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1 Indicators of quality of diabetes care in persons with type 2 diabetes with and without severe mental
2 illness: a Danish nationwide register-based cohort study.

3 Short running title: Diabetes care in persons with mental illness

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19

20 **Abstract**

21 Background: This study aims to examine quality of diabetes care in persons with type 2 diabetes
22 with and without severe mental illness (SMI).

23 Methods: In a nationwide prospective register-based study, we followed persons with type 2
24 diabetes in Denmark with and without SMI including schizophrenia, bipolar disorder, or major
25 depression. Quality of care was measured as receipt of care (hemoglobin A1c, low-density
26 lipoprotein-cholesterol and urine albumin creatinine ratio assessment and eye and foot screening)
27 and achievement of treatment targets between 2015 and 2019. Quality of care was compared in
28 persons with and without SMI using generalized linear mixed models adjusted for key confounders.

29 Findings: We included 216,537 persons with type 2 diabetes. At entry 16,874 (8%) had SMI. SMI
30 was associated with lower odds of receiving care, with the most pronounced difference in urine
31 albumin creatinine ratio assessment and eye screening (OR: 0.55, 95% CI: 0.53-0.58 and OR: 0.37
32 95% CI: 0.32-0.42, respectively). Among those with an assessment, we found that SMI was
33 associated with higher achievement of recommended hemoglobin A1c levels and lower
34 achievement of recommended low-density lipoprotein-cholesterol levels. Achievement of
35 recommended low-density lipoprotein-cholesterol levels was similar in persons with versus without
36 schizophrenia.

37 Interpretation: Compared to persons without SMI, persons with SMI were less likely to receive
38 process of care, with the most pronounced differences in urine albumin creatinine ratio assessment
39 and eye screening.

40 Funding: This study was funded by Steno Diabetes Center Copenhagen through an unrestricted
41 grant from Novo Nordisk Foundation.

42

43 **Research in Context**

44 Evidence before this study

45 In Medline, we performed a title and abstract search for all previous evidence on quality of diabetes
46 care in persons with type 2 diabetes with and without severe mental illness (published between
47 database inception and July 30, 2022). No language restriction was applied, and we used the
48 following search terms in various combinations; ‘severe mental illness’, ‘schizophrenia’, ‘bipolar’,
49 ‘major depress*’, ‘severe depress*’, ‘psychos*’, ‘mani*’, ‘type 2 diabetes’, ‘diabetes mellitus’,
50 ‘diabetes’, ‘quality of care’, ‘process of care’, ‘care’, ‘treatment’, and ‘diabetes care’. We included
51 studies conducted in countries with universal health care coverage, including Europe, Canada, and
52 Australia. For studies on persons with depression, we included major or severe depression. A total
53 of ten studies were found. Previous studies from countries with universal health care coverage have
54 found conflicting results, with two studies reporting improved quality of care in persons with severe
55 mental illness, one reporting no difference, and three reporting lower quality of care. Four studies
56 reported diverse findings depending on the indicators, for example one study reported no difference
57 in assessment of hemoglobin A1c, foot and eye screening and a higher likelihood of low-density
58 lipoprotein-cholesterol assessment in persons with compared to persons without severe mental
59 illness. Limitations of the previous studies included limited coverage of study populations, type,
60 and definition of severe mental illness. In summary, studies on quality of diabetes care with all
61 types of severe mental illness collectively and individually are limited.

62 Added value of this study

63 This study is a nationwide study providing additional evidence on receipt of diabetes care and
64 achievement of treatment targets in persons with type 2 diabetes with and without severe mental
65 illness. The study addresses previous gaps by providing population-based data for persons with any

66 severe mental illness and additionally for persons with schizophrenia, bipolar disorders, and major
67 depression.

68 Implications of all the available evidence

69 Our results signify need for a change in clinical practice and health policies to reduce the gap in
70 quality of diabetes care in persons with severe mental illness compared to persons without.

71 **Introduction**

72 Compared to the background population, persons with severe mental illness (SMI), such as
73 schizophrenia, bipolar disorder, and major depression have a 10–15-year shorter life expectancy.¹
74 This may partly be due to an excess risk of type 2 diabetes and cardiovascular diseases.¹ Persons
75 with SMI have a 2-3 times higher risk of type 2 diabetes than the background population.² Among
76 persons with type 2 diabetes, comorbid SMI is associated with a higher risk of diabetes
77 complications and mortality compared to persons without SMI.³ Disparity in quality of diabetes
78 care may partly explain these poorer outcomes in persons with SMI.⁴

79 International and national diabetes care guidelines have been developed to ensure high quality of
80 diabetes care, including annual assessments of hemoglobin A1c (HbA1c) and low-density
81 lipoprotein (LDL)-cholesterol, and careful monitoring of achievement of treatment targets to
82 prevent diabetes complications and mortality.^{5,6} However, patient-provider and system-level
83 barriers can result in insufficient care among those with SMI, resulting in inequalities in quality of
84 care.⁴

85 Previous studies from countries with universal health care coverage have found conflicting results,⁷⁻
86 ¹⁶ with three studies reporting worse quality of diabetes care in persons with SMI compared to
87 persons without,^{9,11,12} while others have found similar or better quality of care in persons with SMI.
88 ^{7,8,10,13-16} However, most studies were conducted in persons with schizophrenia,^{9,11,13} or summarised
89 for SMI overall,^{7,8,10,12,16} with inconsistencies in which SMI diagnoses were included. SMI
90 comprises a heterogeneous group of diagnoses and summarizing overall SMI may underestimate
91 differences within specific SMI diagnoses. Previous studies were also limited in methodology, such
92 as limited data coverage resulting in selected populations^{7,9,12} or a lack of complete coverage of data
93 on quality indicators.^{9,11} Most studies examined the quality of diabetes care on receipt of care^{8,9,11-}

94 ^{13,15,16} and many studies only examined a few indicators.^{7,8,10-14} In a nationwide study, we aimed to
95 address these gaps by examining the quality of diabetes care measured as receipt of care and
96 achievement of treatment targets in persons with type 2 diabetes with and without SMI. We also
97 examined whether the quality of diabetes care varied by type of SMI, including schizophrenia,
98 bipolar disorder, and major depression.

