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## Relative Importance of Radar Variables for Nowcasting Heavy Rainfall: A Machine Learning Approach

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## Relative Importance of Radar Variables for Nowcasting Heavy Rainfall: A Machine Learning Approach

Yi Victor Wang, Seung Hee Kim, Geunsu Lyu, Choeng-Lyong Lee, Gyuwon Lee, Ki-Hong Min, and Menas C. Kafatos

Abstract-Highly short-term forecasting, or nowcasting, of heavy rainfall due to rapidly evolving mesoscale convective systems (MCSs) is particularly challenging for traditional numerical weather prediction models. To overcome such a challenge, a growing number of studies have shown significant advantages of using machine learning (ML) modeling techniques with remote sensing data, especially weather radar data, for highresolution rainfall nowcasting. To improve ML model performance, it is essential first and foremost to quantify the importance of radar variables and identify pertinent predictors of rainfall that can also be associated with domain knowledge. In this study, a set of MCS types consisting of convective cell, mesoscale convective cell, diagonal squall line, and parallel squall line, was adopted to categorize MCS storm cells, following the fuzzy logic algorithm for storm tracking, over the Korean Peninsula. The relationships between rain rates and over 15 variables derived from data products of dual-polarimetric weather radar were investigated and quantified via 5 ML regression methods and a permutation importance algorithm. As an applicational example, ML classification models were also developed to predict locations of storm cells. Recalibrated ML regression models with identified pertinent predictors were coupled with the ML classification models to provide early warnings of heavy rainfall. Results imply that future work needs to consider MCS type information to improve ML modeling for nowcasting and early warning of heavy rainfall.

*Index Terms*—Artificial neural network, convolutional neural network, deep learning, early warning, flash flood, hydrometeorological hazard, Lasso, mesoscale convective system, permutation importance, random forest, remote sensing, storm, support vector regression, dual-polarimetric weather radar

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#### I. INTRODUCTION

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**N** OWCASTING is a highly short-term weather forecasting of up to a few hours [1]. It can provide useful information for short-term early warnings regarding hazardous meteorological conditions to support preparation for and rapid response to emergencies, as well as to mitigate the potential impacts, associated with a climate-related hazard event. For example, flash flooding is a common hazard that can cause severe adverse impacts to human communities [2]–[4]. Such phenomena usually occur within hours of mesoscale rainstorm events. An effective nowcasting system for mesoscale heavy rainfall can be used to issue warnings to reduce potential flood exposure of communities that may be caught off guard otherwise.

For nowcasting heavy rainfall of a mesoscale convective system (MCS), the numerical weather prediction (NWP) models that are traditionally used for daily weather forecasting have their limitations [5]. NWPs produce simulations of meteorological conditions based on physical equations coupled with measurements of atmosphere. When adopted for applications with high spatial and temporal resolutions, traditional NWP models are usually hampered by the deficiencies in determination of initial and boundary conditions [6], long spin-up time [7], and challenges in physical parameterization [8]. As a result, it is difficult for traditional NWP models to provide useful nowcasting products on MCS for high-resolution applications rainfall such as hydrometeorological modeling and simulation for an urban area or a catchment.

As an option to improve nowcasting of rainfall, machine

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Supplementary material includes Table S1.

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learning (ML) methods can be applied along with remote sensing data. As remote sensing data such as from weather radars and satellites can usually reach a spatial and temporal resolution as high as 1 km and 5 minutes, the nowcasting results can be produced with the same level of detail. To fully integrate the detailed information from remote sensors, ML models can be trained on empirical data to interpolate and extrapolate rainfall given new measured values of input variables. A growing number of ML methods, including convolutional neural network (CNN) [9]–[12], deep generative modeling [5], logistic regression [13], long short-term memory network [9]-[11], multilayer perceptron (MLP) network [14], random forest classification [15]-[16], recurrent neural network [17], support vector machine classification [18], trajectory gated recurrent unit network [19], and U-net [20], have been demonstrated with potential to accurately nowcast rainfall. Given a set of identified input variables, a ML model functions as a surrogate model that can swiftly predict or classify rainfall. The ML models based on convolutional computations are particularly good at capturing spatial correlations [9]-[12]. The recurrent networkbased ML methods are especially capable of modeling the temporal trends [9]-[11]. Despite the proved utility of ML models in nowcasting rainfall, the input variables of the current models are usually selected without empirical quantification of the importance of variables based on evidence from data before model training.

Among the recent efforts on ML modeling for nowcasting rainfall, weather radars are the most commonly used data sources for input variables. Radar data is historically one of the most popular sources of information for nowcasting rainfall of storm cells [21]-[24]. Traditional weather radar systems operate based on the emissions and receptions of horizontal pulses and corresponding Doppler effects [25]. They usually produce data products on variables associated with the reflectivity and velocity of objects in the atmosphere. In addition to the horizontal pulses, dual-polarimetric radar systems also operate with pulses in the vertical orientation. Unlike the case of the traditional weather radars, the 2 orientations of pulses allow the dual-polarimetric radars to produce data on more variables than the traditional radar systems for improved accuracy of estimates of rainfall [26]-[28].

The main objective of the study was to use ML regression methods to quantify the relative importance of radar variables for nowcasting heavy rainfall of a storm cell. For such a purpose, data products from a dual-polarimetric Doppler radar [29] for 17 variables for the Korean Peninsula were used for model calibration. Important input variables were then selected with a permutation importance algorithm to recalibrate ML regression models to compute the 30-minute and 60-minute predictions of rainfall for MCS storm cells. The recalibrated ML regression models were applied to show their utility in providing early warnings for areas that would potentially experience heavy rainfall events. To do so, the recalibrated ML regression models were coupled with ML classification models that predict locations of storm cells. The ML classification models for location prediction were trained with data on locations of storm cells at now moments and the same 17 radar variables plus 5 topographic variables.

The rest of this article consists of 4 sections. The first section introduces the study area and data for assessing importance of radar variables and for ML modeling to nowcast, and to provide early warnings of, heavy rainfall. Then, the methods and algorithms to derive ML models and to apply these models for early warnings of heavy rainfall are delineated. Next, the results of implementing the ML methodology of the study are laid out and discussed. The final section concludes with summaries of the significance and limitations of the study and suggestions for future work.

#### II. DATA

#### A. Study Area

The study area of this research covers most of the Korean Peninsula (Fig. 1). It spans 960 km from west to east and 1,200 km from north to south. The study area corresponds to the geographic extent of the data for assessing the relative importance of radar variables. As shown in Fig. 1, the data for application in rainfall nowcasting and provision of early warnings has a geographic extent indicated by the brown dashed lines. The orange dotted lines demarcate the model area for application in rainfall nowcasting and provision of early warnings. Shapefiles of country boundaries for visualization and data processing were downloaded from the Database of Global Administrative Areas (GADM) [30]. During the processing of spatial data, the Lambert conformal conic projection [31] was adopted along with a uniform spatial resolution of 1 km.



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Fig. 1. Study area.

