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# Radical-induced hetero-nuclear mixing and low-field $^{13}\text{C}$ relaxation in solid pyruvic acid<sup>†</sup>

 Hana Kouřilová,<sup>\*a</sup> Michael Jurkutat,<sup>ib</sup> <sup>\*a</sup> David Peat,<sup>b</sup> Karel Kouřil,<sup>ib</sup> <sup>a</sup> Alixander S. Khan,<sup>b</sup> Anthony J. Horsewill,<sup>ib</sup> <sup>b</sup> James F. MacDonald,<sup>b</sup> John Owers-Bradley<sup>b</sup> and Benno Meier<sup>ib</sup> <sup>\*ac</sup>

Radicals serve as a source of polarization in dynamic nuclear polarization, but may also act as polarization sink, in particular at low field. Additionally, if the couplings between the electron spins and different nuclear reservoirs are stronger than any of the reservoirs' couplings to the lattice, radicals can mediate hetero-nuclear polarization transfer. Here, we report radical-enhanced  $^{13}\text{C}$  relaxation in pyruvic acid doped with trityl. Up to 40 K, we find a linear carbon  $T_1$  field dependence between 5 mT and 2 T. We model the dependence quantitatively, and find that the presence of trityl accelerates direct hetero-nuclear polarization transfer at low fields, while at higher fields  $^{13}\text{C}$  relaxation is diffusion limited. Measurements of hetero-nuclear polarization transfer up to 600 mT confirm the predicted radical-mediated proton-carbon mixing.

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## 1 Introduction

Nuclear magnetic resonance (NMR) spectroscopy and magnetic resonance imaging (MRI) are powerful tools in various fields of science and industry, but their versatility is limited by the weak nuclear polarization. The low sensitivity may be alleviated by dynamic nuclear polarization (DNP), where the large polarization of electron spins is transferred to nuclear spins. In dissolution-DNP,<sup>1</sup> this process is carried out at temperatures near 1 K and fields of several Tesla. Under these conditions, the electron spins are almost fully polarized, and it is possible to achieve near unity polarization also for nuclear spins. The hyperpolarized sample is then dissolved with a jet of hot solvent, and the solution is transferred to a second magnet for use in sensitized NMR spectroscopy<sup>2–4</sup> or MRI.<sup>5–8</sup>

In bullet-DNP, the order of dissolution and transfer is reversed.<sup>9,10</sup> Here, the solid sample is transferred rapidly to the second magnet, and dissolved only near the NMR tube. This procedure limits dilution, avoids the use of hot solvents, and

may be beneficial for hyperpolarization of moieties with a short  $T_1$  in the liquid state.

During the bullet transfer at low field (100 ms at currently about 70 mT) the radical spins that are needed as a polarization source for DNP, however, may act as polarization sink. A previous study by Niedbalski *et al.* at low temperature in pyruvic acid doped with trityl between 0.9 and 9 T found a cubic field-dependence of the carbon  $T_1$ .<sup>11</sup> If this trend were to continue to lower fields, relaxation would be prohibitively fast for bullet-DNP. Indeed, we reported that the low-temperature low-field  $^1\text{H}$  relaxation in pyruvic acid doped with trityl is linear in field, and showed that a spin temperature model (STM) by Wenckebach<sup>12,13</sup> yields a quantitative description of the proton relaxation data over two orders of magnitude in field.<sup>14</sup> This STM indicated a significant heat-capacity of the electron Non-Zeeman reservoir, which suggests that the latter may be used to mediate hetero-nuclear polarization transfer.<sup>15</sup>

Here, we present  $^{13}\text{C}$  relaxation data as well as thermal mixing experiments in non-degassed neat  $1\text{-}^{13}\text{C}$  pyruvic acid (neat PA,  $^\circ$ ) and  $1\text{-}^{13}\text{C}$  pyruvic acid doped with 15 mM OX063 (doped PA,  $\bullet$ ) for fields up to 2 T. We find that the low-temperature low-field  $^{13}\text{C}$  relaxation time constant  $T_{1,C}^*$  is linear in field, similar to that we reported for protons. The data show that in presence of trityl, relaxation during the low field transfer is not critical for either nuclear species in bullet-DNP.

The data are interpreted by extending the previously reported STM to account for the carbon Zeeman reservoir. This extended STM predicts an enhanced carbon relaxation due to effective trityl-mediated proton-carbon coupling from 20 mT to above 1 T.

<sup>a</sup> Institute of Biological Interfaces 4, Karlsruhe Institute of Technology, Eggenstein-Leopoldshafen, 76344, Germany. E-mail: hana.kourilova@kit.edu, michael.jurkutat@kit.edu, benno.meier@kit.edu

<sup>b</sup> School of Physics and Astronomy, University of Nottingham, Nottingham, NG7 2RD, UK

<sup>c</sup> Institute of Physical Chemistry, Karlsruhe Institute of Technology, Karlsruhe, 76131, Germany

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For a quantitative description of the observed carbon relaxation, the extended STM takes into account enhanced low-field direct hetero-nuclear mixing due to trityl-induced nuclear line-broadening, and a field-dependent carbon spin diffusion process that limits relaxation at higher fields. Hetero-nuclear thermal mixing experiments confirm the predicted trityl-mediated polarization transfer at higher fields.

