

Dynamic data structures for parameterized string problems*

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Abstract

We revisit classic string problems considered in the area of parameterized complexity, and study them through the lens of dynamic data structures. That is, instead of asking for a static algorithm that solves the given instance efficiently, our goal is to design a data structure that efficiently maintains a solution, or reports a lack thereof, upon updates in the instance.

We first consider the CLOSEST STRING problem, for which we design randomized dynamic data structures with amortized update times $d^{\mathcal{O}(d)}$ and $|\Sigma|^{\mathcal{O}(d)}$, respectively, where Σ is the alphabet and d is the assumed bound on the maximum distance. These are obtained by combining known static approaches to CLOSEST STRING with color-coding.

Next, we note that from a result of Frandsen et al. [J. ACM'97] one can easily infer a meta-theorem that provides dynamic data structures for parameterized string problems with worst-case update time of the form $\mathcal{O}_k(\log \log n)$, where k is the parameter in question and n is the length of the string. We showcase the utility of this meta-theorem by giving such data structures for problems DISJOINT FACTORS and EDIT DISTANCE. We also give explicit data structures for these problems, with worst-case update times $\mathcal{O}(k2^k \log \log n)$ and $\mathcal{O}(k^2 \log \log n)$, respectively. Finally, we discuss how a lower bound methodology introduced by Amarilli et al. [ICALP'21] can be used to show that obtaining update time $\mathcal{O}(f(k))$ for DISJOINT FACTORS and EDIT DISTANCE is unlikely already for a constant value of the parameter k .

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1 Introduction

The field of parameterized complexity is based on the principle of *parameterization*: measuring the usage of resources not only in terms of the total input size, but also in terms of auxiliary complexity measures called *parameters*. Traditionally, the principle is applied to static algorithms and their running times, but the idea can be — and has been — used within essentially every algorithmic paradigm. Among these, a recent line of research has identified the area of dynamic data structures as one where the application of the parameterized approach leads to new and interesting results, see e.g. [3, 16, 18, 19, 24, 31]. In this work we continue this promising direction by investigating classic string problems considered in parameterized complexity.

Arguably, the most widely known parameterized string problem is CLOSEST STRING.

CLOSEST STRING

Input: Integer d and words $s_1, s_2, \dots, s_n \in \Sigma^L$ over an alphabet Σ , each of length L

Task: Decide whether there exists a word $c \in \Sigma^L$ such that for every $i \in \{1, \dots, n\}$, the Hamming distance between s_i and c is at most d .

CLOSEST STRING has several natural parameters: $n, d, L, |\Sigma|$. For the parameterization by d and Σ , Gramm et al. [23] gave a $d^{\mathcal{O}(d)} \cdot (nL)^{\mathcal{O}(1)}$ -time algorithm, while Ma and Sun [30] gave a $|\Sigma|^{\mathcal{O}(d)} \cdot (nL)^{\mathcal{O}(1)}$ -time algorithm. By now, these are literally textbook examples of the technique of branching [17, Theorem 3.14 and Exercise 3.25], and their running times are known to be asymptotically optimal under the Exponential Time Hypothesis (ETH) [29]. For the parameterization by n , the classic algorithm of Gramm et al. [23] solves the problem in time $2^{n^{\mathcal{O}(n)}} \cdot L^{\mathcal{O}(1)}$ by a reduction to integer programming in dimension $n^{\mathcal{O}(n)}$. Recently, Koucký et al. [27] improved this running time to $n^{\mathcal{O}(n^2)} \cdot L^{\mathcal{O}(1)}$ using exciting developments in parameterized algorithms for block-structured integer programs. Kernelization algorithms for CLOSEST STRING were studied in [8].

We study the *dynamic variant* of CLOSEST STRING, which is to design a dynamic data structure supporting the following operations:

- *Initialize* the data structure for a given instance of CLOSEST STRING.
- *Update* the data structure upon modification of a single symbol in a single string s_i .
- *Query* whether the current instance is a yes-instance of CLOSEST STRING.

Note that parameters n, d, L , and Σ are fixed on the initialization and do not change over the life of the data structure; only the strings s_1, \dots, s_n can be modified, and by one symbol at the time. Also, we assume that upon query, the data structure is only required to answer *yes* or *no*, and does not need to provide the solution c .

For this variant we give randomized dynamic data structures whose update time match the parametric factors in the runtimes of the algorithms of Gramm et al. [23] and of Ma and Sun [30].

Theorem 1.1. *The dynamic variant of CLOSEST STRING admits a randomized data structure with initialization time $2^{\mathcal{O}(d)} \cdot nL|\Sigma|^{1+o(1)}$, amortized update time $2^{\mathcal{O}(d)}$, and worst-case query time $d^{\mathcal{O}(d)}$ or $|\Sigma|^{\mathcal{O}(d)}$, whichever is smaller. The answer to each query may result with a false positive with probability at most $2^{-\Omega(d)}$; there are no false negatives.*

In the proof of Theorem 1.1 we combine the classic approach to CLOSEST STRING, originating in [23, 30], with an interesting application of color-coding. The randomization comes from the color-coding; we can dispose of it using standard derandomization techniques (see [17, Section 5.6]), but at the cost of introducing an additional $\mathcal{O}(\log(nL))$ factor in the update time. Also, note that

by the results of [29], under ETH one cannot expect to improve the query time to $d^{o(d)}$ or $|\Sigma|^{o(d)}$, even in the amortized sense.

Next, we turn attention to other problems. First, we note that combining a result of Frandsen et al. [20] on dynamic word problem for aperiodic semigroups with the classic Schützenberger-McNaughton-Papert Theorem [33, 38] yields the following meta-theorem.

Theorem 1.2. *Suppose Σ is a finite alphabet and $L \subseteq \Sigma^*$ is a language definable in logic $\text{FO}[\Sigma, <]$. Then there exists a data structure that for a given word $w \in \Sigma^*$, which can be updated over time by replacing single symbols, maintains whether $w \in L$. The data structure can be initialized on a given word w in time $\mathcal{O}(n)$ where $n = |w|$, and then every update takes worst-case time $\mathcal{O}(\log \log n)$.*

Theorem 1.2 follows immediately from the combination explained above, so we consider it an essentially known result (though we could not find this precise formulation in the literature). What is new is the observation that this result is a very convenient tool for obtaining dynamic data structures in the parameterized setting. We showcase this by considering the following two problems.

DISJOINT FACTORS

Input: A word $w \in \{1, \dots, k\}^*$, where k is an integer

Task: Decide whether there exists pairwise disjoint subwords w_1, w_2, \dots, w_k of w such that for each $i \in \{1, \dots, k\}$, w_i has length at least 2 and begins and ends with symbol i .

EDIT DISTANCE

Input: Integer k and two words $u, v \in \Sigma^*$, where Σ is an alphabet

Task: Decide whether $\text{ed}(u, v) \leq k$, that is, whether v can be obtained from u by a sequence of at most k edits, each consisting of a deletion, insertion, or substitution of a single symbol.

DISJOINT FACTORS has been introduced in [11] as a stepping stone for kernelization hardness of the DISJOINT CYCLES and DISJOINT PATHS problems. We choose to use it in this work as an example, because its simple combinatorial structure makes many basic ideas clearly visible. On the other hand, EDIT DISTANCE is a problem of immense importance with multiple applications. It can be solved in time $\mathcal{O}(n^2)$ by standard dynamic programming (where n is the total length of the words). The best currently known algorithm for EDIT DISTANCE runs in $\mathcal{O}(n^2/\log(n)^2)$ time [32] and under the Strong ETH, there is no strongly subquadratic algorithm [7, 1, 14, 2]. Here, we focus on parameterization by the size of the solution k . In terms of this parametrization EDIT DISTANCE can be solved in $\mathcal{O}(n + k^2)$ by the celebrated Landau and Vishkin algorithm [28] and even in sublinear time when approximation is allowed [9, 6, 22].

We observe that both for DISJOINT FACTORS and for EDIT DISTANCE, the language of yes-instances can be defined in $\text{FO}[\Sigma, <]$ using a sentence of length bounded in terms of the parameters. Therefore, by simply applying Theorem 1.2, we obtain data structures for the dynamic variants of DISJOINT FACTORS and EDIT DISTANCE (defined similarly as for CLOSEST STRING) with worst-case update times $\mathcal{O}_k(\log \log n)$. As usual with meta-theorems, the parametric dependence in these complexity guarantees is not explicit. For this reason, we also design explicit data structures for both problems.

Theorem 1.3. *The dynamic variant of DISJOINT FACTORS admits a data structure with initialization time $\mathcal{O}(k2^k + kn)$, worst-case query time $\mathcal{O}(1)$, and worst-case update time $\mathcal{O}(k2^k \log \log n)$.*

Theorem 1.4. *The dynamic variant of EDIT DISTANCE admits a data structure with initialization time $\mathcal{O}(kn)$, worst-case query time $\mathcal{O}(1)$, and worst-case update time $\mathcal{O}(k^2 \log \log n)$.*

Theorems 1.3 and 1.4 are based on Lemmas 5.1 and 5.3 and the static algorithms shown under these lemmas. Our key component are van Emde Boas trees [41]. This is not surprising, as van Emde Boas trees are also the main tool underlying the proof of Theorem 1.2 (see [20]). In both cases, we heavily build upon known static algorithms [28, 11]. We point out that these results serve mainly as a demonstration that one can improve the dependence on the parameter guaranteed by Theorem 1.2 for concrete problems. We are not aware of any previous works on DISJOINT FACTORS in exactly this dynamic setting. EDIT DISTANCE was considered in the dynamic setting for unbounded values of k and only polynomial in n updates are known [26, 15, 5].

Finally, we observe that we can use the hardness methodology proposed by Amarilli et al. [4] to establish conditional lower bounds against improving the update time in Theorems 1.3 and 1.4. More precisely, we prove that already for constant values of the parameters, the problems DISJOINT FACTORS and EDIT DISTANCE are *prefix- U_1 hard*, which means that finding a data structure for them is at least as hard as designing a data structure for the following problem: for a dynamic word w over $\{0, 1\}^*$, support queries of the form “given i , is the first symbol 1 in w at position $\leq i$ ”. Amarilli et al. [4] conjectured that no data structure for this problem achieves update time $\mathcal{O}(1)$, and our reduction carry this hardness over to the dynamic variants of DISJOINT FACTORS and EDIT DISTANCE. Let us point out that the two discussed problems are just examples, and the obtained hardness methodology can be applied to a multitude of other dynamic string problems.

Organization In the Section 2 we give a short preliminaries. Next, in Section 3 we present a proof of Theorem 1.1 and in Section 4 we prove Theorem 1.2. Subsequently, in Section 5 we give dynamic data structures for DISJOINT FACTORS and EDIT DISTANCE and in Section 6 we show lower bounds for them. Appendix A contains omitted proofs.

2 Preliminaries

For a parameter ℓ , we write $\mathcal{O}_\ell(\cdot)$ to hide factors depending only on ℓ . The $\text{poly}(n_1, n_2)$ denotes $(n_1 n_2)^{\mathcal{O}(1)}$. We use a shorthand notation $[n] := \{1, \dots, n\}$. For two sets X, Y , $X \Delta Y$ denotes their symmetric difference $(X \setminus Y) \cup (Y \setminus X)$. For two words $u, v \in \Sigma^L$, by $\text{dist}(u, v)$ we denote the Hamming distance between u and v . For a word $u \in \Sigma^L$ and a set $X \subseteq [L]$, we write $a[X] \in \Sigma^{|X|}$ for the word obtained from u by removing all positions outside of X . For $1 \leq i \leq j \leq m$, we write $u[i : j] \in \Sigma^{j-i+1}$ for $u[\{i, \dots, j\}]$.