#### B. Data for Regression Modeling

Data on input variables for assessing their importance in nowcasting rainfall were based on 3-dimensional composites from the Dual Polarimetric Doppler Radar operated by the Korea Meteorological Administration (KMA). Each record of the data on input variables corresponded to a storm cell at a timestamp at the temporal resolution of 10 minutes. Storm cells were identified with the application of the Fuzzy logic Algorithm for Storm Tracking (FAST) [32]. Data on output variables included images of hybrid surface rainfall (HSR) in terms of rain rate at the spatial resolution of 1 km and temporal resolution of 10 minutes. The HSR images were derived from the lowest observable data from individual radars and were then composited over their corresponding domains [33]. For each HSR image, two variables, i.e., mean rain rate and top 10% mean rain rate, were computed to be associated with the input variables at the storm cell level based on the areas of storm cells identified by the FAST algorithm. Mean rain rate referred to the average rain rate across the entire area of a storm cell. Top 10% mean rain rate was the average of rain rate among those pixelated areas with the highest 10% rain rate within the storm cell. Only data on MCS during the warm seasons from April to September were considered for model calibration. The time period of data was from April 2018 to September 2020.

Subsequent to using the FAST algorithm, identified storm cells were further categorized into four types, i.e., convective cell (CC), mesoscale convective complex (MCC), diagonal squall line (SLD), and parallel squall line (SLP), similar to classification by Lee and Kim 2007 [34]. Regarding each storm cell, if its longest radius,  $R_{mj}$ , was less than 20 km, it was classified as a CC. Among the non-CC storm cells, those with an axis ratio less than 4 were considered MCCs and the rest were identified as squall lines, where axis ratio referred to the ratio of the longest radius to the shortest radius. Among the squall lines, those with an angle between the longest radius and its advection larger than 45° were categorized as SLDs and the rest as SLPs.

For each storm cell across its lifespan, data points were created for training ML regression models for assessing relative importance of input variables. Each data point corresponded to the two output variables of mean rain rate (*MeanRR*) and top 10% mean rain rate (*Top10%*) at a now timestamp and 17 input variables (Table I) at a previous timestamp. For the nowcasting purpose, the previous timestamp was set to be either 30 or 60 minutes prior to the now timestamp. To facilitate storage and processing of data, each variable value was converted into an integer by multiplication with a factor to match the integer format of the time and identifier of the corresponding data point (Table I). To enhance the representativeness of highly positively skewed variables and to stabilize their variation, a base-10 logarithmic transformation was applied to these skewed variables (Table I).

TABLE I INPUT VARIABLES FOR ASSESSMENT OF RELATIVE IMPORTANCE IN RAINFALL PREDICTION

Variable	Description	Multipl ication factor	Logarithmic ally transformed
$R_{mj}$	Longest radius in km	100	Yes
$R_{mn}$	Shortest radius in km	100	Yes
Theta	Direction of the shortest radius axis in degree	100	No
MeanZ	Storm area averaged reflectivity in dBZ	100	Yes
Area	Storm area in km <sup>2</sup>	10	Yes
Volume	Storm area volume in km <sup>3</sup>	10	Yes
Тор	Echo top height in km	100	No
Base	Echo bottom height in km	100	No
MaxZ	Storm area maximum reflectivity in dBZ	100	Yes
$MaxZ_{hgt}$	Maximum reflectivity height in km	100	No
AvgVIL	Storm area averaged vertically integrated liquid water in km/m <sup>2</sup>	100,000	Yes
MaxVIL	Storm area maximum vertically integrated liquid water in km/m <sup>2</sup>	100,000	Yes
U	Zonal component of cell motion velocity in m/s	100,000	No
V	Meridional component of cell motion velocity in m/s	100,000	No
Direction	Storm propagation direction in degree	100,000	No
MeanRR	Mean rain rate in mm/h	100	Yes
Top10%	Mean rain rate of top 10% rain rate pixels in mm/h	100	Yes

Eight datasets were created for assessing relative importance of radar variables. Each dataset corresponded to 1 of 4 storm types and 1 of 2 prior times. For each dataset, each of the input and output variables was standardized with

$$v_{\rm S} = \frac{v_{\rm O} - \mu_{\rm O}}{\sigma_{\rm O}},\tag{1}$$

where  $v_{\rm S}$  was the standardized variable,  $v_{\rm O}$  was the variable after multiplication to integer and selective logarithmic transformation shown in Table I, and  $\mu_0$  and  $\sigma_0$  were respectively the mean and standard deviation of  $v_0$ . With consideration of the timestamp of KST 2020-07-19 00:00:00 as the cutoff, the standardized datasets were then separated into the training datasets (before the cutoff timestamp) and validation datasets (at or after the cutoff timestamp). For deriving the permutation importance of input variables, each training dataset was further randomly separated into a trainingtraining dataset (90% of training data points) and a trainingtesting dataset (the rest of the training data points). Because of limited numbers of data points for storm cell types of MCC, SLD, and SLP for each prior time, data points of these three storm types were pooled to form datasets for the joint MCC-SLD-SLP (MSL) storm type for importance assessment.

#### C. Data for Classification Modeling

For predicting locations of storm cells, data in the format of image patches were generated (Fig. 2) to train ML classification models to predict whether the central pixel of an image patch

belongs to a storm cell after a considered time period of either 30 or 60 minutes. Such an image patch showed the geographic distribution of a storm cell around its central pixel. The output data for classification modeling was the binary variable of whether the central pixel of an image patch belonged to a storm cell of interest at a now timestamp. The input data included the image patches of the same binary variable at all pixels of each image patch plus 22 variables at the central pixel of the image patch at a previous timestamp.



**Fig. 2.** Generation of image patches for training classification models for predicting storm cell locations.  $n_{\rm IPR}$  and  $n_{\rm IPC}$  indicate the size of image patch.  $n_{\rm SWR}$  and  $n_{\rm SWC}$  indicate the size of stride window. Each red square is a randomly selected central pixel of image patch.

As shown in Fig. 2, each image patch had the size of  $n_{\rm IPR}$  by  $n_{\rm IPC}$ , where  $n_{\rm IPR}$  and  $n_{\rm IPC}$  were respectively the numbers of rows and columns of the image patch. Stride windows of the size of  $n_{\rm SWR}$  by  $n_{\rm SWC}$  were created, where  $n_{\rm SWR}$  and  $n_{\rm SWC}$  were respectively the numbers of rows and columns of a stride window. Within each stride window, one pixel was randomly selected (highlighted in red color) as the targeted pixel. Around this targeted pixel, an image patch was then retrieved given  $n_{\rm IPR}$  and  $n_{\rm IPC}$ . Each pixel of an image patch was assigned a value of 1 or 0 if it belonged to a storm cell of interest or not. Image patches with all pixels of 0s were discarded from model training and validation. In this study,  $n_{\rm IPR} = 81$ ,  $n_{\rm IPC} = 81$ ,  $n_{\rm SWR} = 10$ , and  $n_{\rm SWC} = 10$ . These parametric values were determined based on trials and errors to provide a good model performance within an acceptable computational time.

Among the 22 input variables for classification modeling, 17 were the same as the input variables for regression modeling (Table I). The other 5 were topographic variables derived with the open-source software QGIS 3.20.3-Odense [35] based on the spatial data used in the study. For computing the topographic variables, the digital elevation model (DEM) dataset of Global Multi-Resolution Terrain Elevation Data 2010 at the spatial resolution of 7.5 arc-seconds was downloaded from the United States Geological Survey website [36]. The created topographic variables included distance to sea, elevation, aspect, roughness, and slope.

The same cutoff timestamp, i.e., KST 2020-07-19 00:00:00,

as of the data for assessing variable importance was used to separate the training and validation datasets for ML classification modeling. A total of 12 datasets were created for training classification models. Half were for the 30-minute modeling and the other half were for the 60-minute modeling. For either prior time, the 6 datasets included ones for CC, MCC, SLD, SLP, MSL, and all storm cell types pooled together (All), respectively.

