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COVID-19 vaccine-induced antibody and T cell responses in immunosuppressed patients with inflammatory bowel disease after the third vaccine dose: a multicentre, prospective, case-control study

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Corresponding Author:	James L Alexander Imperial College of Science Technology and Medicine: Imperial College London UNITED KINGDOM
First Author:	James L Alexander
Order of Authors:	James L Alexander Zhigang Liu Diana Muñoz Sandoval Catherine Reynolds Hajir Ibraheim Sulak Anandabaskaran Aamir Saifuddin Rocio Castro Seoane Nikhil Anand Rachel Nice Claire Bewshea Andrea D'Mello Laura Constable Gareth Jones Sharmili Balarajah Francesca Fiorentino Shaji Sebastian Peter Irving Lucy Hicks Horace Williams Alexandra Kent Rachel Linger Miles Parkes Klaartje Kok Kamal Patel Julian Teare Daniel Altmann

	James Goodhand
	Ailsa Hart
	Charlie Lees
	Rosemary Boyton
	Nicholas Kennedy
	Tariq Ahmad
	Nick Powell
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Abstract:	<p>Background COVID-19 vaccine-induced antibody responses are reduced in patients with inflammatory bowel disease (IBD) taking anti-TNF or tofacitinib after two vaccine doses. We sought to determine whether immunosuppressive treatments were associated with reduced antibody and T cell responses after a third vaccine dose.</p> <p>Methods 352 adults (72 healthy controls and 280 IBD) were sampled 28-49 days after a third dose of SARS-CoV-2 vaccine. IBD medications studied included thiopurines (n=65), infliximab (n=46), thiopurine/infliximab combination therapy (n=49), ustekinumab (n=44), vedolizumab (n=50) or tofacitinib (n=26). SARS-CoV-2 spike antibody binding and T cell responses were measured.</p> <p>Findings Geometric mean [geometric SD] anti-S1 RBD antibody concentrations increased in all groups following a third dose, but were significantly lower in patients treated with infliximab (2736.8 U/mL [4.3]; P<0.0001), infliximab and thiopurine combination (1818.3 U/mL [6.7]; P<0.0001) and tofacitinib (8071.5 U/mL [3.1]; P=0.0018) compared to controls (16774.2 U/ml [2.6]). There were no significant differences in anti-S1 RBD antibody concentrations between control subjects and thiopurine (12019.7 U/mL [2.2]; P=0.099), ustekinumab (11089.3 U/mL [2.8]; P=0.060), nor vedolizumab treated patients (13564.9 U/mL [2.4]; P=0.27). In multivariable modelling, lower anti-S1 RBD antibody concentrations were independently associated with infliximab (Geometric mean ratio 0.15, 95% CI 0.11-0.21, P<0.0001), tofacitinib (0.52, 95% CI 0.31-0.87, P=0.012) and thiopurine (0.69, 95% CI 0.51-0.95, P=0.021), but not with ustekinumab (0.64, 95% CI 0.39-1.06, P=0.083), or vedolizumab (0.84, 95% CI 0.54-1.30, P=0.43). Previous SARS-CoV-2 infection (1.58, 95% CI 1.22-2.05, P=0.00056) and older age (0.88, 95% CI 0.80-0.97, P=0.0073) were independently associated with higher and lower anti-S1 antibody concentrations respectively. Antigen specific T cell responses were similar in all groups, except for recipients of tofacitinib without evidence of previous infection, where T cell responses were significantly reduced relative to healthy controls (p=0.021).</p> <p>Interpretation A third dose of COVID-19 vaccine induced a boost in antibody binding in immunosuppressed patients with IBD, but these responses were reduced in patients taking infliximab, infliximab/thiopurine combination and tofacitinib. Tofacitinib was also associated with reduced T cell responses. These findings support continued prioritisation of immunosuppressed groups for further booster dosing, particularly those on anti-TNF and Janus Kinase (JAK) inhibitors.</p> <p>Funding Financial support was provided as a Research Grant by Pfizer Ltd.</p>

1 **COVID-19 vaccine-induced antibody and T cell responses in**
2 **immunosuppressed patients with inflammatory bowel disease after the third**
3 **vaccine dose: a multicentre, prospective, case-control study**

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5 James L. Alexander PhD^{1,2*}, Zhigang Liu PhD^{1*}, Diana Muñoz Sandoval PhD^{3*},
6 Catherine Reynolds* PhD^{3*}, Hajir Ibraheim MBBS^{1,2}, Sulak Anandabaskaran
7 MBChB^{1,4}, Aamir Saifuddin BM.BCh^{1,4}, Rocio Castro Seoane PhD¹, Nikhil Anand
8 BSc¹, Rachel Nice MSc^{5,6}, Claire Bewshea MSc⁵, Andrea D'Mello BSc⁷, Laura
9 Constable MSc¹, Gareth R. Jones PhD^{8,9}, Sharmili Balarajah MBChB^{1,2}, Francesca
10 Fiorentino PhD^{10,11}, Prof. Shaji Sebastian MD^{12,13}, Peter M. Irving MD^{14,15}, Lucy C.
11 Hicks PhD^{1,2}, Horace R.T. Williams PhD^{1,2}, Alexandra J. Kent MBChB¹⁶, Rachel Linger
12 BSc¹⁷, Miles Parkes DM^{17,18}, Klaartje Kok PhD²⁰, Kamal V. Patel MBBS²¹, Prof. Julian
13 P. Teare MD^{1,2}, Prof. Daniel M. Altmann PhD²², James R. Goodhand MBBS^{5,23}, Prof.
14 Ailsa L. Hart PhD⁴, Prof. Charlie W Lees PhD^{8,9}, Prof. Rosemary J. Boyton PhD^{3,24*},
15 Nicholas .A. Kennedy PhD^{5,23*}, Tariq Ahmad PhD^{5,23*}, Nick Powell PhD^{1,2*} on behalf
16 of the VIP study investigators[^].

17

18 *Equal contribution

19 [^]Full list of VIP study investigators is listed in the appendix

20

21 ¹Department of Metabolism, Digestion and Reproduction, Imperial College London,
22 London, United Kingdom.

23 ²Department of Gastroenterology, Imperial College Healthcare NHS Trust, London,
24 United Kingdom.

25 ³Department of Infectious Disease, Imperial College London, London, United
26 Kingdom.

27 ⁴Department of Gastroenterology, St Marks Hospital and Academic Institute,
28 Gastroenterology, London, United Kingdom.

29 ⁵Exeter Inflammatory Bowel Disease and Pharmacogenetics Research Group,
30 University of Exeter, Exeter, United Kingdom.

31 ⁶Department of Clinical Chemistry, Biochemistry - Exeter Clinical Laboratory
32 International, Royal Devon and Exeter NHS Foundation Trust, Exeter, United
33 Kingdom.

34 ⁷Division of Medicine & Integrated Care, Imperial College Healthcare NHS Trust,
35 London, United Kingdom.

36 ⁸Department of Gastroenterology, Western General Hospital - NHS Lothian,
37 Edinburgh, United Kingdom.

38 ⁹Centre for Inflammation Research - The Queen's Medical Research Institute, The
39 University of Edinburgh, Edinburgh, United Kingdom.

40 ¹⁰Department of Surgery and Cancer, Imperial College London, London, United
41 Kingdom.

42 ¹¹Nightingale-Saunders Clinical Trials & Epidemiology Unit (King's Clinical Trials
43 Unit), King's College London, London, United Kingdom.

44 ¹²Department of Gastroenterology, Hull University Teaching Hospitals NHS Trust,
45 Hull, United Kingdom.

46 ¹³Hull York Medical School, University of Hull, Hull, United Kingdom.

47 ¹⁴Department of Gastroenterology, Guy's and St Thomas' NHS Foundation Trust,
48 London, United Kingdom.

49 ¹⁵School of Immunology & Microbial Sciences, King's College London, London,
50 United Kingdom.

51 ¹⁶Department of Gastroenterology, King's College Hospital, London, United

52 Kingdom.

53 ¹⁷The NIHR Bioresource, University of Cambridge, Cambridge, United Kingdom.

54 ¹⁸Department of Gastroenterology, Cambridge University Hospitals NHS Trust,
55 Cambridge, United Kingdom.

56 ²⁰Department of Gastroenterology, Bart's Health NHS Trust, London, United
57 Kingdom.

58 ²¹Department of Gastroenterology, St George's Hospital NHS Trust, London, United
59 Kingdom.

60 ²²Department of Immunology and Inflammation, Imperial College London, London,
61 United Kingdom.

62 ²³Department of Gastroenterology, Royal Devon and Exeter NHS Foundation Trust,
63 Exeter, United Kingdom.

64 ²⁴Lung Division, Royal Brompton and Harefield Hospitals, Guy's and St Thomas'
65 NHS Foundation Trust, London, UK

66

67

68

69 Corresponding author:

70 Dr Nick Powell

71 10th Floor Commonwealth Building

72 Hammersmith Campus

73 Imperial College London

74 London

75 W12 0NN

76 Email: nicholas.powell@imperial.ac.uk

77 **Abstract**

78 *Background*

79 COVID-19 vaccine-induced antibody responses are reduced in patients with
80 inflammatory bowel disease (IBD) taking anti-TNF or tofacitinib after two vaccine
81 doses. We sought to determine whether immunosuppressive treatments were
82 associated with reduced antibody and T cell responses after a third vaccine dose.

83

84 *Methods*

85 352 adults (72 healthy controls and 280 IBD) were sampled 28-49 days after a third
86 dose of SARS-CoV-2 vaccine. IBD medications studied included thiopurines (n=65),
87 infliximab (n=46), thiopurine/infliximab combination therapy (n=49), ustekinumab
88 (n=44), vedolizumab (n=50) or tofacitinib (n=26). SARS-CoV-2 spike antibody binding
89 and T cell responses were measured.

90

91 *Findings*

92 Geometric mean [geometric SD] anti-S1 RBD antibody concentrations increased in all
93 groups following a third dose, but were significantly lower in patients treated with
94 infliximab (2736.8 U/mL [4.3]; $P < 0.0001$), infliximab and thiopurine combination
95 (1818.3 U/mL [6.7]; $P < 0.0001$) and tofacitinib (8071.5 U/mL [3.1]; $P = 0.0018$)
96 compared to controls (16774.2 U/ml [2.6]). There were no significant differences in
97 anti-S1 RBD antibody concentrations between control subjects and thiopurine
98 (12019.7 U/mL [2.2]; $P = 0.099$), ustekinumab (11089.3 U/mL [2.8]; $P = 0.060$), nor
99 vedolizumab treated patients (13564.9 U/mL [2.4]; $P = 0.27$). In multivariable modelling,
100 lower anti-S1 RBD antibody concentrations were independently associated with
101 infliximab (Geometric mean ratio 0.15, 95% CI 0.11-0.21, $P < 0.0001$), tofacitinib (0.52,

102 95% CI 0.31-0.87, P=0.012) and thiopurine (0.69, 95% CI 0.51-0.95, P=0.021), but not
103 with ustekinumab (0.64, 95% CI 0.39-1.06, P=0.083), or vedolizumab (0.84, 95% CI
104 0.54-1.30, P=0.43). Previous SARS-CoV-2 infection (1.58, 95% CI 1.22-2.05,
105 P=0.00056) and older age (0.88, 95% CI 0.80-0.97, P=0.0073) were independently
106 associated with higher and lower anti-S1 antibody concentrations respectively.
107 Antigen specific T cell responses were similar in all groups, except for recipients of
108 tofacitinib without evidence of previous infection, where T cell responses were
109 significantly reduced relative to healthy controls (p=0.021).

110

111 *Interpretation*

112 A third dose of COVID-19 vaccine induced a boost in antibody binding in
113 immunosuppressed patients with IBD, but these responses were reduced in patients
114 taking infliximab, infliximab/thiopurine combination and tofacitinib. Tofacitinib was also
115 associated with reduced T cell responses. These findings support continued
116 prioritisation of immunosuppressed groups for further booster dosing, particularly
117 those on anti-TNF and Janus Kinase (JAK) inhibitors.

118

119 *Funding*

120 Financial support was provided as a Research Grant by Pfizer Ltd.

121

122 **Keywords**

123 SARS-CoV-2, immune-mediated inflammatory diseases, inflammatory bowel disease,
124 thiopurine, azathioprine, anti-TNF therapy, infliximab, vedolizumab, ustekinumab,
125 tofacitinib, immunosuppressant, vaccine, ChAdOx1 nCoV-19, BNT162b2,
126 mRNA1273.

127

128 **Research in context**

129 *Evidence before this study*

130 We have already demonstrated diminished COVID-19 vaccine-induced antibody
131 responses in patients with IBD taking infliximab and tofacitinib, but not vedolizumab or
132 thiopurine monotherapy, following two vaccine doses. Multiple studies have shown
133 that anti-TNF treatment is associated with lower antibody responses, while CLARITY-
134 IBD found no difference in T cell responses between infliximab and vedolizumab
135 treated patients following a second vaccine dose. Breakthrough infection is more
136 common in IBD patients receiving infliximab compared to vedolizumab after two
137 vaccine doses. There are limited data on humoral and cell-mediated anti-SARS-CoV-
138 2 immunity in patients with IBD compared to non-immunosuppressed healthy controls
139 after three COVID-19 vaccine doses.

140

141 *Added value of this study*

142 This is the first study to evaluate humoral and cell-mediated immune responses
143 following three doses of COVID-19 vaccine, in patients receiving different
144 immunosuppressive treatments used in IBD. We show that, although all groups
145 achieved a significant boost in vaccine-induced anti-SARS-CoV-2 spike antibody
146 binding after a third dose, levels achieved were significantly reduced in those patients
147 treated with infliximab or tofacitinib. Tofacitinib recipients also had significantly
148 reduced T cell responses against Spike compared to healthy controls.

149

150 *Implications of all the available evidence*

151 These data show that a third dose of COVID-19 vaccine boosts S1-RBD antibody
152 binding irrespective of immunosuppressive treatment. However, anti-TNF, anti-TNF
153 and immunomodulator combination and tofacitinib recipients had reduced antibody
154 responses after three doses of COVID-19 vaccine compared to healthy controls.
155 Tofacitinib recipients also had diminished T cell responses. Future booster dosing in
156 IBD should be considered a priority in patients receiving anti-TNF treatment or
157 tofacitinib.

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159

160

161 **Introduction**

162 The COVID-19 pandemic has accounted for over six million deaths as of July 2022.(1)
163 Vaccination has been the most effective means of reducing hospitalisations and
164 deaths.(2-4) Several vaccines have now been approved, including mRNA, adenovirus
165 vector and protein-based platforms.(5-8) However, as patients with immune mediated
166 inflammatory disorders such as IBD were excluded from vaccine trials, data on the
167 efficacy of vaccines in these groups is lacking. The VIP (SARS-CoV2 Vaccination
168 immunogenicity in Immunosuppressed inflammatory bowel disease Patients) study is
169 a prospective multicentre study seeking to determine whether COVID-19 vaccine
170 immunogenicity is altered in patients receiving the commonly prescribed
171 immunosuppressive treatments. Previously, we reported that patients with IBD taking
172 the anti-TNF treatment, infliximab or the JAK-inhibitor tofacitinib had significantly
173 reduced anti-SARS-CoV-2 spike antibody binding compared to healthy controls after
174 two doses of vaccine.(9) Other commonly used immunosuppressants, including
175 thiopurines, ustekinumab and vedolizumab, were not associated with a reduction in
176 antibody binding. Evidence is emerging that antibody levels decay more rapidly in anti-
177 TNF treated patients with IBD and that they are at greater risk of breakthrough infection
178 following two doses of vaccine.(10-12)

179

180 In some countries, including the United Kingdom, immunosuppressed patients have
181 been prioritised for third primary doses and booster doses of vaccine,(13) and in the
182 UK, uptake of third doses amongst immunosuppressed patients with IBD has been
183 reported at 79%.(14) There are limited data about immunity following third vaccine
184 doses in patients with IBD and interpretation is problematic due to a lack of healthy
185 control subjects or data about cell-mediated immunity.(15) We have shown that a two-

186 dose schedule of mRNA vaccine is associated with higher anti-SARS-CoV-2 spike
187 antibody binding than two doses of adenovirus vector vaccine in the
188 immunosuppressed IBD population.(9) Whilst in North America homologous mRNA
189 vaccine schedules have been used almost exclusively, in the United Kingdom and
190 worldwide, heterologous vaccination schedules (for example two doses of adenovirus
191 vaccine followed by one dose of mRNA vaccine) have been employed. Heterologous
192 boosting is effective in healthy individuals,(16) however, further research is needed in
193 immunosuppressed individuals. Finally, although antibody responses to SARS-CoV-2
194 vaccination in patients with IBD have been the subject of a growing body of
195 research,(17-21) there is a lack of data on the impact of immunosuppressive therapies
196 on T cell immunity post vaccination in this setting.(10, 22)

197

198 In the current study we investigated antibody and T cell-mediated immunity against
199 SARS-CoV-2 spike following three doses of vaccine in patients with IBD that are taking
200 commonly prescribed immunosuppressive treatments.

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207 **Methods**

208 **Study design and participants**

209 VIP (SARS-CoV2 Vaccination immunogenicity in Immunosuppressed inflammatory
210 bowel disease Patients) is a UK multi-centre prospective observational study (ISCRTN
211 registration number: ISRCTN13495664) assessing the immunogenicity of SARS-CoV-
212 2 vaccination in patients with IBD treated with six different immunosuppressive
213 treatment regimens (thiopurine, infliximab monotherapy, infliximab and thiopurine
214 combination therapy, ustekinumab monotherapy, vedolizumab monotherapy or
215 tofacitinib monotherapy). Immunosuppressed IBD patients and non-
216 immunosuppressed healthy individuals were recruited from nine UK centres.(9)

217

218 The inclusion criteria for the healthy control group were no diagnosis of IBD and no
219 current treatment with systemic immunosuppressive therapy for any other indication.
220 Healthy controls were not excluded if they had other medical conditions. The healthy
221 control group was recruited from healthy volunteer databases and from staff working
222 at medical and university centres involved in the study. Inclusion criteria for the six
223 immunosuppressed IBD groups were an established diagnosis of Crohn's disease
224 (CD), ulcerative colitis (UC) or inflammatory bowel disease unclassified (IBD-U) using
225 standard definitions of IBD, and established treatment with one of six
226 immunosuppressive regimens (thiopurine, infliximab monotherapy, infliximab and
227 thiopurine combination therapy, ustekinumab monotherapy, vedolizumab
228 monotherapy or tofacitinib monotherapy) for at least 12 weeks at the time of first dose
229 of SARS-CoV-2 vaccination. Exclusion criteria were treatment with any other
230 immunosuppressive treatments or treatment combinations including methotrexate,
231 adalimumab and cyclosporin. Current treatment with systemic corticosteroids was not

232 an exclusion criterion. The full study protocol can be viewed online
233 (<https://www.vipstudy.uk/>). In brief, to be eligible, participants had received three
234 doses of an approved COVID-19 vaccine. Participants either received a homologous
235 vaccination schedule (three doses of an mRNA vaccine) or a heterologous vaccine
236 schedule (two doses of adenovirus vector vaccine followed by a dose of an mRNA
237 vaccine). Anti-SARS-CoV-2 spike (S1-RBD) Ab concentrations were measured using
238 the Elecsys anti-SARS-CoV-2 spike (S) Ab assay, 53-92 days after second vaccine
239 dose and 28-49 days after the third vaccine dose. T cells were measured 28-49 days
240 after the third vaccine dose.

241

242 Procedures

243 *SARS-CoV2 Serology:*

244 Laboratory analysis was performed at the Academic Department of Blood Sciences at
245 the Royal Devon and Exeter NHS Foundation Trust. To determine vaccine specific
246 antibody responses the Roche Elecsys Anti-SARS-CoV-2 spike (S)
247 electrochemiluminescence immunoassay was used.(23) This double sandwich
248 electrochemiluminescence immunoassay uses a recombinant protein of the receptor
249 binding domain (RBD) on the spike protein as an antigen for the determination of
250 antibodies against SARS-CoV-2. Sample electrochemiluminescence signals are
251 compared with internal calibration curves and quantitative values are reported as units
252 (U)/mL. In-house validation experiments have been described previously.(17) An
253 additional dilution step was added for samples with antibody concentrations above the
254 analytical range of the assay following the third vaccine dose.

255

256 At entry to the VIP study (at 53-92 days after the second vaccine dose) and at 28-49
257 days after the third vaccine dose, all participants were tested for possible previous
258 SARS-CoV-2 infection using the Roche Elecsys anti-SARS-CoV-2 (N) immunoassay.
259 A concentration of greater than or equal to 0.12 U/ml was defined as a threshold below
260 which participants were deemed to have no evidence of prior infection. Participants
261 who reported a history of a previous positive PCR test confirming SARS-CoV-2
262 infection at any time were recorded as previously SARS-CoV-2 infected.