Computation Model In this paper we work in the standard word-RAM model. In all our results the $\mathcal{O}(\log \log n)$ factors come exclusively from application of van Emde Boas trees that solve PREDECESSOR problem, where one needs to maintain a set S of n w -bit integers. In update one can insert/delete integers to/from set S . During query, for a given integer x one should returns the largest integer $y \in S$ such that $x \geq y$. PREDECESSOR problem is a well-studied problem both in terms of lower and upper bounds (see the recent survey [35]). In word-RAM the complexity of PREDECESSOR operations is well understood to be

$$\Theta \left(\max \left[1, \min \left\{ \log_w(n), \frac{\log \frac{w}{\log w}}{\log \left(\log \frac{w}{\log w} / \log \frac{\log n}{\log w} \right)}, \log \frac{\log(2^w - n)}{\log w} \right\} \right] \right) \quad (1)$$

The upper and lower bounds were given by Pătraşcu and Thorup [36], see also [10, 21]. This means that strictly speaking $\mathcal{O}(\log \log n)$ factors in our paper, could be replaced with Equation 1 in word-RAM model depending on word size. We are using the worse $\mathcal{O}(\log \log n)$ bound in order to

keep the results transparent. Note that the $\mathcal{O}(\log \log n)$ bound for PREDECESSOR is tight in more restricted computation models (see, e.g., [34]).

3 Closest String

In this section, we show the first half of Theorem 1.1 by proving the following theorem.

Theorem 3.1. *The dynamic variant of CLOSEST STRING admits a randomized data structure with initialization time $2^{\mathcal{O}(d)}nL$, amortized update time $2^{\mathcal{O}(d)}$, and worst-case query time $d^{\mathcal{O}(d)}$. The answer to each query may result with a false positive with probability at most $2^{-\Omega(d)}$; there are no false negatives.*

Throughout this section we fix the parameter $d \in \mathbb{N}$ and denote $\mathcal{S} := \{s_1, \dots, s_n\}$ for brevity, and call it a *dictionary*. Then updates on such a dictionary consist of replacing one symbol in one word with another symbol. Our data structure is based on the static algorithm for CLOSEST STRING due to Gramm et al. [23].

3.1 Branching for Closest String

<pre> Algorithm ClosestString(\mathcal{S}, d) 1 if there exist $s_i, s_j \in \mathcal{S}$ such that $\text{dist}(s_i, s_j) > 2d$ then 2 return False 3 Set q to be any word from \mathcal{S} 4 return ClosestStringRec(\mathcal{S}, q, d) Procedure ClosestStringRec(\mathcal{S}, q, x) 5 if $x < 0$ then 6 return False 7 if there exists $s \in \mathcal{S}$ such that $\text{dist}(s, q) > d$ then 8 Find $P := \{i \in [L] \text{ such that } s[i] \neq q[i]\}$ // Observe that $P \leq 3d$ 9 for $i \in P$ do 10 Set $q'[j] := \begin{cases} s[i] & \text{if } i = j, \\ q[j] & \text{otherwise.} \end{cases}$ 11 if ClosestString($\mathcal{S}, q', x - 1$) then 12 return True 13 return False 14 return True </pre>
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Algorithm 1: Pseudocode of static $\mathcal{O}((3d)^d \text{poly}(n, L))$ time algorithm for CLOSEST STRING. To get a dynamic data structure, use Lemma 3.2 to perform manipulations on q .

Algorithm 1 presents a pseudocode for an $(3d)^d \text{poly}(n, L)$ time algorithm for CLOSEST STRING loosely based on [23]. We first check if every pair of words of \mathcal{S} are at distance at most more than $2d$ from each other; otherwise, by triangle inequality, we can safely terminate and return that there is no solution. Following this, we run a recursive search that maintains a candidate q for a solution, together with an upper bound x on how far from q , in terms of Hamming distance, we allow the sought solution to be. These are initially set to be any word in \mathcal{S} and d . Within the search, we first

verify whether q is already a solution. If yes, then we can terminate, this time yielding a positive answer. Otherwise, there is some $s \in \mathcal{S}$ at distance more than d from q . Observe that due to the initial check and the fact that during recursion we modify q at most d times, it will be always the case that s and q differ on at most $3d$ positions. Hence, we can branch over one of at most $3d$ possibilities of modifying q by a single letter so that q gets closer to s . The nontrivial observation is that if there exists a solution, one of the modifications will take us closer to it in terms of the Hamming distance.

For the running time, observe that in each call we can make at most $|P| \leq 3d$ guesses. Moreover, through the execution of the algorithm we can only modify at most d letters in q . This means that the total size of the recursion tree is $\mathcal{O}((3d)^d)$.¹

Let us take a closer look at the polynomial factors of the algorithm presented above and discuss problems with dynamization. In line 1 we need to check if there exist words $s_i, s_j \in \mathcal{S}$ with $\text{dist}(s_i, s_j) > 2d$. Naively, one needs to iterate over every pair of words in \mathcal{S} and compute the distance exactly which already requires n^2 iterations, where $n = |\mathcal{S}|$. Even if somehow, this number could be decreased, observe that in order to compute a distance between a fixed pair of words one needs to at least read them in $\mathcal{O}(L)$ time, which is too slow. Later, manipulations on the candidate word q also require $\mathcal{O}(nL)$ time in each call of the recursive procedure `ClosestStringRec()`, as q is checked against all words in \mathcal{S} .

We remedy these problems by introducing a data structure that maintains a dictionary \mathcal{S} and provides access to all operations needed in the algorithm presented above, including efficient manipulation of the candidate q . This data structure is described in the following lemma.

Lemma 3.2 (Far word data structure). *There exists a randomized data structure that maintains dictionary \mathcal{S} of words in Σ^L with amortized $2^{\mathcal{O}(d)}$ time updates; the initialization time is $2^{\mathcal{O}(d)}nL|\Sigma|$. The data structure provides the following method:*

- **QueryFarPair()**: *Decide if there exist $s, s' \in \mathcal{S}$ with $\text{dist}(s, s') > 2d$. The query may also return a positive answer in case there are no s, s' as above, but then it is guaranteed that the answer to the instance (\mathcal{S}, d) is negative.*

Further, the data structure provides access to a special word $q \in \Sigma^L$ through the following methods:

- **Reset()**: *Reset q to the first word in \mathcal{S} .*
- **UpdateCandidate(i, a)**: *Change the i th position of q to symbol a .*
- **QueryFarWord()**: *Query if there exists $s \in \mathcal{S}$ with $\text{dist}(s, q) > d$, and if so, return the pointer to s and the set of positions where s and q differ.*

Usage of the above requires the following promises:

- Usage of **Reset()** must be preceded by obtaining a negative answer to **QueryFarPair()**.
- Following resetting q to $s \in \mathcal{S}$ through usage of **Reset()**, the user has to guarantee that the assertion $\text{dist}(q, s) \leq d$ will hold at all times till the next usage of **Reset()**.
- Every update to any word in \mathcal{S} resets q to be undefined, so that **Reset()** needs to be invoked again to enable operations on q .

Methods **QueryFarPair()**, **Reset()**, **UpdateCandidate()**, **QueryFarWord()** work in worst-case time $2^{\mathcal{O}(d)}$. Queries **QueryFarPair()** and **QueryFarWord()** return a false negative with probability $2^{-\Omega(d)}$; there are no false positives.

A few remarks are in place regarding the use of randomness in the data structure of Lemma 3.2. Namely, random bits are used solely in the initialization of the data structure, and the correctness of subsequent uses of query methods depends on those initial random bits. As a result, the events that queries return correct answers are *not* independent, meaning that the error probability cannot

¹With clever optimizations, one can decrease the running time to be $\mathcal{O}((d+1)^d \text{poly}(n, L))$ [17].

be improved in the standard way by repeating each query many times. Instead, one can improve the error probability by setting up multiple independent copies of the data structure of Lemma 3.2.

With Lemma 3.2 stated, we can show how to derive Theorem 3.1 from it.

Proof of Theorem 3.1 assuming Lemma 3.2. We initialize and maintain $\alpha \log d$ independent copies of the data structure provided by Lemma 3.2 for some large enough constant α , to be determined later. Each update and each query is accordingly relayed to all these data structures; the output of a query is the disjunction of outputs provided by the individual data structures. In this way, we may assume that we have one instance of the data structure of Lemma 3.2 where the probability of a false negative is reduced to $(2^{-\Omega(d)})^{\alpha \log d} = (d^{-\Omega(d)})^\alpha$. The cost for this is that the running times of all methods are increased by a multiplicative factor of $\mathcal{O}(\log d)$; this will be immaterial in the forthcoming complexity analysis.

It remains to implement the query: we look for a word c that is at Hamming distance at most d from all the words in \mathcal{S} . The idea is to run Algorithm 1 with all operations replaced by suitable invocations of methods of the data structure of Lemma 3.2. Lines 1 and 3 are replaced by invocations of methods `QueryFarPair()` and `Reset()`, respectively. In line 7, we invoke method `QueryFarWord()`. Finally, in line 10 we use one `UpdateCandidate()` operation before recursing, and we roll-back this update (using the `UpdateCandidate()` method again) when returning from the recursion. The running time and the correctness (assuming no false negatives from the data structure of Lemma 3.2) follow from the correctness of the original static algorithm and Lemma 3.2. It is also easy to verify that the promises required by the data structure of Lemma 3.2 are kept.

It remains to bound the probability of a false positive. Clearly, a false positive might arise only if some invocation of a method of the data structure of Lemma 3.2 returns a false negative. Since the recursion tree of procedure `ClosestString()` has depth at most d and branching at most $3d$, it has at most $2(3d)^d$ nodes, hence in total there are at most $1 + 2(3d)^d$ invocations of methods of the data structure of Lemma 3.2. By setting α large enough, we have $(1 + 2(3d)^d) \cdot (d^{-\Omega(d)})^\alpha \leq 2^{-\Omega(d)}$. So by the union bound, the probability of an error is bounded by $2^{-\Omega(d)}$. \square

Now, we discuss the technical ideas behind the proof of Lemma 3.2. The key idea is that we can efficiently maintain an approximate solution, as explained in the lemma below.

Lemma 3.3 (Approximate CLOSEST STRING). *There exists a data structure that maintains a dictionary \mathcal{S} of words in Σ^L with amortized update time $\mathcal{O}(|\Sigma|)$, as well as a word $o \in \Sigma^L$ with the following guarantee: if the answer to the CLOSEST STRING instance (\mathcal{S}, d) is positive, then $\text{dist}(o, s) \leq 4|\Sigma| \cdot d$ for every $s \in \mathcal{S}$. Data structure can be initialized in $\mathcal{O}(nL)$ time.*

Moreover, the data structure also maintains the set $\Delta(o, s) := \{i \in [L] \mid o[i] \neq s[i]\}$ for every $s \in \mathcal{S}$ and, upon request, can return $\Delta(o, s)$ in time $\mathcal{O}(|\Delta(o, s)|)$. Finally, the data structure can check whether $\text{dist}(o, s) \leq 4|\Sigma| \cdot d$ for all $s \in \mathcal{S}$ in time $\mathcal{O}(1)$.

In Section 3.2 we prove Lemma 3.3. Next, in Section 3.3 we use an approach based on color coding to leverage Lemma 3.3 to a data structure achieving the first part of Lemma 3.2: maintaining a dictionary \mathcal{S} and implementing query `QueryFarPair()`. Adding the functionality concerning the candidate word q uses similar arguments and is presented in Section 3.4. Looking at the statement of Lemma 3.3, the reader might be at this point worried that this plan involves complexities dependent also on $|\Sigma|$. However, in Section 3.3 we will show how to reduce $|\Sigma|$ to $\mathcal{O}(d)$ using color coding.

3.2 Approximate Closest String

In this section we prove Lemma 3.3. The main idea is to define $o \in \Sigma^L$ through an approximate majority vote for every position, maintained in a lazy fashion. We formalize this through the

following definition.

