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REGULAR SEQUENCES AND RANDOM WALKS IN AFFINE BUILDINGS

by James PARKINSON & Wolfgang WOESS (*)

ABSTRACT. — We define and characterise regular sequences in affine buildings, thereby giving the p -adic analogue of the fundamental work of Kaimanovich on regular sequences in symmetric spaces. As applications we prove limit theorems for random walks on affine buildings and their automorphism groups.

RÉSUMÉ. — On donne la définition et des caractérisations de suites régulières dans les immeubles affines. Ce faisant, on obtient l'analogie p -adique du travail fondamental de Kaimanovich sur les suites régulières dans les espaces symétriques. Comme application, nous démontrons des théorèmes limite pour des marches aléatoires dans les immeubles affines et leurs groupes d'automorphismes.

Introduction

The celebrated *Multiplicative Ergodic Theorem* of Oseledets [15] establishes conditions for Lyapunov regularity of random real matrices. In particular, under a finite first moment assumption the product of independent identically distributed real random matrices behaves “asymptotically” like the sequence of powers of some fixed positive definite symmetric matrix Λ , and the Lyapunov exponents are the logarithms of the eigenvalues of Λ .

Let $S = GL_r(\mathbb{R})/O_r(\mathbb{R})$ be the symmetric space associated with $GL_r(\mathbb{R})$, and let g_1, g_2, \dots be a stationary sequence in $GL_r(\mathbb{R})$ with finite first moment. Kaimanovich [10] observed that Lyapunov regularity of the sequence

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$(g_1 \cdots g_n)_{n \geq 1}$ of products is equivalent to the existence of a unit speed geodesic $\gamma : [0, \infty) \rightarrow S$ in S and a number $a \geq 0$ such that

$$\lim_{n \rightarrow \infty} \frac{d(x_n, \gamma(an))}{n} = 0,$$

where $x_n = g_1 \cdots g_n o$ with $o = O_r(\mathbb{R})$, the basepoint of S .

This leads naturally to the definition of *regularity* of a sequence in the symmetric space of an arbitrary Lie type group: A sequence $(x_n)_{n \geq 0}$ in S is *regular* if there is a unit speed geodesic $\gamma : [0, \infty) \rightarrow S$ and a number $a \geq 0$ such that $d(x_n, \gamma(an)) = o(n)$. In [10, Theorems 2.1 and 2.4], Kaimanovich obtains a complete characterisation of regular sequences in terms of spherical coordinates and horospheric coordinates in the symmetric space. As a consequence, Kaimanovich obtains a Multiplicative Ergodic Theorem for noncompact semisimple real Lie groups with finite centre, generalising Osledeets' Theorem to arbitrary Lie type.

A striking feature of Kaimanovich's analysis is that it is entirely geometric in nature, converting the statement about Lyapunov regularity of matrices to the geometric statement about being sublinearly close to a geodesic in the associated symmetric space. These ideas have since been extended much further. In [12, Theorem 2.1], Karlsson and Margulis prove a Multiplicative Ergodic Theorem that applies to random walks on the isometry group of a uniformly convex, Busemann nonpositively curved, complete metric space (for example, a CAT(0) space). In [11], Karlsson and Ledrappier give a general version of the Multiplicative Ergodic Theorem in terms of Busemann functions.

Our main aim here is to carry Kaimanovich's characterisation of regular sequences across to the p -adic case of semisimple Lie groups over non-archimedean local fields. In this setting the symmetric space is replaced by the *affine Bruhat-Tits building* of the group. In fact we will work more generally with arbitrary affine buildings Δ (including those that do not arise from group constructions, c.f. Ronan [20]). Affine buildings are CAT(0) spaces, and by analogy with the symmetric space case we define a sequence $(x_n)_{n \geq 0}$ in Δ to be *regular* if there exist a unit speed geodesic $\gamma : [0, \infty) \rightarrow \Delta$ and a number $a \geq 0$ such that

$$d(x_n, \gamma(an)) = o(n).$$

In Theorem 3.2 we give a characterisation of regular sequences in affine buildings in the spirit of Kaimanovich's original symmetric space characterisation. This characterisation is in terms of a natural *vector distance* in the building (the analogue of spherical coordinates in the symmetric space),

and in terms of a *vector Busemann function* (the analogue of horospheric coordinates in the symmetric space). These results can also be seen as extensions into higher rank of results of Cartwright, Kaimanovich and Woess [7], where regular sequences in trees are studied (these are the simplest affine buildings).

As applications of our characterisation we prove limit theorems and convergence theorems for the right random walk on the automorphism group of an affine building. In particular, these results apply to ‘groups of p -adic type’ (see Macdonald [14]). We also give limit theorems and convergence theorems for *semi-isotropic* random walks on the buildings themselves. In this case there is not necessarily any underlying group structure. For example, by a free construction of Ronan [20], there are \tilde{A}_2 buildings with trivial automorphism group. However we note that by a fundamental theorem of Tits [26] (see also Weiss [28]), all irreducible affine buildings of rank 4 or more are “classical” and arise from a group construction.

Regarding random walks on p -adic groups and affine buildings we mention the works of Sawyer [22], Cartwright, Kaimanovich and Woess [7], and Brofferio [4] concerning trees, and in higher dimension the works of Tolli [27], Lindlbauer and Voit [13], Cartwright and Woess [8], Parkinson [18], Shapira [23], and Parkinson and Shapira [19]. Further references can be found in those papers.

This paper is organised as follows. In Section 1 we give the relevant background on Coxeter groups and the Coxeter complex. In Section 2 we give background on affine buildings, and we define vector distances and vector Busemann functions in the affine building. In Section 3 we define regular sequences in affine buildings, and present our main theorem characterising regular sequences in the spirit of Kaimanovich’s original characterisation for symmetric spaces. In Section 4 we give applications of our main result to random walks on p -adic Lie groups and affine buildings.

1. Coxeter groups and the Coxeter complex

Coxeter groups form the backbone of the more sophisticated *buildings* which are the subject of this paper. In this section we give some relevant background on Coxeter groups, focussing on the special case of *affine Weyl groups*.

1.1. Affine Coxeter groups

A Coxeter system (W, S) is a group W generated by a set S with relations

$$s^2 = 1 \quad \text{and} \quad (st)^{m_{st}} = 1 \quad \text{for all } s, t \in S \text{ with } s \neq t,$$

where $m_{st} = m_{ts} \in \mathbb{Z}_{\geq 2} \cup \{\infty\}$ for all $s \neq t$. As is standard, we often say that W is a Coxeter group when the generating set S is implied. The length of $w \in W$ is

$$\ell(w) = \min\{n \geq 0 \mid w = s_1 \cdots s_n \text{ with } s_1, \dots, s_n \in S\}.$$

An expression $w = s_1 \cdots s_n$ with $n = \ell(w)$ is called a *reduced expression* for w . A Coxeter system (W, S) is *irreducible* if there is no partition $S = S_1 \cup S_2$ into nonempty disjoint sets S_1 and S_2 with $ss' = s's$ (that is, $m_{ss'} = 2$) for all $s \in S_1$ and $s' \in S_2$.

A Coxeter group W is *affine* if it is not finite, but contains a normal Abelian subgroup such that the corresponding quotient group is a finite group. All irreducible affine Coxeter groups can be constructed as an *affine Weyl group* associated to a *root system*. This construction realises the affine Coxeter group as a group of reflections in (affine) hyperplanes in an Euclidean space. We outline this explicit construction below (the canonical reference is Bourbaki [2]).

Let E be an r -dimensional real vector space with inner product $\langle \cdot, \cdot \rangle$. If $\alpha \in E \setminus \{0\}$ and $k \in \mathbb{R}$ let $H_{\alpha, k} = \{x \in E \mid \langle x, \alpha \rangle = k\}$. This affine hyperplane is parallel to the linear hyperplane $H_\alpha = H_{\alpha, 0} = (\mathbb{R}\alpha)^\perp$. The orthogonal reflection in the hyperplane $H_{\alpha, k}$ is given by the formula

$$s_{\alpha, k}(x) = x - (\langle x, \alpha \rangle - k)\alpha^\vee, \quad \text{where} \quad \alpha^\vee = 2\alpha/\langle \alpha, \alpha \rangle.$$

Write $s_\alpha = s_{\alpha, 0}$, and for $\lambda \in E$ let $t_\lambda \in \text{Aff}(E)$ be the translation $t_\lambda(x) = x + \lambda$.

Let R be a *root system* in E (see [2]). Thus R is a finite set of non-zero vectors such that (i) R spans E , (ii) if $\alpha \in R$ and $k\alpha \in R$ then $k = \pm 1$, (iii) if $\alpha, \beta \in R$ then $s_\alpha(\beta) \in R$, and (iv) if $\alpha, \beta \in R$ then $\langle \alpha, \beta^\vee \rangle \in \mathbb{Z}$. Assume further that R is *irreducible*, and so there is no partition $R = R_1 \cup R_2$ with R_1 and R_2 nonempty such that $\langle \alpha, \beta \rangle = 0$ for all $\alpha \in R_1$ and all $\beta \in R_2$. Let $\alpha_1, \dots, \alpha_r \in R$ be a fixed choice of *simple roots*. Thus every $\alpha \in R$ can be written as a linear combination of $\alpha_1, \dots, \alpha_r$ with integer coefficients which are either all nonpositive, or all nonnegative. Those roots whose coefficients are all nonnegative are called *positive roots*, and the set of all positive roots is denoted R^+ . Then $R = R^+ \cup (-R^+)$.

The *Weyl group* of R is the subgroup W_0 of $\text{GL}(E)$ generated by the reflections s_α with $\alpha \in R$. Let $s_j = s_{\alpha_j}$ for $j = 1, \dots, r$. The group W_0 is

generated by the reflections s_1, \dots, s_r , and the order of the product $s_i s_j$ is m_{ij} , where the angle between α_i and α_j is $\pi - \pi/m_{ij}$. Thus W_0 is a finite Coxeter group relative to the generators s_1, \dots, s_r . There is a unique element $w_0 \in W_0$ of maximal length (the *longest element* of W_0).

The *affine Weyl group* of R is the subgroup W of $\text{Aff}(E)$ generated by the reflections $s_{\alpha,k}$ with $\alpha \in R$ and $k \in \mathbb{Z}$. Let $\varphi \in R$ be the *highest root* of R (the *height* of the root $\alpha = a_1\alpha_1 + \dots + a_r\alpha_r$ is $\text{ht}(\alpha) = a_1 + \dots + a_r$, and φ is the unique root with greatest height). Let $s_0 = s_{\varphi,1}$. The group W is generated by the reflections s_0, s_1, \dots, s_r , and the order of $s_0 s_j$ is m_{0j} , where the angle between $-\varphi$ and α_j is $\pi - \pi/m_{0j}$. Thus W is an infinite Coxeter group relative to the generators s_0, s_1, \dots, s_r . Since $s_{\alpha,k} = t_{k\alpha^\vee} s_\alpha$ we have

$$W = Q \rtimes W_0, \quad \text{where} \quad Q = \mathbb{Z}\alpha_1^\vee + \dots + \mathbb{Z}\alpha_r^\vee.$$

Thus W is an affine Coxeter group. All irreducible affine Coxeter groups arise in this way for some choice of root system. The lattice Q is the *co-root lattice* of R . Let $\omega_1, \dots, \omega_r$ be the basis of E dual to $\alpha_1, \dots, \alpha_r$ (so $\langle \omega_i, \alpha_j \rangle = \delta_{ij}$). The *coweight lattice* of R is

$$P = \mathbb{Z}\omega_1 + \dots + \mathbb{Z}\omega_r = \{ \lambda \in E \mid \langle \lambda, \alpha \rangle \in \mathbb{Z} \text{ for all } \alpha \in R \},$$

and elements of P are called *coweights* of R (with $\omega_1, \dots, \omega_r$ being *fundamental coweights*). We have $Q \subseteq P$, and for any lattice L with $Q \subseteq L \subseteq P$ we define a group $W_L = L \rtimes W_0$. In particular $W_Q = W$, however in general W_L is not a Coxeter group. We have $W_L = W \rtimes (L/Q)$ with L/Q finite and Abelian. We call W_L an *extended affine Weyl group*.