#### **III. METHODOLOGY**

The presented study consists of two parts. The first part assessed the relative importance of radar variables in nowcasting heavy rainfall by adopting supervised ML regression techniques. The developed ML regression models could be used to predict the mean rain rate and top 10% mean rain rate of an MCS storm cell. The second part of the study developed supervised ML classification models based on a CNN modeling method to predict storm cell locations and applied the results of regression modeling of the first part to produce nowcasts of heavy rainfall conditions and provide early warnings. Quantitative models in this study were established with the open-source software language Python 3.8.11 [37].

#### A. Regression Modeling

To assess the relative importance of radar variables in nowcasting heavy rainfall, 4 ML regression methods were adopted. The initial input of the ML regression models included 17 variables listed in Table I. The output variables were the mean rain rate and top 10% mean rain rate. The adopted ML regression modeling methods included the least absolute shrinkage and selection operator (Lasso) [38]-[39], random forest regression (RFR) [40]-[41], support vector regression (SVR) [42], and artificial neural network (ANN) [43]. After each ML model was calibrated with its training-training dataset, a permutation importance metric was computed for each input variable on the corresponding training-testing dataset. Based on the derived metrics of permutation importance, pertinent input variables were selected. The ML regression model with the selected input variables was then recalibrated with its entire training dataset. The recalibrated ML regression model was finally tested on its validation dataset.

#### a. Lasso

The Lasso method is a linear regression approach that also selects variables and performs regularization simultaneously. Consider a linear regression model

$$\boldsymbol{v}_{\mathrm{L}} = \boldsymbol{X}_{\mathrm{L}} \boldsymbol{\beta}_{\mathrm{L}} + \boldsymbol{\sigma}_{\mathrm{L}} \boldsymbol{\varepsilon}_{\mathrm{L}}, \qquad (2)$$

where  $y_L$  is the column vector of values of an output variable,  $X_L$  is the data matrix of input variables,  $\beta_L$  is a column vector of model coefficients,  $\varepsilon_L$  is a column vector of independent and identically distributed standard normal random variables, and  $\sigma_L$  is the dispersion parameter of the model. Lasso estimates

$$\hat{\boldsymbol{\beta}}_{\mathrm{L}} = \operatorname*{argmin}_{\boldsymbol{\beta}_{\mathrm{L}}} \left( \left\| \boldsymbol{y}_{\mathrm{L}} - \boldsymbol{X}_{\mathrm{L}} \boldsymbol{\beta}_{\mathrm{L}} \right\|^{2} + \lambda_{\mathrm{L}} \sum_{h=1}^{m} \left| \boldsymbol{\beta}_{\mathrm{L}h} \right| \right), \quad (3)$$

where  $\hat{\boldsymbol{\beta}}_{L}$  is the Lasso estimator of  $\boldsymbol{\beta}_{L} = [\beta_{L0}, \beta_{L1}, \dots, \beta_{Lm}]^{T}, \beta_{L0}$  is the intercept,  $\beta_{Lh}$  is the *h*th element of  $\boldsymbol{\beta}_{L}$ , *m* is the number of

input variables,  $\lambda_L$  is a nonnegative regularization parameter,  $\|\cdot\|$  is the L2 norm operator, and  $|\cdot|$  is the absolute value operator [38]–[39]. The *LassoCV* function from the scikit-learn library was adopted for Lasso modeling [44].

#### b. Random forest regression

RFR is a regression modeling approach that randomly establishes regression trees and uses the mean output of the regression trees as the estimate of target value of output given the values of input variables [40]–[41]. The RFR algorithm used in this study consisted of four steps. First, given a training-training dataset with the sample size of n, n samples were randomly selected from the training-training dataset with replacement to form a new dataset. Second, a regression tree was established with the new dataset. Third, the first and second steps were repeated for 800 times. Fourth, given the input values of a new data point, the outputs of the established 800 regression trees were averaged to produce the estimate of the output of the RFR model. The RFR was achieved via the *RandomForestRegressor* function of the scikit-learn library [44].

#### c. Support vector regression

SVR is a computational approach that produces a regression model in the form of a hyperplane in the original or transformed vector space of data points involving both the input and output variables. The hyperplane is at the center between two parallel margins that contain most of the data points and touched only by data points called support vectors. For a linear SVR model, its training-training dataset with sample size n can be denoted as

$$(\mathbf{x}_{\mathrm{S1}}, \mathbf{y}_{\mathrm{S1}}), (\mathbf{x}_{\mathrm{S2}}, \mathbf{y}_{\mathrm{S2}}), \cdots, (\mathbf{x}_{\mathrm{Sn}}, \mathbf{y}_{\mathrm{Sn}})\} \subset \mathcal{X} \times \mathbb{R},$$
 (4)

where  $\mathcal{X}$  is the vector space of input variables. The SVR hyperplane is

$$\boldsymbol{w}_{\mathrm{S}} = \boldsymbol{f}_{\mathrm{S}}(\boldsymbol{x}_{\mathrm{S}}) = \langle \boldsymbol{w}_{\mathrm{S}} | \boldsymbol{x}_{\mathrm{S}} \rangle + \boldsymbol{b}_{\mathrm{S}}, \tag{5}$$

where  $w_{\rm S} \in \mathcal{X}, b_{\rm S} \in \mathbb{R}$ , and  $\langle \cdot | \cdot \rangle$  refers to the dot product in  $\mathcal{X}$ .  $f_{\rm S}(\mathbf{x}_{\rm S})$  can be derived by minimizing

$$L_{\rm S} = \frac{1}{2} \| \boldsymbol{w}_{\rm S} \|^2 + C_{\rm S} \sum_{i=1}^{n} (\xi_{\rm Si} + \zeta_{\rm Si}), \tag{6}$$

subject to

$$\begin{cases} y_{\mathrm{S}i} - \langle \boldsymbol{w}_{\mathrm{S}} | \boldsymbol{x}_{\mathrm{S}i} \rangle - b_{\mathrm{S}} \leq \varepsilon_{\mathrm{S}} + \xi_{\mathrm{S}i} \\ \langle \boldsymbol{w}_{\mathrm{S}} | \boldsymbol{x}_{\mathrm{S}i} \rangle + b_{\mathrm{S}} - y_{\mathrm{S}i} \leq \varepsilon_{\mathrm{S}} + \zeta_{\mathrm{S}i}, \\ \xi_{\mathrm{S}i}, \zeta_{\mathrm{S}i} \geq 0 \end{cases}$$
(7)

where  $\varepsilon_{\rm S}$  is the precision parameter of the support vector margins,  $C_{\rm S} > 0$  is a parameter determining the flatness of model hyperplane and the toleration of deviations of data points from the margins, and  $\zeta_{\rm Si}$  and  $\zeta_{\rm Si}$  are respectively the *i*th values of two slack variables [42]. A slack variable indicates the distance, from either side of the model hyperplane, between a data point and its closer support vector margin. The introduction of slack variables allows model calibration with data points beyond the support vector margins. In this study, parameters were set at the most commonly used values, as  $C_{\rm S} = 1$  and  $\varepsilon_{\rm S} = 0.01$ .