99 **Methods**

100 *Study design and study population*

101 We identified all persons with type 2 diabetes diagnosed before 2015 who were 18 years or older at
102 the time of type 2 diabetes diagnosis and followed them to the end of 2019. The study linked
103 person-level data with a unique personal identification number from the Danish Civil Registration
104 System¹⁷ with Danish nationwide healthcare registers.¹⁸ Persons with type 2 diabetes were
105 identified in a nationwide diabetes register.¹⁹ The register is based on an algorithm that collects data
106 from five health registers containing diabetes-related information.¹⁹ Inclusion in the diabetes
107 register includes a diabetes diagnosis in the National Patient Register,²⁰ use of diabetes podiatry in
108 the Danish National Health Service Register,²¹ purchase of any diabetes medication in the Danish
109 National Prescription Registry,²² diabetes diagnosis in the Danish Adult Diabetes Registry,⁵ or an
110 eye screening recorded in Danish Registry of Diabetic Retinopathy.²³

111 *Definition of severe mental illness*

112 Persons with SMI were identified in the Danish Psychiatric Research Register. The register contains
113 records of all admissions to psychiatric inpatient facilities since 1969 and visits to outpatient and
114 emergency psychiatric departments since 1995.²⁴ Persons with SMI were defined as all persons with
115 an inpatient, outpatient or emergency contact where the diagnosis included schizophrenia or
116 schizophrenia spectrum disorder (ICD-10: F20-F29, ICD-8: 295.x9, 296.89, 297.x9, 298.29–

117 298.99, 299.04, 299.05, 299.09, 301.83), bipolar disorder (ICD-10: F30-F31, ICD-8: 296.19,
118 296.39, 298.19) or major depression (ICD-10: F32-F33, ICD-8: 296.09, 296.29, 298.09, 300.49)
119 from 1969 (when the register started) to 31.12.2019 (end of follow-up). There has been a lack of
120 consensus in research of which diagnosis SMI includes. However, in most research SMI is defined
121 as schizophrenia and schizophrenia spectrum disorder, bipolar disorder, and major depression.²⁵
122 These diagnoses are also used in previous register-based studies from Denmark.^{3,26} The date of
123 onset of SMI was defined as the date of first contact (inpatient, outpatient, or emergency department
124 visit). SMI were grouped into any SMI, and each specific SMI diagnosis (schizophrenia, bipolar
125 disorder, or major depression, which were not mutually exclusive).

126 *Quality of diabetes care*

127 Quality of diabetes care was measured according to Danish National Diabetes Care Guidelines.²⁷
128 The quality of diabetes care was measured as receipt of care in the entire population and
129 achievement of treatment targets was measured among those who had an assessment. Receipt of
130 care was measured as having had an assessment of HbA1c, LDL-cholesterol, urine albumin
131 creatinine ratio (UACR), and foot- and eye screening. Achievement of recommended treatment
132 targets among those who had an assessment was defined on the basis of HbA1c \leq 53 mmol/mol,
133 LDL-cholesterol levels \leq 2.5 mmol/l, and HbA1c $>$ 70 mmol/mol. Table 1 lists the definitions of the
134 quality of care indicators and the data sources used for each indicator. Danish national guidelines
135 recommended that persons with diabetes should receive an assessment of HbA1c, LDL-cholesterol,
136 UACR, and foot screening at least once every year, and eye screening once every two years in the
137 study period.²⁷ We added three months to the intervals to allow for a buffer in accordance with the
138 national quality database.²⁸ This resulted in four 15-month intervals for HbA1c, LDL-cholesterol,
139 UACR, and foot screening and two 27-month intervals for eye screening during the five-year
140 follow-up. We examined assessment of each indicator in each non-overlapping interval. The end of

141 follow-up was 31.12.2019 for all indicators except for eye screening, where end of follow-up was
142 30.06.2019.

143 Persons were followed from 01.01.2015 until the end of follow-up, death, or emigration, whichever
144 came first. We excluded persons who died or emigrated within the first interval.

145 Data on the quality of diabetes care were obtained from the following four registers: the National
146 Laboratory Database, which contains routine biomarker results since 2015 from all hospitals and
147 general practitioners in all regions except the Central Denmark Region;²⁹ the Danish National
148 Health Service Registry,²¹ which contains information on the use of health care services for all
149 persons living in Denmark since 1990 and from which we used service codes related to HbA1c
150 assessment, foot- and eye screening of persons with diabetes; the Danish Adult Diabetes Registry,
151 containing information on the quality of diabetes care in persons with diabetes treated in outpatient
152 clinics and general practice since 2004;⁵ and the Danish Registry of Diabetic Retinopathy
153 containing information on retinopathy screening from all hospital eye departments and private
154 ophthalmological practices since 2013.²³

155 As the National Laboratory Database did not include information on persons living in Central
156 Denmark Region, we excluded that population from the analyses of quality indicators based on
157 information from the National Laboratory Database including HbA1c, LDL-cholesterol, and
158 UACR. A flowchart of the different study populations used for each quality indicator is presented in
159 Figure 1.

160 *Definition of covariates*

161 We used prior evidence and the method of directed acyclic graphs to identify potential confounders
162 and mediators (Supplementary Figure 1). The identified potential confounders were: Age, sex,
163 calendar time, diabetes duration (as time since date of diagnosis until time of follow-up), level of

164 education, and migrant status. Data on date of birth, sex, and migrant status, including immigrants
165 and refugees, was obtained from the Danish Civil Registration System.¹⁷ Migrants were defined as
166 persons born outside Denmark or with parents born outside Denmark and without Danish
167 citizenship and categorized as Danish, Western, or Non-Western.¹⁷ Information on the highest level
168 of education was collected from the Danish Education Registry and defined as the highest achieved
169 education at the date of type 2 diabetes diagnosis.³⁰ It was categorized as low (lower secondary and
170 below), medium (upper secondary), and high (tertiary and above) according to the International
171 Standard Classification of Education.

172 *Statistical analysis*

173 Characteristics of persons at the start of follow-up were presented as mean (\pm standard deviation
174 [SD]) for continuous variables and as percentages (count) for categorical variables for persons with
175 type 2 diabetes with or without any SMI, and for persons with type 2 diabetes with or without
176 schizophrenia, bipolar disorder, or major depression, respectively.