263

264 *Peripheral blood mononuclear cell isolation*

265 Whole blood was collected in lithium heparin tubes and PBMCs were isolated by
266 density-gradient centrifugation using Lymphoprep™ (Stem Cell Technologies)
267 layered onto SepMate™ (Stem Cell Technologies) tubes. PBMC isolation was
268 performed within 12 h of venepuncture. Purified PBMCs were cryopreserved in 10%
269 DMSO/50% FBS and stored in liquid nitrogen pending batch analysis.

270

271 *Spike-peptide specific T cell responses*

272 IFN- γ T cell ELISpot assays were performed using pre-coated plates (Mabtech 3420-
273 2APT) and using the protocol described previously.(10, 24, 25) Two-hundred thousand
274 cells were seeded per well and cells were stimulated with a peptide pool, containing
275 18 peptides derived from SARS-CoV-2 spike protein(26) at a concentration of
276 10 μ g/ml/peptide; the peptide pool utilises a mapped epitope pool (MEP) of 12–20mer
277 peptides, mapped as eliciting high-prevalence CD4 responses covering diverse HLA-
278 II haplotypes.(24, 25) Use of this spike MEP pool in otherwise healthy SARS-CoV-2
279 seropositive individuals elicits a T cell response in 83% of individuals at 16–18 weeks
280 after natural SARS-CoV-2 infection and 91% of healthy individuals 2–3 weeks after

281 two-dose vaccination with seronegative individuals showing a level of response
282 indistinguishable from pre-pandemic controls.(24, 25) Plates were cultured for 18–20 h
283 before development and data were collected using an AID classic ELISpot plate reader
284 (Autoimmun Diagnostika GMBH). In 53 cases (15%) T cell responses could not be
285 reported, either due to insufficient blood draw, insufficient cell number harvest during
286 PBMC extraction or technical failure of the assay. Results are expressed as
287 differences in (delta) spot forming cells (SFC) per 10^6 PBMC between peptide
288 stimulation and a media-only control. A response falling below 2 standard deviations
289 above the media-only control wells was deemed to be a null response.Data were
290 excluded if the response to the positive control anti-CD3 stimulation was <200 SFC
291 per 10^6 PBMCs.

292

293 Outcomes

294 The primary outcome was anti-SARS-CoV-2 spike (S1 RBD) Ab level, measured using
295 the Elecsys anti-SARS-CoV-2 spike (S) Ab assay, 28-49 days after third vaccine dose,
296 adjusted by age, homologous versus heterologous vaccine schedule and history of
297 prior infection.

298

299 Secondary outcomes were the relative increment in anti-SARS-CoV-2 spike (S1 RBD)
300 Ab concentrations following a third vaccine dose in each study group, and spike-
301 peptide specific T cell responses in each group following the third vaccine dose.

302

303 Variables recorded by participants were demographics (age, sex, ethnicity,
304 comorbidities, height and weight, smoking status, and postcode), IBD disease activity
305 (defined by patient reported outcomes [PRO2]),(27, 28) SARS-CoV-2 symptoms

306 aligned to the COVID-19 symptoms study (symptoms, previous testing and hospital
307 admissions for COVID-19) and vaccine uptake (type and date of primary vaccination).
308 Data were entered electronically into a purpose-designed REDCap database hosted
309 at the Royal Devon and Exeter NHS Foundation Trust.(29) An additional post-third
310 dose questionnaire was administered to capture third dose vaccination type, positive
311 COVID-19 tests between second and third dose, and changes in IBD treatment.
312 Participants without access to the internet or electronic device completed their
313 questionnaires on paper case record forms that were subsequently entered by local
314 research teams.

315

316 Statistical analysis

317 Sample size calculations for the VIP study have been reported previously.(9) Full
318 details can be found in the statistical analysis plan: (<https://www.vipstudy.uk/info>).
319 Statistical analyses were undertaken in R V.4.0.4 (R Foundation for Statistical
320 Computing, Vienna, Austria). All tests were two-tailed and values of $p < 0.05$ were
321 considered significant. We included patients with missing clinical data in analyses for
322 which they had data and have specified the denominator for each variable. Missing
323 clinical data affected four patients (1.1%) included in the analysis of the primary
324 outcome, and these patients were therefore excluded from the multivariable model.
325 No imputation of missing data was performed. Anti-S antibody concentrations are
326 reported as geometric means and SD (Geometric SD $[x] = e^{SD[\log x]}$). Other continuous
327 data are reported as median and IQR, and discrete data as numbers and percentages,
328 unless otherwise stated. Figures were created in R V.4.0.4 and Graphpad Prism 9.0.0.
329

330 For the primary outcome analysis, linear regression models of log-transformed anti-
331 SARS-CoV-2 (S) antibody concentration, adjusted for age, vaccine schedule and
332 history of prior infection (adjustments made owing to the substantial effect of these
333 variables on humoral responses to SARS-CoV-2 vaccination), were used to identify
334 IBD treatment regimens associated with the concentration of anti-SARS-CoV-2 (S)
335 antibodies. To test our primary outcome, we used multivariable linear regression
336 models to assess the association between immunosuppressive therapies in IBD and
337 COVID-19 vaccine-induced antibody responses, adjusted for confounders. Based on
338 data from CLARITY-IBD, *a priori*, we included IBD medication, vaccine type (mRNA
339 or Adenovirus), age, IBD subtype, ethnicity and smoking status.(17) Age was treated
340 as a continuous variable in the analysis (after checking the linearity of age as a variable
341 using simple linear regression and Runs test) and its coefficient is expressed per
342 decade. Results are presented after exponentiation, so that the exponentiated
343 coefficients of the model correspond to the geometric mean ratio (GMR) estimates per
344 one unit increase associated with each binary covariate. Our analysis for the
345 multivariable linear regression model assumed that the anti-S1 antibody data would
346 be log normally distributed. Model diagnostics were performed to test this assumption.
347 We subsequently performed a sensitivity analysis using a one-parameter Box-Cox
348 transformation(30) with $\lambda = 0.2$ (based on optimising the log-likelihood of the
349 model) to ensure that data skew did not significantly impact our results. In addition, to
350 account for the within patient multiple measurements of anti-SARS-CoV-2 spike (S1
351 RBD) Ab level (at visit 1 and visit 2), a linear mixed effects model was also performed
352 including data from visit 1 and visit 2. The linear mixed effects model was fitted using
353 the lmer package(31) with log(antibody concentration) as the outcome variable, the
354 participant as a random variable for the intercept and fixed variables as specified in

355 the results table. The error distribution was assumed to be normal, and this
356 assumption was checked by visual inspection of a QQ plot of the residuals. Wilcoxon
357 matched-pairs signed-rank tests were used for comparison of post second and post
358 third dose anti-S antibody concentrations stratified by treatment group.

359

360 Kruskal-Wallis tests, with Dunn's correction for multiple testing, were used to compare
361 the magnitude of T cell responses (SFC/10⁶ PBMCs) stratified by immunosuppressive
362 therapy and history of prior infection. Spearman's rank correlation coefficient was
363 calculated to determine the correlation between antibody and T cell responses.

364

365 Role of the funding source

366 VIP is an investigator-led, UK National Institute for Health Research COVID-19 study.
367 Financial support was provided as a Research Grant by Pfizer Ltd.. Pfizer Ltd. had no
368 role in study design, data collection or analysis, writing, or decision to submit for
369 publication. Participants were included after providing informed, written consent. The
370 sponsor was Imperial College London. The protocol is available online at
371 <https://www.vipstudy.uk>. The study was registered with the ISRCTN registry.

372

373

374 **Results**

375 *Participant characteristics*

376 Between 18th October 2021 and 29th March 2022, 352 participants were sampled
377 following a third dose of SARS-CoV-2 vaccine including: thiopurine (n=65),
378 infliximab (n=46), thiopurine/infliximab combination therapy (n=49), ustekinumab
379 (n=44), vedolizumab (n=50) or tofacitinib (n=26). There were 125 participants (35.5%)
380 with evidence of prior SARS-CoV-2 infection. Participant characteristics are shown in
381 Table 1.

382

383 *SARS-CoV-2 (S) antibody binding following three doses of COVID-19 vaccine*

384 We first compared post second dose and post third dose anti-SARS-CoV-2 (S)
385 antibody concentrations in individuals stratified by immunosuppressive therapy (figure
386 1). Geometric mean [geometric SD] anti-S1 RBD antibody binding levels were
387 significantly higher in healthy controls and all treatment groups following a third dose
388 of vaccine (all $p < 0.0001$).

389

390 Geometric mean [geometric SD] anti-S1 RBD antibody binding (figure 2A and B) were
391 lower in patients treated with infliximab (2736.8 U/mL [4.3]; $P < 0.0001$), infliximab and
392 thiopurine combination (1818.3 U/mL [6.7]; $P < 0.0001$) and tofacitinib (8071.5 U/mL
393 [3.1]; $P = 0.0018$) compared to controls (16774.2 U/ml [2.6]). No significant differences
394 in anti-S1 RBD antibody binding were found between controls and thiopurine
395 monotherapy-treated patients nor between controls and vedolizumab treated patients
396 (13564.9 U/mL [2.4]; $P = 0.27$). In ustekinumab-treated patients (11089.3 U/mL [2.8];
397 $P = 0.060$) and thiopurine monotherapy-treated patients (12019.7 U/mL [2.2]; $P = 0.099$),
398 modest reductions in anti-S1 RBD antibody binding were observed relative to controls,

399 which did not reach statistical significance. One patient treated with infliximab and
400 thiopurine combination therapy failed to mount a detectable antibody level. Anti-S1
401 RBD antibody binding for each vaccine schedule type (three doses mRNA
402 (homologous) and two doses Adenovirus vector and one dose mRNA (heterologous))
403 stratified by study group are shown in supplementary figures 1 and 2.

404

405 In multivariable modelling (figure 3), lower anti-S1 RBD antibody concentrations were
406 independently associated with infliximab (Geometric mean ratio 0.15, 95% CI 0.11-
407 0.21, $P < 0.0001$) and tofacitinib (GMR 0.52, 95% CI 0.31-0.87, $P = 0.012$), but not with
408 vedolizumab (GMR 0.84, 95% CI 0.54-1.30, $P = 0.43$). The model also suggests that
409 thiopurine (GMR 0.69, 95% CI 0.51-0.95, $P = 0.021$) and ustekinumab (GMR 0.64, 95%
410 CI 0.39-1.06, $P = 0.083$) may be associated with modest reductions in anti-S1 antibody
411 concentration, with compatible confidence intervals and p values near to 0.05. Prior
412 infection (GMR 1.58, 95% CI 1.22-2.05, $P = 0.00056$) and older age (GMR 0.88, 95%
413 CI 0.80-0.97, $P = 0.0073$) were independently associated with higher and lower anti-S1
414 antibody concentrations respectively. Homologous vaccination schedule, IBD
415 subtype, ethnicity and smoking status were not associated with S1 RBD antibody
416 binding. A linear mixed effects model, additionally adjusting for within patient multiple
417 measurements showed no significant impact on the reported associations
418 (supplementary table 1). After performing diagnostics to test statistical assumptions
419 underlying the multivariable model (supplementary figure 3 and 4), a one-parameter
420 Box-Cox transformation (supplementary figure 5) with $\lambda = 0.20$ (based on
421 optimising the log-likelihood of the model), demonstrated no significant impact on the
422 treatment variables in the multivariable linear regression model (supplementary figure
423 6).

424

425 *T cell immunity against spike following three doses of COVID-19 vaccine*

426 In participants without evidence of prior infection, the magnitude of anti-spike T cell
427 responses was lower in tofacitinib-treated patients compared to healthy controls
428 (figure 4A; $p=0.021$). No significant differences in the magnitude of anti-spike T cell
429 responses were observed in infection-naïve recipients of thiopurine, infliximab,
430 thiopurine and infliximab combination therapy, ustekinumab or vedolizumab,
431 compared to healthy controls. In individuals with laboratory confirmed evidence of
432 previous SARS-CoV-2 infection, there were no differences observed in the magnitude
433 of anti-spike T cell responses between the groups (figure 4A). In individuals with
434 evidence of previous infection, T cell responses against N peptide pool were
435 significantly reduced in ustekinumab treated patients ($p=0.0018$; figure 4B). There
436 were no significant differences observed in the magnitude of T cell responses against
437 N peptide pool between the other treatment groups and healthy controls (figure 4B).
438 Ordering anti-spike T cell responses by the cumulative magnitude of anti-S RBD
439 binding following three doses of COVID-19 vaccine showed discordant T cell and
440 antibody responses in all treatment groups (figure 4C).

441 **Discussion**

442 This study provides important new information on the impact of different commonly
443 used immunosuppressive drugs on T cell and antibody responses after three doses of
444 COVID-19 vaccine. The first key finding is that patients with IBD on each of the six
445 treatment regimens studied gain a significant boost in antibody binding levels from a
446 third dose, supporting the decision taken in many countries to roll-out third-primary
447 doses of vaccine to these groups. However, patients treated with infliximab or
448 tofacitinib had reduced anti-S1 RBD antibody binding after three doses of vaccine in
449 comparison with healthy control subjects. Patients with IBD on thiopurine
450 monotherapy, ustekinumab or vedolizumab showed no significant reduction in
451 antibody binding compared to control participants. These findings mirror differences
452 seen in the previously reported VIP study following two doses of vaccine.(9)

453

454 The size of reduction in antibody binding was greatest in infliximab treated patients
455 with an 84% reduction in antibody binding when compared to control participants.
456 These findings are compatible with post-third dose results from CLARITY-IBD,
457 PREVENT-COVID and HERCULES,(32-34) but contrast with a recent Canadian study
458 in which anti-TNF therapy was not associated with a significant reduction in anti-S
459 antibody titre following three doses of vaccine.(15) Notably, the Canadian study used
460 16 non-immunosuppressed patients with a diagnosis of IBD rather than healthy
461 controls as a reference group.(15) Despite the relative reduction in antibody binding
462 seen in anti-TNF-treated patients, our results still compare favourably with those seen
463 in some other immunosuppressed groups such as solid organ transplant recipients, a
464 sizeable minority of whom fail to mount any detectable response to a third dose.(35)
465 Reassuringly for infliximab recipients, our results also show that T cell responses

466 following three doses of vaccine are not reduced relative to healthy controls. These
467 data are in line with observations from CLARITY-IBD, where T cell responses were
468 not significantly different between infliximab and vedolizumab treated patients
469 following two doses of vaccine,(10) but we have not recapitulated the findings of the
470 CORALE study, which showed augmentation of T cell response in anti-TNF
471 recipients.(36) In the current study we observed that patients treated with thiopurine,
472 infliximab, thiopurine and infliximab combination therapy, ustekinumab or vedolizumab
473 did not differ significantly from healthy controls. However, tofacitinib treatment was
474 associated with reduced T cell immunity against spike, indicating that this treatment
475 impairs humoral and cell-mediated response to COVID-19 vaccination, which may
476 mark them out as particularly vulnerable during future waves of SARS-CoV-2 infection.
477 In the Omicron era, with post-vaccination breakthrough infection and re-infection
478 increasingly common in immunosuppressed and non-immunosuppressed groups,
479 translating studies of vaccine immunogenicity into practice will continue to challenge
480 clinicians and policy makers. Studies are urgently needed to assess the relative
481 immunogenicity of vaccines against emerging variants of concern in
482 immunosuppressed patients with IBD, and to determine how immunogenicity
483 corresponds to risk of severe disease and death.

484

485 Although our study has strengths including a large well-balanced cohort and both
486 humoral and cell-mediated readouts of vaccine response, we acknowledge limitations.
487 Firstly, the number of participants in the tofacitinib group is relatively small, and we
488 should interpret findings in this group with caution. Modest reductions in SARS-CoV-
489 2 antibody binding observed in the thiopurine and ustekinumab groups did not reach
490 statistical significance. Based on these results, although we cannot be certain that

491 thiopurines and ustekinumab are not associated with a reduction in serological
492 response, any differences from the healthy population are unlikely to be clinically
493 important. In multivariable modelling we have accounted for important confounding
494 factors associated with humoral responses to vaccination in other studies (including
495 age, vaccine type, IBD subtype, smoking status, ethnicity, prior infection and
496 heterologous vaccination schedules). However, confounders were not selected using
497 a causal directed acyclic graph and we cannot exclude the possibility that our results
498 are affected by measurement bias or residual confounding due to measurement error
499 in the outcome variable and other measured or unmeasured confounders. IBD disease
500 activity was assessed clinically using PRO2 and did not differ significantly between
501 treatment groups, but we do not have information on biochemical or endoscopic
502 activity. Previous SARS-CoV-2 infection was treated as a binary variable, but it is
503 possible that infection with SARS-CoV-2 Variants of Concern during different waves
504 of the pandemic differentially shape immunity.(37, 38)

505

506 In conclusion, we have shown that three doses of COVID-19 vaccine provided a
507 significant boost in vaccine induced antibody binding in patients taking several
508 immunosuppressive treatments commonly used in IBD, but that patients treated with
509 infliximab or tofacitinib showed reduced antibody binding relative to healthy controls.
510 Patients on tofacitinib additionally showed reduced vaccine induced T cell immunity
511 against ancestral spike, raising the question of whether this group is particularly
512 vulnerable to infection by SARS-CoV-2. Notably, vaccine induced immunity after three
513 doses of vaccine was greater in subjects who had previously been infected with SARS-
514 CoV2, consistent with the notion that further antigen exposure could “rescue”
515 suboptimal responses.(25) It is possible that additional doses of vaccine recover

516 immunity in those patients taking immunosuppressive treatments linked to suboptimal
517 vaccine immunogenicity, such as infliximab or tofacitinib treated patients.

518

519

520

521 **Data availability statement**

522 The study protocol including the statistical analysis plan is available at
523 www.vipstudy.uk. Individual participant de-identified data that underlie the results
524 reported in this article will be available immediately after publication for a period of 5
525 years. The data will be made available to investigators whose proposed use of the
526 data has been approved by an independent review committee. Analyses will be
527 restricted to the aims in the approved proposal. Proposals should be directed to
528 nicholas.powell@ic.ac.uk. To gain access to data requestors will need to sign a data
529 access agreement.

