

1 **Diverse responses of hydrodynamics, nutrients and algal biomass**  
2 **to water diversion in a eutrophic shallow lake**

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11 **Abstract**

12 Water diversion has been increasingly applied to accelerate lake water exchange and alleviate  
13 urgent water crisis. However, effects of water diversion on water exchange and water quality  
14 for eutrophic lakes remain controversial. In this study, a three-dimensional hydrodynamic-  
15 water quality-sediment diagenesis model has been developed to assess effects of water  
16 diversion on hydrodynamics and water quality in eutrophic shallow Lake Wanghu. Results  
17 suggested that water diversion could dramatically promote water exchange and reduce  
18 residence time in most lake regions but its influence on water quality was diverse. A water  
19 transferring flow rate of 20~30 m<sup>3</sup>/s could reduce water age to 40~58 days during regular  
20 water diversion operation, whereas a high transferring flow rate of 100 m<sup>3</sup>/s was the best for  
21 emergency operation in late spring before the wet season. Moreover, nutrients and  
22 *Chlorophyll-a* exhibited notable spatial heterogeneity in improvement efficiency. Nutrients  
23 level in the donating system was a prerequisite to the relationship among water transport time  
24 scales, nutrients, and algal biomass in this eutrophic lake. During a clean water diversion,  
25 nutrients and algal biomass were positively associated with water age. However, when the  
26 donating system contained high level of nutrients, accumulated nutrients in the lake may still  
27 trigger algal bloom after a temporary relief due to flushing effect. Therefore, these water  
28 diversion strategies could be applied to guide a sustainable management of eutrophic Lake  
29 Wanghu in terms of transferring flow rate, wind fields, water quality in the donating system,  
30 transferring operation, and water diversion route.

31 **Keywords:** Water diversion; Nutrients; EFDC; Water age; Lake management

## 32 **1. Introduction**

33 Water quality deterioration is a ubiquitous issue caused by inappropriate anthropogenic  
34 activities and climate changes in freshwater bodies (Ho et al., 2019; Sinha et al., 2017; Smith  
35 and Schindler, 2009). Currently, water diversion project has been increasingly applied to  
36 accelerate water exchange and mitigate urgent water crisis (Hu et al., 2008; Yu et al., 2018).  
37 Theoretically, this technique could shorten renewal time and push nutrients out of the lakes to  
38 abate water pollution. In fact, it has been successfully applied to contain algal bloom amid a  
39 short term in Lake Taihu and Lake Chaohu, China (Hu et al., 2010; Xie et al., 2009), Moses  
40 and Green lakes in Washinton, USA (Hilt et al., 2011; Welch, 1981), Lake Tega and Lake  
41 Barato in Japan (Amano et al., 2010; Shinohara et al., 2008), etc. Nevertheless, this  
42 application is still controversial because it may not address nutrients over-enrichment and  
43 water quality degradation in lakes in the long run and even some detrimental effects have  
44 been reported in previous case studies (Khorasani et al., 2018; Qin et al., 2019; Yao et al.,  
45 2018).

46 In general, the role of water diversion project in the improvement of water quality can be  
47 determined by multiple factors, such as quantity and quality of water sources, receiving water  
48 conditions, transferring routes, and operation regulations (Gao et al., 2018). Nutrients in the  
49 transferred water may be even higher than those in the receiving waterbodies, which is  
50 caused by their own sources of pollution and self-purification capacity. Despite the shortened  
51 residence time and enhanced water exchanging rate through water diversion, the extra  
52 nutrients will be another critical concern. Due to an increased nutrients loading of 5%~10%,  
53 the water diversion from Yangtze River failed to curb algal blooms in Lake Taihu (Qin et al.,  
54 2019). On the other hand, although high flushing rate may relieve the bloom issue in confined  
55 lake regions to some extent (Li et al., 2013; Liu et al., 2014; Zhai et al., 2010), the entire  
56 efficiency of water diversion in small lakes was found to be much higher than that in large

57 size lakes with greater spatial heterogeneity (Hu et al., 2010; Zeng et al., 2015). Furthermore,  
58 except the most vigorous flushing condition, a short residence time in major lake regions  
59 could not sufficiently impede the algal bloom because the growth rate of cyanobacteria can  
60 be doubled just within one day under appropriate conditions.

61 In order to evaluate the impact of water diversion project on hydrodynamics and water  
62 quality of lakes, field monitoring and numerical modeling are the most commonly used  
63 methods. However, comparison of water quality before and after implementation of water  
64 diversion is restricted to confined regions and monitoring periods due to a huge demand of  
65 long-term monitoring data (Hu et al., 2010; Nong et al., 2020; Roy et al., 2016). Thus,  
66 numerical method could be a useful alternative to assess hydrodynamics and water quality  
67 responses to water diversion project in terms of comprehensive water quality models and  
68 transport time calculations (Vinçon-Leite and Casenave, 2019). Nonetheless, acquisition  
69 sufficient data is a prerequisite to parameterize, calibrate, and validate the water quality  
70 model for impact analyses of water diversion project (Zou et al., 2014). In addition,  
71 biochemical processes could also lead to uncertainties during simulations and predictions. As  
72 a consequence, transport time could be employed as a compromised option to estimate the  
73 water exchange process and further characterize the fate of pollutants and variability of  
74 phytoplankton biomass (Gao et al., 2018; Huang et al., 2016; Shen et al., 2013; Wan et al.,  
75 2013). In fact, short transport time theoretically reduces aggregation of algal biomass and  
76 nutrients retention, thereby inhibiting eutrophication (Bargu et al., 2019; Janssen et al., 2019;  
77 Paerl and Huisman, 2008; Schmadel et al., 2018). Transport time scale could be described  
78 using water age, residence time, and flushing time (Gómez et al., 2014; Viero and Defina,  
79 2016). At present, it is imperative to figure out the inherent relationship between transport  
80 time and nutrients or phytoplankton biomass. Usually, transport time may induce different  
81 fluctuations in water quality and dynamics of phytoplankton in lakes. Water age demonstrated

82 a similar spatial pattern with *Chlorophyll-a* (*Chl-a*) in Poyang Lake (Qi et al., 2016), while it  
83 had a strong positive relationship with total phosphorus (TP) but was insensitive to total  
84 nitrogen (TN) and *Chl-a* during in Lake Dianchi (Zhang et al., 2016). Given these  
85 controversial findings, water quality responses to water diversion should be comprehensively  
86 evaluated.

87 In this study, a 3-D hydrodynamic-water quality-sediment diagenesis model will be  
88 introduced to evaluate the impact of water diversion on hydrodynamics and water quality in a  
89 eutrophic shallow lake in the middle of Yangtze River Delta (Lake Wanghu). Multiple factors  
90 will be comprehensively considered in this model, including quantity and quality of water  
91 sources, receiving water conditions (e.g., lake topography, water quality, etc.), and operation  
92 regulations. Specifically, the main objectives of existing research are to (1) investigate the  
93 spatiotemporal distribution of water age resulting from water diversion project in Lake  
94 Wanghu; (2) elucidate spatial responses of N and P concentrations and algal biomass to water  
95 diversion based on the aforementioned model; and (3) figure out the intrinsic relationship  
96 between water exchange and eutrophication in this lake. This work would gain novel insights  
97 into the dynamic response of water exchange, nutrients and algae growth to water diversion,  
98 which could in turn benefit the sustainable management of water diversion in eutrophic  
99 shallow lakes.

## 100 **2. Methods and materials**

### 101 **2.1 Study area**

102 Lake Wanghu, located between 29°51'-29°54' N and 115°20'-115°25' E, belongs to a  
103 crucial wetland nature reserve in China (Fig. 1). It is a shallow lake with a surface area of  
104 42.3 km<sup>2</sup> and a mean depth of 3.7 m. Lake Wanghu has been suffering from severe  
105 eutrophication issue, including P-enrichment and algal bloom. The main types of land use in  
106 the catchment include lakes, paddy field, forest land, swag, shrubland, dry land, etc. Lake

107 Wanghu has been suffering from severe eutrophication issue, including P-enrichment and  
108 algal bloom. Anthropogenic activities, such as agriculture operation, fish-farming, rural  
109 domestic sewage, etc., significantly contribute to water quality degradation of Lake Wanghu.  
110 Naturally high P background concentration in this watershed was another factor resulting in  
111 the fragile status of the lake (Zhu et al., 2019). Algal bloom in the lake usually occurred from  
112 June to August. During then, the average *Chl*-a concentration and algae density were around  
113 45  $\mu\text{g/L}$  and 4056 cell/mL, respectively. In 2018, the annual average TP and TN  
114 concentrations in Lake Wanghu were 0.27 and 0.88 mg/L according to monthly monitoring  
115 data, respectively. TP was nearly 4.2 times higher than the limit value (0.05 mg/L), while TN  
116 could meet the management requirement (1 mg/L) complying with administrative department  
117 of Lake Wanghu. Usually, higher nutrients concentrations were observed in wet season. In the  
118 long run, reduction of nutrients input is desired to mitigate eutrophication issue. Nonetheless,  
119 hydrodynamic flushing with water from nearby rivers has been regarded as a practical  
120 technique to physically flush nutrients and algae out of the lake for emergency cases. Thus, a  
121 short-distance water diversion has been designed to transfer water from River Fuhe to Lake  
122 Wanghu, and ultimately into the Yangtze River (Fig. 1). In order to obtain a holistic  
123 understanding on the impacts of water diversion on spatiotemporal variations of water age,  
124 nutrients, and *Chl*-a, Lake Wanghu was divided into five sub-areas (Zone I to V) according to  
125 its hydrological and ecological characteristics as shown in Fig. 1.