177 Mixed logistic regression models were used to examine the association between the quality
178 indicators and SMI. The value of each repeated measure of the quality indicators was included as
179 the outcome (0/1). The models were analyzed with a person-specific random intercept to account
180 for the correlation between the repeated measures of the quality indicators from the same person.
181 SMI and covariates were included as fixed effects. The models were adjusted for confounders in
182 two steps. Model 1) included basic demographic factors, age, sex, diabetes duration, and calendar
183 time, and model 2) additionally included socio-demographic factors, education, and migrant status.
184 SMI was included as a time-varying variable, meaning that persons were considered unexposed to
185 SMI until a diagnosis of SMI during follow-up and then considered exposed to SMI afterwards. As
186 the SMI groups were not mutually exclusive, we ran separate models for each SMI (any SMI,
187 schizophrenia, bipolar disorder, and major depression). Results from models with linear versus

188 spline terms for each continuous variable (age and diabetes duration) were compared. The results
189 from the different models were similar, and therefore we included a linear term for each continuous
190 variable in the final models.

191 The adjusted odds ratio derived from logistic regression analysis may overestimate the risk ratio
192 when the outcome is frequent.³¹ In our study, several of the outcomes were frequent (e.g., mean
193 HbA1c assessments was 87% in persons without SMI). To compensate for that, we also calculated
194 the absolute risk (defined as the model-derived probability of an event) of each quality indicator for
195 a given set of covariates.

196 We conducted a complete case analysis, and therefore excluded 9% of our study population due to
197 missing information on education.

198 Statistical analyses were performed using R, version 4.0.2 (R Foundation for Statistical Computing,
199 Vienna, Austria; www.R-project.org).

200 *Ethics*

201 Register-based studies do not require ethical approval in Denmark. The Danish Data Protection
202 Agency has granted access to, and use of data, and all data were anonymized.

203 *Data Statement*

204 All study data are held at Statistics Denmark's servers and are confidential due to privacy reasons.
205 Access to data requires application and permission from the registries.

206 *Role of funding source*

207 This study was funded by Steno Diabetes Center Copenhagen through an unrestricted grant from
208 Novo Nordisk Foundation.

209

210 **Results**

211 We followed 216,537 persons with type 2 diabetes; of whom 16,874 (8%) had any SMI, 12,155
212 (6%) major depression, 6,080 (3%) schizophrenia, and 2,259 (1%) bipolar disorders (flowchart
213 presented in Figure 1). Of those with any SMI, 15,176 (90%) were diagnosed with any SMI at start
214 of follow-up, while 1,698 (10%) were diagnosed with any SMI during follow-up and a total of
215 11,747 (70%) received the diagnosis before or on the same date as the type 2 diabetes diagnosis.

216 Of all persons with any SMI, 72% (12,155) were diagnosed with major depression, 36% (6,080)
217 with schizophrenia, and 13% (2,259) with bipolar disorder.

218 Persons with any SMI, schizophrenia, or major depression were more likely to be younger, women,
219 have lower education, and be of non-Western descent than persons without any SMI, schizophrenia,
220 or major depression, respectively (Table 2). Persons with bipolar disorder were also more likely to
221 be younger, women, but had similar education levels and migration status, compared to persons
222 without (Table 2).

223 Differences in receipt of care and achievement of treatment targets over the entire follow-up
224 adjusted for confounders are presented in Figure 2.

225 *Receipt of care*

226 Persons with any SMI, schizophrenia, bipolar disorder, and major depression had lower odds of
227 receiving HbA1c, LDL-cholesterol, UACR assessments, and eye screenings than persons without
228 the specific SMI (Figure 2). We found the lowest odds for UACR assessments and eye screenings
229 (results for any SMI: OR: 0.55, 95% CI: 0.53-0.58 and OR: 0.37, 95% CI: 0.22-0.44, respectively).

230 The odds of receipt of assessments of HbA1c and LDL-cholesterol were similar across the different
231 SMI diagnoses, whereas it differed for UACR and eye screening. For UACR assessments and eye

232 screenings, the effect was greater for persons with schizophrenia and bipolar disorder compared to
233 persons with major depression. Persons with any SMI or major depression had lower odds of
234 receiving foot screening than those without. This was also the case with schizophrenia or bipolar
235 disorder, albeit the latter analyses did not reach statistical significance.

236 The absolute risk for persons with fixed covariates was 45.1% vs. 59.7% for UACR assessment and
237 69.5% vs. 75.3% for foot screening in persons with vs. without any SMI. The absolute risk for
238 LDL-cholesterol was 92.6% vs. 95.1% in persons with vs. without any SMI, whereas it was close to
239 one for both HbA1c assessment and eye screening (e.g., the absolute risk for eye screening was
240 99.8% in persons with any SMI and 99.9% in persons without SMI) (absolute risks are presented in
241 Supplementary Table 3).

242 *Achievement of treatment targets*

243 Among persons who had an assessment, any SMI, schizophrenia, bipolar disorder, or major
244 depression were associated with higher odds of achieving HbA1c targets. Compared to persons
245 without, persons with schizophrenia or bipolar disorders had the highest odds of having HbA1c \leq
246 53 mmol/mol (OR 1.98, 95% CI: 1.77-2.22; OR 1.90, 95% CI: 1.57-2.31, respectively). We found
247 no differences in odds of HbA1c > 70 mmol/mol in persons with any SMI or major depression
248 compared to persons without the specific SMI. In contrast, we found lower odds of HbA1c > 70
249 mmol/mol in persons with schizophrenia or bipolar disorders than in those without, however, the
250 confidence intervals included 1 (OR 0.85 [0.72-1.00]; OR 0.79 [0.60-1.04] respectively).

251 In persons who had an assessment, persons with any SMI or major depression alone had lower odds
252 of LDL-cholesterol < 2.5 mmol/l (OR 0.84, 95% CI: 0.78-0.91; OR 0.78, 95% CI: 0.71-0.85,
253 respectively) compared to persons without, while we found no difference for persons with bipolar
254 disorder or schizophrenia when compared to persons without the specific SMI.