1.2. The Coxeter complex

Let R be an irreducible root system with Weyl group W_0 , affine Weyl group W , coroot lattice Q , and coweight lattice P (as in the previous section). Let \mathcal{H} be the family of hyperplanes $H_{\alpha,k}$ with $\alpha \in R^+$ and $k \in \mathbb{Z}$. The closures of the open connected components of $E \setminus \mathcal{H}$ are geometric simplices of dimension r . The extreme points of these geometric simplices are *vertices*, and the resulting simplicial complex Σ is the *Coxeter complex of W* . We will rather freely interchange between regarding Σ as an “abstract” simplicial complex (cf. Abramenko and Brown [1]) and a “geometric” simplicial complex (embedded in the Euclidean space E). The extended affine Weyl groups W_L act on Σ by simplicial complex automorphisms. Thus, in particular, each $\lambda \in P$ is a vertex of Σ , however in general

P is a strict subset of the vertex set. The vertices $\lambda \in P$ are called the *special vertices* of Σ .

The maximal dimensional simplices of Σ are called *chambers* (thus, each chamber has exactly $r + 1$ vertices). The *fundamental chamber* is the geometric simplex

$$\mathfrak{c}_0 = \{x \in E \mid \langle x, \alpha_i \rangle \geq 0 \text{ for all } i = 1, \dots, r \text{ and } \langle x, \varphi \rangle \leq 1\},$$

where φ is the highest root of R . This chamber is bounded by the hyperplanes H_{α_i} ($1 \leq i \leq r$) and $H_{\varphi,1}$. The affine Weyl group W acts simply transitively on the set of chambers of Σ , and we usually identify the chambers with W (by $w\mathfrak{c}_0 \leftrightarrow w$).

The vertex set of \mathfrak{c}_0 is $\{0\} \cup \{\omega_i/m_i \mid 1 \leq i \leq r\}$, where $\varphi = m_1\alpha_1 + \dots + m_r\alpha_r$ is the highest root. Define the *type* of the vertex 0 to be $\tau(0) = 0$ and the type of the vertex ω_i/m_i to be $\tau(\omega_i/m_i) = i$ for $1 \leq i \leq r$. This extends uniquely to a type function $\tau : \Sigma \rightarrow 2^{\{0,1,\dots,r\}}$ making Σ into a *labelled simplicial complex* (where each chamber has exactly one vertex of each type). The type of a simplex $\sigma \in \Sigma$ is $\tau(\sigma) = \{\tau(x) \mid x \in \sigma\}$. The action of W on Σ preserves types, and Q is the set of all type 0 vertices of Σ .

The hyperplanes in \mathcal{H} are called the *walls* of Σ . Each wall $H_{\alpha,k}$ of Σ determines two *half apartments*, $H_{\alpha,k}^+ = \{x \in E \mid \langle x, \alpha \rangle \geq k\}$ and $H_{\alpha,k}^- = \{x \in E \mid \langle x, \alpha \rangle \leq k\}$ (the term ‘‘apartment’’ comes from the building language of the next section).

The *fundamental sector* of Σ is the geometric cone

$$\mathfrak{s}_0 = \bigcap_{i=1}^r H_{\alpha_i,0}^+ = \{x \in E \mid \langle x, \alpha_i \rangle \geq 0 \text{ for all } i = 1, \dots, r\}.$$

Points $x \in \mathfrak{s}_0$ are called *dominant*, and it is convenient at times to write $E^+ = \mathfrak{s}_0$. Any set of the form $w\mathfrak{s}_0$ with $w \in W_P$ is called a *sector* of Σ . The *base vertex* of \mathfrak{s}_0 is 0 , and the *sector panels* of \mathfrak{s}_0 are the half lines $H_{\alpha_i} \cap \mathfrak{s}_0 = \mathbb{R}_{\geq 0}\omega_i$, $1 \leq i \leq r$. The base vertex and sector panels of $w\mathfrak{s}_0$ are the translates of those for \mathfrak{s}_0 , and so in particular sectors are always based at special vertices. Two sectors are *adjacent* if they have the same base vertex and share a sector panel. Since the group W_0 acts simply transitively on the set of sectors with base vertex 0 , for each $\mu \in E$ there is a unique dominant element $\mu^+ \in E^+$ in the orbit $W_0\mu$.

Example 1.1. — Figure 1.1 shows the \tilde{C}_2 case.

Let $R = \pm\{e_1 - e_2, e_1 + e_2, 2e_1, 2e_2\}$ be a root system of type C_2 . The roots $\alpha_1 = e_1 - e_2$ and $\alpha_2 = 2e_2$ are simple roots. Thus $\alpha_1^\vee = e_1 - e_2$

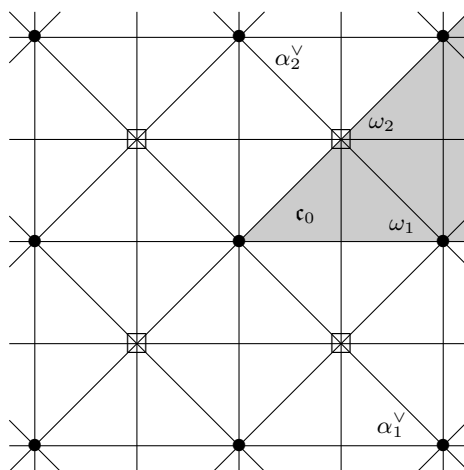


Figure 1.1. The \tilde{C}_2 Coxeter complex

and $\alpha_2^\vee = e_2$ (as shown). The fundamental coweights are $\omega_1 = e_1$ and $\omega_2 = \frac{1}{2}(e_1 + e_2)$. The highest root is $2\alpha_1 + \alpha_2$, and the vertices of \mathfrak{c}_0 are $\{0, \omega_1/2, \omega_2\}$. The type 0 vertices (respectively type 2 vertices) are marked with \bullet (respectively \square). The remaining vertices have type 1, and are not special vertices. The fundamental sector \mathfrak{s}_0 is shaded. The coroot lattice Q is the set of type 0 vertices and the coweight lattice is the set of all special vertices.

2. Affine buildings

The theory of *buildings* grew from the fundamental work of Jacques Tits starting in the 1950s. The initial impetus was to give a uniform description of semisimple Lie groups and algebraic groups by associating a geometry to each such group. This “geometry of parabolic subgroups” later became known as the *building* of the group [25]. Since their invention, buildings and related geometries have enjoyed extensive study and development, and have found applications in many areas of mathematics; see Abramenko and Brown [1], Ronan [21], and the survey by Ji [9]. Our main reference for this section is [1].

We begin with the definition of an affine building, along with some basic definitions from the theory of buildings. After this we define vector distances in the affine building, and then we introduce and develop the theory of vector valued Busemann functions on the affine building.

2.1. Definitions

We adopt Tits' definition of buildings as simplicial complexes satisfying certain axioms (cf. [1]). Recall that a *simplicial complex* with vertex set V is a collection Δ of finite subsets of V (called *simplices*) such that for every $v \in V$, the singleton $\{v\}$ is a simplex, and every subset of a simplex σ is a simplex (a *face* of σ). If σ is a simplex which is not a proper subset of any other simplex then σ is a *chamber* of Δ .

DEFINITION 2.1. — *Let (W, S) be an irreducible affine Coxeter system with Coxeter complex Σ . A building of type (W, S) is a nonempty simplicial complex Δ which is the union of subcomplexes called apartments, each isomorphic to Σ , such that*

- (B1) *given any two simplices of Δ there is an apartment containing both of them, and*
- (B2) *if apartments A, A' of Δ contain a common chamber then there is a unique simplicial complex isomorphism $\psi : A \rightarrow A'$ fixing every vertex of $A \cap A'$.*

Fix, once and for all, an apartment of Δ and identify it with Σ . Thus we regard Σ as an apartment of Δ , the “standard apartment”. Let $I = \{0, 1, \dots, r\}$. The type function on Σ extends uniquely to a type function $\tau : \Delta \rightarrow 2^I$ making Δ into a labelled simplicial complex. The isomorphism in the second building axiom is necessarily type preserving.

The *dimension* of the simplex $\sigma \in \Delta$ is $|\sigma| - 1$, and the *codimension* of σ is $r + 1 - |\sigma|$. The chambers are the maximal dimensional simplices, and a *panel* is a codimension 1 simplex. Chambers c and d of Δ are *i -adjacent* (written $c \sim_i d$) if they share a panel π of type $I \setminus \{i\}$. A *gallery of type (i_1, \dots, i_n)* from c to d is a sequence of chambers

$$c = c_0 \sim_{i_1} c_1 \sim_{i_2} \dots \sim_{i_n} c_n = d \quad \text{with } c_{k-1} \neq c_k \text{ for } 1 \leq k \leq n.$$

This gallery has minimal length amongst all galleries from c to d if and only if $s_{i_1} \dots s_{i_n}$ is a reduced expression in W .

A building is called *thick* if every panel is contained in at least 3 chambers. For most of this paper we do not explicitly require Δ to be thick, but we note that thick buildings are certainly the most interesting buildings.

As a minor technical point, we will always take the *complete* apartment system of Δ . Thus every subcomplex of Δ which is isomorphic to Σ is taken to be an apartment of Δ . See [1, §4.5]. The definitions of walls, sectors, sector panels, and half apartments of Σ transfer across to the building Δ .

For example, a sector of Δ is a subset of Δ which is a sector in some apartment of Δ , and so on.

Example 2.2. — Figure 2.1 shows a simplified picture of an affine \tilde{A}_2 building. If the building is thick then the branching must actually occur along every wall, and so the picture is rather incomplete. The chambers are the triangles, and the panels are the edges of the triangles. There are 6 apartments shown (for example, the “horizontal” sheet), and a sector is shaded.

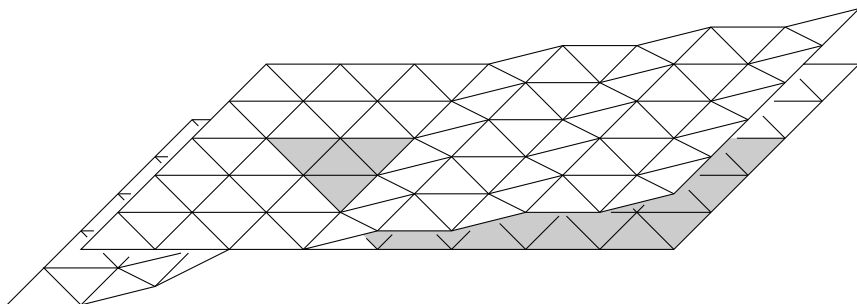


Figure 2.1. A piece of an \tilde{A}_2 building

The affine building Δ can be viewed as a metric space $(|\Delta|, d)$ in a standard way (the *geometric realisation*, see [1, §11.2]). Each apartment is isomorphic to an affine Coxeter complex and hence can be viewed as a metric space by the construction in Section 1.1. Then the building axioms ensure that these metrics can be ‘glued together’ in a unique way to give a metric on $|\Delta|$. All isomorphisms in the building axioms can be taken to be isometries. Since we will henceforth regard Δ as a metric space, we will drop the vertical bar notation, and simply write Δ for the metric space $(|\Delta|, d)$.

By [1, Theorem 11.16] the building Δ is a CAT(0) space. Thus, Δ is a complete metric space with unique geodesics, satisfying the *negative curvature inequality*: If $x, y \in \Delta$, $t \in [0, 1]$, and $p(t)$ is the unique point on the geodesic $[x, y]$ with $d(x, p(t)) = td(x, y)$ then

$$(2.1) \quad d^2(z, p(t)) \leq (1-t)d^2(z, x) + td^2(z, y) - t(1-t)d^2(x, y)$$

for all $z \in \Delta$.

Since Δ is CAT(0) we define the *visibility boundary* $\partial\Delta$ in the usual way as the set of equivalence classes of rays (with two rays being *parallel*

if the distance between them is bounded). The standard topology makes $\overline{\Delta} = \Delta \cup \partial\Delta$ into a compact Hausdorff space (see Bridson and Haefliger [3, §II.8.5]). Points of the visibility boundary are called *ideal points* of Δ . Given $\xi \in \partial\Delta$ and $x \in \Delta$, there is a unique ray in the class ξ with base point x ([3, Proposition II.8.2] or [1, Lemma 11.72]). We sometimes denote this ray by $[x, \xi)$. Thus one may think of $\partial\Delta$ as “all rays based at x ” for any fixed $x \in \Delta$.

Two fundamental facts from [1, Chapter 11] are:

- (S1) Given a sector \mathfrak{s} and a chamber c of Δ , there is a subsector \mathfrak{s}' of \mathfrak{s} such that $\mathfrak{s}' \cup c$ lies in an apartment, and
- (S2) given sectors \mathfrak{s} and \mathfrak{t} of Δ , there are subsectors $\mathfrak{s}' \subseteq \mathfrak{s}$ and $\mathfrak{t}' \subseteq \mathfrak{t}$ such that $\mathfrak{s}' \cup \mathfrak{t}'$ lies in an apartment.

The following configurations of half apartments, sectors, and chambers will arise later in this paper.