## 126 **2.2 Model development**

127 In this study, the impact of water diversion on hydrodynamics, water age, nutrient  
128 cycling, and biological processes in the lake was evaluated by three-dimensional (3-D)  
129 hydrodynamics-water quality-sediment diagenesis model (Fig. 2). Lake Wanghu Model was  
130 built up based on Environmental Fluid Dynamics Code (EFDC), which was initially  
131 developed by the United States Environmental Protection Agency and has been successfully

132 applied to simulate the hydrodynamics, sediment transport, toxic contaminant transport, and  
 133 water quality-eutrophication components in coastal regions, estuaries, lakes, reservoirs,  
 134 rivers, and wetlands (Hamrick, 1992; Ji, 2008). The governing mass balance equation for  
 135 each of the water quality state variables can be expressed as (Tetra Tech, 2007):

$$\begin{aligned} & \frac{\partial m_x m_y H C}{\partial t} + \frac{\partial (m_y H u C)}{\partial x} + \frac{\partial (m_x H v C)}{\partial y} + \frac{\partial (m_x m_y w C)}{\partial z} \\ & = \frac{\partial}{\partial x} \left( \frac{m_y H A_x}{m_x} \frac{\partial C}{\partial x} \right) + \frac{\partial}{\partial y} \left( \frac{m_x H A_y}{m_y} \frac{\partial C}{\partial y} \right) + \frac{\partial}{\partial z} \left( m_x m_y \frac{A_z}{H} \frac{\partial C}{\partial z} \right) + m_x m_y H S_c \end{aligned} \quad (1)$$

136 where  $C$  is concentration of a water quality state variable;  $u$ ,  $v$  and  $w$  are velocity components  
 137 in the curvilinear, sigma,  $x$ -,  $y$ - and  $z$ -directions, respectively;  $A_x$ ,  $A_y$  and  $A_z$  are turbulent  
 138 diffusivities in the  $x$ -,  $y$ - and  $z$ -directions, respectively;  $S_c$  is internal and external sources and  
 139 sinks per unit volume;  $H$  is water column depth.  $m_x$  and  $m_y$  are horizontal curvilinear  
 140 coordinate scale factors. In Eq. (1), the first term on the left-hand side represents the spatial  
 141 and temporal dynamics of each state variable. The last three terms on the left-hand side  
 142 account for the advective transport. The first three terms on the right-hand side account for  
 143 the diffusive transport. The last term describes the kinetic processes and external loadings for  
 144 each state variable.

145 In the Wanghu Model, 16 water column states variables were used to describe the algae  
 146 dynamics and nutrients cycles. The modelling framework is shown in Fig. 2. *Chl-a* was used  
 147 as an indirect measure of the overall algae population. The considered phytoplankton can be  
 148 expressed as:

$$\left( \frac{\partial B}{\partial t} \right) = (P - BM - PR) B + \frac{\partial}{\partial H} (WS \cdot B) + \frac{WB}{V} \quad (2)$$

149 where  $B$  is algal biomass ( $\text{g C m}^{-3}$ );  $t$  is time (d);  $P$  is production rate ( $\text{d}^{-1}$ );  $BM$  is basal  
 150 metabolism rate ( $\text{d}^{-1}$ );  $PR$  is predation rate ( $\text{d}^{-1}$ );  $Z$  is water depth (m);  $WS$  is positive settling  
 151 velocity ( $\text{m d}^{-1}$ );  $WB$  is external loadings ( $\text{g C d}^{-1}$ );  $V$  is cell volume ( $\text{m}^3$ ).

152 Sediment nutrient fluxes across the sediment-water interface were simulated using a  
 153 sediment diagenesis module which was internally coupled with water quality module. The  
 154 module consists three basic processes, including depositional flux of particulate organic  
 155 matter, their diagenesis and the resulting sediment flux. The governing equations in the  
 156 sediment diagenesis model are detailed described in [Park et al. \(1995\)](#).

157 The concept of water age was used to describe the spatiotemporal hydrodynamic impact  
 158 of the water transfer process in this study. Water age is defined as “the time that has elapsed  
 159 since the particle under consideration left the region in which its age is prescribed as being  
 160 zero” ([Delhez et al., 1999](#); [Shen and Wang, 2007](#)). It is calculated as follows.

$$\frac{\partial c(t, \mathbf{x}^r)}{\partial t} + \nabla \cdot (u c(t, \mathbf{x}^r) - K \nabla c(t, \mathbf{x}^r)) = 0 \quad (3)$$

$$\frac{\partial \alpha(t, \mathbf{x}^r)}{\partial t} + \nabla \cdot (u \alpha(t, \mathbf{x}^r) - K \nabla \alpha(t, \mathbf{x}^r)) = c(t, \mathbf{x}^r) \quad (4)$$

161 where  $c$  is the tracer concentration;  $\alpha$  is the age concentration;  $u$  is the velocity field;  $K$  is  
 162 the diffusivity tensor;  $t$  is time;  $\mathbf{x}^r$  is coordinate. The mean water age “ $a$ ” then can be  
 163 calculated as follows:

$$a(t, \mathbf{x}^r) = \frac{\alpha(t, \mathbf{x}^r)}{c(t, \mathbf{x}^r)} \quad (5)$$

164 Lake Wanghu Model consisted rectangular grids with 3,878 active cells with a uniform  
 165 cell size 100 m in both  $x$  and  $y$  directions. Three evenly distributed sigma layers were adopted  
 166 in the vertical dimension. The bottom topography data were measured and interpolated into  
 167 the model grids. The model was driven by atmospheric forcing, tributary inflow/outflow, and  
 168 interaction with sediment flux. The flow rate and water quality data of 11 primary rivers  
 169 including water temperature (WT), dissolved oxygen (DO), TP, phosphate ( $\text{PO}_4^{3-}$ ), TN,  
 170 ammonia ( $\text{NH}_4^+$ ), chemical oxygen demand (COD), and *Chl-a* were monthly monitored from  
 171 November 2018 to July 2019 as the model flow boundary inputs ([Fig. 1](#)). Water samples were



172 manually collected at 50 cm below the surface water. WT and DO were measured in situ by  
173 YSI. Other water quality concentrations were analyzed in the laboratory (Tang et al., 2020).  
174 TP and TN concentrations in unfiltered water were determined by spectrophotometry after  
175 digestion with alkaline potassium persulfate.  $\text{PO}_4^{3-}$  and  $\text{NH}_4^+$  concentrations were analyzed by  
176 spectrophotometric method and Nessler's reagent colorimetric method after filtered. COD  
177 was analyzed by the standard dichromate method. *Chl-a* concentrations were determined by  
178 spectrophotometry at wavelengths of 665 nm and 750 nm, following extraction with hot 90%  
179 ethanol. The daily meteorological data including atmospheric pressure, surface air  
180 temperature, relative humidity, precipitation, evaporation, solar radiation, and fractional cloud  
181 cover were sourced from the weather station near the lake. The 10 water quality monitoring  
182 sites shown in Fig. 1 was used for model calibration.

### 183 **2.3 Scenario definitions**

184 Transferred water quantity and quality in the donating system (River Fuhe), wind field,  
185 and operation timing are considered as crucial factors affecting the efficiency of water  
186 diversion. In Table 1, seven scenarios based on the combinations of these factors were  
187 defined to investigate the response of water exchange, N and P concentration, and algal  
188 biomass to water diversion in Lake Wanghu. More specifically, Scenario 1 was in the absence  
189 of water diversion and used as the baseline case for reference. Water diversion included two  
190 operation rules, i.e., regular and emergency water diversion. Fresh water was transferred with  
191 a relatively low flow rate throughout the year in regular cases (Scenarios 2-5), while large  
192 quantity fresh water was transferred within a short period under emergency cases (Scenarios  
193 6 and 7). Under regular cases, Scenarios 2 and 3 were applied to examine the effect of  
194 transferring flow rate (i.e., 5 to 100  $\text{m}^3/\text{s}$ ) and various wind fields on hydrodynamics,  
195 respectively. In addition, two different water quality conditions in the donating system have  
196 been investigated in Scenarios 4 and 5, which corresponded to monitoring data (average

197 concentration of TP 0.077 mg/L, and TN 1.63 mg/L) and administrative standard suggested  
198 by the local government (TP 0.2 mg/L and TN 1 mg/L). In emergency cases, Scenario 6  
199 aimed to determine the role of flowrate and transferring period in hydrodynamics, whereas  
200 Scenario 7 was applied to elucidate the influence of water quality in the donating system on  
201 water quality in Lake Wanghu. The model configurations and parameters, excluding driving  
202 factors shown in [Table 1](#), were identical for all cases as aforementioned.

