Climate Risk Management Review A meta-analysis of adoption studies of climate-smart agriculture practices (CSAPs) in Ethiopia --Manuscript Draft--

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Abstract:	The objectives of this review were to synthesize adoption studies of climate-smart agricultural practices (CSAPs); examine their adoption status, including gender considerations, socioeconomic benefits, and constraints to CSAP adoption; identify gaps in the current CSAP adoption literature, and highlight future CSAP research and policy directions. Following a systematic literature review procedure, a total of 100 articles published between 2001 and 2021 in Ethiopia were reviewed. Although all the publications were about the highlands of Ethiopia, over 80% came from the regions of Oromiya, Amhara, and South Nations and Nationalities. The most adopted practice was soil and water conservation (SWC), with a mean adoption rate of 61.5%, followed by integrated soil fertility management, and agroforestry with mean adoption rates of 56.5% and 48.8%, respectively. Gender analysis was considered in the studies of: all improved livestock management; a little higher than a half of the SWC; and over 75% of the remaining five practices. Quantified socioeconomic benefits were reported in only 46 papers. Greater farm income; increased land productivity; higher yields; increased food availability; and reduced household poverty were among the reported benefits of adopters compared to their counterparts. Among the aggregated constraints, socioeconomic factors and knowledge/awareness were ranked the two highest, followed by labor shortage and limited market access. The study highlighted research gaps: a lack of national-scale studies and studies focusing on drought prone regions; and 37% and 46% of the studies, respectively, didn't consider gender, and analysis of socioeconomic benefits of adoption shortage and limited market access. It also highlighted future policy directions.
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	Aslihan Arslan, PhD International Fund for Agricultural Development a.arslan@ifad.org Has great experience in adoption studies of agricultural technology, in particular, and recently has published A meta-analysis of the adoption of agricultural technology in Sub-Saharan Africa.
	Paul M. Barasa, PhD University of KwaZulu-Natal - Pietermaritzburg Campus Joel.Botai@weathersa.co.za Has an extensive research practices in adoption of Climate-Smart Agriculture practices in Africa.

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Journal of Climate Risk Management

Dear respected Journal Editorial Manager,

I am very much happy to submit the review manuscript "A meta-analysis of adoption studies of climate-smart agriculture practices (CSAPs) in Ethiopia" to your high impact Journal (Climate Risk Management). We believe, our manuscript is within the scope of the journal. Therefore, on behalf of myself and co-authors, I submit the manuscript for your consideration for publication.

Sincerely,

Authors: Assefa Abegaz, Wuletawu Abera, Stephanie Jaquet, Lulseged Tamene

1 Review

A meta-analysis of adoption studies of climate-smart agriculture practices (CSAPs) in Ethiopia

4 Assefa Abegaz*a, Wuletawu Abera^b, Stephanie Jaquet^c, Lulseged Tamene^b

6 Abstract

7 The objectives of this review were to synthesize adoption studies of climate-smart agricultural 8 practices (CSAPs); examine their adoption status, including gender considerations, socioeconomic 9 benefits, and constraints to CSAP adoption; identify gaps in the current CSAP adoption literature, and highlight future CSAP research and policy directions. Following a systematic literature review 10 11 procedure, a total of 100 articles published between 2001 and 2021 in Ethiopia were reviewed. Although all the publications were about the highlands of Ethiopia, over 80% came from the 12 regions of Oromiva, Amhara, and South Nations and Nationalities. The most adopted practice was 13 14 soil and water conservation (SWC), with a mean adoption rate of 61.5%, followed by integrated 15 soil fertility management, and agroforestry with mean adoption rates of 56.5% and 48.8%, respectively. Gender analysis was considered in the studies of: all improved livestock 16 management; a little higher than a half of the SWC; and over 75% of the remaining five practices. 17 Quantified socioeconomic benefits were reported in only 46 papers. Greater farm income: 18 19 increased land productivity; higher yields; increased food availability; and reduced household 20 poverty were among the reported benefits of adopters compared to their counterparts. Among the aggregated constraints, socioeconomic factors and knowledge/awareness were ranked the two 21 22 highest, followed by labor shortage and limited market access. The study highlighted research 23 gaps: a lack of national-scale studies and studies focusing on drought prone regions; and 37% and 46% of the studies, respectively, didn't consider gender, and analysis of socioeconomic benefits of 24 25 adoption of CSAPs. It also highlighted future policy directions.

Keywords: Adoption constraint; Adoption status; Climate-smart agriculture; Gender analysis; Policy
 support; Socioeconomic benefits

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38 **1.** Introduction

Agriculture underpins Ethiopia's economic sector. It employs over 85% of the working 39 population (Ministry of Agriculture [MOA], 2017), supports the livelihoods of millions of 40 people (Nieru et al., 2016), and contributes 43% of the national GDP (Ethiopian Panel on 41 Climate Change [EPCC], 2015). However, land degradation poses a serious challenge in 42 cultivated lands of Ethiopia. Since the sector is profoundly dependent on rainfed 43 production systems, the problem has been exacerbated by climate change. Climate-smart 44 agricultural practices (CSAPs) have been proposed for adapting to and mitigating the 45 negative effects of climate change, and to help ensure the sustainability of agricultural 46 production and food security (FAO, 2016). More recently, a wide range of CSAPs have 47 been promoted in Africa. These include: soil and water conservation (SWC); integrated 48 soil fertility management (ISFM); agroforestry (AF); water harvesting and small-scale 49 irrigation (WHSSI); conservation agriculture (CA); adoption of improved crop varieties 50 (ICV); improved livestock management (ILM), and other practices (e.g. biogas 51 development; energy-saving cooking stoves, and weather index-based agricultural 52

53 insurance) (World Bank, 2018).

Empirical evidence is available on the impacts of proven agricultural practices in reversing 54 55 agricultural land degradation trends, enhancing smallholder farmers' capacities to mitigate 56 climate-change impacts, and improving agricultural systems' resilience, and thereby 57 increasing agricultural productivity (Abera et al., 2020; Adimassu et al., 2018; Chen et al., 2020; Hishe et al., 2017; Lal, 2011, 2014; World Bank, 2012; Xiao, 2015). While the 58 59 evidence of the benefits of CSAPs on agricultural biophysical conditions are strong, smallholder farmers' CSAP adoption is minimal in sub-Saharan Africa in general (African 60 Climate Smart Agriculture Summit [ACSAS], 2014; Arslan et al., 2022). CSAP adoption 61 62 refers to the use of one, or a combination of two or more of such practices by smallholder farmers (FAO, 2016). It is considered as a fundamental route to sustainably increasing 63 productivity, supporting farmers' adaptation capacity to mitigate the impact of climate 64 change, and reducing greenhouse gas (GHG) emissions (FAO, 2010). 65

66 CSA adoption has received increasing attention from academics, researchers,

67 development agents, and policymakers worldwide (World Bank, 2018). Nevertheless,

generally in Africa, and particularly in Ethiopia, empirical studies are still limited and 68 fragmented. More so, review studies of CSAPs are scarce and limited in scope (such 69 studies include, Asrat & Anteneh, 2019; Barasa et al., 2021; Haile & Kasa, 2015; Iticha, 70 71 2019; Tadesse & Baihilu, 2017; Mulualem & Yebo, 2015; Zerssa et al., 2021). None of these review studies have: i) dealt with a systematic mapping of the research areas of 72 73 studied practices; ii) synthesized progression of adoption publication, or iii) made comparative analyses on the adoption status of practices, socioeconomic welfare of 74 75 adopted practices to rural households, and constraints to scaling-up the practices. In Ethiopia, there is a dearth of comparative-systematic literature reviews with a broader 76 scope on the adoption of CSAPs that have been identified as a best-bet practices 77 elsewhere in the world. 78

The objective of this study was, therefore, to review scientific literature on CSAPs' adoption in Ethiopia and the specific objectives were to: 1) synthesize studies (what practices, sites, timeseries, and methods) of CSAPs adoption were conducted so far; 2) examine the status/rates of adoption of these practices by rural householders, and considering gender, and socioeconomic benefits; and 3) identify gaps in the current adoption literature and possible future research directions.