LEMMA 2.3. — *Let c be a chamber of Δ , let \mathfrak{s} be a sector of Δ , and let H^+ be a half apartment of Δ bounded by a wall H of Δ .*

- (1) *If c has a panel contained in H then there is an apartment containing $H^+ \cup c$.*
- (2) *If c contains the base vertex of \mathfrak{s} then there is an apartment containing $\mathfrak{s} \cup c$.*
- (3) *If the half apartment H^+ and the sector \mathfrak{s} intersect exactly along a sector panel of \mathfrak{s} then there is an apartment containing $H^+ \cup \mathfrak{s}$.*

Proof. — The first two statements are routine applications of [1, Theorem 5.73] (or [21, Theorem 3.6]). For the third statement, using ideas from [1, §11.5] one sees that the configuration $H^+ \cup \mathfrak{s}$ is isometric to a subset of W (the key point here is that for any chambers $c, d \in H^+ \cup \mathfrak{s}$ there is a minimal gallery from c to d which is contained in $H^+ \cup \mathfrak{s}$). Hence $H^+ \cup \mathfrak{s}$ is contained in an apartment by [1, Theorem 5.73]. □

2.2. Vector distance

Recall that $E^+ = \{x \in E \mid \langle x, \alpha_i \rangle \geq 0 \text{ for all } 1 \leq i \leq r\}$.

DEFINITION 2.4. — *Let x and y be any points of Δ . The vector distance $\mathbf{d}(x, y) \in E^+$ from x to y is defined as follows. By (B1), choose an apartment A containing x and y and choose a type preserving isomorphism $\psi : A \rightarrow \Sigma$. Then we define*

$$\mathbf{d}(x, y) = (\psi(y) - \psi(x))^+,$$

where for $\mu \in E$, we denote by μ^+ the unique element in $W_0\mu \cap E^+$.

By an argument similar to [16, Proposition 5.6], the building axiom (B2) implies that the value of $\mathbf{d}(x, y)$ does not depend on the choices of A and ψ in the definition. More intuitively, to compute $\mathbf{d}(x, y)$ one looks at the vector from x to y (in any apartment containing x and y) and takes the dominant representative of this vector under the W_0 -action. We have $d(x, y) = \|\mathbf{d}(x, y)\|$, where $d(x, y)$ is the metric distance in Δ .

2.3. Vector Busemann functions

Busemann functions (see [3, Definition 8.17]) play an important role in the theory of CAT(0) spaces. In this section we will use retractions in the building to define vector analogues of Busemann functions for affine buildings. Essentially the “geodesic ray” in the usual definition of a Busemann function is replaced by a “sector” in the affine building (see Proposition 2.8).

DEFINITION 2.5. — *In affine buildings there are two kinds of retractions – chamber based, and sector based. Let A be an apartment of Δ . Let c be a chamber of A and let \mathfrak{s} be a sector of A . The retractions $\rho_{A,c} : \Delta \rightarrow A$ and $\rho_{A,\mathfrak{s}} : \Delta \rightarrow A$ are defined as follows. Let $x \in \Delta$.*

- (1) *To compute $\rho_{A,c}(x)$ choose an apartment A' containing c and x (using (B1)) and let $\psi : A' \rightarrow A$ be the isomorphism from (B2) fixing $A' \cap A$. Then $\rho_{A,c}(x) = \psi(x)$.*
- (2) *To compute $\rho_{A,\mathfrak{s}}(x)$ choose an apartment A' which contains a sub-sector \mathfrak{s}' of \mathfrak{s} and the point x (using (S1)). Let $\psi : A' \rightarrow A$ be the isomorphism from (B2) fixing $A' \cap A$, and define $\rho_{A,\mathfrak{s}}(x) = \psi(x)$.*

It is readily seen from the building axioms that the values of $\rho_{A,c}(x)$ and $\rho_{A,\mathfrak{s}}(x)$ do not depend on the particular choice of the apartment A' (see [1]).

For chambers c “sufficiently deep” in the sector \mathfrak{s} we have $\rho_{A,\mathfrak{s}}(x) = \rho_{A,c}(x)$, although it is not possible to choose c independently of x . Conceptually, $\rho_{A,c}$ “radially flattens” the building onto A from the centre c , and $\rho_{A,\mathfrak{s}}$ flattens the building onto A from a remote centre “deep in the sector \mathfrak{s} ”. Note that if \mathfrak{s}' is a sector of A such that $\mathfrak{s} \cap \mathfrak{s}'$ contains a sector, then $\rho_{A,\mathfrak{s}'} = \rho_{A,\mathfrak{s}}$.

If \mathfrak{s} is a sector in an apartment A , then there is a unique isomorphism $\psi_{A,\mathfrak{s}} : A \rightarrow \Sigma$ mapping \mathfrak{s} to \mathfrak{s}_0 and preserving vector distances (cf. [17, Lemma 3.2]). That is, $\psi_{A,\mathfrak{s}}(\mathfrak{s}) = \mathfrak{s}_0$ and $\mathbf{d}(\psi_{A,\mathfrak{s}}(x), \psi_{A,\mathfrak{s}}(y)) = \mathbf{d}(x, y)$ for all $x, y \in A$. We often use $\psi_{A,\mathfrak{s}}$ to fix a Euclidean coordinate system on an apartment A .

DEFINITION 2.6. — The vector Busemann function associated to the sector \mathfrak{s} is the function

$$\mathbf{h}_{\mathfrak{s}} : \Delta \rightarrow E \quad \text{given by} \quad \mathbf{h}_{\mathfrak{s}}(x) = \psi_{A,\mathfrak{s}}(\rho_{A,\mathfrak{s}}(x))$$

where A is any apartment containing \mathfrak{s} . It follows from the building axioms that the value of $\mathbf{h}_{\mathfrak{s}}$ does not depend on the choice of the apartment A containing \mathfrak{s} . We write $\mathbf{h} = \mathbf{h}_{\mathfrak{s}_0}$. Thus

$$\mathbf{h} : \Delta \rightarrow E \quad \text{is given by} \quad \mathbf{h}(x) = \rho_{\Sigma,\mathfrak{s}_0}(x),$$

since $\psi_{\Sigma,\mathfrak{s}_0} : \Sigma \rightarrow \Sigma$ is the identity.

Example 2.7. — Let A be the “horizontal apartment” in Figure 2.1, and let \mathfrak{s} be the shaded sector. There are two ways to identify A with Σ such that \mathfrak{s} is identified with \mathfrak{s}_0 , but only one of these ways (namely $\psi_{A,\mathfrak{s}}$) will preserve vector distance (in this case this amounts to looking at A from “above” or “below”). To compute the vector Busemann function $\mathbf{h}_{\mathfrak{s}}(x)$ one can imagine a strong wind blowing from deep within the sector \mathfrak{s} , causing the building to flatten down to the apartment A . Then $\mathbf{h}_{\mathfrak{s}}(x)$ is the place in A where x flattens to, where A is identified with Σ via $\psi_{A,\mathfrak{s}}$.

Comparing the following proposition with [3, Definition 8.17] shows that our vector Busemann function is an analogue of the usual Busemann functions for CAT(0) spaces with “geodesic rays” replaced by “sectors” (and also with an extra superficial minus sign).

PROPOSITION 2.8. — Let \mathfrak{s} be a sector of Δ with base point $\mathfrak{s}(0)$. For each $\lambda \in E^+$ let $\mathfrak{s}(\lambda)$ be the unique point of \mathfrak{s} with $\mathbf{d}(\mathfrak{s}(0), \mathfrak{s}(\lambda)) = \lambda$. Then

$$\mathbf{h}_{\mathfrak{s}}(x) = \lim_{\lambda \rightarrow \infty} \left(\lambda - \mathbf{d}(x, \mathfrak{s}(\lambda)) \right) \quad \text{for all } x \in \Delta,$$

where the limit is taken with $\langle \lambda, \alpha_i \rangle \rightarrow \infty$ for each $i = 1, \dots, r$. In particular, the limit exists.

Proof. — Let A be an apartment containing \mathfrak{s} , and let $\rho = \rho_{A,\mathfrak{s}}$ and $\psi = \psi_{A,\mathfrak{s}}$. Thus, by definition, $\mathbf{h}_{\mathfrak{s}}(x) = \psi(\rho(x))$. Choose an apartment A' (by (S1)) containing a subsector \mathfrak{s}' of \mathfrak{s} and the point $x \in \Delta$. Note that A' contains all points $\mathfrak{s}(\lambda)$ with each $\langle \lambda, \alpha_i \rangle$ sufficiently large, and for such λ we have

$$\mathbf{d}(\rho(x), \rho(\mathfrak{s}(\lambda))) = \mathbf{d}(x, \mathfrak{s}(\lambda)),$$

because $\rho|_{A'} : A' \rightarrow A$ is a type preserving isomorphism (and hence preserves vector distance). On the other hand, since $\mathbf{h}_{\mathfrak{s}} = \psi \circ \rho$ and ψ preserves vector distances, we have

$$\mathbf{d}(\rho(x), \rho(\mathfrak{s}(\lambda))) = \mathbf{d}(\mathbf{h}_{\mathfrak{s}}(x), \mathbf{h}_{\mathfrak{s}}(\mathfrak{s}(\lambda))) = \mathbf{d}(\mathbf{h}_{\mathfrak{s}}(x), \lambda).$$

For sufficiently large λ we have $\mathbf{d}(\mathbf{h}_s(x), \lambda) = \lambda - \mathbf{h}_s(x)$ by the Euclidean geometry of E and the fact that $\lambda - \mathbf{h}_s(x)$ is dominant for large λ . Thus for fixed $x \in \Delta$ we have $\mathbf{h}_s(x) = \lambda - \mathbf{d}(x, \mathfrak{s}(\lambda))$ for all λ with each $\langle \lambda, \alpha_i \rangle$ sufficiently large, hence the result. \square

2.4. The building of a Lie group over a non-archimedean local field

Affine buildings are intimately related to Lie groups over non-archimedean local fields. Specifically, if G is such a group with maximal compact subgroup K , then G/K is a subset of the vertex set of the so called *affine Bruhat-Tits building* Δ of G (see [5] and [14]).

Let us first give the details for the concrete type A example. Let \mathbb{F} be a non-archimedean local field with ring of integers \mathfrak{o} and uniformiser ϖ . Thus \mathbb{F} is either a finite extension of the p -adics \mathbb{Q}_p or \mathbb{F} is the field of formal Laurent series $\mathbb{F}_q((t))$ with coefficients in a finite field \mathbb{F}_q . If $\mathbb{F} = \mathbb{Q}_p$ then $\mathfrak{o} = \mathbb{Z}_p$ and $\varpi = p$, and if $\mathbb{F} = \mathbb{F}_q((t))$ then $\mathfrak{o} = \mathbb{F}_q[[t]]$ and $\varpi = t$.

Let $G = PGL_{r+1}(\mathbb{F})$ and let $K = PGL_{r+1}(\mathfrak{o})$. Write $P = \mathbb{Z}^{r+1} + \mathbb{Z}\mathbf{1}$, with $\mathbf{1} = (1, \dots, 1)$, and $P^+ = \{\lambda \in \mathbb{Z}^{r+1} \mid \lambda_1 \geq \dots \geq \lambda_{r+1}\} + \mathbb{Z}\mathbf{1}$. For each $\lambda \in P$ let $t_\lambda = \text{diag}(\varpi^{-\lambda_1}, \dots, \varpi^{-\lambda_{r+1}})$ (note that $t_{\lambda+\mathbb{Z}\mathbf{1}} = t_\lambda$ in G). Let U be the upper triangular matrices in G with 1s on the diagonal. The *Cartan* and *Iwasawa* decompositions of G are (respectively):

$$(2.2) \quad G = \bigsqcup_{\lambda \in P^+} K t_\lambda K \quad \text{and} \quad G = \bigsqcup_{\mu \in P} U t_\mu K.$$

The Bruhat-Tits building of G is a simplicial complex Δ with vertex set G/K . In the 1-skeleton of Δ , the vertex gK is adjacent to the vertex hK if and only if $g^{-1}hK \subseteq K t_{\omega_i} K$ for some $1 \leq i \leq r$, where $\omega_i = (1, \dots, 1, 0, \dots, 0) + \mathbb{Z}\mathbf{1}$ (with i 1s).