The optimization problem of (6) and (7) can be solved via the method of Lagrange multipliers with a focus on the computation of  $\langle \mathbf{x}_{Si} | \mathbf{x}_{Sj} \rangle$  for all data points, where *i* and *j* are dummy variables referring to the *i*th and *j*th data points

respectively. With a kernel trick

$$K_{\rm S}(\boldsymbol{x}_{{\rm S}i},\boldsymbol{x}_{{\rm S}j}) = \langle \phi(\boldsymbol{x}_{{\rm S}i}) | \phi(\boldsymbol{x}_{{\rm S}j}) \rangle, \qquad (8)$$

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where  $\phi(\cdot)$  is a mathematical mapping, the original input variables of  $x_S$  can be transformed into a new set of variables in a new vector space with higher dimensions than  $\mathcal{X}$ . Such a transformation of input variables can help solve a non-linear regression problem. In addition to the linear SVR approach, in this study, a radial basis function (RBF) kernel was adopted as

$$K_{\rm SR}(\boldsymbol{x}_{\rm Si}, \boldsymbol{x}_{\rm Sj}) = \exp\left(-\gamma_{\rm S} \|\boldsymbol{x}_{\rm Si} - \boldsymbol{x}_{\rm Sj}\|^2\right), \qquad (9)$$

$$\gamma_{\rm S} = \frac{n}{\sum_{i=1}^{n} \sum_{j=1}^{m} (x_{\rm Sij} - \bar{\mathbf{x}}_{\rm S})^2}$$
(10)

was a common configuration. With a Taylor expansion,  $K_{SR}(\cdot)$  can be shown to be the dot product of mappings,  $\phi(\cdot)$ , of input variables from the original vector space into a vector space with an infinite number of dimensions. The *SVR* function from the scikit-learn library was used to apply the linear and RBF SVR approaches [44].

#### d. Artificial neural network

ANN is a computational model that mimics the biological neural network of an animal brain to produce output based on input values. The generic architecture of ANN, the MLP [43], was adopted for assessing importance of radar variables in this study. For each training-training dataset, two MLPs were established for the two output variables respectively. Each MLP consisted of one input layer with  $N_{\rm I} = m$  nodes corresponding to the *m* input variables, one hidden layer with  $N_{\rm H} = m$  nodes, and one output layer with  $N_{\rm O} = 1$  node corresponding to the output variable (Fig. 3). Each node of the hidden and output layers performed

$$\xi_{Aq,r} = f_{q,r} \left( \beta_{q,r,0} + \sum_{s=1}^{N_{q-1}} \beta_{q,r,s} x_{Aq-1,s} \right)$$
(11)

where  $x_{Aq,r}$  referred to the output of the *r*th node of the *q*th layer,  $f_{q,r}(\cdot)$  was an activation function,  $\beta_{q,r,0}$  was a bias parameter,  $\beta_{q,r,s}$  was a weight parameter, and  $N_{q-1}$  was the number of nodes of the layer previous to the *q*th layer. The rectified linear unit (ReLU) function [45]–[46]

$$f_{\text{ReLU}}(x) = \max(0, x) \tag{12}$$

and the identical function were used respectively as the activation functions for the hidden and output layers. Each MLP was trained with 400 epochs with the adaptive moment estimation (Adam) algorithm [47] with the batch size of 16 and the initial learning rate at  $2 \times 10^{-4}$ . The mean squared error (MSE) was adopted as the loss function:

$$MSE = \frac{1}{n_y} \sum_{i=1}^{n_y} (y_i - \hat{y}_i)^2$$
(13)

where  $n_y$  was the number of data points,  $y_i$  was the observed output value of the *i*th data point, and  $\hat{y}_i$  was its model prediction. The ANN modeling was achieved via the TensorFlow library [48].



Fig. 3. Multilayer perceptron for assessing importance of input variables in nowcasting heavy rainfall.

#### e. Variable selection

To determine the relative importance of input variables of each ML regression model, an algorithm based on the permutation importance technique was applied [49]. The adopted algorithm also selected pertinent variables for each model given their computed permutation importance scores. The permutation importance score was associated with the change in a model performance when the values of a single input variable was randomly shuffled. The algorithm used in this study goes as follows. Step 1 calibrates an ML regression model on its corresponding training-training dataset. Step 2 computes the root mean squared error (RMSE) of the model on its training-testing dataset as a reference score RS. Step 3 selects the first input variable or the next input variable if the first has already been selected. Step 4 randomly shuffles the values of the selected input variable to generate a corrupted version of the training-testing dataset. Step 5 computes the RMSE of the model on the corrupted training-testing dataset as the variable shuffle score VSS. Step 6 derives the importance score IS = VSS - RS and records it. Step 7 repeats steps 4–6 100 times to record 100 ISs. Step 8 continues steps 3-7 to cover all the input variables. Step 9 conducts one-tailed one-sample t-tests on ISs for the input variables with the null hypothesis that the actual IS of each of the input variables is no greater than 0. Step 10 selects the input variables for which the null hypothesis is rejected at the significance level of  $10^{-9}$ . Step 11 recalibrates the ML regression model with the selected input variables on the entire training dataset including the training-training and training-testing datasets.

#### f. Validation

For each recalibrated ML regression model, a validation was conducted on its validation dataset. For validation, model performances on predictions of mean rain rate and top 10% mean rain rate were compared to the results by directly using the prior values (i.e., the input variables) to predict the current values of the 2 output variables. 4 loss functions were used to indicate model performance. They included the mean absolute error (MAE) (14), the mean absolute percentage error (MAPE) (15), MSE (13), and the coefficient of determination ( $R^2$ ) (16):

$$MAE = \frac{1}{n_y} \sum_{i=1}^{n_y} |y_i - \hat{y}_i|, \qquad (14)$$

6

$$MAPE = \frac{1}{n_y} \sum_{i=1}^{n_y} \left| \frac{y_i - \hat{y}_i}{y_i} \right|,$$
 (15)

$$R^{2} = 1 - \frac{\sum_{i=1}^{n_{y}} (y_{i} - \hat{y}_{i})^{2}}{\sum_{i=1}^{n_{y}} (\bar{y} - \hat{y}_{i})^{2}},$$
(16)

where  $\overline{y}$  was the mean of observed values of output variable.

#### B. Application

Subsequent to the assessment of relative importance of input variables including radar products in nowcasting heavy rainfall with ML regression models, the recalibrated ML regression models were further applied to map areas with potential of heavy rainfall in 30 and 60 minutes given values of input variables at current time. Such an application was achieved by integrating an ML regression modeling result with an ML classification model that predicted the location of a storm cell of interest.

#### a. Classification modeling

The ML classification models used in this study were to classify whether a pixel on an image, corresponding to a location, would belong to a storm cell of interest in 30 or 60 minutes. The input of the ML classification models included 17 variables listed in Table I plus 5 topographic variables, i.e., distance to sea, elevation, aspect, roughness, and slope, at a prior timestamp. The output was the binary variable indicating if a storm cell of interest was covering the central pixel of the image patch at a now timestamp. To establish such a model, a 2-dimensional (2D) CNN-based method was used. CNN is an ANN that uses mathematical convolution, instead of matrix multiplication, in at least one of its layers [50]. The convolutional responses are known as feature maps. For this application, a total of 12 classification models were trained in accordance with the CC, MCC, SLD, SLP, MSL, and All datasets given two prior times of 30 and 60 minutes.

The architecture of the ML classification models consisted of 2 input layers, 4 pairs of 2D convolutional and max pooling layers, 3 fully connected dense layers, and 1 output layer (Fig. 4). The input layers included 1 layer of image patch and another layer of 22 input nodes corresponding to 17 variables listed in Table I and 5 topographic variables. After computations at 4 pairs of convolutional and max pooling layers on an input image patch, 4 feature maps of the size of 3 by 3 were flattened to form 36 nodes that were further concatenated with the 22 input nodes. The output layer produced an expected probability that the central pixel of the input image patch belonged to the storm cell of interest. To derive such an expected probability, the logistic sigmoid activation function was used for the output layer:

$$f_{\rm LS}(x) = \frac{\exp(x)}{\exp(x) + 1}.$$
 (17)

Apart from the output layer, each node of a computational layer used the exponential linear unit (ELU) function as its activation function [51]:

$$f_{\rm ELU}(x) = \begin{cases} x & x > 0\\ \exp(x) - 1 & x \le 0 \end{cases}$$
(18)

The CNN-based classification model contained a total of 7,925 trainable parameters for model calibration.