Definition 3.4 (Origin Word). *An origin word for a dictionary \mathcal{S} of words in Σ^L is a word $o \in \Sigma^L$ such that*

$$|\{s \in \mathcal{S} \mid s[i] = o[i]\}| \geq \frac{|\mathcal{S}|}{2^{|\Sigma|}} \text{ for every } i \in [L].$$

We say that the origin word o is good if $\text{dist}(o, s) \leq 4^{|\Sigma|} \cdot d$ for every $s \in \mathcal{S}$.

By definition, if an origin word is good, then it is a solution for the CLOSEST STRING instance $(\mathcal{S}, 4^{|\Sigma|}d)$. We now show a reverse “soundness” implication: if some origin word is not good, then for sure there is no solution for (\mathcal{S}, d) .

Lemma 3.5. *If for an instance (\mathcal{S}, d) there exists an origin word that is not good, then the answer to (\mathcal{S}, d) is negative.*

Proof. For the sake of contradiction, let us assume that there exists $c \in \Sigma^L$ such that $\text{dist}(c, s) \leq d$ for every $s \in \mathcal{S}$. Moreover, there exists some origin word $o \in \Sigma^L$ and a witness $w \in \mathcal{S}$ such that $\text{dist}(o, w) > 4d^{|\Sigma|}$.

Let $C_{o,w}$ be the total count of matches between o and all words in \mathcal{S} at the positions where o and w differ. That is,

$$C_{o,w} := |\{(i, s) \in [L] \times \mathcal{S} \text{ such that } w[i] \neq o[i] \text{ and } s[i] = o[i]\}|.$$

Let us show a lower bound on $C_{o,w}$. Observe that for a witness $w \in \mathcal{S}$ there are at least $\text{dist}(o, w)$ positions i that are taken into account when computing $C_{o,w}$. Moreover, by the definition of origin word o , for every position $i \in [L]$ at least $|\mathcal{S}|/(2^{|\Sigma|})$ words match o on position i . Therefore,

$$\frac{|\mathcal{S}| \cdot \text{dist}(o, w)}{2^{|\Sigma|}} \leq C_{o,w}. \quad (2)$$

On the other hand, we assumed that there exists $c \in \Sigma^L$ such that $\text{dist}(c, s) \leq d$ for every $s \in \mathcal{S}$. Since $w \in \mathcal{S}$, by triangle inequality we have $\text{dist}(s, w) \leq 2d$ for every $s \in \mathcal{S}$. Hence

$$C_{o,w} \leq 2d \cdot |\mathcal{S}|. \quad (3)$$

By combining (2) and (3) we conclude that $\text{dist}(o, w) \leq 4^{|\Sigma|}d$, a contradiction. \square

Next, we argue that in $\mathcal{O}(|\Sigma|)$ time we can maintain some origin word for a given dictionary.

Lemma 3.6. *In $\mathcal{O}(nL)$ time we can initialize a data structure that for a given dictionary \mathcal{S} of words in Σ^L maintains some origin word $o \in \Sigma^L$ with amortized update time $\mathcal{O}(|\Sigma|)$. The data structure also maintains the set $\Delta(o, s) := \{i \in [L] \mid s[i] \neq o[i]\}$ for every $s \in \mathcal{S}$ and upon request, can return each set $\Delta(o, s)$ in time $\mathcal{O}(|\Delta(o, s)|)$. Finally, the data structure can check whether o is good in time $\mathcal{O}(1)$.*

Proof. Upon initialization, we set $o \in \Sigma^L$ so that for every position $i \in [L]$, $o[i]$ is a symbol that occurs the most often among $s[i]$ for $s \in \mathcal{S}$. Clearly, o constructed in this way is an origin word. We also compute the relevant sets $\Delta(o, s)$.

The data structure stores the following additional data. For every position $i \in [L]$ and every symbol $\alpha \in \Sigma$, we maintain a counter indicating the number of words $s \in \mathcal{S}$ such that $s[i] = \alpha$. Each set $\Delta(o, s)$ is stored as a linked list (with no assumption on the order), plus there is an array of length L whose i th entry is either null if $i \notin \Delta(o, s)$, or contains a pointer to the relevant

object on the linked list representing $\Delta(o, s)$. Additionally, with each set $\Delta(o, s)$ we maintain its size. Additionally, we store a single counter indicating the number of words $s \in \mathcal{S}$ such that $|\Delta(o, s)| \geq 4|\Sigma|d$. This counter can be used to answer queries about the goodness of o in time $\mathcal{O}(1)$. Upon initialization, all of the above can be computed in time $\mathcal{O}(nL)$ in a straightforward way.

We now explain how the data structure behaves upon an update. Suppose position $s_j[i]$ is modified. We update the relevant counters for position i and update $\Delta(o, s_j)$ accordingly. Next, we check whether the counter for the symbol $o[i]$ at position i did not drop below $|\mathcal{S}|/(2|\Sigma|)$. If not, then o remains an origin word and there is no need to change o . Otherwise, we modify $o[i]$ as follows.

By iterating through all words in \mathcal{S} , we compute the most frequent symbol among $s[i]$ for $s \in \mathcal{S}$, and we set $o[i]$ to be this symbol. Moreover, we iterate over all $s \in \mathcal{S}$ and update $\Delta(o, s)$ accordingly, by adding or removing the position i if needed. These operations require total time $\mathcal{O}(|\mathcal{S}|)$.

We now argue that the amortized update time is $\mathcal{O}(|\Sigma|)$. By the pigeon-hole principle, when symbol $o[i]$ gets modified, it is replaced by a symbol that occurs at least $|\mathcal{S}|/|\Sigma|$ times on position i in words from \mathcal{S} . Also, this is true for the symbol placed as $o[i]$ upon initialization. Therefore, before every update when $o[i]$ gets modified, there are at least $|\mathcal{S}|/(2|\Sigma|)$ updates on position i where $o[i]$ was modified. We can charge the running time $\mathcal{O}(|\mathcal{S}|)$ used when modifying $o[i]$ to those previous updates, thus obtaining amortized update time $\mathcal{O}(|\Sigma|)$. \square

Now Lemma 3.3 follows by combining Lemmas 3.6 and 3.5.

3.3 Detecting dissimilar words

In this section we prove the first part of Lemma 3.2: we present a data structure that maintains the dictionary and implements the method `QueryFarPair()`, and for now we ignore the methods for handling q .

In the data structure, we will maintain hashes of all words in \mathcal{S} to the binary alphabet. More precisely, upon initialization of the data structure, we uniformly at random sample a function $h: [L] \times \Sigma \rightarrow \{0, 1\}$ that assigns a label 0 or 1 to every position and symbol in the alphabet. This function is fixed for the whole life of the data structure and stored in it. In notation, we shall use a natural lift of $h: \Sigma^L \rightarrow \{0, 1\}^L$ that applies h position-wise. In the data structure we store, together with \mathcal{S} , the hashed dictionary $\tilde{\mathcal{S}} := \{h(s) : s \in \mathcal{S}\}$. Observe that upon every update to \mathcal{S} we can also update $\tilde{\mathcal{S}}$ in constant time.

We also maintain an approximate solution $o \in \{0, 1\}^L$ for the dictionary $\tilde{\mathcal{S}}$ using the data structure of Lemma 3.3. Recall that we can query the data structure of Lemma 3.3 about whether $\text{dist}(o, \tilde{s}) \leq 8d$ for all $\tilde{s} \in \tilde{\mathcal{S}}$ and if this is not the case, then we know for sure that the instance $(\tilde{\mathcal{S}}, d)$ of CLOSEST STRING has a negative answer. Note that this conclusion implies that the original instance (\mathcal{S}, d) also has a negative answer.

In addition to the approximate solution o , the data structure of Lemma 3.3 provides an access to the sets $\Delta(o, \tilde{s})$ of positions where o and \tilde{s} differ, for all $\tilde{s} \in \tilde{\mathcal{S}}$.

Finally, we also hash positions as follows. Upon initialization, we sample uniformly at random a function $\pi: [L] \rightarrow [16d]$ which maps positions to a set of $16d$ colors (numbers from 1 to $16d$). Again, this function is fixed for the whole life of the data structure and stored in it. For a word $\tilde{s} \in \tilde{\mathcal{S}}$, let $\text{colors}_{o, \pi}(s) = \{\pi(i) \mid i \in [L] \text{ and } s[i] \neq o[i]\}$ be the set of colors assigned to the symbols in \tilde{s} that are on positions where \tilde{s} does not match the origin word $o \in \{0, 1\}^L$.

In the data structure we maintain, for every $C \subseteq [16d]$, the set $\Phi(C)$ defined as follows:

$$\Phi(C) = \{\tilde{s} \in \tilde{\mathcal{S}} \mid \text{colors}_{o, \pi}(\tilde{s}) = C\}.$$

In other words, $\Phi(C)$ is the set of words from $\tilde{\mathcal{S}}$ that get assigned color set C . The next statement shows that sets $\Phi(C)$ can be maintained in $2^{\mathcal{O}(d)}$ time per update.

Lemma 3.7. *We can initialize in $2^{\mathcal{O}(d)} \cdot nL$ time a data structure that for every $C \subseteq [16d]$ maintains the set $\Phi(C)$ in amortized $2^{\mathcal{O}(d)}$ time per update to \mathcal{S} . When queried about any $C \subseteq [16d]$, the data structure in $\mathcal{O}(1)$ time either returns any element from $\Phi(C)$, or asserts that $\Phi(C)$ is empty.*

The proof of Lemma 3.7 is deferred to Appendix A. It is rather technical and builds on the data structure of Lemma 3.3 by additionally storing sets $\Phi(C)$ as doubly-linked lists. Every modification to $\tilde{\mathcal{S}}$ and o triggers a number of modifications to lists representing $\Phi(C)$, consisting of moving some elements from one list to another. The same amortization argument as the one used in the proof of Lemma 3.3 shows that the amortized update time is $2^{\mathcal{O}(d)}$.

Method: QueryFarPair()

- 1 **if** it is not the case that $\text{dist}(o, \tilde{s}) \leq 8d$ for all $\tilde{s} \in \tilde{\mathcal{S}}$ **then**
- 2 | **return** Answer to (\mathcal{S}, d) is negative.
- 3 **for** every $X, Y \subseteq [16d]$ with $|X \Delta Y| > 2d$ **do**
- 4 | **if** $\Phi(X)$ and $\Phi(Y)$ are both nonempty **then**
- 5 | | **return** There are words $s, s' \in \mathcal{S}$ with $\text{dist}(s, s') > 2d$.
- 6 **return** It holds that $\text{dist}(s, s') \leq 2d$ for all $s, s' \in \mathcal{S}$.

Algorithm 2: Pseudocode for the method QueryFarPair().

We now present implementation of the query operation; see Algorithm 2 for a pseudocode. We first check whether $\text{dist}(o, \tilde{s}) \leq 8d$ for all $\tilde{s} \in \tilde{\mathcal{S}}$. As argued in Lemma 3.5, if this is not the case, then we can safely conclude that the answer to the instance (\mathcal{S}, d) is negative. Otherwise, we iterate over every pair of sets $X, Y \subseteq [16d]$ with $|X \Delta Y| = |(X \setminus Y) \cup (Y \setminus X)| > 2d$. Then, we check whether both $\Phi(X)$ and $\Phi(Y)$ are nonempty. If that is the case, then (as we will argue) any pair $(\tilde{s}, \tilde{s}') \in \Phi(X) \times \Phi(Y)$ satisfies $\text{dist}(\tilde{s}, \tilde{s}') > 2d$, implying that the original words $s, s' \in \mathcal{S}$ also satisfy $\text{dist}(s, s') > 2d$. Otherwise, if for every such X and Y at least one of $\Phi(X)$ or $\Phi(Y)$ is empty, we conclude that there is no pair $s, s' \in \mathcal{S}$ with $\text{dist}(s, s') > 2d$.

