

1 **In a large primary care dataset, the CHA₂DS₂-VAsC score leads to almost**
2 **universal recommendation for anticoagulation treatment in those aged ≥65**
3 **years with atrial fibrillation**

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14 **Running title: CHADS₂ vs CHA₂DS₂-VAsC in AF patients aged ≥65+ years**

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2 Abstract

3 From 2012-16, the oral anticoagulant (OAC) treatment determination for atrial fibrillation (AF) patients
4 moved from the CHADS₂ to the CHA₂DS₂-VASc score. A dataset collated during previous studies (2011-
5 2019) with deidentified data extracted from clinical records at a single-timepoint for active adult
6 patients (n=285,635; 8,294 with AF) attending 164 general practices in Australia was analysed. The
7 CHA₂DS₂-VASc threshold (score ≥ 2 men/ ≥ 3 women) captured a significantly higher proportion than
8 CHADS₂ ≥ 2 (all ages: 85% vs 68%, $p < 0.0001$; ≥ 65 years: 96% vs 76%, $p < 0.0001$). The change from CHADS₂
9 to CHA₂DS₂-VASc resulted in a significantly higher proportion of AF patients being recommended OAC,
10 driven by the revised scoring for age.

11 **Keywords:** stroke prevention, general practice, atrial fibrillation

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1 1. Introduction

2 Atrial fibrillation (AF) is the most common arrhythmia, and can cause a 5-fold increase in stroke risk.¹
3 However, for AF patients at high risk, treatment with oral anticoagulants (OAC) risk can reduce stroke
4 risk by almost two-thirds.²

5 Several different scores and risk stratification tools have been created to predict stroke and
6 thromboembolism risk in AF patients, and to identify high risk patients who should receive OAC
7 treatment. The CHADS₂ score gives 1 point each for a history of congestive heart failure (C),
8 hypertension (H), age \geq 75 years (A) and diabetes (D), and 2 points for a history of stroke or transient
9 ischaemic attack (TIA).³ Between 2010-16, the OAC treatment recommendations in key international
10 guidelines moved from using a CHADS₂ score \geq 2 to a CHA₂DS₂-VASc score \geq 2 in men or \geq 3 in women.⁴⁻⁶
11 Instead of focusing on identifying high risk patients, the CHA₂DS₂-VASc aimed to identify truly low risk AF
12 patients who did not need OAC treatment. The CHA₂DS₂-VASc score⁷ revised the scoring for age as 1
13 point for 65-74 years or 2 points for \geq 75 years, and added 1 point each for female sex and vascular
14 disease history.

15 In 2018, a “sexless” version of the CHA₂DS₂-VASc, called the CHA₂DS₂-VA, was introduced in the
16 Australian guidelines.⁸ The aim was to simplify the CHA₂DS₂-VASc treatment thresholds by removing the
17 sex category from the score entirely, instead of using differing treatment thresholds for men and
18 women. Thus, the Australian guidelines recommend OAC treatment for AF patients with a CHA₂DS₂-
19 VA \geq 2, which is equivalent to the CHA₂DS₂-VASc threshold of \geq 2 in men or \geq 3 in women.⁸

20 This study aimed to compare the proportion of AF patients (and controls without AF) for whom OAC
21 treatment was recommended under the CHADS₂ and CHA₂DS₂-VASc thresholds, and to look at reasons
22 for any differences, using a large dataset from Australian general practice.

23 2. Methods

24 Analyses were conducted on a large Australian general practice dataset collated during previous
25 cardiovascular quality improvement and AF screening studies (2011-2019).⁹⁻¹³ Each of these studies had
26 ethics approval. The dataset comprised deidentified data extracted from the clinical records system at a
27 single baseline timepoint for ‘active’ adult patients from 164 practices. Active patients were defined as

1 those aged ≥ 18 years who had attended the practice at least three times in the past 2 years, and at least
2 once in the past 6 months.

3 CHADS₂ and CHA₂DS₂-VASc scores were calculated for those with sufficient data available. For patients
4 with AF, the proportion recommended OAC under CHADS₂ \geq and CHA₂DS₂-VASc ≥ 2 (men) or ≥ 3 (women)
5 was compared. Chi-square tests were used to compare proportions and two-tailed t-tests were used to
6 compare means with $p < 0.05$ considered significant. Analyses were done in Microsoft Excel and
7 Graphpad Prism.

8 3. Results

9 There were records for 340,463 patients. Of these patients, there were 8,294 with AF and sufficient data
10 available to calculate CHADS₂ and CHA₂DS₂-VASc scores. Baseline demographics for the study population
11 are shown in Table 1.

