

1 **“An aerogel obtained from chemo-enzymatically oxidized fenugreek**
2 **galactomannans as a versatile delivery system”**

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36 **Running title:** *Aerogel from oxidized fenugreek galactomannans*

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39 **Abstract**

40 We describe a new aerogel obtained from laccase-oxidized galactomannans of the leguminous plant
41 fenugreek (*Trigonella foenum-graecum*) and suggest its potential practical use.

42 Laccase/TEMPO oxidation of fenugreek in aqueous solution caused a viscosity increase of over
43 fifteen-fold. A structured, elastic, stable hydrogel was generated, due to formation of carbonyl groups
44 from primary OH of galactose side units and subsequent establishment of hemiacetalic bonds with
45 available free hydroxyl groups.

46 Upon lyophilization of this hydrogel, a water-insoluble aerogel was obtained (EOLFG), capable of
47 uptaking aqueous or organic solvents over 20 times its own weight. The material was characterized
48 by scanning electron microscopy, FT-IR, elemental analysis and ¹³C CP-MAS NMR spectroscopy
49 and its mechanical properties were investigated.

50 To test the EOLFG as a delivery system, the anti-microbial enzyme lysozyme was used as model
51 active principle. Lysozyme was added before or after formation of the aerogel, was entrapped or
52 absorbed in the gel, retained and released in active form, as proven by its hydrolytic glycosidase
53 activity on lyophilized *Micrococcus lysodeikticus* cells wall peptidoglycans.

54 This new biomaterial, composed of a chemo-enzymatically modified plant polysaccharide, might
55 represent a versatile, biocompatible “delivery system” of active principles in food and non-food
56 products.

57

58 **Keywords:** galactomannans, fenugreek, laccase oxidation, aerogel, lysozyme, delivery system.

59

60 **Abbreviations:** ABTS, 2,2'-azino-bis(3-ethyl-benzothiazoline-6-sulphonic acid); FG, fenugreek gum;
61 GaO, galactose oxidase; GM, galactomannans; Lcc, laccase; LMS, laccase-mediator system; PBS,
62 phosphate buffer saline; TEMPO, 2,2,6,6-Tetramethyl-1-piperidinyloxy radical.

63 ***I. Introduction***

64 Development of new biomaterials and of “functionalized polymers”, namely polysaccharides from
65 renewable sources, by means of mild, enzymatic reactions, is of great interest and of great practical
66 potential for applications in biomedical and industrial fields, including packaging (Mitrus, Vojtowicz
67 & Moscicki, 2009).

68 Galactomannans (GM) are the most widely used polysaccharides, next to cellulose and starch. GM
69 are high molecular weight polysaccharides found in the seed endosperms of some *Leguminosae*
70 (belonging to the family *Fabaceae*), where they serve as reserve source for carbon and energy upon
71 germination (Prajapati et al., 2013).

72 They have a branched polymeric structure composed of a backbone of mannose units linked by β -1,4
73 glycosidic bonds and side units of galactose bound to mannose by a α -1,6 glycosidic bond. The
74 average ratio of galactose to mannose (Gal: Man) is variable, depending on the plant source, and
75 ranges from 1 : 4.5 in cassia (*Cassia tora*) to 1 : 1 in fenugreek (*Trigonella foenum-graecum*)
76 (McCleary, Clark, Dea & Rees, 1985; Daniel, Whistler, Voragen & Pilnik, 1994; Daas, Schols & de
77 Jongh, 2000; Daas, Grolle, van Vliet, Schols & de Jongh, 2002; Crescenzi et al., 2004; Sittikijyothin,
78 Torres & Gonçalves, 2005; Merlini, Boccia, Mendichi & Galante, 2015; Liyanage, Abidia, Auldb &
79 Moussa, 2015; Wei et al., 2015). This peculiar structure makes them rather soluble in water at
80 different temperatures, flexible in application and chemically/biochemically quite reactive (Cheng,
81 Prud'homme, Chick & Rau, 2002).

82 GM are commonly employed to generate a considerable range of derivatives with wide applications
83 as rheology modifiers, thickening and suspending agents in food, feed and industry (Mathur, 2011).
84 They are also used as excipients and co-formulants in the biomedical field, more specifically in
85 pharmaceutical formulations of tablets and in orally controlled drug delivery systems (ODDS), but
86 also as binders, disintegrants, suspending, thickening, gelling, stabilizing and protective agents, to
87 add cohesiveness to drug powder, as they are susceptible to microbial degradation in the large
88 intestine (Meghwa & Goswami, 2012). Not least, GM are increasingly consumed as dietary fibers
89 with atoxic bioactivities, to lower calories intake and for weight reduction, to control blood glucose,
90 cholesterol and insulin levels, to reduce the risks of heart diseases and colon cancer, as texture
91 modifiers and stabilizers in “specialty” foods (Murthy, Moorthy, Prabhu & Puri, 2010).

