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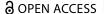
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# Comparison of non-overlapping maize populations of unequal sizes for resistance to maize lethal necrosis

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#### **ABSTRACT**

Contrast between marker-assisted backcross (MABC) and doubled haploid (DH) methods in transferring genes for resistance to maize lethal necrosis (MLN) in maize (Zea mays L.) is not well understood. The MLN is caused by co-infection of maize plant by maize chlorotic mottle virus and sugarcane mosaic virus. Two maize panels consisting of four BC<sub>3</sub>F<sub>2</sub> and six DH populations, separately developed through markerassisted selection from crosses between susceptible CIMMYT lines and MLN-resistant donor parent (KS23-6), were used in the current study. The two populations were of different population structures with unequal sizes. Experiments were conducted under artificial MLN inoculations for two seasons in 2018. Analyses of variance revealed significant variations among genotypes in both panels ( $p \le 0.001$ ). Levene's and Welch's tests found that variances and means of the BC<sub>3</sub>F<sub>2</sub> and DH populations were highly unequal ( $p \le 0.001$ ). The study identified genotypes with reduced MLN infections in both populations; however, lower means for MLN severity and area under disease progress curve (AUDPC) values, and higher heritability estimates were obtained in the DH populations than in the BC<sub>3</sub>F<sub>2</sub> populations. Additionally, the DH populations showed higher relative genetic gains for resistance to MLN compared with the BC<sub>3</sub>F<sub>2</sub> populations. The current study detected superiority of DH over MABC populations for breeding for resistance to MLN. Nevertheless, the results observed in the present study warrant further investigations using the same genetic materials with identical population sizes.

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Backcross; doubled-haploid lines; lethal necrosis; markerassisted selection; zea mays

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#### 1. Introduction

Maize is a cross-pollinated plant and breeding for improvement of traits of interest has been successful by making crosses between two contrasting (donor and recipient) parents. Main idea is always to transfer a specific portion (quantitative trait locus/loci; QTL) from the donor into the genome of the recipient parent. The task is accomplished by backcross methods, wherein the F<sub>1</sub> hybrid is repeatedly crossed to the recipient parents until a desired characteristic is obtained. Backcross requires 6-8 generations to get recombinant progeny with over 96% resemblance to the recipient parent, except for the introgressed trait (Ye, Ogbannaya, and Giinket 2009; Hospital 2005). However, with the incorporation of molecular marker techniques, selection of progeny for favorable alleles can begin as early as BC1, where introgression of favorable alleles into the background of adapted genotypes can be obtained within 2-3 generations (Hasan et al. 2015; Hospital and Charcosset 1997). The method is widely adopted for improvement of crops for traits of economic importance, such as resistance to diseases, tolerance to low soil fertility and yield performance. Advances in breeding have seen the utilization of the DH populations in improving crops, in which genotype can be fixed for traits of interest in only 1-2 generations (Longin et al. 2007). The DH method is widely used in maize breeding as well as in many other crops (Cegielska-Taras et al. 2015; Prasanna, Chaikam, and Mahuku 2012).

Two panels of BC<sub>3</sub>F<sub>2</sub> and DH maize lines were selected using single nucleotide polymorphism (SNP) markers linked to major QTL associated with resistance to MLN. The BC<sub>3</sub>F<sub>2</sub> panel was selected for two SNPs separately developed by CIMMYT for resistance to MLN, whereas DH populations were selected for six MLN resistance-associated SNPs developed by LGC Genomics, UK. The two panels were evaluated for two seasons under artificial MLN inoculations in Naivasha, Kenya, in 2018, and the best BC<sub>3</sub>F<sub>2</sub> and DH lines were identified.

