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1 **Conservation genomics of an Australian cycad, *Cycas calcicola***
2 **and the Absence of Key Genotypes in Botanic Gardens**

3

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28

29 **Abstract**

30

31 Understanding the genetic diversity of wild populations is fundamental to conserving species
32 *in-situ* and *ex-situ*. To aid conservation plans and to inform *ex-situ* conservation, we
33 examined the genetic diversity of the cycad *Cycas calcicola* (Cycadaceae). Samples were
34 collected from wild populations in the Litchfield National Park and Katherine regions in the
35 Northern Territory, Australia. Additional samples were obtained from botanic garden plants
36 that were originally collected in the Katherine region, Daly River and Spirit Hills in the
37 Northern Territory, Australia. Using RADseq we recovered 2271 informative genome-wide
38 SNPs, revealing low to moderate levels of gene diversity ($uH_e=0.037$ to 0.135), very low
39 levels of gene flow, and significant levels of inbreeding (mean $F_{IS}=0.491$). Population
40 structure and multivariate analysis showed that populations fall into two genetic groups
41 (Katherine vs Litchfield + Daly River + Spirit Hills). Genetic differentiation was twice as high
42 between populations of the Katherine and Litchfield regions ($F_{ST}\sim 0.1$) compared to within
43 these two regions ($F_{ST}\sim 0.05$). Increasing population fragmentation together with high levels
44 of inbreeding and very little gene flow are concerning for the future adaptability of this
45 species. The results indicated that the *ex-situ* collections (1) had significantly lower genetic
46 diversity than the wild populations, and (2) only partly capture the genetic diversity present,
47 because the Litchfield National Park populations are not represented. We recommend that
48 *ex-situ* collections be expanded to incorporate the genetic diversity found in Litchfield
49 National Park, and that *in-situ* populations from the Katherine and Greater Litchfield regions
50 be conserved as separate management units.

51

52 **Keywords:** RADseq, next generation sequencing, population genetics, genomics,
53 Cycadaceae, Cycadales, *Cycas*, *ex-situ* conservation, *in-situ* conservation.

54

55

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58

Declarations and Data Accessibility Statement

Competing Interests

60 There are no competing interests within this manuscript by any listed authors

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Authors' contributions

- 68 • James A. R. Clugston – planning, conducted fieldwork. research, lab work,
69 bioinformatics, main writer.
- 70 • Markus Ruhsam – major contributor second writer, bioinformatics, statistics,
71 manuscript structure.
- 72 • Gregory J. Kenicer – PhD supervisor, advice and manuscript feedback.
- 73 • Murray J. Henwood - PhD supervisor, manuscript editor, advice and input into
74 research aims and outputs.
- 75 • Richard Milne - PhD supervisor, advice and manuscript feedback.
- 76 • Nathalie S. Nagalingum - PhD supervisor, obtained funding, conceived, planned and
77 oversaw project, planned and conducted fieldwork, collected cultivated samples,
78 manuscript editor, and provided feedback and overall guidance on manuscript.

Conflicts of interest/Competing interests

80 No conflicts of interest to disclose

Data Availability and material

82 RAW fastq sequencing files, assemblies and will be uploaded to NCBI GenBank after the

83 initial review process has been completed, to be accessible for publication.

84 **Code availability**

85 Not applicable

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98

99 **Introduction**

100

101 The risk of extinction for plant species is increasing worldwide due to habitat fragmentation,
102 climate change, land clearance, competition with invasive species and, in some cases, over-
103 collection (Vilà et al. 2011; Newbold et al. 2016). The conservation of native plant
104 populations is, therefore, becoming ever more important to help preserve biodiversity (Hefley
105 et al. 2016). Conservation genetics provides a framework to guide both conservation and
106 restoration to help minimise the extinction risk of species (Frankham et al. 2004; Kramer &
107 Havens 2009) with the aim of determining if populations contain enough genetic variation for
108 adaptation, expansion, and re-establishment (Hedrick & Miller 1992; Paz-Vinas et al. 2018;
109 Yoder et al. 2018). Conservation genetics has also informed many *in-situ* conservation plans
110 by inferring the overall dynamics of populations, such as decreases in population size, past
111 bottlenecks, and sex-specific gene flow (Ahrens et al. 2017; Zhang et al. 2018), and has
112 been used to identify populations with high levels of genetic diversity as conservation
113 priorities (Drury et al. 2017; Hou et al. 2018; Rodríguez-Rodríguez et al. 2018; Wu et al.
114 2020).

115

116 Cycads are at the highest risk of extinction of any plant group (Donaldson 2003). Their
117 leaves, sap, and seeds are poisonous to livestock (Norstog & Nicholls 1997), which has led
118 to the clearing of cycads from arable land in order to prevent accidental poisoning (Hall &
119 McGavin 1968; Hall & Walter 2014). Cycads are also highly prized in horticulture, with some
120 species being sold for thousands of US dollars (Donaldson 2003). The ornamental appeal of
121 cycads has generated a great demand on the world market, which has led to over-collection
122 and illegal removal from the wild (Pérez-Farrera et al. 2006; Torgersen 2017). Many cycad
123 populations have declined in size (González-Astorga et al. 2008; Shuguang et al. 2006;
124 Octavio-Aguilar et al. 2009; Da Silva et al. 2012; Cabrera-Toledo et al. 2012), with many

125 species surviving in small and fragmented populations with low genetic diversity (Long-Qian
126 et al. 2004; Meerow et al. 2012), especially in Africa (Ekué et al. 2008; Da Silva et al. 2012)
127 and North America (Cabrera-Toledo et al. 2010). However, genetic diversity in cycads is not
128 always correlated with population size; for example, small and isolated populations of *Cycas*
129 *multipinnata* C.J.Chen & S.Y.Yang were found to have high levels of genetic diversity (Gong
130 et al. 2014). This is likely to be due to the long generation times in cycads delaying the
131 genetic effects of inbreeding and bottleneck effects (González-Astorga et al. 2008; Cibrián-
132 Jaramillo et al. 2010; James et al. 2018).