92 Enzymatic reactions can be applied to GM under mild conditions, with no generation of side products,
93 e.g.: depolymerization with β -mannanase, debranching with α -glycosidase, oxidation with oxidases
94 (i.e., laccase, peroxidase, galactose oxidase), but also for the “elimination” of unwanted insoluble
95 proteins with proteases (Baldaro et al., 2012). Enzymatic oxidation of guar GM has been described

96 using either a wild type galactose oxidase (GaO), followed by reductive amination (Hall & Yalpani,
97 1980) or by halogen oxidation (Frollini, Reed, Milas & Rinaudo, 1995), or with a highly engineered
98 GaO by Parikka and co-workers (Delagrave et al., 2001, 2002; Parikka & Tenkanen, 2009; Parikka
99 et al., 2010; Parikka et al., 2012; Leppanen et al., 2010; Mikkonen et al., 2014; Ghafar et al., 2015;
100 Parikka, Master & Tenkanen, 2015). More generally, oxidation of polysaccharides with the enzyme
101 laccase can generate reactive groups (e.g., carbonyls, carboxyls) on cellulose (Viikari, Buchert &
102 Kruus, 1999a), on starch (Viikari et al., 1999b), on pullulan (Jetten, van den Dool, van Hartingsveldt
103 & Besemer, 2000), and on guar galactomannan (Lavazza et al., 2011).

104 We have previously reported (Lavazza et al., 2011) that a fungal laccase from *T. versicolor* (benzene-
105 diol: oxygen oxidoreductase, E.C. 1.10.3.2, see Riva, 2006; Witayakran & Ragauskas, 2009; Rodgers
106 et al., 2010), in combination with TEMPO as mediator and for laccase regeneration (Bragd, van
107 Bekkum & Besemer, 2004), oxidizes primary hydroxyl groups of GM in an unbuffered aqueous
108 solution of guar galactomannan, causing a substantial viscosity increase of the polysaccharide
109 solution, which is converted to an elastic gel, as confirmed by its modified rheological profile. In a
110 follow-up publication (Merlini et al., 2015), we reported that the laccase/TEMPO oxidation system
111 yields elastic gels also in the case of four other leguminous gum solutions (i.e., locust bean, tara,
112 sesbania and fenugreek) and have also shown that the higher the galactose content of the GM, the
113 higher the viscosity increase. Indeed, fenugreek gum (FG) oxidation gave the most dramatic results
114 under the experimental conditions used, with a surge in viscosity of over fifteen-fold, to generate a
115 very compact, elastic hydrogel, that, even after partial depolymerization with a β -mannanase, was
116 able to preserve some of its “gel-like” structure.

117 FG is a leguminous plant grown in northern Africa, the Mediterranean basin, western Asia, northern
118 India, and more recently also in Canada (www.agriculture.gov.sk.ca). The storage polysaccharide
119 found in its seed endosperm is a galactomannan, similar to locust bean, guar and tara gum, but more
120 extensively branched (Wei et al., 2015). The Gal : Man ratio in fenugreek GM was estimated to be
121 close to unity by HPLC analysis (Brummer, Cui & Wang, 2003) and confirmed by NMR (Merlini et
122 al., 2015).

123 In the present paper, we report that lyophilization of chemo-enzymatically oxidized fenugreek
124 hydrogel leads to formation of a stable aerogel (referred to as EOLFG, for Enzymatically Oxidized,
125 Lyophilized Fenugreek Gum), with a high water uptake capability. This new biomaterial was
126 characterized by scanning electron microscopy, FT-IR, elemental analysis and ^{13}C CP-MAS NMR
127 spectroscopy and its mechanical properties were investigated.

128 As a model delivery system (DS), EOLFG was evaluated in combination with lysozyme (LSZ, EC
129 3.2.1.17), a natural antimicrobial enzyme present in several mammalian secretion fluids, which is

130 industrially obtained from hens egg white (HEW) (Brasca et al., 2013). This enzyme has the ability
131 to hydrolyze the β -1,4 glycosidic bond between *N*-acetyl-muramic acid and *N*-acetyl-glucosamine in
132 the cell wall peptidoglycans of gram-positive bacteria (Silvetti et al., 2010) and to inhibit the growth
133 of *Clostridium tyrobutyricum* vegetative cells in cheese (Ávila, Gómez-Torres, Hernández & Garde,
134 2014). It is also effective in controlling *Listeria monocytogenes* growth on raw minced tuna and
135 salmon roe (Takahashi et al., 2011). Lysozyme is non-toxic to humans, is allowed as a food additive
136 (E1105) in ripened cheeses and milk products (EU No. 1129/2011) and is also active on processed
137 meat (Tiwari et al., 2009).