The choice of breeding tools to be adopted is equally important as identification of the breeding objectives. Use of suitable techniques contributes to reliable breeding results and informative decisions. Both markerassisted backcross (MABC) and DH populations are extensively used in plant breeding. These techniques have huge advantages in time required to generate breeding lines because favorable alleles can be fixed within the susceptible backgrounds in 2-3 generations (Hasan et al. 2015; Hospital and Charcosset 1997). Although MABC and DH techniques are extensively adopted, knowledge of comparative effectiveness of MABC and DH techniques in fixation of genes for resistance to MLN is not well understood in maize. However, superiority of DH method over other techniques for breeding for traits of economic importance has been reported. Dicu and Cristea (2016) compared DH and synthetic populations in maize and reported that DH populations outperformed synthetic populations for all the traits investigated. The authors attributed the performances of the DH lines to complete homozygosity and phenotypic uniformity. Lazaridou et al. (2013) compared DH lines produced from superior F<sub>3</sub> plants and corresponding F<sub>6</sub> lines produced from the same cross in barley. The authors reported that some advanced pedigree lines (F<sub>6</sub>) yielded significantly higher than the best DH line, which could be attributable to the small number of DH lines evaluated. The authors suggested that the superiority of F<sub>6</sub> over DH lines could be attributable to a lower level of recombination events experienced in DH lines compared to the advanced lines where the number of recombinations was higher because of repeated crossing. Bordes et al. (2006) evaluated doubledhaploid lines versus single-seed descent (SSD) lines and S<sub>1</sub>-family for testcross performance in a maize population. They observed that genetic variance among SSD lines was only 1.5 times higher than the genetic variance of S<sub>1</sub> families. The authors suggested that the lower variance could be attributable to a selection bias in the method of production of SSD lines. Information on the comparative effectiveness of MABC and DH methods in breeding for resistance to MLN is not available. Therefore, the objectives of the current study were to (i) determine the genetic variances and (ii) assess the equality of means of some population that were developed via markerassisted selection and DH populations under MLN infections.

#### 2. Materials and methods

#### 2.1 Plant materials

Plant materials used in the current study included four BC<sub>3</sub>F<sub>2</sub> and six DH populations developed by CIMMYT through marker-assisted selection from crosses between susceptible elite CIMMYT lines (recipients) and an MLN-resistant donor parent (KS23-6) (Table 1). The recipient parents are known for resistance to various diseases and tolerance to drought and low nitrogen and for good agronomic performances. The donor parent was developed by Kasetsart University (Thailand) for resistance to MLN and was obtained from CIMMYT.

# 2.2 Phenotypic evaluation of $BC_3F_2$ and DH lines for resistance to MLN

Four BC<sub>3</sub>F<sub>2</sub> and six DH populations were evaluated under artificial MLN inoculations in a confined MLN facility in Naivasha, Kenya (36°26E; 0°43S; 1896 masl; 677 mm/year rainfall and 9.5–24.9°C mean temperature (Odiyo et al. 2014; Ziyomo and Bernardo 2013) for two seasons in 2018. Trials were planted using an alpha lattice design with two replications. The interrow spacing was 0.75 m and interrow spacing 0.3 m. Two seeds were planted per

doubled haploid	a (Dil) populations for	resistance to maize lethal necros	is (IVILIV).
Female parent	Response to MLN infections	Male parent	Response to MLN infections
Parent lines use	d for development of DI	l populations	
CLRCW105	Susceptible	CML 442	Susceptible
CLWN234	Susceptible	CKL5022	Susceptible
CML442	Susceptible	La Posta Seq C7-F64-2-6-2-2-B-B-	Moderately resistant
		#	
CML312	Susceptible	CML443	Susceptible
CML312	Susceptible	CML373	Susceptible
CML442	Susceptible	KS523-6	Resistant (donor)
Parent lines for	development of MABC p	opulations	
CKDHL0186*2	Susceptible	KS23-6	Resistant
CML444*2	Susceptible	KS23-6	Resistant
CML511*2	Susceptible	KS23-6	Resistant
CML547*2	Susceptible	KS23-6	Resistant

Table 1. List of parental lines used for development of marker-assisted backcross (MABC) and doubled-haploid (DH) populations for resistance to maize lethal necrosis (MLN).

hill and seedlings were thinned to 1 seedling per hill 3 weeks after germination, making a total of 10 plants per row. Standard agronomic practices were used as described elsewhere (Gowda et al. 2015; Mahuku et al. 2015). The MLN-inoculation procedures and collection of data for MLN incidence were according to Gowda et al. (2015). To maintain moisture requirements for the experimental units, water was supplied to the experiments via drip irrigation whenever rainfall shortage was experienced during the growing season.

# 2.3 Statistical analyses

Analysis of variance was conducted and best linear unbiased estimates (BLUEs) were generated for each group of the populations using R software (R Core Team 2016). Populations were considered fixed effects, whereas seasons were regarded as random effects. We used single-stage analysis with weighted means calculated across seasons so that adjusted genotype means representing the BLUEs were obtained assuming fixed effects for genotypes (Piepho et al. 2012). The mixed model was fitted as follows (Damesa et al. 2019):

$$Y_{ijhkm} = \mu_i + t_{jh} + r_{jhk} + b_{jhkm} + S_j + a_h + gs_{ij} + ga_{ih}$$
$$+ sa_{jh} + sag_{jhi} + e_{ijhkm}$$