**Fig. 4.** Architecture of the convolutional neural network-based machine learning classification model.

To calibrate the ML classification models, a shallow training scheme was adopted. According to this scheme, an entire model training process for each ML classification model involved 80 iterations. During each iteration, 20% of the 1 data points, i.e., data points with their output values equal to 1 indicating that the central pixel belonged to the storm cell of interest, were randomly selected from the training dataset without replacement. The same amount of 0 data points, i.e., data points with their output values equal to 0 indicating that the central pixel did not belong to the storm cell of interest, were randomly selected from the training dataset without replacement to match the number of selected 1 data points. The selected 1 and 0 data points were pooled to form a dataset. The pooled dataset was then used to train a same ML classification model with 5 epochs with the batch size of 64 before starting the next iteration. For training ML classification models, the Adam algorithm [47] was used for model calibration with the initial learning rate set at  $5 \times 10^{-4}$ . To facilitate binary classification, the binary cross entropy (BCE) was used as the loss function:

$$BCE = -\frac{1}{n_y} \sum_{i=1}^{n_y} [y_i \ln(\hat{y}_i) + (1 - y_i) \ln(1 - \hat{y}_i)].$$
(19)

A probability threshold of 0.5 was adopted to convert the final output into a binary format.

For validation, the trained ML classification models were applied to their corresponding validation datasets. 4 metrics, including the false positive rate (FPR) (20), precision (Prec) (21), recall (Reca) (22), and F1 score (F1) (23) were computed to indicate model performance:

$$FPR = \frac{FP}{FP + TN'}$$
(20)

$$Prec = \frac{TP}{TP + EP},$$
 (21)

$$Reca = \frac{TP}{TP + FN'}$$
 (22)

$$FI = \frac{2 \operatorname{Prec Reca}}{\operatorname{Prec} + \operatorname{Reca}},\tag{23}$$

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where *TP*, *FP*, *TN*, and *FN* referred to the numbers of true positives, false positives, true negatives, and false negatives, respectively.

To predict the geographical distribution of a storm cell of interest, an image patch, of the same size of a training image patch, around each pixel of the image were created for a now moment. If an image patch only contained 0s, the state of its central pixel would be predicted to be 0 in the future. Otherwise, the image patch along with its associated values of 22 input variables was fed into the corresponding ML classification model to derive an expected probability of its central pixel being part of the storm cell of interest in the future. The probability threshold of 0.5 was then applied such that the central pixel was greater than or equal to 0.5 and not part of the storm cell if otherwise.

#### b. Nowcasting heavy rainfall

The end products of the application of the trained ML models were maps showing the areas with potential heavy rainfall in 30 or 60 minutes. To achieve this, the ML regression models for assessing variable importance were coupled with the ML classification models. Given values of input variables at a now moment, the ML classification model predicted the area of storm cell at a future timestamp. In the meantime, the ML regression models estimated the rain rate at the same future timestamp. A warning was then issued for the storm cell area, if the predicted top 10% mean rain rate was greater than or equal to 30 mm/h.

#### IV. RESULTS AND DISCUSSION

Subsequent to delineation of the methodology of this study, this section displays and discusses the results of implementation of the proposed methodology. These results include the quantification of importance of input variables of ML regression models for nowcasting heavy rainfall, the validation of ML regression modeling for variable importance, the validation of ML classification modeling for predicting locations of storm cells, and an example of application of ML regression and classification models to issue early warning for an area with potential of experiencing heavy rainfall.

#### A. Variable Importance

With the permutation importance algorithm, 100 results of the relative importance of each input variable of the ML regression models in nowcasting heavy rainfall can be derived and displayed through box plots. Fig. 5 shows an example of such a box plot for the ANN model for top 10% mean rain rate of CC dataset with the 60-minute forecasting time. The horizontal axis indicates the importance score *IS*. For comparison of variable importance, the mean values of variable importance were computed and ranked for each model (see, e.g., Table II for nowcasting mean rain rate with CC dataset and 30-minute forecasting time). For each combination of output variable, storm cell type, and forecasting time, the sum of the mean importance of each input variable was derived across all

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5 ML regression models. The sums of ranks of input variables across the ML regression models were then ranked to indicate the importance of input variables across the adopted ML regression models. These total rankings of the input variables were finally listed in Table III across different models.



**Fig. 5.** Box plot of permutation importance of input variables of the ANN model for nowcasting top 10% mean rain rate with the CC dataset and the forecasting time of 60 minutes.

TABLE II EXAMPLE OF RANKINGS OF PERMUTATION IMPORTANCE OF

	INPUT VARIABLES BY WIDDELS							
Variable	Lasso	RFR	Linear SVR	RBF SVR	ANN	Sum	Total Rank	
MeanRR	1	1	1	1	1	5	1	
Volume	2	6	2	6	6	22	2	
MaxVIL	3	9	3	4	4	23	3	
MeanZ	5	11	4	3	3	26	4	
Base	7	5	6	5	7	30	5	
$R_{mj}$	6	3	5	11	8	33	6	
Top10%	12	2	16	2	5	37	7	
Area	4	13	15	12	2	46	8	
$R_{mn}$	14	7	7	10	9	47	9	
MaxZ	11	8	10	9	10	48	10	
AvgVIL	8	4	9	14	13	48	10	
$MaxZ_{hgt}$	15	10	8	8	15	56	12	
Тор	13	15	14	7	11	60	13	
U	10	16	12	17	12	67	14	
V	9	12	17	13	16	67	14	
Theta	16	14	13	15	17	75	16	
Direction	17	17	11	16	14	75	16	

TABLE III TOTAL RANKINGS OF PERMUTATION IMPORTANCE OF INPUT VARIABLES FOR NOWCASTING RAINFALL

	C	C	MSL		
Variable	Mean Rain Rate	Top 10% Mean Rain Rate	Mean Rain Rate	Top 10% Mean Rain Rate	

	30	60	30	60	30	60	30	60
	Min							
$R_{mj}$	6	7	7	6	8	4	7	4
$R_{mn}$	9	7	12	13	4	5	10	8
Theta	16	16	14	16	16	16	16	11
MeanZ	4	3	4	3	2	6	3	6
Area	8	12	8	8	10	8	8	9
Volume	2	3	5	5	6	3	6	3
Тор	13	10	10	7	13	10	5	10
Base	5	6	5	4	7	11	11	13
MaxZ	10	15	9	9	8	7	8	5
$MaxZ_{hgt}$	12	13	13	11	15	12	14	15
AvgVIL	10	9	11	12	11	15	12	14
MaxVIL	3	3	3	2	5	9	4	7
U	14	14	15	15	17	14	17	15
V	14	11	15	10	12	17	13	17
Direction	16	17	17	17	14	13	15	11
MeanRR	1	1	2	13	1	1	2	2
Top10%	7	2	1	1	3	2	1	1

In Table III, the column of CC mean rain rate 30 min corresponded to the column of total rank in Table II. The other columns of Table III were the results of total ranks from other tables of rankings of permutation importance produced in the study. Here, as an example, the storm area averaged reflectivity (MeanZ) was shown to be more important in general than the direction of the shortest radius axis (Theta), as the total ranks of storm area averaged reflectivity were consistently much smaller than the ones of direction of the shortest radius axis in Table III.