133

134 *Ex-situ* living plant collections are a safeguard for species threatened with extinction, and
135 help to preserve their genetic diversity (Dosmann 2006; Cibrian-Jaramillo et al. 2013). The
136 *Ex-situ* conservation of plants is carried out either through the use of seed banks or by
137 growing plants in botanic gardens, either of which have the potential to replenish depleted
138 natural populations through reintroductions (Fant et al. 2016; Volis 2017). Of these, seed
139 banks have the advantage of being able to store a large number of individuals in a relatively
140 small space, making them more cost- and space-efficient in the long term (Hamilton 1994),
141 and giving them a higher probability of containing greater genetic diversity compared to living
142 plant collections (Schoen and Brown 2011). However, seed banks are not an option for
143 cycads, because their seed are recalcitrant and only viable for about one year, making long
144 term seed storage very challenging (Calonje et al. 2011; Mondoni et al. 2011; Nadarajan et
145 al. 2018). This means that living plant collections are at present the only option to conserve
146 cycads *ex-situ*. As the number of living individuals that can be held in *ex-situ* collections is
147 significantly lower compared to seeds, these individuals must be carefully selected based on
148 the genetic diversity of the species and the distribution of genetic diversity among
149 populations (Hurka 1994; Hoban et al. 2020). Additionally, although different species within a
150 genus may have similar traits, they may have different patterns of genetic diversity due
151 differences in population size or range. Therefore, they may require different collection sizes

152 to safeguard their genetic diversity in *ex-situ* collections (Hoban et al. 2020).
153
154 *Cycas* L. is the largest extant genus of Cycadales consisting of 117 currently recognised
155 species (Calonje et al. 2020), and occurs throughout Madagascar, Asia to Indonesia,
156 Australia and New Caledonia (Chaw et al. 2005). Australia has many large and undisturbed
157 populations of *Cycas* (Liddle 2009), but little is known about their genetic diversity. Of the 38
158 *Cycas* species endemic to Australia, the only species represented by a conservation genetic
159 study is *Cycas megacarpa* K.D.Hill (James et al. 2018). Populations of this species in
160 Queensland (Australia) showed low to moderate levels of gene diversity, which did not
161 correlate with population size. There was little genetic differentiation among populations over
162 broad geographic regions, perhaps because historical geneflow was detected (James et al.
163 2018).
164
165 *Cycas calcicola* Maconochie is an endemic Australian cycad species (Figure 1) with
166 populations that are believed to be largely undisturbed. The populations occur in four main
167 areas, all within the Northern Territory in Australia: Daly River (>7000 plants), Spirit Hills
168 (>5000), Litchfield National Park (>5000) and Katherine region (> 1500) [population sizes
169 based on estimates from the Parks and Wildlife Commission, 1994, 1995 and 1996; cited in
170 Liddle (2009)]. The most recent IUCN Red List conservation assessment for *C. calcicola* was
171 carried out in 2010 and classified this species as Least Concern due to the size of the
172 populations (IUCN 2019). However, there is evidence of recent population contraction which
173 has caused disjunctions between some populations due to increased burning, and habitat
174 clearing for farmland or roads. In particular the populations in the Katherine region show
175 evidence of a decline in the number of individuals due to uncontrolled over-collection and fire
176 damage (Liddle 2009). For these reasons the IUCN assessment of this species is in need of
177 updating and it is likely that the conservation prospects for *C. calcicola* have deteriorated in
178 the last ten years.

179

180 Here we aim to determine the levels of genetic diversity of *Cycas calcicola* populations
181 throughout its distribution to answer the following questions: (1) How is genetic diversity
182 distributed among populations? (2) how much gene flow is there between populations and
183 regions? (3) is genetic diversity in wild *C. calcicola* populations captured by existing *ex-situ*
184 collections?

185

186 **Materials and methods**

187

188 **Study species.** All known *Cycas calcicola* populations occur in large but disjunct populations
189 in four main areas in the Northern Territory (mostly): (1) Litchfield region (includes all
190 populations in the Litchfield National Park), (2) the Daly River, (3) Spirit Hills conservation
191 site (on the border of Northern Territory and Western Australia) and (4) Katherine region
192 (includes populations from Katherine and the surrounding area) (Figure 2) (Hill 1996; Jones
193 2002). This species usually occurs on or near limestone in open bush or rocky outcrops.
194 *Cycas calcicola* has an arborescent trunk typically ≤ 5 m in height, and is easily distinguished
195 from other Australian *Cycas* species by its dark green leaflets with recurved margins and
196 leaflets covered in silvery-grey hairs (Hill 1996). Like other cycads, it is dioecious and likely to
197 be insect pollinated (Kono & Tobe 2007) although the pollinators of this species have not yet
198 been documented (Liddle 2009). Some *C. calcicola* populations occur in close proximity
199 (within 10 km) to *Cycas armstrongii* Miq., which is known to be pollinated by two species of
200 beetle in the Tenebrionidae (Ornduff 1992). Although *C. calcicola* is not known to hybridise
201 with *C. armstrongii* it is likely that the species share pollinators due to similar phenological
202 patterns (Liddle 2009). Seed dispersal distances of *Cycas armstrongii* growing in the
203 Northern Territory are rarely greater than 3 m from a mother plant (Watkinson & Powell
204 1997), and it is likely that *C. calcicola* seeds disperse similar distances.