where  $Y_{ijhkm}$  = phenotypic observation (yield) for the  $i^{th}$  genotype in the  $j^{th}$  season,  $h^{th}$  site,  $k^{th}$  replicates, and  $m^{th}$  block;  $\mu_i$  = expected value of the  $i^{th}$ genotype and it is regarded as fixed effect;  $t_{ih}$  = random effect of the  $h^{th}$  site nested within  $j^{th}$  season with  $var(t_{jh}) = \sigma^2_{t(jh)}$ ;  $r_{jhk}$  = random effect of the  $k^{th}$  replicate nested within the  $j^{th}$  season,  $h^{th}$  site with  $var(r_{jhk}) = \sigma^2_{r(jhk)}$ ;  $b_{jhkm} = random$  effect of the  $m^{th}$  block nested within the  $j^{th}$  season,  $h^{th}$  site and  $k^{th}$  replicate with  $var(b_{jhkm}) = \sigma^2_{b(jhkm)}$ ;  $S_j = random$  main effect of the  $j^{th}$  season with  $var(s_j) = \sigma^2_{s}$ ;  $a_h = random$  main effect of the  $h^{th}$  site with  $var(a_h) = \sigma^2_{ai}$ ;  $gs_{ij} = random$  interaction effect of the  $i^{th}$  genotype and the  $j^{th}$  season;  $ga_{ih} = random$  interaction effect of the  $i^{th}$  genotype and  $h^{th}$  site with  $var(ga_{ih}) = \sigma^2_{ga}$ ;  $sa_{jh} = random$  interaction effect of the  $j^{th}$  season and  $h^{th}$  site;  $sag_{jhi} = random$  interaction effect of the  $j^{th}$  season,  $h^{th}$  site and  $i^{th}$  genotype with  $var(sag_{jhi}) = \sigma^2_{sag}$ ; and  $e_{ijhkm} = residual$  plot error associated with  $Y_{ijhkm}$  var( $e_{ijhkm}$ ) =  $\sigma^2_{e(jh)}$ . Genotype means were visualized using histogram, boxplot and quantile-quantile (Q-Q) plot with fitted linear regression, as established in SAS 9.4 (SAS institute 2016), as follows:

$$Y_{\rm i} = a + bx_{\rm i} + \varepsilon_{\rm i}$$

where  $Y_i$  = dependent variable of the  $i^{th}$  genotype; a = y-intercept; b = slope;  $x_i$  = independent variable of the  $i^{th}$  genotype; and  $\varepsilon_i$  = error term.

Outputs from the descriptive statistics showed entry means of the two populations computed from their mixed model to have unequal variances. However, similarities were observed between means and medians of the populations, suggesting that the distribution was symmetric. Homogeneity of means of the two populations was not detected using the classical Student's *t*-test since the two groups compared in the present study had different population sizes. We, therefore, used Levene's test (Levene 1960) to detect the homogeneity of variance of the two populations based on the hypotheses below:

$$H_0 = \frac{\sigma^2_1}{\sigma^2_2} = 1$$

$$H_A = \frac{\sigma^2_1}{\sigma^2_2} \neq 1$$

where  $H_0$  = null hypothesis,  $H_A$  = alternative hypothesis,  $\sigma^2_1$  = variance for population 1, and  $\sigma^2_2$  = variance for population 2. Levene's test gives the best power for symmetric, moderate tailed distributions. However, such distributions tend to be rather rare in biological data. In the current study, we used the *F*-statistic for Levene's test modified by Brown and Forsythe (1974) and was computed as follows (Gastwirth, Gel, and Miao 2009):

$$F = (N - K) \sum_{i=1}^{k} n_i$$

where  $n_i$  = number of observations in each group, k = number of groups,  $N = \text{total number of observations}, Z_{ij} = \text{absolute deviations either from the}$ median of group i  $(|Y_{ij} - \hat{Y}_i|)$  or from the 10% trimmed mean of group i  $(|Y_{ij} - \hat{Y}_i|)$ , Z. = mean of all the absolute deviations  $(Z_{ij})$ , and  $Z_i$  = mean of the absolute deviations  $(Z_{ii})$  for group i. The modified Levene's t-test is regarded as more robust because instead of conducting ANOVA on absolute deviations from the mean of each group, it is based on the absolute deviations of observations from either the median or the 10% trimmed mean of each population.