## 2 Fast-field-cycling experiments

### 2.1 Samples

One sample of neat  $1\text{-}^{13}\text{C}$ -pyruvic acid (CortecNet, FR), 14 M, referred to as neat PA, was measured during a single session. Two experimental sessions were required to record the data on  $1\text{-}^{13}\text{C}$  pyruvic acid doped with 15 mM OX063 (Oxford Instruments, UK), referred to as doped PA, and a fresh sample was prepared for each session. All samples were used without degassing and flash-frozen by insertion of the NMR probe into the cold variable temperature insert of the fast-field-cycling magnet.

### 2.2 Fast-field-cycling apparatus

The measurements were performed using a fast-field-cycling (FFC) setup<sup>16,17</sup> with a range of stable temperatures from 3 to 300 K and magnetic fields from 0 to 2.5 T, with an absolute error of 2 mT. In the experiments described here, the field is changed at a rate of  $4\text{ T s}^{-1}$ . A Tecmag Apollo NMR spectrometer (Tecmag, TX) enables control of the magnet from within the NMR pulse sequence. We used a home-built NMR probe equipped with a solenoid tuned to a frequency of 22.83 MHz, which corresponds to resonant magnetic fields  $B_{\text{res}}$  of approximately 0.536 and 2.167 T for proton and carbon spins, respectively.

### 2.3 Fast-field-cycling NMR experiments

The field-dependence of the carbon relaxation time constant was measured using two variations of the sequence shown in Fig. 1. For field strengths of 0.5 T and higher a saturation recovery sequence is used. For fields up to 0.2 T the equilibrium polarization signal would be too small, and so a polarization decay sequence is used. This includes an additional polarization stage (stage II) of 70 s at 2 T.

Thermal mixing is measured using another variation of the sequence shown in Fig. 1. Here, stage I is prolonged ( $t_{\text{pol}} = 70\text{ s} \gg T_{1,\text{H}}$ ) such that the proton magnetization reaches thermal equilibrium at the  $^{13}\text{C}$  resonance field of 2.167 T, while the carbon spins are saturated. Stage II is skipped, such that for stage III the magnetic field is changed to the mixing field  $B_{\text{evo}}$  where no pulses are applied. In this period, carbon spins relax towards their thermal equilibrium polarization. Additionally, if fast direct or indirect proton-carbon exchange at  $B_{\text{evo}}$  is possible, thermal mixing leads to a transfer of spin polarization from proton to carbon spins. This gives rise to an observable maximum in carbon polarization or, if protons relax faster to the lattice, to carbon reaching equilibrium polarization faster than

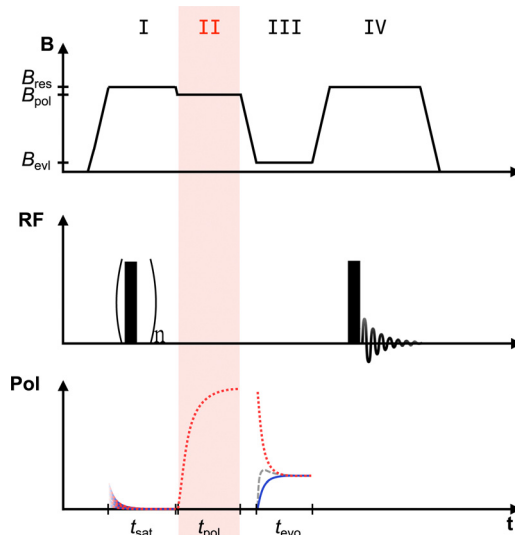


Fig. 1 Fast-field-cycling experiments were performed in three variations of the displayed sequence. Polarization decay ( $\cdots$ ): for measurements of  $T_1$  at evolution fields  $B_{\text{evo}}$  below 0.5 T the magnetization is saturated with a train of  $n \pi/2$ -pulses in stage I, to ensure reproducibility. Then in stage II the nuclei polarize at  $B_{\text{pol}} = 2\text{ T}$  (red dashed buildup curve). In stage III the field is changed to the evolution field  $B_{\text{evo}}$  and the magnetization relaxes (red dashed decay curve). In stage IV the NMR signal is read out at the resonant field  $B_{\text{res}}$ . Saturation recovery ( $-$ ): for evolution fields of 0.5 T and higher only stages I, III and IV are used, *i.e.* stage II (highlighted) is dropped. For these fields the magnetization is saturated in stage I and recovers (blue buildup curve) in stage III at  $B_{\text{evo}}$  to the respective equilibrium value. In stage IV the NMR signal is read out. Thermal mixing ( $--$ ): the sequence for thermal mixing is similar to saturation recovery, except that stage I lasts for  $t_{\text{sat}} = 70\text{ s}$  to ensure protons establish equilibrium polarization at 2.167 T while carbon spins are saturated.

its spin-lattice relaxation could account for. In stage IV the NMR signal is read out at the  $^{13}\text{C}$  resonance field of 2.167 T.