138 Incorporation of lysozyme in EOLFG and its release was studied by two different approaches: a)
139 addition of the enzyme to the fenugreek solution, before laccase, TEMPO-mediated, oxidation and
140 formation of the aerogel; b) absorption and retention in the gel from an aqueous solution of lysozyme.
141 In both cases, release of the muramidase was tested by diffusion in Petri dishes of agar layered with
142 lyophilized *Micrococcus lysodeikticus* cells and halo formation due to cell wall peptidoglycans
143 hydrolysis.

144 We propose that this new biomaterial might have promising potential in several applications and
145 mostly as a versatile DS of various active principles. Indeed, we are investigating its properties as a
146 DS of: anti-microbial peptides (e.g., nisin) for food and food packaging; of anti fouling enzymes (e.g.,
147 proteases, lipases) for surface coating; of pesticides (e.g., an anti *Botrytis* fungicide) for crop
148 protection); of non steroidal anti-inflammatory drugs (e.g., ibuprofen) and antibiotics (e.g.,
149 amoxicillin) for the biomedical field; of industrial biocides (e.g., 1,2-benzisothiazol-3(2H)-one) for
150 in-can preservation). The results of these studies will be reported in a forthcoming publication.

151 **2. Experimental**

152

153 **2.1. Material**

154 Laccase from *Trametes versicolor*, in powder form from Sigma-Aldrich with a measured activity of
155 4,300U/g on ABTS as substrate, was dissolved with mild stirring in MilliQ water. TEMPO and all
156 other chemicals were from Sigma-Aldrich or Fluka. β -mannanase was from Megazyme (E-BMANN)
157 with a declared activity of 400 U/ml.

158 Non purified gum powder from fenugreek (FG) with Brookfield viscosity at 1% (w/v) in aqueous
159 solution at 20 rpm and 25°C of 1500-2500 mPa*s, was from a commercial source of Canadian origin
160 and kindly supplied by Lamberti S.p.A. Actual GM content of unpurified gum varied between 76 and
161 80% (w/w), while the remaining components were represented by aleuronic proteins, seed coat
162 residues, low mol wt sugars, ashes. Lysozyme hydrochloride was supplied by Sacco (Cadorago (CO),
163 Italy).

164

165 **2.2. Laccase assay.**

166 Laccase activity was determined using as substrate 2.48 mM ABTS in 100 mM sodium acetate at pH
167 5 (Niku-Paavola, Karhunen, Salola&Raunio, 1988).

168 One laccase unit is defined as the amount of enzyme that catalyzes the oxidation of one μ mole of ABTS
169 in one min at 25 °C and pH 5.

170

171 **2.3. Purification and viscosity measurements of fenugreek solutions.**

172 Fenugreek gum was purified by dispersion (at 10% w/w) in a 3:7 solution of H₂O/ethanol, under stirring
173 at room temperature for 30 min, followed by vacuum filtration. The recovered FG was dispersed (at
174 10% w/w) in acetone, under stirring as before and was finally recovered by vacuum filtration. Before
175 use, it was oven-dried at 60°C overnight. Yield of this procedure was 85-90% (w/w); the residual 10-
176 15%, composed of proteins and other minor components, was discarded.

177 “Purified” FG was dissolved in MilliQ water at room temperature at 1200 rpm with an IKA overhead
178 stirrer. The solution was kept standing overnight at room temperature without stirring before any
179 further experiment. Compared to “non purified” FG, viscosity increased by about 10-15% at equal
180 polysaccharide concentration in water. FG solutions for all experiments were prepared the same way.
181 Viscosity measurements were performed in a volume of 300-400 ml in a beaker at room temperature
182 using a Brookfield DV-I Prime, at 20 rpm, mounted with the appropriate spindle.