203 The improvement percentages of water exchange  $\eta$  (WA) and water quality  $\eta$  (WQ)  
204 caused by different water diversion scenarios are calculated as follows.

$$\eta(WA) = \frac{(WA_1 - WA_i)}{WA_1} \times 100\% \quad (6)$$

$$\eta(WQ) = \frac{(WQ_i - WQ_1)}{WQ_1} \times 100\% \quad (7)$$

205 where  $WA_1$  and  $WA_i$  is the water age (day) for the baseline Scenario 1 and water diversion  
206 Scenarios 2-7 in [Table 1](#), respectively;  $WQ_1$  and  $WQ_i$  is the concentrations of water quality  
207 variables (mg/L) for the baseline Scenario 1 and water diversion Scenarios 2-7, respectively.

### 208 **3. Results and discussion**

#### 209 **3.1 Model performance**

210 This study performed multi-sites (10 sites in [Fig. 1](#)) and multi-variables (i.e., water  
211 depth (WD), WT, DO, TP, TN,  $NH_4^+$ , COD and *Chl-a*) calibration for the Lake Wanghu  
212 Model. The parameters were calibrated by trial-and-error method to make the modelling  
213 results best match the observed data. The key calibrated parameters for Lake Wanghu Model  
214 are listed in [Table S1](#). Results showed that the model had an acceptable performance to  
215 reproduce the changes of hydrodynamics and water quality. Statistical results of model  
216 calibration at 10 monitoring sites are summarized in [Table 2](#) and the comparison of time  
217 series between simulated and observed data for site #5 in the central lake is depicted in [Fig. 3](#).

218 The hydrodynamic module exhibited good agreement between the simulated and

219 observed water depth with an averaged relative error (RE) and absolute error (AE) of 11.25%  
220 and 0.27 m, respectively. This indicated the module reproduced a good water balance related  
221 to inflow/outflow, precipitation, and evaporation. Besides, water temperature accurately  
222 followed the spatiotemporal trend of observed data with an averaged RE of 9.58%,  
223 suggesting that the model had reached a reasonable representation of thermal dynamic  
224 processes and provided a basis for verifying water quality dynamic processes. Different from  
225 the changing trend of water temperature, the module demonstrated a lower DO concentration  
226 in summer with the RE of DO concentration ranging from 16.61% to 26.93%. Despite some  
227 missing peak points, various spatial distributions of RE values for nutrient concentrations in  
228 [Table 2](#) also depicted acceptable mean RE for TP, TN,  $\text{NH}_4^+$  and COD of 33.16%, 28.20%,  
229 26.64%, and 18.01%, respectively. Although algal concentration in spring-summer was  
230 slightly overestimated, the model could capture the spatial heterogeneity and seasonal  
231 changes with RE for *Chl-a* varying from 20.81% to 55.36%. Overall, Lake Wanghu model  
232 could be applied for further analyses of water diversion scenarios.

### 233 **3.2 Improvement of water exchange through water diversion**

234 Water exchange characteristics could be described by spatiotemporal changes of water  
235 age ([Li et al., 2011](#)). In the baseline Scenario 1, the initial average water age in Lake Wanghu  
236 was 141 days but it may exhibit spatial heterogeneity resulting from tributary locations, flow  
237 rates and wind fields. The influence of water diversion on water exchange was  
238 comprehensively assessed in terms of various transferred flow rates (Scenario 2), operation  
239 durations (Scenario 6), and wind field conditions (Scenario 3).

240 In Scenario 2, eight flow rates ranging from 5 to 100  $\text{m}^3/\text{s}$  were designed to investigate  
241 the relationship between water exchange and transferring flow rate. [Fig. 4](#) demonstrates  
242 spatial distribution of water age under different transferring flow rates. As the transferring  
243 flow rate was increased from 5 to 50  $\text{m}^3/\text{s}$ , water age decreased from 153 to 25 days,

244 implying that water exchange could be enhanced through water diversion. Transferring water  
245 footprint was gradually diffused from Zone IV to other subzones, leading to heterogeneous  
246 spatial distribution of water age. Specifically, water diversion could promote water exchange  
247 in Zone IV near the inlet, but had limited effect on water movement in bays (Zone I and II)  
248 which were away from the diversion route. In fact, due to lake size and complex shoreline,  
249 many previous studies have also found that the improvement of water exchange may not  
250 involve the entire lake during the water diversion (Huang et al., 2016; Qi et al., 2016).  
251 Furthermore, Fig. 5a depicts the detailed relationship between water age and transferring flow  
252 rate using the function of  $WA = 669.86Q^{-0.841}$  ( $R^2=0.9914$ ). Initially, water age decreased  
253 dramatically with elevated transferring flow rate but tended to be stable after the flow rate  
254 reached 30 m<sup>3</sup>/s. Hence, an economical water transferring flow rate of 20~30 m<sup>3</sup>/s would be  
255 recommended to improve water exchange (water age of 40~58 days) when water diversion  
256 project is regularly operated.

257 Water diversion project has been widely deployed as an emergency technique to  
258 alleviate water pollution crisis. In Scenario 6, two high transferring flow rates (50 and 100  
259 m<sup>3</sup>/s) with various operation durations (1~30 days) were selected to determine their  
260 influences on water exchange in Lake Wanghu. Fig. 6 demonstrates the effective transferring  
261 areas under different transferring flow rates with varying water diversion durations. As shown  
262 in Fig. 6, when the operation duration was within 7 days, the effective exchange area  
263 corresponding to transferring flow rate of 100 m<sup>3</sup>/s was almost two times larger than that  
264 under 50 m<sup>3</sup>/s. When the operation duration of emergency diversion was extended to 30 days,  
265 the effective exchange area reached 81.91% and 90.31% for transferring flow rate of 50 and  
266 100 m<sup>3</sup>/s, respectively. In addition, half of the lake area could be effectively exchanged within  
267 10 days under a transferring flow rate of 50 m<sup>3</sup>/s, but it only took 5 days to achieve a similar  
268 area for transferring flow rate of 100 m<sup>3</sup>/s. Hence, it could be concluded that a higher

269 transferring flow rate may significantly improve water exchange in a short term but two  
270 different flow rates would ultimately achieve comparable effective exchange area with  
271 operation duration more than one week.

272 Wind field is another driving factor affecting water dynamics (Li et al., 2013), especially  
273 for the shallow lake. In Scenario 3, eight different wind directions under transferring flow  
274 rate of 30 m<sup>3</sup>/s were designed to investigate the effect of wind field on water exchange. Fig.  
275 5b describes the spatial distribution of water age under different wind field conditions. In the  
276 whole lake, the minimum water age of 23 days was found the southwest wind condition,  
277 while the east wind could cause a water age high up to 58 days. Interestingly, water age in  
278 bay areas was more sensitive to the wind field than that in the open water areas. For instance,  
279 water age in Zone I (bay area) was 138 days under southeast wind but 39 days for west wind.  
280 However, water age in Zone IV (open water area) varied in the range of 15~45 days under all  
281 wind fields. Therefore, in order to improve water exchange, a southwest wind was suggested  
282 for the entire lake, while west and northwest wind fields may be beneficial for polluted bay  
283 areas.

### 284 **3.3 Impact of water diversion on water quality**

#### 285 3.3.1 Impact of regular water diversion operation on water quality

286 Two different water quality conditions in the donating system have been applied to  
287 investigate the role of water diversion in the improvement of water quality. Actual monitoring  
288 data and administrative standard suggested by the local government were used in Scenario 4  
289 and 5, respectively.

290 As compared with the baseline Scenario 1, average TN, TP and *Chl*-a in the whole lake  
291 after water diversion Scenario 4 was decreased by 3.7%, 10.35% and 5.99% to 1.44 mg/L,  
292 0.094 mg/L and 26.38 µg/L, respectively. This implied that regular water diversion could  
293 improve lake water quality to some extent. Fig. 7 depicts comparative temporal patterns of

294 nutrients and *Chl-a* in site #1 (bay area) and site #5 (central area) with and without water  
295 diversion. Particularly, water quality in site #1 had no direct and distinct response to water  
296 diversion. In contrast to the baseline scenario, TN, TP and *Chl-a* was only decreased by  
297 1.35%, 0.22% and 0.89%, respectively. In site #5, however, the average TN, TP and *Chl-a*  
298 showed a notable decrease by 5.73%, 20.14% and 10.00% to 1.41 mg/L, 0.11 mg/L and 26.83  
299  $\mu\text{g/L}$ , respectively. This suggested that responses of nutrients and *Chl-a* were more sensitive  
300 to water diversion in central area than those in the bay area.