205

206 **Sampling strategy.** Silica-dried leaflets of *C. calcicola* were collected from wild populations
207 growing in Litchfield National Park and the Katherine region in the Northern Territory,
208 Australia (Table 1). Populations were selected based on the collection sites of herbarium
209 specimens recorded online using The Australasian Virtual Herbarium
210 (<https://avh.chah.org.au>), accessed 12th January 2015). A total of 60 individuals were
211 sampled from six populations: three populations from Litchfield National Park and three from
212 the Katherine region (Figure 2, Table 1). For each population, ten individuals were sampled
213 from plants of varying ages, but where possible bearing microsporangiate strobili or
214 megasporophylls. In addition, a further 13 samples were obtained from all known individuals
215 cultivated in *ex-situ* collections: George Brown Darwin Botanic Garden (Darwin, Northern
216 Territory, Australia) and Montgomery Botanical Center (Miami, Florida, USA). The *ex-situ*
217 botanic garden material represented plants of known wild origin from Katherine (n=7 from
218 type population Katherine TT), Daly River (n=4), and Spirit Hills (n=2) (Note: there was no
219 known individual in botanic garden collected from Litchfield National Park). In addition to the
220 tissue sampling, we also recorded basic population demographics for each sampled
221 population (Table 1).

222

223 **DNA extraction and quantification.** Approximately 0.05 g of silica-dried leaflets were
224 ground to a fine powder using a TissueLyser (Qiagen, Hilden, Germany). When present in
225 large amounts (common with *C. calcicola*), trichomes were removed using a wire brush to
226 improve DNA yield. High molecular weight genomic DNA was extracted using a Qiagen
227 DNeasy Plant DNA Extraction Mini Kit (Qiagen, Hilden, Germany). DNA extractions were
228 quantified using an Invitrogen Qubit fluorometer (3.0 BR DNA assay; Invitrogen, Life
229 Technologies, Carlsbad, CA, USA) with a target concentration of 17 µg/mL (enough to obtain
230 500 ng within 42 µL of solution); any sample that yielded less than 17 µg/mL was either re-
231 extracted or concentrated using a 1:1 ratio of Agencourt AMPure XP sample purification

232 beads (Beckman Coulter, Inc.) by combining multiple extractions from the same sample.

233

234 **DNA normalisation and restriction digest reaction.** For a full protocol, see Clugston et al.

235 (2019). First, genomic DNA was normalised to a concentration of 500 ng in 42 μ L total

236 volume (0.01 μ g/mL). Second, 5 μ L of NEB 10x CutSmart buffer (New England Biolabs,

237 Ipswich, MA) and 1 μ L of Bovine Serum Albumin (BSA) was added to each well. Samples

238 were then held at 4°C for a minimum of five hours before adding restriction enzymes—this

239 five hour of incubation aided in the cutting action of the restriction enzymes. Next, double

240 digest reactions were carried out using 1 μ L each of the restriction enzymes EcoR1-HF and

241 Mse1. Reactions were then placed into a thermocycler for three hours at 37°C with a final 20-

242 minute enzyme deactivation step at 65°C. The reactions were checked on a 2% agarose gel

243 for quality of digestion. Last, reactions were cleaned using 1.8:1 ratio of AMPure XP beads to

244 sample (90 μ L of AMPure XP beads to 50 μ L of digested DNA) and quantified using a Qubit

245 (3.0 HS DNA assay, Invitrogen, Life Technologies, Carlsbad, CA, USA).

246

247 **Library preparation.** Libraries were prepared using an Illumina TruSeq nano high-

248 throughput dual index library preparation kit (Illumina Inc., CA, USA). We followed the ezRAD

249 v3 modified protocol (Toonen et al. 2013) using half of the recommended volumes of the kit

250 to save costs (Clugston et al. 2019). Following Clugston et al. (2019), the final steps of library

251 preparation were modified from the ezRAD protocol by modifying the final bead clean with a

252 0.8:1 ratio of AMPure XP beads to remove adapter dimer. The libraries were validated using

253 a LabChip, cleaned using a ratio of 0.9:1 AMPure XP beads, and quantified using a Qubit

254 high sensitivity kit. Libraries were then normalised to a concentration of 10 nM, after which 5

255 μ L of each library was pooled for sequencing.

256

257 **Sequencing.** Following Clugston et al. (2019), we aimed to capture ~1 GB of sequence data

258 per sample (in a run of 95 libraries including 73 samples of *C. calcicola*). Our goal was to

259 obtain adequate coverage of the large genome of *C. calicicola* to ensure a good read depth to
260 improve SNP calling accuracy during de-novo assembly. Sequencing was completed using
261 an Illumina NextSeq 500, with 150 bp paired-end high throughput reagents kit (HT) on a
262 single flow cell, spiked with 10% PhiX sequencing control V3.

263

264 **Bioinformatics**

265 **Quality control and filtering of sequence reads.** The NextSeq 500 generated eight raw
266 fastq files for each sample: four forward files and four reverse files. For downstream analysis,
267 the four forward files were combined into one single fastq file, and the four reverse files into
268 another. Illumina reads were assessed for quality using FastQC 0.11.4 (Andrews et al.
269 2014). Trimmomatic 0.36 (Bolger et al. 2014) was used to filter reads according to their
270 quality, remove Illumina adapter sequences and cut sites (the first six base pairs of reads),
271 and then crop reads to 120 bp in length (because reads dropped in quality after 120 bp). A
272 sliding window approach was used to remove low quality reads with a 'PhredQ score' less
273 than 20, and all reads less than 50 bp were discarded.

274

275 **Assembly of RADseq data.** De novo assembly of the paired-end reads was performed with
276 ipyrad 0.7.18 (Eaton & Overcast 2020) using a high-performance online instance with
277 Amazon Web Services through the California Academy of Sciences. For ipyrad, various
278 settings were tested following guidance from the ipyrad development team. In ipyrad most
279 parameters were set to default, except that bases with a 'PhredQ score' less than 30 were
280 converted to 'N', reads with 15 'uncalled bases' were discarded, and 'data type' was set to
281 'pairgbs'. Reads were further filtered for adapter sequences, adapters were trimmed, and
282 reads were discarded if they were less than 40 bp after trimming.