255 Adjustment for potential confounders only slightly changed the effect estimates (results of model 1
256 are shown in Supplementary Table 2, and results of model 2 are shown in Figure 2).

257 The absolute risk for the treatment target HbA1c ≤ 53 mmol/mol was 79.4% vs. 72.1% in persons
258 with vs. without any SMI and for HbA1c >70 mmol/mol it was 0.5% in both persons with and
259 without any SMI. For LDL-cholesterol the absolute risk was 89.9% vs. 91.4% in persons with vs.
260 without any SMI (absolute risks are presented in Supplementary Table 3).

261

262 **Discussion**

263 *Main findings*

264 In this nationwide prospective follow-up study, we found that persons with SMI had markedly
265 lower receipt of HbA1c, LDL-cholesterol, UACR assessments, and eye screenings compared to
266 persons without SMI. The difference was most pronounced for UACR assessment and eye
267 screening, where persons with SMI had 45% and 63% lower odds of receiving assessments of
268 UACR or eye screening, respectively.

269 Among persons with an assessment, we found that persons with SMI had higher achievement of
270 recommended HbA1c levels, while they had a lower achievement of recommended LDL-
271 cholesterol levels compared to persons without SMI. However, some of the results differed when
272 comparing persons with and without schizophrenia or bipolar disorders. For example, persons with
273 schizophrenia had no difference in achieving recommended LDL-cholesterol levels compared to
274 persons without schizophrenia.

275 For HbA1c assessment and eye screening and to some extent also LDL-cholesterol assessment there
276 was a very high coverage of assessments/screenings both in persons with and without SMI

277 (absolute risks were close to one), suggesting that the lower odds from the logistic regression may
278 exaggerate a risk association.³¹ Thus, the results related to these indicators may be of limited
279 clinical importance.

280 The revealed inequalities in receiving care in persons with SMI could be due to patient-provider
281 level barriers. In periods with severe psychiatric symptoms, physical health often comes second,
282 both among professionals and persons with diabetes.⁴

283 The treatment of SMI and diabetes in two compartmentalised health systems might contribute to
284 more barriers in offering a routine follow-up to persons with diabetes. In Denmark, 80% of persons
285 with type 2 diabetes have a general practitioner as their primary diabetes health care professional,
286 and the remaining persons with more complex treatment courses receive care in endocrinological
287 outpatient clinics.³²

288 The diabetes health professionals are responsible for ensuring annual assessment of HbA1c, LDL-
289 cholesterol, UACR, and foot- and eye screening among persons with diabetes. The diabetes health
290 professionals prescribes an annual assessment of HbA1c, LDL-cholesterol, and UACR at a
291 laboratory. The diabetes health professionals do encourage their patients to book an appointment for
292 foot- and eye screening, but the person with diabetes have to book appointments with the podiatrist
293 and ophthalmologist themselves. The cost of foot screenings is partly subsidized, and
294 ophthalmologists often have long waiting times. Mental health services in Denmark are responsible
295 for annual assessment of HbA1c and LDL-cholesterol among persons receiving active psychiatric
296 treatment who have not already received this in primary care This is to monitor for side effects of
297 the psychiatric treatment.

298 More pronounced difference for UACR and eye screening among persons with SMI could therefore
299 be due to the additional barriers in obtaining these assessments. UACR assessment obviously

300 requires the individual to collect a urine sample, which persons often find unpleasant or difficult
301 and needs extra encouragement from the health professionals. Persons with SMI may face more
302 challenges with providing the urine sample or the diabetes health professional may be more
303 reluctant to encourage sample collection in this group. Eye screening is conducted by an
304 ophthalmologist, which could be far away from the persons' home and the persons will have to
305 book the appointment themselves. Persons with SMI may be less willing to receive care in a less
306 familiar setting and to book and remember to attend the appointment themselves.

307 We found that among persons with assessments, those with SMI were more likely to have
308 recommended HbA1c levels. These findings could be because a lower proportion with SMI
309 received care in the first place. It is likely, that a smaller proportion receiving care often results in
310 improved achievement of treatment targets, as the persons receiving care may be healthier than
311 persons not receiving care. Another possible explanation could be that both diabetes and psychiatric
312 health professional pay attention to and react to the results of the HbA1c assessments. On the other
313 hand, we found that any SMI and major depression were associated with lower achievement of
314 recommended LDL-cholesterol.

315 We found a difference in receipt of diabetes care and achievement of treatment targets across SMI
316 diagnoses highlighting the importance of analyzing each diagnosis separately. The difference may
317 indicate diverse awareness or barriers within different diagnoses. However, the reasons need to be
318 explored further and addressed.

319 *Comparison with previous studies*

320 In this study of persons with type 2 diabetes, we found that 8% had co-existing SMI, 6% major
321 depression, 3% schizophrenia, and 1% bipolar disorder. The prevalence was higher in our study
322 compared to a Scottish study reporting that of all persons with type 2 diabetes, 1%, 0.5%, and 3%

323 had a hospital admission for respectively schizophrenia, bipolar disorder, or major depression.¹⁵
324 The higher prevalence in our study is likely due to the inclusion of both in and out-patient contacts.
325 Opposite this, a systematic review found that the prevalence of depression was 18% in persons with
326 type 2 diabetes³³ However, they included mild, moderate, and major depression, whereas we only
327 included major depression, which can explain the differences in prevalence.

328 *Receipt of care*

329 In line with our results, previous studies have reported a lower receipt of care for assessments of
330 HbA1c, LDL-cholesterol, UACR, and eye screening^{11,12} and one study found no difference in foot
331 screening for persons with and without schizophrenia.⁹ Contrary to our findings, other studies found
332 no difference in receipt of assessment of HbA1c,^{7,9,16} LDL-cholesterol^{8,9} and no difference^{8,16} or
333 marginally lower odds of foot- or eye screening and receipt of UACR assessment⁹ in persons with
334 SMI. However, one study found a higher number of LDL-cholesterol assessments in persons with
335 SMI¹⁶ and another study found higher odds of UACR assessment.¹⁶ A recent Scottish study found
336 that persons with SMI were more likely to receive HbA1c, LDL-cholesterol, UACR, and foot- and
337 eye screening the first year after type 2 diabetes diagnosis compared to persons without,¹⁵ which is
338 contrary to our results. However, when examining the quality of care over 10 years, persons with
339 SMI were less likely to receive eye screening, which was in line with our results.

