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# Impact of Machine Learning Assistance on the Quality of Life Prediction for Breast Cancer Patients

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Keywords: Clinical Decision Support System, Breast Cancer, Resilience, Machine Learning.

Abstract:

Proper and well-timed interventions may improve breast cancer patient adaptation, resilience and quality of life (QoL) during treatment process and time after disease. The challenge is to identify those patients who would benefit most from a particular intervention. The aim of this study was to measure whether the machine learning prediction incorporated in the clinical decision support system (CDSS) improves clinicians' performance to predict patients' QoL during treatment process. We conducted an experimental setup in which six clinicians used CDSS and predicted QoL for 60 breast cancer patients. Each patient was evaluated both with and without the aid of machine learning prediction. The clinicians were also open-ended interviewed to investigate the usage and perceived benefits of CDSS with the machine learning prediction aid. Clinicians' performance to evaluate the patients' QoL was higher with the aid of machine learning predictions than without the aid. AUROC of clinicians was .777 (95% CI .691 – .857) with the aid and .755 (95% CI .664 – .840) without the aid. When the machine learning model's prediction was correct, the average accuracy (ACC) of the clinicians was .788 (95% CI .739 – .838) with the aid and .717 (95% CI .636 – .798) without the aid.

## 1 INTRODUCTION

Breast cancer is a major socio-economic challenge due to its high prevalence. In 2018, more than 2 million new breast cancer patients were diagnosed worldwide (Bray et al., 2018). 28% of all cancers in Europe were breast cancers. The concept of resilience refers to a person's ability to adapt and bounce back from some challenging event (Deshields et al., 2016; Rutter, 2006). How a breast cancer patient adapts to treatment process and time after disease greatly affects a patient's quality of life (QoL). Proper and well-timed interventions may be important in improving patient adaptation and resilience. The challenge is to identify

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in advance and in a timely manner those patients who would benefit most from a particular intervention.

An advanced machine learning algorithms integrated into clinical decision support system (CDSS (Sutton et al., 2020)) can help a clinician to identify target patients and to determine appropriate interventions. As far as we know, no previous studies have investigated the aid of machine learning prediction integrated into CDSS to identify patients who may need attention and intervention for resilience of breast cancer treatment process and survival. In this study, we investigated the use of machine learning prediction integrated into CDSS to identify breast cancer patients who may need help. We conducted a user experiment in which clinicians' task was to predict patients' qual-

ity of life after the time period of 6 months from the diagnosis of breast cancer. The independent variable of the user experiment was the aid of machine learning algorithm. The aim was to measure whether the machine learning prediction improves clinicians' performance to predict the QoL of patients. In addition, we conducted an open-ended interview for each clinician. The aim was to determine how this kind of decision support tool could be used and who would benefit from it and how.

#### 2 METHODS

#### 2.1 Dataset

Patient data that is used in this study was collected from four clinical sites: (1) Helsinki University Hospital Comprehensive Cancer Center (HUS), (2) Hebrew University in Jerusalem, Israel, (3) Champalimaud Breast Unit (CHAMP) and (4) European Institute of Oncology (IEO). The study was approved by the European Institute of Oncology, Applied Research Division for Cognitive and Psychological Science (Approval No R868/18 – IEO 916) and the clinical ethical committees of each hospital.

The retrospective data set contains sociodemographic and lifestyle, medical and treatment and psychosocial assessment values for 608 breast cancer patients<sup>1</sup>. For the user experiment, we selected 60 HUS patients (test set). The remaining 548 patients (train set) were used for training the machine learning algorithm. The target variable for machine learning algorithm and user experiment was patients' self-assessed quality of life (QoL) value evaluated six months (Month 6) after the baseline (Month 0). Each patient's baseline was 3-4 weeks after breast cancer was diagnosed. The QoL value was measured using EORTC QLQ-Global QoL scale (Aaronson et al., 1993).

Table 1 presents descriptive analysis of sociode-mographic and lifestyle, medical and treatment and psychosocial assessment values for the patients of the test set. These variables were presented in the user interface of the user experiment for the clinicians (Fig 1). In Table 1, the patients are divided into the low and high QoL groups. The threshold of grouping is the QoL value of 75. Patients whose self-assessed QoL value was higher than 75 after 6 months from the baseline were grouped in the high QoL group. The same grouping was used for training the machine

<sup>1</sup>HUS: 185 patients, Hebrew University: 138 patients, CHAMP: 108 patients and IEO: 177 patients

learning classifier (Section 2.2). Table 1 shows that the high QoL patients were significantly older and they had lower BMI and better baseline values for the overall health and quality of life and lower distress level compared to the low QoL group.