283

284 The maximum number of uncalled bases in consensus sequences was set to 10 in both
285 forward and reverse reads. The minimum depth for statistical base calling and majority rule

286 base calling were both set to '6' and the setting for 'maximum shared heterozygotes per
287 locus' was left at 0.5 (default) to reduce the effects of paralogs. The 'maximum heterozygotes
288 in consensus sequences' were set at eight for both forward and reverse sequences, and the
289 'minimum number of samples per locus' was set to 36, so each SNP would be present
290 across a minimum of 36 samples, which corresponded to at least 50% of the samples (one
291 sample failed to meet quality threshold for assembly). This ensures effective population
292 genotyping (Shafer et al. 2016). The maximum SNPs per locus was set to '20' and the
293 maximum number of indels per locus to 8 forward and 8 reverse reads.

294

295 **Population genetic statistics.** We used GenALEx 6.5 (Peakall & Smouse 2012) to estimate
296 the number of alleles (N_a), the effective number of alleles per locus (N_e), observed
297 heterozygosity (H_o), expected heterozygosity (H_e), and unbiased expected heterozygosity
298 ($uH_e=2n/(2n-1)*H_e$); the latter has been shown to be a better estimator of gene diversity if
299 sample numbers are small (Nei 1978). Level of genetic differentiation among and between
300 populations was inferred using an analysis of molecular variance (AMOVA), and pairwise F_{ST}
301 (the fixation index) values were calculated with 999 permutations and the 'Codom-Allelic'
302 option selected, with data being portioned for nine populations and four regions (Table 1),
303 also using GenALEx. F_{IS} (the inbreeding co-efficient) was calculated using the R package
304 *diveRsity* 1.9.90 (Keenan et al. 2013).

305

306 **Population structure analysis.** STRUCTURE v.2.3.4 (Pritchard et al., 2000) was used to
307 explore the genetic structure and identify for the most likely number of distinct genetic
308 groups. STRUCTURE uses a Bayesian algorithm to cluster samples into K distinct genetic
309 groups by minimizing deviations from Hardy–Weinberg and linkage equilibrium within each
310 cluster. The analyses were carried out using only unlinked markers (i.e., one SNP per RAD
311 tag was randomly chosen for the analysis) for $K=1-5$ using 500,000 Markov chain Monte
312 Carlo (MCMC) iterations after a burnin of 20,000 steps. Each analysis was repeated 10 times

313 for each value of K . If genetic clusters have widely different sample sizes (unbalanced
314 sampling), then STRUCTURE has been shown to yield poor estimates of both individual
315 ancestry and K , if the default settings are used (Wang, 2017). Therefore, we followed Wang's
316 (2017) recommendation and selected the alternative option ('Separate α for each
317 Population') allowing a separate α , which is a measure of the relative admixture level
318 between populations

319

320 To identify the most likely number of distinct genetic groups (K), two approaches were
321 implemented using the software Kfinder2 (Wang 2019). First, we used the ΔK statistic
322 (Evanno et al., 2005), which is based on the rate of change in the log probability of data
323 between successive K values. Secondly, we employed the parsimony index, termed PI
324 (Wang 2019), which aims to identify the number of populations (K) that consistently yield the
325 minimal admixture estimates of sampled individuals. Additionally, to test for a correlation
326 between the genetic ($F_{ST}/(1 - F_{ST})$) (Rousset 1997) and the log transformed geographic
327 distance, a Mantel test was carried out using GenALEX 6.5.

328

329 To visualise the genetic relationships among populations, a Discriminant Analysis of Principal
330 Components (DAPC) was carried out using adegenet 2.1.0 (Jombart & Ahmed 2011) in R (R
331 Core Team, 2019). DAPC shows the number genetic clusters (groups) of samples by using a
332 combination of linear variables (in this case alleles), which have the largest between-group
333 and smallest within-group variance, and provides group membership probabilities for each
334 individual in a population based on the number of retained discriminant functions in the
335 DAPC (Jombart & Ahmed 2011). The optimal number of clusters in the data and the number
336 of principal components (PCAs) to be retained for discriminate analysis were determined
337 using the 'find.clusters' command in combination with the optimal a -score. A DAPC scatter
338 plot was used to depict the genetic relationship between individuals.

339

340 **Gene flow analysis.** Gene flow was estimated with the software BA3-SNPS (Mussmann *et*
341 *al.*, 2019), which is a modification of BayesAss 3.0.4 (Wilson and Rannala, 2003) that
342 permits handling of large SNP datasets generated via methods such as RADseq. BayesAss
343 uses individual multi-locus genotypes and a Bayesian Markov chain Monte Carlo (MCMC)
344 approach to estimate the rates of recent immigration (over the last several generations)
345 among populations. The BA3-SNPS method rests on fewer assumptions compared to other
346 estimators of long-term gene flow like migrate-n (Beerli, 2006) and can be applied to non-
347 stationary populations that are far from migration-drift and Hardy-Weinberg equilibrium
348 (Wilson and Rannala, 2003). Migration rates (m), which are interpreted as the proportion of
349 migrants per generation in one population that are derived from another population, are
350 assumed to be low in BayesAss. MCMCs were run for 50 million generations, with a burnin
351 of five million, sampling every 1000 generations. The mixing parameters for migration rates
352 (m), allele frequencies (a) and inbreeding coefficients (f) were optimised using BA3-SNPs-
353 autotune ($m=0.2125$, $a=0.775$, $f=0.0625$) to achieve the recommended acceptance rates
354 between 0.35 and 0.45 (Mussmann *et al.*, 2019). Five independent replicates with different
355 random starting seeds were carried out, assessing convergence of the combined and
356 individual runs using Tracer v1.5 (Rambaut and Drummond, 2009). Gene flow was estimated
357 among all six populations from the Litchfield and Katherine regions using the full dataset of
358 2271 SNPs.