340 The difference between our results and previous studies could be due to differences in
341 methodology, such as data sources and the definition of study populations. The definition of the
342 SMI population differed in our study and previous studies.^{7,8,16} For example, one study defined SMI
343 as schizophrenia or bipolar disorder whereas we also included major depression.¹⁶ A Scottish study
344 only based the definition of SMI on inpatient contacts,¹⁵ whereas we also included outpatient
345 contacts.

346 The definition of the diabetes population also differed in our study compared to previous studies.
347 Our study included complete data for all persons with type 2 diabetes from outpatient clinics and
348 primary care. Whereas a Scottish study only included persons with newly diagnosed type 2
349 diabetes,¹⁵ a UK study only included persons with type 2 diabetes treated in selected general
350 practices,¹⁶ and a Danish study included persons with type 1 or type 2 diabetes.⁹

351 The differences between the Danish and Scottish studies could also be an expression of better
352 quality of diabetes care in persons with SMI in Scotland. In Scotland, the pay-performance scheme
353 for general practitioners offered financial incentives to promote good practice, including assessing
354 cardiometabolic risk factors in persons with SMI.³⁴ In Scotland, foot screening is expected to be
355 performed as part of the annual review of persons with diabetes and invitations to eye screening on
356 a specified date and in a specified place are sent to persons with diabetes, with the opportunity to
357 change the appointment by telephone. In Denmark, general practitioners do not have the same
358 financial incentives to promote care and persons with diabetes are expected to arrange their own
359 foot and eye screening. However, whether the differences are due to differences in methodology or
360 health care should be addressed in future studies.

361 *Achievement of treatment targets*

362 Two previous studies found that SMI was associated with higher proportions of persons achieving
363 good glycemic control,^{7,16} which was in line with our findings. Opposite this, one study found lower
364 proportions achieving good glycemic control¹⁰ and two studies found no difference.^{13,14} In line with
365 our findings, one previous study found that depression was associated with better achievement of
366 lipid targets,¹⁴ while two other studies found no difference between persons with and without
367 SMI.^{10,16} Two of the previous studies were based on crude data,^{7,13} whereas we controlled for

368 possible confounders and examined repeated measures over time in mixed-effect models which
369 could explain the differences in findings.

370 *Strengths and limitations*

371 Our study has several strengths. The use of different nationwide registers made it possible to
372 construct a nationwide prospective study with data on almost all persons in Denmark with type 2
373 diabetes with and without SMI, with no selection due to health coverage or participation in a
374 survey. This means that the findings are generalizable to Denmark's entire type 2 diabetes
375 population. The cohort of persons with type 2 diabetes is based on the diabetes register, which is
376 constructed using five national registers.¹⁹ In Denmark, around 80% of persons with type 2 diabetes
377 are treated in general practice and therefore do not have a diagnosis in the National Patient
378 Register.¹⁹ However, these persons are captured in the diabetes register, as it uses diabetes-defining
379 information from other registers such as use of podiatry in the Danish National Health Service
380 Registry, diabetic medication in the Danish National Prescription Registry, and eye examination in
381 the Danish Registry of Diabetic Retinopathy.²³ Despite the strength of including persons with type 2
382 diabetes treated in general practice, we were not able to capture persons with undiagnosed diabetes.
383 In Denmark, no systematic screening for type 2 diabetes exists nor for persons with SMI. Whether
384 or not more person with SMI have undiagnosed diabetes is difficult to predict.

385 We used complete data on quality indicators from several registers with high coverage and high
386 data validity.^{17,19,21,24,29,30} For example, this included data on HbA1c, LDL-cholesterol, and UACR
387 from the National Laboratory Database, which provides information on all laboratory tests in the
388 entire study population except for persons living in Denmark Central Region, who was excluded for
389 these analyses. The longitudinal nature of the data allowed us to examine the quality of diabetes
390 care over five years and account for changes over time. Moreover, we examined receipt of care and

391 achievement of treatment targets which provided a more nuanced exploration of the quality of
392 diabetes care, whereas previous studies have primarily focused on receipt of care.^{8,9,11,12,15,16}
393 Additionally, we examined the quality of diabetes care in persons with type 2 diabetes with and
394 without any SMI and specific diagnoses of SMI, which allowed us to examine differences overall
395 and across different SMI diagnoses. Lastly, we could distinguish between the type of diabetes, thus
396 including persons with type 2 diabetes only. Several former studies have not distinguished between
397 type 1 and type 2 diabetes.^{9,12,16}

398 Our study also has some limitations. Since SMI was ascertained using in- and outpatient psychiatric
399 hospital records, we did not include persons with SMI who received a diagnosis in primary care or
400 at a private psychologist. However, as most persons with a suspected SMI would be referred to a
401 psychiatric hospital, we do not believe this would exclude a large proportion with SMI. Although
402 we included persons from in- and outpatient psychiatric records, it was impossible to distinguish the
403 ascertainment route, so we could not examine differences in quality indicators in different severity
404 of SMI. Our study only included persons with more severe cases of depression, referred to as major
405 depression, requiring treatment in the secondary health care sector, so the findings might not be
406 generalizable to persons with less severe depression treated in primary care by a general practitioner
407 or a psychologist. Potential confounders and mediators were identified using directed acyclic graphs
408 and based on prior evidence. However, we cannot reject that a different directed acyclic graph
409 would have changed the structure of the analyses. We excluded around 9% of our study population
410 due to missing information on the level of education. When comparing persons with and without
411 missing information on education, we found that persons with missing information were older, had
412 longer duration of diabetes and were more often migrants (Supplementary Table 1). These persons
413 might also receive a lower quality of diabetes care³⁰ and thus this exclusion might have introduced
414 selection bias, which could result in some underestimation of our findings.

415 There was a large proportion of missingness in achievement of treatment targets, with 13-19% of
416 persons without any measurements during follow-up. We were only able to examine differences in
417 persons with values of HbA1c and LDL-cholesterol, where a higher proportion with SMI had
418 missing values. This means that we may have introduced selection bias in the results on achieving
419 treatment targets.