183

184 **2.4. Preparation of the aerogels.**

185 Laccase TEMPO-mediated oxidation of FG was carried out following the procedure previously
186 described by Merlini et al. (2015). Purified FG was dissolved under constant mechanical stirring in 100
187 ml of MilliQ water (1.075% w/w) at room temperature for 30 min in order to develop a final viscosity
188 around 1800 mPa*s and the solution was kept still overnight at room temperature. The mediator
189 TEMPO was added to a final 0.64 mM concentration (10 mg), followed by 60 U/g_(GM) of laccase (eq.
190 to about 15 mg). The reaction was continued for 3 h at 35°C, with constant mechanical stirring at 500
191 rpm, after which Brookfield viscosity was measured and the mixture was left standing at room
192 temperature. Viscosity was measured again after 6 and 24 h from the start of the reaction.

193 To obtain the aerogel, chemo-enzymatically oxidized FG was distributed either into 24 well plates, to
194 obtain 16-20 mm x 12-16 mm cylindrically shaped samples, or in Petri dishes (90x12 mm), to give a
195 flat aerogel wafer of about 80x5 mm and frozen for 12 h at -80°C, followed by freeze-drying at -55 °C
196 for 48 h (this material is referred to as EOLFG).

197 The lysozyme-loaded aerogel was prepared following a similar approach: lysozyme was firstly
198 dissolved under constant mechanical stirring in MilliQ water for 30 min to give an aqueous solution
199 of 2000 ppm protein, in which the purified FG was later dissolved and the oxidation was carried out
200 as described before. This material is referred to as EOLFG-LYS1.

201 Pristine, non-oxidized FG was lyophilized under the same conditions and used as control

202

203 **2.5 Characterization of the aerogels.**

204 Elemental analysis was performed with a Costech ECS 4010 analyzer, while the solid-phase FTIR
205 spectra of the powdered samples, with infrared grade KBr, were obtained using a Varian 640-IR
206 spectrometer.

207 The ¹³C cross-polarization magic-angle-spinning (CP-MAS) spectra were recorded with an FT-NMR
208 Avance TM 500 (Bruker BioSpinS.r.l) with a superconducting ultra-shield magnet of 11.7 Tesla
209 operating at 125.76 MHz ¹³C frequency. The following conditions were applied: repetition time 4 s,
210 ¹H 90 pulse length 4.0 μs, contact time 1.2 ms, and spin rate 8 kHz. The material was placed in a
211 zirconium rotor, 4 mm diameter and 18 mm length. The chemical shifts were recorded relative to a
212 glycine standard, previously acquired (C=O signal: 176.03 ppm, relative to a tetramethylsilane
213 reference).

214 Scanning electron microscopy (SEM) was performed using a variable-pressure instrument (SEM
215 Cambridge Stereoscan 360) at 100/120 Pa with a VPSE detector. The operating voltage was 20 kV
216 with an electron beam current intensity of 150 pA. The focal distance was 8 mm. Samples were
217 analyzed with no preliminary treatment.

218 **2.6. Mechanical features.**

219 Cylindrically shaped samples of both pristine FG and EOLFG aerogels were evaluated by a
220 compressive test according to the procedure described by Deszczynski, Kasapis & Mitchell (2003).
221 Two consecutive cycles of compression were performed with a dynamometer (mod. Z005, Zwick
222 Roell, Ulm, Germany) fitted with a 100 N load cell and connected to two plates (30 mm diameter),
223 placed at a distance of 22 mm apart. Each compression cycle accounted for a maximum deformation
224 of the sample of 2 mm, at a crosshead speed of 2 mm*s⁻¹. Both stress–strain and force–time profiles
225 were recorded and the following parameters were elaborated by software (TestXpert V10.11 Master):
226 compressive modulus (i.e., E-mod, expressed in kPa, as the slope of the initial rising part of the first
227 stress–strain curve), determined according to a secant method; maximum compressive force (i.e, F_{max},
228 expressed in N, as the peak force of the first compression cycle); cohesiveness (i.e., the ratio of the
229 area of the second cycle to the area of the first cycle); springiness (i.e, the area of compression of the
230 second cycle divided by the area of compression of the first cycle); resilience (i.e, the ratio of the area
231 of decompression to the area of the compression of the first cycle) (Ghafar et al., 2015). All tests were
232 carried out at 23 ± 0.5 °C and 40 ± 2.5 % relative humidity (RH). At least ten replicates were tested
233 for each sample, either of pristine, lyophilized FG or of EOLFG. Statistical difference between mean
234 values was determined by Student’s *t*-test, with a significance level (*p*) < 0.05, using Statgraphic Plus
235 4.0 software.

236

237 **2.7. Solvent uptake measurements**

238 Solvent uptake was determined by immersing EOLFG weighted samples either in MilliQ water or in
239 phosphate buffer saline (PBS), pH 7.4, or in DMSO at room temperature. At pre-determined time
240 intervals (i.e., 2, 5, 10, 20, 60, 120 min), the samples were removed with a spatula and gently blotted
241 on filter paper, leaving only interstitial solvent molecules trapped in the polymer network, and weighted
242 again.

