Ti₃C₂T_x Solid Lubricant Coatings in Rolling Bearings with 1

Remarkable Performance beyond State-of-the-Art Materials 2

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- Abstract 22

Two-dimensional (2D) transition metal carbides, nitrides, and carbonitrides, known as MXenes, are a 23 24 growing class of 2D materials, which offer great solid lubrication ability for low friction applications due to their weakly bonded multi-layer structure and tribo-layer formation with self-lubricating characteristics. To 25 date, most studies have assessed their tribological response in basic laboratory tests. However, these tests do 26 not adequately reflect the complex geometries, kinematics, and stresses present in machine components. 27 28 Here, we aim at bridging this gap through assessment of the friction and wear performance of multi-layer 29 $Ti_3C_2T_x$ MXene solid lubricant coatings used in rolling bearings. MXenes' tribological response is compared with state-of-the art solid lubricant coatings, which include molybdenum disulfide (MoS₂), tungsten-doped 30 31 hydrogenated amorphous carbon (a-C:H:W), and hydrogen-free, more graphite-like amorphous carbon (a-C). 32 Multi-layer $Ti_3C_2T_x$ MXene coatings reduce wear on the bearing washers by up to 94 %, which can be attributed to the transfer of the lubricious MXene nano-sheets to secondary tribo-contacts of the bearing. 33 34 While the frictional torque of all solid lubricant coatings is similar during steady-operation, the MXenecoated bearings extend the service life by 30 % and 55 % compared to MoS₂ and DLC, respectively. This 35

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- 36 contribution demonstrates the ability of MXene solid lubricant coatings to outperform state-of-the-art solid
- 37 lubricants in dry-running machine components such as rolling bearings.

38 Keywords

39 2D materials; MXenes; MoS₂; DLC; solid lubrication; rolling bearings

40 **1. Introduction**

MXenes, an emerging class of 2D nanomaterials of early transition metal carbides, nitrides, and 41 carbonitrides, have gained notable attention in the scientific community due to their outstanding 42 material's performance [1–3]. MXenes are denoted by the general formula of $M_{n+1}X_nT_x$ (n = 1 to 4), 43 for which M stands for n + 1 layers of early transition metal from groups 3 - 5 in the 3d - 5d block, 44 X represents *n* layers of carbon, nitrogen, or mixtures of both which interleave the layers of M, and 45 T_x represents the variety of surface terminations [4]. Compared to graphene and its derivates, 46 MXenes exhibit numerous similarities such as their 2D character, strong in-plane bonding 47 characteristics, and high surface-to-volume ratios [5]. In contrast to other state-of-the-art 2D 48 nanomaterials, MXenes offer enhanced interlayer interactions, which are not only based on van der 49 Waals forces, but also include electrostatic and intermolecular interactions due to functional surface 50 groups [6]. Moreover, MXenes allow for property tuning through the use of different early 51 transition metals or by adjusting the ratio between carbon and nitrogen, which gives them an 52 53 inherent chemical diversity [5,7]. Their structural, chemical and compositional diversity together 54 with their strong intralayer primary bonding and relatively weaker interlayer secondary bonding characteristics make MXenes promising 2D nano-materials for mechanical and tribological 55 applications [5,8]. 56

With regards to machine components like rolling bearings, which are representative for highly stressed and high volume machine elements, solid lubricant coatings are utilized when these systems cannot be lubricated with conventional oils or greases due to severe environmental conditions. This comprises very high and low temperatures, vacuum, radiation, or due to strict

requirements regarding cleanliness, hygiene, and environmental friendliness [9,10]. In the context 61 of solid lubricants, soft metals, polymers, transition metal dichalcogenides (TMDs), and carbon-62 based materials are frequently used [11]. Thereby, soft metals and/or polymers feature some 63 limitations particularly in the case of pronounced sliding or at elevated pressures and 64 temperatures [12,13]. TMDs and in particular molybdenum disulfide (MoS₂) exhibit excellent 65 sliding properties over a wide range of contact conditions, loads, and temperatures because of their 66 hexagonal crystal structure and the ease of basal plane slip [14]. Due to the tendency to oxidize 67 under atmospheric conditions and the significant influence of humidity [15,16], TMDs applications 68 are mainly in dry and vacuum environments. In this respect, MoS₂ coatings have also been 69 successfully employed for rolling bearing components [17,18]. Graphite, graphene, and their 70 derivatives also offer good solid lubrication properties owing to the easy-to-shear ability of its 71 densely packed and atomically smooth surface, especially but not limited to moist and ambient 72 environments [19]. Nevertheless, there are only few application-oriented studies on highly loaded 73 rolling-sliding contacts as encountered in rolling bearings [20]. In addition, amorphous or diamond-74 like carbon (DLC) coatings with high hardness, low wear rates and low friction coefficients are 75 promising alternatives [21]. These coatings can be doped with metals or lightweight elements to 76 accommodate relatively large residual compressive stresses and prevent delamination [22], and thus 77 have found several applications in commercial products. Like other solid lubricants, 78 their tribological properties exhibit a dependence on the operating conditions and environment, 79 whereby hydrogen-free DLC coatings perform well in humid air, and hydrogenated coatings 80 perform better in dry or inert gas environments [11]. Amorphous carbon coatings have also 81 been successfully applied to highly loaded contacts in rolling element bearings [23–25]. One of the 82 major advantages of MoS₂ or DLC coatings is that the components do not have to be 83 geometrically modified compared to the operation without solid lubricant coatings, since the 84 involved coating thicknesses do not impair the function. 85