420 Investigation of the role of the well-recognized metabolic effects of treatments for SMI was beyond
421 the scope of this study and requires further research, particularly among persons with diabetes. Data
422 on other important processes of care and treatment targets including blood pressure and body mass
423 index were not available in this study. Further research is required to address whether more
424 stringent treatment targets for sub-groups of the study population for example persons with a history
425 of cardiovascular disease or albuminuria were met and whether recommended lipid-lowering or
426 diabetes treatments were prescribed appropriately.

427 **Conclusions**

428 Persons with SMI had a markedly lower receipt of assessment of HbA1c, LDL-cholesterol, UACR,
429 and eye screening, compared to persons without SMI, with the most pronounced differences for
430 UACR and eye screening. Due to high coverage of HbA1c and LDL-cholesterol assessments and
431 eye screening, the finding related to UACR assessments may be of highest clinical importance.

432 Among persons with assessments, we found that persons with SMI had better achievement of
433 recommended levels of HbA1c and lower achievement of recommended LDL-cholesterol levels.
434 These results may reflect persons with SMI who are healthier and have fewer complications than
435 those who did not receive assessments.

436 Our findings highlight the need to develop effective interventions to reduce marked inequalities in
437 diabetes care between persons with and without SMI. The pronounced differences could contribute

438 to higher risk of complications and mortality in persons with diabetes and SMI compared to persons
439 with diabetes only.

440 **Contributors**

441 LK, SHS, MEB, DLH, MEJ and GSA led the conception, design, and planning of the study. LK and
442 SHS lead data management and analyses with support from LJD and GSA. LK led drafting of the
443 work with support from SHS. All authors contributed to the interpretation of the data and revising
444 the manuscript critically for important intellectual content and read and approved the final
445 manuscript. LK and SHS are responsible for the overall content of the manuscript as guarantors.
446 LK, SHS, LJD and GSA had access to the data and LK, SHS and GSA controlled the decision to
447 publish.

448 **Data sharing statement**

449 The data used in this study are held at Statistics Denmark's servers. The data are confidential for
450 data privacy reasons and therefore, cannot be made publicly available. Access to data requires an
451 application and permission from the different owners of the registers.

452 **Declaration of interests**

453 LK: holds shares in Novo Nordisk A/S, SHS: none, LJD: none, CAJ: none, SHW: none, MEB:
454 none, DLH: None, MEJ: holds shares in Novo Nordisk, has received research grants from AMGEN,
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460 **Prior presentation**

461 Parts of this study were presented at the European Diabetes Epidemiology Group Annual meeting in
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548 **Table 1. Definition of quality indicators for diabetes care and data sources.**

Quality indicators	Definition of indicators	Interval	Data sources
Receipt of care	Annual assessment of HbA1c Numerator: Persons with a HbA1c assessment Denominator: Persons with type 2 diabetes with and without SMI*	15 months	DADR NLD DNHSR
	Annual assessment of LDL-cholesterol Numerator: Persons ≥ 30 years with a LDL-cholesterol assessment Denominator: Persons ≥ 30 years old with type 2 diabetes with and without SMI†	15 months	DADR NLD
	Annual assessment of UACR Numerator: Persons with a UACR assessment Denominator: Persons with type 2 diabetes with and without SMI*	15 months	DADR NLD
	Annual foot screening Numerator: Persons with a foot screening Denominator: Persons with type 2 diabetes with and without SMI	15 months	DADR DNHSR
	Eye screening every second year Numerator: Persons with an eye screening Denominator: Persons with type 2 diabetes with and without SMI	27 months	DADR DNHSR Diabase
Achievement of the treatment target	Recommended HbA1c levels Numerator: Persons with HbA1c levels ≤ 53 mmol/mol Denominator: Persons with an assessment of HbA1c with type 2 diabetes with and without SMI*	15 months	DADR NLD
	High HbA1c levels Numerator: Persons with HbA1c levels ≥ 70 mmol/mol Denominator: Persons with an assessment of HbA1c with type 2 diabetes with and without SMI*	15 months	DADR NLD
	Recommended LDL-cholesterol levels Numerator: Persons ≥ 30 years with LDL-cholesterol levels ≤ 2.5 mmol/l Denominator: Persons ≥ 30 years with an assessment †	15 months	DADR NLD

549 *Population excluding the Central Denmark Region

550 †Population ≥ 30 years excluding the Central Denmark Region

551 Abbreviations: HbA1c = Hemoglobin A1c; SMI = severe mental illness; LDL-cholesterol = low-density lipoprotein
552 cholesterol; UACR = Urine albumin creatinine ratio; DADR = The Danish Adult Diabetes Registry; NLD = the
553 National Laboratory Database; DNHSR = the Danish National Health Service Registry; Diabase = The Danish Registry
554 of Diabetic Retinopathy

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Table 2. Characteristics of persons with any SMI, schizophrenia, bipolar disorder, major depression or without any SMI, schizophrenia, bipolar, and major depression at the start of follow-up