301 As water quality in source water is dynamically changing, water quality standard in  
302 River Fuhe suggested by government in Scenario 5 was also adopted to predict the influence  
303 of water diversion. After water diversion, average TN and *Chl-a* in the whole lake were  
304 decreased by 13.97% and 1.67% to 1.29 mg/L and 27.67  $\mu\text{g/L}$ , respectively. Unfortunately,  
305 an average increase of 11.12% for TP implied the increasing deterioration of TP in the  
306 majority areas in the lake. Thus, more stringent TP in the donating system should be  
307 executed. In addition, the influence of water diversion presented spatial heterogeneity. Due to  
308 various water exchange ability and nutrients levels in the donating system (Fig. 7), response  
309 of nutrients concentrations in the central zone (Site #5) to water diversion was more sensitive  
310 than that in the bay area (Site #1). Higher reduction of TN was observed in Scenario 5, while  
311 distinct TP decreased was found in Scenario 4, which may be associated with different initial  
312 nutrients levels in the source water. Moreover, regardless of nutrients level in the inflow,  
313 nutrients and *Chl-a* showed negligible fluctuations in Site #1, revealing that regular water  
314 diversion operation could not improve water quality in the bay areas.

### 315 3.3.2 Impact of emergency water diversion operation on water quality

316 According to the long-term water quality monitoring data in Lake Wanghu, non-point  
317 source pollution during wet season (June to August) would result in poor water quality. In  
318 addition, water diversion project should avoid wet season to ensure the safety of flood

319 control. Thus, in emergency operation of Scenario 7, a high flowrate of 100 m<sup>3</sup>/s was used to  
320 flush the lake for seven days starting from early May. The water quality of source water was  
321 assumed to meet the standard proposed by the administrative department. Variations of water  
322 quality were evaluated after water diversion for seven days.

323 Although water diversion could reduce the average TN by 11.65% to 1.23 mg/L, average  
324 TP was increased by 54.21% to 0.17 mg/L. Obviously, this high TP concentration could not  
325 meet the desired water quality standard. Different from regular water diversion, both of water  
326 quality in the bay and central area could respond immediately to emergency water diversion  
327 (Fig. 8). Specifically, both of TP in Site #1 and #5 increased to a high level, which may be  
328 ascribed to high P concentration in the donating system and facilitated internal P release by  
329 intensive disturbance (Zhang et al., 2016). TN in Site #5 showed a distinct decrease of  
330 32.85% but less reduction of 7.55% was found for TN in Site #1. In spite of apparent initial  
331 decrease, *Chl-a* in Site #5 returned back to normal level shortly. Actually, phytoplankton  
332 biomass was dramatically diluted by input water with low *Chl-a* and subsequently flushed out  
333 of the lake within a short water age effect (Wan et al., 2013; Welch et al., 1972). Nonetheless,  
334 high phosphorus after the water diversion could lead to accumulated phytoplankton biomass  
335 rapidly. Hence, under emergency water diversion, fluctuations of nutrients primarily resulted  
336 from water quality and quantity in the donating system and intensive release from sediments,  
337 whereas short time flushing effect was responsible for *Chl-a*.

### 338 **3.4 Relationship between water exchange and water quality**

339 Fig. 9 compares the improvement efficiency of water exchange and water quality  
340 variables in different regions of Lake Wanghu under regular water diversion Scenario 4 and 5.  
341 On the whole, improvement efficiency of water exchange was not in proportion to those of  
342 nutrients and *Chl-a* with notable spatial heterogeneity in both scenarios. Specifically, the  
343 average improvement efficiency of 53.89% for water age in the entire lake was significantly

344 higher than that of TP (10.34% and -11.12% in Scenario 4 and 5, respectively), TN (3.70%  
345 and 13.97% in Scenario 4 and 5, respectively) and *Chl-a* (5.99% and 1.67% in Scenario 4 and  
346 5, respectively). This revealed the more remarkable improvement of hydrodynamic process  
347 was induced by water diversion as compared with that for various water quality variables.  
348 Both water exchange and water quality were slightly improved in the bay area. As compared  
349 with Scenario 1, water age in Zone I and II for Scenario 4 and 5 was decreased by 14.79%  
350 and 19.90% to 123 and 104 days, respectively. TN concentration was decreased by  
351 1.13~7.83%, however, higher phosphorus input from the source water had negligible  
352 improvement for the average TP in bay area. Extended water residence time and high  
353 nutrients levels resulted in less than 1.21% reduction of *Chl-a* concentration in the bay area.  
354 Interestingly, water age in Zone IV and V was decreased by 73.07% and 65.32% to 38 and 57  
355 days, respectively. TN, TP and *Chl-a* were decreased by 24.88%, 4.06% and 11.21% in Zone  
356 IV for Scenario 4. These high improvement efficiencies for hydrodynamics and water quality  
357 in Zone IV and V may be related to their locations which were close to inlet and outlet of  
358 water transfer route, respectively.

359 Previously, it is well known that long water age could facilitate the eutrophication  
360 process through improved nutrients uptake, transformation, and sink (Bargu et al., 2019), and  
361 promote the growth and accumulation of algal biomass (Paerl and Huisman, 2008).  
362 Nonetheless, improvement efficiency of nutrients and *Chl-a* after water diversion can be  
363 influenced by characteristics of donating water system (e.g., nutrients level), receiving water  
364 system (e.g., hydrodynamics, lake topography and nutrients level), and water diversion  
365 operation (e.g., transferring flow rate, timing, and duration). Interactions between  
366 physicochemical and biological processes will determine variations of nutrients and  
367 phytoplankton biomass at different spatial and temporal scales. Thus, it is inadequate to  
368 speculate variation of algal biomass based on renewal timescale alone in eutrophic lakes.



369 In fact, diverse relationships (e.g., positive, insensitive, non-monotonic or  
370 spatiotemporal variable) may exist among water transport time scales, nutrients, and algal  
371 biomass in various water systems (Bargu et al., 2019; Lucas et al., 2009). Phytoplankton  
372 biomass accumulation and productivity rates were probably correlated with the water  
373 residence time in a wet-dry tropical estuary (Burford et al., 2012). After a three-year study of  
374 water residence time and cyanobacteria dynamics in a shallow lake (Lake Albufera, East  
375 Spain), algal biomass was stimulated by 1-2 orders of magnitude with an increased water  
376 residence time of 45% and thus flushing was recommended to minimize toxic cyanobacterial  
377 blooms (Romo et al., 2013). Furthermore, since *Chl-a* was found to achieve a maximal value  
378 when flushing time was approximately four days in eutrophic New River Estuary, this non-  
379 monotonic response of phytoplankton biomass to flushing time reflected a balance between  
380 nutrient stimulation of phytoplankton biomass and advective losses associated with inflow  
381 (Hall et al., 2013). Asynchronous response was also found between response variables (N  
382 retention rate) and explanatory variables (water residence time and *Chl-a*) in Königshütte  
383 Reservoir, a highly flushed system (Kong et al., 2019). Lucas et al. (2009) ascribed fuzzy  
384 relationship between transport time and algal biomass to phytoplankton growth-loss balance  
385 using a simplified concept model in a steady-state system. Thus, the various limiting factors  
386 of algal growth (e.g., nutrients and hydrodynamics) could be responsible for these diverse  
387 relationships in different aquatic systems. In this study, the nutrients level in the donating  
388 system was a prerequisite to the relationship among water transport time scales, nutrients, and  
389 algal biomass in the eutrophic lake. Albeit disproportionate changing rates, nutrients and  
390 algal biomass were positively related with water age during clean water diversion. In  
391 contrast, when the donating system was under the condition of high nutrients, nutrients in the  
392 lake further accumulate and algal bloom would revive after temporary relief owing to  
393 flushing effect.

### 394 **3.5 Implication for water diversion management in eutrophic lake**

395 In order to achieve sustainable management of the lake, reduction of external and  
396 internal nutrients loadings is an essential prerequisite (Huang et al., 2019; Khorasani et al.,  
397 2018). Appropriate manipulation of water diversion should be employed to mediate  
398 hydrodynamic process and water quality in certain lake regions to some extent. Although  
399 water diversion project could remarkably enhance water exchange and shorten retention time  
400 in most lake regions, its influence on water quality may be ambiguous because of covariation  
401 of different driving factors. Therefore, some crucial strategies for water diversion of Lake  
402 Wanghu have been proposed in terms of hydrodynamics and water quality as follows.

#### 403 **(1) Optimal transferring flow rate and wind condition**

404 Transferring flow rate and wind condition during water diversion could physically  
405 accelerate water exchange, thereby disturbing the biochemical process. Under regular  
406 operation in Lake Wanghu, water age followed a power function of the transferring flow rate  
407 with optimal water transferring flow rate ranging from 20 to 30 m<sup>3</sup>/s. Regarding emergency  
408 operation, larger transferring flow rate of 100 m<sup>3</sup>/s could provide more satisfactory results in  
409 short-term operation of about seven days. Besides, southwest wind was a relatively suitable  
410 condition for the entire lake, whereas west and northwest benefited highly polluted bay areas.