In a situation where the populations have different variances ( $\sigma_1^2 \neq \sigma_2^2$ ), Student *t*-test is not appropriate since the null distribution of its statistic depends on the ratio of the unknown variances  $(\sigma^2_1/\sigma^2_2)$ . Similarly, likelihood ratio test is statistically weak when sample sizes are different  $(n_1 \neq n_2)$ , variance heterogeneity is increased and sample size is small. A simulation study by Ferreira and Ferreira (2009) showed that Welch's t-test controlled Type I error rate for all sample sizes and for all heterogeneity degree of variances. Additionally, the authors found that modified likelihood ratio test was as good as the t-test only when the degrees of freedom were corrected by Welch/Satterthwaite's procedure. Derrick, Toher, and White (2016) observed that Welch's approximate degrees of freedom were more conservative than the degrees of freedom used in the independent samples t-test, particularly when the smaller sample size was associated with the larger variance. After detecting the significant difference ( $p \le$ 0.05) between the variances of the two populations and the assumption of homogeneity of variances had been violated; and because population sizes were different, we employed Welch's t-test (Welch, 1974) of unequal variances. Welch's t-test or unequal variances t-test is a modification of Student's t-test (Derrick, Toher, and White 2016). The test is typically used when the statistical units underlying the two samples being compared have unequal variances and/ or unequal sample sizes (Welch, 1974; Ruxton 2006; Derrick, Toher, and White 2016). We tested the two population means based on the following assumptions: (1) data follow continuous distribution; (2) data are randomly selected; (3) data are normally distributed (or close enough); (4) population sizes are large; and (5) variances among the groups are equal. These assumptions were tested based on the following two-tailed hypotheses:

$$H_0: \mu_1 - \mu_2 = 0; \land H_A: \mu_1 - \mu_2 \neq 0$$

The Welch's *t*-test was formulated from estimators of parameters as shown below (Derrick, Toher, and White 2016):

$$t = \frac{(\bar{y}_1 - \bar{y}_2)}{SE_2}$$
 and  $SE_2 = \sqrt{\frac{s_1^2}{n_1} + \frac{s_2^2}{n_2}}$ 

where  $SE_2$  = estimated standard error  $(\bar{y}_1 - \bar{y}_2)$  for unequal variances;  $s_1^2$  and  $s_2^2$  = estimators of variances for populations 1 and 2, respectively; and  $n_1$  and  $n_2$  = sizes of population 1 and population 2, respectively. Since the population sizes were different, the degrees of freedom (df) for unequal variances were approximated and rounded to the nearest integer as follows (Derrick, Toher, and White 2016):

$$df = \frac{\left(\frac{s_1^2}{n_1} + \frac{s_2^2}{n_2}\right)^2}{\frac{\left(\frac{s_1^2}{n_1}\right)^2}{n_1 - 1} + \frac{\left(\frac{s_2^2}{n_2}\right)^2}{n_2 - 1}}$$

where  $s_1^2$  and  $s_2^2$  = estimators of variances for population 1 and population 2, respectively; and  $n_1$  and  $n_2$  = number of individuals in population 1 and population 2, respectively. Estimate of mean difference was calculated as the difference between two population means, as shown below:

$$\bar{y}_1 - \bar{y}_2$$

Lower and upper limits of 95% confidence interval for  $\bar{y}_1 - \bar{y}_2$  were computed using the following formula:

$$\bar{y}_1 - \bar{y}_2 \pm t(df) \mathbf{x} S E_{\bar{y}_1 - \bar{y}_2}$$

Cullis' broadsense heritability was assumed to account for generalized measure of heritability for the unbalanced data and the genetic effect. The method employs the square of the standard error of the genetic estimates across seasons (Cullis, et al., 2006; Piepho and Möhring,). The formula for computing broadsense heritability is as follows:

where  $H^2_{\text{Cullis}}$  = Cullis' broadsense heritability,  $\bar{\nu}\Delta^{BLUP}$  = average standard error of the genotypic BLUPs, and  $\sigma^2_g$  = genetic variance.

When data are unbalanced or when genetic effects are correlated or heteroscedastic, the classical equation for calculating response to selection is irrelevant because standard heritability measure will not relate to response to selection (R). This occurs mainly because correlation between phenotype and response to selection differs among genotypes, and thus there is no simple linear relationship between R and selection differential (S), as in the balanced case (Piepho and Möhring, 2006; Cullis, et al., 2006). Therefore, in the current study, we used selection intensity (i), which is the mean of the



deviations from the population mean. The selection intensity (i) was measured in units of the phenotypic standard deviation of the population and was calculated as follows:

$$i = \frac{S}{\sigma_p}$$

$$S = \frac{\sum (y_{i-}\hat{y})}{n}$$

 $S = \frac{\sum_{(y_i - \hat{y})}{n}}{n}$  where S = selection differential;  $\sigma_p$  = phenotypic standard deviation of the population;  $y_i = i^{th}$  selected observation;  $\hat{y}$  = population mean; and n = number of selected observations. Expected genetic gain (EGG) for 10% of selected lines for each population was computed from the generalized measure of heritability as follows (Cullis, 2006):

$$EGG = i\sigma_p \sqrt{h_g}$$

where i = selection intensity corresponding to mean of the top 10% of selected lines for each population,  $\sigma_p$  = phenotypic standard deviation of the population, and  $h_g$  = generalized measure of heritability.