Although these aforementioned materials have been extensively studied in previous publications, 86 the fundamental tribological behavior of MXenes has recently come into the focus of the 87 tribological community [5,26,27]. Initially, MXenes were studied as lubricant additives in 88 paraffin [28], poly-(alpha)-olefin [29], and other base oils [30,31] to optimize the resulting friction 89 and wear performance. Furthermore, MXenes have been used in metal [32,33] and polymer [34,35] 90 matrix composites as the reinforcement phase. In particular, the use of MXenes as solid lubricant 91 coatings demonstrated promising results at the nano- and macroscopic scales for enhancing friction 92 and wear behavior [36–40]. Besides the fundamental dependencies of friction and wear on contact 93 pressure and relative humidity [41], the solid lubrication ability of hybrid coatings (combination of 94 MXenes and graphene/graphene oxide or MXenes and nanodiamonds) has been investigated [42-95 44]. Very recently, 100-nm-thick multi-layer MXene coatings deposited by electro-spraying onto 96 stainless steel have verified their outstanding wear resistance and ability to outperform state-of-the-97 art 2D materials with regard to their durability and longevity [45]. The improved wear performance 98 was traced back to the formation of a beneficial tribo-layer consisting of amorphous and 99 nanocrystalline iron oxide intermixed with thermally and structurally degraded MXenes. This 100 observation aligns well with the good wear performance of hybrid MXene coatings. 101

Until now, the tribological studies on MXenes have mainly focused on titanium-based MXenes 102 (mainly $Ti_3C_2T_x$ nano-sheets), and the fundamental effects/mechanisms were explored by laboratory 103 model tests under pure sliding motion. When this knowledge is transferred to macro-scale and 104 application-oriented conditions with more complex geometries, kinematics and stresses, 105 the underlying mechanisms are yet to be explored [46]. Recently, Marian et al. [47] applied 106 MXene nano-sheets as solid lubricants to highly loaded rolling-sliding contacts of machine 107 elements. In component level testing, an up to 3.2-fold friction reduction, a decrease in the 108 cumulative linear wear by 2.9 times, and an extension of service life by a factor of 2.1 were 109 observed for Ti₃C₂T_x-coated thrust ball bearings, compared to uncoated references. This 110 indicates the potential of MXenes to lubricate dry-running machine elements effectively and 111 efficiently.

Although various solid lubricant coating systems with specific advantages and limitations have been 112 used for dry-running machine components, the development and qualification of novel MXenes as 113 solid lubricant coatings with comparison to other state-of-the art 2D materials is currently scarce. 114 Apart from our own previous study [47], MXenes' tribological performance has been mainly 115 assessed by fundamental laboratory tests using simplified test rigs, which do not adequately 116 represent the conditions of highly loaded sliding-rolling contacts and the interaction of multiple 117 components in machine elements such as rolling bearings. This study aims at elucidating the 118 friction and wear performance of multi-layer $Ti_3C_2T_x$ solid lubricant coatings in rolling bearings 119 working under realistic conditions. The tribological performance of the MXene coatings is 120 compared with the performance of state-of-the art solid lubricant coatings, namely DLC and MoS₂. 121

122 **2. Materials and Methods**

123 **2.1. Specimens**

Due to the large sliding and spinning friction portions as well as the facilitated possibility for coating deposition, commercially available 51201 thrust ball bearing washers specified in ISO 104 [48] were used. The complete axial rolling bearing consisted of shaft washer, ball cage assembly (rolling elements + sheet metal cage) and housing washer. The rolling elements as well as the bearing washers were made of 100Cr6 (1.3505, AISI 52100) bearing steel.

129 2.2. Coating deposition

To evaluate their solid lubrication ability, $Ti_3C_2T_x$, MoS_2 and two DLC coatings were deposited on the bearing washer raceways. Prior to deposition, the bearing components were ultrasonically cleaned in acetone and isopropyl alcohol for 10 minutes each (Sonorex Super RK 255H 160 W 35 Hz, Bandelin electronic GmbH & Co. KG, Berlin, Germany) and subsequently blow-dried with nitrogen.