## 2.2 Machine Learning Model

Train data set (n=548) was used for training machine learning model (random forest classifier). The task of machine learning model was to classify a patient to be either in the group of low QoL or high QoL after 6 months from the baseline. The performance of the trained machine learning model to classify high and low QoL patients was evaluated on the test data set (n=60) by calculating the standard performance metrics, such as the area under the receiver operating characteristic curve (*AUROC*), recall and precision.

## 2.3 User Experiment

The standard performance measurements of machine learning algorithms are not sufficient to show that CDSS is effective also in a real clinical environment. The human decision-making process is complex and biased. It cannot be assumed that clinicians will always closely follow the recommendations of machine learning model (Vasey et al., 2021; Ginestra et al., 2019). In this study we conducted a user experiment for measuring the performance of decision making by simulating the use of CDSS with or without the aid of the machine learning prediction. The independent variable was the aid of machine learning prediction. The dependent variable was the predicted QoL value for patients. The QoL values were given by using the continuous scale from 0 (low QoL) to 100 (high QoL).

#### 2.3.1 User Interface

Fig 1 presents the user interface of the user experiment in which the machine learning prediction (probablity of high QoL) was presented for the participants. In the case of without the aid of prediction, only patient background information and patient questionnaire data were presented for the participants. The QoL predictions from the participants were stored with the slider at the bottom of the user interface (inside the red square).

The patient background information presented on the user interface tried to present the same information as clinicians use at the normal patient admission. It's important to note that the results of the experiment are comparable to normal patient examinations only when all patient's background information relevant to

Table 1: Sociodemographic and lifestyle, medical and treatment and psychosocial assessment values for the test set patient cohort. The patients are divided into the low and high QoL (quality of life) groups according to the QoL value measured using EORTC global QLQ scale (Aaronson et al., 1993). The threshold of grouping is the QoL value of 75. Patients whose self-assessed QoL value was higher than 75 after 6 months from the baseline were grouped in the high QoL group. P values were calculated by Fisher's exact or Mann-Whitney U test. EORTC = European organization for research and treatment of cancer, Avg. = Average, SD = Standard deviation, BMI = Body mass index.

Variable group	Variable	Low QoL (0-75)	High QoL (75-100)	P value
	Number of patients	38	22	
	$Age, Avg.(\pm SD)$	54.6 (7.61)	62.2 (6.38)	< .001
Sociodemographic and lifestyle	BMI, $Avg.(\pm SD)$	27.3 (4.62)	24.2 (3.76)	0.006
	Higher education <sup>1</sup> , n (%)	38 (100.0)	21 (95.5)	0.367
	Part time or unemployment, n (%)	1 (2.6)	2 (9.1)	0.548
	Low income <sup>2</sup> , n (%)	6 (15.8)	1 (4.5)	0.246
	No exercise, n (%)	3 (7.9)	1 (4.5)	1
	Living alone, n (%)	15 (39.5)	10 (45.5)	0.787
	Number of children, $Avg.(\pm SD)$	1.4 (1.15)	1.8 (1.1)	0.064
Medical and treatment	Chemotherapy treatment, n (%)	26 (68.4)	12 (54.5)	0.405
	Preexisting mentalillness, n (%)	15 (39.5)	5 (22.7)	0.258
	Chronic depression, n (%)	5 (13.2)	0 (0.0)	0.148
Psychosocial assessment	Baseline self-assessment: Overall quality of life <sup>3</sup> , $Avg.(\pm SD)$	5.3 (1.12)	6.2 (1.18)	< .001
	Baseline self-assessment: Overall health <sup>4</sup> , $Avg.(\pm SD)$	5.1 (1.04)	6.3 (1.08)	< .001
	Baseline self-assessment: Distress level <sup>5</sup> , $Avg.(\pm SD)$	4.7 (2.68)	1.6 (1.65)	< .001
	Month 6, self-assessment: Global QLQ <sup>6</sup> , $Avg.(\pm SD)$	55.7 (14.77)	92.0 (7.03)	< .001

<sup>&</sup>lt;sup>1</sup> Bachelor, high school, postgraduate school or vocational non academic diploma

the task are presented on the user interface. The patient background information of this study presented on the user interface was based on consultation of two medical oncologists with long experience of breast cancer treatment (HUS: Paula Poikonen-Saksela and Leena Vehmanen, 5.10.2020). The selected patient background variables were related to the patient's age, BMI, education, working life, physical activity, family relationships, chemotherapy treatment and mental health background. Also, previous research (Bonanno et al., 2007; Molina et al., 2014) supports that the variables such as age, socioeconomic and marital status and social support are important factors of resilience.