359

360 **Results**

361

362 **Sequencing and de-novo assembly.** After filtering the raw data, the number of reads that
363 remained per sample ranged from 1,296,034 to 4,650,176. From the original 73 samples
364 sequenced only 72 samples were processed further as one sample from Katherine CDU2 did
365 not pass quality control (uploaded to NCBI GenBank BioProject ID: PRJNA746394 see

366 Supplementary Table 1). De-novo assembly of the reads using ipyrad generated 1,296,034
367 to 3,037,283 (average cluster depth = 1552682.44) sequence clusters per sample, with
368 22,806 to 78,631 (average cluster depth = 42124.16) high depth clusters (defined as
369 containing six or more reads). Considering only loci that were present in at least 50% of all
370 individuals, the final output from ipyrad generated 2,271 SNPs (see Supplementary Table 1).

371

372 **Population genetic statistics.** Unbiased gene diversity (uH_e) ranged from $uH_e=0.037$ in
373 Spirit Hills to $uH_e=0.135$ in Litchfield NP1, with a mean of $uH_e=0.095$ (Table 2). Observed
374 heterozygosity (H_o) ranged from $H_o=0.028$ in both Spirit Hills and in Daly River (both *ex-situ*
375 conservation populations) to $H_o=0.059$ in Litchfield NP1, with a mean of $H_o=0.039$. These
376 results indicate low to moderate levels of diversity in *C. calcicola*. The inbreeding coefficient
377 (F_{IS}) ranged from $F_{IS}=-0.244$ in Spirit Hills to $F_{IS}=0.605$ in Katherine CDU1 with an average
378 across all populations of $F_{IS}=0.409$ (0.015 – 0.425, 95% CI) (Table 2). Levels of inbreeding
379 were highest in the *ex-situ* conservation populations. The Mantel test revealed a significant
380 correlation ($R^2=0.42$, $P=0.000$) between the genetic distance ($F_{ST} / (1 - F_{ST})$) and the log
381 transformed geographic distance (see Supplementary Figure 1).

382

383 **Population differentiation.** Analysis of molecular variance (AMOVA) showed low but
384 significant ($P=0.001$) levels of differentiation among regions (Litchfield, Katherine, Daly River
385 and Spirit Hills) ($\Phi_{ST}=6\%$), with an equal amount of genetic differentiation among
386 populations ($\Phi_{ST}=6\%$, Table 3). Additionally, pairwise F_{ST} values at the regional level (Table
387 4) showed that genetic differentiation was greatest when comparing populations from
388 Katherine with those from the Greater Litchfield region ($F_{ST}\sim 0.1$), and this was about twice as
389 high as within regions ($F_{ST}\sim 0.05$). At the population level, genetic differentiation was highest
390 among Spirit Hills and all other populations ($F_{ST}\sim 0.2$) and lowest among populations within
391 regions ($F_{ST}\sim 0.05$, Table 4).

392

393 **Population structure analysis.** Both the ΔK statistic and parsimony index suggested that
394 the most likely number of genetic groups was $K = 2$ ($\Delta K = 1833$). Populations from the
395 Litchfield and Katherine regions formed separate genetic clusters with little admixture (Figure
396 3). Spirit Hills and Daly River plants were mostly genetically closer to those from Litchfield
397 National Park but showed some admixture with the Katherine cluster. Discriminant analysis
398 of principal components (DAPC) equally resolved two ($K=2$) genetic groups, i. e. Litchfield +
399 Spirit Hills + Daly River, and a second group containing Katherine populations (greater
400 Katherine region) (Figure 4).

401

402 **Gene flow analysis.** The five independent BA3-SNPs analyses each yielded effective
403 sample sizes (ESS) that were well above 40,000 for all pairwise migration estimates,
404 indicating adequate sampling of the posterior distribution. All runs resulted in nearly identical
405 very low migration rates which were not significantly different from zero (Table 5).

406

407 **Discussion**

408 In this study, we investigated levels and patterns of genetic diversity of *Cycas calcicola* using
409 genomic data from RADseq, and assessed if *ex-situ* collections represent the genetic
410 diversity of wild populations. Generally, we found low to moderate levels of genetic diversity
411 in populations of *C. calcicola* and evidence of inbreeding, with genetic differentiation between
412 populations being low, but greater between regions than between populations. We also
413 found that although *C. calcicola* is represented in *ex-situ* botanic garden collections, essential
414 genotypes were missing, and *ex-situ* collections do not represent the genetic diversity of the
415 wild populations.

416

417 **Genetic diversity.** Our results indicated that *C. calcicola* had low levels of gene diversity in
418 both wild ($uH_e = 0.100$ to 0.135 , Table 2) and *ex-situ* populations ($uH_e = 0.037$ to 0.085 ,

419 Table 2). Comparatively, *Cycas megacarpa* K.D. Hill from Queensland, the only other
420 Australian *Cycas* species whose genetic diversity has been investigated, has genetic
421 diversity nearly three times higher than that of *C. calcicola* (mean $H_e = 0.269$ based on 12
422 nuclear microsatellite markers; James et al. 2018). Similarly, *Cycas simplicipinna* (Smitinand)
423 K.D.Hill populations from Laos and China had a mean $H_e = 0.447$ based on 16 SSR markers
424 (Feng et al. 2014). However, meaningful comparisons between studies using different
425 genetic markers can be difficult to make (Peakall et al. 2003; Hodel et al. 2017; Sunde et al,
426 2020), and might be questionable even if the same type of genetic markers were used but
427 did not screen homologous loci. In particular, gene diversity (H_e) estimates using
428 microsatellites can be two to three times higher than estimates using SNPs (Fischer et al.
429 2017; Hodel et al, 2017; Lemopoulos et al. 2019, Sunde et al. 2020). For example,
430 Zimmerman et al. (2020) found that estimates of H_o and H_e in the Gunnison sage-grouse
431 (*Centrocercus minimus*) were two to three times higher using microsatellites compared to
432 SNPs. This is probably due to the high number of alleles per microsatellite locus which
433 increases H_e , i. e. the likelihood of drawing two random alleles from a population that are not
434 identical by descent. Assuming that microsatellite H_e values are generally two to three times
435 higher than SNP H_e values suggests that the levels of genetic diversity are probably
436 comparable between *C. calcicola* and *C. megacarpa/C. simplicipinna*. A recent study on
437 *Dioon merolae* De Luca, Sabato & Vázq. Torres in Mexico also using RADseq data
438 (Gutiérrez-Ortega et al., 2020) found even lower levels of gene diversity ($H_e = 0.027$ to
439 0.076), indicating that low levels of gene diversity are present in other cycad species as well.
440
441 Many Australian cycad populations are considered to be large and healthy (Liddle 2009).
442 Although *Cycas megacarpa* (occurring in Queensland, Australia) has sizeable populations
443 (>250 or even >500 individuals), there are also many which have fewer than 50 individuals
444 (James et al. 2018). Populations of *C. calcicola* show evidence of population contraction
445 (Liddle 2009), perhaps due to the frequent occurrence of anthropogenic fires, which have