85 **2.** Materials and methods

86 2.1. The study area

87 Ethiopia is situated in the Horn of Africa approximately between 3.4°N and 14.9°N, and 33.0°E and 48.0°E with an area of 1,104,300 km². With a dividing elevation of 1,500 m 88 above sea level, the land masses below and above are broadly defined as lowlands and 89 highlands of Ethiopia, respectively (International Food Policy Research Institute and 90 Central Statistical Agency [IFPRI & CSA], 2006). The mean annual rainfall pattern is 91 92 characterized by a large variation in spatial and temporal distributions. It varies between 2,700 mm in the southwestern highlands and less than 200 mm in some parts of the 93 94 northeastern and southeastern lowlands of Ethiopia (Dinku et al., 2018). The annual mean temperature ranges from 6.0°C in the highlands to less than 30°C in the lowlands (Dinku 95 96 et al., 2018). On the basis of the highest political administration unit, Ethiopia has nine regional states and two city governances. 97

98 2.2. Methods

This study adopted a systematic literature review (SLR) method, which has been used and 99 acknowledged as valuable method by many researchers (Danese et al., 2018; Mihalache 100 & Mihalache, 2016: Xia et al., 2018). This method helps to reduce bias and errors, and 101 102 improves the rigorous review process, and also helps to organize the literature in specific contents/themes (Mihalache & Mihalache, 2016; Tranfeld et al., 2003). As recommended 103 by many researchers, the systematic literature review was performed in three steps (Xia et 104 al., 2018). Step 1: Data sourcing and developing meta-database (planning, document 105 search, and documentation); step 2: Filtering retrieved publication using inclusion and/or 106 107 exclusion criteria; and step 3: Content analysis (literature meta-analysis).

108 2.2.1 Data sourcing and developing meta-database

First, an excel template was developed and relevant data/information of each article were 109 110 captured. During this step, comprehensive search topics were considered to retrieve scientific publications that dealt with adoption of CSAPs of Ethiopia. The search 111 keywords/themes were defined to cover the scope and research topics within the subject 112 matter of adoption of: "sustainable land management"; "climate-smart agriculture", or 113 "precision agriculture", or "precision farming", or "smart farming" – each with 114 technologies/practices of "integrated nutrient management", or "integrated soil fertility 115 management", or "conservation agriculture", or "soil and water conservation", or 116 "agroforestry", or "crop residue management", or "crop rotation", or "water harvesting and 117 small scale irrigation", or "improved crop varieties", or "improved livestock management", 118 or "biogas development and use", or "energy-saving cooking stoves", or "weather 119 forecasting". The study area of the retrieved documents was restricted to Ethiopia, while 120 the search was open-ended regarding the study period. 121

The retrieved scientific publications (peer-reviewed articles and book chapters) related to adoption of practices (as defined in the search keywords/themes above) of Ethiopia, and published in English language were obtained by searching in scientific databases of CAB, Google Scholar, and Scopus. These databases are widely used in most review studies, because they make available a wide range of peer-reviewed research documents in almost all disciplines.

From the retrieved documents, we captured title of the publication, journal in which the 128 paper is published, year of publication, absolute location (when possible, latitude, 129 130 longitude), and relative locations (districts and/or regional state) of the study area, sample size used in the study (number of adopters and non-adopters), studied practices, 131 inclusion/exclusion of gender analysis in the study, methods of studies, constraints to the 132 133 adoption of the practice(s), and socioeconomic benefits of smallholder farmers from the adopted practices (if reported). In step 1, after removing duplicated papers, a total of over 134 220 publications were retained for further analysis. 135

136 2.2.2. Refining publications based on exclusion criteria

137 In the next step, irrelevant documents in relation to this study were removed using 138 exclusion criteria, i.e., excluding publication of studies that didn't dealt with adoption of any practice of CSA; laboratory and/or research center's experimental studies; literature 139 review; and editorial and commentaries. Through this process, 140 articles/papers were 140 retained. The validity of each of these articles was verified by referring the journal's site 141 score and its continuity from the "List of Scopus Indexed Journals" database (LSIJ, 2022: 142 https://www.ardaconference.com/blog/list-of-scopus-indexed-journals/ (consulted on 143 February 2022), and we verified that only 100 papers were drawn from reputable journals 144 that have a "Scopus site score". From each of 73 journals, one published adoption paper 145 was drawn. While from each of eight journals, two publications; from one journal, three 146 147 publications; and from each of two journals four publications were drawn. The Journal of International Soil and Water Conservation, and the Journal of Sustainability had the most 148 publications (each with four publications), while the Journal of Environmental System 149 Research was in the 2nd position with three publications. With this scenario, we built 150 151 confidence that these papers are dependable and we used them for content analysis (Appendix A: Lists of publications used for content analysis). 152

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154 **2.2.3 Content analysis (literature meta-analysis)**

The third step involved content analysis, comprising thematic classification of studied practices, and descriptive and quantitative analysis (Xia et al., 2018). For the thematic

- analysis, components of studied practices were grouped into seven thematical areas
- 158 (Table 1).
- 159 Table 1. Climate-smart agriculture thematic areas and components of studied practices

Thematic areas	Components of studied practices
1. Soil and water conservation (SWC)	bench and hillside terraces, level and graded soil bunds, level and graded fanya juu, check dams, cut-off drain, area closure, gulley rehabilitation, grass strip, soil bunds, stone bunds, soil-stone bunds, water ways and contour farming.
2. Integrated soil fertility management (ISFM)	a combined use of inorganic and organic fertilizers or integrated use of organic, inorganic fertilizers, and measures to control soil erosion, and to correct soil acidity (Hörner & Wollni, 2021; Vanlauwe et al., 2010).
3. Agroforestry (AF)	home gardens/homestead tree planting; scattered trees in croplands (parklands); trees on grazing lands; the <i>Enset</i> -Coffee gardens; coffee shade based scattered trees; woodlots; and farm boundary tree practices.
4. Water harvesting and small-scale irrigation (WHSSI)	capturing runoff from rooftops; local catchments; seasonal floodwaters from local streams; and on-farm water conservation practices (tie-ridging, hand-dug wells and ponds) (MOA, 2011).
5. Improved crop variety (ICV)	Studies on drought resistant, early maturing, and high yielding crop varieties.
6. Conservation agriculture (CA)	one, or a combination of two or more of reduced/minimum tillage; soil cover/mulching of organic materials (straw and/or other crop residues including cover crops); and crop rotation with nitrogen-fixing legumes (FAO, 2010).
7. Improved livestock management (ILM)	grazing management, feed improvement, breed improvement (Branca et al., 2013; FAO, 2016; Saguye, 2017).

- 161 For the descriptive analysis, content categories of article's journal title; year of publication;
- study/research location where the publication data were drawn; studied practice;
- inclusion/exclusion of gender in the study; research methods and data collection tools
- used; and reported constraints to the adoption of the studied practice were considered.
- 165 Research methods used were packed into five groups (cross sectional; mathematical; on-
- 166 farm experiment with-and-without; longitudinal; and remote sensing). Data collection
- tools/methods were grouped into five categories (household survey questionnaire; field
- data collection; in-depth interview; focus group discussion; and secondary data collection

169 tools).

Reported constraints to the adoption of the studied practice were grouped into 12 170 categories: i) Knowledge/awareness (includes farmers' education, extension service, 171 training, weak technical support from the extension agent, lack of awareness on the 172 impact of climate change and the benefit/profitability of practices, and weak monitoring 173 and evaluation of practices); ii) Socioeconomic factors (includes small plot size/land 174 shortage, age of the household head, size of household, off-farm activity, duration of the 175 practice to provide returns); iii) Shortage of labor (includes labor unavailability, labor 176 177 intensiveness of the practice); iv) limited access to market, v) limited access to credit, vi) limited capital/finance; vii) limited access to farmers' social organization/institutions 178 (includes access to local institutional services, membership in local organizations); viii) 179 land tenure system; vix) limited access to irrigation; x) insufficient local organic material; xi) 180 181 *limited access to seedling; and xii) weather/climate.*

For quantitative analysis, data were extracted and documented including: study sample size (number of adopters and non-adopters, and adoption rate (if it is reported)); annual number of adoption publications; socioeconomic benefits of adopters (in monetary form in Eth. Birr or USD); and increases in household income and food security, yield and land productivity.