Furthermore, the user interface presented the patients' answers for three psychosocial questions. The questions were related to patient's health, quality of life and distress level at the baseline. The questions were selected according to the variable importance values of the trained machine learning model (Table 3).

## **Participants and Samples**

To compare the performance of clinicians with and without the aid of prediction, six clinicians diagnosed three sets of 20 patients twice, in two separate sessions, according to the crossover design detailed in Fig 2. Participants were oncologists with median 7.5 (4-18) years of experience of treating breast cancer patients. During each session, clinicians interpreted half of patients with machine learning prediction value, and half without. After a washout period the clinicians diagnosed the same set of 20 patients with the aid status reversed. The patients that were reviewed with the aid of predictions at the first session were reviewed without the aid during the second session, and vice versa. That is, the 60 patients (test set) were randomly grouped into three groups, 20 patients in each, and each clinician evaluated all the patients in one group with and without the aid. Thus, each patient group was evaluated by two different clinicians.

To establish familiarity with the CDSS and the machine learning predictions, each session began

Net monthly income 0-1500€
3 QLQ30-29 (Aaronson et al., 1993), How would you rate your overall quality of life during the past week? 1 (very poor) - 7 (exellent)

<sup>4</sup> QLQ30-30 (Aaronson et al., 1993), How would you rate your overall health during the past week? 1 (very poor) - 7 (exellent)
5 NCCN distress thermometer (Goebel and Mehdorn, 2011), Please circle the number (0-10) that best describes how much distress you have been experiencing in the past week. including today: 1 (No distress) - 10 (Extreme distress)

<sup>&</sup>lt;sup>6</sup> QLQ30 functional scale Global, (Aaronson et al., 1993) [0-100], the higher is better

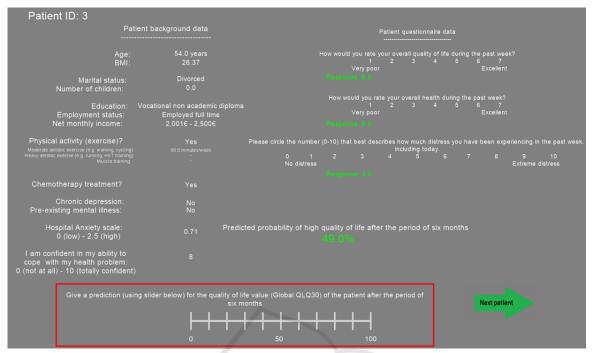


Figure 1: User interface of the user experiment. After participants have analyzed patient background information and selected patient questionnaires, they rate quality of life value for the patient with or without the aid of machine learning prediction. The quality of life values were given by using the continuous scale from 0 (low QoL) to 100 (high QoL) (inside the red square). In this user interface example, the aid of machine learning prediction have been presented.

with an introduction and 4 training patients (2 with and 2 without the aid) that were not part of the test patients. Study administrator also clarified any questions about the functionality and the variables of user experiment.

The washout period between the two sessions of the crossover design was 2-4 weeks. According to the recommendation (Pantanowitz et al., 2013) the washout period should be at least 2 weeks. On the other hand, with a long washout period, the participant's diagnostic criteria could have changed over time. For example, participants could have gained more experience or changed their attitude toward diagnostic criteria (Nielsen et al., 2010).

Too long experiment causes fatigue, which lowers the quality of input values. With a pilot study we confirmed that the length of a single session with 20 patients was no more than 30 minutes. According to standard (ITU-R, 2012) the duration of experiment should be less than 60 minutes.

#### 2.3.3 Open-ended Interview

After the second session of the user experiment, an open-ended interview was conducted for the participants. The interview data was analyzed following thematic analysis and the approach identified by (Clarke

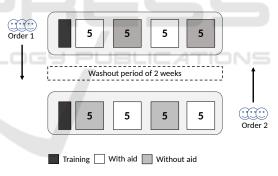


Figure 2: Experimental design. Each of the 6 clinicians was randomly assigned to either test order 1 or 2. Each test began with a brief practice block of 4 (2 with the aid and 2 without the aid) patient cases, followed by 4 experiment blocks of 5 patients, with order 1 beginning with the aid of machine learning predictions and order 2 beginning without the aid.

and Braun, 2014). The interview included the following questions:

- Could you make use of this kind of decision support tool when taking care of a patient and how?
- How would you envision it to be used in your organisation / department?
- Who (what role/s) in your organisation would use such a tool?
- Who (what role/s) in your organisation would

make use of the information?