530

531 **Ethics statements**

532 **Patient consent for publication**

533 Not required.

534

535 **Ethics approval**

536 The Wales Research Ethics Committee 5 approved the study (REC reference:
537 21/WA/0105) in March 2021.

538

539

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559

560 **Author Contributions**

561 JLA, NAK, CB, JRG, CWL, RB, DA, TA and NP participated in the conception and
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563 RN coordinated serological analyses. T cell studies were performed, analysed and
564 interpreted by DMS, CR, RB and DA. JLA, ZL, DMS, CR, NAK, HI, SA, AS, RCS, CB,
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566 JRG, TA and NP were involved in the acquisition, analysis, or interpretation of data.
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571

572 **Competing Interests**

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632 The following authors nothing to declare: Dr Ibraheim, Dr Castro Seoane, Claire
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636

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Prior infection	Neither	66% (43/65)	59% (29/49)	65% (30/46)	70.5% (31/44)	70% (35/50)	58% (15/26)	61% (44/72)	0.59
	Swab	5% (3/65)	2% (1/49)	9% (4/46)	2% (1/44)	2% (1/50)	0% (0/26)	0% (0/72)	
	Serology	15% (10/65)	31% (15/49)	20% (9/46)	18% (8/44)	20% (10/50)	27% (7/26)	25% (18/72)	
	Both	14% (9/65)	8% (4/49)	7% (3/46)	9% (4/44)	8% (4/50)	15% (4/26)	14% (10/72)	
Age (years)		44.1 (34.6 - 54.5)	39.2 (31.1 - 52.1)	47.5 (36.1 - 56.4)	43.6 (33.1 - 56.4)	44.6 (37.0 - 59.2)	48.0 (37.9 - 54.8)	36.5 (29.0 - 50.6)	0.029
Gender	Female	55% (36/65)	49% (24/49)	48% (22/46)	52% (23/44)	33% (15/46)	31% (8/26)	65% (47/72)	0.0085
	Male	45% (29/65)	51% (25/49)	52% (24/46)	48% (21/44)	67% (31/46)	69% (18/26)	35% (25/72)	
	Other	0% (0/65)	0% (0/49)	0% (0/46)	0% (0/44)	0% (0/46)	0% (0/26)	0% (0/72)	
	Prefer not to say	0% (0/65)	0% (0/49)	0% (0/46)	0% (0/44)	0% (0/46)	0% (0/26)	0% (0/72)	
Non white		18% (12/65)	20% (10/49)	17% (8/46)	11% (5/44)	24% (11/46)	15% (4/26)	17% (12/72)	0.84
Ethnicity	White	82% (53/65)	80% (39/49)	83% (38/46)	89% (39/44)	76% (35/46)	85% (22/26)	83% (60/72)	0.91
	Asian	11% (7/65)	14% (7/49)	9% (4/46)	9% (4/44)	15% (7/46)	8% (2/26)	11% (8/72)	

	Mixed	0% (0/65)	4% (2/49)	4% (2/46)	2% (1/44)	4% (2/46)	3% (1/26)	4% (3/72)	
	Black	3% (2/65)	2% (1/49)	0% (0/46)	0% (0/44)	2% (1/46)	0% (0/26)	0% (0/72)	
	Other	5% (3/65)	0% (0/49)	4% (2/46)	0% (0/44)	2% (1/46)	4% (1/26)	1% (1/72)	
Diagnosis	Crohn's disease	43% (28/65)	61% (30/49)	67% (31/46)	98% (43/44)	44% (22/50)	8% (2/26)	(0/0)	0.00050
	Ulcerative colitis	55% (36/65)	33% (16/49)	28% (13/46)	2% (1/44)	54% (27/50)	92% (24/26)	(0/0)	
	IBD-unclassified	2% (1/65)	6% (3/49)	4% (2/46)	0% (0/44)	2% (1/50)	0% (0/26)	(0/0)	
BMI		24.2 (21.8 - 27.4)	25.1 (22.4 - 26.9)	25.2 (23.3 - 28.5)	25.7 (22.8 - 29.8)	25.0 (23.1 - 28.4)	25.3 (23.0 - 28.6)	23.4 (21.7 - 25.7)	0.067
Heart disease		2% (1/65)	0% (0/49)	2% (1/46)	0% (0/44)	7% (3/46)	0% (0/26)	0% (0/72)	0.089
Diabetes		6% (4/65)	0% (0/49)	7% (3/46)	7% (3/44)	7% (3/46)	0% (0/26)	1% (1/72)	0.22
Lung disease		11% (7/65)	14% (7/49)	15% (7/46)	9% (4/44)	7% (3/46)	12% (3/26)	8% (6/71)	0.81
Kidney disease		2% (1/65)	0% (0/49)	4% (2/46)	2% (1/44)	2% (1/46)	0% (0/26)	0% (0/72)	0.44
Cancer		2% (1/65)	0% (0/49)	2% (1/46)	0% (0/44)	2% (1/46)	0% (0/26)	0% (0/72)	0.65

Smoker	Yes	2% (1/65)	4% (2/49)	4% (2/46)	7% (3/44)	11% (5/46)	8% (2/26)	3% (2/72)	0.25
	Not currently	35% (23/65)	33% (16/49)	28% (13/46)	34% (15/44)	33% (15/46)	50% (13/26)	24% (17/72)	
	Never	63% (41/65)	63% (31/49)	67% (31/46)	59% (26/44)	57% (26/46)	42% (11/26)	74% (53/72)	
Vaccine (doses 1 & 2)	Pfizer vaccine	38% (25/65)	33% (16/49)	57% (26/46)	34% (15/44)	37% (17/46)	27% (7/26)	49% (35/72)	0.023
	Oxford - AstraZeneca vaccine	62% (40/65)	67% (33/49)	43% (20/46)	66% (29/44)	63% (29/46)	69% (18/26)	46% (33/72)	
	Moderna vaccine	0% (0/65)	0% (0/49)	0% (0/46)	0% (0/44)	0% (0/46)	4% (1/26)	6% (4/72)	
Prednisolone		3% (2/64)	6% (3/49)	9% (4/46)	5% (2/44)	9% (4/46)	15% (4/26)	(0/0)	0.41
Any prednisolone		3% (2/64)	6% (3/49)	9% (4/46)	5% (2/44)	9% (4/46)	15% (4/26)	(0/0)	0.38
Immunosuppressive therapy stopped or switched at time of third dose		2% 1/65	10% 5/49	7% 3/46	5% 2/44	4% 2/50	4% 1/26	(0/0)	0.44
Active disease (PRO2)		9% (6/65)	4% (2/47)	2% (1/46)	8% (3/40)	19% (8/43)	8% (2/25)	(0/0)	0.11
Days since third dose of vaccine		39.0 (33.0 - 44.0)	39.0 (36.0 - 44.5)	40.0 (35.0 - 46.0)	39.0 (33.5 - 44.5)	40.0 (34.7 - 43.8)	35.5 (32.0 - 40.5)	39.0 (34.0 - 44.5)	0.49

770 Data are median (IQR) or n/N (%), unless otherwise specified. Previous infection
771 was defined by a concentration of anti-SARS-CoV-2 nucleocapsid antibodies of 0-12
772 U/mL or more or a self-reported previous PCR test confirming SARS-CoV-2

773 infection. P values were obtained using Fisher's exact tests for categorical variables
774 and Kruskal Wallis tests for continuous variables.
775
776

777 **Figures Legends**

778

779

780 Figure 1: Ladder plots showing anti-SARS-CoV-2 spike S1-RBD binding
781 antibody after two doses (left) and three doses (right) of COVID-19 vaccine, stratified
782 by study treatment group. Statistical analysis was performed with Wilcoxon signed-
783 rank test (**** denotes $p < 0.0001$).

784

785 Figure 2A: SARS-CoV-2 spike S1-RBD antibody binding 28-49 days after third dose
786 of vaccine, stratified by study treatment group and previous infection. The wider bar
787 represents the geometric mean, while the narrower bars are drawn one geometric
788 SD either side of the geometric mean. 2B: Multivariable models showing coefficients
789 of linear regression models of $\log(\text{anti-SARS-CoV-2 spike antibody concentration})$
790 stratified by study treatment group.

791

792 Figure 3: Multivariable model showing exponentiated coefficients of linear regression
793 models of $\log(\text{anti-SARS-CoV-2 spike S1-RBD antibody binding})$. The values shown
794 represent geometric mean ratio of S1-RBD binding associated with each variable. Age
795 was treated as a continuous variable in the analysis and its coefficient is expressed
796 per decade.

797

798 Figure 4. T cell immunity against SARS-CoV-2 spike and nucleocapsid in triple
799 COVID-19 vaccinated IBD patients and healthy controls. T cell responses against
800 SARS-CoV-2 spike mapped epitope pool (MEP) (**A**) and nucleocapsid (MEP) (**B**) in
801 triple COVID-19 vaccinated healthy control donors (blue, $n = 29$ and 36) and IBD
802 patients taking the immunomodulatory drugs thiopurine (red, $n = 41$ and 15),
803 infliximab (green, $n = 30$ and 9), thiopurine and infliximab (purple, $n = 34$ and 8),
804 ustekinumab (orange, $n = 25$ and 10), vedolizumab (pink, $n = 31$ and 12) or
805 tofacitinib (brown, $n = 12$ and 7). Study donors were either SARS-CoV-2 infection
806 naïve (closed symbols) or had been previously infected by SARS-CoV-2 (open
807 symbols). T cell responses were measured by IFN- γ ELISpot. Previously infected
808 donors were assayed for nucleocapsid T cell responses. The number of study
809 participants in each group with a positive T cell response to the peptide pools is
810 shown. Individual donor T cell responses to the spike MEP and matched data for
811 serum S1 RBD binding antibodies (**C**) are plotted by ascending antibody binding titer
812 for SARS-CoV-2 infection naïve healthy control donors (blue, $n = 28$ and 26) and
813 SARS-CoV-2 infection naïve IBD patients taking thiopurine (red, $n = 41$ and 40),
814 infliximab (green, $n = 29$), thiopurine and infliximab (purple, $n = 33$), ustekinumab
815 (orange, $n = 25$), vedolizumab (pink, $n = 31$ and 30) or tofacitinib (brown, $n = 12$).
816 (**A, B**) Statistical significance was determined using a Kruskal Wallis multiple
817 comparison test with Dunn's correction. PBMC, peripheral blood mononuclear cells;
818 RBD, receptor binding domain; SFC, spot forming cells.

819

820

821

1 **COVID-19 vaccine-induced antibody and T cell responses in**
2 **immunosuppressed patients with inflammatory bowel disease after the third**
3 **vaccine dose: a multicentre, prospective, case-control study**
4

5 James L. Alexander PhD^{1,2*}, Zhigang Liu PhD^{1*}, Diana Muñoz Sandoval PhD^{3*},
6 Catherine Reynolds* PhD^{3*}, Hajir Ibraheim MBBS^{1,2}, Sulak Anandabaskaran
7 MBChB^{1,4}, Aamir Saifuddin BM.BCh^{1,4}, Rocio Castro Seoane PhD¹, Nikhil Anand
8 BSc¹, Rachel Nice MSc^{5,6}, Claire Bewshea MSc⁵, Andrea D'Mello BSc⁷, Laura
9 Constable MSc¹, Gareth R. Jones PhD^{8,9}, Sharmili Balarajah MBChB^{1,2}, Francesca
10 Fiorentino PhD^{10,11}, Prof. Shaji Sebastian MD^{12,13}, Peter M. Irving MD^{14,15}, Lucy C.
11 Hicks PhD^{1,2}, Horace R.T. Williams PhD^{1,2}, Alexandra J. Kent MBChB¹⁶, Rachel Linger
12 BSc¹⁷, Miles Parkes DM^{17,18}, Klaartje Kok PhD²⁰, Kamal V. Patel MBBS²¹, Prof. Julian
13 P. Teare MD^{1,2}, Prof. Daniel M. Altmann PhD²², James R. Goodhand MBBS^{5,23}, Prof.
14 Ailsa L. Hart PhD⁴, Prof. Charlie W Lees PhD^{8,9}, Prof. Rosemary J. Boyton PhD^{3,24*},
15 Nicholas .A. Kennedy PhD^{5,23*}, Tariq Ahmad PhD^{5,23*}, Nick Powell PhD^{1,2*} on behalf
16 of the VIP study investigators[^].

17

18 *Equal contribution

19 [^]Full list of VIP study investigators is listed in the appendix

20

21 ¹Department of Metabolism, Digestion and Reproduction, Imperial College London,
22 London, United Kingdom.

23 ²Department of Gastroenterology, Imperial College Healthcare NHS Trust, London,
24 United Kingdom.

25 ³Department of Infectious Disease, Imperial College London, London, United
26 Kingdom.

27 ⁴Department of Gastroenterology, St Marks Hospital and Academic Institute,
28 Gastroenterology, London, United Kingdom.

29 ⁵Exeter Inflammatory Bowel Disease and Pharmacogenetics Research Group,
30 University of Exeter, Exeter, United Kingdom.

31 ⁶Department of Clinical Chemistry, Biochemistry - Exeter Clinical Laboratory
32 International, Royal Devon and Exeter NHS Foundation Trust, Exeter, United
33 Kingdom.

34 ⁷Division of Medicine & Integrated Care, Imperial College Healthcare NHS Trust,
35 London, United Kingdom.

36 ⁸Department of Gastroenterology, Western General Hospital - NHS Lothian,
37 Edinburgh, United Kingdom.

38 ⁹Centre for Inflammation Research - The Queen's Medical Research Institute, The
39 University of Edinburgh, Edinburgh, United Kingdom.

40 ¹⁰Department of Surgery and Cancer, Imperial College London, London, United
41 Kingdom.

42 ¹¹Nightingale-Saunders Clinical Trials & Epidemiology Unit (King's Clinical Trials
43 Unit), King's College London, London, United Kingdom.

44 ¹²Department of Gastroenterology, Hull University Teaching Hospitals NHS Trust,
45 Hull, United Kingdom.

46 ¹³Hull York Medical School, University of Hull, Hull, United Kingdom.

47 ¹⁴Department of Gastroenterology, Guy's and St Thomas' NHS Foundation Trust,
48 London, United Kingdom.

49 ¹⁵School of Immunology & Microbial Sciences, King's College London, London,
50 United Kingdom.

51 ¹⁶Department of Gastroenterology, King's College Hospital, London, United

52 Kingdom.

53 ¹⁷The NIHR Bioresource, University of Cambridge, Cambridge, United Kingdom.

54 ¹⁸Department of Gastroenterology, Cambridge University Hospitals NHS Trust,
55 Cambridge, United Kingdom.

56 ²⁰Department of Gastroenterology, Bart's Health NHS Trust, London, United
57 Kingdom.

58 ²¹Department of Gastroenterology, St George's Hospital NHS Trust, London, United
59 Kingdom.

60 ²²Department of Immunology and Inflammation, Imperial College London, London,
61 United Kingdom.

62 ²³Department of Gastroenterology, Royal Devon and Exeter NHS Foundation Trust,
63 Exeter, United Kingdom.

64 ²⁴Lung Division, Royal Brompton and Harefield Hospitals, Guy's and St Thomas'
65 NHS Foundation Trust, London, UK

66

67

68

69 Corresponding author:

70 Dr Nick Powell

71 10th Floor Commonwealth Building

72 Hammersmith Campus

73 Imperial College London

74 London

75 W12 0NN

76 Email: nicholas.powell@imperial.ac.uk

77 **Abstract**

78 *Background*

79 COVID-19 vaccine-induced antibody responses are reduced in patients with
80 inflammatory bowel disease (IBD) taking ~~infiximab~~ anti-TNF or tofacitinib after two
81 vaccine doses. We sought to determine whether immunosuppressive treatments were
82 associated with reduced antibody and T cell responses after a third vaccine dose.

83

84 *Methods*

85 352 adults (72 healthy controls and 280 IBD) ~~from the prospectively recruited study~~
86 ~~cohort~~ were sampled 28-49 days after a third dose of SARS-CoV-2 vaccine. IBD
87 medications studied included thiopurines (n=65), infliximab (n=46),
88 thiopurine/infliximab combination therapy (n=49), ustekinumab (n=44),
89 vedolizumab (n=50) or tofacitinib (n=26). SARS-CoV-2 spike antibody binding and T
90 cell responses were measured.

91

92 *Findings*

93 Geometric mean [geometric SD] anti-S1 RBD antibody concentrations increased in all
94 ~~study~~ groups following a third dose ~~of vaccine~~, but were significantly lower in patients
95 treated with infliximab (2736.8 U/mL [4.3]; P<0.0001), infliximab and thiopurine
96 combination (1818.3 U/mL [6.7]; P<0.0001) and tofacitinib (8071.5 U/mL [3.1];
97 P=0.0018) compared to controls (16774.2 U/ml [2.6]). There were no significant
98 differences in anti-S1 RBD antibody concentrations between control subjects and
99 thiopurine (12019.7 U/mL [2.2]; P=0.099), ustekinumab (11089.3 U/mL [2.8];
100 P=0.060), nor vedolizumab treated patients (13564.9 U/mL [2.4]; P=0.27). In
101 multivariable modelling, lower anti-S1 RBD antibody concentrations were

102 independently associated with infliximab (Geometric mean ratio 0.15, 95% CI 0.11-
103 0.21, P<0.0001), tofacitinib (0.52, 95% CI 0.31-0.87, P=0.012) and thiopurine (0.69,
104 95% CI 0.51-0.95, P=0.021), but not with ustekinumab (0.64, 95% CI 0.39-1.06,
105 P=0.083), or vedolizumab (0.84, 95% CI 0.54-1.30, P=0.43). Previous SARS-CoV-2
106 infection (1.58, 95% CI 1.22-2.05, P=0.00056) and older age (0.88, 95% CI 0.80-0.97,
107 P=0.0073) were independently associated with higher and lower anti-S1 antibody
108 concentrations respectively. ~~A~~However, antigen specific T cell responses were similar
109 in ~~IBD patients in all treatment~~all groups ~~studied~~, except for recipients of tofacitinib
110 without evidence of previous infection, where T cell responses were significantly
111 reduced relative to healthy controls (p=0.021).

112

113 *Interpretation*

114 A third dose of COVID-19 vaccine induced a boost in antibody binding in
115 immunosuppressed patients with IBD, but these responses were reduced in patients
116 taking infliximab, infliximab/thiopurine combination and tofacitinib ~~therapy~~. Tofacitinib
117 was also associated with reduced T cell responses. These findings support continued
118 prioritisation of immunosuppressed groups for further booster dosing, particularly
119 those on anti-TNF and Janus Kinase (JAK) inhibitors ~~who have attenuation of both~~
120 ~~serological and cell-mediated vaccine-induced immunity~~.

121

122 *Funding*

123 Financial support was provided as a Research Grant by Pfizer Ltd.

124

125 **Keywords**

126 SARS-CoV-2, immune-mediated inflammatory diseases, inflammatory bowel disease,
127 thiopurine, azathioprine, anti-TNF therapy, infliximab, vedolizumab, ustekinumab,
128 tofacitinib, immunosuppressant, vaccine, ChAdOx1 nCoV-19, BNT162b2,
129 mRNA1273.

130

131 **Research in context**

132 *Evidence before this study*

133 We have already demonstrated diminished COVID-19 vaccine-induced antibody
134 responses in patients with IBD taking infliximab and tofacitinib, but not vedolizumab or
135 thiopurine monotherapy, following two vaccine doses. Multiple studies have shown
136 that anti-TNF treatment is associated with lower antibody responses, while CLARITY-
137 IBD found no difference in T cell responses between infliximab and vedolizumab
138 treated patients following a second vaccine dose. Breakthrough infection is more
139 common in IBD patients receiving infliximab compared to vedolizumab after two
140 vaccine doses. There are limited data on humoral and cell-mediated anti-SARS-CoV-
141 2 immunity in patients with IBD compared to non-immunosuppressed healthy controls
142 after three COVID-19 vaccine doses.

143

144 *Added value of this study*

145 This is the first study to evaluate humoral and cell-mediated immune responses
146 following three doses of COVID-19 vaccine, in patients receiving different
147 immunosuppressive treatments used in IBD. We show that, although all groups
148 achieved a significant boost in vaccine-induced anti-SARS-CoV-2 spike antibody
149 binding after a third dose, levels achieved were significantly reduced in those patients

150 treated with infliximab or tofacitinib. Tofacitinib recipients also had significantly
151 reduced T cell responses against Spike compared to healthy controls.

152

153 *Implications of all the available evidence*

154 These data show that a third dose of COVID-19 vaccine boosts S1-RBD antibody
155 binding irrespective of immunosuppressive treatment. However, anti-TNF, anti-TNF
156 and immunomodulator combination and tofacitinib recipients had reduced antibody
157 responses after three doses of COVID-19 vaccine compared to healthy controls.

158 Tofacitinib recipients also had diminished T cell responses. Future booster dosing in
159 IBD should be considered a priority in patients receiving anti-TNF treatment or
160 tofacitinib.

161

162

163

164 **Introduction**

165 The COVID-19 pandemic has accounted for over six million deaths as of July 2022.(1)
166 Vaccination has been the most effective means of reducing hospitalisations and
167 deaths.(2-4) Several vaccines have now been approved, including mRNA, adenovirus
168 vector and protein-based platforms.(5-8) However, as patients with immune mediated
169 inflammatory disorders such as IBD were excluded from vaccine trials, data on the
170 efficacy of vaccines in these groups is lacking. The VIP (SARS-CoV2 Vaccination
171 immunogenicity in Immunosuppressed inflammatory bowel disease Patients) study is
172 a prospective multicentre study seeking to determine whether COVID-19 vaccine
173 immunogenicity is altered in patients receiving the commonly prescribed
174 immunosuppressive treatments. Previously, we reported that patients with IBD taking
175 the anti-TNF treatment, infliximab or the JAK-inhibitor tofacitinib had significantly
176 reduced anti-SARS-CoV-2 spike antibody binding compared to healthy controls after
177 two doses of vaccine.(9) Other commonly used immunosuppressants, including
178 thiopurines, ustekinumab and vedolizumab, were not associated with a reduction in
179 antibody binding. Evidence is emerging that antibody levels decay more rapidly in anti-
180 TNF treated patients with IBD and that they are at greater risk of breakthrough infection
181 following two doses of vaccine.(10-12)

182

183 In some countries, including the United Kingdom, immunosuppressed patients have
184 been prioritised for third primary doses and booster doses of vaccine,(13) and in the
185 UK, uptake of third doses amongst immunosuppressed patients with IBD has been
186 reported at 79%.(14) There are limited data about immunity following third vaccine
187 doses in patients with IBD and interpretation is problematic due to a lack of healthy
188 control subjects or data about cell-mediated immunity.(15) We have shown that a two-

189 dose schedule of mRNA vaccine is associated with higher anti-SARS-CoV-2 spike
190 antibody binding than two doses of adenovirus vector vaccine in the
191 immunosuppressed IBD population.(9) Whilst in North America homologous mRNA
192 vaccine schedules have been used almost exclusively, in the United Kingdom and
193 worldwide, heterologous vaccination schedules (for example two doses of adenovirus
194 vaccine followed by one dose of mRNA vaccine) have been employed. Heterologous
195 boosting is effective in healthy individuals,(16) however, further research is needed in
196 immunosuppressed individuals. Finally, although antibody responses to SARS-CoV-2
197 vaccination in patients with IBD have been the subject of a growing body of
198 research,(17-21) there is a lack of data on the impact of immunosuppressive therapies
199 on T cell immunity post vaccination in this setting.(10, 22)

200

201 In the current study we investigated antibody and T cell-mediated immunity against
202 SARS-CoV-2 spike following three doses of vaccine in patients with IBD that are taking
203 commonly prescribed immunosuppressive treatments.