#### 411 **(2) Prerequisites for water quality in the donating system**

412 In Scenario 5, it has been found that higher nutrients levels in the transferring water can  
413 pose threat to water quality in receiving water system, which was in good agreement with  
414 previous studies (Davies et al., 1992; Zeng et al., 2015). Moreover, in Lake Taihu water  
415 diversion project, Qin et al. (2019) also reported that water diverted from the nearby nutrient-  
416 enriched Yangtze River actually led to increased nutrient loadings to Taihu by 5%-10%. So  
417 they concluded that some detrimental effects would still exist, e.g., nutrient-enrichment and  
418 cyanobacteria bloom in the receiving water system. Hence, it is necessary to assess the

419 potential impacts of water diversion project on the eutrophication of the receiving system,  
420 especially for eutrophic lakes. Relatively low nutrients concentration in the donating system  
421 is a prerequisite for water diversion. The critical nutrients level shall be proposed earlier  
422 before water diversion implementation. In water diversion project of Lake Wanghu, TP in the  
423 source water shall keep a lower concentration or at least keep the current status, instead of  
424 merely meeting the standard required by the administration department. Eventually, more  
425 stringent P monitoring and management in River Fuhe should be carried out to ensure the  
426 effectiveness of water diversion, especially for emergency operation with a large amount of  
427 inflows.

### 428 **(3) Transferring operation options (timing and duration)**

429 Under regular water diversion operation, a constant low inflow rate around 20 to 30 m<sup>3</sup>/s  
430 was advised to accelerate water exchange in most regions of the lake. However, late spring  
431 before the wet season was a more ideal time to perform emergency operation and a high  
432 transferring flow rate of 100 m<sup>3</sup>/s could be helpful to prevent algal bloom.

### 433 **(4) Deployment of a reliable water diversion route**

434 Due to different lake shape or topography (Schmadel et al., 2018) and water diversion  
435 routes (Li et al., 2011), it is very common to observe spatial heterogeneity of water exchange  
436 and water quality improvement during water diversion. Usually, a reliable water diversion  
437 route shall be carefully deployed before water diversion. Transferring routes with multiple  
438 inlets were adopted to improve the water diversion performance in Lake Taihu and Lake  
439 Poyang (Li et al., 2013; Qi et al., 2016). Nevertheless, because of very limited water sources  
440 near the basin in this study, existing water diversion route with sole inlet can only improve  
441 the water exchange and water quality in some lake regions adjacent to water transfer route,  
442 excluding the heavily polluted bay areas. Therefore, apart from water diversion, reinforced  
443 interconnection with other nearby lakes with desirable water quality may be a supplementary

444 measure.

#### 445 **4. Conclusions**

446 A reliable 3-D hydrodynamic-water quality-sediment diagenesis model was developed  
447 to evaluate influences of water diversion on hydrodynamics and water quality in eutrophic  
448 shallow Lake Wanghu. This water diversion project could remarkably enhance water  
449 exchange and shorten residence time in most lake regions, yet its influence on water quality  
450 could be diverse because of covariation of different driving factors. In the regular water  
451 diversion operation, a water transferring flow rate of 20~30 m<sup>3</sup>/s was recommended to  
452 enhance water exchange. However, in a short-term emergency operation, a high transferring  
453 flow rate of 100 m<sup>3</sup>/s was proved to be the best option to mitigate algal bloom in late spring  
454 before the wet season. Although southwest wind significantly facilitated water exchange in  
455 the entire lake, west and northwest wind fields were only beneficial for heavily polluted bay  
456 areas. Furthermore, nutrients and *Chl*-a exhibited notable spatial heterogeneity in  
457 improvement efficiency. During a clean water diversion, nutrients and algal biomass were  
458 positively associated with water age. Nevertheless, accumulated nutrients in the lake may  
459 trigger algal bloom after a temporary relief due to flushing effect under a circumstance of  
460 high nutrients level in the donating system. More importantly, P concentration in the source  
461 water shall be lower than existing administrative level. Therefore, these fundamental  
462 strategies for water diversion could shed lights on sustainable management of eutrophic Lake  
463 Wanghu.

#### 464 **Declaration of Competing Interest**

465 The authors declare that they have no known competing financial interests or personal  
466 relationships that could have appeared to influence the work reported in this paper.

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606



607 **Table 1 Water diversion scenarios.**

Scenario groups		Transferred flowrate (m <sup>3</sup> /s)	Transferred duration (days)	Transferred water quality	Wind field
1	No water transfer	/	/	Monitoring data	Monitoring data
2		5, 10, 20, 30, 40, 50, 80, 100	365	/	/
3	Regular water transfer	30	365	/	2 m/s, eight wind directions
4		20	365	Actual monitoring data	Monitoring data
5		20	365	Government management criterion	Monitoring data
6	Emergency water transfer	50, 100	1~30	/	/
7		100	7	Government management criterion	Monitoring data

608 Notes:

609 Water quality in River Fuhe (donating water system) includes two types, i.e., monitoring data (averaged  
 610 concentration of TP 0.077 mg/L, and TN 1.63 mg/L) and meet the local government management criterion  
 611 (TP 0.20 mg/L, and TN 1.00 mg/L).

612 **Table 2. Error statistical analysis of multi-sites and multi-variables calibration.**

Lake Region	Station ID	WD		WT		DO		TP		TN		NH <sub>4</sub> <sup>+</sup>		COD		Chl-a	
		MAE (m)	RE (%)	MAE (°C)	RE (%)	MAE (mg/L)	RE (%)	MAE (mg/L)	RE (%)	MAE (mg/L)	RE (%)	MAE (mg/L)	RE (%)	MAE (mg/L)	RE (%)	MAE (µg/L)	RE (%)
Zone I	#1	0.22	11.91	1.76	9.32	1.75	16.61	0.08	30.84	0.43	28.15	0.14	31.43	2.28	11.21	5.49	24.43
	#2	0.22	8.72	0.89	5.54	1.44	19.33	0.07	33.60	0.34	27.48	0.10	25.64	2.46	9.05	8.81	30.82
Zone II	#6	0.21	10.14	2.64	15.92	1.98	23.25	0.08	36.45	0.39	32.79	0.10	25.56	5.49	21.43	7.67	27.54
Zone III	#3	0.36	12.71	1.53	6.03	1.97	24.13	0.05	42.12	0.26	17.54	0.08	17.62	5.29	21.36	10.66	34.25
Zone IV	#4	0.23	8.32	1.54	6.33	1.87	22.93	0.03	23.34	0.37	30.63	0.11	23.62	4.77	18.36	8.35	24.18
	#5	0.27	10.14	1.49	7.89	1.43	19.90	0.05	30.78	0.32	30.27	0.17	38.10	3.49	24.93	21.45	55.36
Zone V	#7	0.34	14.15	1.89	10.92	2.17	25.36	0.03	29.55	0.31	36.75	0.15	38.94	3.92	21.59	8.84	44.16
	#8	0.31	10.65	2.00	15.13	1.70	23.18	0.29	29.89	0.36	38.78	0.25	21.82	2.57	13.05	9.23	32.45
	#9	0.17	8.67	1.73	8.61	2.39	26.93	0.07	39.27	0.17	16.55	0.13	29.81	4.46	23.73	9.05	28.14
	#10	0.38	17.11	1.91	10.14	1.69	21.79	0.07	35.79	0.21	23.06	0.05	13.85	3.31	15.43	3.58	20.81

613 Notes:

614 Mean Absolute Error (MAE) is calculated as  $MAE = \frac{\sum_{i=1}^N |O_i - X_i|}{N}$ .

615 Relative Error (RE) is calculated as  $RE = \frac{\sum_{i=1}^N |O_i - X_i|}{\sum_{i=1}^N O_i} \times 100\%$ .

616  $O_i$  and  $X_i$  means observed and Simulated data, respectively.

617 **Figure captions**

618 Fig. 1. Study area and water diversion route.

619 Fig. 2. Modelling framework of water transfer in Lake Wanghu.

620 Fig. 3. Comparisons of simulated and observed data for multi-variables at site #5 from Nov 1,  
621 2018 to Jul 31, 2019.