Table 2. Mean squares and variance components for maize lethal necrosis (MLN) infections obtained from 1010 doubled-haploid (DH) and 62 BC<sub>3</sub>F<sub>2</sub> lines developed via marker-assisted backcross (MABC) evaluated under artificial MLN inoculations for two seasons in 2018.

BC <sub>3</sub> F <sub>2</sub> lines					DH lines		
		Mean	squares			Mean	squares
Source of variation	Df	$MLN^c$	$AUDPC^d$	Source of variation	Df	MLN	AUDPC
Seasons (E)	1	2754.1	1162.9	Seasons (E)	1	1376.4	1687.3
Reps/seasons	2	82.9	145.2	Reps/seasons	2	528.7	320.2
Genotypes (G)	61	36.2b	21.4b	Genotype (G)	1009	61.3 <sup>b</sup>	28.9b
G ×E	61	21.7b	4.1a	G ×E	1009	34.5 <sup>b</sup>	17.6b
Error	122	4.2	2.1	Error (ε)	2018	7.8	1.8
Total	247			Total	4039		
Variance components	S			Varian	ce compo	nents	
$e^{\sigma^2}$		3.6	1.8	$\sigma^2_{g}$	·	6.7	2.8
$f_{\sigma_{qe}^2}$		8.8	6.0	_2		13.4	7.9
$^{e}\sigma^{2}_{g}$ $^{f}\sigma^{2}_{ge}$ $^{g}\sigma^{2}_{\epsilon}$		4.2	2.1	ο <sub>ge</sub> σ²ε		7.8	1.8
<sup>h</sup> H <sup>2</sup>		0.73	0.67	H²		0.78	0.86

aMean squares significant at 0.05 probability.

bMean squares significant at 0.01 probability.

<sup>&</sup>lt;sup>c</sup>Severity of MLN infections scored using a scale of 1–9, where 1 = highly resistant and 9 = highly susceptible.

<sup>&</sup>lt;sup>d</sup>Area under disease progress curve.

<sup>&</sup>lt;sup>e</sup>Genetic variance component.

 $<sup>^</sup>t$ Genotype  $\times$  season interaction variance component.

<sup>&</sup>lt;sup>g</sup>Residual variance.

<sup>&</sup>lt;sup>n</sup>Broadsense heritability estimate.

Table 3. Mean performances for maize lethal necrosis (MLN) severity and area under disease progress curve (AUDPC) values for four BC<sub>3</sub>F<sub>2</sub> maize populations developed by marker-assisted backcross (MABC) method and evaluated under artificial MLN inoculations for two seasons in 2018.

			MLNa				AUDPC		
BC <sub>3</sub> F <sub>2</sub> population	No. lines per population	Range	Mean	${\sf SD}^p$	$\sigma^{2c}$	Range	Mean	SD	$\sigma^2$
Pop1 (CKDHL0186*2/KS23-6)	10	3.1–8.5	5.5	1.8	3.3	59.5–186.1	115.0	37.6	1412.7
Pop2 (CML444*2/KS23-6)	04	4.2–5.6	4.9	0.7	0.5	88.6-121.9	108.4	17.5	307.0
Pop3 (CML511*2/KS23-6)	27	3.2-6.7	4.7	1.0	1.0	70.3-139.9	94.4	18.3	224.1
Pop4 (CML547*2/KS23-6)	21	8.2-8.8	8.2	1.2	1.4	84.7–212.7	197.3	28.4	807.7
CKDHL0106 (susceptible check)			5.7				116.6		
CML444 (susceptible check)			5.0				114.7		
CML511 (susceptible check)			9.9				134.5		
CML547 (susceptible check)			8.2				206.0		
KS23-6 (resistant check)			3.1				81.8		
Mean			0.9				133.3		
<sup>d</sup> LSD (0.05)			1.2				22.7		
(%) ∧O <sub>∞</sub>			12.1				6.6		

 $^{a}$ MNL = Severity of MLN infections scored using a scale of 1–9, where 1 = highly resistant and 9 = highly susceptible.  $^{b}$ SD = Standard deviation.