In addition to the mean importance score, whether input variables were selected as pertinent predictors can also be used to indicate the relative importance of the input variables (see, e.g., Table S1 for nowcasting mean rain rate with CC dataset and 30-minute forecasting time). In Table S1, a selection score, either 1 or 0, was assigned to a variable (a row) and a model (columns Lasso to ANN) if the variable was selected by the model or not, respectively. Then, the sums of selection scores were computed and ranked. Table IV lists the total rankings of the sum of selection scores of input variables across different models.

TABLE IV

TOTAL RANKINGS OF SELECTIONS OF INPUT VARIABLES FOR NOWCASTING RAINFALL

		С	С		MSL				
Variable	Mean Ra	ı Rain ate	Top Mean Ra	Top 10% Mean Rain Rate		Mean Rain Rate		Top 10% Mean Rain Rate	
	30 Min	60 Min	30 Min	60 Min	30 Min	60 Min	30 Min	60 Min	
$R_{mj}$	1	6	1	7	4	5	1	1	
$R_{mn}$	11	6	11	11	4	1	10	11	
Theta	17	17	13	16	16	13	14	6	
MeanZ	1	1	1	1	1	5	5	1	
Area	8	13	8	11	9	9	5	6	
Volume	1	1	1	1	9	1	10	1	
Тор	11	6	8	7	13	9	5	14	
Base	1	6	1	1	1	13	5	11	
MaxZ	8	13	11	1	4	5	5	6	
$MaxZ_{hgt}$	8	6	13	7	13	13	14	17	
AvgVIL	1	6	8	11	9	9	10	6	
MaxVIL	1	1	1	1	9	5	1	6	
U	15	13	16	11	16	9	17	16	

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V	11	6	13	7	4	16	10	14
Direction	15	16	17	16	13	16	14	11
MeanRR	1	1	1	15	1	1	1	1
Top10%	11	1	1	1	4	1	1	1

In Table IV, for example, the column of CC mean rain rate 30 min recorded the result of the column of total rank in Table S1. The other columns of Table IV corresponded to the columns of total rank of other tables of rankings of variable selection generated in the study. Here, similar to Table III, Table IV also indicated that the storm area averaged reflectivity was more important in general than the direction of the shortest radius axis, as the total ranks of variable selection of storm area averaged reflectivity were consistently much smaller than the ones of direction of the shortest radius axis.

For nowcasting mean rain rate for CC cells with 30-minute input, radar variables of storm area volume, storm area maximum vertically integrated liquid water, storm area averaged reflectivity, echo bottom height, and the longest radius were identified as pertinent predictors (Tables III and IV). With input of 60 minutes prior, radar variables of storm area averaged reflectivity, storm area volume, and storm area maximum vertically integrated liquid water were determined to be important predictors of mean rain rate for CC cells (Tables III and IV).

For nowcasting the output variable of top 10% mean rain rate, the list of the most important radar variables was similar to the one for mean rain rate for CC storm cells. The 30-minute models identified the storm area maximum vertically integrated liquid water, storm area averaged reflectivity, storm area volume, echo bottom height, and the longest radius as the pertinent predictors (Tables III and IV). The 60-minute models highlighted the storm area maximum vertically integrated liquid water, storm area averaged reflectivity, echo bottom height, and storm area volume as the most important radar variable predictors (Tables III and IV).

With the MSL datasets, the calibration of ML regression models resulted in a different list of the most pertinent radar variable predictors of mean rain rate as with the CC datasets. In particular, the shortest radius was identified as a highly important predictor by the ML regression models calibrated with the MSL datasets (Tables III and IV). In addition to the shortest radius, the 30-minute models with MSL datasets identified the storm area averaged reflectivity, echo bottom height, the longest radius, and the storm area maximum reflectivity as the most pertinent radar variable predictors, despite that the rankings and selections of the input variables were not perfectly consistent with each other. On the other hand, the 60-minute models produced consistent results for both rankings and selections of input variables, as they identified the storm area volume, longest radius, shortest radius, storm area averaged reflectivity, and storm area maximum reflectivity as the most important radar variable predictors.

For nowcasting the top 10% mean rain rate with the MSL datasets, the 30-minute models identified the storm area averaged reflectivity, storm area maximum vertically integrated liquid water, and echo top height as the important radar

variables (Tables III and IV). Meanwhile, the 60-minute models highlighted storm area volume, longest radius, storm area maximum reflectivity, and storm area averaged reflectivity as the pertinent radar variable predictors of top 10% mean rain rate (Tables III and IV).

According to the ranking aggregations, the most commonly identified pertinent radar input variables were storm area averaged reflectivity and storm area volume. Then, radar variables of storm area maximum vertically integrated liquid water, echo bottom height, and longest radius were also highlighted as highly important predictors. The identification of these radar variables as important predictors of mean rain rate and top 10% mean rain rate echoed the rationale for using products on these radar variables to model and indicate rainfall distributions. On the other hand, the radar variables examined to be of medium importance included shortest radius and storm area maximum reflectivity. The less important radar variables were echo top height, storm area and storm area averaged vertically integrated liquid water. Lastly, the radar variables ranked as the least important for nowcasting heavy rainfall included the maximum reflectivity height, zonal component of cell motion velocity, meridional component of cell motion velocity, direction of the shortest radius axis, and storm propagation direction.

Here, interestingly, the directions and velocities of a storm cell were considered the least important predictors. Regarding the directions of storm cells, because the convective storms recorded in the data tended to have similar directions, these variables did not provide information with sufficient variation for the ML regression models to predict rainfall. Meanwhile, although velocities of storm cells were considered trivial in nowcasting rain rate in our study, they should still be treated as important factors in flood management, as slow-moving heavy storms tend to result in flood conditions.

The rankings of highly important input variables based on aggregation across all ML regression models look similar to a large extent in general. Given the same storm cell type and prior time, the rankings of important radar variables for nowcasting mean rain rate and top 10% mean rain rate were similar. Likewise, for a same output variable with the same dataset, ML regression models of different prior times of input also produced similar rankings of input variables. Regarding different storm cell types, however, the rankings for CC and MSL datasets did differ from each other, given the same output variable and prior time.

Despite the similar identifications of relative importance of radar variables and the other input variables in general, each individual ML regression model tended to rank the variable importance in a slightly different manner. For example, for nowcasting top 10% mean rain rate with CC dataset and 60minute input values, although the Lasso, linear SVR, and ANN models all identified the storm area volume as highly important, both the RFR and RBF SVR models ranked this input variable as the 12th important. Such a difference may be associated with the capabilities of the corresponding ML regression models to handle non-linearities of input variables. In this study, the Lasso

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and linear SVR models were linear, while RFR and RBF SVR models were non-linear. Meanwhile, the MLP ANN model with only one hidden layer was close to a liner model. Nevertheless, the differences between the rankings of variable importance for individual ML regression models were small.

In this study, although we used a dual-polarimetric radar to collect data on input variables of ML regression models, information on these variables can also be obtained via a conventional weather radar. We did not include data produced exclusively by a dual-polarimetric radar because our data should be consistent with the variables used for the FAST algorithm, while the FAST algorithm only required data that could be produced by a conventional weather radar. Future work needs to examine to what extent additional variables generated only by a dual-polarimetric radar, such as differential reflectivity and specific differential phase, may improve the predictive performance of ML regression models for nowcasting rainfall.