Each panel of Δ lies in exactly $|k| + 1$ chambers, where $k = \mathfrak{o}/\varpi\mathfrak{o}$ is the (finite) residue field of \mathbb{F} . Thus, for example, if $\mathbb{F} = \mathbb{F}_q((t))$ then every panel lies in exactly $q + 1$ chambers. The vector distance between vertices gK and hK in Δ is

$$(2.3) \quad \mathbf{d}(gK, hK) = \lambda \quad \text{if and only if} \quad g^{-1}hK \subseteq K t_\lambda K,$$

and since each $u \in U$ stabilises a subsector of the fundamental sector of Δ , the Busemann function \mathbf{h} is given by

$$(2.4) \quad \mathbf{h}(gK) = \mu \quad \text{if and only if} \quad gK \subseteq U t_\mu K.$$

More generally, let R be a root system with coroot lattice Q and coweight lattice P , and let L be a lattice with $Q \subseteq L \subseteq P$. Let \mathbb{F} be a non-archimedean local field as above. Let $G = G(\mathbb{F})$ be the Chevalley group over \mathbb{F} with root datum (R, L) (see [24] or [6]). Thus G is generated by elements $x_\alpha(f)$ and $h_\lambda(g)$ with $\alpha \in R$, $\lambda \in L$, $f \in \mathbb{F}$, and $g \in \mathbb{F}^\times$. One may view the elements $x_\alpha(f)$ as analogues of the elementary matrices from type A , and the elements $h_\lambda(g)$ are analogues of the diagonal matrices. Then the relations in G are the analogues of the usual row reduction operations.

Let $\theta : \mathfrak{o} \rightarrow k$ be the canonical homomorphism onto the residue field $k = \mathfrak{o}/\varpi\mathfrak{o}$ (for example, if $\mathbb{F} = \mathbb{F}_q((t))$ then θ is evaluation at $t = 0$). The *standard Iwahori subgroup* is defined by the following diagram, where $B(k)$ is the standard *Borel subgroup* of $G(k)$.

$$\begin{array}{ccc}
 G & = & G(\mathbb{F}) \\
 \cup & & \\
 K & = & G(\mathfrak{o}) \quad \xrightarrow{\theta} \quad G(k) \\
 \cup & & \cup \\
 I & = & \theta^{-1}(B(k)) \quad \xrightarrow{\theta} \quad B(k)
 \end{array}$$

Then G/I is the set of chambers of an affine building Δ of type W , where W is the affine Weyl group of R . Moreover, G/K is a subset of the vertex set of Δ . Specifically, G/K is the set of all vertices x of Δ with $\tau(x) \in \{\tau(\lambda) \mid \lambda \in L\}$ (if $L = Q$ then this is precisely the set of all type 0 vertices of Δ , and if $L = P$ then it is the set of all *special vertices* of Δ). The Cartan and Iwasawa decompositions (2.2) hold (with U being the subgroup of G generated by the elements $x_\alpha(f)$ with $\alpha \in R^+$ and $f \in \mathbb{F}$, and with t_λ given by $t_\lambda = h_\lambda(\varpi^{-1})$). Moreover the vector distance function \mathbf{d} and Buesmann function \mathbf{h} are exactly as in (2.3) and (2.4).

3. Regular sequences in affine buildings

Let Δ be an irreducible affine building with Coxeter complex Σ in the Euclidean space E . Let $\lambda \in E^+$. A λ -ray in Δ is a function $\tau : [0, \infty) \rightarrow \Delta$ such that

$$\mathbf{d}(\tau(t_1), \tau(t_2)) = (t_2 - t_1)\lambda \quad \text{for all } t_2 \geq t_1 \geq 0.$$

Since we are specifying both speed and direction, the notion of a λ -ray is a refinement of the usual notion of a ray in a CAT(0) space.

DEFINITION 3.1. — A sequence $(x_n)_{n \geq 0}$ is λ -regular if there exists a λ -ray $\tau : [0, \infty) \rightarrow \Delta$ such that

$$d(x_n, \tau(n)) = o(n).$$

Here, $o(n)$ is the usual “little- o ” notation. Without loss of generality we may stipulate that $\tau(0) = x_0 = o$ in the definition, and we will do so throughout.

The main result of this paper is the following characterisation of λ -regular sequences in the spirit of Kaimanovich’s original characterisation [10, Theorems 2.1 and 2.4] for symmetric spaces.

THEOREM 3.2. — Let $(x_n)_{n \geq 0}$ be a sequence in Δ , and let $\lambda \in E^+$. Let \mathfrak{s} be a sector of Δ . The following are equivalent.

- (1) The sequence $(x_n)_{n \geq 0}$ is λ -regular.
- (2) $d(x_n, x_{n+1}) = o(n)$ and $\mathbf{h}_{\mathfrak{s}}(x_n) = n\mu_{\mathfrak{s}} + o(n)$ for some $\mu_{\mathfrak{s}} \in W_0\lambda$ (independent of n).
- (3) $d(x_n, x_{n+1}) = o(n)$ and $\mathbf{d}(o, x_n) = n\lambda + o(n)$.

We shall show in several steps that $(1) \Rightarrow (2) \Rightarrow (3) \Rightarrow (1)$. The implication $(1) \Rightarrow (2)$ is quite straightforward:

Proof of Theorem 3.2, (1) \Rightarrow (2). —

Let $\tau : [0, \infty) \rightarrow \Delta$ be a λ -ray with $d(x_n, \tau(n)) = o(n)$. Then

$$d(x_n, x_{n+1}) \leq d(x_n, \tau(n)) + d(\tau(n), \tau(n+1)) + d(\tau(n+1), x_{n+1}) = o(n).$$

By [1, Theorem 11.53], the image of the λ -ray τ lies in an apartment, and hence in a sector \mathfrak{t} . By (S2) there exist a subsector \mathfrak{t}' of \mathfrak{t} and a subsector \mathfrak{s}' of \mathfrak{s} such that \mathfrak{t}' and \mathfrak{s}' lie in a common apartment A' , say. We claim that

$$(3.1) \quad \mathbf{h}_{\mathfrak{s}}|_{A'} : A' \rightarrow E \quad \text{is an isomorphism preserving vector distances.}$$

Let A be an apartment containing \mathfrak{s} . By definition, $\mathbf{h}_{\mathfrak{s}} = \psi_{A, \mathfrak{s}} \circ \rho_{A, \mathfrak{s}}$, where $\psi_{A, \mathfrak{s}} : A \rightarrow E$ is the unique isomorphism mapping \mathfrak{s} to \mathfrak{s}_0 and preserving vector distances. From the definition of sector retractions we have $\rho_{A, \mathfrak{s}} = \rho_{A, \mathfrak{s}'}$ (since \mathfrak{s}' is a subsector of \mathfrak{s}), and that $\rho_{A, \mathfrak{s}'}|_{A'} : A' \rightarrow A$ is the isomorphism fixing $A \cap A'$ pointwise, whence (3.1).

Let z be the base vertex of \mathfrak{t}' , and let τ' be the unique λ -ray with image in \mathfrak{t}' and with $\tau'(0) = z$. Then $d(\tau(t), \tau'(t))$ is a constant (as the rays are parallel and in a common apartment; indeed they are both in the sector \mathfrak{t}). Since τ' lies in A' , (3.1) gives

$$\mathbf{h}_{\mathfrak{s}}(\tau'(t)) = \mathbf{h}_{\mathfrak{s}}(z) + t\mu \quad \text{for some } \mu \in W_0\lambda.$$

Since $d(\mathbf{r}(t), \mathbf{r}'(t)) = O(1)$, and since retractions do not increase distance [1, Theorem 11.16], we have $\mathbf{h}_{\mathfrak{s}}(\mathbf{r}(n)) = \mathbf{h}_{\mathfrak{s}}(\mathbf{r}'(n)) + O(1) = n\mu + O(1)$, and hence $\mathbf{h}_{\mathfrak{s}}(x_n) = n\mu + o(n)$. \square

We now turn to the implication (2) \Rightarrow (3). The following lemma (cf. [17]) is of independent interest. It gives a quantitative version of the fundamental result [1, Theorem 11.63].

LEMMA 3.3. — *Let A be an apartment of Δ , and let \mathfrak{s} be a sector of A . For each wall H of A , let H^+ be the half apartment of A bounded by H and containing a subsector of \mathfrak{s} . Let c_0, \dots, c_n be a gallery in Δ with $c_0 \subset A$. Let H_k be the wall of A containing the panel $\rho_{A, \mathfrak{s}}(c_{k-1} \cap c_k)$. Then there exists an apartment A_n containing c_n and $H_1^+ \cap \dots \cap H_n^+$.*

Proof. — We use induction on n , with $A_0 = A$ starting the induction. Suppose that A_{n-1} contains c_{n-1} and $H_1^+ \cap \dots \cap H_{n-1}^+$. Let H be the wall of A_{n-1} containing $c_{n-1} \cap c_n$, and let H^+ be the half apartment of A_{n-1} bounded by H and containing a subsector of \mathfrak{s} (note that A_{n-1} contains a subsector of \mathfrak{s} by hypothesis). Let A_n be an apartment containing H^+ and c_n (Lemma 2.3). We claim that A_n contains $H_1^+ \cap \dots \cap H_n^+$. To see this, let $\psi : A \rightarrow A_{n-1}$ be an isomorphism fixing $A \cap A_{n-1}$ pointwise (this intersection is nonempty since both apartments contain a subsector of \mathfrak{s}). Then $\psi(H_n^+) = H^+$, because ψ is the inverse of the isomorphism $\rho_{A, \mathfrak{s}}|_{A_{n-1}} : A_{n-1} \rightarrow A$ (by the definition of sector based retractions). Therefore

$$\begin{aligned} H_1^+ \cap \dots \cap H_n^+ &= \psi(H_1^+ \cap \dots \cap H_n^+) \\ &\quad (\text{since } H_1^+ \cap \dots \cap H_n^+ \subseteq A \cap A_{n-1}) \\ &= \psi(H_1^+ \cap \dots \cap H_{n-1}^+) \cap \psi(H_n^+) \\ &= H_1^+ \cap \dots \cap H_{n-1}^+ \cap H^+ \\ &\quad (\text{since } H_1^+ \cap \dots \cap H_{n-1}^+ \subseteq A_{n-1}) \end{aligned}$$

Thus $H_1^+ \cap \dots \cap H_n^+ \subseteq A_n$, completing the proof. \square

LEMMA 3.4. — *Let A be an apartment containing a sector \mathfrak{s} . Let \mathfrak{s}' be a sector of A adjacent to \mathfrak{s} , and let H be the wall of A separating \mathfrak{s} and \mathfrak{s}' . Let $x \in \Delta$, and suppose that there is an apartment containing \mathfrak{s} and x . Then*

$$d(\rho_{A, \mathfrak{s}}(x), \rho_{A, \mathfrak{s}'}(x)) \leq 2d(\rho_{A, \mathfrak{s}}(x), H).$$

Proof. — Let A' be an apartment containing x and \mathfrak{s} (such an apartment exists by hypothesis). Let $\psi : A' \rightarrow A$ be the isomorphism from (B2) fixing $A' \cap A$ pointwise. Let H' be the wall of A' with $\psi(H') = H$. Let H'_+ be the

half apartment of A' containing \mathfrak{s} . By Lemma 2.3 there exists an apartment A'' containing $H'_+ \cup \mathfrak{s}'$.

Let $z \in H'$ be a point with $d(x, z) = d(x, H')$ (recall that x and H' lie in the apartment A'). Then $\rho_{A, \mathfrak{s}}(z) = \rho_{A, \mathfrak{s}'}(z)$ (as $z \in A''$), and

$$\begin{aligned} d(\rho_{A, \mathfrak{s}}(x), \rho_{A, \mathfrak{s}'}(x)) &\leq d(\rho_{A, \mathfrak{s}}(x), \rho_{A, \mathfrak{s}}(z)) + d(\rho_{A, \mathfrak{s}}(z), \rho_{A, \mathfrak{s}'}(x)) \\ &= d(\rho_{A, \mathfrak{s}}(x), \rho_{A, \mathfrak{s}}(z)) + d(\rho_{A, \mathfrak{s}'}(z), \rho_{A, \mathfrak{s}'}(x)) \\ &\leq d(x, z) + d(z, x) = 2d(x, z) \quad ([1, \text{Theorem 11.16}]). \end{aligned}$$

But $d(x, z) = d(x, H') = d(\rho_{A, \mathfrak{s}}(x), H)$ since $H = \psi(H')$ and $\rho_{A, \mathfrak{s}}(y) = \psi(y)$ for all $y \in A'$. □

Proof of Theorem 3.2, (2) \Rightarrow (3). — It is logically sufficient to prove this implication under the assumption that $\mathfrak{s} = \mathfrak{s}_0$, and hence $\mathbf{h}_{\mathfrak{s}} = \mathbf{h}$. For each $v \in W_0$ define $\mathbf{h}_v : \Delta \rightarrow E$ by $\mathbf{h}_v(x) = \rho_{\Sigma, v\mathfrak{s}_0}(x)$. These are the retraction values from the $|W_0|$ possible sector retraction directions in the base apartment. Note that $\mathbf{h}_1 = \mathbf{h}$, and in the notation of Definition 2.6, $\mathbf{h}_v = \mathbf{h}_{v\mathfrak{s}_0}$.