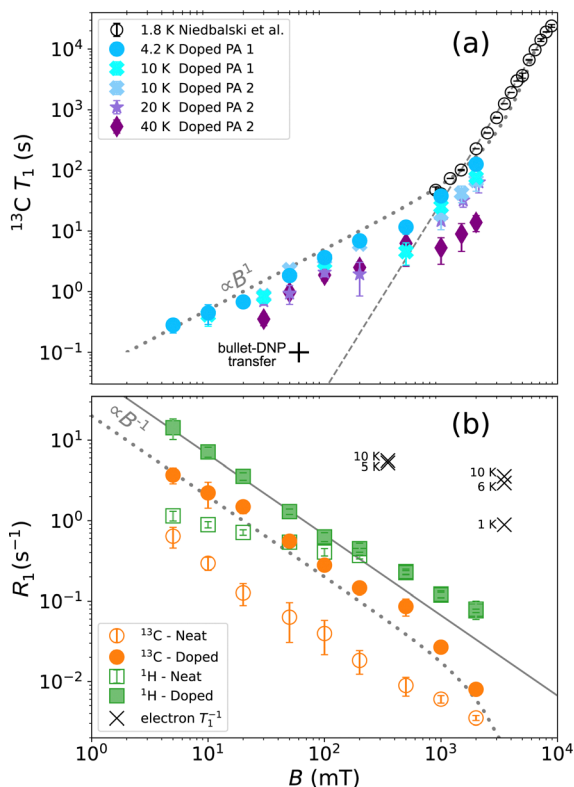
## 3 Low-field $^{13}\text{C}$ relaxation

### 3.1 Low-field $^{13}\text{C}$ relaxation data

The field-dependence of the carbon relaxation time constant  $T_{1,\text{C}}^{\bullet}$  for doped PA for temperatures between 4.2 K and 40 K is shown in Fig. 2(a). At low fields, we find an approximately linear increase of  $T_{1,\text{C}}^{\bullet}$  with field. This low-field linearity holds up to 40 K, although  $T_{1,\text{C}}^{\bullet}$  expectedly decreases with increasing temperature.

Also shown in Fig. 2(a) are data at 1.8 K recorded by Niedbalski, Lumata and co-workers.<sup>11</sup> They found that their data are well described by a power law  $T_1 = CB^{\alpha}$ , with an exponent of  $\alpha = 3.1$ , indicated by the dashed gray line. If persistent to lower fields, this trend would correspond to critical relaxation during the transfer in bullet-DNP (the transfer takes 0.1 s at 70 mT) marked in Fig. 2(a)). While we observe a linear rather than a cubic field dependence at lower fields, our data at 4.2 K between 1 and 2 T (corresponding to the upper limit of our field range) are nonetheless in good agreement





**Fig. 2** Field-dependent relaxation in 1- $^{13}\text{C}$  PA doped with 15 mM trityl (OX063). (a)  $^{13}\text{C}$  relaxation time constants for different temperatures together with 1.8 K data (gray) reproduced from ref. 11. The dashed gray line corresponds to a power law with an exponent  $\alpha = 3.1$  as reported by Niedbalski *et al.* based on measurements at 1.8 K and fields from 0.9 to 9 T. The dotted gray line is computed using  $T_1 = cB/(1 - P_0^2)$ , where  $c = 50 \text{ s T}^{-1}$ , and  $P_0 = \tan h(\hbar\gamma_S B/(2k_B T))$  is the electron spin polarization. (b) The 4.2 K data on doped PA (solid symbols) plotted as rates together with  $^1\text{H}$  data. For comparison the relaxation rates measured on neat PA (open symbols) are shown as well. The presence of OX063 increases the  $^{13}\text{C}$  relaxation rate throughout the observed field range, and causes faster  $^1\text{H}$  relaxation up to approximately 200 mT. Also shown are EPR relaxation data reported by Lumata *et al.*<sup>18</sup> near 4.2 K.

with the data by Niedbalski *et al.* at 1.8 K (corresponding to the lower limit of their field range).

The steep increase in  $^{13}\text{C}$   $T_{1,C}$ , as observed by Niedbalski *et al.* at 1.8 K, may be attributed to the substantial electron spin polarization  $P_0$  with increasing field, which leads to a suppression of triple-spin flips. As shown in Fig. 2(a), a corresponding correction of the observed linear dependence (dotted gray line) gives a satisfactory description over more than three orders of magnitude in field and more than four orders of magnitude in  $T_1$ .