To examine annual progression and total number of CSAPs adoption publications, absolute numbers were considered. But in the case of several studied practices, frequency is used, because in one publication, the results of one or more practices were reported, therefore, the total frequency of studied practices is greater than the absolute number of publications. The same approach was used in the cases of examining research methods and data collection tools used, and reported constraints to the adoption of practices.

In order to mathematically estimate the change/increase in the number of publications
 over time, the number of annual publications was plotted on the Y-axis, and the
 corresponding year on the X-axis; and Quadratic Non-linear Regression Model was fitted.

Mapping of the spatial distribution of the research areas was done using the ArcGIS tool.
Absolute locations of studies were mapped using median values of the reported ranges of
latitude and longitude of the study area, while the study areas with no latitudes and

longitudes, but with mentioning of district's name, the relative location is mapped 200 approximately at the center of the district. National and sub-national studies were 201 202 excluded from mapping; however, their data were used at the national level analysis.

The adoption rate of each practice was computed as the percentage ratio between 203 204 number of adopters and total studied sample size. Then after, Kifle et al. (2020) adoption status classes of very high, high, medium, low and very low, respectively, with greater 205 than 70, 60-70, 50-59, 40-49, and below 40% of adopters was adopted. One-way ANOVA 206 test was used to investigate whether the adoption rate difference between practices is 207 statistically significant or not, at the 0.05 level. 208

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Results and discussion

3.1 Studied adopted practices overtime spatial distribution 211

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3.1.1. Categories of adopted CSAPs 213

214 Fig. 1 shows frequencies of publications that dealt with adoption of CSAPs in Ethiopia

from 2001 to 2021 by individual practices, and a total of seven categories of adopted 215

practices were studied. Soil and water conservation was the most studied adoption 216

practice, with 53 papers followed by adoption of ISFM and AF, as they were studied by 25 217

and 24 research teams, respectively. The fourth most studied adoption practice was 218

WHSSI with 20 publications. Studies of ICV and CA adoption ranked fifth and sixth with 17 219

and 16 publications, respectively. Adoption on improved livestock management with only 220

seven articles was the least studied practice. 221



Figure 1. Frequencies of studied practices from 2001 to 2021 by adopted practice categories. Note that in one publication, one, or more practices were studied, therefore, the total frequency of studied practices is 164, which is greater than the absolute number of publications, i.e., 100. SWC= soil and water conservation; ISFM = integrated soil fertility management; AF = agroforestry; WHSSI= water harvesting and small-scale irrigation; ICV = improved crop variety; CA = conservation agriculture; ILM = improved livestock management.

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3.1.2. Climate-smart agriculture practice adoption studies overtime

The first published research paper on the adoption of CSAPs appeared for Ethiopia in 232 2001, with only one article by Enki et al. (2001). Likewise, in each of 2004, and 2010, 233 234 there was only one publication, and in 2007 there were three publications, while in between these years (i.e., in 2002, 2003, 2005, 2006, 2008 and 2009) there were no 235 publications (Fig. 2A). Accordingly, in the first decade (2001-2011) the total publications 236 were only seven. In the second decade (2012-2021), annual publication notably increased 237 from two in 2012, to 14 articles in 2021, with an annual growth rate of about 1.2 articles. In 238 general, from 2001 to 2021, there was a total of 100 publications, with an average of five 239 publications per year. In terms of temporal pattern, the number of publications over the 240 last two decades can be best estimated using a Quadratic Non-linear Regression Model 241 $(R^2 = 0.86)$, which showed a slower gradient at the early period (until 2009) and steeper 242 gradient at the later period which indicates that future publication progression will continue 243 with increasing gradient (Fig. 2A) 244



245

Figure 2. Scatter plots and Quadratic Non-linear Regression Model of the changes in

number of CSAPs adoption publications (A), annual stacked frequencies of progression of
 practices (B) of Ethiopia, 2001 to 2021

249 This momentous growth suggests a growing interest of researchers in adoption studies,

and also the research topic is increasing. This also indicates that over the last 20 years,

research of adoption of CSAPs was progressively considered to be an important means of

building scientific knowledge in order to design appropriate agricultural practices, so as to

curb the impacts of climate change (World Bank, 2018).

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Fig. 2B presents stacked annual publications by practices and their corresponding

number. In each of 2001 and 2004, there was only one publication, and both of these

257 publications considered only adoption of SWC practice.

Publications of adoption of SWC as a theme of CSAP started in 2001 (Enki et al., 2021), 258 and gradually grew until 2018, with nine publications and then declined to six in 2021 (Fig. 259 2B). During the study period, 53% of the total 100 reviewed papers, dealt with adoption of 260 SWC practice. In 2007, there were three publications, two on SWC (Amsalu & de Graaff, 261 2007; Bewket, 2007) and one on WHSSI (Ayalneh et al., 2007). In 2010, there was only 262 263 one publication (Teshome et al., 2010) on adoption of WHSSI. In 2011, there were two more publications of which, the study by Mekonen and Tesfahunegn (2011) considered 264 both SWC and AF, while the study by Bacha et al. (2011) considered only WHSSI. In 265

2012, adoption of four practices were published in two articles, i.e., three practices (ISFM,
WHSSI and ILM) in Legesse et al. (2013); and the other one (ICV) in Goshu et al. (2012)

From 2014-2016, the number of studied practices increased to six, with a total annual practices' frequency between 11 and 13. In 2017, 2020 and 2021, there were publications on all the seven adopted practices, with a total annual practices' frequency of 18, 17, and 23, respectively (Fig. 2B). Publication of WHSSI started in 2007 (Ayalneh et al., 2007) and continued until 2021, except in 2013. Except in 2012, AF practice was published from 2011 until 2021. Publication on ISFM started in 2012 and continued with no interruption until 2021.

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Despite research on adoption of CSAPs increasing in Ethiopia, emphasis has been only
on a few practices. Out of the studied practices, one-third was on SWC, and nearly onefifth each was on ISFM and AF, suggesting research gaps in adoption studies in ICV and
ILM.

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3.1.3. Spatial distributions of CSAPs adoption studies

Out of the total 100 reviewed articles, the research data of the seven articles were from 283 284 semi-national scale studies, i.e., three articles were from the studies that considered five regional states ("subnational scale"), and the other four articles were "regional scale" that 285 considered two regional states (Fig. 3B). The research data of the other 93 publications 286 were either from district, or watershed ("local scale") studies, associated with specific 287 288 regional states, therefore, the spatial distribution of these research areas is mapped on Fig. 3A. In this mapping, research areas of seven papers were excluded, because they 289 were not associated with any specific regional state of Ethiopia. 290

Out of the total review papers, the highest number (37 papers) focused on the Oromiya region, followed by Amhara, and SNNP regions, with 27 and 17 papers, respectively, while there were no publications relating to Somalia, Gambella and Harari regions (Figs. 3A&B).

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Figure 3. Spatial distribution of research sites of adoption of CSAP (A), and number of published articles by regional states of Ethiopia (B), 2001-2021.

300 With regard to the publication of SWC, over 80% was from research linked to three

- regions (SNNP, Oromiya and Amhara). The regional relative percentage distribution of
- research areas of other practices is very similar to that of SWC. In general, out of the total
- 164 publication frequencies of adopted practices, 130 (79.3%) was from the Oromiya,
- Amhara and SNNP regions (Table 2).

The highest publication frequencies of ISFM (38.5%) were from Amhara region, followed by Oromiya, SNNP, and Benshangul-Gumz, with 26.9, 19.2, and 7.7% of total publication frequencies for ISFM practices, respectively (Table 2). For the remaining two publications, the research data was from the semi-national source, which involved Amhara, Oromiya, Tigray, Benshangul-Gumz, and SNNP regions.