204

205

206

207

208

209

210 **Methods**

211 **Study design and participants**

212 VIP (SARS-CoV2 Vaccination immunogenicity in Immunosuppressed inflammatory
213 bowel disease Patients) is a UK multi-centre prospective observational study (ISCRTN
214 registration number: ISRCTN13495664) assessing the immunogenicity of SARS-CoV-
215 2 vaccination in patients with IBD treated with six different immunosuppressive
216 treatment regimens (thiopurine, infliximab monotherapy, infliximab and thiopurine
217 combination therapy, ustekinumab monotherapy, vedolizumab monotherapy or
218 tofacitinib monotherapy). Immunosuppressed IBD patients and non-
219 immunosuppressed healthy individuals were recruited from nine UK centres.(9)

220

221 The inclusion criteria for the healthy control group were no diagnosis of IBD and no
222 current treatment with systemic immunosuppressive therapy for any other indication.
223 Healthy controls were not excluded if they had other medical conditions. The healthy
224 control group was recruited from healthy volunteer databases and from staff working
225 at medical and university centres involved in the study. Inclusion criteria for the six
226 immunosuppressed IBD groups were an established diagnosis of Crohn's disease
227 (CD), ulcerative colitis (UC) or inflammatory bowel disease unclassified (IBD-U) using
228 standard definitions of IBD, and established treatment with one of six
229 immunosuppressive regimens (thiopurine, infliximab monotherapy, infliximab and
230 thiopurine combination therapy, ustekinumab monotherapy, vedolizumab
231 monotherapy or tofacitinib monotherapy) for at least 12 weeks at the time of first dose
232 of SARS-CoV-2 vaccination. Exclusion criteria were treatment with any other
233 immunosuppressive treatments or treatment combinations including methotrexate,
234 adalimumab and cyclosporin. Current treatment with systemic corticosteroids was not

235 an exclusion criterion. The full study protocol can be viewed online
236 (<https://www.vipstudy.uk/>). In brief, to be eligible, participants had received three
237 doses of an approved COVID-19 vaccine. Participants either received a homologous
238 vaccination schedule (three doses of an mRNA vaccine) or a heterologous vaccine
239 schedule (two doses of adenovirus vector vaccine followed by a dose of an mRNA
240 vaccine). Anti-SARS-CoV-2 spike (S1-RBD) Ab concentrations were measured using
241 the Elecsys anti-SARS-CoV-2 spike (S) Ab assay, 53-92 days after second vaccine
242 dose and 28-49 days after the third vaccine dose. T cells were measured 28-49 days
243 after the third vaccine dose.

244

245 Procedures

246 *SARS-CoV2 Serology:*

247 Laboratory analysis was performed at the Academic Department of Blood Sciences at
248 the Royal Devon and Exeter NHS Foundation Trust. To determine vaccine specific
249 antibody responses the Roche Elecsys Anti-SARS-CoV-2 spike (S)
250 electrochemiluminescence immunoassay was used.(23) This double sandwich
251 electrochemiluminescence immunoassay uses a recombinant protein of the receptor
252 binding domain (RBD) on the spike protein as an antigen for the determination of
253 antibodies against SARS-CoV-2. Sample electrochemiluminescence signals are
254 compared with internal calibration curves and quantitative values are reported as units
255 (U)/mL. In-house validation experiments have been described previously.(17) An
256 additional dilution step was added for samples with antibody concentrations above the
257 analytical range of the assay following the third vaccine dose.

258

259 At entry to the VIP study (at 53-92 days after the second vaccine dose) and at 28-49
260 days after the third vaccine dose, all participants were tested for possible previous
261 SARS-CoV-2 infection using the Roche Elecsys anti-SARS-CoV-2 (N) immunoassay.
262 A concentration of greater than or equal to 0.12 U/ml was defined as a threshold below
263 which participants were deemed to have no evidence of prior infection. Participants
264 who reported a history of a previous positive PCR test confirming SARS-CoV-2
265 infection at any time were recorded as previously SARS-CoV-2 infected.

266

267 *Peripheral blood mononuclear cell isolation*

268 Whole blood was collected in lithium heparin tubes and PBMCs were isolated by
269 density-gradient centrifugation using Lymphoprep™ (Stem Cell Technologies)
270 layered onto SepMate™ (Stem Cell Technologies) tubes. PBMC isolation was
271 performed within 12 h of venepuncture. Purified PBMCs were cryopreserved in 10%
272 DMSO/50% FBS and stored in liquid nitrogen pending batch analysis.

273

274 *Spike-peptide specific T cell responses*

275 IFN- γ T cell ELISpot assays were performed using pre-coated plates (Mabtech 3420-
276 2APT) and using the protocol described previously.(10, 24, 25) Two-hundred thousand
277 cells were seeded per well and cells were stimulated with a peptide pool, containing
278 18 peptides derived from SARS-CoV-2 spike protein(26) at a concentration of
279 10 μ g/ml/peptide; the peptide pool utilises a mapped epitope pool (MEP) of 12–20mer
280 peptides, mapped as eliciting high-prevalence CD4 responses covering diverse HLA-
281 II haplotypes.(24, 25) Use of this spike MEP pool in otherwise healthy SARS-CoV-2
282 seropositive individuals elicits a T cell response in 83% of individuals at 16–18 weeks
283 after natural SARS-CoV-2 infection and 91% of healthy individuals 2–3 weeks after

284 two-dose vaccination with seronegative individuals showing a level of response
285 indistinguishable from pre-pandemic controls.(24, 25) Plates were cultured for 18–20 h
286 before development and data were collected using an AID classic ELISpot plate reader
287 (Autoimmun Diagnostika GMBH). In 53 cases (15%) T cell responses could not be
288 reported, either due to insufficient blood draw, insufficient cell number harvest during
289 PBMC extraction or technical failure of the assay. Results are expressed as
290 differences in (delta) spot forming cells (SFC) per 10⁶ PBMC between peptide
291 stimulation and a media-only control. A response falling below 2 standard deviations
292 above the media-only control wells was deemed to be a null response. Data were
293 excluded if the response to the positive control anti-CD3 stimulation was <200 SFC
294 per 10⁶ PBMCs.

295

296 Outcome Measures:Outcomes

297 The primary outcome was anti-SARS-CoV-2 spike (S1 RBD) Ab level, measured using
298 the Elecsys anti-SARS-CoV-2 spike (S) Ab assay, 28-49 days after third vaccine dose,
299 adjusted by age, homologous versus heterologous vaccine schedule and history of
300 prior infection.

301

302 Secondary outcomes were the relative increment in anti-SARS-CoV-2 spike (S1 RBD)
303 Ab concentrations following a third vaccine dose in each study group, and spike-
304 peptide specific T cell responses in each group following the third vaccine dose.

305

306 Variables:

307 Variables recorded by participants were demographics (age, sex, ethnicity,
308 comorbidities, height and weight, smoking status, and postcode), IBD disease activity

309 (defined by patient reported outcomes [PRO2]),(27, 28) SARS-CoV-2 symptoms
310 aligned to the COVID-19 symptoms study (symptoms, previous testing and hospital
311 admissions for COVID-19) and vaccine uptake (type and date of primary vaccination).
312 Data were entered electronically into a purpose-designed REDCap database hosted
313 at the Royal Devon and Exeter NHS Foundation Trust.(29) An additional post-third
314 dose questionnaire was administered to capture third dose vaccination type, positive
315 COVID-19 tests between second and third dose, and changes in IBD treatment.
316 Participants without access to the internet or electronic device completed their
317 questionnaires on paper case record forms that were subsequently entered by local
318 research teams.

319

320 ~~Ethical consideration and role of funders~~

321 ~~VIP is an investigator led, UK National Institute for Health Research COVID-19 study.~~
322 ~~Financial support was provided as a Research Grant by Pfizer Ltd.. Pfizer Ltd. had no~~
323 ~~role in study design, data collection or analysis, writing, or decision to submit for~~
324 ~~publication. Participants were included after providing informed, written consent. The~~
325 ~~sponsor was Imperial College London. The protocol is available online at~~
326 ~~<https://www.vipstudy.uk>. The study was registered with the ISRCTN registry.~~

327

328 Statistical analysis:

329 Sample size calculations for the VIP study have been reported previously.(9) Full
330 details can be found in the statistical analysis plan: (<https://www.vipstudy.uk/info>).
331 Statistical analyses were undertaken in R V.4.0.4 (R Foundation for Statistical
332 Computing, Vienna, Austria). All tests were two-tailed and values of $p < 0.05$ were
333 considered significant. We included patients with missing clinical data in analyses for

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334 which they had data and have specified the denominator for each variable. Missing
335 clinical data affected four patients (1.1%) included in the analysis of the primary
336 outcome, and these patients were therefore excluded from the multivariable model.

337 No imputation of missing data was performed. Anti-S antibody concentrations are
338 reported as geometric means and SD (Geometric $SD[x] = e^{SD[\log x]}$). Other continuous
339 data are reported as median and IQR, and discrete data as numbers and percentages,
340 unless otherwise stated. Figures were created in R V.4.0.4 and Graphpad Prism 9.0.0.

341

342 For the primary outcome analysis, linear regression models of log-transformed anti-
343 SARS-CoV-2 (S) antibody concentration, adjusted for age, vaccine schedule and
344 history of prior infection (adjustments made owing to the substantial effect of these
345 variables on humoral responses to SARS-CoV-2 vaccination), were used to identify
346 IBD treatment regimens associated with the concentration of anti-SARS-CoV-2 (S)
347 antibodies. To test our primary outcome, we used multivariable linear regression
348 models to assess the association between immunosuppressive therapies in IBD and
349 COVID-19 vaccine-induced antibody responses, adjusted for confounders. Based on
350 data from CLARITY-IBD, *a priori*, we included IBD medication, vaccine type (mRNA
351 or Adenovirus), age, IBD subtype, ethnicity and smoking status.(17) Age was treated
352 as a continuous variable in the analysis (after checking the linearity of age as a variable
353 using simple linear regression and Runs test) and its coefficient is expressed per
354 decade. Results are presented after exponentiation, so that the exponentiated
355 coefficients of the model correspond to the geometric mean ratio (GMR) estimates per
356 one unit increase associated with each binary covariate. Our analysis for the
357 multivariable linear regression model assumed that the anti-S1 antibody data would
358 be log normally distributed. Model diagnostics were performed to test this assumption.

359 We subsequently performed a sensitivity analysis using a one-parameter Box-Cox
360 transformation⁽³⁰⁾ with lambda = 0.2 (based on optimising the log-likelihood of the
361 model) to ensure that data skew did not significantly impact our results. In addition,

362 ~~To~~ account for the within patient multiple measurements of anti-SARS-CoV-2 spike
363 (S1 RBD) Ab level (at visit 1 and visit 2), a linear mixed effects model was also
364 performed including data from visit 1 and visit 2. The linear mixed effects model was
365 fitted using the lmer package⁽³¹⁾ with log(antibody concentration) as the outcome
366 variable, the participant as a random variable for the intercept and fixed variables as
367 specified in the results table. The error distribution was assumed to be normal, and
368 this assumption was checked by visual inspection of a QQ plot of the residuals.

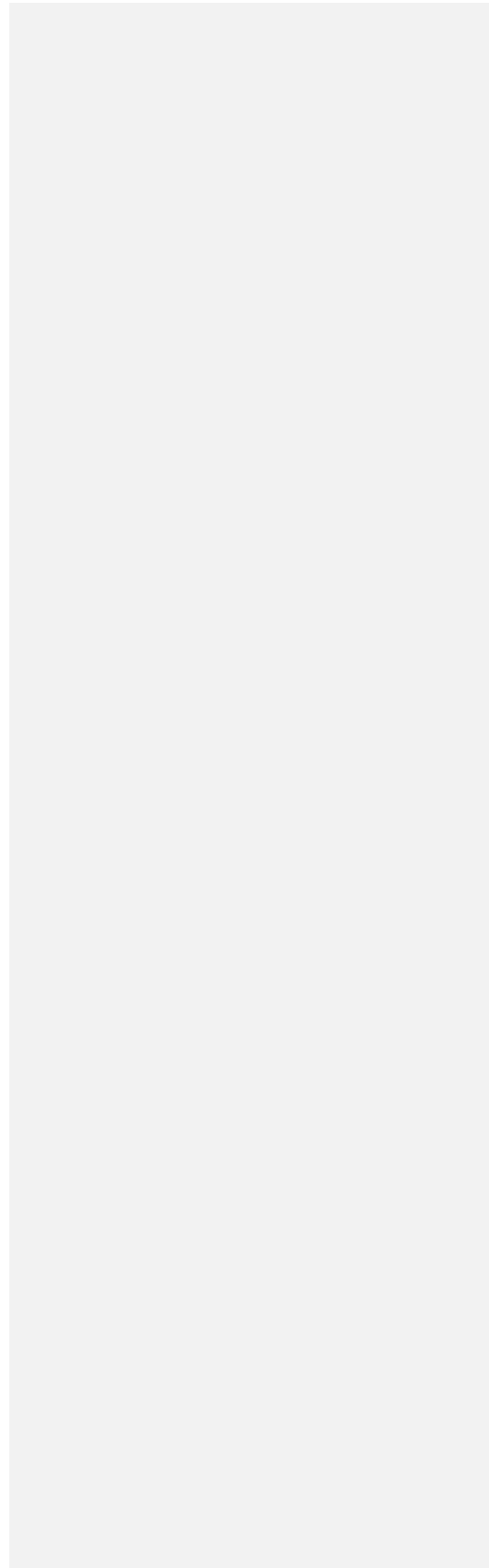
369 Wilcoxon matched-pairs signed-rank tests were used for comparison of post second
370 and post third dose anti-S antibody concentrations stratified by treatment group.

371
372 Kruskal-Wallis tests, with Dunn's correction for multiple testing, were used to compare
373 the magnitude of T cell responses (SFC/10⁶ PBMCs) stratified by immunosuppressive
374 therapy and history of prior infection. Spearman's rank correlation coefficient was
375 calculated to determine the correlation between antibody and T cell responses.

376
377 ~~Ethical consideration and role~~ Role of the funding sources
378 VIP is an investigator-led, UK National Institute for Health Research COVID-19 study.
379 Financial support was provided as a Research Grant by Pfizer Ltd.. Pfizer Ltd. had no
380 role in study design, data collection or analysis, writing, or decision to submit for
381 publication. Participants were included after providing informed, written consent. The
382 sponsor was Imperial College London. The protocol is available online at
383 <https://www.vipstudy.uk>. The study was registered with the ISRCTN registry.

384

385



386 **Results**

387 *Participant characteristics*

388 Between 18th October 2021 and 29th March 2022, 352 participants were sampled
389 following a third dose of SARS-CoV-2 vaccine including: thiopurine (n=65),
390 infliximab (n=46), thiopurine/infliximab combination therapy (n=49), ustekinumab
391 (n=44), vedolizumab (n=50) or tofacitinib (n=26). There were 125 participants (35.5%)
392 with evidence of prior SARS-CoV-2 infection. Participant characteristics are shown in
393 Table 1.

394

395 *SARS-CoV-2 (S) antibody binding following three doses of COVID-19 vaccine*

396 We first compared post second dose and post third dose anti-SARS-CoV-2 (S)
397 antibody concentrations in individuals stratified by immunosuppressive therapy (figure
398 1). Geometric mean [geometric SD] anti-S1 RBD antibody binding levels were
399 significantly higher in healthy controls and all treatment groups following a third dose
400 of vaccine (all $p < 0.0001$).

401

402 Geometric mean [geometric SD] anti-S1 RBD antibody binding (figure 2A and B) were
403 lower in patients treated with infliximab (2736.8 U/mL [4.3]; $P < 0.0001$), infliximab and
404 thiopurine combination (1818.3 U/mL [6.7]; $P < 0.0001$) and tofacitinib (8071.5 U/mL
405 [3.1]; $P = 0.0018$) compared to controls (16774.2 U/ml [2.6]). No significant differences
406 in anti-S1 RBD antibody binding were found between controls and thiopurine
407 monotherapy-treated patients (~~12019.7 U/mL [2.2]; $P = 0.099$~~), nor between controls
408 and vedolizumab treated patients (13564.9 U/mL [2.4]; $P = 0.27$). In ustekinumab-
409 treated patients (11089.3 U/mL [2.8]; $P = 0.060$) and thiopurine monotherapy-treated
410 patients (12019.7 U/mL [2.2]; $P = 0.099$), modest reductions in anti-S1 RBD antibody

411 ~~binding were observed relative to controls, which did not reach statistical~~
412 ~~significance~~ ~~ustekinumab treated patients (11089.3 U/mL [2.8]; P=0.060), nor between~~
413 ~~controls and vedolizumab treated patients (13564.9 U/mL [2.4]; P=0.27). One patient~~
414 ~~treated with infliximab and thiopurine combination therapy failed to mount a detectable~~
415 ~~antibody level.~~ Anti-S1 RBD antibody binding for each vaccine schedule type (three
416 doses mRNA (homologous) and two doses Adenovirus vector and one dose mRNA
417 (heterologous)) stratified by study group are shown in supplementary figures 1 and 2.

418
419 In multivariable modelling (figure 3), lower anti-S1 RBD antibody concentrations were
420 independently associated with infliximab (Geometric mean ratio 0.15, 95% CI 0.11-
421 0.21, P<0.0001) ~~and~~, tofacitinib (GMR 0.52, 95% CI 0.31-0.87, P=0.012), ~~but not with~~
422 ~~vedolizumab (GMR 0.84, 95% CI 0.54-1.30, P=0.43).~~ ~~and~~ The model also suggests
423 ~~that~~ thiopurine (GMR 0.69, 95% CI 0.51-0.95, P=0.021) ~~and, but not with~~ ustekinumab
424 (GMR 0.64, 95% CI 0.39-1.06, P=0.083) ~~may be associated with modest reductions~~
425 ~~in anti-S1 antibody concentration, with compatible confidence intervals and p values~~
426 ~~near to 0.05., or vedolizumab (GMR 0.84, 95% CI 0.54-1.30, P=0.43).~~ Prior infection
427 (GMR 1.58, 95% CI 1.22-2.05, P=0.00056) and older age (GMR 0.88, 95% CI 0.80-
428 0.97, P=0.0073) were independently associated with higher and lower anti-S1
429 antibody concentrations respectively. Homologous vaccination schedule, IBD
430 subtype, ethnicity and smoking status were not associated with S1 RBD antibody
431 binding. A linear mixed effects model, additionally adjusting for within patient multiple
432 measurements showed no significant impact on the reported associations
433 (supplementary table 1). After performing diagnostics to test statistical assumptions
434 underlying the multivariable model (supplementary figure 3 ~~and 4~~), ~~we further ensured~~
435 ~~that data skew did not impact our results by performing a sensitivity analysis using a~~

436 one-parameter Box-Cox transformation (supplementary figure 54) with lambda = 0.20
437 (based on optimising the log-likelihood of the model), ~~which showed demonstrated~~ no
438 significant impact on the treatment variables in the multivariable linear regression
439 model (supplementary figure 65).

440

441 *T cell immunity against spike following three doses of COVID-19 vaccine*

442 In participants without evidence of prior infection, the magnitude of anti-spike T cell
443 responses was lower in tofacitinib-treated patients compared to healthy controls
444 (figure 4A; p=0.021). No significant differences in the magnitude of anti-spike T cell
445 responses were observed in infection-naïve recipients of thiopurine, infliximab,
446 thiopurine and infliximab combination therapy, ustekinumab or vedolizumab,
447 compared to healthy controls. In individuals with laboratory confirmed evidence of
448 previous SARS-CoV-2 infection, there were no differences observed in the magnitude
449 of anti-spike T cell responses between the groups (figure 4A). In individuals with
450 evidence of previous infection, T cell responses against N peptide pool were
451 significantly reduced in ustekinumab treated patients (p=0.0018; figure 4B). There
452 were no significant differences observed in the magnitude of T cell responses against
453 N peptide pool between the other treatment groups and healthy controls (figure 4B).
454 Ordering anti-spike T cell responses by the cumulative magnitude of anti-S RBD
455 binding following three doses of COVID-19 vaccine showed discordant T cell and
456 antibody responses in all treatment groups (figure 4C).