To assess the effect of trityl radicals on nuclear relaxation, it is requisite to compare the relaxation of both  $^1\text{H}$  and  $^{13}\text{C}$  nuclear spins in the presence of trityl to that in neat PA. Relaxation rates  $R_1 = 1/T_1$  of both nuclei, recorded at 4.2 K, are shown for neat and doped PA in Fig. 2(b). We note for neat PA that the rates  $R_1$  of protons and carbons converge at low field, which is expected based on reports of direct low-field thermal mixing in neat PA.<sup>17,19</sup> This direct exchange is

negligible for  $B > 10$  mT but becomes relevant as the field approaches zero. The presence of trityl significantly accelerates the relaxation of carbon over the entire field range, while the effect on proton relaxation is only observable for fields below 200 mT.

In addition to the nuclear relaxation rates, Fig. 2(b) also shows electron spin-lattice relaxation rates reported by Lumata *et al.*<sup>18</sup> for temperatures near 4.2 K. For our analysis below, we note that  $R_{1,s}(T = 4 \text{ K}) \approx 5 \text{ s}^{-1}$  is nearly independent of field, which is expected since trityl relaxes predominantly *via* oxygen.<sup>20</sup>

### 3.2 Thermodynamic model

We have recently shown that the proton relaxation can be described quantitatively<sup>14</sup> using a thermodynamic spin temperature model.<sup>15,21,22</sup> In this model, as indicated in the sketch in Fig. 3, the different nuclear spin species and radical electron spins are described as reservoirs with distinct inverse temperatures  $\beta_i = \hbar/(k_B T_i)$  that couple to one another and the lattice. In particular, we showed that the relaxation of proton spins is described by their coupling to the electron Non-Zeeman (NZ) or dipolar reservoir. This exchange is *via* energy-conserving triple-spin flips (TSFs), in which a nuclear spin flip and an electron-electron flip-flop occur simultaneously.<sup>23</sup> The TSF rate was calculated from first principles,<sup>12,13</sup> after determining the NZ heat capacity from proton relaxation data. This led to a nearly quantitative agreement between the model and the measured proton relaxation rates over two orders of magnitude in magnetic field.<sup>14</sup>

In our previous analysis of the proton relaxation, the carbon reservoir could be ignored, as its heat capacity  $C_C$  is about  $\frac{C_H}{C_C} \propto$

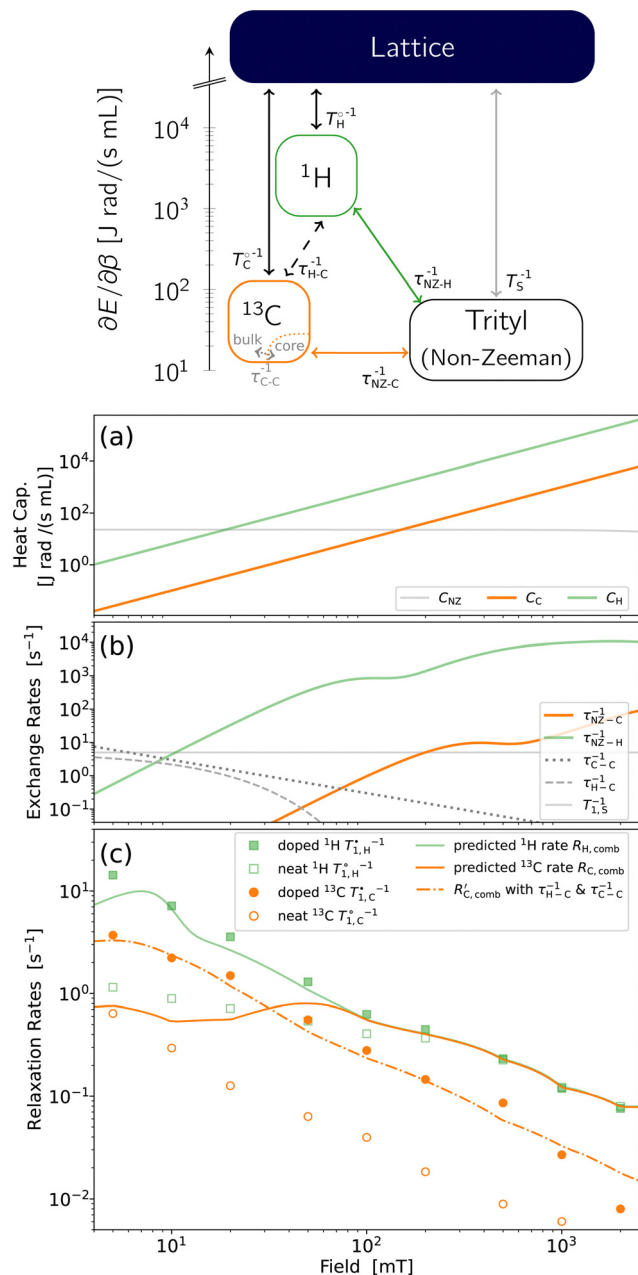
$\frac{\gamma_H^2 N_H}{\gamma_C^2 N_C} \approx 64$  times smaller than that of the proton reservoir  $C_H$  for pyruvic acid at any field, as shown in Fig. 3(a). We now extend the analysis to the carbon reservoir, which is coupled to the lattice with the rate  $T_{1,C}^{-1}$  measured in neat PA and to the NZ reservoir with the TSF rate  $\tau_{\text{NZ-C}}^{-1}$ . The latter is calculated<sup>14</sup> and compared to the TSF rate for protons in Fig. 3(b). The field-dependence of the carbon TSF rate is shifted relative to that of protons by a factor of  $\gamma_H/\gamma_C = 4$  and its amplitude is approximately two orders of magnitude smaller.