This study revealed that no CSA adoption research was conducted in the three regional 310 states of Gambella, Harari and Somala, and only one in Afar region. These regions are 311 situated at lowlands and periphery of Ethiopia with either relatively lower land degradation 312 rates, or most probably researchers and projects didn't go there to do research due to its 313 314 periphery and remoteness. However, the regions are drought prone and highly vulnerable to climate-change impacts. On the other hand, although almost all previous adoption 315 316 publications data were collected from the highlands of Ethiopia; Oromiya, Amhara, and SNNP are the most predominant regions with over 80% of the total publications over the 317 318 last 20 years (Fig. 3B). These results confirm that most CSAPs studies are region specific, and highlights the need to support and enhance adoption studies in the remaining regions. 319

- Table 2. Frequencies and percentage of studied practices by regional states of Ethiopia,
- 321 2001-2021.

Deciencl state	Adopted practices & their frequencies and percentages												
Regional state	ISFM	CA	SWC	WHSSI	ICV	AF	ILM	Total					
Oromiya	7 (26.9)	5 (31.3)	14 (26.4)	5 (25.0)	5 (29.4)	6 (24.0)	4 (57.1)	46					
Amhara	10 (38.5)	3 (18.8)	14 (26.4)	6 (30.0)	6 (35.3)	7 (28.0)	2 (28.6)	48					
SNNP	5 (19.2)	4 (25.0)	15 (28.3)	2 (10.0)	2 (11.8)	7 (28.0)	1 (14.3)	36					
Tigray	0	1 (6.3)	5 (9.4)	2 (10.0)	1 (5.9)	3 (12.0)	0	12					
Benshangul-Gumz	2 (7.7)	0	2 (3.8)	1 (5.0)	1 (5.9)	1 (4)	0	7					
Afara	0	0	1 (1.9)	0	0	0	0	1					
Oromiya & SNNP	0	2 (12.5)	0	3 (15.0)	0	0	0	5					
Amhar & Tigray	0	0	1 (1.9)	0	0	0	0	1					
Amhara, Oromiya, Tigray, Benshangul- Gumz & SNNP	2 (7.7)	1 (6.3)	1 (1.9)	1 (5.0)	2 (11.8)	1 (4.0)	0	8					
Total	26	16	53	20	17	25	7	164					

Note: Figures in parenthesis are percentages of total frequencies of practices within

323 adopted practice.

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326 **3.2 Research methods and data collection tools of CSAPs adoption studies**

327 3.2.1 Research Methods

328 Table 3 presents research methods that have been used by previous CSAP adoption studies. Each study has applied either only one, a combination of two, or integration of 329 330 three or more methods, depending on the objectives of the research. Accordingly, the summation of the five methods used by all studies of the seven practices was 185 (Table 331 332 3). The most applied method was cross sectional and descriptive (which involves survey and observational study), with a total frequency of 158 (85.4%), with \geq 80% of the time 333 within each of the practices. ILM studies adopted a sole cross-sectional method, while, a 334 tenth of the studies of ISFM applied cross-sectional methods. The least-used method was 335 336 remote sensing, which was applied only in four studies (2.2% of the total frequencies of methods used), particularly for SWC followed by CA and AF practices. All the five 337 methods were used in the studies of three practices: CA, SWC, and AF, while four 338 methods (cross-sectional, mathematical, on-farm experiment with-and-without the 339 340 practices, and longitudinal) were used in the studies of WHSSI. Three methods (crosssectional, mathematical and longitudinal) were used in studies of ISFM and ICV. 341

Table 3. Frequency of research methods used by previous studies of adoption of CSAP in Ethiopia, 2001-2021.

	Research methods used									
			On-farm							
Studied adopted practices	Cross sectional	Mathematical /modeling	experimental with-and- without	Longitudinal	Remote sensing	Total				
Integrated soil fertility	25 (89.3)	1 (3.6)	0 (0)	2 (7.1)	0 (0)	28				
management Conservation agriculture	16 (80.0)	1 (5.0)	1 (5.0)	1 (5.0)	1 (5.0)	20				
Soil and water conservation practices	51 (85.0)	3 (5.0)	3 (5.0)	1 (1.7)	2 (3.3)	60				
Water harvesting and small-	18 (81.8)	1 (4.5)	2 (9.1)	1 (4.5)	0 (0)	22				
Improved crop variety	16 (88.9)	1 (5.6)	0 (0)	1 (5.6)	0 (0)	18				
Agroforestry	25 (83.3)	2 (6.7)	1 (3.3)	1 (3.3)	1 (3.3)	30				
Improved livestock	7 (100)	0 (0)	0 (0)	0 (0)	0 (0)	7				
Total	158 (85.4)	9 (4.9)	7 (3.8)	7 (3.8)	4 (2.2)	185				

Figures in parentheses are percentages of total number of methods used by previous studies within adopted practices

344 Reviewed articles which used the cross-sectional method involved quantitative

345 measurements of two or more variables. They provided an in-depth and valuable

descriptive, and correlational discussion on topical issues at a set point in time. However,

- since the generated results are at a set point in time (snapshots or statics), they could not
- 348 be used for future prediction, and also, they didn't determine cause-and-effect
- relationships. Mathematical/modeling methods involved the use of econometrics and
- 350 statistics to represent the socioeconomic benefits from adopted practices, however, only
- 351 for a particular time. The on-farm experiment with-and-without method involved measuring
- data from those who are using the practice and comparing it with their counterparts on
- 353 their farm fields.
- 354

355 **3.2.2 Data collection tools/methods used by previous studies**

Table 4 presents research data collection tool/methods that have been used by previous 356 CSAP adoption studies. In general, in this review, we have summarized the data collection 357 tool/methods in five categories: household survey questionnaire and field survey and data 358 collection, in-depth interview, focus group discussion, and secondary data collection. Each 359 of the studies has applied either only one, a combination of two, or integration of three or 360 more tools/methods (Table 4). Accordingly, the frequency summation of the five 361 tools/methods used by all studies of the seven practices was 369, of which the highest 362 frequency (119; 32.2%) was in studies of SWC (Table 4). 363

Table 4. Frequency of research data collection tools/methods used by previous CSAP adoption studies in Ethiopia, 2001-2021.

	Data collection tool/methods used									
	Household	Field data	In-depth	Focus	Secondary data collection					
Studied adopted practices	questionnaire	collection	interview	discussion	tool	Total				
Soil and water conservation practices	51 (42.9)	4 (3.4)	32 (26.9)	28 (23.5)	4 (3.4)	119				
Integrated soil fertility management	26 (45.6)	1 (1.8)	14 (24.6)	15 (26.3)	1 (1.8)	57				
Agroforestry	24 (38.7)	4 (6.5)	16 (25.8)	16 (25.8)	2 (3.2)	62				
Water harvesting and small-scale irrigation	18 (36.0)	4 (8.0)	13 (26.0)	14 (28.0)	1 (2.0)	50				
Improved crop variety	17 (50.0)	0 (0)	9 (26.5)	8 (23.5)	0 (0)	34				
Conservation agriculture	16 (48.5)	2 (6.1)	7 (21.2)	7 (21.2)	1 (3.0)	33				
Improved livestock	7 (50)	0 (0)	3 (21.4)	4 (28.6)	0 (0)	14				
Total	159 (43.1)	15 (4.1)	94 (25.5)	92 (24.9)	9 (2.4)	369				

Figures in parentheses are percentages of total number of methods used by previous studies with in adopted practices

In the studies of all practices, the most applied tool was the household survey 367 questionnaire, with a total frequency of 159 (43.1%), with \geq 36% of the time within each of 368 the practices, followed by in-depth interview and focus group discussion, each with a 369 370 quarter of the total frequency, while the least used tool was secondary data collection, with 371 a 2.9% of the total frequency of tool used. The secondary data collection tool was used only once in each of ISFM, CA, and WHSSI; twice in AF; and four times in SWC studies. 372 Excepting field data collection and secondary data collection tools, the remaining three 373 tools were used in the studies of all practices, albeit at varying intensities. Both field data 374 375 collection and secondary data collection tools were not used in any studies of ICV and LIM 376 practices (Table 4).