Suppose that $(x_n)_{n \geq 0}$ is a sequence in Δ with $d(x_n, x_{n+1}) = o(n)$ and $\mathbf{h}(x_n) = n\mu + o(n)$. Let $w \in W_0$ be minimal length subject to $\mu \in w\mathfrak{s}_0$. Let $ww_0 = s_{i_1} \cdots s_{i_\ell}$ be a reduced expression, and for each $0 \leq k \leq \ell$ let $v_k = s_{i_1} \cdots s_{i_k}$ (so that $v_0 = 1$ and $v_\ell = ww_0$). We will prove the following.

Claim. — For each $0 \leq k \leq \ell$ we have $\mathbf{h}_{v_k}(x_n) = n\mu + o(n)$, and there is an apartment A_k containing x_n and the sector $\mathfrak{t}_k = z_k + v_k\mathfrak{s}_0$ of Σ based at a point

$$z_k = \sum_{\{j | \langle \mu, v_k \alpha_j \rangle > 0\}} \langle n\mu, v_k \alpha_j \rangle v_k \omega_j + o(n).$$

Given the claim, we have $\mathbf{h}_{ww_0}(x_n) = n\mu + o(n)$ and there is an apartment A_ℓ containing x_n and the sector $\mathfrak{t}_\ell = z_\ell + ww_0\mathfrak{s}_0$ of Σ . Thus, by the definition of \mathbf{h}_{ww_0} , if $\psi : A_\ell \rightarrow \Sigma$ is the isomorphism fixing $A_\ell \cap \Sigma$ pointwise, then $\psi(x_n) = \mathbf{h}_{ww_0}(x_n) = n\mu + o(n)$, and $\psi(z_\ell) = z_\ell$. Note that $z_\ell = o(n)$ because $\langle \mu, v_\ell \alpha_j \rangle = \langle w^{-1}\mu, w_0 \alpha_j \rangle \leq 0$ (since $w_0 \alpha_j \in -R^+$ and $w^{-1}\mu \in \mathfrak{s}_0$). Hence by the definition of vector distances we have

$$\mathbf{d}(z_\ell, x_n) = (\psi(x_n) - \psi(z_\ell))^+ = (n\mu + o(n))^+ = n\lambda + o(n),$$

where λ is the dominant element of $W_0\mu$. Therefore $\mathbf{d}(o, x_n) = n\lambda + o(n)$, since $\mathbf{d}(o, z_\ell) = o(n)$. It remains to prove the claim.

Proof of the claim. We argue by induction.

For $k = 0$, construct a gallery γ from $o = x_0$ to x_n by picking minimal galleries joining x_{i-1} to x_i ($1 \leq i \leq n$) and joining them together. Since

$\mathbf{h}(x_n) = n\mu + o(n)$ and $d(x_n, x_{n+1}) = o(n)$, the image of this gallery under \mathbf{h} cannot deviate too far from the geodesic segment $[0, n\mu]$ in Σ . In particular, the image of γ can only cross hyperplanes $H_{\alpha, j}$ with $\alpha \in R^+$ and

$$(3.2) \quad \begin{cases} -o(n) \leq j \leq n\langle \mu, \alpha \rangle + o(n) & \text{if } \langle \mu, \alpha \rangle \geq 0 \\ n\langle \mu, \alpha \rangle - o(n) \leq j \leq o(n) & \text{if } \langle \mu, \alpha \rangle < 0. \end{cases}$$

Then by Lemma 3.3 there is an apartment A_0 containing $\mathbf{t}_0 = z_0 + \mathbf{s}_0$ and x_n , because the point $z_0 = \sum_{\{j | \langle \mu, \alpha_j \rangle > 0\}} \langle n\mu, \alpha_j \rangle \omega_j + o(n)$ is on the positive side of all of the hyperplanes (3.2).

Suppose that the claim is true for some k with $k < \ell$. Let H be the hyperplane of Σ separating the sectors $\mathbf{t}_k = z_k + v_k \mathbf{s}_0$ and $\mathbf{t}'_k = z_k + v_{k+1} \mathbf{s}_0$ (these sectors are adjacent since they are translates of the adjacent sectors $v_k \mathbf{s}_0$ and $v_{k+1} \mathbf{s}_0$). By the induction hypothesis there is an apartment A_k containing \mathbf{t}_k and x_n , and so by Lemma 3.4 we have

$$(3.3) \quad d(\mathbf{h}_{v_k}(x_n), \mathbf{h}_{v_{k+1}}(x_n)) \leq 2d(\mathbf{h}_{v_k}(x_n), H) = 2d(n\mu, H) + o(n)$$

(since $\mathbf{h}_{v_k}(x_n) = n\mu + o(n)$ by the induction hypothesis). We have $H = z_k + H_{v_k \alpha_{i_{k+1}}}$, and so by the usual perpendicular distance formula

$$(3.4) \quad d(n\mu, H) = \frac{|\langle n\mu - z_k, v_k \alpha_{i_{k+1}} \rangle|}{\|v_k \alpha_{i_{k+1}}\|}.$$

Note that μ is on the positive side of each of the hyperplanes H_α with α in the inversion set $R(w\omega_0) = \{\alpha \in R^+ \mid (w\omega_0)^{-1}\alpha \in -R^+\}$, and that this inversion set is given explicitly by $R(w\omega_0) = \{\alpha_{i_1}, v_1 \alpha_{i_2}, \dots, v_{\ell-1} \alpha_{i_\ell}\}$ (see [2, VI, §6, Cor 2]). Thus $\langle n\mu, v_k \alpha_{i_{k+1}} \rangle > 0$, and so from the definition of z_k we see that $\langle z_k, v_k \alpha_{i_{k+1}} \rangle = \langle n\mu, v_k \alpha_{i_{k+1}} \rangle + o(n)$. Therefore (3.4) gives $d(n\mu, H) = o(n)$, and so (3.3) gives $\mathbf{h}_{v_{k+1}}(x_n) = \mathbf{h}_{v_k}(x_n) + o(n) = n\mu + o(n)$.

Now repeat the $k = 0$ argument for the new retraction direction $v_{k+1} \mathbf{s}_0$. The image of the gallery γ under $\mathbf{h}_{v_{k+1}}$ only crosses hyperplanes of the form (3.2), and we see by Lemma 3.3 that there is an apartment A_{k+1} containing x_n and the sector $\mathbf{t}_{k+1} = z_{k+1} + v_{k+1} \mathbf{s}_0$. This completes the proof of the claim. □

We now turn to the final implication (3) \Rightarrow (1).

LEMMA 3.5. — *Let $o, a, b \in \Delta$ (in fact in any CAT(0) space). Let $t_1, t_2 \in [0, 1]$. Let $p(t_1) \in [o, a]$ with $d(o, p(t_1)) = t_1 d(o, a)$ and let $q(t_2) \in [o, b]$ with $d(o, q(t_2)) = t_2 d(o, b)$. Then*

$$d^2(p(t_1), q(t_2)) \leq t_1(t_1 - t_2) d^2(o, a) + t_2(t_2 - t_1) d^2(o, b) + t_1 t_2 d^2(a, b).$$

Proof. — By (2.1) with $(x, y, z) = (o, b, p(t_1))$ we have

$$d^2(p(t_1), q(t_2)) \leq (1 - t_2) d^2(p(t_1), o) + t_2 d^2(p(t_1), b) - t_2(1 - t_2) d^2(o, b).$$

Apply (2.1) to $d^2(p(t_1), o)$ with $(x, y, z) = (o, a, o)$ and to $d^2(p(t_1), b)$ with $(x, y, z) = (o, a, b)$. □

LEMMA 3.6. — *Suppose that $x, y, z \in \Delta$ with $\lambda = \mathbf{d}(z, x) = \mathbf{d}(z, y)$ and $[z, x] \cap [z, y] = \{z\}$. There is $C > 0$ depending only on the direction of λ (and not on its length) such that $d(x, y) \geq C d(z, x)$.*

Proof. — Choose a chamber c containing a non-zero length initial piece of the geodesic $[z, x]$, and similarly let d be a chamber containing a non-zero length initial piece of the geodesic $[z, y]$ (with the possibility that $c = d$). Let A be an apartment containing c and d (using (B1)). Since $z \in c$, the (chamber based) retraction $\rho = \rho_{A,c}$ maps the geodesics $[z, x]$ and $[z, y]$ to geodesics in A , and so $\mathbf{d}(z, \rho(x)) = \mathbf{d}(z, \rho(y)) = \lambda$. Furthermore, since $c, d \in A$, the hypothesis $[z, x] \cap [z, y] = \{z\}$ implies that the images of the geodesics $[z, x]$ and $[z, y]$ under ρ are not equal.

Let $\psi : A \rightarrow \Sigma$ be a type preserving isomorphism, and let $\mu = -\psi(z)$. Let $\theta = t_\mu \psi : A \rightarrow \Sigma$. From the definition of vector distances we have $\theta(\rho(x)) = w_1 \lambda$ and $\theta(\rho(y)) = w_2 \lambda$, and by the above observation $w_1 \lambda \neq w_2 \lambda$. Then (using [1, Theorem 11.16])

$$d(x, y) \geq d(\rho(x), \rho(y)) = d(\theta(\rho(x)), \theta(\rho(y))) = d(w_1 \lambda, w_2 \lambda).$$

Euclidean geometry and the fact that $\|w\lambda\| = \|\lambda\|$ gives $d(w_1 \lambda, w_2 \lambda) = 2\|\lambda\| \sin(\theta/2)$, where θ is the angle between $w_1 \lambda$ and $w_2 \lambda$. But $\|\lambda\| = d(z, x)$, and the result follows since $w_1 \lambda \neq w_2 \lambda$ and W_0 is finite. □

Proof of Theorem 3.2, (3) \Rightarrow (1). — Let $(x_n)_{n \geq 0}$ be a sequence in Δ with $d(x_n, x_{n+1}) = o(n)$ and $\mathbf{d}(o, x_n) = n\lambda + o(n)$, with $\lambda \in E^+$. If $\lambda = 0$ then $(x_n)_{n \geq 0}$ is a 0-regular sequence, and we are done. So suppose that $\lambda \neq 0$. It is clear that there is a sequence $(y_n)_{n \geq 0}$ such that $d(x_n, y_n) = o(n)$ and $\mathbf{d}(o, y_n) = n\lambda$. We show that $(y_n)_{n \geq 0}$ is λ -regular, and thus $(x_n)_{n \geq 0}$ is λ -regular, too.

First we construct a λ -ray $\mathbf{r} : [0, \infty) \rightarrow \Delta$. Let $t \geq 0$ be fixed. Since $\lambda \neq 0$ there is $N_t > 0$ such that $d(o, y_n) > t\|\lambda\|$ for all $n > N_t$. If $n > N_t$ let $y_n(t)$ be the point on the geodesic $[o, y_n]$ with $d(o, y_n(t)) = t\|\lambda\|$. Lemma 3.5 with $(o, a, b) = (o, y_n, y_{n+1})$ and $t_1 = t\|\lambda\|/|y_n|$ and $t_2 = t\|\lambda\|/|y_{n+1}|$ (where $|x| = d(o, x)$) yields

$$d^2(y_n(t), y_{n+1}(t)) \leq t^2 \|\lambda\|^2 \left(\frac{d^2(y_n, y_{n+1})}{|y_n| |y_{n+1}|} - \left(\frac{|y_n|}{|y_{n+1}|} - \frac{|y_{n+1}|}{|y_n|} \right)^2 \right).$$

Since $d(y_n, y_{n+1}) = o(n)$ and $|y_n| = n\|\lambda\|$ it follows that

$$\lim_{n \rightarrow \infty} d(y_n(t), y_{n+1}(t)) = 0 \quad \text{for each fixed } t \geq 0.$$

But $y_j(t)$ is a point in the building with $\mathbf{d}(o, y_j(t)) = t\lambda$, and so the set $\{\mathbf{d}(y_i(t), y_j(t)) \mid i, j \geq N_t\}$ is a finite set. Thus there is an index $N'_t > N_t$ such that $y_n(t) = y_m(t)$ for all $m, n > N'_t$. Denote this stabilised point by $\mathbf{r}(t)$. Then $\mathbf{r}(t) \in [o, y_n]$ for all $n > N'_t$. This defines a λ -ray $\mathbf{r} : [0, \infty) \rightarrow \Delta$.