Details on the extension of the previous analysis<sup>14</sup> to three reservoirs and its numerical solution can be found in the ESI.† The relaxation of three coupled reservoirs to the lattice temperature is generally given by a tri-exponential decay. Since the experimental data do not warrant an extraction of three coefficients and three decay rates, we compare the experimental data in Fig. 3(c) with effective relaxation rates  $R_{i,\text{comb}}(t) = -\dot{\beta}_i(t)/\beta_i(t)$ , evaluated at the time of measurement  $t = T_{1,i}^*(B_0)$ . Further details are given in the ESI.†

### 3.3 Model vs. experimental data

As expected, the presence of the carbon reservoir does not affect the resulting proton relaxation (solid green line in Fig. 3(c)), *i.e.*,





**Fig. 3** Top:  $^{13}\text{C}$  relaxation is described by three reservoirs coupled to the lattice and each other. The vertical axis gives the reservoirs' heat capacities at a field of 200 mT. (a) Heat capacities of nuclear Zeeman reservoirs grow quadratically with field, while the NZ heat capacity is field-independent. (b) Exchange rates used for modelling of nuclear relaxation rates. The TSF rates  $\tau_{\text{NZ-H/C}}^{-1}$  have previously been calculated<sup>14</sup> and the electron  $T_{\text{1,S}}^{-1}$  is known from literature data.<sup>18</sup> Also shown are the calculated carbon core-bulk diffusion rate  $\tau_{\text{C-C}}^{-1}$  and the proton-carbon mixing rate  $\tau_{\text{H-C}}^{-1}$ . (c) The resulting coefficient-weighted relaxation rates for protons  $R_{\text{H,comb}}(t = T_{\text{1,H}}^{-1})$  describe  $^1\text{H}$  data well.<sup>14</sup> The predicted rate for carbon  $R_{\text{C,comb}}(t = T_{\text{1,C}}^{-1})$  is slower than experimental rates  $T_{\text{1,C}}^{-1}$  for fields up to 30 mT and faster above. Between 0.1 and 1 T the high TSF rates for both nuclei cause the predicted relaxation rate for carbon to be tied to the proton relaxation rate. Taking into account faster low-field mixing with protons and carbon-carbon spin-diffusion, the  $^{13}\text{C}$  data are well described (dash-dot line).

the three-reservoir description traces the proton data for doped PA (full squares) as well as the previous analysis,<sup>14</sup> which had considered only protons and the NZ reservoir.

The solution for the expected carbon rate (solid orange line in Fig. 3(c)) predicts accelerated relaxation throughout the field range, but does not describe the measured carbon rates well. At fields up to 20 mT, the predicted relaxation rates are smaller than the ones observed in experiment. For fields above 50 mT, the predicted relaxation rates are larger than the ones observed in experiment.

The discrepancy at low fields may be attributed to direct thermal mixing between the  $^1\text{H}$  and  $^{13}\text{C}$  reservoirs. The rate for this process, denoted by  $\tau_{\text{H-C}}^{-1}$  in Fig. 3(b), is negligible above 20 mT but becomes significant at lower fields.<sup>17</sup> Note that this direct mixing process causes the convergence, noted above, of the experimental carbon and proton relaxation rates at low fields in neat PA. Therefore, in neat PA below 20 mT,  $\tau_{\text{H-C}}^{-1}$  should correspond to the experimentally observed carbon relaxation rate. We find that the low-field relaxation rates of  $^{13}\text{C}$  in doped PA are well described by  $5T_{\text{1,C}}^{-1}$ , *i.e.* the direct proton-carbon relaxation rates scale with the neat rates, but are accelerated five-fold. We attribute this increase to nuclear line-broadening due to the presence of trityl in doped PA.

The discrepancy observed at fields above 50 mT may be attributed to carbon spin diffusion. In the model, the protons are in the fast thermal mixing limit in this field range, *i.e.*, the NZ reservoir exchanges faster with the protons than with the lattice ( $\tau_{\text{NZ-H}}^{-1} \gg T_{\text{1,S}}^{-1}$ ) and the NZ heat capacity does not affect the proton reservoir relevantly ( $C_{\text{NZ}} \ll C_{\text{H}}$ ). Therefore the proton reservoir sets the temperature of the Non-Zeeman reservoir. As the field is increased, the carbon reservoir increasingly couples to the NZ reservoir, and so the model predicts the same spin temperatures and hence relaxation rates for both protons and carbons.