377

378 **3.3. Adoption status of CSA practices**

379 3.3.1 Adoption status of CSA practices by category

380 Soil and water conservation (SWC) is predominately used in the central and northern highland regions of Ethiopia, where soil erosion is one of the major limiting factors for 381 382 agricultural production (Alemu & Melesse, 2020; Alemu et al., 2019; Amsalu & De Graaff, 2007; Gadisa & Hailu, 2020). In recognition of its importance for reducing soil erosion and 383 land degradation, and boosting land productivity, Ethiopia started SWC many years ago, 384 and a large scale SWC practice has been underway since the 1980s (Engdawork & Bork, 385 2014; Kosmowski, 2018). Since then, the government has focused on this program, and 386 various donors and development partners have been engaged in providing technical and 387 financial support towards its widespread implementation in different parts of the country 388 (Kosmowski, 2018). Accordingly, until 2015, under the integrated watershed management 389 program, over 1.7 million ha of land were treated under area closure, and on over 2 million 390 ha of land, physical and biological soil conservation measures were applied in different 391 392 parts of Ethiopia (FAO, 2016).

This review confirms that SWC is the most adopted practice, with a 61.5% mean adoption rate, which can be rated as high based on Kifle et al. (2020). This adoption rate is significantly higher by 26.8, 22.9, 20.5, 15.2, and 12.7% than the adoption rates of ILM,

WHSSI, ICV, CA and AF, respectively (Table 5, P<0.05). Although, the adoption rate of SWC is higher by 4.9% than ISFM, the difference is not statistically significant. The highest adoption rate of SWC could be because, it is one of the oldest approaches that has been supported by the government campaign.

Table 5. Mean adoption status of individual CSAPs, and one-way ANOVA test and posthoc analysis.

				95% Coi	nfidence
		Mean	0.1	Inte	rval
(I) Adopted practices ¹	(J) adopted practices	Difference (I-J)	Std. Error	Lower Bound	Upper Bound
Integrated soil fertility	Conservation agriculture	10.23	6.902	-3.41	23.86
management (56.54)	Soil and water conservation	-4.93	5.201	-15.21	5.34
	Water harvesting and small-scale irrigation	17.99**	6.460	5.23	30.75
	Improved crop variety	15.54 [*]	6.775	2.16	28.92
	Agroforestry	7.74	6.084	-4.28	19.76
	Improved livestock	21.82 [*]	9.249	3.56	40.09
Conservation agriculture	Soil and water conservation	-15.16*	6.196	-27.40	-2.92
(46.31)	Water harvesting and small-scale irrigation	7.76	7.285	-6.63	22.15
	Improved crop variety	5.31	7.566	-9.63	20.26
	Agroforestry	-2.49	6.954	-16.22	11.25
	Improved livestock	11.60	9.843	-7.84	31.04
Soil and water conservation (61.47)	Water harvesting and small-scale irrigation	22.92***	5.700	11.66	34.18
	Improved crop variety	20.47***	6.054	8.51	32.43
	Agroforestry	12.67*	5.270	2.26	23.08
	Improved livestock	26.76**	8.735	9.50	44.01
Water harvesting and small-	Improved crop variety	-2.45	7.165	-16.60	11.70
scale irrigation (38.55)	Agroforestry	-10.25	6.516	-23.12	2.62
	Improved livestock	3.84	9.539	-15.01	22.68
Improved crop variety (41.00)	Agroforestry	-7.80	6.828	-21.29	5.69
Agroforestry (48.80)	Improved livestock	14.07	9.288	-4.26	32.43
Improved livestock	Improved crop variety	-6.29	9.755	-12.98	25.55

*, **, ***. The mean difference is significant at the 0.05, 0.001 and 0.001 level, respectively. ¹Figures in parentheses are mean adoption rates

402

Although the adoption status of this practice is rated as high, many studies claimed that

404 SWC did not adequately address the decline of soil fertility and agricultural productivity

405 (Fikirie et al., 2018; Mulualem & Yebo, 2015) and the achievements were far below

expectation, because many of the SWC components have not been well integrated withother soil fertility management practices (Lemma et al., 2015).

ISFM is the second most adopted practice with a mean adoption rate of 56.5%. This
adoption status could be attributed to the prevailing conditions of population growth, over
cultivation, and small plot size which forced farmers to use one or a combination of two or
more inputs of this practice. The practice is also being supported by a good extension
campaign.

The adoption rate of this practice (56.5%) is significantly higher by 21.8, 18.0, and 15.5% than that of ILM, WHSSI, and ICV, respectively (Table 5, P<0.05). Although, the adoption rate of ISFM is higher by 10.2, and 7.7% than that of CA and AF, respectively, the differences are not statistically significant at p<0.05. According to Kifle et al. (2020) the

adoption status of ISFM is rated as medium.

The development of ISFM is a result of a series of transitions in soil fertility management 418 paradigms from 1960s to 1990s (Guteta & Abegaz, 2016a,b). This practice has been 419 introduced in different parts of the country to boost soil health, increase crop productivity, 420 and mitigate the impacts of climate change (Abeje et al., 2019; Marie et al., 2020). Along 421 these lines, many studies recommended it as the best-bet and most feasible option which 422 could provide a more holistic, lower cost, and sustainable solution considering the 423 424 complex socioeconomic and biophysical characteristics of the country (Agegnehu & Tilahun, 2017; Guteta & Abegaz, 2016a,b; Mulualem & Yebo, 2015; Vanlauwe et al., 425 426 2010). However, many studies have claimed that adoption of this practice in various parts 427 of Ethiopia was limited because of lack of proper implementation and wider dissemination 428 (Hörner & Wollni, 2021).

Agroforestry is the third most adopted practice with a mean adoption rate of 48.8%. This

- adoption rate is significantly lower by 12.7% than that of SWC (Table 5, P<0.05).
- Although, the adoption rate of AF is higher by 14.1, 10.3, and 7.8% than that of ILM,
- 432 WHSSI, and ICV, respectively, and lower by 7.7% than that of ISFM, the differences are
- not statistically significant at p<0.05. According to Kifle et al. (2020) the adoption status of
- 434 AF is rated as low. However, many studies have reported that it is a best-bet practice, for

the reason that it provides additional food, fuel woods, and various ecosystem services in
an integrated manner (Amare et al., 2019; Gebru et al., 2019; Kassie, 2018).

437 Farmers' adoption rate of CA is at the fourth position after SWC, ISFM, and AF, with a

mean adoption percentage of 46.3. With this rate, the adoption status of CA is rated as

- low (Kifle et al., 2020). The adoption rate of CA is significantly lower by 15.2% than that of
- 440 SWC (Table 4, P<0.05). On the other hand, although, the adoption rate of CA is higher by
- 11.6, 7.8, and 5.3% than that of ILM, WHSSI, and ICV, respectively, and lower by 2.3%
- than that of AF, the differences are not statistically significant at p<0.05. The promotion of
- 443 CA began in 1998, and in 2010, it was implemented on smallholder farms in 12 districts of
- the Amhara, Oromia and Tigray regions (FAO, 2016), and subsequently, its
- implementation continued in different parts of the country.
- The adoption rate of ICV ranked fifth after SWC, ISFM, AF, and CA, with a 41.0% mean
- adoption rate. With this rate, the adoption status of IVC is rated as low (Kifle et al., 2020).
- The adoption rate of ICV is significantly lower than that of SWC and ISFM by 20.5, and
- 15.54% respectively (Table 5, P<0.05). On the other hand, although, the adoption rate of
- ICV is higher by 2.5% than that of WHSSI, and lower by 7.8, 6.3, and 5.3% than that of

451 AF, ILV, and CA, the differences are not statistically significant at p<0.05.

- 452 Water harvesting and small-scale irrigation adoption ranks sixth, with a 38.6% mean
- 453 adoption rate, and its adoption status is rated as very low (Kifle et al., 2020). The adoption
- rate of WHSSI is significantly lower than that of SWC, and ISFM by 22.9, and 18.0%
- respectively (Table 5, P<0.01). On the other hand, although, the adoption rate of WHSSI is
- higher by 3.8% than that of ILM, and lower by 10.3, 7.8, and 2.5% than that of AF, CA,
- and ICV, the differences are not statistically significant at p<0.05.
- 458 Traditional and small-scale irrigation use in Ethiopia dates back several decades, and
- 459 continues to be an integral part of Ethiopian agriculture (Awulachew & Merrey, 2007;
- 460 Bacha et al., 2011; Hagos et al., 2009; Makombe et al., 2007; Mengistie & Kidane, 2016),
- 461 while modern irrigation was started in the early 1950s when the Dutch company
- 462 introduced the technologies and practices in the Upper Awash Valley in sugar-cane
- 463 plantations (Bekele et al., 2012; Mengistie & Kidane, 2016; MoA, 2011).