12 **Table 1 – baseline demographics of study population**

Measure	Patients with AF
AF patients with sufficient data to calculate stroke risk scores	N=8,294
Male	54%
Congestive heart failure	14%
Hypertension	89%
Age (mean)	75.4 years
Diabetes	23%
Stroke / transient ischaemic attack	13%
Vascular disease	3%
Current smoker	6%
Height (mean)	168cm
Body mass index (mean)	29.1 kg/m ²

13 AF, atrial fibrillation

14 Among adult AF patients of all ages, the CHA₂DS₂-VASc threshold captured a significantly higher
15 proportion of patients than the CHADS₂ threshold (85% vs 68%, $p < 0.0001$) (Table 2). Similarly, among AF

1 patients aged ≥ 65 years, the CHA₂DS₂-VASc threshold captured a significantly higher proportion than
 2 CHADS₂ (96% vs 76%, $p < 0.0001$). Breaking this down further, the largest absolute difference between
 3 CHA₂DS₂-VASc and CHADS₂ was in those aged 65-74 years (87% vs 36%, $p < 0.0001$), with a smaller
 4 absolute difference in patients aged ≥ 75 years (100% vs 95%, $p < 0.0001$).

5 The vast majority of older patients (≥ 65 years) who were captured by CHA₂DS₂-VASc but not CHADS₂
 6 qualified on the basis of age alone, with only 1.4% qualifying because of age 65-74 years and vascular
 7 disease history.

8 In contrast, there was almost no difference in the proportion of patients aged < 65 years recommended
 9 OAC using the CHA₂DS₂-VASc and CHADS₂ scores. There were only 3 additional patients aged < 65 years
 10 who qualified for OAC using CHA₂DS₂-VASc due to vascular disease history.

11 **Table 2 – Proportion of atrial fibrillation patients recommended oral anticoagulant treatment using**
 12 **CHADS₂ and CHA₂DS₂-VASc scores**

Age group	Patients with AF, n	CHADS ₂ ≥ 2 , n (%)	CHA ₂ DS ₂ -VASc ≥ 2 (men) or ≥ 3 (women) n (%)	Difference between CHA ₂ DS ₂ -VASc and CHADS ₂ OAC recommendation, n
<65 years	1,376	399 (29%)	402 (29%)	3
≥ 65 years	6,918	5,237 (76%)	6,632 (96%)*	1,395
65-74 years	2,233	804 (36%)	1,947 (87%)*	1,143
75+ years	4,685	4,433 (95%)	4,685 (100%)*	252
Total (all ages)	8,294	5,636 (68%)	7,034 (85%)*	1398

13 * $p < 0.0001$ CHA₂DS₂-VASc vs CHADS₂; AF, atrial fibrillation

14 4. Discussion

15 Our results show that a significantly higher proportion of AF patients are recommended OAC treatment
 16 using the CHA₂DS₂-VASc threshold compared to the CHADS₂. This difference is driven almost entirely by
 17 the revised scoring for age. In patients aged ≥ 65 years with AF, almost all (96%) were recommended
 18 OAC treatment under CHA₂DS₂-VASc.

1 These findings are consistent with earlier analyses by Lip et al, which compared different stroke risk
2 scores across a subgroup of 1084 AF patients from the EuroHeart Survey.⁷ They found that compared to
3 the CHADS₂, the CHA₂DS₂-VASc score was more likely to categorise a patient as high risk (76% vs 18%)
4 and less likely to categorise a patient at low risk (20% vs 9%).

5 Our findings also reinforce the argument that opportunistic AF screening recommendations in those ≥65
6 years^{14 15} are justified, as almost all new cases identified are likely to be eligible for OAC treatment. In
7 addition, high rates of associated vascular pathology in AF patients suggest that additional risk factor
8 management strategies are also justified, including promotion of exercise, smoking cessation, and
9 treatment of associated conditions such as hypertension and diabetes,¹⁶ as now recommended in
10 guidelines.¹⁵

11 There could be an argument for simplifying the treatment message for general practitioners (GPs),
12 which may reduce barriers to treatment and further improve treatment rates. This is the approach taken
13 by the Canadian guidelines, which automatically recommend OAC treatment for all AF cases ≥65 years.¹⁷
14 While OAC treatment rates have improved in many countries (up to 70-80%),^{9 18 19} there are still
15 important gaps, especially in GPs' confidence in prescribing treatment. A recent qualitative meta-
16 synthesis looking at clinicians' views on prescribing OAC for AF patients found that clinicians had
17 concerns with the format of guidelines, and that many primary care physicians had a lack of knowledge
18 of the CHA₂DS₂-VASc score, stroke risks and how to individualise treatment.²⁰ The authors concluded
19 that multi-disciplinary interventions, including nurses and anticoagulation clinic staff, were needed to
20 improve clinicians' confidence in prescribing OAC treatment.²⁰ However, we acknowledge that
21 whichever threshold is selected involves trade-offs between potential over- and under-treatment.
22 Perhaps the treatment question for those aged ≥65 years could be less "for whom OAC treatment is
23 indicated" (which is almost all AF patients in this age group) and instead, as the ESC guidelines suggest,
24 to identify those with a reversible cause of increased bleeding risk that should be managed.^{8 15}

25 This study has several limitations. First, as the data were limited to 'active patients', it may be biased
26 towards patients who have chronic conditions and attend their general practice more often. That is,
27 patients with more comorbidities may be more strongly represented.