 $^{c}\sigma^{2}=$  Genetic variance.

 $^{d}LSD = Least$  significant difference at 5%.

 ${}^{e}CV = Coefficient of variation.$ 

Table 4. Mean performances for maize lethal necrosis (MLN) severity and area under disease progress curve (AUDPC) values for six maize populations developed by doubled haploid (DH) method and evaluated under artificial MLN inoculations for two seasons in 2018.

			MLN				AUDPC		
DH populations	No. line/ population	Range	Mean	SD <sub>p</sub>	$\sigma^{2c}$	Range	Mean	SD	$\sigma^2$
Pop1 (CLRCW105/CML442)	57	4.0-9.0	7.7	1.3	1.7	70.0–192.5	141.6	31.8	1008.5
Pop2 (CLWN234/CKL5022)	31	4.0-9.0	6.9	1.6	2.7	80.5-192.5	128.3	33.6	1128.1
Pop3 (CML442/La Posta Seq C7-F64-2-6-2-2-B-B-e)	639	2.0-9.0	9.9	2.1	4.4	49.0–192.5	117.9	39.9	1593.0
Pop4 (CML312/CML443)	168	2.0-9.0	3.7	1.6	5.6	49.0–150.5	72.8	24.0	574.1
Pop5 (CML312/CML373)	10	2.0-9.0	3.0	2.2	4.8	35.0-150.5	66.5	33.1	1095.5
Pop6 (CML442/KS523-5)	105	2.0-9.0	4.0	2.2	4.7	35.0-192.5	73.1	32.2	1039.0
CML442 (Susceptible check)			0.9				179.1		
CLWN234 (susceptible check)			6.4				81.4		
CKL05022 (moderately susceptible)			4.9				140.2		
La Posta Seq C7-F64-2-6-2-2-B (moderately resistant)			4.8				179.6		
CML312 (susceptible)			6.9				49.2		
CML443 (moderately resistant)			2.0				49.7		
CML373 (moderately resistant)			5.5				67.4		
KS523-6 (resistant donor)			3.4				70.2		
Mean			5.3				101.4		
<sup>d</sup> LSD (0.05)			1.5				20.6		
(%) ∧⊃ <sub>σ</sub>			17.8				24		

 $^{4}$ MNL =  $4^{th}$  score of MLN severity recorded at a scale of 1–9 where 1 = highly resistant and 9 = highly susceptible.

 $^{b}SD = standard deviation from population mean.$ 

 $c\sigma^2$  = genotypic variance.

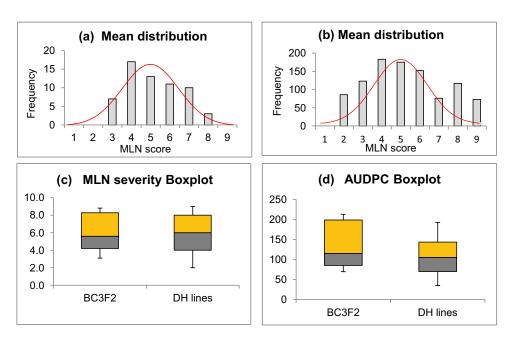
<sup>d</sup>Least significant difference at 5%.

"Coefficient of variation.

#### 3. Results and discussion

# 3.1 Analysis of variance of $BC_3F_2$ and DH populations under MLN infections

Results for analyses of variance and genetic components generated across seasons revealed significant variations ( $p \le 0.001$ ) among genotypes within the BC<sub>3</sub>F<sub>2</sub> and DH populations for resistance to MLN infections (Table 2). The results for genetic components and heritability estimates across two seasons were significant for MLN severity and AUDPC. Broadsense heritability estimates were relatively high for all traits and management options. The high broadsense heritability estimates observed in this study indicated the importance of recurrent selection for improvement of resistance to MLN. The significant variability observed in the current study indicates that the genotypes responded differently under MLN infections, implying the diversity of the genetic backgrounds of the genotypes used.



**Figure 1.** Histograms and Box-and-Whisker plots of mean distributions: (a) MLN severity in  $BC_3F_2$  populations; (b) MLN severity in DH populations; (c) Box-and-Whisker plots for MLN severity in  $BC_3F_2$  and DH populations; and (d) AUDPC values in  $BC_3F_2$  and DH population; the box bottom (yellow/orange) and top (gray) represent the first and third quartiles, with the line inside the box representing the median; the ends of the whiskers extending from the box is the range of minimum and maximum values.