According to the findings of this review, WHSSI is an important practice for reducing risks 464 associated with rainfall variability, increasing cropping diversification and intensity, 465 466 increasing employment opportunities, improving household consumption, increasing income of rural households, and reducing poverty at household level (Yihdego et al., 467 2015). Most recently, WHSSI has become one of the policy priorities of the Ethiopian 468 469 Government for poverty reduction, resilience against climate change and economic growth. However, development of WHSSI in Ethiopia is limited, while the country is 470 endowed with an estimated 122 billion cubic meters of surface water and 3.7 million ha of 471 potential irrigable land (MOA, 2011). The same reference reported that only 10- 12% of 472 the total potential irrigable land is used under traditional and modern irrigation schemes. 473 Subsequently, the role of irrigation to the development of the national economy is limited 474 475 when considering its potential (MOA, 2011).

In terms of animal headcounts, the livestock sector of Ethiopia is the largest in Africa. It 476 477 contributes 20% to the national GDP (MacDonald & Simon, 2011). However, the impact of climate change on Ethiopian livestock production potential is huge (EPCC, 2015; Gashaw 478 479 et al., 2014). In order to curb such negative impacts, adopting farm animal genetic resources, improving feed and feeding systems, herd diversification and production 480 481 system adjustment or intensification have been recommended (Climate Resilience Green Economy [CRGE], 2011). Accordingly, the Ethiopian Drought Resilient and Sustainable 482 Livelihoods Program (DRSLP) was launched in 2013, aiming at adoption of water 483 484 resource development and rangelands management, along with various capacity building 485 activities of livestock production (FAO, 2016).

However, this review revealed that ILM is the least-adopted practice, with a 34.7% mean
adoption rate, and so is rated as very low. It is also the least-studied practice, with a 4.8%
of the total frequency of the studied practices. The adoption rate of ILM is significantly
lower than that of SWC, and ISFM by 26.8, and 21.8%, respectively (Table 5, P<0.05).
Although, the adoption rate of ILM is lower than that of CA, AF, WHSSI, and ICV, the
differences are not statistically significant at p<0.05.

492

493 **3.3.2.** Status of adoption of CSAPs by regional states

The mean adoption rate by regional states ranges from 48.3% in Oromiya region to 56.0% in SNNP region (Table 6), however, this variation was not statistically significant at p=0.05 (adoption rate of Afar region (81.0%) is excluded from the ANOVA analysis, since the rate is generated from only one study). The SNNP, the Amhara, and the Tigray regions' farmers' adoption status, are rated as medium, with the mean adoption rates between 53 and 57%. The Benshangul_Gumz and the Oromiay regions' farmers' adoption status are rated as low, with the mean adoption rates between 48 and 49%.

In Oromiya region, the most adopted practice is CA (59.4%), which could be attributed to the availability of a relative excess of local organic material for mulching, and a relatively good biophysical environment for crop rotation. The least-adopted practice is ILM (30.8%). However, the variation in adoption rates between practices is not statistically significant at p<0.05. With regard to the adoption status of each practice, SWC, CA and AF are at medium status; ISFM and ICV are at low status, and WHSSI and ILM are ranked as very low status.

In Amhara region, the most adopted practice is SWC (66.6%), which could be because of 509 higher land degradation problem. WHSSI (30.3%) is the least adopted practice. In this 510 region, the adoption rate of SWC is significantly higher by 36.3, 35.9 and 21.8% than the 511 512 adoption rates of WHSSI, CA and ICV, respectively (P<0.05). The second most adopted practice is ISFM (60.2%). This rate is also significantly higher by 29.8, and 29.5% than the 513 514 adoption rates of WHSSI, and CA, respectively (P<0.05). With regard to the adoption status of each practice, SWC and ISFM are at high status; ILM is at medium status; AF, 515 516 and ICV are at low status; while WHSSI and CA are at very low status.

In SNNP region, the most adopted practice is ISFM (68.4%), followed by SWC and CA,
with adoption rate of 62.0 and 60.5%, respectively. These adoption rates are significantly
higher than the adoption rates of WHSSI, IVC and AF (P<0.05). The least adopted
practice is ILM. The adoption status of ISFM, SWC, and AF is high. The adoption status of
AF is low, while for the rest of practices it is very low.

- 522
- 523

Regional	Adopted practices and rates of adoption															
Sidles	l.	SFM		CA	S	SWC	W	/HSSI		ICV		AF		ILM	т	otal
	Ν	Mean	Ν	Mean	Ν	Mean	Ν	Mean	Ν	Mean	Ν	Mean	Ν	Mean	No	Mean
	о.	%	0.	%	о.	%	о.	%	0.	%	0.	%	о.	%		%
Oromiya	7	44.6	5	59.4	1	54.5	4	39.3	5	42.6	6	50.3	4	30.8	46	48.3
					5											
Amhara	1	60.2	3	30.7	1	66.6	6	30.3	6	44.8	7	45.6	2	50.0	48	53.1
	0				4											
SNNP	5	68.4	4	60.5	1	62.0	2	38.0	2	39.0	7	46.7	1	20.0	36	56.0
					5											
Tigray	0		1	45.0	5	66.8	2	48.5	1	15.0	3	56.7	0		12	55.1
Benshangul	2	58.5	0		2	41.0	1	55.0	1	51.0	1	36.0	0		7	48.7
-Gumz																
Afar	0		0		1	81.0	0		0		0		0		1	81.0
Total	2	57.2	1	52.0	5	61.1	1	37.8	1	41.7	2	48.1	7	34.7	15	52.4
	4		3		2		5		5		4				0	

Table 6. Mean adoption rate of individual CSAPs by regional states of Ethiopia

525

526 For Tigray region, the retrieved published papers dealt about only five practices (Table 6).

527 The most adopted practice is SWC (66.8%), with its adoption status of high, which could

528 be because of higher land degradation problem. The adoption rate of AF (56.7%) is at the

second position, with adoption status of medium, while the adoption rate of WHSSI

530 (48.5%) and CA (45.0%) are at the third and the fourth positions, respectively, and the

adoption status of both practices is low.

Also, for Benshangul-Gumz region, the retrieved published papers reported only five

practices (Table 6). The most adopted practice is ISFM (58.5%), and followed by WHSSI

534 (55.0%), and ICV (51.0%); where the adoption status of the three practices is medium.

535 **3.4. Gender-sensitive and gender-blind adoption studies**

536 Gender was considered in over two-third of the total studied practices (Fig. 4A). In terms 537 of specific practices, all studies of ILM considered gender. In a more than half of the

538 studies of SWC practice, and in over three fourth of the studies of the remaining five

539 practices, gender was considered.

540 In terms of specific region, a half of the studies of Tigray region, and over 53% of the

studies of other regions considered gender, while a study of the Afar region, and all sub-

542 national studies considered gender (Fig. 4B).





544 Figure 4. Gender-sensitive and gender-blind adoption studies of CSAPs by adopted 545 practices (A), and by regional states of Ethiopia (B).

546 **3.5. Some socioeconomic benefits of adopters of CSAPs**

547

In only 46 of the 100 reviewed papers, the mean value of reported socioeconomic benefits

of adopters of CSAPs was reported (Table 7). Reported benefits were higher yields,

550 greater farm income, increased food security/availability, increased land productivity, and

551 reduced household poverty.