622 Fig. 4. WA distribution caused by different transferring flow rate.

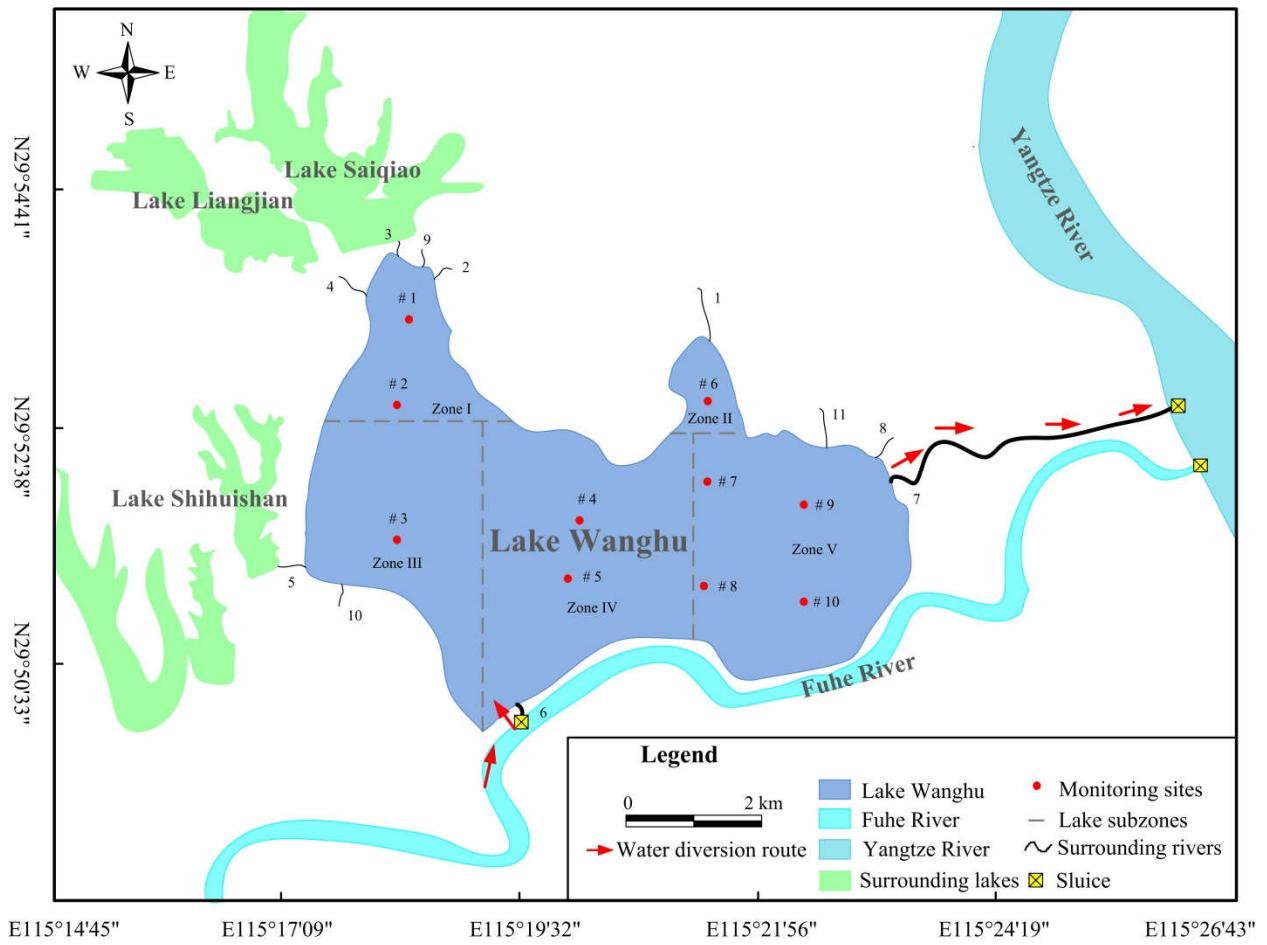
623 Fig. 5. Impact of transferring flow rate (a) and wind field (b) on water age.

624 Fig. 6. Effective exchange areas along with time (a) transferring flow rate=50 m<sup>3</sup>/s; (b)  
625 transferred flow rate=100 m<sup>3</sup>/s.

626 Fig. 7. Impact on water quality in the bay area (Site #1) and central area (Site #5) through  
627 regular water diversion operation, respectively.

628 Fig. 8. Impact on water quality in the bay area (Site #1) and central area (Site #5) through  
629 emergency water diversion operation, respectively.

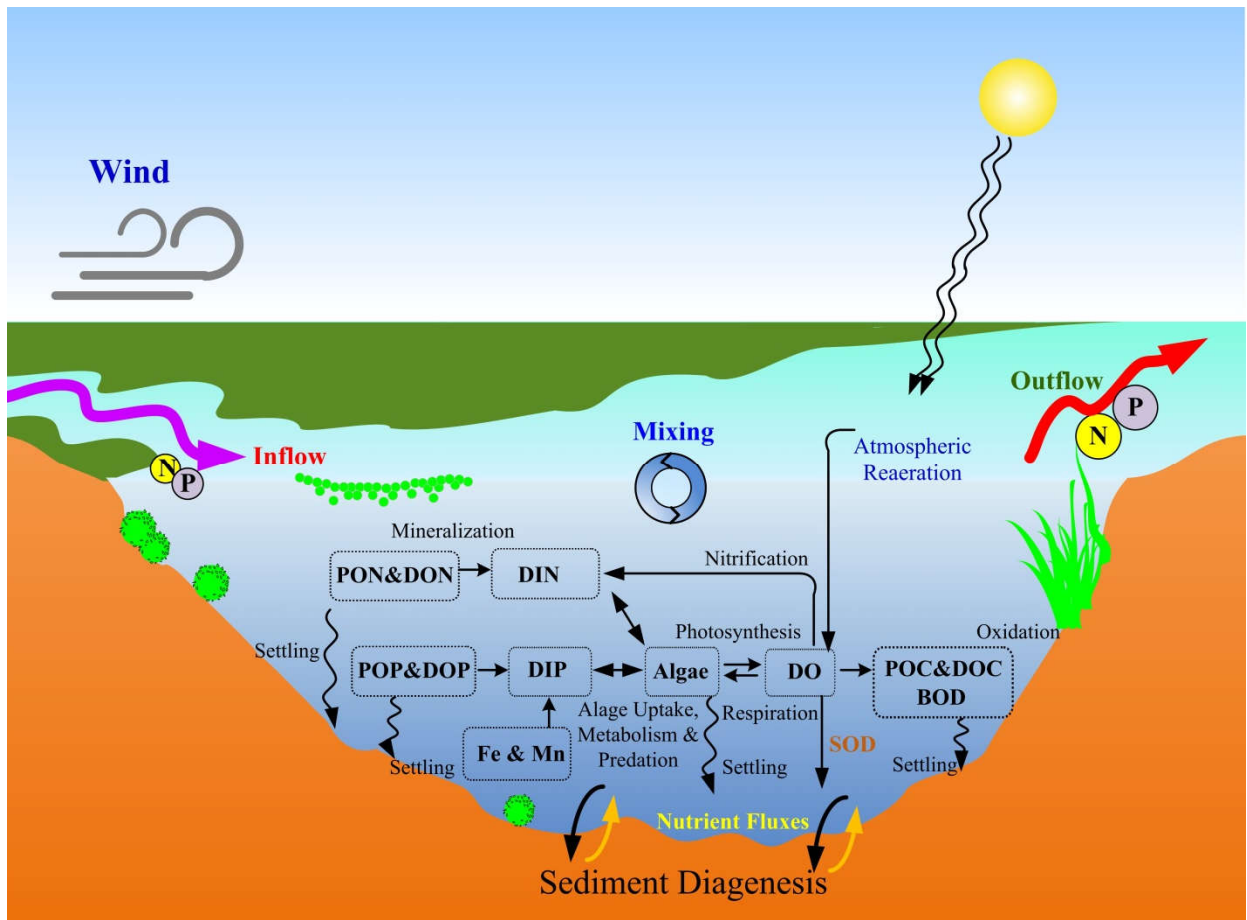
630 Fig. 9. Improvement efficiency of water exchange and water quality variables through regular  
631 water diversion operations. (a) Water diversion Scenario 4; (b) Water diversion Scenario  
632 5.



633 E115°14'45" E115°17'09" E115°19'32" E115°21'56" E115°24'19" E115°26'43"

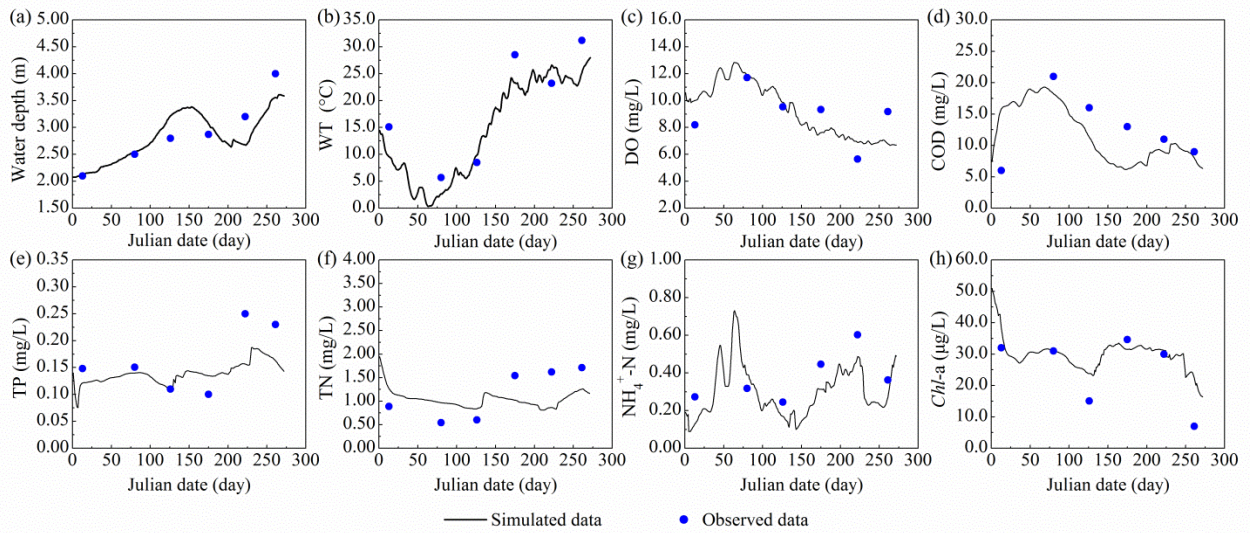
634 Fig. 1.