### 3.2 Mean performances of BC<sub>3</sub>F<sub>2</sub> and DH populations under MLN infections

The CIMMYT lines used for developing these BC<sub>3</sub>E<sub>2</sub> and DH populations were of different gene pools, belonging to heterotic groups "A" and "B". Therefore, the phenotypic variations observed among the genotypes could imply that the lines within each population were genetically different. Materials with diverse genetic backgrounds are useful in breeding to select for various traits, including resistance to MLN. In both BC<sub>3</sub>F<sub>2</sub> and DH populations, some lines showed lower MLN severity and AUDPC values than the population mean, indicating the availability of some tolerant lines within each population for resistance to MLN. All the populations used in the current study were introgression lines with QTL for MLN resistance donated by KS23-6. Therefore, these Table 3 superior lines could provide good sources for breeding for resistance to MLN. The DH lines had population means of 5.3 for MLN severity and 101.4 for AUDPC values, which were significantly different ( $p \le 0.01$ ) from the means of BC<sub>3</sub>F<sub>2</sub> lines (6.0 and 133.3, respectively). This could suggest that DH methods could be more efficient than MABC method for introgression breeding for resistance to MLN. The degree of homozygosity of  $BC_3F_2 = 93.5\%$ , whereas that of DH lines = 100% (Prasanna, Chaikam, and Mahuku 2012). Therefore, the

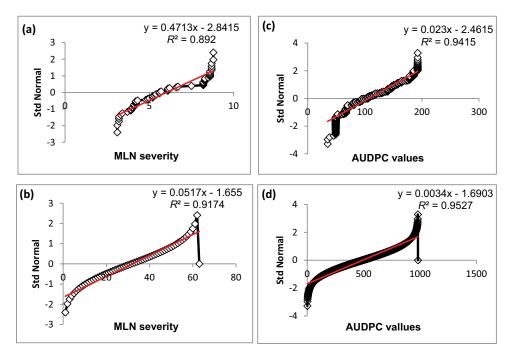


Figure 2. Q-Q plots of standard deviation distributions of MLN severity and AUDPC values in BC<sub>3</sub>F<sub>2</sub> and DH populations: (a) and (b) show standard deviations of MLN severity for BC<sub>3</sub>F<sub>2</sub> and DH populations; (c) and (d) represent standard deviations of AUDPC scores.

Table 5. Welch t-test statistics for equality of means and variances of maize lethal necrosis (MLN) severity and area under disease progress curve (AUDPC) values for BC<sub>3</sub>F<sub>2</sub> and DH lines evaluated for two seasons under artificial MLN infections in 2018.

								$MLN^{a}$					
		Groups summary statis	nary statistics			<sub>q</sub> 7			Independent two sample t-test for equality of means	sample <i>t</i> -test fo	or equality of	means	
												95% CI <sup>f</sup> o	95% Cl <sup>f</sup> of the diff.
Group	z	Mean	$SD^c$	SE	F	Prob.	t	$Df^e$	Prob (2-tails)	Mean diff.	SE diff.	Lower	Upper
C <sub>3</sub> F <sub>2</sub>	62	6.7	1.9	0.1	1.5	0.001	6.3	826	0.001	0.8	0.1	0.5	1.0
lines	982	5.9	2.4	0.1									
							A	<b>AUDPC</b> values	es				
Group		Groups summary statist	nary statistics			7			Independent two	sample <i>t</i> -test fo	or equality of	means	
	z	Mean	SD	SE	F	Prob.	t	дĮ	Prob (2-tails) Mean diff. SE diff. 95	Mean diff.	SE diff.	95% CI o	95% CI of the diff.
												Lower	Upper
$BC_3F_2$	62	153.6	52.5	9.0	1.5	0.001	31.6	826	0.001	46.4	1.5	43.5	49.3
DH_lines	982	107.2	42.3	1.4									
									1				

 $^{a}4^{th}$  score of MLN severity recorded at a scale of 1–9 where 1 = highly resistant and 9 = highly susceptible.  $^{b}$ Levene's (F) test for equality of variances.

<sup>c</sup>SD = Standard deviation.

 $^{d}$ Standard error.