#### B. Regression Modeling Validation

For validation of ML regression models for nowcasting mean rain rate and top 10% mean rain rate, the model performance was compared to a benchmark, where the rain rate of storm cell was matched with the time delay of forecasting time of 30 or 60 minutes (e.g., 30-minunte prior mean rain rate vs. current mean rain rate). The benchmark represented the accuracy of perfect advection forecasting without ML regression models. As an example, Fig. 6(a) shows the results of the benchmark for CC storm cells with input values of 30 minutes prior. For a perfect model, the data points would align along the one-to-one dashed lines. For CC storm cells, Figs. 6(b)–(f) display the validation results of the 30-minute forecasting with the ML regression models. For CC storm cells, the ML regression models adopted in the study resulted in better validation performance than the benchmark model for most of the cases (see, e.g., Tables V-VI). In particular, the RBF SVR and ANN models provided the best prediction results with the 60-minute and 30-minute input values, respectively.



**Fig. 6.** Performance of models with 30-minute data for CC storm cells. (a) Benchmark MeanRR (left) and Top10% (right). (b) Lasso MeanRR (left) and Top10% (right). (c) RFR MeanRR (left) and Top10% (right). (d) Linear SVR MeanRR (left) and Top10% (right). (e) RBF SVR MeanRR (left) and Top10% (right). (f) ANN MeanRR (left) and Top10% (right).

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TABLE V Validation Performance for Mean Rain Rate by Models with 30-Minute CC Dataset

Model	MAE	MAPE	MSE	R <sup>2</sup>
Benchmark	$3.14 \times 10^{2}$	5.35×10 <sup>-1</sup>	$2.62 \times 10^5$	4.91×10 <sup>-1</sup>
Lasso	$2.88 \times 10^2$	4.35×10 <sup>-1</sup>	2.62×10 <sup>5</sup>	4.91×10 <sup>-1</sup>
RFR	$2.90 \times 10^2$	4.39×10 <sup>-1</sup>	$2.38 \times 10^5$	5.36×10 <sup>-1</sup>
Linear SVR	$2.86 \times 10^2$	4.57×10 <sup>-1</sup>	$2.37 \times 10^{5}$	5.39×10 <sup>-1</sup>
RBF SVR	$2.89 \times 10^2$	4.49×10 <sup>-1</sup>	$2.53 \times 10^5$	5.07×10 <sup>-1</sup>
ANN	$2.86 \times 10^2$	$4.39 \times 10^{-1}$	$2.17 \times 10^5$	5.77×10 <sup>-1</sup>

*Note: Italic* indicates better performance than benchmark model; **bold** indicates best performance regarding a metric.

TABLE VIVALIDATION PERFORMANCE FOR TOP 10% MEAN RAINRATE BY MODELS WITH 30-MINUTE CC DATASET

Model	MAE	MAPE	MSE	<b>R</b> <sup>2</sup>
Benchmark	$9.62 \times 10^2$	8.42×10 <sup>-1</sup>	$2.37 \times 10^{6}$	$3.50 \times 10^{-1}$
Lasso	$8.45 \times 10^2$	6.40×10 <sup>-1</sup>	$1.97 \times 10^{6}$	$4.60 \times 10^{-1}$
RFR	$8.48 \times 10^2$	$6.42 \times 10^{-1}$	$1.90 \times 10^{6}$	$4.78 \times 10^{-1}$
Linear SVR	$8.46 \times 10^2$	6.93×10 <sup>-1</sup>	$1.91 \times 10^{6}$	4.76×10 <sup>-1</sup>
RBF SVR	8.40×10 <sup>2</sup>	$6.72 \times 10^{-1}$	$1.86 \times 10^{6}$	$4.90 \times 10^{-1}$
ANN	$8.43 \times 10^2$	$6.58 \times 10^{-1}$	1.81×10 <sup>6</sup>	5.03×10 <sup>-1</sup>

*Note: Italic* indicates better performance than benchmark model; **bold** indicates best performance regarding a metric.

For MSL storm cells, as another example, Fig. 7(a) displays the performance results of benchmark, comparing the current values of output variables with the values of 30 minutes prior. The validation results of ML regression models for 30-minute nowcasting are presented in Figs. 7(b)–(f). For most of the cases for MSL storm cells, the adopted ML regression models produced better validation performance than the benchmark model (see, e.g., Tables VII-VIII). Apart from RFR, all the other ML regression models resulted in the best performance on some occasions. Regarding the coefficient of determination, for example, the RBF SVR, ANN, linear RBF, and Lasso models produced respectively the best results for 30-minute mean rain rate, 60-minute mean rain rate, 30-minute top 10% mean rain rate, and 60-minute top 10% mean rain rate. These validation results suggested that inclusion of radar variables in ML regression models was effective and significant in improvement of model performance for nowcasting mean rain rate and top 10% mean rain rate, in comparison with merely using the output variable values at a prior time.



**Fig. 7.** Performance of models with 30-minute data for MSL storm cells. (a) Benchmark MeanRR (left) and Top10% (right). (b) Lasso MeanRR (left) and Top10% (right). (c) RFR MeanRR (left) and Top10% (right). (d) Linear SVR MeanRR (left) and Top10% (right). (e) RBF SVR MeanRR (left) and Top10% (right). (f) ANN MeanRR (left) and Top10% (right).

TABLE VII VALIDATION PERFORMANCE FOR MEAN RAIN RATE BY MODELS WITH 30-MINUTE MSL DATASET

WIOI	JELS WITH.	0-IVIINUIL I	VISL DATA	5E I
Model	MAE	MAPE	MSE	$\mathbb{R}^2$
Benchmark	$2.50 \times 10^{2}$	$1.98 \times 10^{-1}$	$2.06 \times 10^5$	$5.78 \times 10^{-1}$
Lasso	$2.40 \times 10^2$	1.86×10 <sup>-1</sup>	$1.64 \times 10^5$	6.63×10 <sup>-1</sup>
RFR	$2.46 \times 10^2$	$1.86 \times 10^{-1}$	1.49×10 <sup>5</sup>	$6.96 \times 10^{-1}$
Linear SVR	2.38×10 <sup>2</sup>	1.85×10 <sup>-1</sup>	$1.73 \times 10^{5}$	$6.45 \times 10^{-1}$
RBF SVR	$2.46 \times 10^2$	$1.86 \times 10^{-1}$	1.46×10 <sup>5</sup>	7.00×10 <sup>-1</sup>
ANN	$2.44 \times 10^2$	$1.86 \times 10^{-1}$	$1.49 \times 10^{5}$	$6.95 \times 10^{-1}$

*Note: Italic* indicates better performance than benchmark model; **bold** indicates best performance regarding a metric.

#### TABLE VIII

VALIDATION PERFORMANCE FOR TOP 10% MEAN RAIN RATE BY MODELS WITH 30-MINUTE MSL DATASET

Model	MAE	MAPE	MSE	<b>R</b> <sup>2</sup>			
Benchmark	$9.66 \times 10^2$	2.72×10 <sup>-1</sup>	$2.49 \times 10^{6}$	7.04×10 <sup>-1</sup>			
Lasso	$9.05 \times 10^2$	2.49×10 <sup>-1</sup>	$2.08 \times 10^{6}$	$7.53 \times 10^{-1}$			
RFR	$9.72 \times 10^2$	$2.51 \times 10^{-1}$	$2.69 \times 10^{6}$	6.81×10 <sup>-1</sup>			
Linear SVR	8.97×10 <sup>2</sup>	$2.50 \times 10^{-1}$	2.06×10 <sup>6</sup>	7.55×10 <sup>-1</sup>			
RBF SVR	$9.63 \times 10^2$	$2.56 \times 10^{-1}$	$2.53 \times 10^{6}$	7.00×10 <sup>-1</sup>			
ANN	$9.54 \times 10^2$	$2.57 \times 10^{-1}$	$2.25 \times 10^{6}$	$7.32 \times 10^{-1}$			

*Note: Italic* indicates better performance than benchmark model; **bold** indicates best performance regarding a metric.