243 The percentage of solvent uptake (SU) was calculated using equation (1):

$$244 \quad SU = 100 \times \frac{w_s - w_d}{w_d} \quad (1)$$

245

246 Where w_s is the weight of the hydrogel at different uptake times and w_d is the weight of the dry sample.

247

248

249 **2.8. Lysozyme adsorption in the aerogel.**

250 Plugs were carved with a sterilized cork borer (\varnothing 8 mm) from an EOLFG wafer, lyophilized in a Petri
251 dish. Average weight of the plugs was 7 ± 2 mg. Lysozyme was incorporated in the gel by immersing
252 the plugs for 1 h at room temperature in an Eppendorf tube containing 1 ml of 20, 200 or 2000 ppm of
253 enzyme dissolved in sterile water. The plugs were then rinsed three times in 1 ml of sterile distilled
254 water and blotted on UV-sterilized filter paper. The average weight of the “loaded” hydrogel plugs so
255 obtained was 103 ± 29 mg, with a mean weight increase of almost 15 fold, from which the “theoretical”
256 amount of lysozyme absorbed was calculated. This material is referred to as EOLFG-LYS2a, b, or c,
257 if obtained from a 20, 200, 2000 ppm lysozyme aqueous solution, respectively.
258 EOLFG-LYS2c was re-lyophilized for ease of handling, before characterization of the material, as
259 described in § 2.5 and § 3.2.

260

261 **2.9. Lysozyme assay**

262 Activity of lysozyme was determined by a modified biochemical assay described by Silvetti et al.
263 (2010), as follows. Fifteen ml of a sterilized medium composed of 1% agar dissolved in 0.1 M citrate
264 buffer (pH 6.2) were poured in a Petri dish (\varnothing 9 cm) and left to solidify at room temperature. An aliquot
265 of 5 ml of agar containing 0.2% of lyophilized cells of *Micrococcus lysodeikticus* (ATCC No. 4698
266 from Sigma, St. Louis, MO, USA) was layered above. Equidistant wells (\varnothing 8 mm) were carved with a
267 sterilized cork borer and the liquid mixture or the plug specified in the figure legends was added.
268 Inoculated plates were incubated at 35°C for 24 h.

269 Release of lysozyme from the EOLFG-LYS1 or EOLFG-LYS2 was assessed by evaluating the lysis
270 halo around each well in comparison to EOLFG control and to diffusion of free lysozyme.

271 Lysozyme entrapped in EOLFG-LYS1 was also estimated after complete disruption of the gel, as
272 follows: 250 mg were aseptically transferred to a sterile stomacher bag containing 10 ml of 0.1 M
273 citrate buffer (pH 6.2) and homogenized in a laboratory homogenizer (BagMixer®, Interscience,
274 France) at high speed for 15 min, the homogenized mixture was diluted with 0.1 M citrate buffer and
275 0.1 ml were added to the wells.

276 As control a 2000 ppm standard solution of lysozyme was prepared in 0.1 M citrate buffer (pH 6.2),
277 dilutions were freshly made before use in the same buffer and 0.1 ml were added to control wells.

278 All manual operations were performed under a vertical laminar flow hood and all experiments were
279 run in triplicate.

280 **3. Results and Discussion**

281 **3.1. Preparation of EOLFG.**

282 The protocol for the preparation of EOLFG is outlined in Fig.1 and is described in details in Materials
283 & Methods.

284 The process was carried out in water at 35 °C, with no production of by-products or waste, and
285 involves two main steps. In the first step, primary hydroxyl groups of FG galactose residues are
286 oxidized to carbonyls by the laccase/TEMPO catalytic system (Lavazza et al., 2011; Merlini et al.,
287 2015). In the reaction, TEMPO is firstly oxidized to an oxoammonium ion, which in turn selectively
288 oxidizes primary OH's to the corresponding aldehydes (see also: Ding et al., 2008; Marzorati, Danieli,
289 Haltrich & Riva, 2005; Viikari et al., 1999a). This combination of enzyme and mediator is referred
290 to as a “laccase-mediator system” or LMS (Fabbrini, Galli & Gentili, 2002; Galante & Formantici,
291 2003; Kulys & Vidziunait 2005; Morozova, Shumakovich, Shleev & Yaropolov, 2007).