552 Reported benefits of adoption of SWC practice include: i) increased grain yield between

20 and 198% per household per year (Challa, 2021; Kosmowski, 2018; Mekonen &

554 Tesfahunegn, 2011; Tesfaye et al., 2018; Yaebiyo et al., 2015); ii) increased grain yield

between 25 and 46% (Challa, 2021; Dufera et al., 2020; Sileshi et al., 2019; Yaebiyo et al.,

2015); iii) increased monetary income between 6,359 and 6,376 Eth. Birr per ha per year

(Addisu et al., 2015; Sileshi et al., 2019); iv) increased grain yield between 5.5 and 7.2

tons per ha per year (Adgo et al., 2013; Challa, 2021); and v) 61% increase in land

- productivity (Enki et al., 2001).
- 560 Reported benefits of adoption of WHSSI include: i) increased household income between

561 5181 and 151,419 Eth. Birr (Adela et al., 2019; Adugna et al., 2014; Ayalneh et al., 2007;

- 562 Beyan et al., 2014; Mengistie & Kidane, 2016; Temesgen et al., 2018; Yihdego et al.,
- 563 2015); between 2,003 and 5,500 USD per household per year (Adela et al., 2019;
- Teshome et al., 2010); and increased monetary income between 29 and 193% per

- household per year (Adela et al., 2019; Adugna et al., 2014; Ayalneh et al., 2007; Beyan 565
- et al., 2014; Temesgen et al., 2018). Also 32% increased grain yield per household per 566
- 567 year (Mengistie & Kidane, 2016) and 28% increased land productivity (Adela et al., 2019) were reported. 568
- 569 In a study of CA by Tadesse et al. (2021), a 38% grain yield increase per household per
- year was reported, while in a study of ISFM by Teklewold et al. (2019), a 5% higher food 570
- security of adopters than non-adopters per household was reported. 571
- Table 7. Some socioeconomic benefits of adopted CSAP to the smallholder farmers as 572
 - Increased grain yield in % per household/year Reduced poverty in % .⊆ ISD/year/household grain yield Eth Birr per year per household ncreased income in ncreased monetary ncrease in income Increased food security in % per household income in % per year/household and productivity increased in % ber household kg/ha/year) ncreased Adopted practices* SWC 3 N 4 2 5 1 6,341 6,370 32 Mean 65 61 ISFM Ν Mean CA Ν 1 38 Mean WHSSI Ν 7 2 5 1 1 Mean 28,458 3,751 69 32 28 ICV Ν 2 1 42 1,000 84 Mean Ν AF 2 3 1 10,262 75 84 Mean ILM 2 Ν Mean 65 Total Ν 11 2 12 2 13 3 1 19,903 73 28 Mean 3,752 57 4,561 63

5

1 2

2

4

reported by previous studies 573

574

* SWC=Soil and water conservation; ISFM = Integrated soil fertility management; CA

=Conservation agriculture; WHSSI=Water harvesting and small-scale irrigation; 575

ICV=Improved crop variety; AF=Agroforestry; ILM=Improved livestock management 576

3.6. Constraints for adoption of CSAPs 577

This section presents the meta-analysis of constraints to scaling CSAPs among the rural 578

579 households of Ethiopia, on the assumption that generated evidence could be used by

policymakers, development partners, and extension workers to address the constraints. 580

Constraints of adoption of CSAPs were grouped in 12 categories and presented in Fig.5. 581

- They are presented in aggregated form for all (the seven) practices (Fig. 5A), and in
- 583 disaggregated form for individual practices (Figs. 5B-5G).
- 584 For all aggregated practices, knowledge/awareness and socioeconomic factors were the
- highest-ranked constraints, each reported in 112 studied practices (i.e., 68.3%) (Fig 5A).
- 586 In comparison to other constraints such as shortage of labor, limited access to market,
- and limited access to credit with frequencies of 51, 40, and 36, respectively.



Figure 5. Frequencies of reported constraints to scaling-up of adoption in aggregated for
all practices (A); and disaggregated by particular CSAPs: Integrated soil fertility
management (B); conservation agriculture (C); soil and water conservation (D); water
harvesting and small-scale irrigation (E); improved crop variety (F); Agroforestry (G); and
improved livestock management (H).

Knowledge/awareness and socioeconomic factors are considered to be much higher 597 constraints to CSAP adoption. In terms of knowledge/awareness, many studies have 598 599 reported that farmers with a better educational level, access to agricultural extension services and technical support, and monitoring practices positively affected adoption 600 practices (Kifle et al., 2020). In terms of socioeconomic factors, farmers with relatively 601 higher plot sizes, higher household sizes, and greater off-farm activities were reported as 602 factors positively affecting adoption (Kifle et al., 2020). This in turn, highlighted that the two 603 constraints are prominent and need urgent actions in order to scale up CSAPs in 604 Ethiopian agricultural systems. Similarly, factors such as better access to market, credit, 605 and financial capital were reported as factors positively and significantly enhancing 606 farmers adoption of CSAP (Kifle et al., 2020). On the other hand, categories of 607 weather/climate and crops' disease; and limited access to seedlings were in the two last 608

positions each with frequencies of five (Fig. 5A).

In terms of individual practices, the number of reported constraints ranged from five in

SWC (Fig. 5D) to 11 in AF (Fig. 5G), with varied frequencies among categories, ranging

from one (weather/climate/crop disease; Figs. 5B, F, G) to 37 (socioeconomic factors; Fig.

- 5D). Some constraints are common to all practices, while some are specific to some
- others. For example, limited knowledge/awareness appears in all practices either in the
- 615 first, or the second position, while the land tenure system is reported in three practices
- 616 (ISFM, SWC, and AF), insufficient local organic material in two practices (ISFM and CA),
- and limited access to seedling in only one practice (AF).

Constraints by regional states are presented in Fig. 6. The number of reported constraints
ranged from four in Benshangul-Gumz to 12 in Oromiya; with varied frequencies among
categories, ranging from one to 39. For Amhara and Tigray regions the categories of
socioeconomic factors; and knowledge/awareness appear in the first and the second
positions, respectively, while for Oromiya and Benshangul-Gumz regions, they appear in

the reverse positions. In SNNP region, the two constraints appear in the two first positions

with frequencies of 25.



- Figure 6. Frequencies of reported constraints to CSAP adoption by regional states. In a
- 629 study of Afar region only two constraints (land tenure system, and land resource and
- 630 socioeconomic factors) were reported, therefore, figure for this region is not produced.
- 631 4. Concluding remarks

With continued population growth, human demands will increase exponentially in the 632 coming decades, including in Ethiopia. This increasing demand is expected to be supplied 633 through (i) sustainably increasing land productivity, and/or (ii) increasing the area of 634 cultivated land (MOA, 2011). In the recent sustainable development domain, the former is 635 more attractive and viable than the latter or than a combination of the two. However, 636 637 sustainably increasing land productivity depends on adoption of locally-appropriate practices, and biophysical environments including climate. Nowadays, climate change is 638 639 becoming a serious threat to agricultural systems in developing countries including in Ethiopia (Agbenyo et al., 2022; Rohil et al., 2018). Accordingly, different CSAPs have 640 been initiated and implemented, and also different studies have been conducted at 641 regional, subnational, and local scales to examine adoption of the practices and 642 643 agricultural biophysical benefits of implemented CSAPs. Therefore, this review has synthesized CSAP studies conducted between 2001 and 2021, in Ethiopia. Based on the 644 review results the following concluding remarks are presented below. 645

Since 2001, research on CSAPs adoption has been increasing in Ethiopia, but with
greater emphasis on SWC, ISFM and AF, and less emphasis on ILM, ICV, and WHSSI.
Studies on other important practices such as biogas development and use, energy-saving
cooking stoves, and weather forecasting information were missing. Therefore, future
adoption research on CSAPs should consider these lesser studied practices, and other
practices not considered by previous studies.

This review reveals the research gap at national scale, and also the need for more studies on subnational/regional scale. Evaluating CSAPs adoption statuses and their associated benefits and constraints at multiple scales helps to "zoom in" and "zoom out" the issues so as to understand how they manifest at local scale and then how the local issues are related to regional and national issues, and vice versa.