However, only a minute portion of nuclear spins in the radical vicinity, referred to as core spins, are in direct exchange with the electron NZ reservoir. Carbon spins in the bulk only exchange with the radicals indirectly *via* carbon spin diffusion. From our experiments we cannot distinguish whether a slow exchange between core and bulk carbon spins impedes the relaxation, or if the diffusion through the bulk is field-dependent, and we discuss both possibilities in Section 5.

As detailed in the ESI† and indicated in the sketch in Fig. 3, the relaxation model may be extended with a second carbon reservoir and a field-dependent carbon core-bulk time constant of  $33 \text{ s T}^{-1}$ . This leads to a satisfactory description (dash-dot line in Fig. 3(c)) of the experimental data.

In the following section we show that thermal mixing experiments are consistent with the predicted indirect proton-carbon coupling mediated by the NZ reservoir, as well as the inferred (i) five-fold accelerated direct hetero-nuclear exchange at low field, and (ii) the slowing of indirect hetero-nuclear coupling by a field-dependent carbon diffusion.

## 4 Hetero-nuclear mixing

In the thermal mixing experiments the carbon spins are saturated while the proton polarization is allowed to achieve





thermal equilibrium at 2.167 T. The field is then ramped to the mixing field for the duration of the mixing delay, and subsequently the field is ramped back to 2.167 T, where the  $^{13}\text{C}$  spin polarization is read out. In the following thermal mixing data at 4.2 K are presented, further data are given in the ESI.†

#### 4.1 Thermal mixing – neat vs. doped PA

In order to quantify the effectiveness of the thermal mixing step we define the thermal mixing efficiency  $\eta$  as the observed signal divided by the thermal equilibrium signal of  $^{13}\text{C}$  at 2.167 T. The heat load of the carbon reservoir on the protons is negligible, so that a thermal mixing efficiency of 100% implies that the carbon spins attain the spin temperature of the proton spins without any losses, which corresponds to the theoretical maximum attainable in absence of any relaxation. The dependences of TM efficiency on mixing delay at different fields measured in neat and doped PA at 4.2 K are shown in the Fig. 4(a and b), respectively.

For neat PA we find thermal mixing is most efficient well below 10 mT, in agreement with a previous study,<sup>17</sup> and the converging  $T_1$ 's for protons and carbons pointed out above. We note, however, a limited polarization transfer to carbon in neat PA at fields up to 100 mT, *cf.* top of Fig. 4(a), corresponding to  $\eta \approx 15\%$ . This occurs even for the shortest mixing times and has similarly been observed in previous FFC experiments.<sup>17</sup> We infer that this transfer occurs during the field ramp up to the resonance field, and it may be associated with exchange with quantized rotational states of the methyl group.<sup>24,25</sup>

For doped PA in Fig. 4(b) we observe, as predicted, efficient thermal mixing also for fields above 20 mT. Maxima indicating hetero-nuclear mixing can be observed within the measured mixing time range for fields up to 400 mT.

We now consider the field-dependent maximum TM efficiency  $\eta_{\text{max}}$  and the corresponding mixing time  $t_{\text{max}}$ , displayed in Fig. 5(a and b), respectively.

For neat PA (open circles) we see that  $\eta_{\text{max}}$  in Fig. 5(a) falls from about 50% at zero field and levels off at  $\eta_{\text{max}} \approx 15\%$  for 10 mT and above. The corresponding mixing times Fig. 5(b) appear to be about 0.1 s rather independent of field.

For doped PA (full circles) in Fig. 5(a) we find mixing efficiency exceeds that of neat PA for  $B \geq 5$  mT, and for all fields values of  $\eta_{\text{max}}$  greater than or equal to equilibrium polarization (gray line in Fig. 5(a)) are achieved. These maxima are established at shorter mixing times than the measured spin-lattice relaxation could account for, *i.e.*  $t_{\text{max}} < 3T_{1,\text{C}}^*$  (orange dash-dot line in Fig. 5(b)), providing robust evidence for hetero-nuclear thermal mixing throughout the investigated field range.

#### 4.2 Mixing data and relaxation model

For comparison with the results of our relaxation data analysis, it is instructive to consider relevant field domains marked in Fig. 5.

(I) Up to 20 mT, a five-fold accelerated direct hetero-nuclear exchange is attributed to radical induced line-broadening. In Fig. 5(b)  $t_{\text{max}}$  is indeed drastically reduced compared to neat PA, so much so that the actual mixing maxima appear to be reached for mixing times below our experimental range or even during the ramp, *cf.* Fig. 4(b).

(II) Above 20 mT, the relaxation model predicts efficient indirect proton-carbon mixing and we attribute the observed  $^{13}\text{C}$  relaxation to trityl-mediated hetero-nuclear exchange that is slowed down by field-dependent carbon core-bulk diffusion. The increase in  $t_{\text{max}}$  with field in Fig. 5(b) is consistent with this scenario, as is the broadening of mixing maxima with increasing field apparent in Fig. 4(b).