Because the number of pairs $X, Y \subseteq [16d]$ is $2^{\mathcal{O}(d)}$, the query algorithm runs in $2^{\mathcal{O}(d)}$ time in total. The next lemma shows that if the algorithm finds some pair of words and reports that they are at distance larger than $2d$, then this answer is correct.

Lemma 3.8. *Suppose Algorithm 2 finds a pair $X, Y \subseteq [16d]$ with $|X \Delta Y| > 2d$ and $\Phi(X) \neq \emptyset$ and $\Phi(Y) \neq \emptyset$. Then there are $s, s' \in \mathcal{S}$ such that $\text{dist}(s, s') > 2d$.*

Proof. Consider any pair $(\tilde{s}, \tilde{s}') \in \Phi(X) \times \Phi(Y)$. Observe that for every color $r \in X \setminus Y$, there is a position i with $\pi(i) = r$ such that $\tilde{s}[i] \neq o[i]$ (due to $r \in X$), and we have $o[i] = \tilde{s}'[i]$ (due to $r \notin Y$). So $\tilde{s}[i] \neq \tilde{s}'[i]$, implying $s[i] \neq s'[i]$. Similarly for every $r \in Y \setminus X$. Positions i as above have to be pairwise different due to receiving different colors in π , so we conclude that s and s' differ on more than $2d$ positions. \square

To finish the proof, it remains to analyze the success probability of Algorithm 2.

Lemma 3.9. *If there exists a pair $a, b \in \mathcal{S}$ with $\text{dist}(a, b) > 2d$, then Algorithm 2 detects such a pair with the probability at least $2^{-\mathcal{O}(d)}$, or concludes that the answer to the instance (\mathcal{S}, d) is negative.*

Note that in Lemma 3.2 we promised error probability bounded by $2^{-\Omega(d)}$, while Lemma 3.9 provides a bound of $1 - 2^{-\mathcal{O}(d)}$ on the error probability. This can be easily remedied by maintaining

$2^{\Theta(d)}$ independent copies of the data structure. This increases the time of update and initialization by a $2^{\mathcal{O}(d)}$ factor.

Proof of Lemma 3.9. First, we argue that after hashing the alphabet, we still have $\text{dist}(h(a), h(b)) > 2d$ with sufficiently high probability. Let $P \subseteq \{i \in [L] \mid a[i] \neq b[i]\}$ be any set of size exactly $2d + 1$ consisting of positions where a and b differ.

Let $\tilde{a} = h(a)$ and $\tilde{b} = h(b)$. First, we claim that with probability at least $2^{-\mathcal{O}(d)}$ it holds that $\text{dist}(\tilde{a}, \tilde{b}) > 2d$. Observe that for a fixed position $i \in P$, the probability that h assigns different symbols to $a[i]$ and to $b[i]$ is $1/2$. Since h is sampled on each position $i \in [L]$ independently, the probability that this happens for all positions in P is $2^{-|P|} = 2^{-\mathcal{O}(d)}$.

From now on, let us assume that $\text{dist}(\tilde{a}, \tilde{b}) > 2d$. Moreover, by Line 1 we may assume that $\text{dist}(o, \tilde{s}) \leq 8d$ for every $\tilde{s} \in \tilde{\mathcal{S}}$. Consider the set

$$\Delta_o(\tilde{a}, \tilde{b}) := \{i \in [L] \mid \tilde{a}[i] \neq o[i] \text{ or } \tilde{b}[i] \neq o[i]\}.$$

Observe that since $\text{dist}(o, \tilde{a}) \leq 8d$ and $\text{dist}(o, \tilde{b}) \leq 8d$, we have $k := |\Delta_o(\tilde{a}, \tilde{b})| \in [2d + 1, 16d]$. Now, we claim that with probability $2^{-\mathcal{O}(d)}$ the function π assigns different colors to all positions in $\Delta_o(\tilde{a}, \tilde{b})$. There are $(16d)^k$ different colorings on $\Delta_o(\tilde{a}, \tilde{b})$. However, only $\binom{16d}{k} k!$ of them assign different colors to $\Delta_o(\tilde{a}, \tilde{b})$. Therefore the probability that π assigns different colors on $\Delta_o(\tilde{a}, \tilde{b})$ is:

$$\Pr \left[|\{\pi(i) \mid i \in \Delta_o(\tilde{a}, \tilde{b})\}| = k \right] = \frac{\binom{16d}{k} k!}{(16d)^k} = \frac{(16d)}{(16d)} \cdots \frac{(16d - k + 1)}{(16d)} > \frac{(16d)!}{(16d)^{16d}} > e^{-16d}$$

where the last inequality follows from the well-known bound $n! > (n/e)^n$. Hence, the probability that π assigns different colors to all positions in $\Delta_o(\tilde{a}, \tilde{b})$ is $2^{-\mathcal{O}(d)}$. Now, we claim that if that indeed happens, then Algorithm 2 detects a suitable pair.

Let X and Y be sets of colors such that $\tilde{a} \in \Phi(X)$ and $\tilde{b} \in \Phi(Y)$. It suffices to show that $|X \Delta Y| > 2d$. Observe that every position where \tilde{a} and \tilde{b} differ belongs to $\Delta_o(\tilde{a}, \tilde{b})$, hence these (more than $2d$) positions receive different colors in π . Further, for every position where \tilde{a} and \tilde{b} differ, the color of this position belongs to $X \Delta Y$, for outside of positions of $\Delta_o(\tilde{a}, \tilde{b})$ the words o, \tilde{a}, \tilde{b} all agree. It follows that $|X \Delta Y| > 2d$. \square

3.4 Maintaining a candidate solution

In this section we finish the proof of Lemma 3.2 by implementing the operations on the candidate word q . This proof builds upon the construction from Section 3.3 using the same ideas, so we only briefly discuss the additional elements that need to be maintained.

Observe that q is always reset to the first word $s_1 \in \mathcal{S}$ and operations on q are performed under the promise that $\text{dist}(q, s_1) \leq d$ at all times. Therefore, we maintain q implicitly by remembering only at most d positions on which s_1 and q differ, and what are the symbols of q on those positions. This allows us to implement the reset and update operations for q in time $2^{\mathcal{O}(d)}$. (Recall here that in Section 3.3 we in fact maintained $2^{\mathcal{O}(d)}$ independent copies of the data structure in order to boost the error probability.) Also, we maintain the hashed version $\tilde{q} := h(q)$.

The method `QueryFarWord()` is implemented using a similar mechanism as was used in Section 3.3. For technical reasons, we extend the palette of colors used by π from $[16d]$ to $[17d]$. Then we maintain the sets $\Phi(C) \subseteq \tilde{\mathcal{S}}$ for $C \subseteq [17d]$ as before. However, instead of iterating over all pairs $X, Y \subseteq [17d]$ with $|X \Delta Y| > 2d$, we first compute $Q := \text{colors}_{o, \pi}(\tilde{q})$ and then iterate over all $X \subseteq [17d]$ such that $|X \Delta Q| > d$ and check whether $\Phi(X)$ is nonempty. The same reasoning as in Section 3.3 shows that if there exists $s \in \mathcal{S}$ with $\text{dist}(q, s) > d$, then with high enough probability we will find such an s as any element of $\Phi(X)$.

Note that in the description above we did not specify how the set $\text{colors}_{o,\pi}(\tilde{q})$ is computed. This can be done by first obtaining the set $\Delta(o, \tilde{s}_1)$ from the data structure of Lemma 3.3, and then inspecting all the positions of $\Delta(o, \tilde{s}_1) \cup \Delta(s_1, q)$, where $\Delta(s_1, q)$ are the at most d positions where s_1 and q differ. Note here that we may assume that $|\Delta(o, \tilde{s}_1)| \leq 8d$, for otherwise the data structure presented in Section 3.3 must have returned that the answer to (\mathcal{S}, d) is negative when resetting q . Further, this reasoning shows that $|\Delta(o, \tilde{q})| \leq 9d$ at all times. Note that in the correctness argument presented in Section 3.3 we used the assumption that $\text{dist}(o, \tilde{a}) \leq 8d$ and $\text{dist}(o, \tilde{b}) \leq 8d$, and this is why we chose a palette of colors of size $16d$. Now we have $\text{dist}(o, \tilde{q}) \leq 9d$ and $\text{dist}(o, \tilde{s}) \leq 8d$, so a palette of $17d$ colors suffices.

It remains to argue that if s with $\text{dist}(q, s) > d$ is found, the set P of positions on which q and s differ can be reported in time $\mathcal{O}(d)$. But again, P can be constructed by inspecting all positions of $\Delta(o, \tilde{s}_1) \cup \Delta(q, s_1)$, and this set has size $\mathcal{O}(d)$ and can be obtained by a query to the data structure of Lemma 3.3. This finishes the proof of Lemma 3.2.

3.5 CLOSEST STRING for small alphabets

In this section we analyse the complexity of CLOSEST STRING for small alphabets and show that our techniques also apply in this setting. That is, we prove the second half of Theorem 1.1, presented below.

Theorem 3.10. *The dynamic variant of CLOSEST STRING admits a randomized data structure with initialization time $2^{\mathcal{O}(d)} nL |\Sigma|^{1+o(1)}$, amortized update time $2^{\mathcal{O}(d)}$, and worst-case query time $(|\Sigma| - 1)^d 2^{\mathcal{O}(d)}$. The answer to each query may result with a false negative with probability at most $2^{-\Omega(d)}$; there are no false positives.*

The strategy is exactly the same as in Section 3.1. First, we present a static algorithm with running time $(|\Sigma| - 1)^d \cdot 2^{\mathcal{O}(d)} \cdot (nL)^{\mathcal{O}(1)}$, which is essentially the algorithm proposed by Ma and Sun [23]. Next, we show how to use Lemma 3.2 to implement this static algorithm to the dynamic setting. The algorithm is presented using pseudocode as Algorithm 3. We present it somewhat differently than Ma and Sun in order to streamline the analysis of the dynamic variant. The algorithm maintains three global values. The first one is a set $F \subseteq [L]$ of *fixed indices*. The second one is a word $q \in \Sigma^L$ that is a candidate for the solution, which at the start is set to be any word from \mathcal{S} . The third one is a *budget* $b \in \mathbb{N}$, initially set to d .

We imagine the algorithm as a nondeterministic procedure that, having in mind some solution $c \in \Sigma^L$, guesses parts of c along the execution and appropriately modifies q . The set F is used to keep track of the positions that are already assumed to be fixed as in F . As usual, nondeterminism is determined by branching over all possibilities, and the total number of branches determines the running time of the algorithm. At every point, even in branches where guesses were inconsistent with c , the algorithm maintains the following invariant:

(\diamond) There is $s \in \mathcal{S}$ such that $s[\overline{F}] = q[\overline{F}]$ and $b = d - \text{dist}(q[F], s[F])$, where we denote $\overline{F} = [L] \setminus F$.

In this way, one may think of b as of the budget that is left for changing symbols positions in q outside of F : at most b of them can be still changed, for otherwise the solution would be too far from s .

Every step of the algorithm works as follows. First, we find a word $s \in \mathcal{S}$ with $\text{dist}(s, q) > d$. If no such word exists, then the current candidate q is a solution and we can terminate the procedure claiming a positive answer. Otherwise, we compute the set P of positions where s and q differ, and we remove from it all positions that were fixed before.