The synthesis of multi-layer $Ti_3C_2T_x$ nano-sheets made use of 10 g of Ti_3AlC_2 powder (Forsman 136 Scientific Co. Ltd., Beijing, China), which was immersed in 100 mL of a 40 % HF solution. The 137 138 solution was stirred at room temperature for about one day prior to a washing and centrifugation 139 treatment. After one centrifugation step, the supernatant was poured out and fresh deionized water was added, until reaching a pH of about 6. Subsequently, the final MXenes were filtered under 140 vacuum and freeze-dried at a temperature of -60 °C and pressure of below 30 Pa for 24 hours. The 141 MXenes were then dispersed in acetone (10 mg/mL), stirred (AREX-6 digital heating magnetic 142 stirrer, Velp Scientifica Srl, Usmate, Italy) and ultrasonicated (Sonorex Super RK 255H, 160 W, 143 144 35 Hz, Bandelin electronic GmbH & Co. KG, Berlin, Germany) at room temperature for 10 minutes 145 each to ensure good dispersion. Prior to testing, 300 µL of the dispersion were drop-casted (1000 series gastight 81420, Hamilton Germany GmbH, Gräfelfing, Germany) onto each bearing washer 146 raceway, which yielded the $Ti_3C_2T_r$ coating after solvent evaporation. In contrast to previous 147 studies [47], no MXenes were applied to the ball cage assembly to ensure better comparability with 148 the MoS₂- and DLC-coated bearings. 149

150 *2.2.2. DLC coatings*

The DLC coatings were fabricated using an industrial-scale coating plant (TT 300 K4, H-O-T Härte 151 152 und Oberflächentechnik GmbH & Co. KG, Nuremberg, Germany) for physical vapor deposition (PVD). Two coating systems with tungsten-containing, hydrogenated amorphous carbon (a-C:H:W) 153 and hydrogen-free amorphous carbon (a-C) as tribologically effective functional layers were 154 155 deposited under 2-fold rotation. These were selected due to their promising tribological behavior under dry conditions [49,50] and in rolling bearings [23,24,51]. The chamber was initially 156 evacuated to a base pressure of 2.4×10^{-4} Pa and heated to 250 °C for 40 minutes. Subsequently, the 157 158 samples were argon-ion (Ar⁺) plasma etched for 40 minutes using an argon (Ar) flow of 500 sccm 159 and a bipolar pulsed bias voltage of -500 V (pulse frequency 40 kHz, reverse recovery time 5 μ s).

160	The same cleaning procedure was carried out for the powder metallurgical chromium (Cr, purity
161	99.998 %), tungsten carbide (WC, purity 99.9 %), and graphite (C, purity 99.998 %) targets
162	$(267.5 \times 170 \text{ mm})$. First, a thin Cr adhesion layer was applied and transferred to a WC support
163	layer. Efforts were made to achieve graded transitions to the substrate and between the individual
164	layers by continuously adjusting the process parameters (please refer to Table 1). The a-C:H:W and
165	a-C layers were subsequently deposited by reactive and non-reactive PVD through unbalanced
166	magnetron UBM sputtering under argon-acetylene (Ar-C ₂ H ₂) and pure Ar atmosphere, respectively.
167	In contrast to the a-C:H:W layer, the a-C layer had to be applied in two steps. The first step was to
168	lower the tungsten and hydrogen content by gradually reducing the cathode power and reducing the
169	C_2H_2 flow (purity 99.5 %). Secondly, the coating process was interrupted to replace the Cr with the
170	C target due to the coating plant configuration. Subsequently, the chamber was re-evacuated and
171	heated to 100 °C for 40 minutes, followed by Ar ⁺ -ion plasma etching for 5 minutes. Thereafter, the
172	a-C functional layer was deposited with a gradual increase in bias voltage.