635



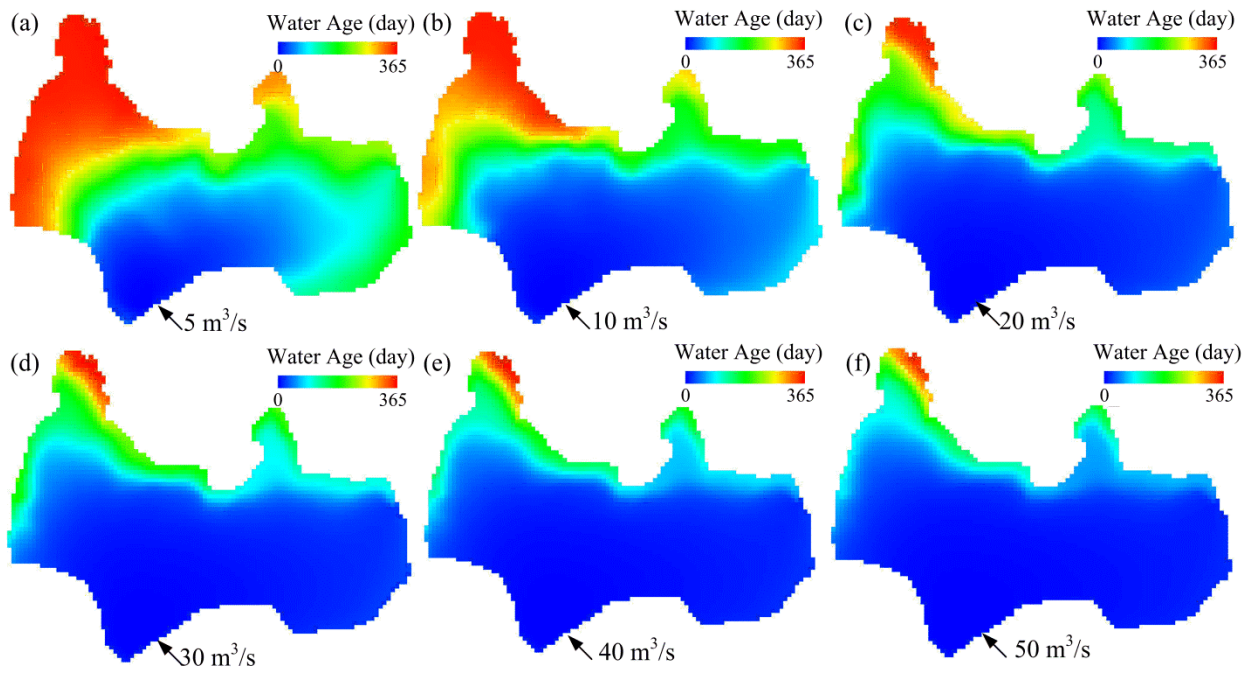
636

637 Fig. 2.



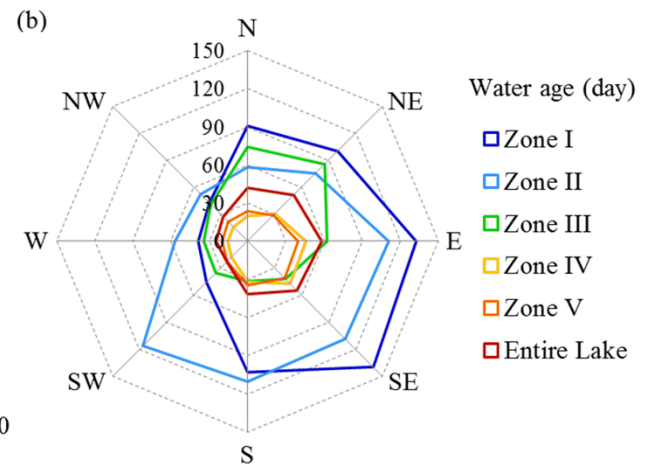
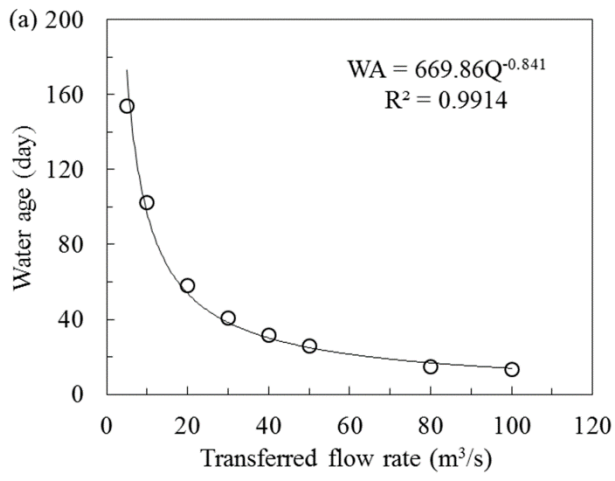
638

639 Fig. 3.



640

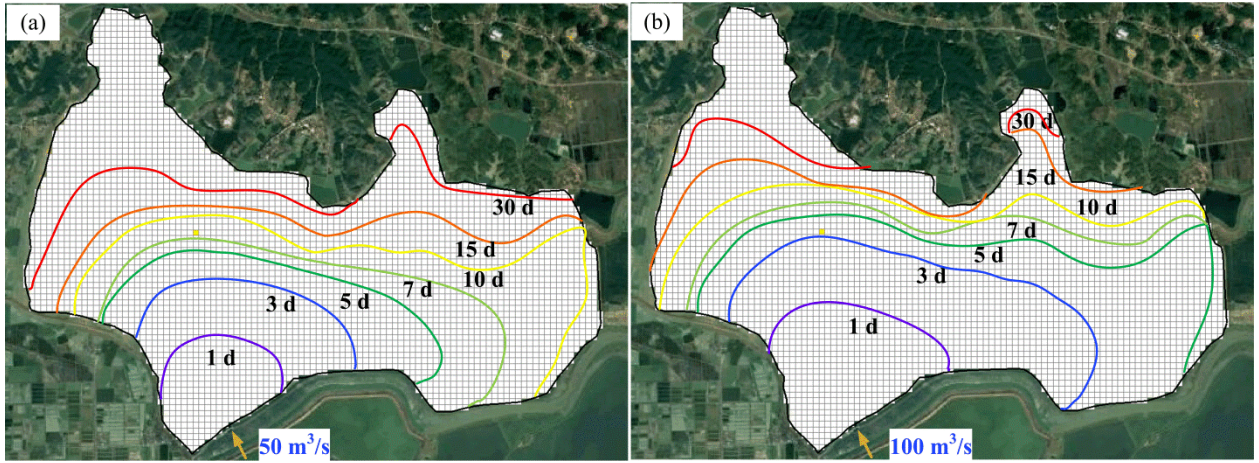
641 Fig. 4.