292 In the second step, the newly formed carbonyl groups react with neighboring hydroxyl groups to form
293 intra- and/or inter-molecular hemiacetalic bonds, as previously suggested by Donnelly (1999). The
294 generation of this chemically cross-linked network caused a progressive increase in viscosity from
295 1,790 mPa*s (at about 1% w/w of FG in water) to 7,500 mPa*s after 3 h, under constant stirring, as
296 reported in Table S.1 in “Supplementary Material”. The mixture was then left standing at room
297 temperature, with no stirring, and viscosity was measured after 6 h and 24 h, when it reached 30,000
298 mPa*s. Accordingly, a progressive thickening of the mixture was observed, that eventually displayed
299 the typical behavior of a structured, elastic hydrogel, which could hold its structure up to 1 h following
300 depolymerization with a β -mannanase down to 10,000 mPa*s, but was completely de-structured after
301 24 hours of enzymatic hydrolysis (Merlini et al., 2015).

302 Fig. S.1 in “Supplementary Material” offers a visual image of the different appearance of the FG
303 solution, before and after enzymatic oxidation and hydrogel formation.

304 When enzymatic oxidation was performed in the presence of 2000 ppm lysozyme (see § 2.4 for details),
305 viscosity increase was somewhat lower at each step of the process, as reported in Tab. S.1. The solution
306 reached a viscosity of 3000 mPa*s after 3 h and of 5,800 mPa*s after 24 h, equivalent to half and one
307 fifth the value without lysozyme, which however did not compromise its ability to form a gel and to
308 be freeze-dried. This different behavior is likely due to interference of the entrapped protein with the
309 “structuring” of the gel, causing a more limited cross-linking of the polymer.

310 Finally, hydrogels, without or with lysozyme, were freeze-dried to yield the corresponding aerogels,
311 referred to as EOLFG and EOLFG-LYS1, respectively. The latter appeared to be more fragile and
312 brittle than the former, and was, only to a limited extent, evaluated as a possible delivery system of
313 lysozyme in agar gel.

314

315 **3.2 Characterization of EOLFG.**

316 Molding of the oxidized FG hydrogel during lyophilization can determine the final shape of the
317 aerogel. Fig. 2(a) shows cylindrically shaped EOLFG samples obtained by freeze-drying the hydrogel
318 poured into a 24 well-plate used as mold. The material is light (the weight of each specimen was in the
319 range 25-30 mg), with a spongy texture, somewhat resembling polystyrene packing “peanuts”. The
320 density of EOLFG, calculated from the weight of the samples and their volume was about $10\text{-}14\text{mg}\cdot\text{cm}^{-3}$
321 ³, close to the values reported for guar gum oxidized with GaO in the presence of peroxidase and
322 catalase (Mikkonen et al., 2014).

323 SEM images of EOLFG are shown in Fig. 2(b) – (d), where the internal morphology of the aerogel
324 appears to be formed by thin polymer layers tightly stacked on top of one another. The thickness of
325 each sheet is estimated to be below $1\mu\text{m}$.

326 In Fig. 3, the kinetic curves of solvent uptake by EOLFG samples, immersed either in MilliQ water,
327 in PBS (pH 7.4) or in DMSO, are reported. PBS was tested in order to mimic “physiological”
328 conditions and to evaluate the effect of salts on water uptake of this non-charged polymer. DMSO
329 was taken into consideration as a representative aprotic organic solvent, with a high dipole moment.
330 After immersion in solvent, no significant changes in the overall size of the EOLFG cylindrical
331 samples was noticed. Nevertheless, they were able to rapidly uptake and retain MilliQ water or PBS,
332 forming a stable hydrogel. After 2h, weight increase was about 20-fold the initial average value, but
333 for longer contact times, water uptake increased to almost 40-fold the initial weight. In DMSO, weight
334 increase was 15-fold after 2 h and just over 18-fold after 24 or 48 h. When the same experimental
335 conditions were applied to pristine, non-oxidized, lyophilized FG, the material completely dissolved
336 in water.

337 EOLFG and re-lyophilized EOLFG-LYS2c (i.e., a lysozyme-loaded hydrogel from a 2000 ppm
338 enzyme aqueous solution for 2 h at 25 °C, followed by blotting on filter paper and a second cycle of
339 freeze drying) were further characterized by different techniques: elemental analysis, FT-IR
340 spectroscopy, ¹³C CP-MAS NMR spectroscopy, and compared to pristine FG.