	Without any SMI (n=199,663)	Any SMI (n=16,874)	Schizophrenia (n=6,080)	Bipolar disorder (n=2,259)	Major depression (n=12,155)
Age at start of follow-up, mean (\pm SD) years	66.7 (12.2)	62.2 (13.5)	59.6 (13.2)	63.0 (12.0)	63.0 (13.6)
Women, no. %	88,863 (44.5)	9,347 (55.4)	3,171 (52.2)	1,288 (57.0)	7,069 (58.2)
Diabetes duration at start of follow-up, median (IQR)	6.2 [3.2; 11.4]	5.9 [3.0; 11.1]	6.0 [3.1; 11.2]	6.0 [3.2; 11.0]	5.9 [3.0; 11.1]
Education at type 2 diabetes diagnosis, no.(%)					
Low	76,066 (38.1)	7,414 (48.6)	2,983 (49.1)	870 (38.6)	5,149 (42.4)
Medium	75,454 (37.8)	5,413 (35.5)	1,744 (28.7)	789 (34.9)	4,010 (33.0)
High	29,262 (14.7)	2,441 (16.0)	742 (12.2)	434 (19.2)	1,869 (15.4)
Missing, no (%)	18,881 (9.5)	1,606 (9.5)	611 (10.0)	166 (7.3)	1,127 (9.2)
Migrant status, no. (%)					
Danish	177,237 (88.8)	14,463 (85.7)	5,114 (84.1)	2,074 (91.8)	10,483 (86.3)
Western decent	4,901 (2.5)	436 (2.6)	151 (2.5)	66 (2.9)	307 (2.5)
Non-Western decent	17,525 (8.8)	1,975 (11.7)	815 (13.4)	119 (5.3)	1,365 (11.2)
Type of SMI, no. (%)					
Schizophrenia		6,080 (36.0)	6,080 (100.0)	883 (39.1)	1,952 (16.1)
Bipolar disorder		2,259 (13.4)	883 (14.5)	2,259 (100.0)	1,251 (10.3)
Major depression		12,155 (72.0)	1,952 (32.1)	1,251 (55.4)	12,155 (100.0)
Receipt of care during the entire follow-up:					
HbA1c assessment, mean (\pm SD)*	0.87 (0.34)	0.85 (0.36)	0.84 (0.36)	0.85 (0.36)	0.85 (0.35)
UACR assessment, mean (\pm SD)*	0.55 (0.50)	0.46 (0.50)	0.42 (0.49)	0.43 (0.49)	0.48 (0.50)
LDL-cholesterol assessment, mean (\pm SD)†	0.81 (0.39)	0.78 (0.41)	0.78 (0.42)	0.79 (0.41)	0.79 (0.41)
Foot screening, mean (\pm SD)	0.50 (0.50)	0.46 (0.50)	0.46 (0.50)	0.50 (0.50)	0.46 (0.50)
Eye screening, mean (\pm SD)	0.67 (0.47)	0.56 (0.50)	0.53 (0.50)	0.54 (0.50)	0.57 (0.49)
Achieving treatment targets in persons with assessments during the entire follow-up					
HbA1c \leq 53 mmol/mol, mean (\pm SD)‡	0.59 (0.49)	0.60 (0.49)	0.61 (0.49)	0.65 (0.48)	0.60 (0.49)
HbA1c \geq 70 mmol/mol, mean (\pm SD)‡	0.13 (0.33)	0.15 (0.36)	0.16 (0.36)	0.12 (0.33)	0.15 (0.36)
LDL-cholesterol \leq 2.5 mmol/l, mean (\pm SD)§	0.76 (0.43)	0.72 (0.45)	0.73 (0.44)	0.72 (0.45)	0.71 (0.46)

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*Population excluding the Central Denmark Region (n=169,100)

†Population \geq 30 years excluding the Central Denmark Region (n=168,176)

‡ Among the population with assessments excluding the Central Denmark Region: without any SMI n=135,458 (87% of the population), with any SMI n=10,961 (84% of the population), with schizophrenia n=4,066 (83% of the population), with bipolar disorder n=1,396 (82% of the population), with major depression n=7,837 (85% of the population)

§ Among the population with assessments \geq 30 years excluding the Central Denmark Region: without any SMI n=133,769 (86% of the population), with any SMI n=10,740 (83% of the population), with schizophrenia n=3,954 (82% of the population), with bipolar disorder n=1,376 (81% of the population), with major depression n=7,693 (84% of the population)

Abbreviations: SMI = Severe mental illness; HbA1c = Hemoglobin A1c; LDL-cholesterol = low-density lipoprotein cholesterol; UACR = Urine albumin creatinine ratio; SD = Standard deviation; IQR = Interquartile range

