bmatrix} w_p(n) \\ w_p(n+1) \\ \vdots \\ w_p(n+L-1) \end{bmatrix}$$

As shown in (3) for every transmitted pilot symbol there would be an L observed signals at the receiver.

2.2. Energy detection of transmitted pilot symbols

In this sub-section, we discuss the application of an energy detector in pilot symbol detection at the receiver side. Depending on the pilot symbol whether zero or non-zero pilot symbol, the observed signals at the receiver for pilot symbols can indicate one of two states that can be modeled as (4) [30]:

$$y_p^n = \sqrt{E_p} h_p^n x_p(n) + w_p^n \text{ for non zero pilot}$$

$$y_p^n = w_p^n$$
(5)

So, according to (5), the noise signal is the only signal observed at the receiver when the transmitted pilot is zero-pilot symbol. Energy detection can be employed to determine whether the transmitted pilot is a zero-pilot or a non-zero pilot by computing the energy of the received pilot signals; the computed energy is then compared with a predefined threshold. The energy of the n-th pilot symbol can be computed as (6):

$$E_n = \frac{1}{L} \sum_{l=0}^{L-1} |y_p(n+l)|^2$$
(6)

where \overline{L} is the number of pilot-signal arrival paths that have been taken into consideration when computing the energy of the observed pilot signal; \overline{L} also represents the diversity order. To collect all benefits from full diversity offered by multipath fading, all observed signal for the $n^{\text{-th}}$ transmitted pilot symbol should be considered in (6), i.e., $\overline{L} = L$. After energy calculation, the transmitted pilot symbol can then be determined as (8):

$$\hat{x}_p(n) = \begin{cases} 1, \text{ If } E_n > N_o \\ 0, \text{ If } E_n \le N_o \end{cases}$$

$$\tag{7}$$

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where $\lambda = N_o$ is considered as the detection threshold. The detected pilot symbols can be expressed in vector format as $\hat{x}_p(n) = [\hat{x}_p(1), \hat{x}_p(2), \dots, \hat{x}_p(N)]$. After detecting all the transmitted pilot symbols, the transmitted bits sent over the indices of zero-pilot symbols can then be specified using a predetermined lookup table.

2.3. Pilot-based channel estimation

After detecting the pilot symbols, the detected pilot symbols are used for channel estimation. This sub-section presents channel estimation based on the detected pilot symbols. After detecting all pilot symbols, the observed signals from all pilot symbols can be approximately expressed in vector format as (8):

$$\hat{y}_p = \sqrt{E_p} h_p \hat{X}_p + w_p \tag{8}$$

where

$$h_p = \begin{bmatrix} h_p^0 \\ h_p^1 \\ \vdots \\ h_p^{N_p - 1} \end{bmatrix}, \hat{X}_p = \operatorname{diag}(\hat{\mathbf{x}}_p \otimes \mathbf{1}_{L \times 1}), \text{and } \mathbf{w}_p = \begin{bmatrix} w_p^0 \\ w_p^1 \\ \vdots \\ w_p^{N_p - 1} \end{bmatrix}$$

with \otimes being the Kronecker tensor product. The channel coefficients at pilot positions can be estimated as $\hat{h}_p = D_p^H y_p$, where D_p denotes the channel estimation matrix. By minimizing the mean-squared error (MSE) between the estimated channel coefficients and the true ones, and based on the orthogonal principle $E[(\hat{h}_p - h_p)\hat{y}_p^H]$, with *E* being the mathematical expectation. The estimation channel matrix can be obtained as [15]:

$$E[(\hat{h}_{p}y_{p}^{H} - h_{p}y_{p}^{H})] = E[(D_{p}^{H}y_{p}y_{p}^{H} - h_{p}y_{p}^{H})] = 0$$
(9)

where

$$E[D_p^H y_p y_p^H] = D_p^H (E_p \hat{X}_p D_{pp} \hat{X}_p^H + N_o I_{LN_p})^{-1}$$
(10)

and

$$E[h_p y_p^H] = \sqrt{E_p} E[h_p (h_p^H X_p^H + w_p^H)] = \sqrt{E_p} D_{pp} \hat{X}_p^H$$
(11)

where $D_{pp} = E[h_p h_p^H]$.