341 Data of elemental analysis are reported in Tab. 1. The background nitrogen content (0.75%) in the
342 pristine FG can be attributed to little remaining impurities in the material used in our experiments.
343 The slight increase in nitrogen content found in EOLFG is very likely be due to laccase protein
344 nitrogen and residual TEMPO entrapped into the structured gel. The amount of laccase and TEMPO
345 in the final product can be roughly estimated around $10\text{ mg/g}_{(\text{GM})}$ and $15\text{ mg/g}_{(\text{GM})}$, respectively.
346 TEMPO is considered as non-toxic and it is used in a wide number of biomedical applications
347 (Yoshitomi, Hirayama & Nagasaki, 2011; Narain, 2011; Yoshitomi, Yamaguchi, Kikuchi &

348 Nagasaki, 2012). Therefore, neither residual components of the oxidation reaction should be
349 considered as drawbacks in future practical applications of this new biomaterial.

350 As expected, a higher content of nitrogen (2.20%) was found in EOLFG-LYS2c, thus confirming the
351 presence of “entrapped” lysozyme in the EOLFG.

352 Fig.4 shows the FTIR spectra of: (a) pristine FG, (b) EOLFG and (c) EOLFG-LYS2c. Spectra of FG
353 and EOLFG appear to be quite similar. The characteristic band in the wave number range between
354 3000 cm^{-1} and 3700 cm^{-1} and between 2700 cm^{-1} and 3000 cm^{-1} can be ascribed to O-H and C-H
355 stretching vibration, respectively. The peaks in the range of 1020 cm^{-1} and 1400 cm^{-1} are consistent
356 with C-H and O-H bending vibrations. However, the EOLFG spectrum does not show any peak in
357 the range that can be ascribed to carboxylic groups. It is worth noticing that previous ^1H NMR
358 experiments carried out in deuterated aqueous solution of chemo-enzymatically oxidized FG revealed
359 also the presence of aldehyde proton signals in the 9.2-9.5 ppm range (Merlini et al., 2015). It is likely
360 that the freeze-drying process favors the conversion of the residual aldehydes to hemiacetalic bonds,
361 thus enhancing the cross-linking of GM polymer chains, as a prerequisite to formation of an aerogel.
362 The presence of lysozyme in EOLFG-LYS2c is confirmed by the appearance of the amidic NH_2
363 vibration peak at 1541 cm^{-1} (Abidi, Cabrales, & Haigler, 2014), while the peak associated to lysozyme
364 amidic C=O stretching, which should appear at 1655 cm^{-1} , is not clearly visible, because it overlaps
365 with the bending signal of residual water tightly bound to the polymer in all three samples (Olsson &
366 Salmén, 2004).

367 The ^{13}C CPMAS NMR spectra of FG and EOLFG, reported in Fig. S.2, are quite similar to each
368 other. The peaks are rather broad, offering proof that the material is essentially amorphous. The signal
369 of the anomeric carbons is clearly visible at 100 ppm, while all remaining carbons of the mannose
370 and galactose units give a broad and intense signal in the range 55-85 ppm. In both FG and EOLFG
371 spectra it is possible to observe a small signal in the range 170-180 ppm, which is ascribed to
372 impurities existing in the pristine FG. The presence of lysozyme in EOLFG-LYS2c is confirmed by
373 a clearly detectable signal associated with amidic carbons at 175 ppm.

374

375 **3.3 Mechanical properties**

376 Large deformation tests were applied to quantify the effect of enzymatic oxidation and internal cross-
377 linking on the mechanical properties of the EOLFG aerogel. The compressive force–time curves
378 obtained from native, lyophilized FG and EOLFG gave two clearly distinct profiles, as summarized
379 in Table 2 and shown in Fig. S.3.

380 E-mod and F_{max} values of EOLFG were approximately 35 times stiffer and 28 times harder than
381 lyophilized FG, respectively, thus confirming the lighter and more breakable structure of the latter.

382 A similar trend was observed with the other mechanical parameters. Cohesiveness represents the
383 ability of the sample to stand a second deformation in relation with the sample behavior during the
384 first deformation cycle. Springiness indicates the capability of the sample to spring back after the first
385 compression. Resilience is a measure of the ability of the sample to recover its original shape.
386 Cohesiveness, springiness, and resilience were lower with EOLFG, consistent with the inverse
387 relationship between these parameters and the compressive modulus and maximum force, in
388 accordance with what described by Ghafar et al. (2015) on chemo-enzymatically oxidized guar gum.
389 Overall, these results would further support that enzymatic oxidation of fenugreek gum, followed by
390 lyophilization, causes formation of a stable network of FG polymer, less prone to be deformed and
391 more able to absorb an applied stress.