Algorithm: ClosestStringSmallAlphabet(\mathcal{S}, d)	
1	Set $F := \emptyset$
2	Set q to be the first word $s_1 \in \mathcal{S}$
3	Set $b := d$
4	while <i>exists</i> $s \in \mathcal{S}$ such that $\text{dist}(s, q) > d$ do
5	Find $P := \{i \in [L] \text{ such that } s[i] \neq q[i]\} \setminus F$
6	if $\text{dist}(s, q) > 2d$ or $P = \emptyset$ then
7	return False
8	Guess $Q = \{i \in P \text{ such that } c[i] \neq q[i]\}$ // $c \in \Sigma^L$ denotes the sought solution
9	if $ Q > b$ or $Q = \emptyset$ then
10	return False
11	for $i \in Q$ do
12	Guess $c[i] \in \Sigma \setminus \{q[i]\}$
13	Set $q[i] := c[i]$
14	$F := F \cup P$
15	$b := \min(d - \text{dist}(s, q), b - Q)$
16	if $b < 0$ then
17	return False
18	return True

Algorithm 3: Pseudocode of a $(|\Sigma| - 1)^d \cdot 2^{\mathcal{O}(d)} \cdot (nL)^{\mathcal{O}(1)}$ -time static algorithm for CLOSEST STRING. To get a dynamic data structure with query time $(|\Sigma| - 1) \cdot 2^{\mathcal{O}(d)}$, use Lemma 3.2 for operations on q .

Next, we check whether $\text{dist}(s, q) > 2d$, which translates to the condition $\text{dist}(s[F \cup P], q[F \cup P]) > 2d$. If this is the case, we terminate and provide a negative answer: there is no way to obtain a word at distance at most d from s by changing at most d positions in q . If $P = \emptyset$, we can also terminate and provide a negative answer: already on fixed positions, our candidate q and s differ by more than d . Otherwise, when $\text{dist}(s, q) \leq 2d$ and $P \neq \emptyset$, we guess exactly the symbols in c at positions from P and we modify q to have $q[P] = c[P]$. This is done through a two-stage process: first we guess the set of positions $Q \subseteq P$ where q needs to be modified, and then we guess the symbols of c at positions of Q ; for each there are $|\Sigma| - 1$ possibilities. Note that we may restrict attention to sets Q that are nonempty (for $\text{dist}(s, q) > d$) and satisfy $|Q| \leq b$ (by invariant (\diamond)). Finally, we add P to the set F of fixed indices and update b to the minimum of the two values: $d - \text{dist}(s, q)$ and $b - |Q|$. It is straightforward to verify that this way invariant (\diamond) is still maintained: either s or the previous witness for (\diamond) may serve as the new witness for (\diamond) . Clearly, if b became negative, it is safe to terminate the branch. Otherwise we continue the search until a candidate q at distance at most d from all strings in \mathcal{S} is found.

This concludes the description of the algorithm. The correctness is clear from the description as we return True only if our candidate is at the distance at most d from all input strings.

It is now straightforward to turn this algorithm into a dynamic data structure just as we did in the proof of Theorem 3.1. Namely, we maintain the data structure of Lemma 3.2, and use it to operate on the candidate word q . All distance checks can be implemented in linear time by verifying the $\mathcal{O}(d)$ -sized difference sets provided by this data structure. We will later show that the whole recursion tree of Algorithm 3 has total size at most $(|\Sigma| - 1)^d \cdot 2^{\mathcal{O}(d)}$. Hence, as the operations in the data structure of Lemma 3.2 take amortized time $2^{\mathcal{O}(d)}$, the complexity guarantees promised in Theorem 3.10 follow in the same way as it was the case for Theorem 3.1. As for the error probability,

we can maintain $\alpha \cdot \log |\Sigma|$ independent copies of the data structure of Lemma 3.2 for some large constant α , so that the probability that this composite data structure returns a false negative is reduced to $(|\Sigma|^{-\Omega(d)})^\alpha$. Then, just as in the proof of Theorem 3.1, it follows from the union bound that the probability of a false negative in Algorithm 3 is at most $2^{-\Omega(d)}$.

We are left with bounding the running time of Algorithm 3, or more precisely, showing that the whole recursion tree has size at most $(|\Sigma| - 1)^d \cdot 2^{\mathcal{O}(d)}$. The argument conceptually follows the reasoning of Ma and Sun [23]; we present it for completeness.

Runtime The key observation is the following lemma.

Lemma 3.11. *Consider i th iteration of the while loop in Algorithm 3 (with any guesses made). Let b_i be the value of b before this iteration, and b_{i+1} be the value of b after this iteration. Then $b_{i+1} \leq b_i/2$.*

We first argue that the claimed runtime of Algorithm 3 follows from Lemma 3.11. Consider any root-to-leaf path in the recursion tree of the algorithm; this corresponds to a single run of Algorithm 3 treated as a nondeterministic procedure, with some guesses made along the way. For iterations $i = 1, 2, \dots, p$ of the while-loop, where p is the total number of iterations made, let b_i be the value of b at the beginning of the i th iteration, and let ℓ_i be the size of the set Q considered in the i th iteration. Observe the following:

- We have $b_i \leq d/2^{i-1}$ for all $i \in [p]$ (because $b_1 = d$ and, by Lemma 3.11, $b_{i+1} \leq b_i/2$ for all $i \in [p-1]$).
- We have $1 \leq \ell_i \leq b_i$ for all $i \in [p]$ (because in the algorithm we consider only nonempty sets Q satisfying $|Q| \leq b$).
- We have $\sum_{i=1}^p \ell_i \leq d$ (because b decreases by at least ℓ_i in the i th iteration, and the procedure terminates once b becomes negative).

Therefore, every root-to-leaf path in the recursion tree can be uniquely described by specifying the following data:

- (A) Positive integers ℓ_1, \dots, ℓ_p satisfying $\sum_{i=1}^p \ell_i \leq d$.
- (B) For each $i \in [p]$, a choice of a subset Q_i of size ℓ_i of the set P_i , where P_i, Q_i are the sets P, Q considered in the i th iteration.
- (C) For each $i \in [p]$, a choice of symbols guessed to be fixed at the positions of Q_i .

For (A), it is well-known that the number of representations of d as a sum of numbers ℓ_1, \dots, ℓ_p is bounded by $2^{\mathcal{O}(d)}$. For (C), the total number of choices is bounded by

$$\prod_{i=1}^p (|\Sigma| - 1)^{\ell_i} \leq (|\Sigma| - 1)^d.$$

Finally, for (B) we shall use the following known bound.

Lemma 3.12 (cf. Lemma 124 in [37]). *If m, k are nonnegative integers, then $\binom{m+k}{k} \leq 2^{2\sqrt{xy}}$.*

Since we always have $|P_i| \leq 2d$, the number of choices for (B) is bounded as follows:

$$\prod_{i=1}^p \binom{2d}{\ell_i} \leq \prod_{i=1}^p 2^{2\sqrt{2d\ell_i}} \leq \prod_{i=1}^p 2^{2\sqrt{2d \cdot d/2^{i-1}}} = 2^{2\sqrt{2} \cdot d \cdot \sum_{i=0}^{\infty} 2^{-i/2}} = 2^{\mathcal{O}(d)}.$$

So all in all, the total number of root-to-leaf paths in the recursion tree is bounded by

$$2^{\mathcal{O}(d)} \cdot 2^{\mathcal{O}(d)} \cdot (|\Sigma| - 1)^d = (|\Sigma| - 1)^d \cdot 2^{\mathcal{O}(d)},$$

as claimed.

It remains to prove the Lemma 3.11.

Proof of Lemma 3.11. Let q_i and q_{i+1} be the candidate word respectively at the beginning and at the end of the i th iteration, that is, after guessing is performed.

Recall that there is $s \in \mathcal{S}$ with $\text{dist}(s, q_i) > d$. Further, recall that

$$b_{i+1} = \min(d - \text{dist}(s, q_{i+1}), b_i - |Q|).$$

To prove that $b_{i+1} \leq b_i/2$, it suffices to show that

$$(d - \text{dist}(s, q_{i+1})) + (b_i - |Q|) \leq b_i,$$

or equivalently,

$$d \leq \text{dist}(s, q_{i+1}) + |Q|. \tag{4}$$

By triangle inequality we have

$$d < \text{dist}(s, q_i) \leq \text{dist}(s, q_{i+1}) + \text{dist}(q_i, q_{i+1}),$$

but we also have

$$\text{dist}(q_i, q_{i+1}) = |Q|.$$

So this establishes (4) and finishes the proof. \square

4 Applications of Meta-Theorems

In this section we first state a meta-theorem for string problems definable in first-order logic FO; this result follows easily from the work of Frandsen et al. [20] using the classic Schützenberger-McNaughton-Papert theorem [33, 38]. Then we explain how to use the meta-theorem for the following toy problems: DISJOINT FACTORS and EDIT DISTANCE. As usual with meta-theorems, the parametric factor in the complexity guarantees of the obtained data structures is not explicit, and typically is much higher than if one constructs the data structure “by hand”. Therefore, we next show how to derive concrete data structures with concrete complexity guarantees for DISJOINT FACTORS and EDIT DISTANCE. Finally, we discuss a methodology for lower bounds introduced by Amarilli et al. [4], and we apply it to derive lower bounds for those two problems.

4.1 A meta-theorem

We first need to recall basic knowledge on different equivalent views on regular languages. This material is standard in the area of algebraic theory of languages, so we refer an interested reader to the book of Bojańczyk [13] for a broader introduction. In particular, we explain the contemporary understanding of the material, and for appropriate references and historical remarks, we refer to [13].

The first view is through the lens of logic. Fix a finite alphabet Σ . We consider the logic $\text{MSO}[\Sigma, <]$ operating on words. In this logic there are variables for single positions (denoted with small letters) and subsets of positions (denoted with capital letters). The atomic formulas are of the following form:

- equality test $x = y$;
- test $x \in X$ checking that position x belongs to position subset X ;
- for every $a \in \Sigma$, test $a(x)$ checking that at position x there is symbol a ; and
- test $x < y$ checking that position x appears before position y .

Formulas of $\text{MSO}[\Sigma, <]$ can be obtained from atomic formulas using standard boolean connectives and quantification (both universal and existential, and applicable to both types of variables). $\text{FO}[\Sigma, <]$ is a fragment of $\text{MSO}[\Sigma, <]$ where we disallow variables for subsets of positions.

A *sentence* is a formula without free variables. By $w \models \varphi$ we mean that the sentence φ is satisfied in the word w . For a sentence $\varphi \in \text{MSO}[\Sigma, <]$, the language *defined* by φ consists of all words w in which φ is satisfied. A language $L \subseteq \Sigma^*$ is *MSO-definable* if L is defined by some $\varphi \in \text{MSO}[\Sigma, <]$ as above, and *FO-definable* if it is defined by some $\varphi \in \text{FO}[\Sigma, <]$. It appears that regular languages exactly coincide with ones definable in MSO.

Theorem 4.1. *A language of finite words over a finite alphabet is regular if and only if it is MSO-definable.*

The next view is through semigroup homomorphisms. Consider a language $L \subseteq \Sigma^*$. By endowing Σ^* with the concatenation operation we can regard it as a semigroup. For another semigroup S and a (semigroup) homomorphism $h: \Sigma^* \rightarrow S$, we say that h *recognizes* L if there exists $A \subseteq S$ such that $L = h^{-1}(A)$; in other words, whether $w \in L$ can be recognized by looking at $h(w)$ and determining whether it belongs to A . It turns out that regular languages are also exactly those that are recognized by homomorphisms to finite semigroups.

Theorem 4.2. *A language of finite words over a finite alphabet is regular if and only if it is recognized by a homomorphism to a finite semigroup.*

Further, it is known that if L is regular, then there exists a unique minimal — in terms of cardinality — semigroup S such that there is a homomorphism from Σ^* to S recognizing L . This semigroup is called the *syntactic semigroup* for L .

It turns out that FO-definable languages can be characterized in terms of algebraic properties of their syntactic semigroups. Here, a semigroup is *aperiodic* (or *group-free*) if it does not contain any non-trivial group.

Theorem 4.3 (Schützenberger-McNaughton-Papert Theorem, [33, 38]). *A regular language L is FO-definable if and only if its syntactic semigroup is aperiodic.*

With these standard tools recalled, we can proceed to the setting of dynamic data structures.