From (9)-(11) we can find the minimum MSE (MMSE) estimation matrix as (12):

$$D_p = \sqrt{E_p} (E_p \hat{X}_p D_{pp} \hat{X}_p^H + N_o I_{LN_p})^{-1} \hat{X}_p R_{pp}$$
(12)

Following the procedure above, the pilot symbols at data positions can be estimated as $\hat{h}_d = D_d \hat{h}_p$, where D_d represents the estimation matrix. By minimizing the mean-squared error between the estimated channel coefficient and the real ones, and based on the orthogonal principle, the estimation channel matrix can be obtained as $E\left[(\hat{h}_d - h_d)\hat{h}_p^H\right] = E\left[(\hat{h}_d\hat{h}_p^H - h_d\hat{h}_p^H)\right] = E\left[D_d \hat{h}_p\hat{h}_p - h_d(D_d y_p)^H\right]$, from which we can find D_d as (13).

$$D_{d} = \sqrt{E_{p}} D_{dp} \hat{X}_{p}^{H} D_{p} \left(D_{p}^{H} (E_{p} \hat{X}_{p} D_{pp} \hat{X}_{p}^{H} + N_{o} I_{LN_{p}}) D_{p} \right)^{-1}$$
(13)

Where $D_{dp} = E[h_d h_p^H]$. The channel coefficients at data-symbols locations are then estimated as (14) [15]:

$$\hat{h}_{d} = \sqrt{E_{p}} D_{dp} X_{p}^{H} (E_{p} \hat{X}_{p} D_{pp} \hat{X}_{p}^{H} + N_{o} I_{LN_{p}})^{-1} \hat{y}_{p}$$
(14)

2.4. Channel estimation mean-squared error

To calculate the MSE of channel estimation associated with pilot symbols, let $e_p = \hat{h}_p - h_p$, then the auto-correlation matrix of channel estimation error, P_e , can be defined as $P_e = E[e_p e_p^H] = E[(\hat{h}_p - h_p)(\hat{h}_p - h_p)^H]$ and can be obtained as (15).

$$P_e = D_{pp} - D_{pp} \hat{X}_p^H (E_p \hat{X}_p D_{pp} \hat{X}_p^H + \frac{N_0}{E_s(2L-1)} I_{LN_p})^{-1} \hat{X}_p D_{pp}^H$$
(15)

Furthermore, the MSE of channel estimation of channel coefficients at the data positions can be obtained as $\sigma_p = \frac{1}{KN} \sum_{k=1}^{KN} \phi_k$, where ϕ_k is the eigenvalues of the matrix P_e . Likewise, to calculate the MSE estimation of channel coefficients at data symbols positions, let $e_d = \hat{h}_d - h_d$, then the auto-correlation noise matrix $\Psi_e = E[e_d e_d^H]$ can be found as

$$\Psi_e = D_{dd} - D_{dp} \hat{X}_p^H (E_p \hat{X}_p D_{pp} \hat{X}_p^H + \frac{N_0}{E_s(2L-1)} I_{LN})^{-1} \hat{X}_p D_{dp}^H$$
(16)

The MSE of channel estimation of channel coefficients at the data positions can be calculated as

$$\sigma_d = \frac{1}{KN} \sum_{k=1}^{KN} \lambda_k,$$

where λ_k is the eigenvalues of the matrix Ψ_e [31].

3. RESULTS AND DISCUSSION

In this section, we present the performance and the mean-squared error of channel estimation for a system with an energy detection-based pilot channel estimation operating under frequency and time-selective fading channels. In the simulation, we consider a multipath fading model with equal power taps. Also, we assume that the data symbol energy is $E_s = 1$ and the data slot length is K = 1. In Figure 1, the simulated primary-pilot symbol energy detection probability of error (*PE*) is shown for three different levels of diversities under a channel of length L = 3. The curves were obtained for systems with N = 8, m = 2, and $f_D T_s = 0.003$. As expected, the best performance occurs at the full diversity with diversity order equals $\bar{L} = 3$. As an illustration, the detection probability of error reduces to 2.906×10^{-4} , and 5×10^{-6} at $\bar{L} = 2$ and $\bar{L} = 3$, respectively.

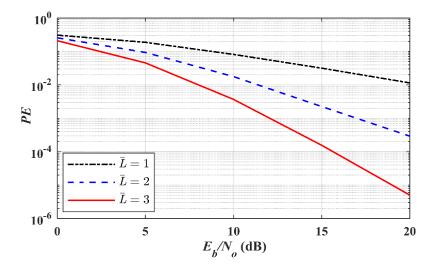


Figure 1. Presenting the simulated primary pilot-symbols detection error probability as a function of the signal-to-noise ratio, E_b/N_o , under different diversity orders

The detection error probabilities for the transmitted primary-pilot symbols and bits transmitted through a primary-pilot symbol selection under different channel lengths are presented in Figure 2. Simulations were performed under the full-diversity assumption. Furthermore, all error probability curves were obtained for systems with N = 8, m = 3, $f_D T_s = 0.003$, and $T_s = 3.69 \,\mu$ s. According to Figure 2, the detection error probabilities for both primary-pilot symbols and bits transmitted via zero-primary-pilot symbol selection show improvement with channel length increase. This improvement is due to an enhancement in a multipath diversity gain caused by the rise in the channel length.