457 **Discussion**

458 This study provides important new information on the impact of different commonly
459 used immunosuppressive drugs on T cell and antibody responses after three doses of
460 COVID-19 vaccine. The first key finding is that patients with IBD on each of the six
461 treatment regimens studied gain a significant boost in antibody binding levels from a
462 third dose, supporting the decision taken in many countries to roll-out third-primary
463 doses of vaccine to these groups. However, patients treated with infliximab or
464 tofacitinib had reduced anti-S1 RBD antibody binding after three doses of vaccine in
465 comparison with healthy control subjects. Patients with IBD on thiopurine
466 monotherapy, ustekinumab or vedolizumab showed no significant reduction in
467 antibody binding compared to control participants. These findings mirror differences
468 seen in the previously reported VIP study following two doses of vaccine. (9) ~~(30)~~

470 The size of reduction in antibody binding was greatest in infliximab treated patients
471 with a ~~6-fold reduction~~ 84% reduction in antibody binding when compared to control
472 participants. These findings are compatible with post-third dose results from CLARITY-
473 IBD, PREVENT-COVID and HERCULES.(32-34) but contrast with a recent Canadian
474 study in which anti-TNF therapy was not associated with a significant reduction in anti-
475 S antibody titre following three doses of vaccine. (15) Notably, although notably the
476 Canadian ~~that~~ study used 16 non-immunosuppressed patients with a diagnosis of IBD
477 rather than healthy controls as a reference group. (15) Despite the relative reduction in
478 antibody binding seen in anti-TNF-treated patients, our results still compare favourably
479 with those seen in some other immunosuppressed groups such as solid organ
480 transplant recipients, a sizeable minority of whom fail to mount any detectable
481 response to a third dose.(35) Reassuringly for infliximab recipients, our results also

482 show that T cell responses following three doses of vaccine are not reduced relative
483 to healthy controls. These data are in line with observations from CLARITY-IBD, where
484 T cell responses were not significantly different between infliximab and vedolizumab
485 treated patients following two doses of vaccine.⁽¹⁰⁾ but we have not recapitulated the
486 findings of the CORALE study, which showed augmentation of T cell response in anti-
487 TNF recipients.⁽³⁶⁾ In the current study we observed that patients treated with
488 thiopurine, infliximab, thiopurine and infliximab combination therapy, ustekinumab or
489 vedolizumab did not differ significantly from healthy controls. However, tofacitinib
490 treatment was associated with reduced T cell immunity against spike, indicating that
491 this treatment impairs humoral and cell-mediated response to COVID-19 vaccination,
492 which may mark them out as particularly vulnerable during future waves of SARS-
493 CoV-2 infection. In the Omicron era, with post-vaccination breakthrough infection and
494 re-infection increasingly common in immunosuppressed and non-immunosuppressed
495 groups, translating studies of vaccine immunogenicity into practice will continue to
496 challenge clinicians and policy makers. Studies are urgently needed to assess the
497 relative immunogenicity of vaccines against emerging variants of concern in
498 immunosuppressed patients with IBD, and to determine how immunogenicity
499 corresponds to risk of severe disease and death.

500
501 Although our study has strengths including a large well-balanced cohort and both
502 humoral and cell-mediated readouts of vaccine response, we acknowledge limitations.
503 Firstly, the number of participants in the tofacitinib group is relatively small, and we
504 should interpret findings in this group with caution. Modest reductions in SARS-CoV-
505 2 antibody binding observed in the thiopurine and ustekinumab groups did not reach
506 statistical significance. Based on these results, although we cannot be certain that

507 thiopurines and ustekinumab are not associated with a reduction in serological
508 response, any differences from the healthy population are unlikely to be clinically
509 important. In multivariable modelling we have accounted for important confounding
510 factors associated with humoral responses to vaccination in other studies (including
511 age, vaccine type, IBD subtype, smoking status, ethnicity, prior infection and
512 heterologous vaccination schedules). However, ~~but~~ confounders were not selected
513 using a causal directed acyclic graph and we cannot exclude the possibility that our
514 results are affected by measurement bias or residual confounding due to
515 measurement error in the outcome variable and other measured or unmeasured
516 confounders. ~~other unmeasured confounding factors.~~ IBD disease activity was
517 assessed clinically using PRO2 and did not differ significantly between treatment
518 groups, but we do not have information on biochemical or endoscopic activity.
519 Previous SARS-CoV-2 infection was treated as a binary variable, but it is possible that
520 infection with SARS-CoV-2 Variants of Concern during different waves of the
521 pandemic differentially shape immunity.(37, 38)

522
523 In conclusion, we have shown that three doses of COVID-19 vaccine provided a
524 significant boost in vaccine induced antibody binding in patients taking several
525 immunosuppressive treatments commonly used in IBD, but that patients treated with
526 infliximab or tofacitinib showed reduced antibody binding relative to healthy controls.
527 Patients on tofacitinib additionally showed reduced vaccine induced T cell immunity
528 against ancestral spike, raising the question of whether this group is particularly
529 vulnerable to infection by SARS-CoV-2. Notably, vaccine induced immunity after three
530 doses of vaccine was greater in subjects who had previously been infected with SARS-
531 CoV2, consistent with the notion that further antigen exposure could “rescue”

532 suboptimal responses.(25) It is possible that additional doses of vaccine recover
533 immunity in those patients taking immunosuppressive treatments linked to suboptimal
534 vaccine immunogenicity, such as infliximab or tofacitinib treated patients.

535

536

537

538 **Data availability statement**

539 The study protocol including the statistical analysis plan is available at
540 www.vipstudy.uk. Individual participant de-identified data that underlie the results
541 reported in this article will be available immediately after publication for a period of 5
542 years. The data will be made available to investigators whose proposed use of the
543 data has been approved by an independent review committee. Analyses will be
544 restricted to the aims in the approved proposal. Proposals should be directed to
545 nicholas.powell@ic.ac.uk. To gain access to data requestors will need to sign a data
546 access agreement.

547

548 **Ethics statements**

549 **Patient consent for publication**

550 Not required.

551

552 **Ethics approval**

553 The Wales Research Ethics Committee 5 approved the study (REC reference:
554 21/WA/0105) in March 2021.

555

556

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576

577 **Author Contributions**

578 JLA, NAK, CB, JRG, CWL, RB, DA, TA and NP participated in the conception and
579 design of this study. CB was the project manager and coordinated patient recruitment.
580 RN coordinated serological analyses. T cell studies were performed, analysed and
581 interpreted by DMS, CR, RB and DA. JLA, ZL, DMS, CR, NAK, HI, SA, AS, RCS, CB,
582 ADM, GRJ, LC, FF, SS, PMI, LH, HRTW, AK, MP, KK, KP, JPT, DA, RB, AH, CWL,
583 JRG, TA and NP were involved in the acquisition, analysis, or interpretation of data.
584 Drafting of the manuscript was done by JLA, ZL, NAK and NP. JLA, CL, RB, TA and
585 NP obtained funding for the study. All the authors contributed to the critical review and
586 final approval of the manuscript. JLA, NAK, NP and TA have verified the underlying
587 data. All authors were responsible for the decision to submit the manuscript.

588

589 **Competing Interests**

590 Dr Alexander reports sponsorship from Vifor Pharma for accommodation/travel to
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649 The following authors nothing to declare: Dr Ibraheim, Dr Castro Seoane, Claire
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Prior infection	Neither	66.2% (43/65)	59.2% (29/49)	65.2% (30/46)	70.5% (31/44)	70.0% (35/50)	58.7% (15/26)	61.4% (44/72)	0.59
	Swab	5.6% (3/65)	2.0% (1/49)	9.7% (4/46)	2.3% (1/44)	2.0% (1/50)	0.0% (0/26)	0.0% (0/72)	
	Serology	15.4% (10/65)	31.0% (15/49)	20.9% (9/46)	18.2% (8/44)	20.0% (10/50)	27.6% (7/26)	25.0% (18/72)	
	Both	14.8% (9/65)	8.2% (4/49)	7.6% (3/46)	9.1% (4/44)	8.0% (4/50)	15.4% (4/26)	14.9% (10/72)	
Age (years)		44.1 (34.6 - 54.5)	39.2 (31.1 - 52.1)	47.5 (36.1 - 56.4)	43.6 (33.1 - 56.4)	44.6 (37.0 - 59.2)	48.0 (37.9 - 54.8)	36.5 (29.0 - 50.6)	0.029
Gender	Female	55.4% (36/65)	49.0% (24/49)	47.8% (22/46)	52.3% (23/44)	33.2% (15/46)	31.0% (8/26)	65.3% (47/72)	0.0085
	Male	45.4% (29/65)	51.0% (25/49)	52.2% (24/46)	47.7% (21/44)	67.4% (31/46)	69.2% (18/26)	35.4% (25/72)	
	Other	0.0% (0/65)	0.0% (0/49)	0.0% (0/46)	0.0% (0/44)	0.0% (0/46)	0.0% (0/26)	0.0% (0/72)	
	Prefer not to say	0.0% (0/65)	0.0% (0/49)	0.0% (0/46)	0.0% (0/44)	0.0% (0/46)	0.0% (0/26)	0.0% (0/72)	
Non white		18.5% (12/65)	20.4% (10/49)	17.4% (8/46)	11.4% (5/44)	24.9% (11/46)	15.4% (4/26)	16.7% (12/72)	0.84
Ethnicity	White	82.5% (53/65)	80.6% (39/49)	83.6% (38/46)	89.6% (39/44)	76.4% (35/46)	85.6% (22/26)	83.3% (60/72)	0.91
	Asian	10.8% (7/65)	14.3% (7/49)	9.7% (4/46)	9.1% (4/44)	15.2% (7/46)	8.7% (2/26)	11.1% (8/72)	

	Mixed	0.0% (0/65)	4.4% (2/49)	4.3% (2/46)	2.3% (1/44)	4.3% (2/46)	3.8% (1/26)	4.2% (3/72)	
	Black	3.4% (2/65)	2.0% (1/49)	0.0% (0/46)	0.0% (0/44)	2.2% (1/46)	0.0% (0/26)	0.0% (0/72)	
	Other	54.6% (3/65)	0.0% (0/49)	4.3% (2/46)	0.0% (0/44)	2.2% (1/46)	43.8% (1/26)	1.4% (1/72)	
Diagnosis	Crohn's disease	43.1% (28/65)	61.2% (30/49)	67.4% (31/46)	98.7% (43/44)	44.0% (22/50)	87.7% (2/26)	NaN% (0/0)	0.00050
	Ulcerative colitis	55.4% (36/65)	33.7% (16/49)	28.3% (13/46)	2.3% (1/44)	54.0% (27/50)	92.3% (24/26)	NaN% (0/0)	
	IBD-unclassified	21.5% (1/65)	6.4% (3/49)	4.3% (2/46)	0.0% (0/44)	2.0% (1/50)	0.0% (0/26)	NaN% (0/0)	
BMI		24.2 (21.8 - 27.4)	25.1 (22.4 - 26.9)	25.2 (23.3 - 28.5)	25.7 (22.8 - 29.8)	25.0 (23.1 - 28.4)	25.3 (23.0 - 28.6)	23.4 (21.7 - 25.7)	0.067
Heart disease		21.5% (1/65)	0.0% (0/49)	2.2% (1/46)	0.0% (0/44)	76.5% (3/46)	0.0% (0/26)	0.0% (0/72)	0.089
Diabetes		6.2% (4/65)	0.0% (0/49)	76.5% (3/46)	76.8% (3/44)	76.5% (3/46)	0.0% (0/26)	1.4% (1/72)	0.22
Lung disease		110.8% (7/65)	14.3% (7/49)	15.2% (7/46)	9.1% (4/44)	76.5% (3/46)	121.5% (3/26)	88.5% (6/71)	0.81
Kidney disease		21.5% (1/65)	0.0% (0/49)	4.3% (2/46)	2.3% (1/44)	2.2% (1/46)	0.0% (0/26)	0.0% (0/72)	0.44
Cancer		21.5% (1/65)	0.0% (0/49)	2.2% (1/46)	0.0% (0/44)	2.2% (1/46)	0.0% (0/26)	0.0% (0/72)	0.65

Smoker	Yes	21.5% (1/65)	4.4% (2/49)	4.3% (2/46)	76.8% (3/44)	110.9% (5/46)	87.7% (2/26)	32.8% (2/72)	0.25
	Not currently	35.4% (23/65)	33.7% (16/49)	28.3% (13/46)	34.4% (15/44)	33.6% (15/46)	50.0% (13/26)	24.6% (17/72)	
	Never	63.1% (41/65)	63.3% (31/49)	67.4% (31/46)	59.4% (26/44)	57.5% (26/46)	42.3% (11/26)	74.6% (53/72)	
Vaccine (doses 1 & 2)	Pfizer vaccine	38.5% (25/65)	33.7% (16/49)	57.5% (26/46)	34.4% (15/44)	37.0% (17/46)	27.9% (7/26)	49.6% (35/72)	0.023
	Oxford AstraZeneca vaccine	62.5% (40/65)	67.3% (33/49)	43.5% (20/46)	66.9% (29/44)	63.0% (29/46)	69.2% (18/26)	46.8% (33/72)	
	Moderna vaccine	0.0% (0/65)	0.0% (0/49)	0.0% (0/46)	0.0% (0/44)	0.0% (0/46)	4.8% (1/26)	5.6% (4/72)	
Prednisolone	3.4% (2/64)	6.4% (3/49)	98.7% (4/46)	4.5% (2/44)	98.7% (4/46)	15.4% (4/26)	NaN% (0/0)	0.41	
Any prednisolone	3.4% (2/64)	6.4% (3/49)	98.7% (4/46)	4.5% (2/44)	98.7% (4/46)	15.4% (4/26)	NaN% (0/0)	0.38	
<u>Immunosuppressive therapy stopped or switched at time of third dose</u>	<u>21.5%</u> <u>1/65</u>	<u>10.2%</u> <u>5/49</u>	<u>76.5%</u> <u>3/46</u>	<u>4.5%</u> <u>2/44</u>	<u>4.0%</u> <u>2/50</u>	<u>43.8%</u> <u>1/26</u>	<u>NaN%</u> <u>(0/0)</u>	<u>0.44</u>	
Active disease (PRO2)	9.2% (6/65)	4.3% (2/47)	2.2% (1/46)	87.5% (3/40)	198.6% (8/43)	8.0% (2/25)	NaN% (0/0)	0.11	
Days since third dose of vaccine	39.0 (33.0 - 44.0)	39.0 (36.0 - 44.5)	40.0 (35.0 - 46.0)	39.0 (33.5 - 44.5)	40.0 (34.7 - 43.8)	35.5 (32.0 - 40.5)	39.0 (34.0 - 44.5)	0.49	

787 Data are median (IQR) or n/N (%), unless otherwise specified. Previous infection
788 was defined by a concentration of anti-SARS-CoV-2 nucleocapsid antibodies of 0.12
789 U/mL or more or a self-reported previous PCR test confirming SARS-CoV-2

790 infection. P values were obtained using Fisher's exact tests for categorical variables
791 and Kruskal Wallis tests for continuous variables.
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794

795 **Figures Legends**

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798 Figure 1: Ladder plots showing anti-SARS-CoV-2 spike S1-RBD binding
799 antibody after two doses (left) and three doses (right) of COVID-19 vaccine, stratified
800 by study treatment group. Statistical analysis was performed with Wilcoxon signed-
801 rank test (**** denotes $p < 0.0001$).

802

803 Figure 2A: SARS-CoV-2 spike S1-RBD antibody binding 28-49 days after third dose
804 of vaccine, stratified by study treatment group and previous infection. The wider bar
805 represents the geometric mean, while the narrower bars are drawn one geometric
806 SD either side of the geometric mean. 2B: Multivariable models showing coefficients
807 of linear regression models of log(anti-SARS-CoV-2 spike antibody concentration)
808 stratified by study treatment group.

809

810 Figure 3: Multivariable model showing exponentiated coefficients of linear regression
811 models of log(anti-SARS-CoV-2 spike S1-RBD antibody binding). The values shown
812 represent geometric mean ratio of S1-RBD binding associated with each variable. Age
813 was treated as a continuous variable in the analysis and its coefficient is expressed
814 per decade.

815

816 Figure 4. T cell immunity against SARS-CoV-2 spike and nucleocapsid in triple
817 COVID-19 vaccinated IBD patients and healthy controls. T cell responses against
818 SARS-CoV-2 spike mapped epitope pool (MEP) (A) and nucleocapsid (MEP) (B) in
819 triple COVID-19 vaccinated healthy control donors (blue, $n = 29$ and 36) and IBD
820 patients taking the immunomodulatory drugs thiopurine (red, $n = 41$ and 15),
821 infliximab (green, $n = 30$ and 9), thiopurine and infliximab (purple, $n = 34$ and 8),
822 ustekinumab (orange, $n = 25$ and 10), vedolizumab (pink, $n = 31$ and 12) or
823 tofacitinib (brown, $n = 12$ and 7). Study donors were either SARS-CoV-2 infection
824 naïve (closed symbols) or had been previously infected by SARS-CoV-2 (open
825 symbols). T cell responses were measured by IFN- γ ELISpot. Previously infected
826 donors were assayed for nucleocapsid T cell responses. The number of study
827 participants in each group with a positive T cell response to the peptide pools is
828 shown. Individual donor T cell responses to the spike MEP and matched data for
829 serum S1 RBD binding antibodies (C) are plotted by ascending antibody binding titer
830 for SARS-CoV-2 infection naïve healthy control donors (blue, $n = 28$ and 26) and
831 SARS-CoV-2 infection naïve IBD patients taking thiopurine (red, $n = 41$ and 40),
832 infliximab (green, $n = 29$), thiopurine and infliximab (purple, $n = 33$), ustekinumab
833 (orange, $n = 25$), vedolizumab (pink, $n = 31$ and 30) or tofacitinib (brown, $n = 12$).
834 (A, B) Statistical significance was determined using a Kruskal Wallis multiple
835 comparison test with Dunn's correction. PBMC, peripheral blood mononuclear cells;
836 RBD, receptor binding domain; SFC, spot forming cells.

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Manuscript reference number: thelancetgastrohep-D-21-01206R1

Title: COVID-19 vaccine-induced antibody and T cell responses in immunosuppressed patients with inflammatory bowel disease after the third vaccine dose

The authors would like to thank the Reviewers for their comments on our manuscript. We have now addressed all the points raised and include our response to each of the specific comments below:

REVIEWERS' COMMENTS:

Reviewer #1: This study assesses COVID-19 vaccine-induced antibody and T cell responses in immunosuppressed patients with inflammatory bowel disease after the third vaccine dose. There are several major and minor concerns about the statistics and methodology of the paper.

Major

Methods

1) Lines 328-329: Please mention the range for missing data proportions.

Four participants (1.1%) had missing clinical data relevant to the primary outcome analysis, such that they could not be included in the multivariable model. This information has been added to the Methods.

2) Line 334: The assumptions underlying linear regression model including Normality and homogeneity of variance of residuals as well as linearity for quantitative predictors should be assessed.

Response: We thank the reviewer for requesting these assessments. Our analysis for the multivariable linear regression model assumed that the anti-S1 antibody data would be log normally distributed. In fact, model diagnostics (supplementary figure 4) demonstrate that the data do not quite fit a log normal distribution. We have therefore performed a sensitivity analysis using a one-parameter Box Cox transformation with $\lambda = 0.20$ (based on optimising the log-likelihood of the model). We have included the subsequent diagnostics plot (supplementary figure 5) and the results of this model as supplementary figure 6. The impact on the outcomes of the multivariable linear model was minimal compared to the original model results. Variables that were associated with significant GMR change in anti-S antibody concentration in the original analysis (thiopurine, infliximab, tofacitinib, prior infection, age) remain significant, and variables which were non-significant remain non-significant, with the exception of non-white ethnicity, which changes from marginally non-significant ($p=0.099$) to marginally significant ($p=0.016$).

We include the non-transformed model to allow easier interpretation of the coefficients.

3) Lines 334-343: A minimally sufficient set of confounders should have been selected using a causal directed acyclic graph.

Response: The identification of confounders was based on data from the CLARITY-IBD study (DOI: 10.1136/gutjnl-2021-324789), which showed that IBD medication, vaccine type, age, IBD subtype, ethnicity and smoking status were all associated with anti-SARS-CoV-2 spike antibody concentration following a single dose of vaccine. These confounders were pre-defined in the Statistical Analysis Plan (SAP). For the post-third dose analysis, we additionally included prior infection as a covariate, owing to the substantial impact this variable has on anti-SARS-CoV-2 spike antibody concentration. Given the relatively small sample size of the VIP study, we were wary of including too many covariates in the multivariable model. We did not construct a causal directed acyclic graph (DAG) and we agree this might have been included, had it been done at the time of designing the SAP. We have added the absence of a causal DAG as a limitation in the discussion section.

4) Lines 343-344: Inclusion of the variable age as a continuous variable in the model imposes a linearity assumption which should be assessed.

We thank the reviewer for raising this point. To confirm that the variable age satisfied our assumption of linearity, we ran a simple linear regression analysis of age versus the outcome variable (i.e. log-anti-S concentration). The linear regression plot is included as supplementary figure 3. Runs test for deviation from linearity ($p=0.67$) indicated non-deviation from linearity.

5) Lines 345-347: It is important to note that the exponentiated coefficient for a quantitative predictor would be geometric mean ratio per one unit increase in the variable.

This point has now been noted in the text.