- How might the predicted score affect the patient care processes from your perspective / in your organisation?
- Do you think the patients could benefit from this kind of prediction? Under which conditions?
- What aspects should take in consideration when further developing the decision support tool?

#### 2.3.4 Statistical Analyses

The performance of the clinicians with the aid and without the aid was evaluated by calculating the performance metrics of the area under the receiver operating characterictic curve (*AUROC*), recall, precision and balanced accuracy (*ACC*). Furthermore, we measured participants' review time when decisions were made with or without the aid of predictions. We used bootstrapping (Seabold and Perktold, 2010) to compute 95% confidential intervals (*CI*) and p-values for the performance metrics.

#### 3 RESULTS

# 3.1 Machine Learning Model

The *AUROC* value of the trained machine learning model (random forest) for the test data set was .832 (95% CI .757-.900). Recall and precision values were .727 (95% CI .583-.857) and .727 (95% CI .589-.854) when the threshold value of the model was .60. Table 2 presents the confusion matrix of the trained machine learning model for the test data set when the threshold value of the model was .60 or .70. With the threshold of .60, the model classified 6/38 low QoL patients in the group of high QoL (false positives). With the threshold of .70, 1/38 low QoL patients were classified in the group of high QoL.

Table 3 lists the 10 most important variables of the trained machine learning model according to the random forest feature importance values. The variables of Global QLQ, mental health (HADS) and distress level at the baseline (Month 0) were important psychosocial factors. Age, BMI and monthly income were important sociodemographic and lifestyle factors.

#### 3.1.1 User Experiment

Table 4 presents the performance values for the machine learning model and over clinicians with and without the aid of the predictions. The overall receiver operating characteristic (ROC) curves are

Table 2: Confusion matrix for the trained machine learning model when the classification threshold (*th*) was .60 or .70. QoL = Quality of life, Pred = predicted.

th = .60	Pred low QoL	Pred high QoL
Low QoL	32	6
High QoL	6	16
th = .70	Pred low QoL	Pred high QoL
th = .70 Low QoL	Pred low QoL 37	Pred high QoL

Table 3: Variable importance values of the trained machine learning model.

Variable	Value
Global QLQ <sup>1</sup>	.106
Mental health, HADS <sup>2</sup>	.079
Age	.072
Distress level <sup>3</sup>	.071
Overall quality of life, QLQ30-30 <sup>1</sup>	.057
Overall health, QLQ30-29 <sup>1</sup>	.048
Upset, PANAS 5 <sup>4</sup>	.044
Monthly income	.043
Coping with cancer, CBI <sup>5</sup>	.041
BMI	.040

The psychosocial variabels were from the questionnaires

EORTC quality of life questionnaire (QLQ-C30)

<sup>2</sup> Hospital Anxiety and Depression Scale (HADS)

<sup>3</sup> NCCN distress thermometer

<sup>4</sup> Positive and Negative affectivity - short form (PANAS)

<sup>5</sup> Cancer Behavior Inventory (self-efficacy in coping with cancer) (CBI-B)

shown in Fig 3. AUROC of clinicians was .755 (95% CI .664–.840) without the aid and .777 (95% CI .691–.857) with the aid. AUROC of machine learning model was .832 (95% CI .757-.900) which is not statistically significantly higher than AUROC of clinicians with or without the aid (p=.53 and p=.135).

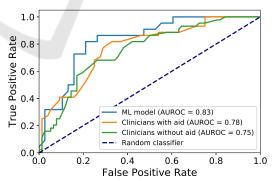


Figure 3: The overall receiver operating characteristic (ROC) curves for machine learning (ML) model and clinicians with/without the aid of machine learning prediction. AUROC = Area under the receiver operating characteristic curve.

The *AUROC* values of the individual clinicians with or without the aid for the evaluated patient groups (n = 20) are presented in Fig 4. The *AUROC* values of the machine learning model are shown with

the dashed lines. Two clinicians (#1 and #5) with the aid had higher *AUROC* than the machine learning model had for the same 20 patient group. Four clinicians (#1, #3, #4, #5) with the aid had higher *AUROC* than without the aid. Two clinicians (#2, #6) without the aid had higher *AUROC* than with the aid.