446 been a long-time feature of the Australian landscape (Andersen et al. 2005). It is likely that
447 population sizes have decreased through a range of anthropogenic activities since regional
448 population sizes of *C. calcicola* were estimated about 25 years ago by Hill (1996). For
449 example, based on herbarium records (AVH 2019) and our field-observations (Clugston and
450 Nagalingum, pers. obs.), populations of *C. calcicola* are likely to be far more fragmented than
451 has been assumed. During fieldwork we noted that populations known from herbarium
452 records in areas between the two major regions (Litchfield and Katherine) no longer exist.
453 Additionally, we found considerable variation in the number of individuals in each population.
454 Populations at the Charles Darwin University campus in Katherine (Katherine CDU1 and
455 CDU2) and Tolmer Falls (Litchfield NP Tolmer) in Litchfield National Park are sizeable (>200
456 individuals) and showed evidence of recent recruitment (Table 1), but other populations in
457 the Katherine (Katherine TT = >100 individuals) and Litchfield (Litchfield NP1 and Litchfield
458 NP2 = >25 individuals) regions were smaller and did not show evidence of recent
459 recruitment.

460

461 It is concerning that most of the sampled populations showed significant levels of inbreeding
462 (F_{IS} ranged between 0.456 and 0.605; Table 2). The exception to this was the *ex-situ*
463 collections from Spirit Hills with $F_{IS}=-0.244$. However, as only two individuals were available
464 from this population this is unlikely to be a reliable estimate. Cycad species are dioecious
465 and, therefore, obligate outbreeders, but biparental inbreeding (mating between close
466 relatives) seems to occur at an appreciable frequency according to our F_{IS} results. This is not
467 surprising given that (1) the recorded seed dispersal distances in *Cycas armstrongii* (and
468 other *Cycas* species) in the Northern Territory are rarely greater than 3 m (Watkinson &
469 Powell 1997), and (2) that only a few individuals seem to participate in any given
470 reproductive event for cycads (Vovides et al. 1997; Suinyuy et al. 2009; Terry et al. 2012) .
471 Significant levels of inbreeding have also been reported in other cycad species with values
472 ranging between 0.122 to 0.483 (Keppel et al. 2002; Cibrián-Jaramillo et al., 2010).

473 Inbreeding leads to a reduction in genetic fitness (inbreeding depression), which is common
474 in many angiosperms (Charlesworth & Charlesworth 1987; Mahy & Jacquemart 1999; Vogl
475 et al. 2002; Bellusci et al. 2009; Ruhsam et al. 2010; Sletvold et al. 2013) and gymnosperms
476 (Kärkkäinen and Savolainen 1993; Durel et al. 1996; Williams & Savolainen 1996).
477 Furthermore, dioecy is likely to maintain lethal inbreeding factors in a species resulting in
478 their slow elimination from the gene pool (Willi et al., 2006). The low recruitment in some *C.*
479 *callicola* populations could, therefore, be due to the effects of early inbreeding depression
480 manifesting in poor germination rates and seedling viability. Unfortunately, information on
481 inbreeding depression is not available for *C. callicola*.

482

483 **Population differentiation.** The small and fragmented populations of many cycad species
484 (Zheng et al. 2017), has resulted in reduced gene flow and high levels of genetic
485 differentiation between populations (Keppel et al. 2002; Meerow & Nakamura 2007; Keppel
486 et al. 2008; Cibrián-Jaramillo et al. 2010; Meerow et al. 2012; Calonje 2013). This is
487 consistent with limited seed-dispersal, as there are few seed dispersal agents for cycads,
488 and seeds rarely disperse more than 3 m (Watkinson & Powell 1997; Hall and Walter 2013).
489 The same applies to pollen-dispersal, as cycad pollinators rarely travel distances greater
490 than 100 m (Norstog & Fawcett 1989). The results from our gene flow analysis indicated that
491 there has been very little, or possibly no recent gene flow among populations (Table 5),
492 which is likely due to the geographic distance between some populations (Figure 2). We also
493 found a significant correlation between genetic and geographic distance, indicating that
494 populations that are geographically closer are genetically more similar, which is to be
495 expected given the high genetic differentiation among populations and substantial biparental
496 inbreeding within them.