28 In addition, the data extracted from practices was routinely collected general practice data with some
29 inherent limitations. For example, an AF diagnosis may have been recorded as free-text notes instead of

1 using the coded list, and would therefore not be counted as an AF patient in our analyses. This may
2 underestimate the true proportion of patients in the dataset with AF.

3 In conclusion, the change in OAC recommendation threshold from CHADS₂≥2 to CHA₂DS₂-VASc≥2 (men)
4 or ≥3 (women) in international guidelines resulted in a significantly higher proportion of AF patients
5 being recommended OAC treatment, driven by the revised scoring for age. In those ≥65 years, almost all
6 were recommended treatment under CHA₂DS₂-VASc. There is an argument for simplifying the
7 treatment message for general practitioners and practice nurses to recommending OAC for all AF
8 patients aged ≥65, which may reduce barriers and improve treatment rates.

9

10 [Competing interests](#)

11 JJO, KG, NL and BF report investigator-initiated grants to their institution from Pfizer/BMS. BF also
12 reports prior fees and advisory board honoraria from Bayer Pharma AG, Daiichi-Sankyo, Omron and
13 Pfizer/BMS. LN reports speaker fees from Daiichi-Sankyo, grants and honoraria from Pfizer/BMS, Bayer
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21 [Data availability statement](#)

22 Some data are available from the corresponding author on reasonable request.

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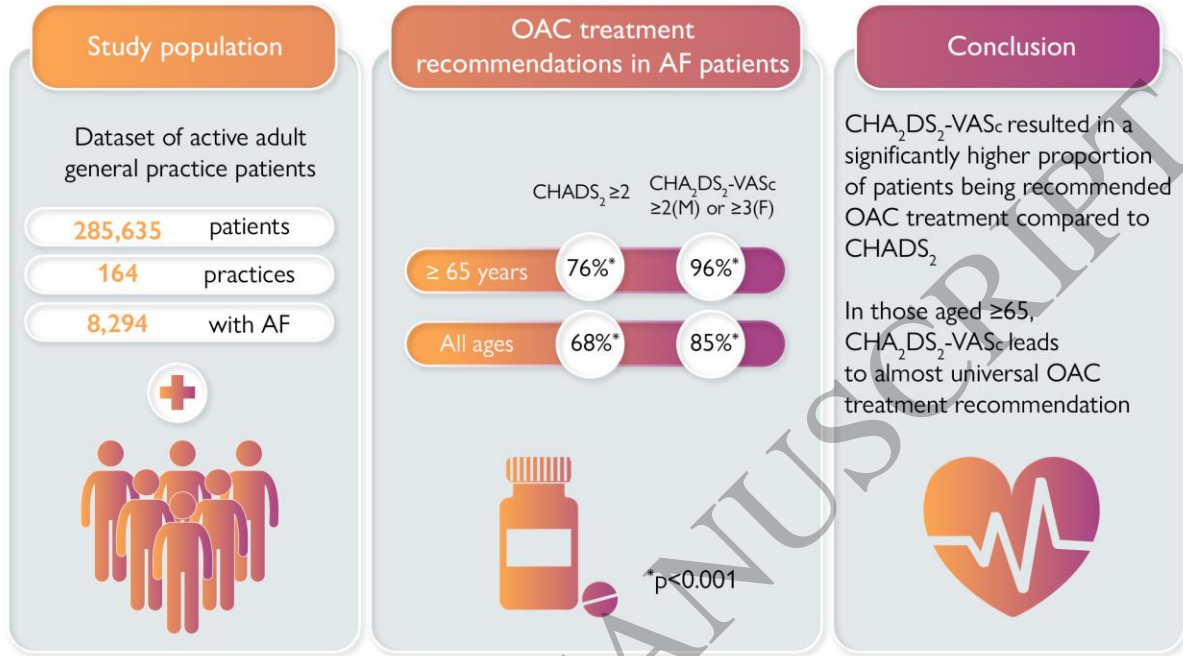
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1 Highlights box – novelty

- 2 • This is the first study to look at how the change in oral anticoagulant (OAC) treatment guidelines
3 for those with atrial fibrillation (AF) from the CHADS₂ score to the CHA₂DS₂-VASc score have
4 affected the number of patients recommended OAC in Australia.
- 5 • The change in OAC recommendation threshold from CHADS₂≥2 to CHA₂DS₂-VASc ≥2 (men) or ≥3
6 (women) resulted in a significantly higher proportion of AF patients being recommended OAC
7 treatment, driven by the revised scoring for age.
- 8 • There is an argument for simplifying the treatment message for general practitioners and
9 practice nurses to recommending OAC for all AF patients aged ≥65, which may reduce barriers
10 and improve treatment rates.

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CHA₂DS₂-VASc score to recommend oral anticoagulation (OAC)
treatment in patients aged ≥65 years with atrial fibrillation (AF)



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Graphical Abstract