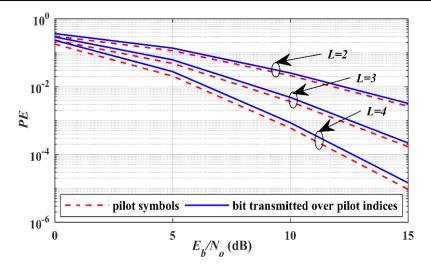


Figure 2. Illustrating the probability of error of the primary-pilot symbol detection and the probability of error for bits transmitted via indices of zero-primary pilot symbols as a function of signal-to-noise ratio, E_b/N_o , under different channel lengths

Figure 3 shows plots the mean-squared error (MSE) of channel estimation at pilot-symbol positions as a function of E_b/N_0 and under different channel lengths. We assume N = 16, $f_D T_s = 0.002$, m = 2 and full diversity for all systems. Perfect matching between simulation and analytical results can be easily seen from the figure. As expected and due to the enhancement in multipath-diversity gain, the mean-squared error of channel estimation for the proposed system decreases as channel length increases. As an example, at $E_b/N_0=10$ dB, the MSE is 2.3×10^{-3} when L=4; however, it increases to 3×10^{-3} , and 4.5×10^{-3} when L=3, and L=2, respectively.

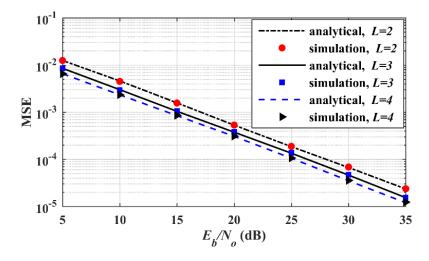


Figure 3. Presenting the MSE of channel estimation at pilot positions as a function of signal-to-noise ratio, E_b/N_o , for various values of L

In Figure 4, we plot the simulated and the numerical mean-squared error of channel estimation at pilot and data symbol locations. The mean-squared error curves were plotted as a function of E_b/N_0 under various numbers of pilot symbols. The system parameters used in simulations are m=2, $f_DT_s = 0.002$, L = 2, and diversity order $\overline{L} = 2$. We can see an excellent agreement between simulation and numerical results. As predicted, at a given number of zero-primary pilot symbols, m, a larger value of primary pilot symbols, N, can reduce the mean-squared error of channel estimation. Thus, a longer transmitted sequence can provide better channel estimation.

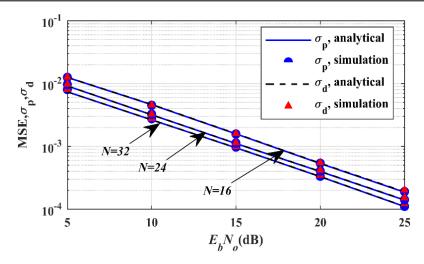


Figure 4. plotting the MSE of channel coefficient estimation as a function of signal-to-noise ratio, E_b/N_o , and under different pilot numbers, N

In Figure 5, we compare the simulated MSE of the proposed system with the simulated MSE of a system with a conventional channel estimation scheme at which m = 0. In the figure, we plot the MSEs as a function of E_b/N_0 under different numbers of zero-primary pilot symbols, m. The systems parameters are set as $T_s = 3.69 \,\mu\text{s}$, $f_D T_s = 0.001$, and L = 2 with full diversity order. As expected, the MSE is a decreasing function of the zero-primary pilot symbol percentage m/N, rising this percentage leads to channel estimation error reduction. Figure 5 shows that, at N = 16 increasing m from 2 to 5 leads to an increase in MSE over its counterpart in the classical system. However, the MSE difference between the proposed and the conventional systems becomes very small when the number of primary pilots increases to N = 48. For example, at N = 48 and m = 0, an MSE of 10^{-4} can be reached at a signal-to-noise ratio of 23.25 dB; however, we need extra 0.75 dB to reach the same MSE value when m = 5. On the other hand, at N = 16, the MSE of 10^{-4} is reached at a signal-to-noise ratio of 26.5 dB when m = 0, the required signal-to-noise ratio increases to 28.5 dB to reach the same MSE value when m = 5.