Next we show that $d(y_n, \mathbf{r}(n)) = o(n)$. Let T be the (metric) tree

$$T = \bigcup_{n \geq 0} [o, y_n] \subseteq \Delta.$$

The *confluent* $x \wedge y$ of $x, y \in T$ is defined by $[o, x] \cap [o, y] = [o, x \wedge y]$ (note that $x \wedge y \in T$). Define a metric d_T on T by $d_T(x, y) = d(x, x \wedge y) + d(x \wedge y, y)$ for all $x, y \in T$. Then we have

$$(3.5) \quad d_T(o, x \wedge y) = \frac{1}{2} \left(d_T(o, x) + d_T(o, y) - d_T(x, y) \right) \quad \text{for } x, y \in T.$$

This quantity is often called the *Gromov product* of x and y at o . We have $d_T(o, x) = d(o, x)$ for all $x \in T$, and we will show below that $d_T(y_n, y_{n+1}) = o(n)$ (initially it is only clear that $d_T(y_n, y_{n+1}) \geq d(y_n, y_{n+1}) = o(n)$). Assuming this for now, equation (3.5) with $x = y_n$ and $y = y_{n+1}$ gives $d(o, y_n \wedge y_{n+1}) = n\|\lambda\| + o(n)$.

Note that T contains (the image of) the λ -ray \mathbf{r} . Define the confluent $x \wedge \mathbf{r}$ of $x \in T$ with \mathbf{r} by the equation $[o, x] \cap \mathbf{r} = [o, x \wedge \mathbf{r}]$. Then, exactly as in [7, § 2C], we have

$$\begin{aligned} n\|\lambda\| = |y_n| &\geq d(o, y_n \wedge \mathbf{r}) = \lim_{m \rightarrow \infty} d(o, y_n \wedge y_m) \\ &\geq \inf_{i \geq n} d(o, y_i \wedge y_{i+1}) = n\|\lambda\| + o(n). \end{aligned}$$

Thus $d(o, y_n \wedge \mathbf{r}) = n\|\lambda\| + o(n)$, and so

$$d(y_n, \mathbf{r}(n)) \leq d(y_n, y_n \wedge \mathbf{r}) + d(y_n \wedge \mathbf{r}, \mathbf{r}(n)) = o(n).$$

Hence $(y_n)_{n \geq 0}$ is λ -regular.

It remains to show that $d_T(y_n, y_{n+1}) = o(n)$. It suffices to show that $d(y_n \wedge y_{n+1}, y_n) = o(n)$. Let $y'_n \in [o, y_{n+1}]$ be the point with $\mathbf{d}(o, y'_n) = n\lambda$. Then $d(y_n \wedge y'_n, y_n) = d(y_n \wedge y_{n+1}, y_n) + O(1)$. By Lemma 3.6 (with $z = y_n \wedge y'_n$, $x = y_n$, and $y = y'_n$) we have $d(y_n, y'_n) \geq C d(y_n \wedge y'_n, y_n)$ for some constant $C > 0$ depending only on the direction of λ . Hence $d(y_n \wedge y_{n+1}, y_n) = o(n)$, completing the proof. □

4. Applications

In this section we exhibit applications of Theorem 3.2 to random walks on groups acting on affine buildings, and to random walks on the buildings themselves (where there may be no group present).

4.1. Random walks on groups acting on affine buildings

Let $\text{Aut}(\Delta)$ be the group of simplicial complex automorphisms of an affine building Δ equipped with the topology of pointwise convergence. Thus $\text{Aut}(\Delta)$ is a totally disconnected locally compact Hausdorff group, with a neighbourhood base at the identity given by the family of all pointwise stabilisers of finite sets of vertices.

Let G be a subgroup of $\text{Aut}(\Delta)$, and let σ be a Borel probability measure on G . We will assume that the support of σ generates G , and we say that σ has *finite first moment* if

$$\int_G d(o, go) d\sigma(g) < \infty.$$

Let $(g_n)_{n \geq 1}$ be a stationary sequence of G -valued random variables with joint distribution σ . The *right random walk* is the sequence $(X_n)_{n \geq 0}$ with

$$X_0 = o \quad \text{and} \quad X_n = g_1 \dots g_n o \quad \text{for } n \geq 1.$$

It follows from [12, Theorem 2.1] that if σ has finite first moment then there is a unit speed geodesic $\gamma : [0, \infty) \rightarrow \Delta$ and a number $a \geq 0$ such that

$$(4.1) \quad \lim_{n \rightarrow \infty} \frac{1}{n} d(X_n, \gamma(an)) = 0 \quad \text{almost surely.}$$

Therefore $(X_n)_{n \geq 0}$ is almost surely λ -regular, where $\lambda = \mathbf{d}(\gamma(0), \gamma(a))$. Thus Theorem 3.2 immediately gives the following.

THEOREM 4.1. — *Let G and σ be as above, and suppose that σ has finite first moment. Let $(X_n)_{n \geq 0}$ be the associated right random walk on Δ . Then there exists $\lambda \in E^+$ such that*

$$\lim_{n \rightarrow \infty} \frac{1}{n} \mathbf{d}(o, X_n) = \lambda \quad \text{almost surely,}$$

and for each sector \mathfrak{s} of Δ there exists $\mu_{\mathfrak{s}} \in W_0 \lambda$ such that

$$\lim_{n \rightarrow \infty} \frac{1}{n} \mathbf{h}_{\mathfrak{s}}(X_n) = \mu_{\mathfrak{s}} \quad \text{almost surely.}$$

COROLLARY 4.2. — *Let \mathfrak{s} be a sector of Δ , and let $G, \sigma, (X_n)_{n \geq 0}, \lambda$, and $\mu_{\mathfrak{s}}$ be as in Theorem 4.1*

If $\lambda \neq 0$ then $(X_n)_{n \geq 0}$ converges almost surely to a point X_∞ of the visibility boundary. Moreover, if $\mu_{\mathfrak{s}} = \lambda \neq 0$ is dominant then X_∞ is the equivalence class of any λ -ray contained in \mathfrak{s} (and is hence deterministic).

Proof. — If $\lambda \neq 0$ then convergence to a point of the visibility boundary is an immediate consequence of (4.1). Suppose that $\mu_{\mathfrak{s}} = \lambda \neq 0$ is dominant. Let $\mathfrak{r} : [0, \infty) \rightarrow \Delta$ be a λ -ray such that $d(X_n, \mathfrak{r}(n)) = o(n)$ almost surely, and without loss of generality we may assume that $\mathfrak{r}(0)$ is the base vertex of \mathfrak{s} . We claim that $\mathfrak{r}(t) = \mathfrak{s}(t\lambda)$ for all $t \geq 0$, where for $\nu \in E^+$ we write $\mathfrak{s}(\nu)$ for the unique point of \mathfrak{s} with $\mathbf{d}(\mathfrak{s}(0), \mathfrak{s}(\nu)) = \nu$ (with $\mathfrak{s}(0)$ being the base point of \mathfrak{s}).

Since $d(X_n, \mathfrak{r}(n)) = o(n)$ and $\mathbf{h}_{\mathfrak{s}}(X_n) = n\lambda + o(n)$ almost surely, we have $\mathbf{h}_{\mathfrak{s}}(\mathfrak{r}(n)) = n\lambda + o(n)$. Lemma 3.3 implies that there is an apartment containing the point $\mathfrak{r}(n)$ and the subsector of \mathfrak{s} based at a point $\mathfrak{s}(n\lambda + o(n))$. It follows that $d(\mathfrak{r}(n), \mathfrak{s}(n\lambda)) = o(n)$. But $\{\mathfrak{r}(t) \mid t \geq 0\}$ and $\{\mathfrak{s}(t\lambda) \mid t \geq 0\}$ are two rays originating at the same point, and hence $\mathfrak{r}(n) = \mathfrak{s}(n\lambda)$, see [3, Proposition II.8.2] or [1, Lemma 11.72]. □

COROLLARY 4.3. — *Let R be a reduced irreducible root system with coroot lattice Q and coweight lattice P , and let L be a lattice with $Q \subseteq L \subseteq P$. Let \mathbb{F} be a non-archimedean local field, and let $G = G(\mathbb{F})$ be the Chevalley group over \mathbb{F} with root datum (R, L) , as in Section 2.4. Let $o = K$ and let g_1, g_2, \dots be a stationary sequence in G with finite first moment.*

- (1) *There are elements $\lambda_n \in L \cap E^+$ and $\mu_n \in L$ such that $g_1 \cdots g_n o \in Kt_{\lambda_n}K \cap Ut_{\mu_n}K$.*
- (2) *There exists $\lambda \in E^+$ and $w \in W_0$ such that $\lambda_n/n \rightarrow \lambda$ and $\mu_n/n \rightarrow w\lambda$ almost surely.*

Proof. — The first statement follows from the Cartan and Iwasawa decompositions (2.2). Then, from (2.3) and (2.4) we have $\mathbf{d}(o, g_1 \cdots g_n o) = \lambda_n$ and $\mathbf{h}(g_1 \cdots g_n o) = \mu_n$, and the result follows from Theorem 4.1. □

Remark 4.4. — The drift-free case when $\lambda = 0$ is rather subtle, even in the rank 1 case of homogeneous trees (see [7, 4]). We discuss this case further in Section 4.3.

4.2. Semi-isotropic random walks on affine buildings

Let Δ be a locally finite irreducible affine building of type (W, S) with vertex set V . Let L be a lattice with $Q \subseteq L \subseteq P$, and let

$$V_L = \{v \in V \mid \tau(v) \in \tau(L)\}, \quad \text{where} \quad \tau(L) = \{\tau(\lambda) \mid \lambda \in L\}.$$

Then V_Q is the set of all type 0 vertices of Δ , and V_P is the set of so called *special vertices* of Δ (in type \tilde{A}_r one has $V_P = V$; otherwise V_P is a strict subset of V).

A Markov chain $(X_n)_{n \geq 0}$ on V_L is an *isotropic random walk* if the transition probabilities $p(x, y)$ ($x, y \in V_L$) of the random walk depend only on the vector distance $\mathbf{d}(x, y)$. That is, $p(x, y) = p(x', y')$ whenever $\mathbf{d}(x, y) = \mathbf{d}(x', y')$. Isotropic random walks can be analysed in great detail by use of harmonic analysis and representation theory, see for example [8, 18, 23]. In particular, precise Local Limit Theorems, Central Limit Theorems, and Rate of Escape Theorems are available.

We consider the following more general situation.

DEFINITION 4.5. — *A Markov chain $(X_n)_{n \geq 0}$ on V_L is a semi-isotropic random walk if the transition probabilities $p(x, y)$ of the walk depend only on the vectors $\mathbf{d}(x, y)$ and $\mathbf{h}(y) - \mathbf{h}(x)$. That is, $p(x, y) = p(x', y')$ whenever $\mathbf{d}(x, y) = \mathbf{d}(x', y')$ and $\mathbf{h}(y) - \mathbf{h}(x) = \mathbf{h}(y') - \mathbf{h}(x')$.*

Clearly, isotropic random walks are necessarily semi-isotropic, but not vice versa. We will apply Theorem 3.2 to prove a Rate of Escape Theorem and convergence to the boundary results for semi-isotropic random walks. Note that in this setting we cannot apply [12, Theorem 2.1] since our random walks may not be ‘group related’. Indeed there are \tilde{A}_2 , \tilde{C}_2 , and \tilde{G}_2 buildings with trivial automorphism group, see [20]. Even in higher rank, semi-isotropic random walks may be unrelated to group walks.

A building Δ with chamber set \mathcal{C} is *locally finite* if the cardinality $|\{d \in \mathcal{C} \mid d \sim_i c\}|$ is finite for all $c \in \mathcal{C}$ and all $i \in I = \{0, \dots, r\}$, and Δ is *thick* if this cardinality is always at least 3 (so that every panel lies on at least 3 chambers). The building Δ is *regular* if the above cardinality does not depend on $c \in \mathcal{C}$, in which case we define the *parameters* of the building by

$$q_i + 1 = |\{d \in \mathcal{C} \mid d \sim_i c\}| \quad \text{for each } i \in I.$$

By [16, Corollary 2.2] we have $q_i = q_j$ whenever s_i and s_j are conjugate in W . Furthermore, if the order m_{ij} of $s_i s_j$ is finite for all $i, j \in I$ then thickness implies regularity, see [16, Theorem 2.4]. Thus, all irreducible thick affine buildings of rank at least 3 are regular.