coating	layer	power	pulse frequency	reverse recovery time	duration	bias voltage	chamber temperature	Ar flow	C ₂ H ₂ flow
	Cr	5.0 kW	40 kHz	5 µs	240 s	-100 V	160 °C	180 sccm	-
o CuluW	CrWC	5.0 0.3 ↗ 1.2 kW	40 kHz	5 µs	960 s	-100 V	150 °C	180 sccm	-
а-С:п: w	WC	1.2 kW	40 kHz	5 µs	1080 s	-100 V	130 °C	195 sccm	-
	a-C:H:W	1.5 kW	40 kHz	5 µs	5400 s	0 V	100 °C	90 sccm	25 sccm
	Cr	5.0 kW	40 kHz	5 µs	240 s	-100 V	160 °C	180 sccm	-
	CrWC	5.0 0.3 ↗ 1.2 kW	40 kHz	5 µs	960 s	-100 V	150 °C	180 sccm	-
a-C	WC	1.2 kW	40 kHz	5 µs	1080 s	-100 V	130 °C	195 sccm	-
	a-C:H:W	1.5 kW	40 kHz	5 µs	5400 s	-50 V	100 °C	90 sccm	25 sccm
	a-C	2.0 kW	75 kHz	3 µs	7205 s	-30 ↗ -170 V	100 °C	90 sccm	-
MoS_2	MoS_2	2.0 kW	70 kHz	4 µs	7200 s	0 V	50 °C	120 sccm	-

Table 1. Cathode, process and reactive gas parameters for the deposition of the a-C:H:W, a-C, and
 MoS₂ coatings. The dashed line indicates the interruption in the deposition process.

175 *2.2.3. MoS*₂ *coating*

176 Under 3-fold rotation, the substrates were MoS_2 -coated using the same coating plant as for DLC 177 and a hot-pressed powder target (purity 99.5 %, 260×163 mm). Prior to deposition, the chamber was evacuated to achieve an initial pressure of 2.5×10^{-3} Pa and was heated to 50 °C. Subsequently, the specimens' surfaces were cleaned and activated by Ar⁺-ion plasma etching with the same procedure as described in section 2.2. Furthermore, cathode sputtering was performed for 3 minutes with closed shutters to remove impurities and the thin oxide layer from the target. Afterwards, the MoS₂ coating was deposited by PVD through UBM sputtering under Ar atmosphere without applying any additional adhesion layer. The deposition parameters were selected based upon [16,52,53] and are summarized in Table 1.

185 **2.3. Coating characterization**

The thickness and structure of the as-deposited coatings were characterized utilizing a focused ion 186 beam scanning electron microscope (FIB-SEM; NanoLab 600i, FEI Thermo Fisher, Hillsboro, OR, 187 USA). For electron imaging, secondary electron contrast, an acceleration voltage of 5 kV, an 188 electron current of 0.69 nA, and a working distance of 4 mm were used. Cross-sections of the 189 coatings were fabricated by FIB milling. At first, a protective platinum (Pt) layer was locally 190 deposited onto the samples to avoid FIB damage [54]. Decreasing ion beam currents of 2.5, 0.79, 191 and 0.23 nA were subsequently used for sequentially milling the coatings. After final ion polishing, 192 the cross sections were investigated by SEM with a tilting angle of 52°. Furthermore, the 193 stoichiometry of the MoS₂ coating was investigated via energy-dispersive X-ray spectroscopy 194 (EDX; Oxford Instruments plc, Abingdon, UK) using an acceleration voltage of 20 kV and an 195 electron current of 2.7 nA. The spectra were recorded while scanning an area of approx. 196 $10 \times 6 \,\mu\text{m}^2$. In addition, EDX line scans in the FIB-milled cross sections were recorded to reveal 197 the coatings' architectures. Moreover, digital light microscopic images and Raman spectra were 198 acquired (WITec alpha 300R, WITec Wissenschaftliche Instrumente und Technologie GmbH, Ulm, 199 200 Germany) with excitation at 457 nm and a laser power of 0.15 mW. The spectra were integrated for 5 s with 5 accumulations and background-corrected (shape-based algorithm, WITec Project 201 FIVE+). Finally, averaged spectra from different spots on the samples were obtained after intensity 202 normalization. 203

204 **2.4. Tribological testing**

Tribological tests were performed on a TRM1000 tribometer (Wazau Mess- + Prüfsysteme GmbH, 205 Berlin, Germany) with modified set-up under laboratory ambient conditions [47]. Thereby, the 206 housing washer was driven with 1000 min⁻¹ (10 % of the catalog speed limit), while the shaft 207 washer was fixed and cardanically mounted for uniform loading. A normal force of 130 N (15 % of 208 the catalog axial fatigue limiting load) was applied via weights on the stationary mounting, 209 providing an initial Hertzian pressure of 800 MPa. The measurements, especially the resulting 210 frictional torque, were recorded at a frequency of 10 Hz. Similar to [47], a frictional torque of 211 1.3 Nm was defined as termination criterion of the tests. Three experiments were performed for 212 each bearing type (uncoated references, a-C:H:W-, a-C-, MoS₂- and MXene-coated) with new 213 samples. The measurements were analyzed for a distinct rise in the frictional torque, which 214 indicated incipient coating failure, thus defining the end of the service life. Since each volume 215 element on the bearing washers is rolled over several times per revolution, the number of endured 216 overrollings was used as a criterion for comparison. Additionally, the frictional torque during 217 service life and the gravimetric wear were analyzed. Regarding the latter, the bearings were 218 weighed prior to and after tribological testing (ALS-A/ALJ-A, Kern & Sohn GmbH, Balingen-219 Frommern, Germany). 220