642

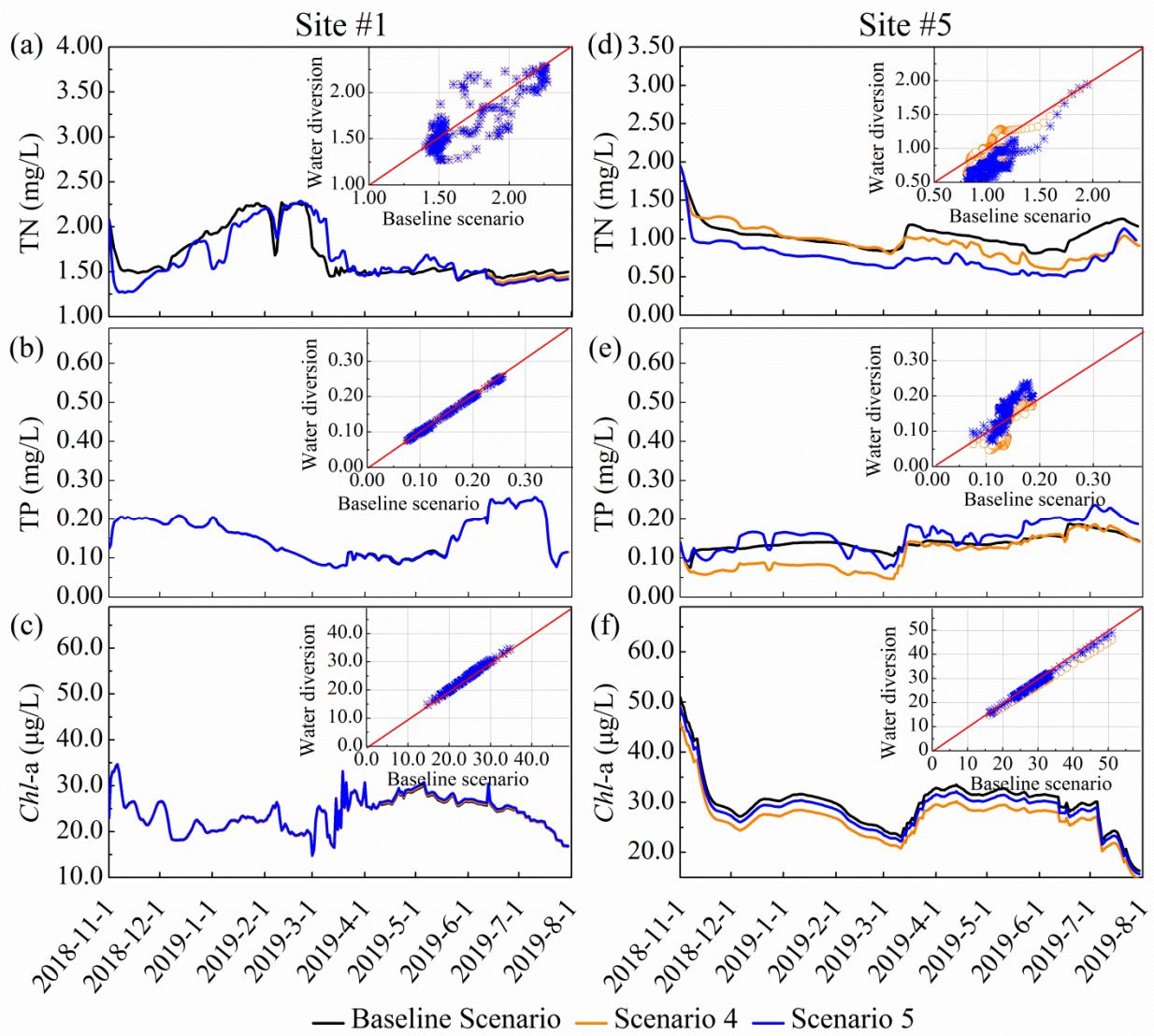
643 Fig. 5.





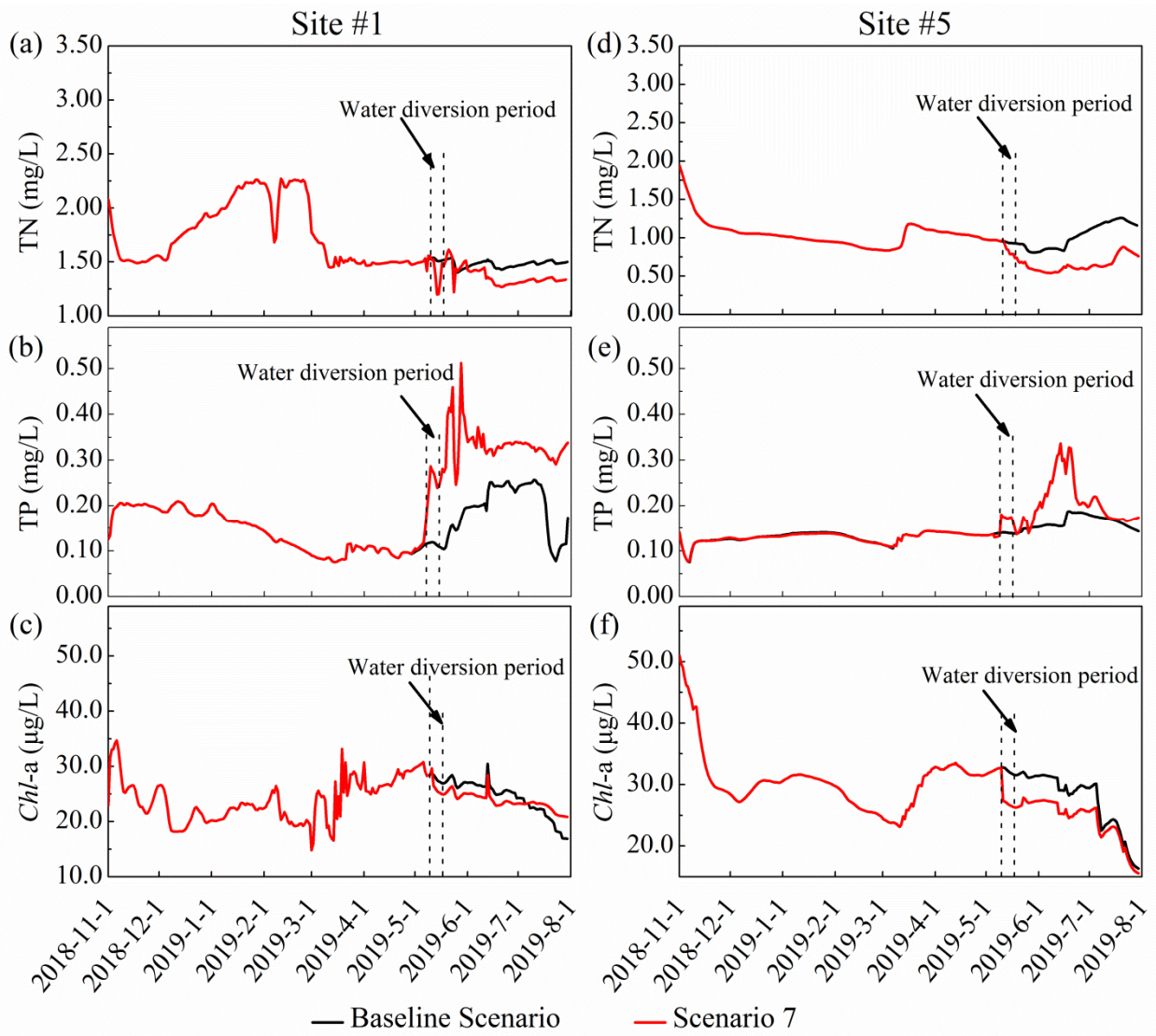
644

645 Fig. 6.



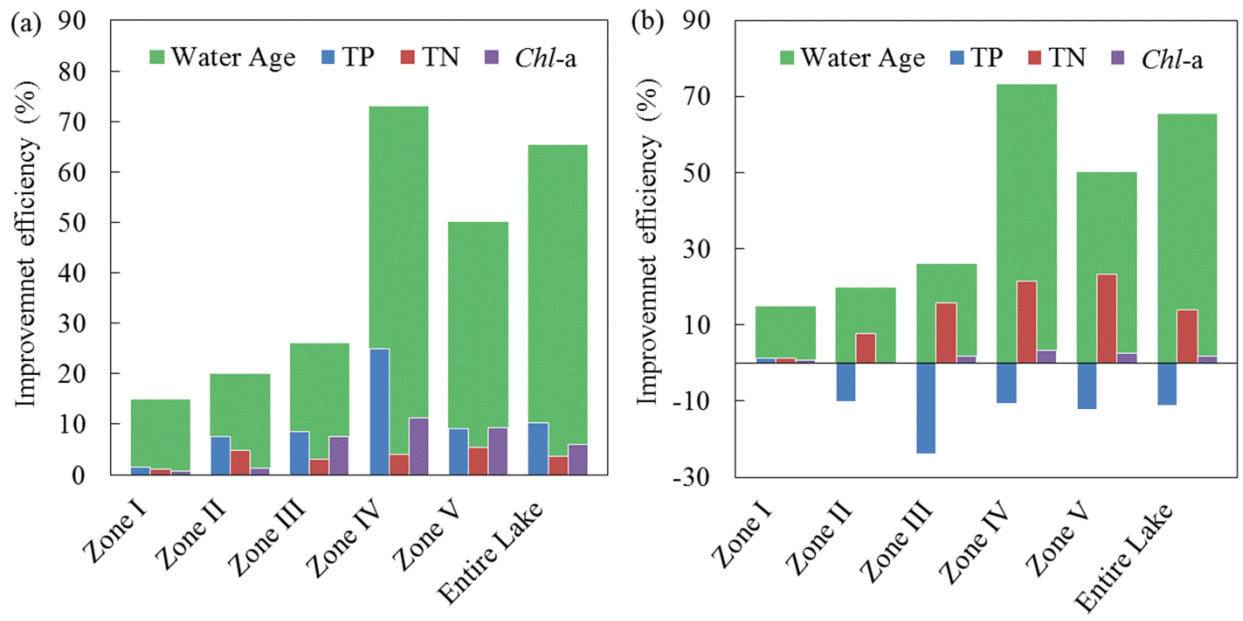
646

647 Fig. 7.



648

649 Fig. 8.



650

651 Fig. 9.