Let Δ be an irreducible locally finite regular affine building, and let L be a lattice with $Q \subseteq L \subseteq P$. In what follows the lattice L can be chosen freely, with two exceptions where we must specify L precisely. We make the following convention in the present sub-section (where we use the standard labelling conventions for the simple roots of root systems as recorded in [2]):

$$(4.2) \quad \begin{array}{l} \text{If } \Delta \text{ is of type } \tilde{C}_r \text{ with } q_0 \neq q_r \text{ or type } \tilde{A}_1 \text{ with } q_0 \neq q_1, \\ \text{then we fix } L = Q. \end{array}$$

For example, in the \tilde{A}_1 case with $q_0 \neq q_1$, the building is a semi-homogeneous tree with alternating vertex valencies $q_0 + 1$ and $q_1 + 1$. The restriction $L = Q$ means that we only look at the vertices with degree $q_0 + 1$.

PROPOSITION 4.6. — *Let Δ be a locally finite regular irreducible affine building, and let L be a lattice with $Q \subseteq L \subseteq P$, with the convention (4.2) in force. Let $(X_n)_{n \geq 0}$ be a semi-isotropic random walk on V_L with transition probabilities $p(x, y)$. Then $(X_n)_{n \geq 0}$ is factorisable over L relative to the decomposition of V_L into the sets $H_\mu = \{x \in V_L \mid \mathbf{h}(x) = \mu\}$. Moreover, the factor walk $\bar{X}_n = \mathbf{h}(X_n)$ is a translation invariant random walk on the lattice L .*

In other words, the value of the sum

$$\bar{p}(\lambda, \mu) = \sum_{y \in H_\mu} p(x, y) \quad \text{with } \lambda, \mu \in L \text{ and } x \in H_\lambda$$

does not depend on the particular $x \in H_\lambda$, and $\bar{p}(\lambda + \nu, \mu + \nu) = \bar{p}(\lambda, \mu)$ for all $\lambda, \mu, \nu \in L$.

Proof. — Let $L^+ = L \cap E^+$. It is shown in [17, Lemma 3.19] that if $\nu \in L^+$ and $\mu \in L$ then the cardinality

$$c_{\nu, \mu} = |\{y \in V_L \mid \mathbf{d}(x, y) = \nu \text{ and } \mathbf{h}(y) - \mathbf{h}(x) = \mu\}|$$

is independent of $x \in V_L$ (the convention (4.2) is crucial here). By the definition of semi-isotropic random walks we can write $p_{\nu, \mu} = p(x, y)$ when $\mathbf{d}(x, y) = \nu$ and $\mathbf{h}(y) - \mathbf{h}(x) = \mu$. Then, if $x \in H_\lambda$, we have

$$(4.3) \quad \sum_{y \in H_\mu} p(x, y) = \sum_{\nu \in L^+} \sum_{\{y \in H_\mu \mid \mathbf{d}(x, y) = \nu\}} p(x, y) = \sum_{\nu \in L^+} p_{\nu, \mu - \lambda} c_{\nu, \mu - \lambda}.$$

Thus the value does not depend on the particular $x \in H_\lambda$, and the translation invariance is also immediate from this formula. □

THEOREM 4.7. — *Let Δ be a locally finite regular irreducible affine building, and let L be a lattice with $Q \subseteq L \subseteq P$ (with the convention (4.2)*

in force). Let $(X_n)_{n \geq 0}$ be a semi-isotropic random walk on V_L with transition probabilities $p(x, y)$, satisfying the first moment condition

$$\sum_{\nu \in L} \bar{p}(0, \nu) \|\nu\| < \infty.$$

Then the sequence $(X_n)_{n \geq 0}$ is almost surely λ -regular, where λ is the dominant element of $W_0\mu$, with $\mu = \sum_{\nu \in L} \bar{p}(0, \nu)\nu$.

Proof. — The factor walk $\bar{X}_n = \mathbf{h}(X_n)$ is a translation invariant random walk on $L \cong \mathbb{Z}^r$ with finite first moment, and so the classical Law of Large Numbers applies. Thus

$$(4.4) \quad \lim_{n \rightarrow \infty} \frac{\mathbf{h}(X_n)}{n} = \mu = \sum_{\nu \in L} \bar{p}(0, \nu)\nu \quad \text{almost surely.}$$

Since $d(X_n, X_{n+1}) = o(n)$ we have λ -regularity by Theorem 3.2. □

The following is now immediate from Theorems 3.2 and 4.7 and the argument of Corollary 4.2.

COROLLARY 4.8. — *In the set-up of Theorem 4.7, we have the Rate of Escape Theorem*

$$\lim_{n \rightarrow \infty} \frac{1}{n} \mathbf{d}(o, X_n) = \lambda \quad \text{almost surely,}$$

where λ is the dominant element of the W_0 -orbit of $\mu = \sum_{\nu \in P} \bar{p}(0, \nu)\nu$.

If $\lambda \neq 0$ then $(X_n)_{n \geq 0}$ converges almost surely to a point X_∞ of the visibility boundary, and in the case when $\mu = \lambda \neq 0$ is dominant, the point X_∞ is the equivalence class of the geodesic $\{t\lambda \mid t \geq 0\}$ in Σ (and is hence deterministic).

4.3. The drift free case for semi-isotropic walks

In this section we investigate convergence properties of drift-free semi-isotropic random walks (that is, the subtle situation where the vector μ from Theorem 4.7 is 0). We restrict to the case of nearest neighbour walks (see the definition below). In this case the random walk does not converge in the visibility boundary, however we obtain a weaker type of convergence, to an equivalence class of sectors in the *combinatorial boundary* (see the definition below).

A random walk on the set V_P of special vertices of an affine building Δ is called *nearest neighbour* if the transition probabilities of the random walk satisfy:

If $p(x, y) > 0$ then x and y lie in a common chamber.

In type \tilde{A}_r , all vertices are special, and so a nearest neighbour random walk (as defined above) is the same as a nearest neighbour random walk (in the usual sense) on the 1-skeleton of the building.

Remark 4.9. — For nearest neighbour random walks to be non-trivial we need each chamber to have at least two special vertices. This occurs if and only if there are at least 2 different types of special vertices (since each chamber has a vertex of each type), and by the classification of root systems this occurs for all irreducible root systems other than those of type E_8 , F_4 , or G_2 . Thus, the results of this section apply to affine buildings whose type is not one of the latter three. For buildings of type \tilde{E}_8 , \tilde{F}_4 , or \tilde{G}_2 one could use a modified definition of ‘nearest neighbour’ by requiring that if $p(x, y) > 0$ then x and y lie in adjacent chambers. After slight modifications to the proof, the below Theorem 4.15 also holds for these walks although we omit the details.

The following proposition shows that nearest neighbour random walks on V_P with full support are necessarily irreducible.

PROPOSITION 4.10. — *Let Δ be a locally finite affine building of type other than \tilde{E}_8 , \tilde{F}_4 or \tilde{G}_2 , and let $(X_n)_{n \geq 0}$ be a random walk on V_P with transition probabilities $p(x, y)$. Suppose that $p(x, y) > 0$ whenever x and y are distinct special vertices lying in a common chamber. Then $(X_n)_{n \geq 0}$ is irreducible on V_P .*

Proof. — Suppose that $X_0 = x$ and let $y \in V_P$ be a special vertex. We show that there exists $n > 0$ such that $p^{(n)}(x, y) > 0$. Let c be a chamber of Δ containing x , and let d be a chamber of Δ containing y . We argue by induction on the length of a minimal length gallery from c to d . If this distance is 0 then $c = d$, and so $p(x, y) > 0$ by hypothesis. Let $c = c_0 \sim c_1 \sim \dots \sim c_k = d$ be a minimal length gallery from c to d , and let z be a special vertex of c_{k-1} . By the induction hypothesis there is an $n > 0$ such that $p^{(n)}(x, z) > 0$. If z and y lie in a common chamber then $p^{(n+1)}(x, y) \geq p^{(n)}(x, z)p(z, y) > 0$. If z and y do not lie in a common chamber then there is a special vertex z' in the panel $c_{k-1} \cap d$ (since we exclude type \tilde{E}_8 , \tilde{F}_4 , and \tilde{G}_2). Then z and z' lie in c_{k-1} , and z' and y lie in d , and so $p^{(n+2)}(x, y) \geq p^{(n)}(x, z)p(z, z')p(z', y) > 0$. \square

Remark 4.11. — Let Δ be an affine building of type other than \tilde{E}_8 , \tilde{F}_4 , or \tilde{G}_2 . Define a graph structure on V_P by declaring distinct vertices x and y to be adjacent if and only if they lie in a common chamber. The proof of Proposition 4.10 shows that the resulting graph is connected, and ‘nearest

neighbour' walks according to our definition are simply nearest neighbour walks on this graph. In the case that Δ has type \tilde{E}_8 , \tilde{F}_4 , or \tilde{G}_2 we can define the graph structure on V_P by declaring distinct vertices x and y to be adjacent if and only if they lie in adjacent chambers. A similar proof shows that this graph is connected.

DEFINITION 4.12. — *Two sectors \mathfrak{s} and \mathfrak{s}' of Δ are equivalent if their intersection $\mathfrak{s} \cap \mathfrak{s}'$ contains a sector. The set of equivalence classes of sectors is the combinatorial boundary of Δ , denoted Ω . We call the elements of Ω the ends of Δ , in analogy with the rank 1 case where Δ is a tree and Ω is the set of ends in the usual sense.*

The dominant end ϖ is the equivalence class of \mathfrak{s}_0 .

DEFINITION 4.13. — *For x a special vertex and $\omega \in \Omega$, let \mathfrak{s}_x^ω denote the unique sector of Δ based at x and in the class of the end ω (see [1]). We say that a sequence $(x_n)_{n \geq 0}$ of points in Δ converges to the end $\omega \in \Omega$ if the distance from the set $\mathfrak{s}_o^\omega \cap \mathfrak{s}_{x_n}^\omega$ to the boundary of the sector \mathfrak{s}_o^ω tends to infinity as n tends to infinity.*

In other words, the sequence $(x_n)_{n \geq 0}$ converges to the end ω if the set $\mathfrak{s}_o^\omega \cap \mathfrak{s}_{x_n}^\omega$ moves deeper and deeper into the sector \mathfrak{s}_o^ω , and away from all the walls of this sector. It is immediate that this definition does not depend on the choice of base vertex o . It can also be shown that the limit of a sequence, if it exists, is unique. That is, if $x_n \rightarrow \omega$ and $x_n \rightarrow \omega'$ in Ω , then $\omega = \omega'$.

In the rank 1 case (where Δ is a tree), the combinatorial boundary and the visibility boundary coincide, and the respective notions of convergence agree. However, in higher rank the two notions of convergence are rather different. Suppose that $(x_n)_{n \geq 0}$ converges to the ideal point ξ in the visibility boundary $\partial\Delta$. Let $\tau : [0, \infty) \rightarrow \Delta$ be the unique ray based at o in the class ξ . If the direction $\mathbf{d}(o, \tau(1))$ of τ is a regular vector (a vector $\lambda \in E$ is regular if $\langle \lambda, \alpha \rangle \neq 0$ for all $\alpha \in R$) then one can show that $(x_n)_{n \geq 0}$ also converges in the combinatorial boundary, and the limit point is the equivalence class of the unique sector based at o containing the image of τ . On the other hand, if $\mathbf{d}(o, \tau(1))$ is not regular then the sequence $(x_n)_{n \geq 0}$ may or may not converge in the combinatorial boundary. This occurs even in a thin building (consisting of a single apartment).

LEMMA 4.14. — *Let $(X_n)_{n \geq 0}$ be an irreducible, drift-free, translation invariant random walk on the coweight lattice P with finite first moment. Then, with probability 1, the random walk $(X_n)_{n \geq 0}$ visits every hyperplane $H_{\alpha, m}$ (with $\alpha \in R$ and $m \in \mathbb{Z}$) infinitely often.*

Proof. — Let $\sigma(\lambda) = p(0, \lambda)$. Let $\alpha \in R$ be fixed, and let $Y_n = \langle X_n, \alpha \rangle$. Thus $(Y_n)_{n \geq 0}$ is a random walk on \mathbb{Z} , because $\langle \lambda, \alpha \rangle \in \mathbb{Z}$ for all $\lambda \in P$. The law of $(Y_n)_{n \geq 0}$ is $\tilde{\sigma}(m) = \sigma(H_{\alpha, m})$. Note that $Y_n = m$ if and only if $X_n \in H_{\alpha, m}$. The drift of the random walk $(Y_n)_{n \geq 0}$ is

$$\begin{aligned} \sum_{m \in \mathbb{Z}} \tilde{\sigma}(m) m &= \sum_{m \in \mathbb{Z}} \sum_{\lambda \in H_{\alpha, m} \cap P} \sigma(\lambda) m \\ &= \sum_{\lambda \in P} \sigma(\lambda) \langle \lambda, \alpha \rangle = \left\langle \sum_{\lambda \in P} \sigma(\lambda) \lambda, \alpha \right\rangle = 0 \end{aligned}$$

(since X_n is drift-free), and this calculation also shows that $\tilde{\sigma}$ has finite first moment. Thus, by the classical theory $(Y_n)_{n \geq 0}$ is a recurrent random walk. Hence $(X_n)_{n \geq 0}$ visits each hyperplane $H_{\alpha, m}$ infinitely often with probability 1. □

THEOREM 4.15. — *Let Δ be a locally finite thick regular affine building of type other than \tilde{E}_8, \tilde{F}_4 , or \tilde{G}_2 . Let $(X_n)_{n \geq 0}$ be a nearest neighbour semi-isotropic random walk on Δ . Suppose that $\mu = 0$ (with μ from Theorem 4.7), and that the random walk $(\bar{X}_n)_{n \geq 0}$ is irreducible on P (this occurs, for example, if $(X_n)_{n \geq 0}$ is irreducible on V_P).*

Then X_n converges almost surely in the sense of Definition 4.12 to the dominant end ϖ of Δ .