The review clearly highlighted the research gap particularly in the drought prone regions of Harari, Somalia and Afar regions. Considering these regions in the future research will help scaling-up CSAPs adoption, realizing their outcomes in the regions, and then enhancing the national plan of agricultural transformation. In terms of methods of study, none of the reviewed articles have used dynamic simulation
models, which could have been used to describe spatial and temporal changes in CSAPs
adoption in response to farmers' socioeconomic factors, policy requirements and/or
environmental drivers such as weather and climate change (Jones et al., 2017; Thornton
et al., 2018).

The adoption rate of individual CSAPs varies widely among smallholder farmers ranging 666 from 9% in both CA and WHSSI to 100% in SWC, while the adoption status of practices is 667 rated as i) very low for WHSSI and ILM, ii) low for AF, CA, and ICV, iii) medium for ISFM, 668 and iv) high for SWC. This indicates that adoption statuses of WHSSI, ILM, AF, CA, and 669 670 ICV are in their infancy in Ethiopia. It implies that these practices are not contributing their share to the growth of agriculture sector of Ethiopia, therefore, the federal, regional 671 672 governments, development agents, and agricultural extension agents should proactively work to help farmers to adopt these practices. 673

Only 63 of the 100 reviewed papers considered gender. This attests that future agricultural
adoption studies should be gender sensitive, because it is critical to realize long lasting,
sustainable, and equitable adoption outcomes for both men and women.

One of the potential impacts of CSAPs is their additional socioeconomic benefits to that already obtained from the farm, which is also considered as a stepping stone to scaling-up of adoption. However, this review indicates that 54% of the previous adoption studies didn't consider analysis of any of the anticipated socioeconomic benefits of adoption of CSAPs. This research gap noted that future adoption studies should consider at least one socioeconomic benefit of adopted practices.

Among the twelve categories of constraints of adoption of CSAPs in Ethiopia, the top five were knowledge/awareness, socioeconomic factors, shortage of labor, limited access to market, and limited access to credit. Since adoption is a continuous process, overcoming these and other constraints might guarantee adoption action. Therefore, in order to overcome the identified adoption constraints, the following policy-related actions (but not exhaustive) could be undertaken. These actions are consistent with that of the Ethiopian Green Economy Strategy actions set out for its first pillar, i.e., "improving crop and livestock production practices for higher food security and farmer income while reducingemissions" (CRGE, 2011).

Limited knowledge/awareness. In this regard, the primary action of regional government could be enhancing extension services and farmers trainings. Farmers' trainings and iterative contacts between farmers and agricultural extension service agents might help to disseminate information among users in order to promote locally-relevant and practicable CSAPs adoption.

Socioeconomic factors. Interventions like income supplements (safety net), income
 diversification, and social protection for high priority groups (for example, for those with
 shortage of land, older age groups, or small families) could help to boost CSAP adoption.

Shortage of labor. Introduce less labor-intensive farm management practices andtechnologies.

Limited access to market. Federal and regional governments should build roads so that farmers could increase access to urban markets through better transport, and earn greater income from their produce, which in turn would increase the potential of farmers to adopt CSAPs.

Limited access to credit and limited capital/finance. Limited access to credit and finance is
 a major constraint for adopting some of practices, such as WHSSI and ILM, because
 these practices require relatively high upfront costs. Therefore, federal and regional
 governments should create a system for farmers access to credit and microfinance.

Limited access to farmers' social organization/institutions. Regional governments should identify required institutions which could help farmers to adopt CSAPs, and then establish these where they were not available; or if they are already in place, strengthen them to help adoption of locally-relevant practices.

Land tenure system. This constraint is reported in ISFM, SWC and AF, because these
practices are with long-term returns, while farmers are more interested to adopt practices
which offer short-term returns, particularly when they feel that their land use is not secure.
Therefore, land certification could help to guarantee farmers to adopt these practices.

Limited access to irrigation. Create access to rural households to locally relevant irrigation techniques accompanied by farmers' capacity building and training, so that they can more easily adopt and more effectively apply the CSAPs.

721 Limitation of the review

Like most literature studies, this review may have the following limitations. Firstly, although we believed that a comprehensive collection of papers on Ethiopian CSAP adoption has been reviewed, the papers used in this sample is unlikely to be exhaustive. Secondly, since the review was based on online searches, studies that are not available online would have been missed. Regardless of these limitations, we believe that the review covers scientific papers of the adoption of CSAPs in Ethiopia.

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737 **Declarations**

- Conflict of interest. The authors declare that they have no known competing financial
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1157 Appendix A

1158 List of papers used for content analysis by their author(s). Full information of the papers is given in the 1159 reference section.

SN	Paper by author(s)	SN	Paper by author(s)	SN	Paper by author(s)	SN	Paper by author(s)
1	Abebe, 2013	26	Belay and Bewket, 2013	51	Jaleta et al., 2018	76	Sileshi et al, 2019

2	Abeje et al., 2019	27	Bewket, 2007	52	Kassa, 2021	77	Tadesse & Belay, 2004
3	Abiy et al., 2015	28	Beyan et al., 2014	53	Kassa et al., 2021	78	Tadesse et al., 2021
4	Addisu et al., 2015	29	Biratu & Asmamaw, 2016	54	Kassie, 2016	79	Teferi Alemaw et al., 2021
5	Adela et al., 2019	30	Birhanu & Meseret,	55	Kassie, 2018	80	Tekeste, 2021
6	Adgo et al., 2013	31	Cafer & Rikoon, 2018	56	Keno et al., 2021	81	Teklewold et al., 2019
7	Adugna et al., 2014	32	Challa, 2021	57	Kifle et al., 2020	82	Teklewold et al., 2019
8	Agidew & Singh, 2019	33	Debie, 2021	58	Kosmowski, 2018	83	Temesgen et al., 2018
9	Ahmed, 2014	34	Dessie et al., 2020	59	Lanckriet et al., 2024	84	Tesfaye et al., 2016
10	Ahmed, 2015	35	Dilebo, 2017	60	Legesse et al., 2012	85	Tesfaye et al., 2018
11	Ahmed et al., 2017	36	Dufera et al., 2020	61	Marie et al., 2020	86	Teshome et al., 2010
12	Alemu & Melesse, 2020	37	Duguma, 2013	62	Mekonen & Tesfahunegn, 2011	87	Teshome et al., 2016
13	Alemu et al., 2019	38	Engdawork & Bork, 2014	63	Mekuriaw et al., 2018	88	Tessema et al., 2016
14	Amare et al., 2019	39	Fikirie, 2021	64	Melesse & Jemal, 2013	89	Tsadik et al., 2015
15	Amsalua & de Graaff, 2007	40	Enki et al., 2001	65	Mena et al., 2018	90	Tsegaye et al., 2017
16	Arage, 2021	41	Gadisa and Hailu, 2020	66	Mengistie & Kidane, 2016	91	Tsige, 2019
17	Ashuro & Takele, 2019	42	Gebeyanesh et al., 2017	67	Mengistu & Assefa, 2019	92	Tsige et al., 2020
18	Asnake & Elias, 2017	43	Gebremeskel et al., 2018	68	Miheretu, 2014	93	Urgessa Waktola & Fekadu, 2021
19	Asrat & Babiso, 2020	44	Gebru et al., 2019	69	Miheretu & Yimer, 2017	94	Urgessa and Amsalu, 2014
20	Asrat & Simane, 2018	45	Gebru et al., 2021	70	Mohammed & Takel, 2018	95	Wale & Chianu, 2015
21	Aweke et al., 2021	46	Gedefaw et al., 2018	71	Mulu et al., 2016	96	Wolancho, 2015
22	Ayalneh et al., 2007	47	Goshu et al., 2012	72	Nurie et al., 2013	97	Wolka et al., 2013
23	Ayichew, 2019	48	Guteta & Abegaz, 2016a	73	Saguye, 2017	98	Wordofa et al., 2020
24	Bacha et al., 2011	49	Guteta & Abegaz, 2016b	74	Sani et al., 2016	99	Yaebiyo et al., 2015
25	Bedeke et al., 2019	50	Horamo et al., 2020	75	Shako et al., 2021	100	Yihdego et al., 2015

Declarations

Conflict of interest. The authors declare that they have no known competing financial interests or personal relationships that could have influenced the work reported in this paper.