392

393 **3.4 Uptake and release of active lysozyme from EOLFG**

394 The potential of the EOLFG aerogel described above to function as a delivery system (DS) was
395 investigated with lysozyme as an active compound.

396 As mentioned before, in a first approach lysozyme was entrapped in the gel before chemo- oxidation
397 and lyophilization (EOLFG-LYS1). Alternatively, the enzyme was incorporated in carved plugs of
398 EOLFG by immersion, under sterile conditions, in an aqueous solution of 20, 200 or 2000 ppm of
399 lysozyme (EOLFG-LYS2a, b, c, respectively). This concentration range was chosen considering that
400 a dosage of 100 - 500 ppm is generally used in the food industry (Brasca et al., 2013; Carrillo, Garcia-
401 Ruiz, Recio& Moreno-Arribas 2014). A rough estimation of the enzyme content in the final material,
402 before rinsing and blotting, can be calculated from the mean weight increase of the plugs (i.e., 15-
403 fold).

404 Release of lysozyme was qualitatively evaluated by a standard biochemical test of its hydrolytic
405 activity in agar plates layered with lyophilized cells of *Micrococcus lysodeikticus*.

406 Fig. 5 shows the diffusion pattern of lysozyme from a plug of EOLFG-LYS1 aerogel, compared to free
407 lysozyme and to lysozyme freed from a homogenized EOLFG-LYS1. The figure can only offer a
408 qualitative evidence of enzyme release, because a quantitative estimation based on halo diameters
409 would not be accurate, considering that the aerogel in the central well has to first absorb water before
410 releasing the entrapped muramidase, prior to diffusion of the latter and display of its enzymatic activity.
411 On the other hand, lysozyme in the lateral wells, either as free protein or freed upon homogenization
412 of the gel, can promptly diffuse.

413 Fig. 6 shows the release and diffusion of lysozyme from EOLFG-LYS2c. The central well (a) was
414 fitted with a plug of a three-times rinsed EOLFG-LYS2c hydrogel. Based on its weight increase, the
415 amount of incorporated lysozyme could be estimated close to 200 µg. The central well should be

416 compared to the peripheral control wells: b) a plug of control EOLFG and (c) 200 μg of free lysozyme.
417 The wells marked as (d), (e) and (f) each contained 100 μl of undiluted rinse water of the experimental
418 plug in (a). It proves that the third rinse developed almost no halo, which supports the conclusion that
419 the large halo formed around the central well was due to release of lysozyme loaded and
420 incorporated into the gel, not just washed off from its surface. Similar experiments were performed with
421 20 and 200 ppm enzyme solutions, which meant fitting in the central well a plug loaded with 2 and 20
422 μg muramidase, respectively. In either case, the central halo was quite evident, but essentially no halo
423 formation was noticed in the second and third rinses (see Fig. S.4 and Fig. S.5 in “Supplementary
424 Material”). The control EOLFG never showed any halo formation, proving that this biochemical test
425 is very specific for lysozyme activity.

426

427 **4. Conclusions**

428 We applied the laccase/TEMPO oxidation reaction to fenugreek gum in aqueous solution, followed by
429 lyophilization, and have obtained a water-insoluble aerogel (EOLFG), with high water and DMSO
430 uptake capacity. We believe that the underlying mechanism involves generation of carbonyl groups
431 by the chemo-enzymatic reaction, that are able to form hemiacetalic bonds with adjacent free OH's,
432 thus causing internal cross-linking of the polymer and its “structuring” to yield a compact, highly
433 elastic gel, as a prerequisite to aerogel formation by lyophilization. This is, to our knowledge, the first
434 description of an aerogel from chemo-enzymatically oxidized FG, whose general features appear to
435 resemble the material obtained by others with guar gum oxidized by GaO (Mikkonen et al., 2014;
436 Ghafar et al, 2015).

437 However, in view of future developments and possible industrial transfer, we have chosen to focus on
438 a commercial polysaccharide, as well as available enzymes (i.e., laccase and lysozyme), rather than on
439 pure substrates and reagents supplied for the sole purpose of research.

440 As proof of concept that this biomaterial can function as a delivery system, lysozyme was loaded and
441 entrapped in EOLFG, and was released in active form in agar gel, as proven by the hydrolysis of
442 peptidoglycans from the cell wall of *M. lisodeikticus*. Other ongoing studies are yielding similar results
443 with peptides and larger enzymes.

444 The potential of this new chemo-enzymatically modified material from renewable source as a versatile
445 delivery system of other active principles (e.g., anti-inflammatory drugs, antibiotics, fungicides,
446 biocides, etc.) is under investigation and will be reported in a following publication..

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