221 **3. Results and Discussion**

222 3.1. Coating properties

223 SEM micrographs of the as-deposited coatings (plane-view and FIB-milled cross-sections), averaged 224 EDX line scans and the averaged Raman spectra are depicted in Figure 1. The thicknesses of the a-225 C:H:W and the a-C coatings were measured to be around 1.5 and 1.0 μm, respectively (Figure 1b, f). 226 The multilayer character with the WC inter- and the Cr adhesion layers can also be seen from the 227 EDX line scans (Figure 1c, g). The cauliflower-like topographies of both coatings pointed towards a 228 DLC-typical and fine columnar growth [55] (Figure 1a, e). The Raman spectra (Figure 1d, h) featured two distinct peaks around 1340 and 1555 cm⁻¹, which are characteristic for the D- and G-bands of DLC coatings [56,57]. For the a-C:H:W coating (Figure 1d), the ratio between the peak intensities of the D- and G-bands, which is an indicator for the sp² and sp³ content [58], aligns well with hydrogenated amorphous carbon [56]. The spectrum of the a-C coating (Figure 1h) suggested a more graphite-like carbon (GLC) [50,59]. Detailed insights in the mechanical and chemical characterization of similar coatings can be found elsewhere [49,50].



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Figure 1. SEM-micrographs and FIB cross-sections of the as-deposited (a, b) a-C:H:W, (e, f) a-C, (i, j) MoS₂ and (m, n) $Ti_3C_2T_x$ coatings. Pt corresponds to a protection layer deposited during FIB processing. Averaged EDX line scans of the as-deposited (c) a-C:H:W, (g) a-C, (k) MoS₂ and (o)

239 $Ti_3C_2T_x$ coatings. Averaged Raman spectra of the as-deposited (d) a-C:H:W, (h) a-C, (l) MoS₂ and

240 (p) $Ti_3C_2T_x$ coatings.

The MoS₂ coating featured a thickness of about 1.3 μ m, was slightly deficient in sulfur with a S/Moratio of about 1.82 according to EDX analysis (Figure 1k), and showed a dendritic and porous growth (Figure 1i, j). This could be attributed to the 3-fold substrate rotation during deposition [52]. The Raman spectrum of the MoS₂ sample (Figure 11) showed pronounced double peaks at 380 and 407 cm⁻¹, which can be attributed to first order E¹_{2g} and A_{1g} modes of hexagonal MoS₂ [60–64]. Further peaks were observed at 286 and 453 cm⁻¹, corresponding to E_{1g} and 2LA(M) modes [60,61,65,66]. A more detailed characterization of similar MoS₂ coatings can be found in [52].

248 The MXene coating mainly consisted of multi-layered $Ti_3C_2T_x$ nano-sheets (Figure 1m, o), for which the well-known accordion-like structure was observed in the cross-section images 249 (Figure 1n) [41]. The Raman spectrum (Figure 1p) indicated distinct peaks around 160, 220, and 250 707 cm⁻¹ as well as wider peaks around 285, 375, and 600 cm⁻¹. The first peak may be correlated 251 with in-plane $Ti_3C_2T_x$ vibrations or minor contributions originating from anatase TiO₂, which may 252 be correlated with smaller particles observed in SEM (bright submicron particles in Figure 1m) and 253 minor surface oxidation. However, these particles are expected to play a minor role for the 254 tribological experiments. All other peaks can clearly be assigned to vibrations originating from 255 Ti₃C₂O₂, Ti₃C₂F₂ and Ti₃C₂(OH)₂ [67–70]. The occurrence of -O, -F and -(OH) groups can be 256 explained by the MXenes' synthesis involving selective etching with HF and the replacement of 257 aluminum with respective surface terminations [41,47]. For a more in-depth characterization of the 258 MXene coating by high-resolution transmission electron microscopy, X-Ray photoelectron 259 spectroscopy, or X-Ray diffraction, the interested reader is referred to our previous studies [37,40-260 42,47]. 261

The MXene layer thickness could be estimated to be between 2 and 4 µm with thickness deviations over the raceway, which can be traced back to the size of the stacked MXenes as well as the solvent evaporation during drop-casting, and the raceway's curvature. This resulted in the layer thickness at the inner and outer edge of the raceway being slightly thinner than the thickness in the center. Due