Fix a finite alphabet Σ and consider a language $L \subseteq \Sigma^*$. The *word problem* for L is to design a data structure that maintains a dynamic word $w \in \Sigma^*$ and supports the following operations:

- **init(w)**: Initialize the data structure with the given word w .
- **update(i, a)**: Update w by replacing the symbol at position i by symbol $a \in \Sigma$.
- **query()**: Determine whether $w \in L$.

The complexity guarantees of such a data structure is typically measured in terms of $n := |w|$. Note that this value is fixed upon initialization and then stays the same throughout the life of the data structure.

We can also consider the word problem for semigroups. Suppose S is a semigroup. Then the word problem for S is defined as above for words over S (that is, words $w \in S^*$), where query is redefined as follows: Output the (left-to-right) product of all the symbols in w .

Observe that the word problem for a regular language $L \subseteq \Sigma^*$ easily reduces to the word problem for its syntactic semigroup S . Indeed, if $h: \Sigma^* \rightarrow S$ is the homomorphism recognizing L , say $L = h^{-1}(A)$ for some $A \subseteq S$, then in the reduction we can map symbols $a \in \Sigma$ to their images $h(a) \in S$, and whether $w \in L$ can be deduced by checking whether $h(w) \in A$.

Frandsen et al. [20] proposed an efficient dynamic data structure for the word problem in aperiodic semigroups.

Theorem 4.4 ([20]). *Let S be a finite aperiodic semigroup. Then there is a data structure for the word problem for S with initialization time $\mathcal{O}(n)$, worst-case update time $\mathcal{O}(\log \log n)$, and worst-case query time $\mathcal{O}(1)$.*

By combining Theorems 4.3 and 4.4 using the reduction presented above, we obtain the following.

Theorem 4.5. *Let Σ be a finite alphabet and suppose $L \subseteq \Sigma^*$ is FO-definable. Then there is a data structure for the word problem for L with initialization time $\mathcal{O}(n)$, worst-case update time $\mathcal{O}(\log \log n)$, and worst-case query time $\mathcal{O}(1)$.*

A few remarks are in order. First, the proof of Theorem 4.4 relies on induction on the Khron-Rhodes decomposition of the semigroup S , where in each step of the induction one applies van Emde Boas trees [41]. The induction has depth bounded by the size of S , so one can view this data structure as $\mathcal{O}(|S|)$ van Emde Boas trees stacked “on top of each other”. Consequently, the constants hidden in the $\mathcal{O}(\cdot)$ notation in Theorem 4.4 depend on S , but not horribly: they are polynomial in $|S|$. However, there is a much more significant complexity blow-up hidden in Theorem 4.2. Specifically, if a regular language L is defined by an $\text{FO}[\Sigma, <]$ sentence φ , then the syntactic semigroup of L has size bounded by a function of $|\varphi|$, but this function is in general non-elementary — it is basically a tower of height equal to the quantifier rank of φ . This non-elementary dependence is known to be unavoidable [39]. Therefore, whenever one applies Theorem 4.5 in order to obtain data structures for a problem based on its description in FO, one should bear in mind that the constants hidden in the $\mathcal{O}(\cdot)$ notation depend non-elementarily on the length of the description.

Second, recently Amarilli et al. [4] gave a characterization of regular languages for which data structures with guarantees as in Theorem 4.4 exist. This characterization renders the tractability region to be a bit broader than just FO-definability, for instance the languages “on every even position there is symbol a ” or “in total there is an even number of symbols a ” are not FO-definable, but admit data structures for the word problem with constant update time. The characterization

is expressed in algebraic terms and we could not find natural examples of parameterized string problems that would not be FO-definable, but fall under the characterization. So we refrain from giving more details and point an interested reader to [4] instead.

We now explain how to use Theorem 4.5 in practice on two examples: DISJOINT FACTORS and EDIT DISTANCE. In each case, the task boils down to defining the problem in $\text{FO}[\Sigma, <]$ for an appropriate alphabet Σ . We start with DISJOINT FACTORS.

Lemma 4.6. *Let $k \in \mathbb{N}$ and $\Sigma_k = [k]$. There is a sentence $\varphi_k \in \text{FO}[\Sigma_k, <]$, computable from k , such that for every $w \in \Sigma_k^*$, w is a yes-instance of DISJOINT FACTORS for parameter k if and only if $w \models \varphi_k$.*

Proof. In the sentence φ_k , we first make a disjunction over all permutations $\pi: [k] \rightarrow [k]$. For each such π , we verify that there exist positions $x_1 < y_1 < x_2 < y_2 < \dots < x_k < y_k$ such that for each $i \in [k]$, both at position x_i and at y_i there is symbol $\pi(i)$. It is straightforward to express this condition using an $\text{FO}[\Sigma_k, <]$ sentence. \square

By applying Theorem 4.5 to the language defined by sentence φ_k provided by Lemma 4.6, we obtain the following.

Corollary 4.7. *There is a data structure for the dynamic DISJOINT FACTORS problem with initialization time $\mathcal{O}_k(n)$, worst-case update time $\mathcal{O}_k(\log \log n)$, and query time $\mathcal{O}(1)$.*

Note here that the query time can be a constant independent of k , as we can always recompute the answer to the query following every update.

For EDIT DISTANCE, the formula is more complicated. For two words $u, v \in \Sigma^*$, by $u \otimes v$ we denote the word over $(\Sigma \cup \{\perp\})^2$, where \perp is a symbol not present in Σ , defined as follows:

- The length of $u \otimes v$ is $\max(|u|, |v|)$.
- For each $1 \leq i \leq \min(|u|, |v|)$, we put $u \otimes v[i] = (u[i], v[i])$.
- For each $\min(|u|, |v|) < i \leq \max(|u|, |v|)$, we put $u \otimes v[i] = (u[i], \perp)$ or $u \otimes v[i] = (\perp, v[i])$, depending on whether $\max(|u|, |v|) = |u|$ or $\max(|u|, |v|) = |v|$.

Lemma 4.8. *Let $k \in \mathbb{N}$ and Σ be a finite alphabet. There is a sentence $\psi_{k, \Sigma} \in \text{FO}[(\Sigma \cup \{\perp\})^2, <]$, computable from k and Σ , such that for all $u, v \in \Sigma^*$, we have $\text{ed}(u, v) \leq k$ if and only if $u \otimes v \models \psi_{k, \Sigma}$.*

Proof. Denote $\Gamma = (\Sigma \cup \{\perp\})^2$ for brevity. Note that for two words $u', v' \in \Sigma^*$ we have $\text{ed}(u', v') \leq k$ if and only if there exist integers $a, b, c \geq 0$ with $a + b + c \leq k$ such that one can remove a positions from u' and b positions from v' so that the resulting strings have equal length and differ on exactly c positions. In such case, we will call the pair (u', v') (a, b, c) -*editable*.

For all $(a, b, c) \in \{0, 1, \dots, k\}^3$ with $a + b + c \leq k$ and $s, t \in \{-k, \dots, k\}$, we shall construct a formula $\alpha_{s, t, a, b, c}(x, y)$ that satisfies the following: for two positions $1 \leq x \leq y \leq \max(|u|, |v|)$, we have

$$u \otimes v \models \alpha_{s, t, a, b, c}(x, y) \quad \text{if and only if} \quad (u[x : y], v[x + s : y + t]) \text{ is } (a, b, c)\text{-editable.}$$

Here, we use the convention that if the specified range $[x : y]$ or $[x + s : y + t]$ does not fit into the corresponding word, or makes no sense due to $x > y + 1$ or $x + s > y + t + 1$, then $\alpha_{s, t, a, b, c}(x, y)$ should be false (this can be easily recognized in $\text{FO}[\Gamma, <]$). If we achieve the above, the formula $\psi_{k, \Sigma}$ can be defined as the disjunction of all formulas $\alpha_{0, t, a, b, c}(1, n_u)$ for a, b, c as above, where 1 is

the first position, n_u is the last position of u , and $t \in \{-k, \dots, k\}$ is such that $n_u + t$ is the last position of v (all these are easily definable from $u \otimes v$ in $\text{FO}[\Gamma, <]$).

The construction is by induction on $a + b$. For the base case $a = b = 0$, we may define $\alpha_{s,t,0,0,c}(x, y)$ as follows: if $s \neq t$ then the formula is always false, and otherwise it checks whether there are exactly c different positions z such that $x \leq z \leq y$ and $u[z] \neq v[z + s]$. This can be checked by comparing the first coordinate of $u \otimes v[z]$ with the second coordinate of $u \otimes v[z + s]$. Since $|s| \leq k$ by assumption, it is straightforward to formulate this assertion in $\text{FO}[\Gamma, <]$.

We proceed to the induction step. So assume $a + b > 0$, say $a > 0$; the construction in the case $b > 0$ is analogous, so we omit it. The idea is that we guess, by existential quantification, the first position in $u[x : y]$ that gets removed, and use simpler formulas given by the induction assumption. More precisely, $\alpha_{s,t,a,b,c}(x, y)$ can be defined as the conjunction of formulas

$$\exists z. (x \leq z \leq y \wedge \alpha_{s,r,0,b_1,c_1}(x, z - 1) \wedge \alpha_{r-1,t,a-1,b_2,c_2}(z + 1, y)),$$

for all integers $b_1, b_2 \geq 0$ with $b_1 + b_2 = b$, $c_1, c_2 \geq 0$ with $c_1 + c_2 = c$, and $r \in \{-k + 1, \dots, k\}$. Here, $z - 1$ and $z + 1$ are a syntactic sugar for the predecessor and the successor of z , respectively, which are easily definable in $\text{FO}[\Gamma, <]$. It is straightforward to see that the construction of $\alpha_{s,t,a,b,c}(x, y)$ as above satisfies the required properties. \square

Similarly as before, by combining Theorem 4.5 with Lemma 4.8 we obtain the following.

Corollary 4.9. *There is a data structure for the dynamic EDIT DISTANCE problem with initialization time $\mathcal{O}_{k,\Sigma}(n)$, worst-case update time $\mathcal{O}_{k,\Sigma}(\log \log n)$, and query time $\mathcal{O}(1)$.*

5 Improved data structures

In the Section 4, we showed that powerful meta-theorem seamlessly guarantee $\mathcal{O}_k(\log \log n)$ worst-case update time for dynamic versions of DISJOINT FACTORS and EDIT DISTANCE. Now, we illustrate that the dependence on k can be significantly improved by exploiting combinatorial structure of this problems. In the Section 5.1 we give an improved dependence for DISJOINT FACTORS and in Section 5.2 we present a data structure for EDIT DISTANCE.

5.1 k -Disjoint Factors

Lemma 5.1. *There is a data structure for the dynamic DISJOINT FACTORS problem with initialization time $\mathcal{O}(kn)$, worst-case update time $\mathcal{O}(\log \log n)$, and query time $\mathcal{O}(k2^k \log \log n)$.*

As a by product we also present an improved $\mathcal{O}(k2^k + kn)$ time algorithm for static version of DISJOINT FACTORS problem. This improves an $\mathcal{O}(k2^k n)$ time algorithm due to Bodlaender et al. [12].

Corollary 5.2. *DISJOINT FACTORS can be statically solved in $\mathcal{O}(k2^k + kn)$ time.*

We calculate the solution to the DISJOINT FACTORS with dynamic programming. The entries of the dynamic programming are parameterized by sets $S \subseteq \Sigma$. For each entry, we store a minimal integer $\ell \in [n]$, such that a word $w[1 : \ell]$ can be represented as disjoint factors with letters from S .