Proof. — In the proof we write points $\lambda \in \Sigma$ as vectors in terms of the basis $\omega_1, \dots, \omega_r$ of fundamental coweights as $\lambda = (\lambda^1, \dots, \lambda^r)$. That is, $\lambda = \lambda^1 \omega_1 + \dots + \lambda^r \omega_r$, and $\lambda^i = \langle \lambda, \alpha_i \rangle$.

We first set up some of the geometry needed for the proof. For special vertices $x \in V_P$, let \mathfrak{s}_x be the unique sector of Δ based at x in the class $\varpi = [\mathfrak{s}_0]$, and consider the intersection $\mathfrak{s}_x \cap \Sigma$. This set can be written as the intersection of half apartments, and thus is of the form

$$(4.5) \quad \Sigma \cap \mathfrak{s}_x = \bigcap_{\alpha \in R^+} H_{\alpha, k_\alpha}^+ \quad \text{for some integers } k_\alpha.$$

Let $\pi(x) \in \Sigma$ be the point $\pi(x) = (\pi(x)^1, \dots, \pi(x)^r)$, where

$$\pi(x)^i = \min\{k \in \mathbb{Z} \mid H_{\alpha_i, k} \cap \mathfrak{s}_x \neq \emptyset\} \quad \text{for each } 1 \leq i \leq r.$$

Thus $\pi(x)^i = k_{\alpha_i}$, with k_α as in (4.5). These definitions are illustrated for type \tilde{A}_2 in Figure 4.1.

If A is an apartment containing \mathfrak{s}_x then $\mathbf{h}|_A : A \rightarrow \Sigma$ is the isomorphism fixing the intersection $A \cap \Sigma$, and since x is the base point of \mathfrak{s}_x , we necessarily have that $\pi(x) - \mathbf{h}(x)$ is dominant. Thus $\mathbf{h}(x)^i \leq \pi(x)^i$ for all $1 \leq i \leq r$ (and so $\mathbf{h}(x)$ lies in the lower shaded region in Figure 4.1).

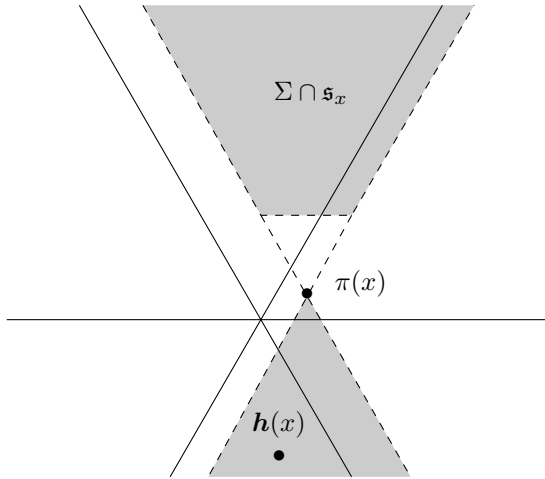


Figure 4.1. The intersection $\Sigma \cap \mathfrak{s}_x$ and the point $\pi(x)$

If x and y are distinct special vertices lying in a common chamber we write $x \approx y$. We need to analyse the connection between $\pi(x)$ and $\pi(y)$ for vertices $x \approx y$. It is easier to consider each component of these vectors separately, so let $1 \leq i \leq r$ be fixed. We claim that for $x \approx y$,

- (1) If $\mathbf{h}(x)^i < \pi(x)^i$ then $\pi(y)^i = \pi(x)^i$.
- (2) If $\mathbf{h}(x)^i = \pi(x)^i$ and
 - (a) if $\mathbf{h}(y)^i = \mathbf{h}(x)^i + 1$ then $\pi(y)^i = \pi(x)^i + 1$.
 - (b) if $\mathbf{h}(y)^i = \mathbf{h}(x)^i$ then $\pi(y)^i = \pi(x)^i$.
 - (c) if $\mathbf{h}(y)^i = \mathbf{h}(x)^i - 1$ then $\pi(y)^i \in \{\pi(x)^i - 1, \pi(x)^i\}$.

In the last case, the proportion of the $y' \approx x$ with $\mathbf{h}(y') = \mathbf{h}(y)$ having $\pi(y')^i = \pi(x)^i - 1$ is q_i^{-1} .

Let us assume the above claims for now. Let $\bar{X}_n = \mathbf{h}(X_n)$ and $Y_n = \pi(X_n)$, and write $Z_n = Y_{n+1} - Y_n$ for the increments of Y_n . We are interested in the process $(Y_n)_{n \geq 0}$, and we show that each $Y_n^i \rightarrow \infty$ almost surely as $n \rightarrow \infty$. This clearly implies that X_n converges in the combinatorial boundary to the equivalence class of \mathfrak{s}_0 .

The process $(\bar{X}_n)_{n \geq 0}$ is a translation invariant drift free random walk on P , and by Lemma 4.14 this random walk hits each fixed hyperplane $H_{\alpha,k}$ infinitely often almost surely. Thus for each $k \in \mathbb{Z}$ and each $1 \leq i \leq r$ we have $\bar{X}_n^i = k$ for infinitely many $n \geq 0$ almost surely.

Let $1 \leq i \leq r$ be fixed. We have $\bar{X}_n^i \leq Y_n^i$ for all $n \geq 0$. The claims above imply the following. If $\bar{X}_n^i < Y_n^i$ then $Z_n^i = 0$, while if $\bar{X}_n^i = Y_n^i$ then

$$\begin{aligned}
 \mathbb{P}[Z_n^i = 1 \mid \bar{X}_{n+1}^i = \bar{X}_n^i + 1] &= 1, \\
 \mathbb{P}[Z_n^i = 0 \mid \bar{X}_{n+1}^i = \bar{X}_n^i] &= 1, \\
 \mathbb{P}[Z_n^i = 0 \mid \bar{X}_{n+1}^i = \bar{X}_n^i - 1] &= 1 - q_i^{-1}, \\
 \mathbb{P}[Z_n^i = -1 \mid \bar{X}_{n+1}^i = \bar{X}_n^i - 1] &= q_i^{-1}.
 \end{aligned}
 \tag{4.6}$$

Thus Y_n^i remains constant (in n) until $\bar{X}_n^i = Y_n^i$. We can therefore define a sequence of stopping times $\mathbf{t}(k)$ ($k \geq 0$) depending on i by

$$\mathbf{t}(0) = 0 \quad \text{and} \quad \mathbf{t}(k+1) = \inf\{n > \mathbf{t}(k) : \bar{X}_n^i = Y_n^i\}.$$

Then $Y_n^i = Y_{\mathbf{t}(k)+1}^i$ for all $k \geq 0$ and $\mathbf{t}(k) < n \leq \mathbf{t}(k+1)$, so that we can argue inductively: by recurrence, \bar{X}_n^i must reach $Y_{\mathbf{t}(k)+1}^i$ after time $\mathbf{t}(k)$ with probability 1, whence $\mathbf{t}(k+1)$ is almost surely finite. For $k \geq 1$, the random variables

$$Z_{\mathbf{t}(k)}^i = Y_{\mathbf{t}(k)+1}^i - Y_{\mathbf{t}(k)}^i = Y_{\mathbf{t}(k+1)}^i - Y_{\mathbf{t}(k)}^i = \bar{X}_{\mathbf{t}(k+1)}^i - \bar{X}_{\mathbf{t}(k)}^i$$

are independent. Using (4.6), we easily compute the distribution of $Z_{\mathbf{t}(k)}^i \in \{-1, 0, 1\}$ via the law of total probability:

$$\begin{aligned}
 \mathbb{P}[Z_{\mathbf{t}(k)}^i = 1] &= \mathbb{P}[\bar{X}_{\mathbf{t}(k)+1}^i - \bar{X}_{\mathbf{t}(k)}^i = 1] \\
 \text{and} \quad \mathbb{P}[Z_{\mathbf{t}(k)}^i = -1] &= q_i^{-1} \mathbb{P}[\bar{X}_{\mathbf{t}(k)+1}^i - \bar{X}_{\mathbf{t}(k)}^i = -1],
 \end{aligned}$$

while of course $\mathbb{P}[Z_{\mathbf{t}(k)}^i = 0]$ is the complementary probability. Thus, the $Z_{\mathbf{t}(k)}^i$ are i.i.d. Now, since $(\bar{X}_n)_{n \geq 0}$ is drift free, the projection $(\bar{X}_n^i)_{n \geq 0}$ is also drift free, and so $\mathbb{E}[\bar{X}_{n+1}^i - \bar{X}_n^i] = 0$. These increments are ± 1 or 0. Thus $\mathbb{P}[\bar{X}_{n+1}^i - \bar{X}_n^i = -1] = \mathbb{P}[\bar{X}_{n+1}^i - \bar{X}_n^i = 1]$, and by irreducibility this value is strictly positive. Since $q_i \geq 2$ (by thickness),

$$\mathbb{E}[Z_{\mathbf{t}(k)}^i] = (1 - q_i^{-1}) \mathbb{P}[\bar{X}_{n+1}^i - \bar{X}_n^i = 1] = e_i > 0,$$

where $e_i > 0$ is a constant not depending on n . Therefore

$$Y_n^i = \sum_{\{k: \mathbf{t}(k) < n\}} Z_{\mathbf{t}(k)}^i \rightarrow \infty \quad \text{almost surely}$$

by the classical Law of Large Numbers, proving the theorem.

It remains to prove the geometric claims 1 and 2 made above. We will briefly sketch the proof of the most delicate part, case 2(c). The remaining cases are easier. Suppose that $x \approx y$ with $\mathbf{h}(x)^i = \pi(x)^i$ and $\mathbf{h}(y)^i = \mathbf{h}(x)^i -$

1. The assumption that $\mathbf{h}(x)^i = \pi(x)^i$ says that the set $\Sigma \cap \mathfrak{s}_x$ is adjacent to a wall of the sector \mathfrak{s}_x , and the assumption that $\mathbf{h}(y)^i = \mathbf{h}(x)^i - 1$ says that y is on the ‘negative side’ of this wall (in any apartment containing $\mathfrak{s}_x \cup \{y\}$, see Lemma 2.3). This is illustrated in Figure 4.2.

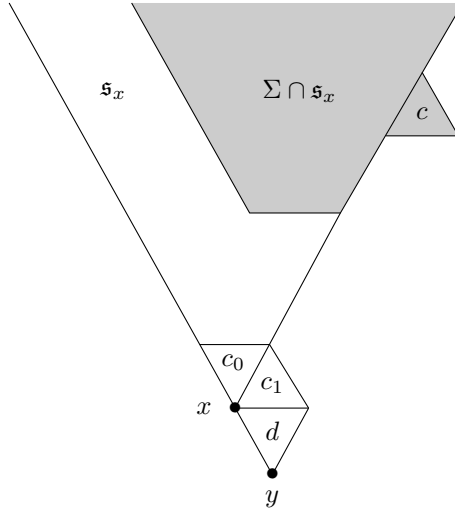


Figure 4.2. Evolution of $\pi(x)$

Let d be a chamber containing x and y (this chamber exists by hypothesis $x \approx y$). Let c_0 be the base chamber of \mathfrak{s}_x , and let $c_0 \sim c_1 \sim \dots \sim c_k = d$ be a minimal length gallery from c_0 to d . Let c be a chamber of the base apartment Σ adjacent to the region $\Sigma \cap \mathfrak{s}_x$, as illustrated. Then $c \in \mathfrak{s}_y$ if and only if the chamber c_1 is equal to the projection $\text{proj}_c(x)$ of the chamber c onto the simplex x (see [1, Proposition 4.95]). There are q_i chambers adjacent to c_0 via the panel $c_0 \cap c_1$, whence the result. \square

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