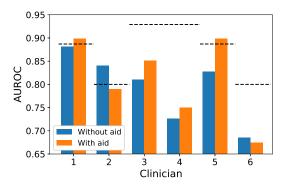


Figure 4: Area under the receiver operating characteristic curve (*AUROC*) values for the 6 clinicians with and without the aid of machine learning prediction. The performance of machine learning algorithm is shown with the dashed lines.

As can be seen from the results, on average, all performance values (*AUROC*, recall, precision, *ACC*) were better with the aid than without the aid. It is also clear, that the performance of the machine learning model was higher than that of clinicians except for the recall measure. However, recall and precision values can be optimized by thresholding classifier. That is, by using a lower probability threshold, recall can be higher and precision lower and vice versa.

Table 5 presents review time for clinicians with and without the aid. The average review time was 34.01 s (95% CI 31.49 s - 36.53 s) without the aid and 38.63 s (95% CI 36.58 s - 40.67 s) with the aid. The difference is statistically significant (p < .001). Only one clinician (#6) was faster to give the prediction with the aid than without the aid.

Table 6 presents accuracy values (ACC) of the clinicians, when machine learning model predicted the QoL classes correctly or incorrectly. The average accuracy of the clinicians was .720 (95% CI .644.797) without the aid and .793 (95% CI .754-.832) with the aid (p = .040) when the machine learning model predicted the QoL classes correctly. The average accuracy of the clinicians was .532 (95% CI .264-.799) without the aid and .476 (95% CI .208-.745) with the aid (p = .363) when the machine learning model predicted the QoL classes incorrectly. That is, when the prediction of the machine learning model was correct, the predictions of the clinicians were more accurate on average.

#### 3.1.2 Open-ended Interview

All clinicians found the CDSS to be useful if incorporated into the care of breast cancer patients. According to clinicians, the information provided by the CDSS would not likely affect the actual breast cancer treatment of patients or the choice of therapies, but rather influence the psychosocial support and other possible interventions offered to patients. However, there was a consensus that for the resilience prediction to be valuable it must lead to an actual intervention for the patient. The usefulness of the tool is therefore affected by the availability of interventions to improve resilience. Furthermore, one clinician thought that the prediction would be most useful and informative in cases where the predicted resilience is lower than the clinician's intuitive prediction. Several clinicians viewed that the CDSS would be most useful if it could identify the patients with weak resilience 12 months after the end of treatment, at which point in time a portion of patients are generally less vigorous than the majority. The resilience prediction could then be used to target specific individually planned interventions and a higher level of support for this group of patients. The optimal timing for the use of the CDSS is thought to differ between patients, varying from the time of planning adjuvant treatment to the post-treatment period.

Most clinicians thought that both doctors and nurses may be possible users of the CDSS and could make use of resilience prediction information. However, the suitable user depends on which interventions would follow from the prediction, as offering certain interventions may require a referral from a doctor. However, the likelihood and motivation of clinicians to use the CDSS is generally believed to be significantly affected by the ease of use and convenience of the tool. With regards to breast cancer patients, there were conflicting views on whether the information provided by the tool would be useful to be shared with patients. While some clinicians viewed that patients learning their resilience prediction may motivate and encourage them through their treatment and rehabilitation process, some clinicians worried that a poor predicted resilience may cause discouragement and increase stress. Therefore, if the resilience prediction is shared with patients the manner in which the information is communicated must be paid attention to.

In further development of the CDSS, one clinician highlighted the importance of the incorporation of more parameters concerning breast cancer treatment and possible comorbidities into the CDSS, while another hoped for more detailed information of the

Table 4: The performance measurements of the area under the receiver operating characteristic curve (AUROC), recall, precision and balanced accuracy (ACC) for machine learning (ML) model and over all participants with and without the aid of machine learning prediction. Recall, precision and ACC were calculated for the clinicians by using the threshold QoL value of 75 and for machine learning model by using the threshold probability value of .60. Avg. = Average, CI = Confidence interval.

Set	AUROC	Recall	Precision	ACC
ML, Avg. (95% CI)	.832 (.757900)	.727 (.587854)	.727 (.589854)	.785 (.704863)
With aid, Avg. (95% CI)	.777 (.686858)	.818 (.696930)	.590 (.465707)	.745 (.663820)
Without aid, Avg. (95% CI)	.755 (.656840)	.773 (.636887)	.540 (.412662)	.696 (.607778)

Table 5: Review time of the clinicians with and without the aid of the machine learning predictions. s = seconds, Avg. = Average.