6) Line 348: Please provide more details for the linear mixed-effects model including the outcome variable, the error distribution, the predictors, and the random effect term and its assumed distribution.

We thank the reviewer for asking for these details, which we have now added to the Statistics section of the methods.

Results

7) Line 382: This is an extreme example of overreliance on significance testing which should be avoided, noting that a P-value of 0.06 is not much different from P-value of 0.04. The clinical importance of the results should be considered based on appropriate point and interval estimates e.g., see the following paper:

Greenland S, Mansournia MA, Joffe M. To curb research misreporting, replace significance and confidence by compatibility: A Preventive Medicine golden jubilee article. *Preventive Medicine*. 2022 Jul 3:107127.

We thank the reviewer for raising this point and we agree that a p-value of 0.06 does not necessarily signify that there is no difference between the groups. The point and interval estimates here suggest that there may be a modest difference between ustekinumab-treated patients and controls. However, this difference is unlikely to be of clinical importance. As suggested in the Greenland paper, we have tempered the description of these results accordingly.

8) Lines 390-392: Based on the geometric mean ratio estimate with 95% confidence interval (CI), the results for ustekinumab and thiopurine are not much different. The 95% CIs should be considered as compatibility intervals; Please see the reference mentioned in the previous comment.

We thank the reviewer for this comment and agree that the results for ustekinumab and thiopurine are similar. We also accept the notion that their confidence intervals are compatible with each other. We have therefore changed how we report these results, noting that both treatments may be associated with a modest reduction in antibody concentration and putting more emphasis on their compatibility, whilst also following journal guidance on unbiased reporting of results.

9) Table 1: In the footnote, please mention the statistical tests you used for obtaining P-values.

These tests have been added.

10) Supplementary Table 1: Please omit redundant information such as SE, df, and t statistic value.

These redundant values have now been omitted.

11) Supplementary Table 1: Please report 95% CIs for the model coefficients instead of SEs.

We now report 95% CIs for the model coefficients.

12) Supplementary Table 1: The intercept estimate cannot be interpreted as the variable age was not centered. Regardless, it seems to be redundant and should be omitted.

The intercept estimate has now been omitted.

13) Supplementary Table 1: The presentation of P-values is poor. To be consistent with the text, report P-values with two meaningful digits; any P-value less than 0.0001 should be reported "<0.0001".

P-values have been changed to be consistent with the text.

Discussion

14) The measurement bias/residual confounding due to measurement error in the outcome variable and confounders such as smoking status should be highlighted as an important limitation of the study in the Discussion.

We thank the reviewer for raising this point and we agree that it should be highlighted. The Discussion text has been amended accordingly.

Minor

15) Line 345: The term "coefficients" should be replaced with "exponentiated coefficients"

This has been changed.

16) Lines 349-350: Please change the term "Wilcoxon matched pairs signed rank tests" to "Wilcoxon matched-pairs signed-rank tests".

This has been changed.

17) Table 1, etc: Please avoid spurious precision in the presentation of numbers e.g., report percentages without any decimal given the small sample sizes (in the denominator).

Percentages in table 1 are now presented without any decimals.

18) Line 402: Please change the term "Box Cox transformation" to "Box-Cox transformation".

This has been changed.

19) Line 402: In the Statistics section, please explain your Box-Cox transformation analysis.

An explanation of the Box-Cox transformation analysis has been added to the Statistics section with the appropriate reference.

Reviewer #2: The authors sought to determine whether immunosuppressive

treatments were associated with reduced antibody and T cell responses after a third vaccine dose. SARS-CoV-2 spike antibody binding and T cell responses were measured. I have some comments:

1-Why azathioprine decrease the antibodies levels in multivariate analysis and not in univariate analysis

We thank the reviewer for raising this question. As the reviewer notes, the p-values for the comparison between thiopurines and controls were 0.099 in the primary analysis (figure 2B) and 0.021 in the broader multivariable model (figure 3). The key difference between these two analyses is that only thiopurine monotherapy-treated patients are included in the primary analysis, whereas patients treated with thiopurine monotherapy and thiopurine in combination with infliximab are included in the broader multivariable model. In addition, the broader model includes covariates such as smoking, ethnicity and IBD subtype, which may modulate the association between thiopurine treatment and SARS-CoV-2 spike antibody binding.

We also agree with the points highlighted by reviewer 1 that p-values which sit just above and below 0.05 should be interpreted with caution. We have therefore tempered the description of our findings in relation to thiopurines, recognising that our results may indicate a modest reduction in SARS-CoV-2 spike antibody binding. We have also made reference to this issue in the limitations section.

2-Looking the figure 2 the decrease levels of antibodies was only showed in total but not with homologous vaccination for all groups, if it is true, the conclusions and abstract showed be reviewed

We thank the reviewer for raising this question. To clarify, the data shown in figure 2A are for all participants, including both heterologous and homologous vaccination recipients. Conscious of the relatively small numbers of patients in certain groups when stratifying by vaccination schedule, but recognising the potential importance of this variable to SARS-CoV-2 spike antibody binding, we have accounted for vaccination schedule (homologous versus heterologous) as a covariate in the primary analysis (figure 2B). We also show the raw data, stratified by vaccination schedule, in supplementary figures 1 and 2.

3-The results with tofacitinib in T-cells could be related with sample size and low statistical power

We agree with the reviewer that the findings relating to T cell responses in the tofacitinib could be a consequence of the sample size and limited statistical power. We focus on this point as the first study limitation in the Discussion.

Reviewer #3: This study seeks to evaluate the impact of immunosuppression of the immunologic response to a 3 dose series of COVID vaccination among persons with IBD, in terms of antibody and T-cell responses. Work from this group (and others) has

consistently shown that persons with IBD who are using anti-TNF blockers tend to have lower antibody titres against spike protein and faster decay of antibody levels. T cell responses tend to be equivalent. Previous work by this group show a correlation between antibody levels to spike protein and breakthrough infections, though this has not been consistently reported. T cell mediated immunity has also been postulated to protect against breakthrough infection, and may play a role in preventing re-infection and serious disease in persons who do not have a serologic response to vaccination. though previous work by this group approx 20% of persons with IBD who received 2 doses of COVID vaccination have a low TCR response to COVID-associated peptides.

This study is novel in that it reports on the immunologic and T-cell response in the 4-7 weeks following a 3rd dose of COVID vaccine (all with mRNA) in a population where many received the less effective adenovirus vector vaccine for the first 2 doses. The results mirror previous work in the serological aspect, with IFX (and especially anti-TNFs in combination with thiopurines) having lower levels of serologic response. Additional novel findings include low T-cell response to Nucleocapsid peptides in UST users (though numbers are small) and poor T-cell immunity in tofacitinib users.

These data are an important addition to the body of knowledge on the immunologic response in IBD patients using immunosuppressive medications. However, while there are several issues that I believe the authorship groups should consider addressing prior to publication

We thank the reviewer for these positive comments.

1. One of the major limitations in this study is the lack of information on biochemical/endoscopic disease activity at the time of receipt of 3rd dose. As tofacitinib is most commonly used as rescue therapy for persons with relapse or treatment resistant disease, how much do they believe that residual disease activity could be a contributor to a lack of T-cell response in infection naive tofacitinib users

We thank the reviewer for raising this issue. In the context of the United Kingdom's rapid COVID vaccination programme, mandating endoscopic assessment of patients in the VIP study was not deemed practical. However, we agree with the reviewer that the lack of information on biochemical and endoscopic disease activity is a limitation of the study, and we have added this to the limitations section of the Discussion.

Despite this limitation, several factors reassure us that residual disease activity is unlikely to be a significant contributor to the lack of T-cell response in infection naive tofacitinib users. Firstly, according to PRO2 assessment (data in table 1 of manuscript) only 8% of patients in the tofacitinib group had clinically active disease and there was no significant difference in PRO2 scores between treatment groups. In univariate analysis in the CLARITY-IBD study (<http://dx.doi.org/10.1136/gutjnl-2021-324789>) active disease was not associated with serological response to two doses of either BNT162b2 ($p=0.32$) or ChAdOx1 nCoV-19 ($p=0.51$) vaccination. Secondly, an inclusion criterion for the study was that patients needed to be established on their current immunosuppressive therapy for at least 12 weeks prior to the first vaccine dose. We would expect that patients with ongoing active disease after 12 weeks of tofacitinib therapy would have had their treatment

discontinued. Thirdly, at the time of the third vaccine dose participants were asked if they had remained on, or stopped/switched immunosuppressive therapy. Of the 26 tofacitinib-treated patients, only one patient had stopped tofacitinib at this point (>6 months after entering the study).

2. What is the significance of the differences in T-cell response to S- and N- peptides. Both are reported; what do we know about their relative and/or combined importance to prevention of infection? How can we better contextualize the ustekinumab findings? Could this be a multiple comparisons phenomenon?

We thank the reviewer for raising this interesting point. T cell responses are posited to play an important role in protection from SARS-CoV-2 infection, although, in contrast to neutralising antibody responses, definitive evidence linking T cell responses to clinical correlates remains limited. The relative importance of T cell responses against S- and N-peptides is not known. With regard to ustekinumab, our data suggest that whilst T cell responses against S-peptide post third vaccine dose are preserved in ustekinumab-treated patients relative to healthy controls, there may be a deficit in N-peptide responses in those ustekinumab-treated patients with prior infection. Although the statistical significance of this finding stands up to correction for multiple comparisons, we share the reviewer's caution that this finding may represent an artefact of small sample size. Notably, data from SECURE-IBD (<https://doi.org/10.1053/j.gastro.2021.09.011>) suggest that ustekinumab-treated patients with IBD were at lower risk of hospitalisation or death than those not on ustekinumab (RR 0.44, 95% CI 0.36 to 0.54). Consequently, we have chosen not to draw any conclusions about the clinical implication of this result.

3. We are now in an era where vaccines no longer appear to significantly protect against re-infection against the most prevalent circulating strains of SARS-COV-2 (BA5 and related variants). What is the level of evidence supporting the relevance of T-cell and serologic response to severity of re-infections (as opposed to re-infection itself).

We are grateful to the reviewer for raising this important point. Although the effectiveness of currently available vaccines is undoubtedly lower against Omicron variants, the relative attenuation of severe disease in vaccinated groups is likely attributable to the partial protection conferred by the residual neutralizing antibodies and the activation of primed B cell and T cell memory (doi: 10.1038/s41586-022-04460-3; doi: 10.1016/j.cell.2022.04.009). In the IBD context, it is notable that time to re-infection in the Omicron era has been shorter in infliximab-treated than vedolizumab-treated patients in the CLARITY study (doi: 10.1136/gutjnl-2022-327570). There is currently limited direct evidence on the contribution of T cell and serologic responses to the severity of re-infection, but studies in both non-immunocompromised (doi:10.1016/j.cell.2020.08.017 & doi:10.1016/j.cell.2020.09.038) and immunocompromised groups (doi:10.1038/s41591-021-01386-7) have shown robust T cell responses correlate with better outcomes to primary SARS-CoV-2 infection.

In recognition of this point, we have revised the Discussion to include additional context on re-infection and variants of concern, signposting the existing uncertainties about the

relevance of immune response to vaccination, and thus the urgent need for further studies.

4. In the discussion, the authorship refers to a "6-fold decline" in antibody levels. Perhaps this is pedantic, but "-fold" should only be used to refer to increases better to say an 83% reduction in circulating antibody concentrations

We thank the reviewer for this correction, and we have amended the manuscript accordingly.

5. As a broad point, the speed which the contours of the COVID pandemic change outpace our ability to perform research that is actionable and not merely forensic. How should (or can) the practicing clinician use this information, in the context of a clinical landscape that is vastly different than the one this study was performed in

We agree with the reviewer that the dynamic nature of the COVID pandemic, and particularly the emergence of SARS-CoV-2 variants with vaccine-escape capacity, makes translating findings from vaccine immunogenicity studies into clinical practice challenging. An acceptance of this broad point has been added to the Discussion.

Thankfully, despite the high rates of infection and re-infection in vaccinated individuals with Omicron variants, currently available vaccines have remained relatively effective in preventing severe disease and death (discussed further in response to point 3). However, a minority of immunosuppressed patients with IBD have chosen not to be vaccinated ([https://doi.org/10.1016/S2468-1253\(21\)00347-2](https://doi.org/10.1016/S2468-1253(21)00347-2)) and others have not completed a full three dose primary schedule. Moreover, we and others have demonstrated that patients with IBD treated with infliximab or tofacitinib have diminished responses to vaccination, which exposes them to a potential higher risk of infection. In the event of future COVID-19 waves of infection and new more virulent variants, prioritisation of such higher risk groups for booster doses of vaccination (especially in resource-limited settings) will be critical. Policy makers may also choose to select such patients for newly available pre-exposure prophylaxis treatments (https://apgg-vulnerablegroups.org/fileadmin/user_upload/Prophylactic_clinical_consensus_statement.pdf).

Reviewer #4: The investigators of VIP present their data on post third dose of COVID-19 vaccine evaluating antibody and T cell responses after a third dose. They found that antibody concentrations were lower in patients on anti-TNF therapy, thiopurine, and tofacitinib and no impact by other biologics. All patients had T cells responses.

A couple of questions and concerns.

A) Please clarify in the inclusion criteria if patients continued on the same treatment regimen for the third blood draw. E.g. if someone was on infliximab and switched to vedolizumab for third dose, was this person excluded if kept where they kept in infliximab group?

We thank the reviewer for raising this important question. A small proportion of patients in each treatment group were not on the same treatment regimen at the time of their third vaccine dose (range 2-10%). In accordance with our pre-defined Statistical Analysis Plan, we did not exclude these participants from the analysis of post-third dose responses and these participants were analysed in the treatment groups they belonged to at the time of their first and second vaccine doses. We have added a row to the demographics (table 1) showing the number of participants in each group who underwent a change in their treatment.

No patients on infliximab and methotrexate were included?

Patients on methotrexate were excluded from the VIP study but the impact of methotrexate and infliximab combination therapy has been reported on in our sister study CLARITY-IBD (<https://doi.org/10.1038/s41467-022-28517-z> and <http://dx.doi.org/10.1136/gutjnl-2022-327570>).

b) please clarify if heterologous boosting was only mRNA to viral vector, or mRNA to different mRNA counted as heterologous boosting

We thank the reviewer for raising this question. Heterologous boosting constituted only participants who received two doses of adenovirus vector vaccine followed by a dose of mRNA vaccine. Homologous boosting included participants who received three doses of any mRNA vaccine. A small number of the homologous booster group (n=22; 15%) received either two doses of BNT162b2 (Pfizer/BioNTech) followed by a single dose of mRNA1273 (Moderna) or two doses of mRNA1273 (Moderna) followed by a single dose of BNT162b2 (Pfizer/BioNTech). We have clarified this point in the Methods section.

c) it appears T cell responses were not done in all patients. Please clarify how it was determined in whom T cells response were evaluated.
All clarify a T cell response was seen in all patient with IBD?

T cell responses were reported in 299 participants (85% of cohort). There were several reasons why T cell responses could not be reported in all participants, none of which are anticipated to have introduced bias:

- 1. Insufficient blood draw*
- 2. Insufficient cell number harvested during PBMC extraction*
- 3. Technical failure of the elispot assay – defined as response to the positive control anti-CD3 stimulation of <200 SFC per 106 PBMCs.*

These points have been added to the Methods section. A null T cell response was seen in two healthy controls, two thiopurine-treated patients, zero infliximab monotherapy-treated patients, five thiopurine and infliximab combination-treated patients, one ustekinumab-treated patient, one vedolizumab-treated patient and two tofacitinib-treated patients (figure 4A).

d) Table 1 is hard to read please revise.

The table has been reformatted according to the journal requirements.

[ED: please see the formatting used in the first VIP paper]

e) was every patient with IBD seropositive after the third dose? And all HC?

We thank the reviewer for raising this question. In the first VIP paper ([https://doi.org/10.1016/S2468-1253\(22\)00005-X](https://doi.org/10.1016/S2468-1253(22)00005-X)), using the same Roche assay as used in the current study, we reported on rates of seroconversion, defined as an antibody concentration of 15 U/mL. This threshold correlated with 20% neutralisation in a viral pseudo-neutralisation assay described previously (<http://dx.doi.org/10.1136/gutjnl-2021-324789>). One patient treated with infliximab and thiopurine combination therapy failed to reach the 15U/ml threshold after three doses of vaccine. In fact, this patient had no detectable antibody response after three doses, and we have added this information to the Results section. We have elected not to refer to seroconversion or seropositivity. This decision was taken in the context of new variants of concern, including Omicron with its immune-escape capabilities, which have made measures of seroconversion less viable.

f) the CORRALE IBD has showing boosting augmentation of T cell response by ANTI-tnf therapy. Did the authors evaluate for this possibility?

We thank the reviewer for highlighting the T cell response data from the CORALE study showing an augmented T cell response in anti-TNF recipients. In our study we saw no significant difference in T cell response between anti-TNF-treated patients and controls after three doses of COVID-19 vaccine. Correspondingly, CLARITY-IBD showed no significant difference in T cell responses between infliximab-treated patients and vedolizumab-treated patients, although there was a trend seen towards higher responses to two doses of BNT162b2 vaccine (but not adenovirus vector vaccine) in the infliximab group.

Given that our current study looked at T cell responses after a third dose of vaccine (as opposed to two doses in CORALE), included patients receiving a heterologous vaccination schedule, and used a different assay (Elispot in VIP as opposed to T-cell receptor β sequencing of blood genomic DNA in CORALE), it is difficult to draw firm conclusions regarding the contrasting findings in the two studies. Nonetheless, we have revised our Discussion to include reference to the CORALE data.

g) the authors should expand to discussion to discuss other studies evaluating immune response after third dose from PREVENT COVID-19 and HERCULES. These studies should be included and discussed.

We thank the reviewer for highlighting these important studies. PREVENT COVID-19 and HERCULES were not discussed in the first submission of the manuscript, but we agree these are important additions to the literature. We are over the limit for the number of references (30 references) for a research article in this journal, but we would like to include them at the discretion of the Editor.

h) it would be important to discuss how patients with IBD compared to solid organ transplant.

We thank the reviewer for raising this suggestion. We agree that solid organ transplant makes for an interesting comparator group, given the high burden of immunosuppressive therapy that these patients receive and the well-reported sub-optimal immune response to COVID vaccination. Accepting that comparisons between studies are challenging due to the use of differing immunosuppressive regimens, sampling protocols, experimental assays and analytical approaches, we have added a note to the Discussion reflecting that the results in our study suggest IBD patient responses to third doses of COVID vaccination compare favourably to those of solid organ transplant recipients.

EDITORS' GENERAL POINTS:

- Your revised paper should have fewer than 3500 words (4500 for randomised trials; not including references, COI statements, abstract etc) and a maximum of 30 references (unless it is a systematic review or meta-analysis). The abstract should be structured (background, methods, findings, interpretation, funding) and should be less than 300 words long.

We are within the overall word count. We are slightly over the maximum number of references and the abstract word count (although we have reduced the abstract since the first submission), as we were for the first VIP paper. We would be happy to reduce the references and length of abstract further at the editor's discretion.

- The main text should be structured as follows: Introduction, Methods, Results, Discussion. Note that subheadings should only be used within the Methods section. Our preference is to structure the Methods section as: Study design and participants, Procedures, Outcomes, Statistical analysis, Role of the funding source (see below)
- Generally, please ensure that all results are presented in a balanced, unbiased way, including clearly stating where findings are non-significant. Note that we often edit manuscripts post-acceptance to ensure the unbiased presentation of results, but it is preferable for authors to ensure this is the case at revision stage.
- The study title should include a study descriptor—eg, case-control study. Titles should be non-declamatory (ie, not state the findings of the paper).
- Please check with your co-authors, and confirm that all names are spelt correctly, and affiliation details for each author are listed correctly (including department, institution, city, state [if applicable], and country). We cannot guarantee that we will be able to correct names and affiliations after publication of your article.
- Please ensure that you include full first names for all authors and please supply (after author names on the title page) one preferred degree per author and indicate in the authorship if any authors are full professors. Note that we can only have one corresponding author, whose full mailing address (including postal code, if applicable) and email address should be included.