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to the variation in thicknesses and the less dense character of the $Ti_3C_2T_x$ coating compared to the 267 MoS₂ and DLC coatings, a comparison of the usable lubricant volume purely based on the coating 268 thickness may not be conclusive. The estimation of the available solid lubricant mass allows for a 269 fairer comparison. In case of the MXene coating, the mass can be estimated based on the known 270 drop-casting conditions (300 μ L at a concentration of 10 mg/mL). For the MoS₂ and DLC coatings, 271 the available masses can be approximated based on the raceway geometry of the 51201 thrust ball 272 bearing washers [48] (~4.5 cm^2), the measured thickness, and density data from literature 273 (5.1 g/cm³ for MoS₂ and 2.6 g/cm³ for DLC) [14,71-73]. The estimated available masses for 274 MXene and MoS₂ coatings are comparable with about 3 mg, while a-C:H:W and a-C were at about 275 276 half of that (1.6 mg for a-C:H:W and 1.1 mg for a-C).

277 *3.2. Friction, wear, and service life*

Representative evolutions of the frictional torque versus overrollings are presented in Figure 2 for 278 the uncoated reference (Figure 2a) as well as the a-C:H:W-, a-C-, MoS₂-, and MXene-coated 279 bearings (Figure 2b-e). All tested specimens initially exhibited a rather low and constant frictional 280 torque. After a certain test duration, it increased sharply and leveled off with pronounced 281 fluctuations. Ultimately, it reached the switch-off threshold due to severe cage failure as well as 282 clamping, fretting, and overheating of the rolling elements, which we considered to be catastrophic 283 failure [47]. We defined the first pronounced increase in friction exceeding 0.5 Nm as the end of 284 service life. This corresponds to the end of the bearing's usability due to high friction, non-smooth 285 operation and incipient wear. The mean number of overrollings as well as the frictional torque until 286 the end of the service life were compared in Figure 2f, g and Table 2 for the different coating types. 287 Moreover, the mean gravimetric wear loss after tribological testing is summarized in Table 2 and 288 289 depicted in Figure 2 for (h) the shaft and (i) the housing washer as well as (j) the ball cage assembly. Since the total running time of each individual bearing was different, these values were 290 normalized to the number of overrollings to enable a fair comparison. Digital optical light 291 microscopic images of worn surfaces of the MXene-coated washer raceway (a), the corresponding 292

ball (b) and cage (c) with measurement positions for Raman spectroscopy as well as averaged

294 spectra (d) are displayed in Figure 3.

299	Table 2. Averaged number of	overrollings and	frictional torque until	end of service life as well
300	as averaged gravimetric wear	per overrolling	of the shaft washer,	housing washer, and ball
301	cage assembly, respectively.			

	uncoated reference	a-C:H:W	a-C	MoS ₂	$Ti_3C_2T_x$
averaged overrollings until end of service life	3.17 x 10 ⁵	5.58 x 10 ⁵	5.58 x 10 ⁵	6.66 x 10 ⁵	8.67 x 10 ⁵
averaged frictional torque until end of service life	0.20 Nm	0,15 Nm	0,14 Nm	0,14 Nm	0,15 Nm
averaged gravimetric shaft washer wear per overrolling	2.1 x 10 ⁻⁴	7.5 x 10 ⁻⁶	1.1 x 10 ⁻⁵	7.5 x 10 ⁻⁵	1.5 x 10 ⁻⁵
averaged gravimetric housing washer wear per overrolling	1.0 x 10 ⁻⁵	4.9 x 10 ⁻⁶	4.4 x 10 ⁻⁶	5.0 x 10 ⁻⁶	4.0 x 10 ⁻⁶
averaged gravimetric cage assembly wear per overrolling	2.1 x 10 ⁻⁴	3.3 x 10 ⁻⁴	3.3 x 10 ⁻⁴	2.1 x 10 ⁻⁴	2.1 x 10 ⁻⁴



Figure 2. Frictional torque versus overrollings for representative tests with (a) uncoated reference, (b) a-C:H:W-, (c) a-C-, (d) MoS₂- and (e) Ti₃C₂T_x-coated bearings (representative graphs). The service life interval is indicated by the dashed line and the area highlighted in light green. (f) Averaged number of overrollings and (g) averaged frictional torque until end of service life. Averaged gravimetric wear per overrolling of (h) shaft washer, (i) housing washer and (j) cage assembly. Data show mean \pm standard deviation for n = 3.

The uncoated reference (Figure 2a) started with the largest frictional torque around 0.2 Nm (Table 2, Figure 2g, grey) and its service live ended comparatively early after an average of 3.2×10^5 overrollings (Table 2, Figure 2f, grey). This was due to the lack of a damping lubricant and the associated orbital and tilting movements of the ball guided cage with substantial stresses building up between the rolling elements and the cage pockets [47]. Accordingly, the frictional torque became increasingly unstable, reaching values between 0.6 and 1.1 Nm (Figure 2a) until catastrophic failure occurred. Thereby, the bearing washers also showed considerable signs of wear,

which was also reflected by the comparatively high gravimetric loss of mass (Table 2, Figure 2hand i, grey).