We compute the entries of the dynamic programming in the bottom-up fashion. In the base case $S = \emptyset$ the answer is $\ell = 1$. When S is nonempty, we guess a letter $s \in S$. Next, we determine the position of ℓ_s for set $S \setminus \{s\}$. Then, we look for the first s -factor after position ℓ_s in the word and return the position of its right-endpoint. We summarize is as follows:

$$\text{DF}[S] := \begin{cases} \min_{s \in S} \{\text{next } s\text{-factor after DF}[S \setminus \{s\}]\} & \text{if } S \neq \emptyset, \\ 1 & \text{otherwise.} \end{cases}$$

For correctness, observe that every optimal solution to DISJOINT FACTOR can be represented as a permutation $\pi : [k] \rightarrow [k]$ that corresponds to the order in which each factor appears in the word. Moreover, if the solution to DISJOINT FACTOR that is represented by permutation π exists, then it can be detected by greedily taking subsequent factors in the word (cf., [12]).

Now, we focus on the exact implementation of determining a next factor after position ℓ .

Proof of Corollary 5.2. It remains to show that there exists a data-structure that can be initialized in $\mathcal{O}(kn)$ time and can answer queries of the form $(s, \ell) \in \Sigma \times [n]$. The query returns a position of the first right-endpoint of s -factor in the word $w[\ell : n]$. To achieve this, we store the table $\text{next}[s, \ell]$ for every $s \in \Sigma_k$ and $\ell \in [n]$. It stores the minimal position of a letter $s \in \Sigma_k$ in the word $w[\ell : n]$. To compute $\text{next}[s, \ell]$ for a fixed $s \in \Sigma_k$, we fill it starting from ℓ equal to n down to 1. Initially $\text{next}[s, n] = \infty$ for every $s \in \Sigma_k$. If $w[\ell] = s$, then we set $\text{next}[s, \ell] = \ell$. Otherwise, we know that the next position of a letter s is after ℓ and we set the value of the table next at s and ℓ to $\text{next}[s, \ell + 1]$.

Therefore, to find the position of next s -factor after position ℓ we lookup the value of $\ell' := \text{next}[s, \ell]$. This means that next s -factor starts at position ℓ' . We return the position of $\text{next}[s, \ell']$ as the right-endpoint of this s -factor.

Observe, that we can compute table next in time $\mathcal{O}(kn)$. Moreover, each next query takes $\mathcal{O}(1)$ time. Hence, with DF procedure we can solve DISJOINT FACTOR in $\mathcal{O}(k2^k + kn)$ time. \square

Proof of Lemma 5.1. In the dynamic setting, we need to show that for every $s \in \Sigma_k$ and $\ell \in [n]$ the position of a next s -factor after position ℓ can be found in $\mathcal{O}(\log \log n)$ time. To achieve that, for every letter $s \in \Sigma_k$ we maintain a van Emde Boas tree \mathcal{T}_s . In the data structure, \mathcal{T}_s stores the positions of a letter s in the updated word. Note, that a single update to \mathcal{T}_s can be done in $\mathcal{O}(\log \log n)$ time. During query, for a given $s \in \Sigma_k$ and $\ell \in [n]$ we can ask for the next position of a letter s after ℓ . A single query to van Emde Boas tree takes $\mathcal{O}(\log \log n)$ times.

Similarly to the proof of Corollary 5.2, we can use \mathcal{T}_s to find a position of s -factor after a given position in $\mathcal{O}(\log \log n)$, with two queries to \mathcal{T}_s . Observe, that during an update to DISJOINT FACTOR we need to update only two van Emde Boas trees. Hence update takes $\mathcal{O}(\log \log n)$ time. Moreover, DF procedure can answer queries to DISJOINT FACTOR in $\mathcal{O}(k2^k \log \log n)$ time. \square

5.2 Edit Distance

Lemma 5.3. *There is a data structure for the dynamic EDIT DISTANCE problem with initialization time $\mathcal{O}(kn)$, worst-case update time $\mathcal{O}(k \log \log n)$, and query time $\mathcal{O}(k^2 \log \log n)$.*

The data structure is based on the classical result of Landau and Vishkin [28] who gave a static $\mathcal{O}(n+k^2)$ time algorithm for k -EDIT DISTANCE problem. Our simple observation is that LCE-queries in their algorithm can be efficiently maintained with van Emde Boas trees.

Before we proceed with the proof of Lemma 5.3 let us recall the definition of *Longest Common Extension* query. Let x, y be two strings of length at most n . We define $\text{LCE}(i, j)$ as the largest integer $\ell \in [n]$, such that $x[i : i + \ell] = y[j : j + \ell]$. We show, that with van Emde Boas data structure, we can efficiently maintain an answer to the LCE queries when $|i - j|$ is small.

Proposition 5.4 (Dynamic LCE-queries). *For any $k \in \mathbb{N}$, there is a data structure that maintains words $x, y \in \Sigma^n$ and supports the following operations:*

- $\text{init}(x, y)$: Initialize the data structure with the given words x, y ,
- $\text{update}(w, i, s)$: Update a word $w \in \{x, y\}$ by replacing the symbol at position i with $s \in \Sigma$,
- $\text{query}(i, j)$: if $|i - j| \leq k$ return $\text{LCE}(i, j)$ of x, y .

The init operation requires $\mathcal{O}(kn)$ worst-case time. Operations update and query can be executed in worst-case time $\mathcal{O}(k \log \log n)$ and $\mathcal{O}(\log \log n)$, respectively.

Proof. For every fixed $p \in \{-k, \dots, k\}$ we maintain the van Emde Boas data-structure \mathcal{T}_p . Each \mathcal{T}_p maintains a set $S_p \subseteq [n]$ defined as:

$$S_p := \{i \in [n] \text{ such that } x[i] \neq y[i + p]\}.$$

Observe, that sets S_p can be efficiently maintained with van Emde Boas data structures. During each update to word $x[i]$ we iterate over every $p \in \{-k, \dots, k\}$, check if $x[i] \neq y[i + p]$ and update \mathcal{T}_p accordingly. Single query to LCE can be done with a single query to \mathcal{T}_p . \square

With that data-structure to answer LCE -queries under text updates we can proceed with the description of the dynamic data structure for k -EDIT DISTANCE with $\mathcal{O}(k \log \log n)$ worst-case update time.

Proof of Lemma 5.3. When length of x and y differ by more than k then the edit distance between x and y must be greater than k and we can conclude that the answer is negative. Our algorithm is based on the static algorithm of Landau and Vishkin [28]. They consider the dynamic programming table $D[i, j] := \text{ed}(x[1 : i], y[1 : j])$ for edit distance. They claim that it suffices to touch only $\mathcal{O}(k^2)$ entries of the table D in order to retrieve $D[|x|, |y|]$ (if its value is $\mathcal{O}(k)$).

The main observation of the static $\mathcal{O}(n + k^2)$ time algorithm of Landau and Vishkin [28] is that $D[i + 1, j + 1] \in \{D[i, j], D[i, j] + 1\}$. Therefore, it is always beneficial to greedily take LCE to compute the next value in the table D . Because we assumed that the edit distance between x and y is at most k , we need to perform at most k queries to LCE . Note that we consider only values $D[i, i + p]$ for $p \in \{-k, \dots, k\}$. Hence we need to touch at most $2k + 1$ entries with value exactly ℓ for every $\ell \in [k]$. In total number of entries in table D touched by Landau and Vishkin algorithm is $\mathcal{O}(k^2)$.

It remains to augment this static algorithm into the dynamic setting. We keep track of $\mathcal{O}(k)$ data structures from Proposition 5.4 to emulate LCE queries (each data-structure corresponds to one diagonal $p \in \{-k, \dots, k\}$ of Landau-Vishkin algorithm). Single update may require modification to $\mathcal{O}(k)$ of this data structures which result in $\mathcal{O}(k \log \log(n))$ update time. Single query to EDIT DISTANCE problem takes $\mathcal{O}(k^2 \log \log n)$ time because we Vishkin and Landau algorithm performs $\mathcal{O}(k^2)$ queries to LCE (each can be done in $\mathcal{O}(\log \log(n))$ time with a data structure from Proposition 5.4). \square

6 Lower bounds

In the $\text{PREFIX-}U_1$ problem the task is to maintain a subset S of the universe $\{1, \dots, n\}$ under deletions and insertions, and support *threshold queries*: given $i \in [n]$, decide whether S contains some element that is $\leq i$. The $\text{PREFIX-}U_1$ problem can be solved in deterministic $\mathcal{O}(\log \log(n))$ time with a predecessor search [41, 36] or in expected $\mathcal{O}(\sqrt{\log \log n})$ time if randomization is allowed [40, 25]. (Here, by time we mean the worse of the update and query times.) Unfortunately, no unconditional lower bounds is known for $\text{PREFIX-}U_1$.

Amarilli et al. [4] presented a large class of problems that are at least as hard as the PREFIX- U_1 problem. Moreover, they showed that there are problems equivalent to PREFIX- U_1 . These led them to conjecture that there is no data structure for PREFIX- U_1 that offers updates and queries in $\mathcal{O}(1)$.

Conjecture 6.1 ([4]). *There is no data structure for PREFIX- U_1 that achieves $\mathcal{O}(1)$ amortized time for updates and queries in the word-RAM model.*

For large enough word-size w the complexity of even harder PREDECESSOR is $\mathcal{O}(1)$ in the word-RAM model [21]. However, for general w and n , there are tight lower bounds [36]. In Conjecture 6.1 we just expect that the complexity of PREFIX- U_1 cannot be $\mathcal{O}(1)$ for general w and n .

We say that a problem \mathcal{P} is PREFIX- U_1 -hard if assuming that \mathcal{P} admits a data structure achieving amortized $\mathcal{O}(1)$ time of operations, the same can be said also about PREFIX- U_1 . In this section we show that the dynamic versions of DISJOINT FACTORS and of EDIT DISTANCE are PREFIX- U_1 -hard.

6.1 Lower bound for DISJOINT FACTORS

Now we prove that the k -DISJOINT FACTORS problem is PREFIX- U_1 -hard for $k = 3$. We complement our result and show that DISJOINT FACTORS for $k = 2$ admits a data structure with operations taking $\mathcal{O}(1)$ time.

Lemma 6.2. *Unless Conjecture 6.1 fails, there does not exist a data structure for the dynamic variant of DISJOINT FACTORS for $k \geq 3$ with $\mathcal{O}(1)$ amortized update and query time.*

Proof. We show a reduction from PREFIX- U_1 to DISJOINT FACTORS on alphabets of size 3. Without loss of generality let us assume that $\Sigma := \{0, 1, \#\}$. Assume that $S \subseteq [n]$ is the set maintained in the PREFIX- U_1 problem. Based on S we construct a word:

$$w(S) := 1 \alpha_1 \alpha_2 \dots \alpha_n 0 \# 0 0,$$

where α_i is 1 if $i \in S$ and 0 otherwise. On $w(S)$ we maintain the assumed data structure for DISJOINT FACTORS. The updates to set S are relayed to $w(S)$ in a natural manner. For a threshold query to PREFIX- U_1 of form $i \in [n]$ we do the following:

- Temporarily change the symbol at position $(i + 2)$ of $w(S)$ to $\#$.
- Query the data structure for DISJOINT FACTORS to decide whether the current word is a positive instance, and return this answer as the answer to the query.
- Finally, revert the word back to the original $w(S)$.

This concludes the construction of the reduction. Observe, that each step of that reduction can be implemented in (amortized) $\mathcal{O}(1)$ time.

For correctness, observe that after a query $i \in [n]$ the input to DISJOINT FACTORS is of the form (when $i < n$):

$$1 \alpha_1 \dots \alpha_i \# \alpha_{i+2} \dots \alpha_n 0 \# 0 0.$$

In the resulting word, there are only two occurrences of symbol $\#$. Therefore, if the answer to DISJOINT FACTORS is positive, then word $\#\alpha_{i+2} \dots \alpha_n\#$ is a $\#$ -factor. Observe that two last letters $0 0$ already form a 0 -factor. We are left with determining the position of a 1 -factor. However, all factors need to be pairwise disjoint. Hence 1 -factor exists iff at least one of $\alpha_1, \dots, \alpha_i$ is 1. This is possible only if $[i] \cap S \neq \emptyset$, which proves the correctness of our reduction. \square

Now we show that DISJOINT FACTORS for $k = 2$ can be maintained in $\mathcal{O}(1)$ time. We first need a simple lemma.