- If your author line includes a study group (eg, 'on behalf of the XXXX trial study group'), collaborators' names and affiliations may be listed at the end of the paper or in the appendix. Additionally, if you wish the names of collaborators within a study group to appear on PubMed, please upload with your revision a separate Word document with a list of names of the study group members presented as a two-column table. First and middle names or initials should be placed in the first column, and surnames in the second column. Names should be ordered as you wish them to appear on PubMed. The table will not be included in the paper itself - it's simply used to make sure that PubMed adds the names correctly.
- References
 - References should be in Vancouver style. Many references are missing details, please ensure they are corrected.
- All research articles must contain a data sharing statement, to be included at the end of the manuscript. For more information on these required statements see the Data sharing section of the Information for Authors (<https://thelancet.com/pb-assets/Lancet/authors/tlgastro-info-for-authors.pdf>) and ([https://www.thelancet.com/journals/lancet/article/PIIS0140-6736\(17\)31282-5/fulltext](https://www.thelancet.com/journals/lancet/article/PIIS0140-6736(17)31282-5/fulltext))

REQUIRED CHECKLISTS:

Please confirm that your study conforms to the relevant guidelines by completing and returning the checklist:

STROBE - Observational studies

— [http://www.thelancet.com/journals/lancet/article/PIIS0140-6736\(07\)61602-X/fulltext](http://www.thelancet.com/journals/lancet/article/PIIS0140-6736(07)61602-X/fulltext)

For more info: <http://www.equator-network.org/>

FORMS:

- We require completed, signed, author contribution forms from all authors listed (that they agree with the submission and content and to being listed), declaring their contribution to the article, and stating the role of the funding source. The form can be downloaded at:

<http://download.thelancet.com/pb/assets/raw/Lancet/authors/tlgas-author-signatures.pdf>

- We require completed ICMJE declaration forms from all authors, listing any potential conflicts of interest. Forms must be returned for each author, even if no declaration is being made. The form can be found at:

<http://www.icmje.org/conflicts-of-interest> (scroll down and click on the blue download link)

Figure 1

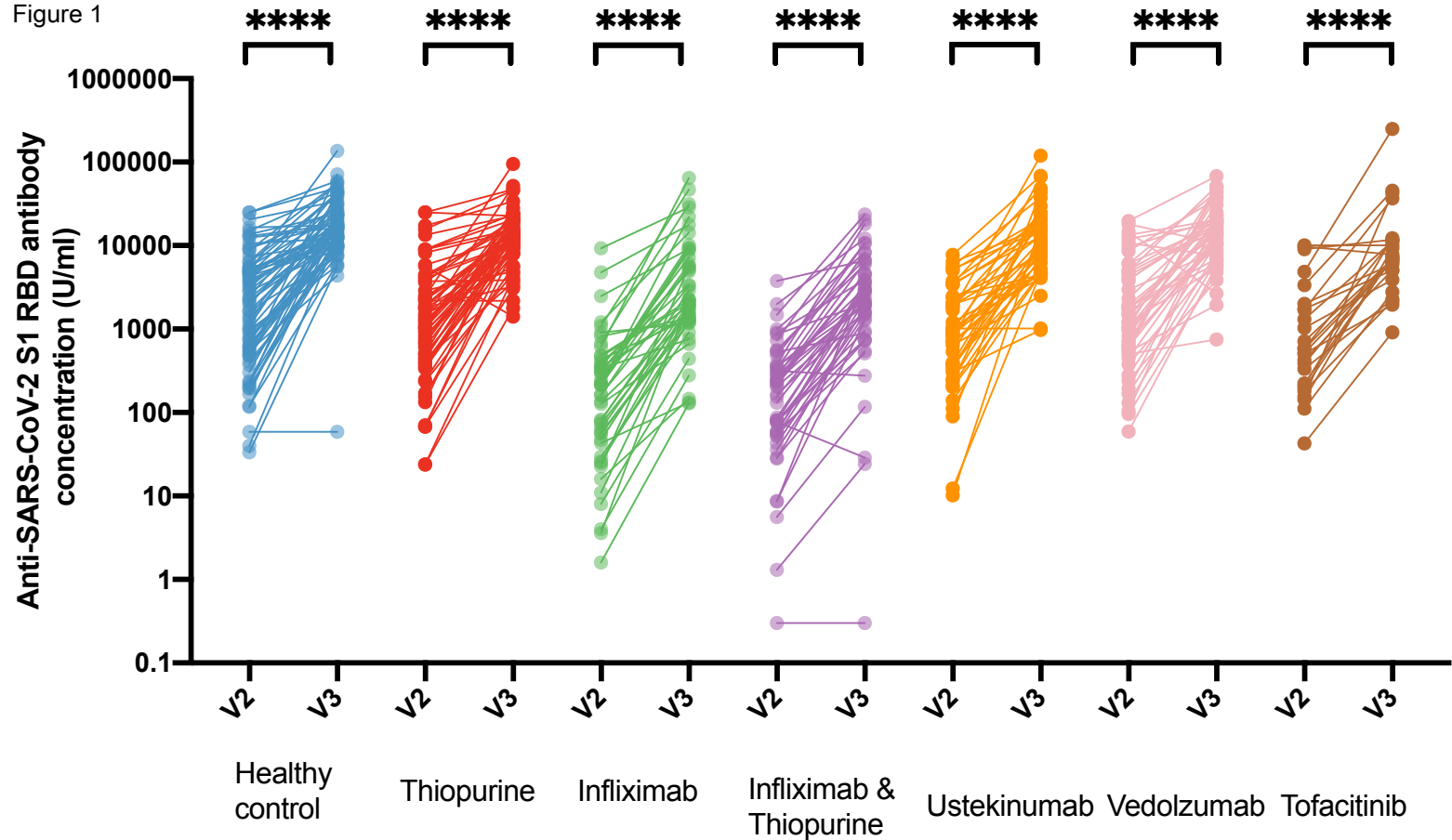
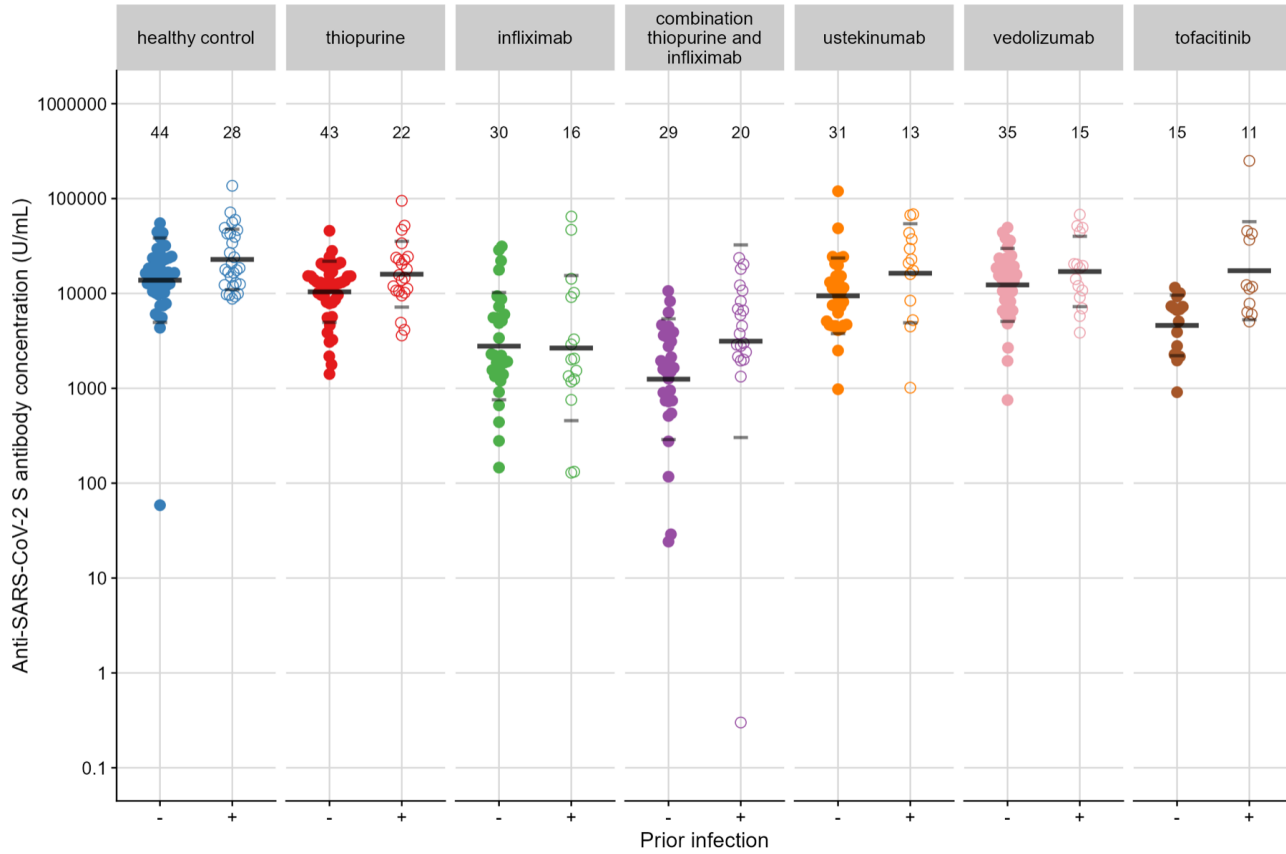


Figure 2

A



B

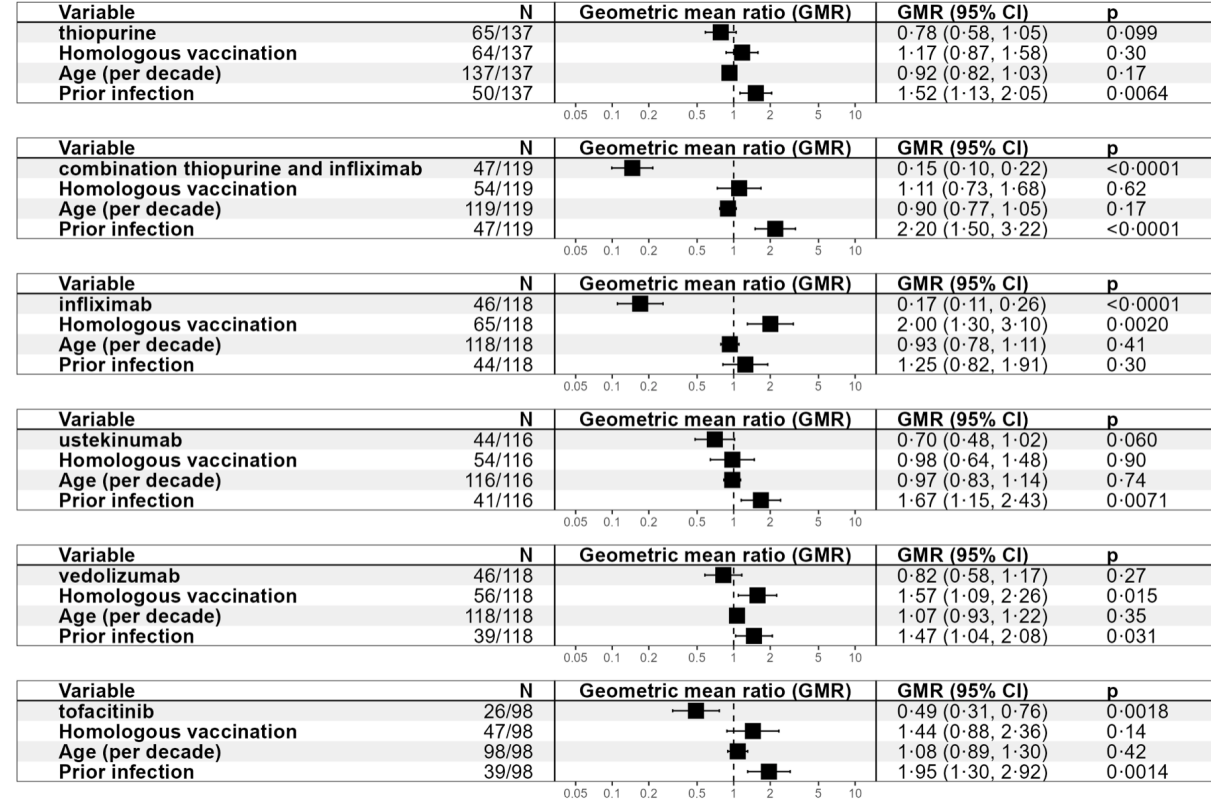


Figure 3

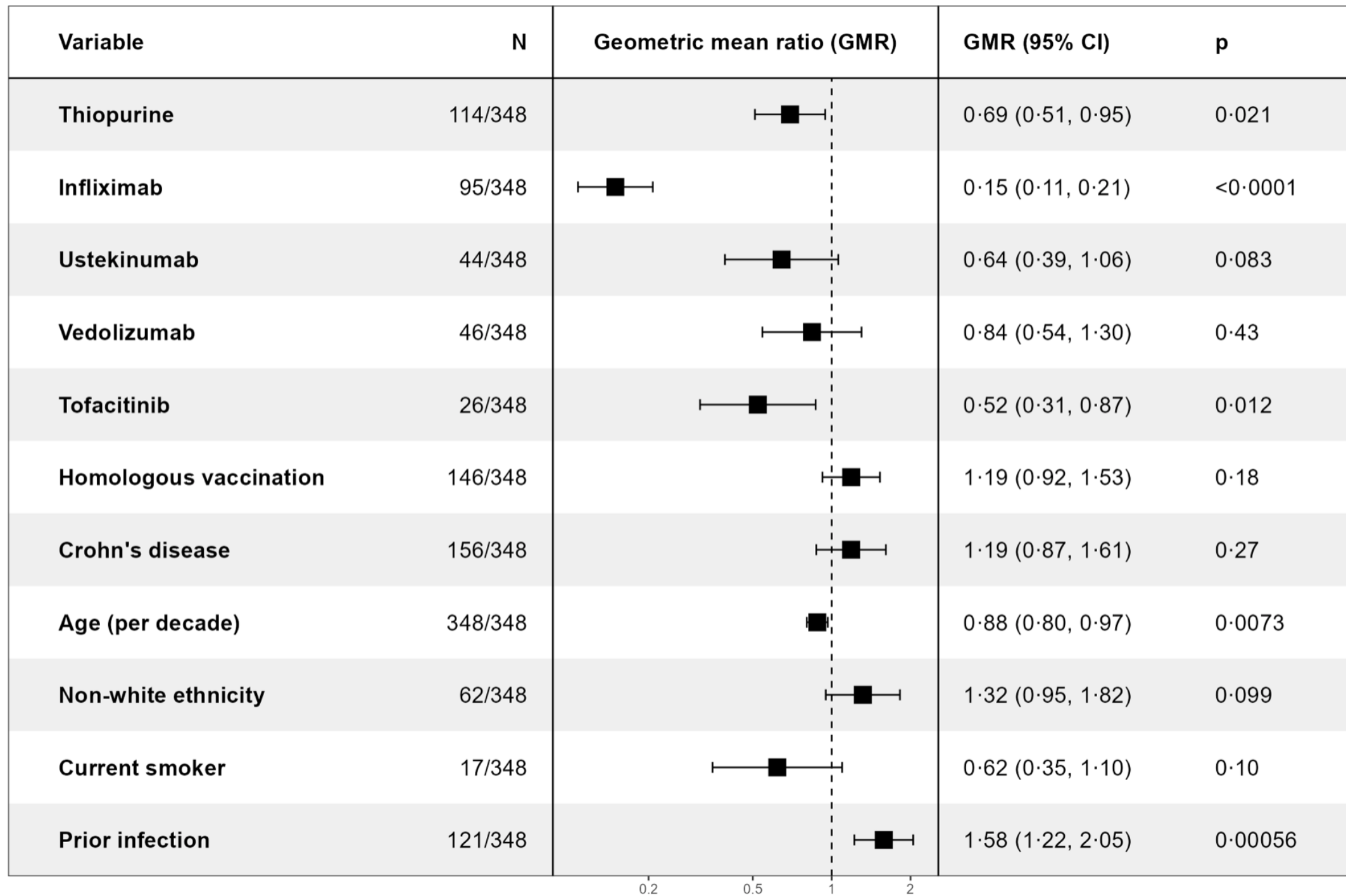
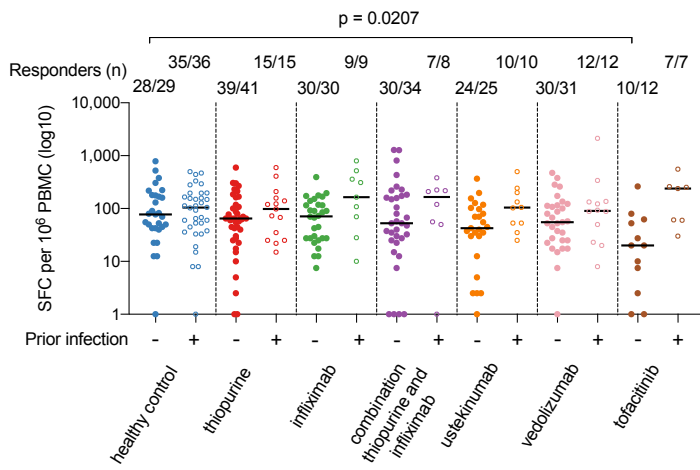
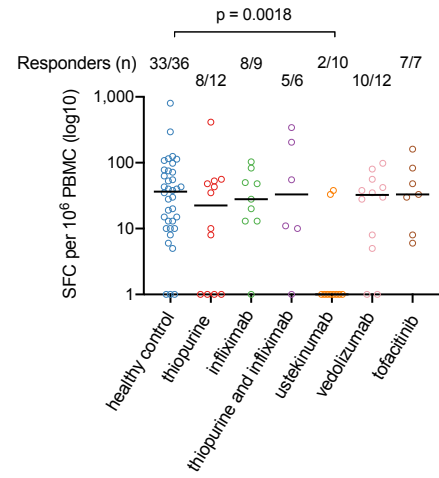


Figure 4

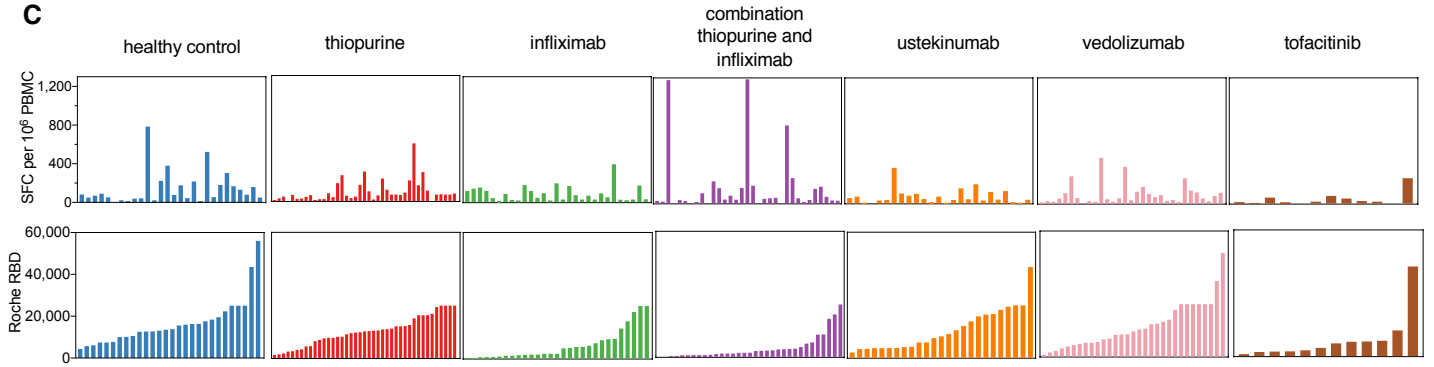
A



B



C

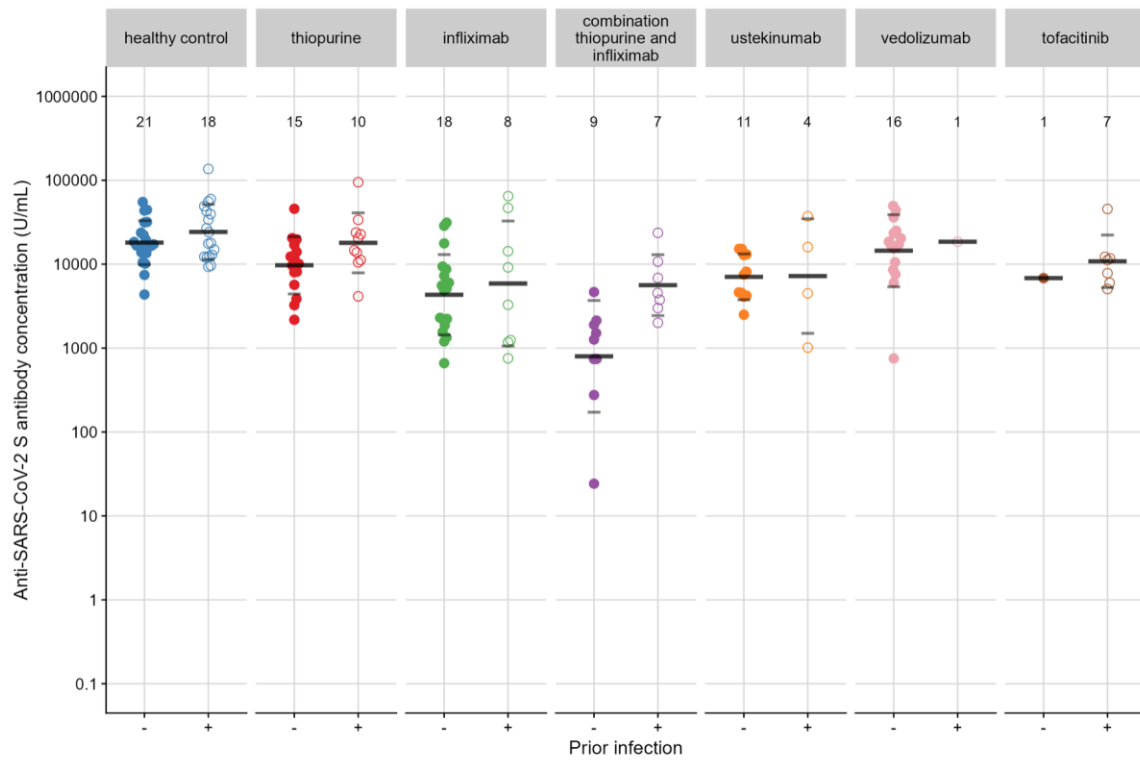


COVID-19 vaccine-induced antibody and T cell responses in immunosuppressed patients with inflammatory bowel disease after the third vaccine dose (VIP): a multicentre, prospective, case-control study