Bearings coated with solid lubricants demonstrated a slightly lower initial friction level (Figure 2b-319 e), which were between 0.13 and 0.15 Nm on average (Table 2, Figure 2g), without significant 320 differences between the various coating types when taking into account the standard deviations. All 321 coatings at least ~ doubled the service life of the bearings compared to the uncoated reference 322 (Table 2, Figure 2f). The bearings also failed due to the fatal cage assembly malfunction, making 323 reliable statements regarding gravimetric cage wear (Figure 2i) difficult. However, it could be 324 observed that the wear on the bearing washers was notably reduced by the solid lubricant coatings 325 (Table 2, Figure 2h and i). 326

The DLC-coated bearings initially showed low frictional torque, which increased to values between 0.4 and 0.8 Nm (a-C:H:W, Figure 2b) as well as 0.15 and 0.6 Nm (a-C, Figure 2c) after the end of service life. The latter was reached after an average of 5.6×10^5 overrollings for both types, representing a 1.8-fold increase compared to the reference (Table 2, Figure 2f, orange and yellow). Regarding wear, a significant wear reduction of about 95 % (shaft washer) and 52 % (housing washer) was verified for both DLC coatings (Table 2, Figure 2h and i, orange and yellow).

In the case of the MoS₂ coating, there was a rise in frictional torque on average after 333 6.7×10^5 overrollings (end of service life), which represented an enhancement by a factor of 2.1 334 compared to the uncoated reference (Table 2, Figure 2f, blue). This was comparable to previous 335 results from Vierneusel [74] on undoped and superior to Ti- or Cr-doped MoS₂ coatings with the 336 same tribometer and setup. Afterwards, the bearings still operated at frictional torque levels 337 between 0.2 and 0.6 Nm for some time with stronger fluctuations prior to catastrophic failure 338 (Figure 2d). In terms of wear of the bearing washers, there was a reduction of 64 % (shaft washer) 339 and 51 % (housing washer) compared to the uncoated reference (Table 2, Figure 2h and i, blue). 340

MXene-coated bearings initially operated at low friction levels, which gradually began to fluctuate more as the service life approached its end but remained at relatively low levels between 0.1 and

0.6 Nm (Figure 2e). The mean service life of 8.7×10^5 overrollings exceeded that of the reference 343 by a factor of 2.7 (Table 2, Figure 2f, green). The wear of the bearing washer was reduced by 93 % 344 (shaft washer) and 61 % (housing washer), respectively (Table 2, Figure 2h and i, green). The 345 observed wear reduction was similar to the a-C:H:W and the a-C coatings as well as superior to 346 MoS₂. Considering the deposited masses (see discussion in section 3.1) and the higher density of 347 MXenes as well as MoS₂ compared to DLC, it can be assumed that the total wear volume was also 348 substantially reduced. This indicates that MXenes are a rather clean ("green") solid lubricant. With 349 regard to combining an extended service life with smooth operation and low frictional torques, the 350 more easy-to-shear and less adhering coatings (MXenes, MoS₂, and the more graphite-like a-C) 351 seemed to be particularly suitable due to their potential tribo-film formation and transfer to the 352 counter-bodies. For MoS₂ and GLC, this had previously been reported in literature [14,75–77], but 353 we were not able to verify the respective transfer to the counter bodies for these coatings after fatal 354 bearing failure. In case of the MXene coating, some areas of the bearing raceways were exposed 355 and affected by wear, while other regions were still protected by films of compacted nano-356 sheets (Figure 3a). Based upon optical micrographs (Figure 3b and c) and the corresponding Raman 357 spectra (Figure 3d), which featured typical MXene peaks at about 222, 290, 380 and 600 cm⁻¹, it 358 becomes evident that lubricious material originating from a tribo-layer was transferred to secondary 359 tribo-contacts of the bearing (particularly the contacts between rolling elements and cage pockets), 360 thus enhancing the friction and wear performance. This mechanism is schematically illustrated in 361 Figure 3e. Based upon our previous studies and the available literature, $Ti_3C_2T_x$ nano-sheets have 362 shown to enable the formation of lubricious tribo-layers consisting of degraded MXenes intermixed 363 with nanocrystalline/amorphous oxide structures (mostly iron oxide from the substrate with some 364 titanium oxide) [45]. These beneficial tribo-layers have been demonstrated to extend the coatings' 365 lifetime, thus notably contributing to their durability and longevity. Regarding the MXenes' 366 degradation, the thermomechanical and cyclic stress during tribological testing may induce 367 structural and chemical changes (reduced number of layers, reduced x-y dimensions, increased 368

defect density, and oxidation) [45]. This was also reflected in changed peak intensities and positions in the Raman spectrum (Figure 3d) compared to the as-deposited coating (Figure 1l). However, the Raman signal after the experiment did not indicate excessive oxidation, which also suggests that the synthesis process did not substantially result in major oxidation of the as-deposited MXenes.