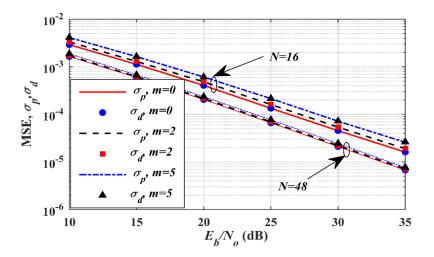


Figure 5. Presenting the MSE of channel estimation for the proposed system as a function of signal-to-noise ratio, E_b/N_o and under different system configurations

In Figure 6, the MSE of the proposed system is plotted as a function of the number of zero-pilot symbols, *m*, under different values of inserted pilot symbols *N*. The system parameters are set as $E_b/N_0=15$ dB, L=2, $T_s = 66.7 \,\mu$ s, $f_D T_s = 0.001$, and full diversity. From Figure 6, we can see that increasing the number of zero-pilot symbols leads to an increase in the MSE of the proposed system. This

increase widens the channel estimation error gap between the proposed system and an equivalent system with the classical channel estimation at which m=0. However, this gap can be reduced by increasing the number of

pilot symbols. For example, at m = 6, the MSE percentage difference between the two systems is 55.45% at N = 16; fortunately, it becomes 14.45% when N = 48. Figure 7 shows the MSE of channel estimation for the proposed system at both pilot and data

symbols positions versus $f_D T_s$ for different values of E_b/N_o . We set the simulation parameters as $N = 32, m = 2, L = 2, T_s = 3.69 \mu s$, and full diversity order. From the figure we note that there are agreements between simulated and analytical results. Furthermore, the figure shows that the mean-squared error for channel estimations increases with Doppler diversity rise. However, at the same value of Doppler spread f_D , increasing E_b/N_o leads to a reduction in the mean-squared error of channel estimation.

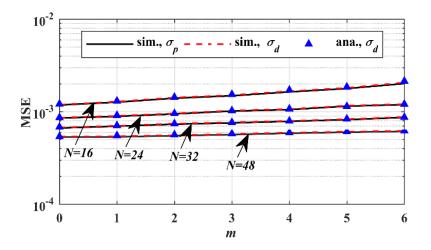


Figure 6. Illustrating the MSE of channel estimation at pilot and data positions as a function of the number of zero-primary pilot symbols m

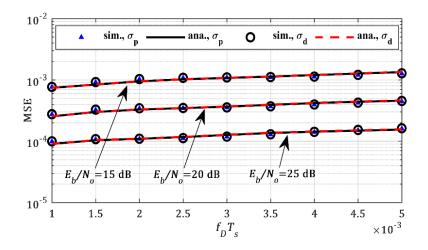


Figure 7. Presenting the MSE of channel estimation at both pilot and data positions versus $f_D T_s$. The curves obtained at different values of signal-to-noise ratio, E_b/N_o

Finally, Figure 8 presents the MSE of channel estimation at pilot-symbol locations for the proposed system as a function of $f_D T_s$. The Results are obtained under different values of E_b/N_o , and number of primary-pilot symbols *N*. The system configurations are set as m = 2, L = 2, and full diversity order. As expected, at fixed Doppler spread and zero-primary pilot symbols, the channel estimation errors can be reduced either by increasing the number of pilot symbols or by increasing the signal-to-noise ratio E_b/N_o . For example, at $f_D T_s = 0.005$ the value of the MSE is 4.4×10^{-3} when E_b/N_o equals to 10 dB, while at the same value of $f_D T_s$ the MSE value reduces to 1.57×10^{-4} when E_b/N_o equals to 25 dB. Moreover, at

 $f_D T_s = 0.004$ and $E_b / N_o = 25$ dB the values of MSE are 4.6×10^{-3} , 2.45×10^{-4} , and 1.44×10^{-4} when N = 8, 16 and 32, respectively.

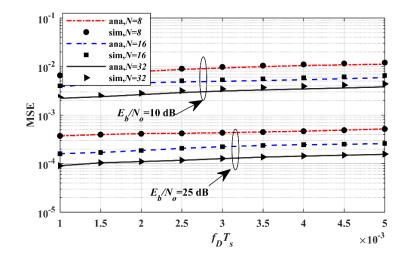


Figure 8. Presenting the MSE of channel estimation at both pilot and data positions versus $f_D T_s$. The curves obtained at different pilot numbers

4. CONCLUSION

This paper presents a pilot-aided channel estimation system with zero-primary pilot symbol selection. In this system, we set some selected primary pilot symbols to zero. The locations of these zero-pilot symbols are employed to transmit extra data bits over frequency and time-selective fading channels. Energy detection has been used at the receiver to detect the transmitted zero-pilot symbols. In this paper, we examine the impacts of diversity order on both the zero-pilot symbol detection error probability and the mean squared error of channel estimation. We have also studied channel length's effect on the collected diversity and the overall system performance. Our results showed that increasing the number of zero-primary pilot symbols, increasing the number of zero-primary pilot symbols, increasing the number of primary pilot symbols inserted among the data symbol can significantly reduce channel estimation errors.

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