Clinician	Review time with aid (s)	Review time without aid (s)
1	38.99	29.05
2	40.78	32.96
3	35.02	29.32
4	38.60	35.55
5	32.99	27.81
6	45.38	49.40
Avg.	38.63	34.02

mental health and possible medications of the patient. Furthermore, one clinician also hoped for the patient perspective in terms of their feelings towards learning their predicted resilience to be explored further.

# 4 DISCUSSION

The aim of this study was to measure whether the machine learning prediction integrated into the CDSS affects clinicians' ability to predict the quality of life of breast cancer patients during the treatment process. Based on the results, the aid of machine learning prediction improved the ability of clinicians to predict patients' quality of life. Clinicians' performance improved at a statistically significant level in patients for whom the machine learning model was able to predict the correct outcome. The same result has also been observed in a previous study (Kiani et al., 2020).

Traditional performance measurements, such as AUROC, accuracy, and sensitivity, measure numerical accuracy values. A deeper understanding of advantages and disadvantages of CDSS requires different measures and methods. Previous studies (Lee et al., 2020; Jang et al., 2020) have measured, for example, clinician's confidence in his or her own assessment when the prediction of a machine learning model was visible. In this study, in addition to traditional performance measures, we conducted an open-ended interview for the participants. The interview gathered information for the development and use of decision support tool. Based on the results, this kind of decision support tool was found to be useful. However, it requires that the use of the tool would lead to real interventions, which in turn requires that interventions are available and possible to apply. This finding limits the usefulness of the tool to hospitals which have ready interventions for support of resilience in place or the possibility to add such interventions into the breast cancer care process.

The research setup of this experimental study simulated the use of decision support tool. This is a research setup that should be conducted after the performance validation of machine learning algorithm but before field study. The goal of field study is to validate tool for a real operating environment. In other words, the results of this study determined whether the tool needs to be further developed and what improvements are needed before field study. Based on the results, several improvements are needed before the field study phase. First, based on the openended interview, the patient's medication, treatment, and other conditions should be presented more detailed level. More specific information may improve clinicians' confidence in both their own assessments and predictions provided by the CDSS. Second, clinicians' performance improved only slightly when the prediction of machine learning method was available. If the prediction of machine learning model was correct, performance of clinicians improved at statistically significant level. Based on this, the performance of the machine learning model should be improved for the field study phase. The number of false predictions should be minimized that the usefulness of the tool in actual use can be higher. Third, the machine learning model outputs only single prediction value to the time point after six months from the baseline. CDSS could be more useful if more endpoints (e.g., 6, 9 and 12 months) are predicted and/or timeline-type QoL trajectories are possible. Furthermore, from the point of view of the interviewed clinicians the resilience pre-

•	<i>E</i> ,			
	With aid		Without aid	
Clinician	Correct prediction	Incorrect prediction	Correct prediction	Incorrect prediction
1	.765	.667	.647	.667
2	.846	.429	.846	.429
3	.722	.000	.667	.000
4	.833	1.000	.722	1.000
5	.824	.333	.824	.667
6	.769	.429	.615	.429
Avg. (95% CI)	.793 (.754832)	.476 (.208745)	.720 (.644797)	.532 (.264799)

Table 6: Accuracy of individual participants when machine learning algorithm predicted correctly or incorrectly QoL (quality of life) class for the patients. Avg. = Average, CI = Confidence interval.

diction would be most useful for the time point 12 months after the end of treatment, as more variance in patients' resilience is often observed at this point in time.

As a follow-up study, the effect of the clinician's experience and test environment for the performance should also be investigated. Previous research (Cai et al., 2019) has shown that the aid of machine learning benefits more inexperienced clinicians. All participants in this study were experienced clinicians. That is, with inexperienced clinicians benefits from the aid of machine learning predictions could be higher. Test environment of this study did not correspond to a real clinical environment. There were no unrelated distractions or other examinations requiring the attention of clinicians. In noisy real clinical environment, the aid of machine learning predictions can be higher that should be studied.

#### 5 CONCLUSIONS

Based on the study, the machine learning model integrated into the CDSS improved clinicians' performance in predicting patients' quality of life after six months from the baseline. Performance improved especially in the cases where the machine learning model was able to correctly predict patient's QoL value. It should be noted, however, that based on the open-ended interview, this kind of tool is considered useful only when resilience strengthening interventions can be implemented for the patients identified to have low predicted resilience

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