Figure 3. Optical light micrographs (a) raceway, (b) ball and (c) cage of the run MXene-coated
bearing as well as (d) averaged Raman spectra. The marks correspond to the positions for Raman
measurements. (e) Schematic illustration of the underlying mechanisms based upon compacted
MXene nano-sheets and transfer films acting as solid lubricants between primary (ball/raceway) and
secondary (ball/cage) rolling bearing contacts.

373

In general, our results indicate that the service life of the MXene-coated bearing was extended by 379 380 30 and 55 % compared to MoS₂ and DLC coating, respectively (Figure 2f). This observation has to 381 be assessed against the background of potential influences regarding different morphologies of the coatings as well as testing and environmental conditions onto the tribological performance of solid 382 lubricants like MoS₂ and DLC [78,79], which, however, equally apply to MXenes [41]. It should be 383 emphasized that MoS₂ and DLC have already been explored and optimized for their usage in rolling 384 bearings over several decades [80,81]. Our experimental finding that MXenes show a comparable 385 386 or even superior performance underlines their tremendous potential, especially considering the early 387 stage of tribological research. More research effort needs to be dedicated towards the deposition of

more uniform MXene coatings with improved interfacial and adhesive properties by utilizing spray 388 coating or electrophoretic deposition [5,45]. However, it should be noted that certain initial 389 wear can be beneficial to initiate the tribo-film formation as well as the respective transfer to the 390 counter bodies, which may lead to ultralow wear in secondary contacts of the machine 391 components [45]. Despite the investigated thrust ball bearings feature several contacts, 392 including lower-loaded secondary sliding contacts between ball and cage and the higher-393 loaded primary rolling-sliding contacts between ball and raceway, basic model tests under 394 rolling-sliding motion (two-disk tribometer or mini traction machine) are advisable in the future 395 for an improved understanding of the fundamental mechanisms. This also comprises the study of 396 the influence of thermal properties with regard to cooling effects as well as the damping of 397 vibrations. Furthermore, future research work regarding the usage of further MXenes with 398 different stoichiometry or early transition metals [4,7] as well as tailored surface 399 functionalization by making use of their richness in available surface terminations will further boost 400 their tribological performance [5].

401

402 **4. Conclusions**

MXenes have recently shown excellent solid lubrication ability with an enhanced wear performance 403 due to their weakly bonded multi-layer structure and tribofilm formation with self-lubricating 404 character, which is of particular interest for dry-running tribo-systems. This contribution 405 investigated the friction and wear performance of multi-layer $Ti_3C_2T_x$ coatings applied to rolling 406 bearings and compared the tribological performance of MXenes with the performance of state-of-407 the art solid lubricant coatings including MoS₂, a-C:H:W, and a-C. It was found that 408 MXene coatings reduced wear on the bearing washers by up to 94 % relative to uncoated 409 references, which was comparable to DLC coatings and even superior to MoS₂ coatings. While the 410 frictional torque of all solid lubricants was similar during steady-state operation, the MXene-411 coated bearings extended the average service life by 30 and 55 % compared to MoS₂ and DLC, 412 respectively. This was traced back to the transfer of lubricious nano-sheets to secondary tribo-413 contacts of the bearing, particularly between rolling elements and cage pockets, which was verified by Raman spectroscopy. This

- 414 contribution demonstrated MXenes' general ability to outperform state-of-the-art solid lubricants
- 415 when applied to dry-running machine components such as rolling bearings.

416 **Conflict of Interest**

417 The authors declare that they have no known competing financial interests or personal relationships that 418 could have appeared to influence the work reported in this manuscript.

419

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430 Author Contributions

431 M. Marian conceived the idea. B. Wang synthesized the $Ti_3C_2T_x$ nano-sheets. B. Rothammer and 432 A. Seynstahl designed and deposited the DLC and MoS₂ coatings. S. Krauß and T. Böhm characterized the 433 as-deposited coatings by SEM, EDX and Raman spectroscopy. K. Feile and M. Marian carried out the 434 experiments and analyzed the data. M. Marian and A. Rosenkranz wrote the manuscript. All authors 435 contributed to the discussion and have reviewed, edited, and approved the final version of the manuscript.

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