Lemma 6.3. *For any word $w \in \Sigma^*$, if every symbol in w occurs more than $|\Sigma|$ times, then the answer to DISJOINT FACTORS on w is positive.*

Proof. Let $k := |\Sigma|$. We prove the statement by induction on $k \geq 1$. In the base case $k = 1$ the statement trivially holds. Let $w \in \Sigma^*$ be a word over alphabet of size k where each letter appears at least $k + 1$ times. Let $i \in [n]$ be the maximal index such that no symbol from Σ is repeated in $w[1 : i]$. It follows that in $w[i + 1 : n]$ each symbol occurs at least k times. By the maximality of i the symbol $\alpha := w[i + 1]$ occurs twice in $w[1 : i + 1]$. Let us greedily take these two occurrences of α as an α -factor.

Consider the word $w[i + 1 : n]$ and delete from it all occurrences of α . This word contains $k - 1$ symbols and each symbol occurs at least k times. Therefore, by the induction assumption it contains $k - 1$ disjoint factors. By combining them with our α -factor, we see that the original word w contains k disjoint factors. \square

Now, we show that DISJOINT FACTORS for binary alphabets can be dynamically maintained in $\mathcal{O}(1)$ time.

Lemma 6.4. *There exists a data-structure for the dynamic variant of DISJOINT FACTORS for $k = 2$ that supports updates and queries in amortized time $\mathcal{O}(1)$.*

Proof. Consider Lemma 6.3 for an alphabet of size 2, say $\Sigma = \{0, 1\}$. If both symbols occur at least 3 times in a given word, then the answer to DISJOINT FACTORS is positive. On the other hand, if one symbol appears less than twice, then the answer to DISJOINT FACTORS must be negative.

It remains to consider the situation when one of the symbols occurs exactly twice. Let $w \in \Sigma^n$ be the considered word. Without loss of generality let 1 be the symbol that occurs twice in w on positions $i, j \in [n]$. Therefore, we need to take these positions to construct a 1-factor. Observe, that if an answer to DISJOINT FACTORS is positive then the 0-factor must be contained in either $w[1, i - 1]$ or $w[j + 1 : n]$. Since the symbol 1 appears only twice, both of these words consist of symbol 0 exclusively. Hence the answer to DISJOINT FACTORS is negative iff $i \leq 2$ and $j \geq n - 1$.

Necessary information to store and verify this procedure can be easily maintained in $\mathcal{O}(1)$ update/query time. \square

6.2 Lower bound for EDIT DISTANCE

Next, we prove a lower bound for EDIT DISTANCE problem for $k = 2$. In this section we consider words over alphabet $\Sigma = \{\mathbf{a}, \mathbf{b}, \mathbf{c}\}$. Before we proceed let us consider a simple gadget.

Claim 6.5. *Consider any word $w \in \{\mathbf{a}, \mathbf{b}\}^n$. Then the edit distance between words*

$$\mathbf{aca}w\mathbf{cac} \quad \text{and} \quad \mathbf{cac}w\mathbf{aca}$$

is equal to 2 if $w = \mathbf{a}^n$. Otherwise, if w contains any symbol \mathbf{b} , then the edit distance is strictly greater than 2.

We postpone the proof of Claim 6.5 for a moment. Let us now use it to prove the PREFIX- U_1 -hardness of EDIT DISTANCE for distances at least 2.

Lemma 6.6. *Unless Conjecture 6.1 fails, there does not exist a data structure for the dynamic variant of EDIT DISTANCE for $k \geq 2$ that supports updates and queries in amortized time $\mathcal{O}(1)$.*

Proof. We reduce PREFIX- U_1 to 2-EDIT DISTANCE on $\Sigma = \{\mathbf{a}, \mathbf{b}, \mathbf{c}\}$. Let $S \subseteq [n]$ be the set maintained in the PREFIX- U_1 problem. Based on S we maintain the following input words to the EDIT DISTANCE problem:

$$\begin{aligned} x_S &:= \mathbf{a c a} \alpha_1 \dots \alpha_n \mathbf{a a a} \\ y_S &:= \mathbf{c a c} \alpha_1 \dots \alpha_n \mathbf{a a a} \end{aligned}$$

where $\alpha_i := \mathbf{b}$ if $i \in S$ and $\alpha_i := \mathbf{a}$ otherwise. Updates to S are naturally relayed to x_S and y_S .

During a query $i \in [n]$ to the PREFIX- U_1 problem we make the following modifications in x_S and y_S . First, we replace symbols in $x_S[i+3 : i+5]$ with $\mathbf{c a c}$ and in $y_S[i+3 : i+5]$ with $\mathbf{a c a}$. After the modification our two words look as follows (when $i < n-3$):

$$\begin{aligned} \mathbf{a c a} \alpha_1 \dots \alpha_i \mathbf{c a c} \alpha_{i+4} \dots \alpha_n \mathbf{a a a} \\ \mathbf{c a c} \alpha_1 \dots \alpha_i \mathbf{a c a} \alpha_{i+4} \dots \alpha_n \mathbf{a a a} \end{aligned}$$

Next we query the data structure for EDIT DISTANCE to find out whether the edit distance between these two words is larger than 2. If so, we conclude that the answer to PREFIX- U_1 query is positive, and otherwise it is negative. Then, we clean up and restore the words to the original form of x_S and y_S . This concludes the description of our reduction. Observe that all the steps can be done in (amortized) $\mathcal{O}(1)$ time.

For the correctness, observe that during a query, the input words to EDIT DISTANCE are of the following form: $\mathbf{a c a} w \mathbf{c a c} v$ and $\mathbf{c a c} w \mathbf{a c a} v$, for some $w, v \in \{\mathbf{a}, \mathbf{b}\}^*$. By Claim 6.5 the edit distance between these two words is 2 iff $w = \mathbf{a}^*$. This happens iff $S \cap [i] = \emptyset$, which concludes the correctness proof. \square

The remaining proof of Claim 6.5 can be shown by a diligent case analysis.

Proof of Claim 6.5. Observe that the edit distance between words is at least 1. Indeed, on one hand the words have equal length hence only a single substitution is possible. On the other hand, the Hamming distance between words is 6 so a single substitution operation is not sufficient.

Hence, we need to verify when it is possible to transform the first word into the second word by using two edit operations. Because the words have an equal length and the Hamming distance between them is 6, two substitutions are not sufficient. Therefore, only insertions and deletions are possible. We cannot perform just two insertions or just two deletions to the first word, because the words have the same length. Therefore, we can only do one insertion and one deletion.

Observe that initially the number of symbols \mathbf{a} , \mathbf{b} , and \mathbf{c} is the same in the both words. Therefore, the letter we insert and the letter we delete must be the same letter. On the other hand, the words differ at the first and the last position. Hence, we can only (a) insert \mathbf{c} to the first position of the first word and delete \mathbf{c} from the last position of the first word; or (b) delete \mathbf{a} from the first position of the first word and insert \mathbf{a} to the last position of the first word. Now, observe that (i) if $w = \mathbf{a}^n$ then the words are the same after applying (a), and (ii) if there is at least one symbol \mathbf{b} in w , then regardless whether we apply (a) or (b), the operations cause a misalignment at the position of $\mathbf{b s}$ and the words differ. \square

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A Omitted proofs

Proof of Lemma 3.7. We assume the familiarity with the proof of Lemma 3.3, as our data structure will extend the one proposed there. Recall that in the context of Lemma 3.7, we maintain the data structure of Lemma 3.3 for the dictionary $\tilde{\mathcal{S}}$ and o is the maintained word.

The data structure of Lemma 3.3 stores, for every $\tilde{s} \in \tilde{\mathcal{S}}$, the following set:

$$\Delta(o, \tilde{s}) = \{i \in [L] \mid o[i] \neq \tilde{s}[i]\}.$$

As, we have a fixed coloring $\pi: [L] \rightarrow [16d]$, we can maintain a table of counters

$$\mathcal{T}(\tilde{s}, c) := |\{i \in [L] \mid \pi(i) = c \text{ and } i \in \Delta(o, \tilde{s})\}| \quad \text{for all } \tilde{s} \in \tilde{\mathcal{S}} \text{ and } c \in [16d].$$

Upon initialization, we explicitly compute $\mathcal{T}(\tilde{s}, c)$ for every $\tilde{s} \in \tilde{\mathcal{S}}$ and $c \in [16d]$ in $\mathcal{O}(nL + nd)$ time. During an update to the position $i \in [L]$ of a word $\tilde{s} \in \tilde{\mathcal{S}}$ we check if $o[i] \neq \tilde{s}[i]$ and update the number of colors in $\mathcal{T}(\tilde{s}, c)$ based on $\pi(i)$ accordingly. It may happen that the update to \tilde{s} at position i triggered a modification of the word o at position i . Then we need to change $\mathcal{T}(\tilde{s}, \pi(i))$ for every $\tilde{s} \in \tilde{\mathcal{S}}$. Note that this alone requires $\mathcal{O}(|\mathcal{S}|)$ time. However, recall that in the proof of Lemma 3.3 we argued that before update when the position i of the word o changes, there were at

least $|\tilde{\mathcal{S}}|/4$ updates to that position where o was not modified (recall here that we work over the binary alphabet). Therefore, as in the proof of Lemma 3.3, we may charge the running time $\mathcal{O}(|\mathcal{S}|)$ to those previous updates to argue that the amortized update time is $\mathcal{O}(1)$.

Observe that based on the table \mathcal{T} , we can compute the set $\text{colors}_{o,\pi}(\tilde{s}) = \{\pi(i) \mid i \in [L] \text{ and } \tilde{s}[i] = o[i]\}$ for any given \tilde{s} in time $\mathcal{O}(d)$, because it is enough to iterate through all $c \in [16d]$ and check whether $\mathcal{T}(\tilde{s}, c) > 0$.

Now we describe how to maintain the sets

$$\Phi(C) = \{\tilde{s} \in \tilde{\mathcal{S}} \mid \text{colors}_{o,\pi}(\tilde{s}) = C\} \quad \text{for every } C \subseteq [16d].$$

Each set $\Phi(C)$ is stored as a doubly-linked list of pointers to words from $\tilde{\mathcal{S}}$. Upon initialization, we iterate over every $\tilde{s} \in \tilde{\mathcal{S}}$, lookup the value of $C_{\tilde{s}} := \text{colors}_{o,\pi}(\tilde{s})$ and add a pointer to \tilde{s} to the list $\Phi(C_{\tilde{s}})$. Observe, that this operation can be implemented in total time $2^{\mathcal{O}(d)} + nL$ time, as we can compute sets $C_{\tilde{s}}$ for all $\tilde{s} \in \tilde{\mathcal{S}}$ in total time $\mathcal{O}(nL)$.

Next, when a word \tilde{s} is updated on some position and is changed to \tilde{s}' , we compute the previous set of colors $C := \text{colors}_{o,\pi}(\tilde{s})$ and the new set of colors $C' := \text{colors}_{o,\pi}(\tilde{s}')$. As argued, this operation can be done in $\mathcal{O}(d)$ time. Next, we delete the pointer to the word \tilde{s} from the list $\Phi(C)$ and append a pointer to \tilde{s}' to list $\Phi(C')$. Alongside \tilde{s} we store the pointer to its list entry in the list $\Phi(C')$ in order to be able to remove it efficiently. Both of these operations can be implemented in $\mathcal{O}(1)$ time. During a query $C \subseteq [16d]$ we return any element from the list $\Phi(C)$ or assert that it is empty in $\mathcal{O}(1)$ time. \square