497

498 The genetic structure of the populations indicates the existence of two distinct genetic
499 groups, namely Katherine (Katherine CDU1 + Katherine CDU2 + Katherine TT + Katherine

500 CUL) and 'Greater Litchfield' (Litchfield NP1 + Litchfield NP2 + Litchfield NP Tolmer + Daly
501 River + Spirit Hills) (Figures 3 and 4). Although pairwise F_{ST} values (Table 4) were twice as
502 high between regions (Katherine and Greater Litchfield, $F_{ST} \sim 0.1$) compared to within regions
503 ($F_{ST} \sim 0.05$), the F_{ST} values seem surprisingly low. Higher differentiation was detected
504 between Spirit Hills and all other populations ($F_{ST} \sim 0.2$), as well as between Daly River and
505 most other populations ($F_{ST} \sim 0.15$). However, this is based on only a few assayed individuals
506 from Spirit Hills ($n=2$) and Daly River ($n=4$), which are held in *ex-situ* collections. Although it
507 has been shown that RADseq using large number of SNPs (>1500) accurately captures the
508 genetic diversity of populations if only three to eight individuals per population are screened
509 (Qu et al. 2019, Nazareno et al. 2017). The average sample size (number of individuals) per
510 locus for Spirit Hills ($n=0.89$) and Daly River ($n=1.81$) is below this number due to missing
511 data, which indicates that that the results need to be interpreted with caution.

512

513 Why do we not see higher levels of differentiation between populations? One answer to this
514 question is probably the recency (~ 100 years) of fragmentation events (Mankga & Yessoufou
515 2017), the long generation times and the low mutation rates in cycads (Chiang et al. 2009;
516 Mankga & Yessoufou 2017). For example, in some species of South African *Encephalartos*
517 Lehm., the minimum generation time is about 60 years (Da Silva et al. 2012). If this is also
518 the case for *C. calcicola*, then no more than two to three generations would have passed
519 since fragmentation has had an impact on the population dynamics of this species. As a
520 comparison, populations of *Dioon merolae* De Luca, Sabato & Vázq. Torres 1981 exhibited
521 similar levels of geographic disjunction to that found in *C. calcicola*, but with greater levels of
522 differentiation among populations ($F_{ST} = 0.184$ to 0.647). As cycads can live hundreds of
523 years (Norstog and Nichols 1997), this means that our assayed individuals (mostly adults,
524 but also 4-5 juveniles) are likely to show the genetic signature of a time when populations of
525 *C. calcicola* were much less fragmented. To assess whether fragmentation has an impact on
526 the genetic diversity of a species, comparisons between cohorts of adults and seedlings are

527 usually carried out. For example, analyses of fragmented *Primula vulgaris* Huds. populations
528 indicated that seedlings had significantly lower genetic diversity (H_e seedlings = 0.436 vs. H_e
529 adults = 0.535) and showed higher genetic differentiation between populations (F_{ST} seedlings
530 = 0.136 vs. F_{ST} adults = 0.060) than mature plants (Van Geert et al. 2008). Similarly, in
531 populations of *Myrtus communis* L., seedlings showed lower genetic diversity when
532 compared to mature plants due to population fragmentation (González-Varo et al. 2010).
533 However, the long generation time and longevity of *C. calcicola* could mean that an effect of
534 recent fragmentation on parameters such as H_e and F_{ST} might be difficult to detect even if
535 seedling-adult comparisons are carried out (Kettle et al 2007).

536

537 **Do *ex-situ* collections represent wild diversity?** *Ex-situ* living plant collections of botanic
538 gardens are critical in the conservation of species, as they can directly help to conserve the
539 genetic diversity of natural populations and safeguard a species from extinction (Fant et al.
540 2016). However, a recent study highlighted that the percentage of extant genetic diversity
541 conserved *ex-situ* varied between 40% to 95% for the 11 surveyed taxa (Hoban et al 2020),
542 indicating that some *ex-situ* collections may not be sufficient to preserve the total genetic
543 diversity. We screened all 13 *C. calcicola* individuals that are currently held within *ex-situ*
544 collections to establish whether these collections capture the genetic diversity present in the
545 wild. These individuals were from Katherine, Daly River, and Spirit Hills (Table 1). Based on
546 our STRUCTURE analysis (Figure 3), all assayed populations of *C. calcicola* belong to one
547 of two genetic groups— one group comprising populations of the Katherine region and the
548 other comprising populations from Greater Litchfield National Park region, Daly River and
549 Spirit Hills. However, the DAPC analysis (Figure 4) further indicated that the Litchfield
550 National Park populations form a distinct cluster separate from those of Daly River and Spirit
551 Hills. As *ex-situ* collections do not contain individuals from any of the Litchfield National Park
552 populations, a large and unique part of the genetic diversity of *C. calcicola* is currently not
553 conserved. Litchfield National Park is a major stronghold for *C. calcicola* populations,

554 accounting for at least 5000 individuals of this species (Liddle 2009), and the absence of this
555 region from *ex-situ* collections represents a significant conservation gap.

556

557 *Ex-situ* botanic garden collections often represent only a subset of the genetic diversity found
558 in wild populations (Li et al. 2002; Namoff et al. 2010; Cibrian-Jaramillo et al. 2013; Griffith et
559 al. 2015; Hoban et al. 2020), which is also true for *C. calcicola*. Our results showed that gene
560 diversity was lower in *ex-situ* collections compared to wild populations of *C. calcicola* (Table
561 2). Although no wild populations were assessed from Daly River or Spirit Hills these results
562 need to be interpreted with caution due to the small sample size in the *ex-situ* collection.