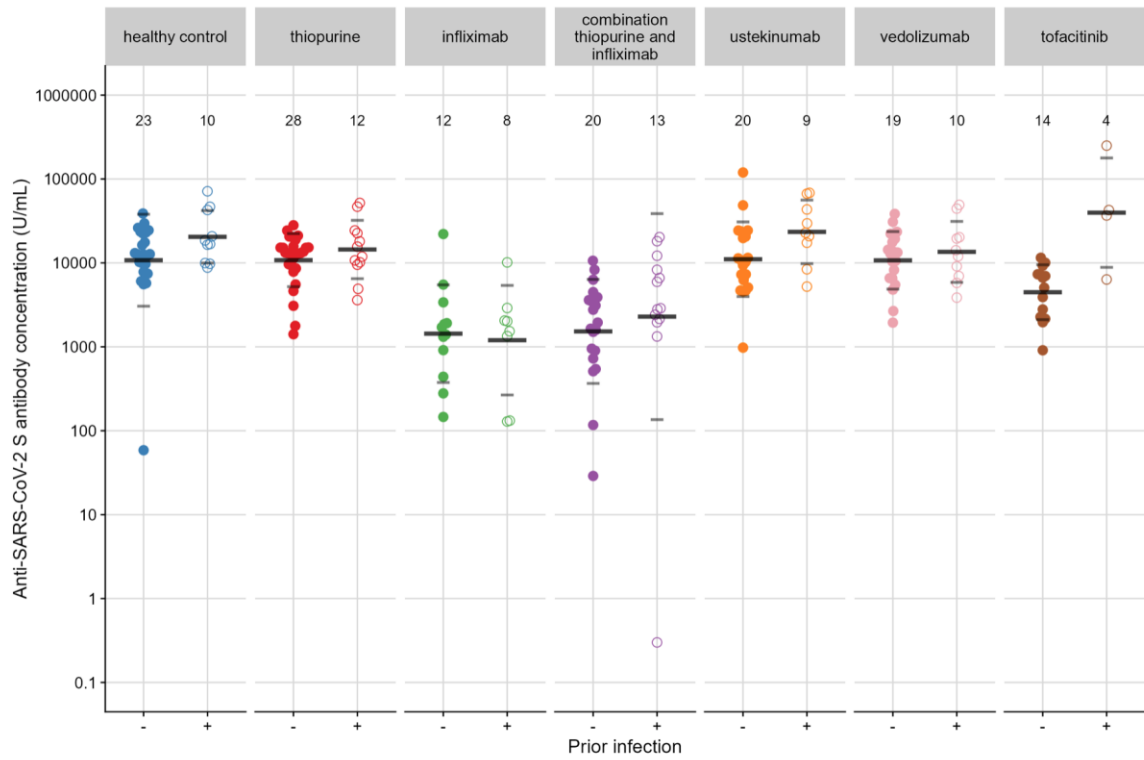
Supplementary Material

Supplementary table 1: Linear mixed effects model including visit 1 (post second vaccine dose) and visit 2 (post third vaccine dose) anti-S1 RBD antibody concentrations. Study visit was analysed as a fixed effect.

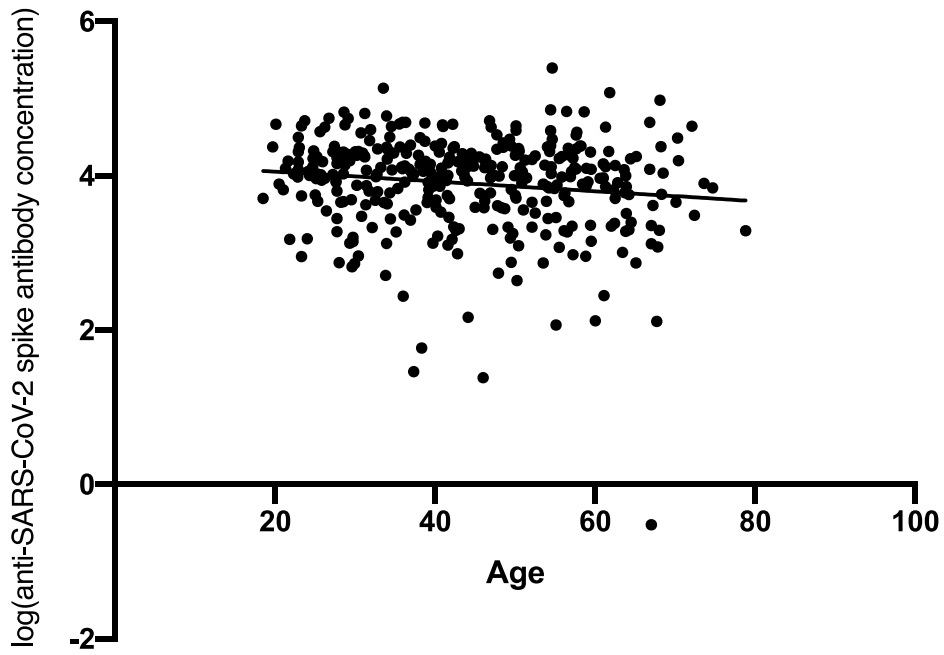
Variable	Estimate	95% CIs	P-value
Thiopurine	0.85	0.64 - 1.14	0.29
Infliximab	0.13	0.09 - 0.18	<0.0001
Ustekinumab	0.72	0.45 - 1.15	0.17
Vedolizumab	1.04	0.69 - 1.56	0.86
Tofacitinib	0.57	0.35 - 0.92	0.021
Visit: visit 2	16.75	13.94 - 20.13	<0.0001
mRNA vaccine effect on visit 1	3.30	2.50 - 4.34	<0.0001
Baseline mRNA vaccine effect on visit 2 (i.e. homologous vaccination)	1.19	0.90 - 1.56	0.22
Crohn's disease	1.08	0.81 - 1.44	0.60
Age (per decade)	0.84	0.77 - 0.91	<0.0001
Non-white ethnicity	1.05	0.78 - 1.43	0.74
Current smoker	0.67	0.39 - 1.15	0.15
Prior infection (assessed separately for each visit)	2.27	1.80 - 2.87	<0.0001



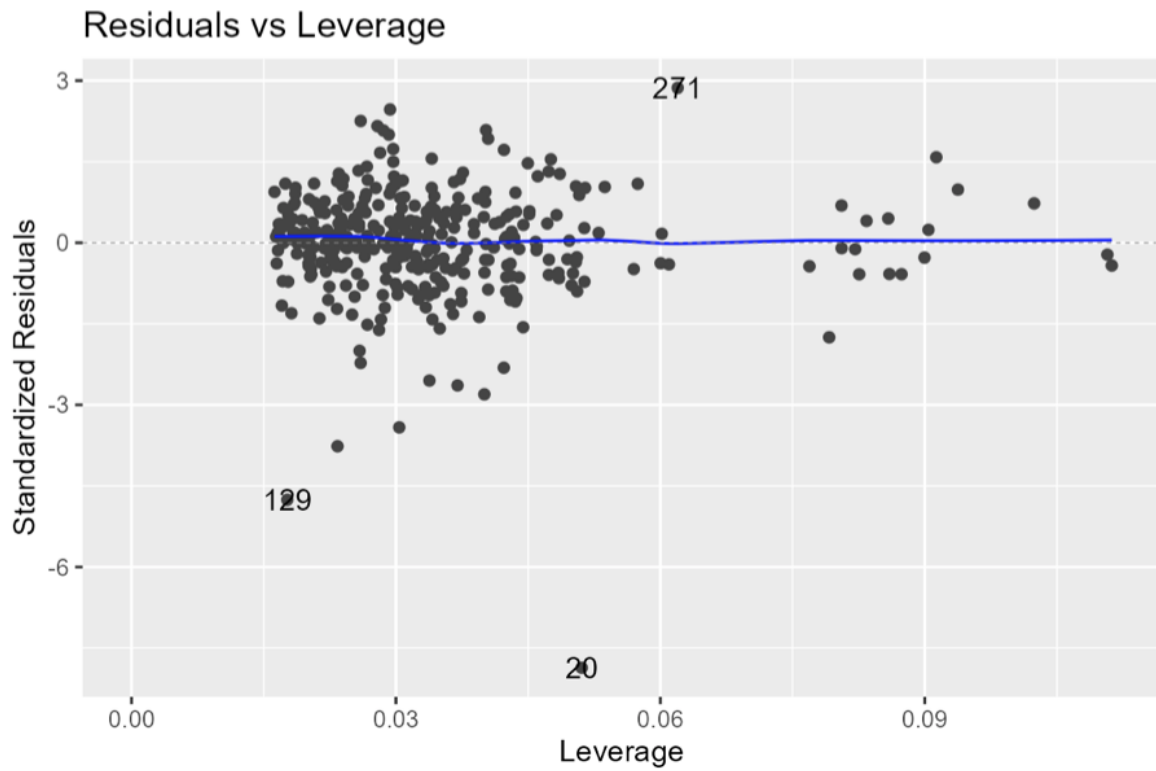
Supplementary figure 1: Anti-SARS-CoV-2 spike antibody concentration in participants receiving a homologous vaccine schedule (three doses of mRNA vaccine) stratified by study group and previous infection status. The wider bar represents the geometric mean, while the narrower bars are one geometric SD either side of the geometric mean.



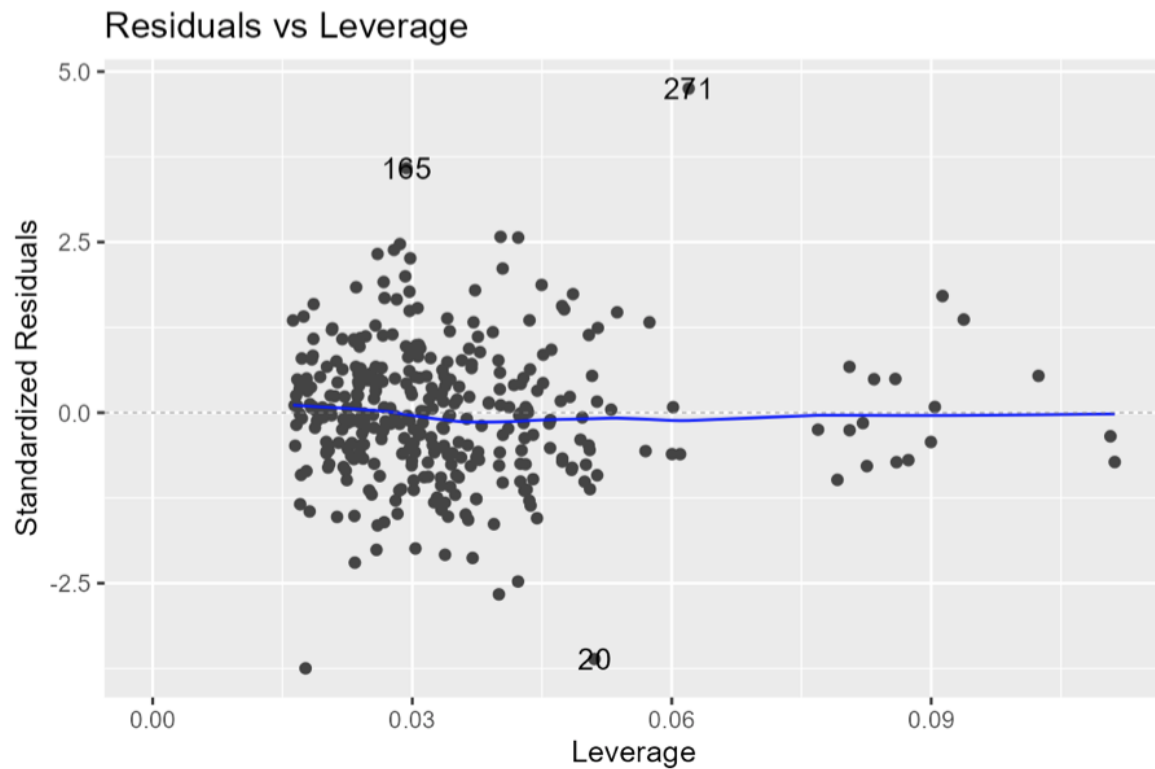
Supplementary figure 2: Anti-SARS-CoV-2 spike antibody concentration in participants receiving a heterologous vaccine schedule (two doses of adenovirus vector vaccine and one dose of mRNA vaccine) stratified by study group and previous infection status. The wider bar represents the geometric mean, while the narrower bars are one geometric SD either side of the geometric mean.



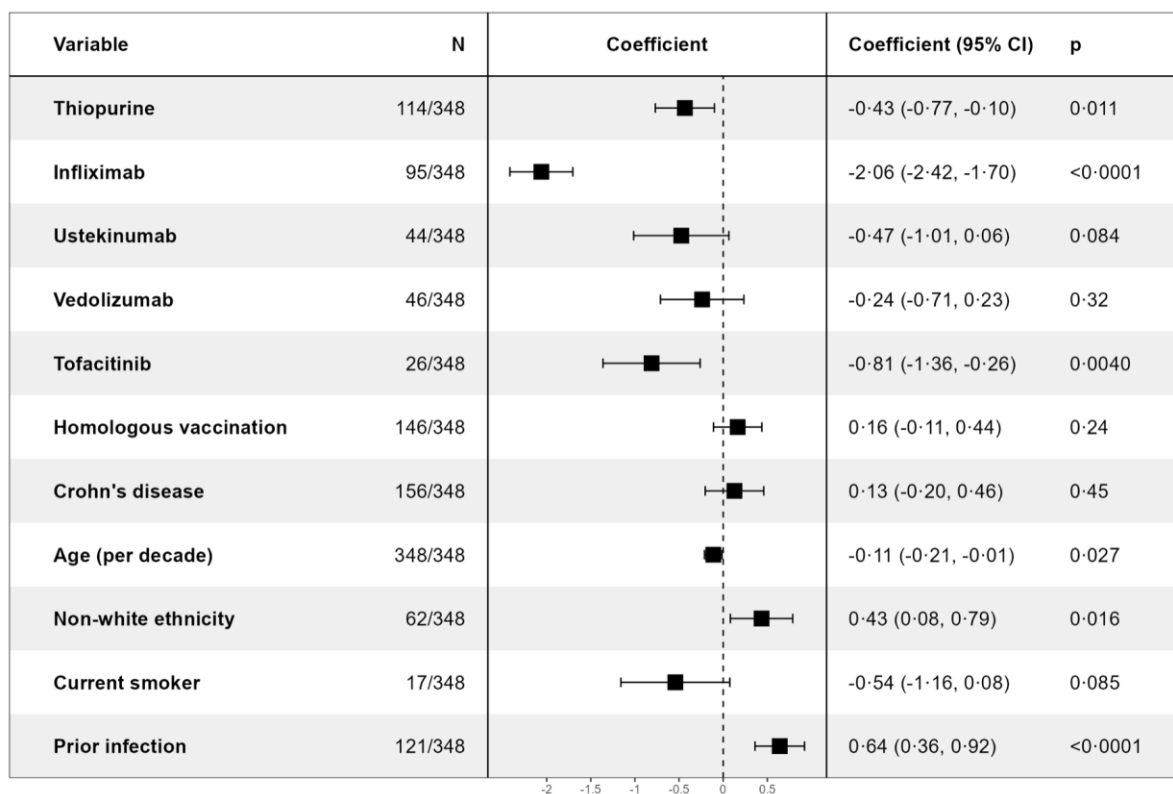
Supplementary figure 3: Simple linear regression model of age versus log[anti-SARS-CoV-2 spike antibody concentration] ($R^2=0.02$; $p=0.0091$). Runs test for deviation from linearity ($p=0.67$) indicated non-deviation from linearity.



Supplementary figure 4: Diagnostics plot showing distribution of residuals in the multivariable linear regression model (figure 3) following log transformation.



Supplementary figure 5: Diagnostics plot showing distribution of residuals in the multivariable linear regression model (figure 3) following Box Cox transformation with $\lambda = 0.20$ (based on optimising the log-likelihood of the model).



Supplementary figure 6: Sensitivity analysis using a one-parameter Box Cox transformation with $\lambda = 0.20$ (based on optimising the log-likelihood of the model). Multivariable model showing exponentiated coefficients of linear regression models of $\log(\text{anti-SARS-CoV-2 spike antibody concentration})$. Results are for individuals without evidence of previous SARS-CoV-2 infection. The values shown represent geometric mean ratio estimates of S1 level associated with each variable. Age was treated as a continuous variable in the analysis and its coefficient is expressed per decade.

First Name	Surname
Ijeoma	Chukwurah
Sulaimaan	Haq
Parita	Shah
Stephanie	Wilken-Smith
Anitha	Ramanathan
Mikin	Patel
Lidia	Romanczuk
Rebecca	King
Jason	Domingo
Djamila	Shamtally
Vivien	Mendoza
Joanne	Sanchez
Hannah	Stark
Bridget	Knight
Louise	Bee
Charmaine	Estember
Anna	Barnes
Darcy	Watkins
Sam	Stone
John	Kirkwood
Marian	Parkinson
Helen	Gardner-Thorpe
Kate	Covil
Lauranne	Derikx
Beatriz	Gros Alcalde
Irish	Lee
Bessie	Cipriano
Giuseppe	Ruocco
Manisha	Baden
Graham	Cooke
Katrina	Pollock
Evgenia	Kourampa
Ciro	Pasquale
Elena	Robisco-Diaz
Suhaylah	Bhatti

STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No.	Recommendation	Page No.	Relevant text from manuscript
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1	
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	4-5	
Introduction				
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	8-9	
Objectives	3	State specific objectives, including any prespecified hypotheses	9	
Methods				
Study design	4	Present key elements of study design early in the paper	10	
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	10-11	
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	10-11	
		<i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls		
		<i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants		
		(b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed	N/A	
		<i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case		
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	13-14	
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	13-14	
Bias	9	Describe any efforts to address potential sources of bias	14-15	
Study size	10	Explain how the study size was arrived at	14	

Continued on next page

Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	14
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	14-16
		(b) Describe any methods used to examine subgroups and interactions	14-16
		(c) Explain how missing data were addressed	14
		(d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed <i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed <i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy	N/A
		(e) Describe any sensitivity analyses	15
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	17
		(b) Give reasons for non-participation at each stage	N/A
		(c) Consider use of a flow diagram	N/A
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	Table 1
		(b) Indicate number of participants with missing data for each variable of interest	14
		(c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)	N/A
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time	N/A
		<i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure	17
		<i>Cross-sectional study</i> —Report numbers of outcome events or summary measures	N/A
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	17-19
		(b) Report category boundaries when continuous variables were categorized	Table 1
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	N/A

Continued on next page

Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	18
Discussion			
Key results	18	Summarise key results with reference to study objectives	20-21
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	21-22
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	22
Generalisability	21	Discuss the generalisability (external validity) of the study results	22
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	16

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

First Name	Surname
Ijeoma	Chukwurah
Sulaimaan	Haq
Parita	Shah
Stephanie	Wilken-Smith
Anitha	Ramanathan
Mikin	Patel
Lidia	Romanczuk
Rebecca	King
Jason	Domingo
Djamila	Shamtally
Vivien	Mendoza
Joanne	Sanchez
Hannah	Stark
Bridget	Knight
Louise	Bee
Charmaine	Estember
Anna	Barnes
Darcy	Watkins
Sam	Stone
John	Kirkwood
Marian	Parkinson
Helen	Gardner-Thorpe
Kate	Covil
Lauranne	Derikx
Beatriz	Gros Alcalde
Irish	Lee
Bessie	Cipriano
Giuseppe	Ruocco
Manisha	Baden
Graham	Cooke
Katrina	Pollock
Evgenia	Kourampa
Ciro	Pasquale
Elena	Robisco-Diaz
Suhaylah	Bhatti