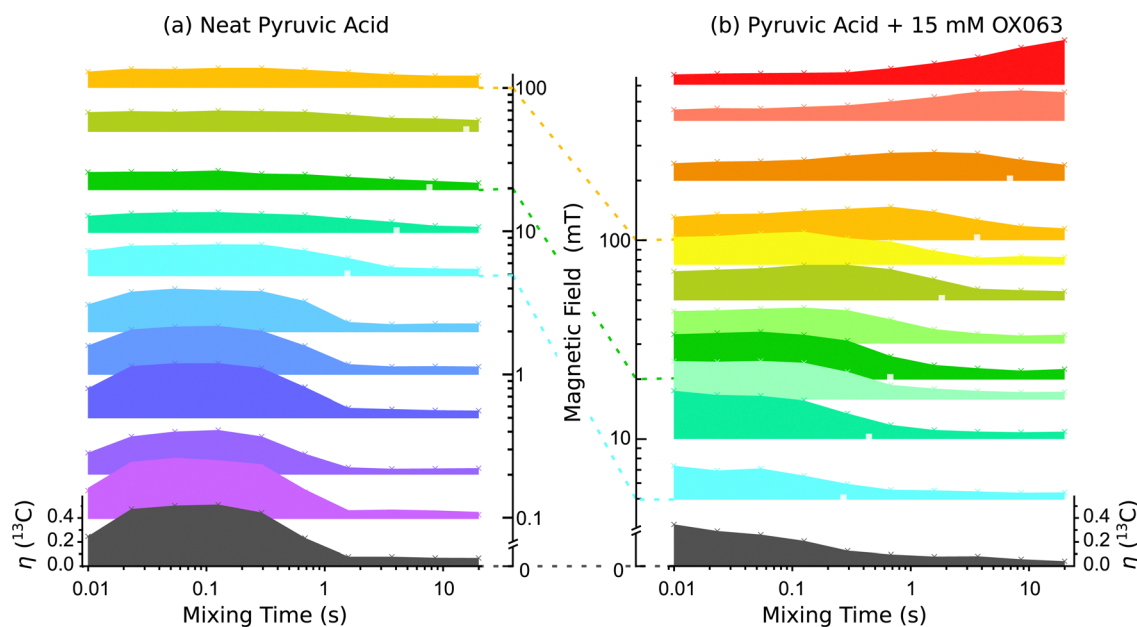


Fig. 4 Thermal mixing in (a) neat and (b) doped PA at 4.2 K for fields from zero up to 100 mT and 600 mT, respectively. All data were normalized to the thermal equilibrium  $^{13}\text{C}$  signal at 4.2 K and 2 T (see ESI†), with the scale indicated for the respective zero-field data (lower left and right). TM efficiency is shown as a function of mixing delay. Also the measured  $^{13}\text{C}$   $T_1$  are indicated, where available, by white squares in the respective datasets.



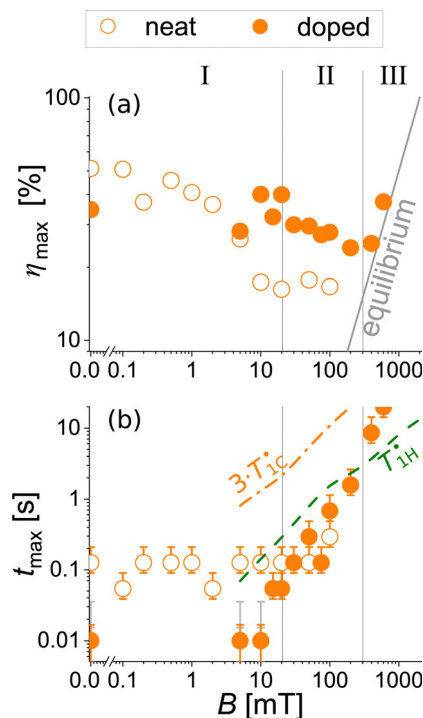


Fig. 5 (a) The field-dependent maximum mixing efficiency  $\eta_{\max}$  shows that trityl mediates hetero-nuclear mixing above 5 mT, since  $\eta_{\max}$  is above equilibrium polarization throughout the investigated field range. (b) The corresponding times for TM maxima,  $t_{\max}$ , show that trityl accelerates TM below 20 mT, uncertainty of neat  $t_{\max}/5$  (gray error bars) at corresponding fields (0, 5 and 10 mT) overlaps with uncertainty of  $t_{\max}$  for doped PA. Above 20 mT  $t_{\max}(B)$  for doped PA confirms TM,  $t_{\max} \ll 3T_{1,C}^*$  (orange dash-dot line). Also, for  $B \geq 20$  mT we find  $t_{\max}$  grows with field, consistent with TM slowed down by diffusion. Beyond 200 mT the mixing process becomes slower than the proton relaxation,  $t_{\max} > T_{1,H}$  (green dashed line), such that  $\eta_{\max}$  in (c) only slightly above equilibrium can be achieved by TM for 400 and 600 mT.