Regarding the predictive performances of ML regression models with different prediction time, our study showed that, consistently with intuition, the 30-minute models produced significantly better validation metric values than the 60-minute models. As per different ML regression modeling methods, they resulted in similar predictive performances in general. No single method was shown to be consistently superior to the others in terms of the validation metrics. However, RFR was less successful than the other methods in producing best metric values across all circumstances of model calibrations. This result implied that most of the ML regression methods were potentially capable of nowcasting rainfall. Whether one method led to a better predictive performance than another one was to a large extent stochastically determined by the specific dataset used for model calibration.

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#### C. Classification Modeling

Validation of the ML classification models was achieved via derivation of the metrics of false positive rate (20), precision (21), recall (22), and F1 score (23) on the validation datasets. Tables IX and X display the model performance for input of 30 minutes and 60 minutes prior, respectively. Each row corresponds to the result of an ML classification model calibrated with a specific storm cell type.

TABLE IX Performance of 30-Minute Classification Models

Model	False Positive Rate	Precision	Recall	F1 Score
CC	0.12	0.13	0.91	0.23
MCC	0.13	0.66	0.87	0.75
SLD	0.09	0.57	0.59	0.58
SLP	0.09	0.58	0.80	0.67
MSL	0.14	0.63	0.88	0.73
All	0.15	0.50	0.93	0.65

TABLE X

 $Performance \ of \ 60-Minute \ Classification \ Models$ 

Model	False Positive Rate	Precision	Recall	F1 Score
CC	0.21	0.08	0.80	0.14
MCC	0.22	0.55	0.79	0.65
SLD	0.12	0.41	0.60	0.49
SLP	0.13	0.45	0.67	0.54
MSL	0.23	0.53	0.82	0.65
All	0.22	0.46	0.86	0.60

Among models for individual storm cell types of CC, MCC, SLD, and SLP, CC models resulted in the poorest performance, as manifested by the lowest F1 scores (Tables IX and X). Despite with the highest recalls, CC models yielded the lowest precisions. This was because CC storm cells were much smaller than the storm cells of other types. Although false positives of CC models were relatively small with respect to all pixels of an image, the true positives were also small such that the predicted area of a storm cell tended to be much larger relative to the observed area of the storm cell than in the cases of the other storm cell types.

Unlike CC models, the MCC models produced the highest precisions as well as high recalls, resulting in the best F1 scores among the models for the 4 individual types of storm cells for both 30-minute (Table IX) and 60-minute predictions (Table X). This was not only because MCC storm cells were much larger than the CC storm cells but also because there were much more data points with a better representation of the time period

of data to train the MCC models than the data points for training the SLD and SLP models. Fig. 8 shows an example of the classification modeling to produce a 30-minute prediction for an MCC storm cell.



**Fig. 8.** Example of classification modeling for predicting location of an MCC storm cell with the MCC model at timestamp of KST 2020-09-09 07:20:00 with input data of 30 minutes prior. (a) Detected previous and current storm cell locations. (b) Predicted storm cell location.

With fewer data points for training than for the MCC models, the SLD and SLP models yielded slightly lower precisions and recalls than the MCC models. In spite of this, the SLD and SLP models produced the lowest false positive rates (Tables IX and X). To form larger datasets to train ML classification models for SLD and SLP storm cells, the MCC, SLD, and SLP datasets were pooled to form the MSL datasets. The MSL datasets were used to train the MSL models that resulted in even higher recalls than the MCC models while maintaining high precisions.

Meanwhile, the All datasets were created by pooling datasets of all storm cell types. With the All datasets for each prior time, only one ML classification model was trained for all individual types of storm cells. Interestingly, the All models for prior times of 30 and 60 minutes both yielded the highest recalls among all considered models (Tables IX and X). Although the precisions of All models were lower than the ones of MCC, SLD, SLP, and MSL models, they were much improved compared to the ones of CC models. As a result, the All models produced good F1 scores.

#### D. Early Warning

Based on the calibrated ML regression models for nowcasting heavy rainfall given a storm cell and ML classification models for predicting locations of storm cells, a 30-minute or 60-minute early warning of heavy rainfall may be issued when information on the input variables becomes available for computation. Fig. 9 shows an example of early warning, based on 30-minute and 60-minute nowcasting, with mapping of an area predicted to be covered by an SLD storm cell with potential of heavy rainfall with the application of the ANN model for assessment of variable importance and the SLD model for classification.



**Fig. 9.** Example of early warning for an SLD storm cell with the ANN model for regression modeling and SLD model for classification modeling. Detected rainfall distributions are shown for timestamps of (a) KST 2020-07-19 06:00:00, (b) KST 2020-07-19 06:30:00, and (c) KST 2020-07-19 07:00:00. (d) 30-minute warning for KST 2020-07-19 06:30:00 based on data at KST 2020-07-19 06:00:00. (e) 60-minute warning for KST 2020-07-19 07:00:00 based on data at KST 2020-07-19 07:00:00 based on data at KST 2020-07-19 06:00:00.

#### V. CONCLUSIONS

In this study, 5 ML regression modeling methods were used to assess the relative importance of radar variables derived from dual-polarimetric radar data in nowcasting 30-minute and 60minute heavy rainfall for the Korean Peninsula. Consistently with intuition, the 30-minute models were found to provide better predictions than the 60-minute models. For demonstration of their utility, the ML regression models were also coupled with ML classification models, that were used to predict locations of storm cells, to provide early warnings of heavy rainfall. Regression results showed that although linear and non-linear ML regression models may quantify the variable importance slightly differently, the rankings of important input variables determined by the regression models tended to converge in general. Radar variables of storm area averaged reflectivity, storm area volume, storm area maximum vertically integrated liquid water, echo bottom height, and longest radius were identified as highly important predictors of rainfall. Despite the commonly identified radar variables as pertinent predictors, regression results also indicated that the rankings of relative importance of radar variables were distinctive by storm cell type. This result suggests that rainfall nowcasting based on weather radar data needs to include MCS type information. As the classification results also showed different model performances among storm cell types, future work needs to focus on modeling separately for each MCS type to improve model performance in rainfall nowcasting and provision of early warnings of heavy rainfall.

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Although the presented ML regression and classification models can be applied to produce early warnings of heavy rainfall, there are several issues around this application that are worth highlighting for practical purposes. First, the warning is based on the threshold of top 10% mean rain rate at 30 mm/h. To what extent this threshold can be explained as corresponding to the spectrum of hazard strength of a rainfall event at a timestamp remains unclear. Second, because the regression models for variable importance only produce predictions for an entire storm cell, the early warning is associated with the entire predicted area of the storm cell. However, the actual locations that may need an early warning may be only a fraction of the entire area of the storm cell. Third, as the precisions of the ML classification models are less than 0.7, these models still lack capabilities of providing highly reliable predictions of locations of storm cells so that there can be a high rate of false alarms for locations regarding warnings of heavy rainfall. To improve early warning modeling for heavy rainfall, future work needs to focus on resolving these issues.

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