563 Many of the cultivated samples originally collected as seeds and young plants (mostly the
564 Montgomery Botanical Center samples) are from the Katherine region (CUL) and showed
565 only slightly (albeit significantly) lower levels of gene diversity ($uH_e=0.085$), when compared
566 to each of the wild populations from the region ($uH_e=0.10$ to 0.114 , $n=10$), suggesting that
567 genetic diversity is captured *ex-situ* for the Katherine Region. This is encouraging and is
568 likely that augmenting *ex-situ* collections with perhaps just three or more individuals from
569 Katherine would raise diversity levels of the *ex-situ* collection to levels comparable with that
570 of assayed wild populations. In contrast, genetic diversity among the four *ex-situ* Daly River
571 ($uH_e=0.061$) collections was half as much as wild Katherine and Litchfield populations, and
572 that for Spirit Hills ($uH_e=0.037$, $n=2$) was even lower. However, due to missing data, the
573 average number of samples screened per locus was only 1.8 in Daly River and 0.9 in Spirit
574 Hills (Table 2), which might not be an accurate estimate of the genetic diversity despite the
575 large number of SNPs (2271) used. For logistical reasons we were unable to collect samples
576 from Daly River or Spirit Hills, but the most recent estimates suggest that they contain at
577 least as many individuals (>7000 and >5000 , respectively) as Litchfield (>5000) or Katherine
578 (>1500) (Liddle 2009), hence, they could contain at least as much genetic diversity. It is clear
579 that two or four *ex-situ* individuals from the largest known *C. calcicola* populations are very
580 unlikely to capture a large part of the genetic variation found in the wild. Given the genetic

581 distinctiveness of Daly River (less so with Spirit Hills) populations in the DAPC (Figure 4), we
582 suggest that *ex-situ* collections be expanded to incorporate more representatives from these
583 regions.

584

585 Differentiation of *C. calcicola* populations between regions was at least twice as high as
586 within regions, so it is important to include individuals from multiple populations from each
587 region in *ex-situ* collections. From a conservation perspective we recommend that each
588 geographic region should be regarded as a separate conservation management unit, with *ex-*
589 *situ* collections consisting of around ten well-spaced individuals from each region. Given the
590 short average dispersal distances of cycad pollen and seeds (Hall & Walter 2013), this would
591 maximise the chance of collecting genetically diverse and unrelated individuals. Offspring
592 produced by cross-pollinating these more or less unrelated individuals would have a lower
593 risk of inbreeding depression and therefore increase the chance of successful reintroductions
594 into the wild (Cohen et al. 1991). Without insight into the genetic diversity of *ex-situ*
595 collections, inbreeding depression due to a narrow genetic base could become a problem
596 among *ex-situ* collections, and any wild populations subsequently established from
597 reintroductions (Enßlin et al. 2011). An added benefit of augmenting *ex-situ* collections is the
598 greater number of specimens that will be accessible for scientific and horticultural research,
599 ultimately aiding the study of physiological and reproductive factors that may have
600 contributed to the decline of species like *C. calcicola* in the wild (Chen et al. 2012).

601

602 Although botanic gardens represent safe sites for holding the genetic reserves of wild
603 populations, conserving the species in botanic gardens does not address the processes that
604 have affected the genetic diversity of *C. calcicola* in the first place. Our field observations and
605 the results of this study suggest that the size of populations have been on the decline in
606 recent years, which is not yet reflected on a genomic level. This means that the remaining
607 populations are at risk of an increased loss of genetic diversity in the future and therefore

608 conservation plans need to factor in both *in-situ* and *ex-situ* reserves to ensure the survival of
609 this species.

610

611 **Conclusions**

612 Here we have provided new insights into the genetic diversity of the cycad, *Cycas calcicola*.
613 By screening samples from both *in-situ* wild populations and *ex-situ* botanic garden
614 collections, our results suggested low to moderate levels of genetic diversity, with little recent
615 gene flow and high levels of inbreeding in most populations. The results from this study are
616 pertinent for the formulation and implementation of two key conservation strategies: (1)
617 populations from Litchfield National Park, Daly River and Spirit Hills form a genetically
618 distinct group and should be managed as a conservation unit separate from those of the
619 Katherine Region; and (2) plants from Litchfield National Park are genetically differentiation
620 from other regions and are absent from *ex-situ* collections. Consequently, we recommend
621 that priority be given to the acquisition of genetically representative material from this region
622 to aid in the future conservation of the species. Additionally, our results indicate that low
623 genetic diversity could relate to reduced population size and fragmentation, which highlights
624 the importance in understanding generic diversity of threatened and rare species in
625 conservation management assessments. This work demonstrates that ad hoc collections
626 may not successfully capture genetic diversity, and, furthermore, genomic analysis should be
627 considered when developing conservation plans for Australian cycad species.

628

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652

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1361 **Figures and Tables**

1362

1363 **Figure 1 *Cycas calcicola* populations growing in the wild in the Katherine region and**
1364 **Litchfield National Park.** (A) Large population of *C. calcicola* growing on sandstone in the
1365 Litchfield National Park, Northern Territory. (B) Small group of *C. calcicola* growing on
1366 limestone within the Katherine region.

1367 **Figure 2 Distribution of samples of *C. calcicola* in Northern Territory.** Map of the
1368 northern part of the Northern Territory, Australia showing sampling sites of wild (Litchfield
1369 National Park and Katherine Region) and cultivated *ex-situ* conservation collections (Spirit
1370 Hills Conservation Site (Cul.) and Daly River (Cul.), representing the entire range of the
1371 species. Inset: sampling locations in Darwin region within Australia. Area of occurrence:
1372 representing the extent of occurrence for each species based in herbarium specimen records
1373 Australasian Virtual Herbarium (<https://avh.chah.org.au>).

1374 **Figure 3 STRUCTURE plot of *Cycas calcicola* populations.** Plot representing 72 samples
1375 from nine populations. The most likely number of genetic groups for the species was $K=2$
1376 ($DK = 1883$) indicating two clusters within the data. *Ex-situ* cultivated populations = Katherine
1377 CUL, Daly River and Spirit Hills.

1378 **Figure 4. DAPC graph of *Cycas calcicola* populations.** Discriminant analysis of principal
1379 components (DAPC) of nine *C. calcicola* populations. DAPC is a summary of 22 principal
1380 components with three discriminate functions ($K = 2$) and a proportion of conserved variance
1381 of 0.527. Inset: indicates the first axis of the DAPC. *Ex-situ* cultivated populations =
1382 Katherine CUL, Daly River and Spirit Hills. Inset: shows the first axis of the DAPC, which
1383 helps to demonstrate the separation between genetic groups.