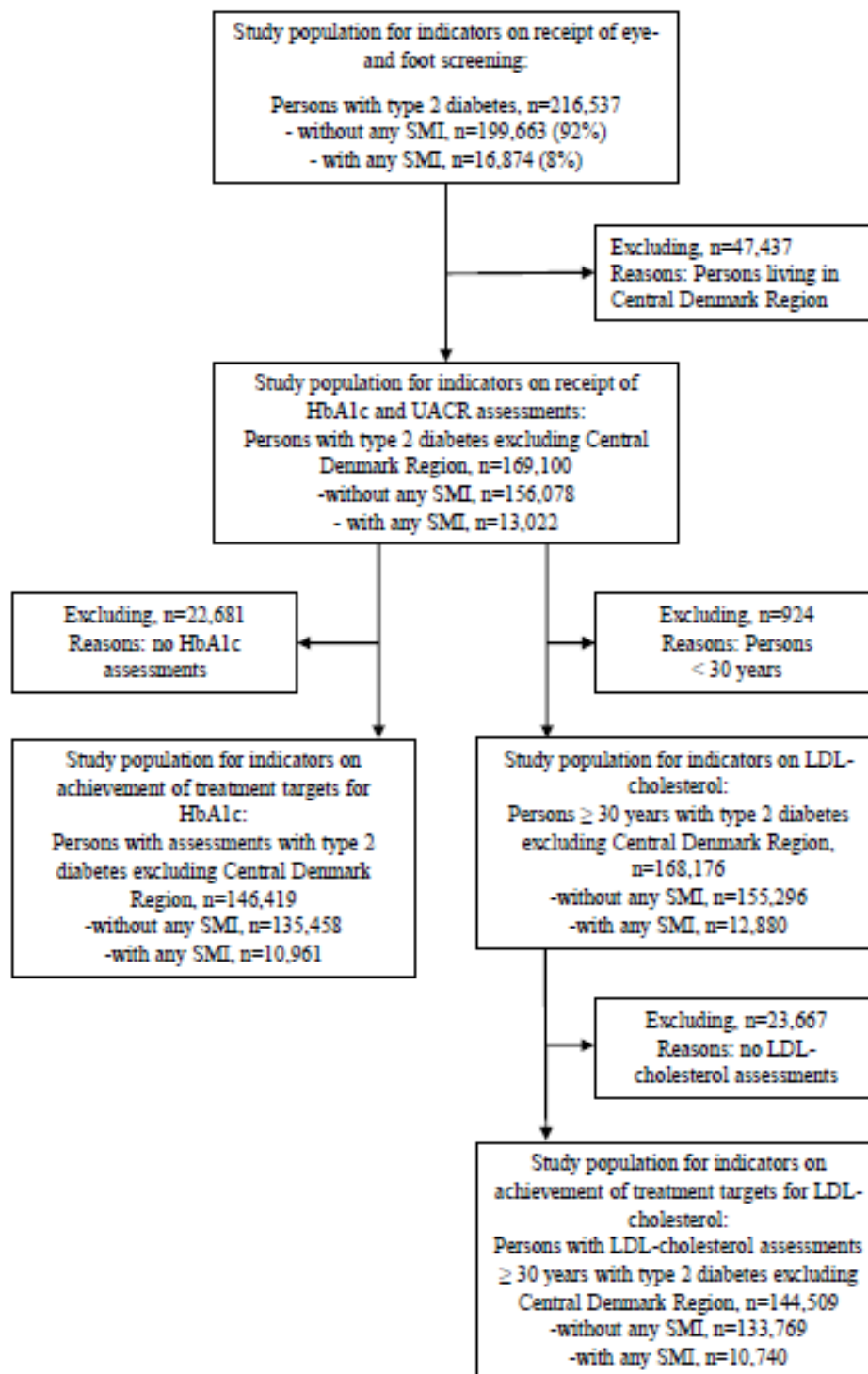
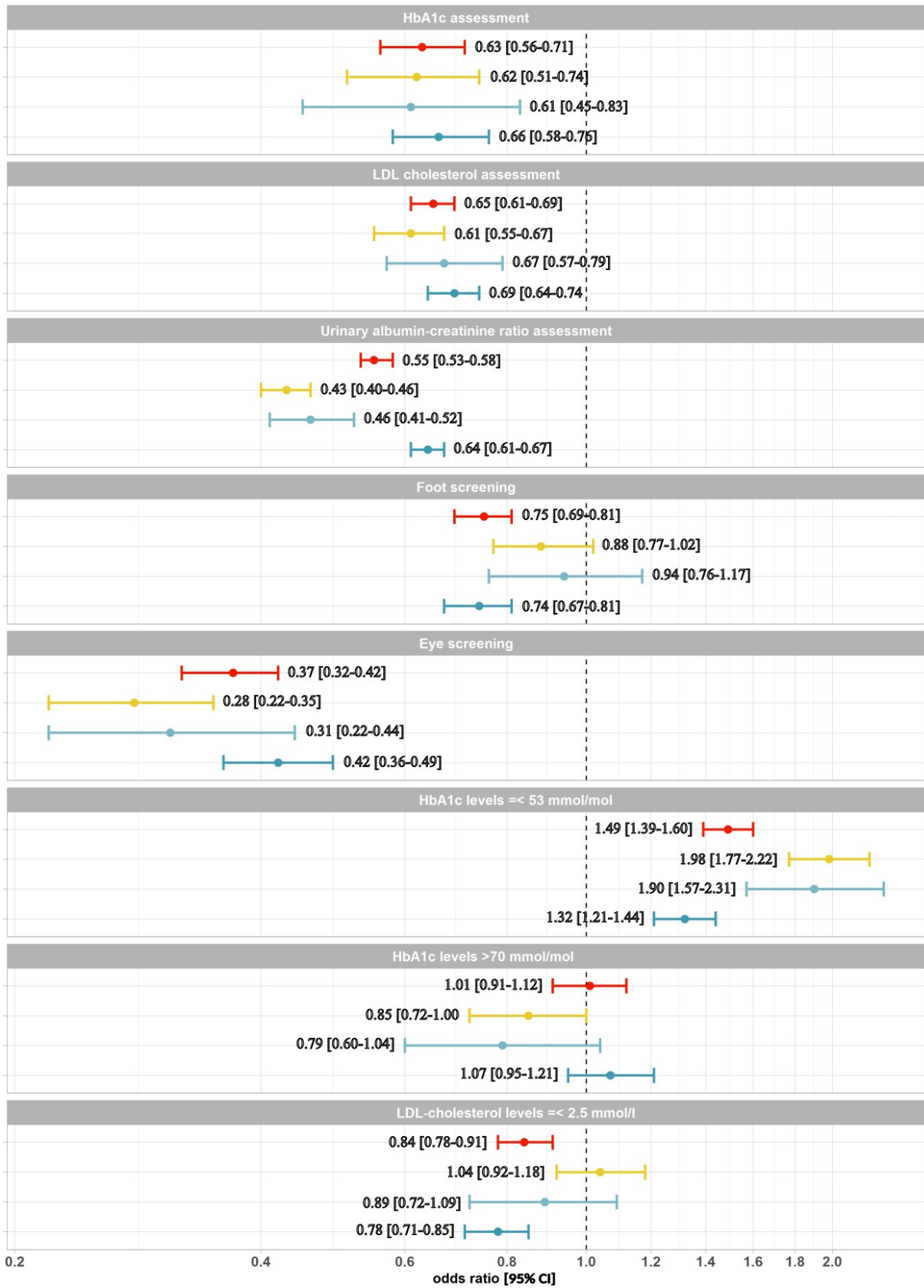


Figure 1. Flowchart of study populations for each quality indicator.
Abbreviations: SMI = severe mental illness; HbA1c = Hemoglobin A1c; LDL-cholesterol = low-density lipoprotein cholesterol; UACR = Urine albumin creatinine ratio

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◆ Any SMI
 ◆ Schizophrenia
 ◆ Bipolar disorder
 ◆ Depression

Model 2 adjusted for age, sex, diabetes duration, calendar time, education and migrant status

576 **Figure 2. Odds Ratios (95% CI) for receipt of care and achievement of treatment targets in persons with any**
577 **SMI, schizophrenia, bipolar disorder, or major depression compared to persons without any SMI,**
578 **schizophrenia, bipolar disorder, or major depression, respectively (model 2*).**

579 *Model 2 adjusted for age, sex, diabetes duration, calendar time, education, and migrant status.

580 † In persons with assessments.

581 Abbreviations: SMI = Severe mental illness; HbA1c = Hemoglobin A1c; LDL-cholesterol = low-density lipoprotein
582 cholesterol; UACR = Urine albumin creatinine ratio; CI = confidence interval.