(III) Above 300 mT the observed indirect proton–carbon mixing becomes slower than the proton relaxation,  $t_{\max} > T_{1,H}^*$  (green dashed line in Fig. 5(b)), such that no pronounced maxima at 400 and 600 mT are observable, cf. Fig. 4, but rather  $\eta_{\max}$  values slightly above thermal equilibrium, cf. Fig. 5(a). We can nonetheless distinguish the observed mixing from spin-lattice relaxation, since the maxima are achieved faster than spin-lattice relaxation could account for,  $t_{\max} \ll 3T_{1,C}^*$  (orange dash-dot line in Fig. 5(b)).

The TM data are qualitatively consistent with the results from the model used to describe the carbon relaxation. They show that the presence of trityl causes the predicted (indirect) mixing for fields above 20 mT. Additionally they indicate that (i) trityl accelerates (direct) mixing at low field, and that (ii) the (indirect) mixing above 20 mT slows down with increasing field.

## 5 Discussion

The relaxation data reported here explain the viability of bullet-DNP experiments.<sup>9,10</sup> Earlier work at fields down to 1 T indicated a scaling of  $^{13}\text{C}$  relaxation rates with field according to  $T_1 \sim B^3$ ,<sup>11</sup> that would lead to very fast relaxation at low fields.

Conversely, our data show that below 1 T the relaxation time constants scale only linearly with the applied field.

An extended STM predicts indirect proton–carbon exchange mediated by the NZ reservoir. Qualitatively, this is consistent with the observed enhanced carbon relaxation and with the enhanced mixing observed above 20 mT.

At fields up to 20 mT, a quantitative description of the carbon relaxation needs to include enhanced direct proton–carbon mixing. This is consistent with the shortened times  $t_{\max}$  to attain maximum mixing in doped PA, and we attribute the enhanced direct hetero-nuclear mixing to nuclear line broadening due to the presence of trityl.

At fields above 50 mT, the experimentally observed relaxation rates are substantially smaller than those predicted. Here the model predicts efficient indirect coupling *via* the NZ reservoir such that carbon relaxation rates are equal to those of protons. Since only core  $^{13}\text{C}$  nuclei in the radical vicinity exchange directly with the NZ reservoir, we attribute this discrepancy to a field-dependent carbon diffusion process. This is also consistent with the thermal mixing data, where  $t_{\max}$  increases with increasing field. A diffusion process that slows with increasing field is also compatible with long DNP build-up times at high field.<sup>26</sup> There are two different diffusion processes that may each limit the polarization exchange between carbon bulk spins and the radical NZ reservoir.

One possibility is that this reduction arises from slow carbon spin diffusion through the bulk. The carbon line in  $1\text{-}^{13}\text{C}$  PA has a contribution from chemical shift anisotropy (CSA),<sup>27</sup> and one may expect spin diffusion to limit the overall  $^{13}\text{C}$  relaxation as the field, and thereby the carbon linewidth, is increased.<sup>28</sup> It should be noted, however, that at the fields investigated in this study, the carbon line is dominated by dipolar interactions.

An alternative explanation of the observed slower carbon relaxation rates is that the proximity to the radicals causes a shift in the resonance frequency of the core spins, which in turn impedes diffusion to the bulk spins. The diffusion barrier separates the carbon spins into NMR-invisible core nuclei in the radical vicinity, and visible bulk nuclei outside the barrier. The diffusion among the bulk nuclei is fast, and so the carbon relaxation rate is limited by the exchange between core and bulk nuclei. As measured recently by Stern *et al.* on protons,<sup>29</sup> the core spins do nonetheless exchange polarization with the bulk spins. This exchange was modelled with two coupled reservoirs representing the core and bulk spins, respectively, where the diffusion barrier limits the exchange of Zeeman energy between these reservoirs.<sup>29</sup> The energy flow across the barrier is frequently attributed to dipolar interactions,<sup>30–33</sup> and it is conceivable that the coupling of the two reservoirs is field-dependent, since more Zeeman energy has to be transferred across the barrier at higher fields. However, for  $^{13}\text{C}$  no experimental studies of this coupling have been presented to date.

## 6 Conclusion

In conclusion, we have found that at low fields and low temperatures the carbon  $T_{1,C}$  in pyruvic acid doped with



15 mM OX063 scales linearly with the applied field, and is long compared to the transfer times in bullet-DNP. The relaxation data may be described with a spin temperature model that predicts radical-mediated, triple-spin-flip driven hetero-nuclear polarization transfer at fields from 20 mT to beyond 1 T. The model comprises a carbon spin diffusion process that hampers polarization exchange between the radical and bulk carbon spins. Long build-up times in DNP of low- $\gamma$  nuclei are a possible manifestation of this process, although its microscopic origin has yet to be determined.

## Author contributions

HK performed experiments with help from DP, KK, ASK, AJH, JFM, JOB and BM. Experimental data were analysed by HK, BM and MJ. MJ and BM devised the thermodynamic description and wrote the manuscript.

## Conflicts of interest

There are no conflicts to declare.

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