<sup>e</sup>Degrees of freedom rounded to the nearest integer. <sup>6</sup>95% confidence interval of the difference in means.

superiority of DH lines over BC<sub>3</sub>F<sub>2</sub> performances could be attributable to their homozygosity and uniformity. Literature on comparative study between BC<sub>3</sub>F<sub>2</sub> and DH lines for fixation of genes for resistance to MLN is lacking. Elsewhere, Beyene et al. (2017) used a cross-validation procedure to evaluate the efficiencies of general combining ability (GCA)-based prediction and hybrid performance to select for grain yield and resistance to MLN. The authors observed that GCA-based prediction efficiency for MLN resistance and grain yield accounted for 67% to 90% of the variation in the hybrid performance, suggesting that GCA-based prediction can be proposed for MLN resistance and grain yield prior to field evaluation.

# 3.3 Test for normality of mean distribution and population standard deviations

Means and standard deviations for all traits under study in the BC<sub>3</sub>F<sub>2</sub> and DH populations were tested for normal distribution. The box plots indicated that means were normally distributed for MLN severity in both populations (Figure 1). The populations exhibited normal distributions of means and variances, as revealed by the positions of the median lines and lengths of the whiskers. This implies that variances and means within populations were normally distributed, making them homogeneous genetic materials for the present study. The DH lines showed more symmetric distributions for both MLN severity and AUDPC values than BC<sub>3</sub>F<sub>2</sub> lines, which exhibited moderate skewness, with median lines and whiskers approaching the lower quartiles (25th percentiles) for MLN severity and AUDPC values, suggesting that the DH genotypes had means close to one another.

Further, Q-Q plots of error terms showed data points for MLN severity and AUDPC values to be on the straight lines, along the diagonals (Figure 2). This indicated that the data used were from normally distributed populations and the error terms were normally distributed. Though the data points congregated along straight lines in both populations, the data showed bending off of the MLN severity and AUDPC values at the extremes. The bend extremes imply kurtosis with heavy tails, which could be attributable to the different values of data, resulting from the nature of the data in which some lines had low MLN infection scores, whereas other scores were extremely high, especially for the susceptible lines. Das and Imon (2016) showed that heavy kurtosis tails had been implicated in number of genotypes falling at the tails (acceptance regions). Therefore, in the current study, superior BC<sub>3</sub>F<sub>2</sub> and DH lines were located within the Table 4 acceptance regions. Simple linear regression, performed to check for the assumptions that the residuals were normally distributed, revealed relatively high  $R^2$  values of 0.89 and 0.92 for MLN severity, and 0.94 and 0.95 for AUDPC in the BC<sub>3</sub>F<sub>2</sub> and DH populations, respectively. The high  $R^2$  values indicate strong linear

association between predicted values and the residuals within the populations used. This means that the data met the assumptions of linear regression, implying normality of distribution of error terms within the populations. Generally, the normality test and mean distribution plots indicated that the data met the assumptions of normality and randomness for the t-test. Based on the confidence intervals, we can suggest that the mean MLN resistance for BC<sub>3</sub>F<sub>2</sub> lines (Population 1) was much less than that for the DH lines (Population 2). The DH populations had reduced MLN severity and AUDPC values. This showed that the two groups did not belong to the same maize population; hence, they were genetically different.

# 3.4 Independent two-sample t-test for equality of variances and means of MLN severity and AUDPC values in BC3F2 and DH populations

To check for the equality of means and variances of the two groups, population means and variances of MLN severity and AUDPC values for the BC<sub>3</sub>F<sub>2</sub> and DH populations were compared using a two-sample t-test. The results showed variation between variances and means of the two groups was significant ( $p \le 0.001$ ) (Table 5). Average performances of BC<sub>3</sub>F<sub>2</sub> populations were 6.7 for MLN severity and 153.6 for AUDPC values. Mean performances of DH populations were 5.9 for MLN severity and 107.2 for AUDPC. In addition, the analyses revealed that variation between the means of the two

Table 6. Comparison of expected genetic gains for maize lethal necrosis (MLN) severity and area under disease progress curve (AUDPC) values between BC<sub>3</sub>F<sub>2</sub> population developed via markerassisted backcross (MABC) and doubled-haloid (DH) populations evaluated for two seasons under artificial MLN infections in 2018.

Trait	ŷ <sup>a</sup>	<b>y</b> i <sup>b</sup>	S <sup>c</sup>	$H^2$	i <sup>e</sup>	$EGG^f$	PEG (%) <sup>g</sup>
<i>MLN</i> severity <sub>MABC</sub>	6.0	3.7	2.3	0.7	1.9	3.1	48.9
MLNseverity <sub>DH</sub>	5.3	3.2	2.1	0.8	1.1	1.8	51.1
AUDPC <sub>MABC</sub>	118.3	79.6	38.7	0.6	1.5	34.8	42.7
AUDPC <sub>DH</sub>	101.41	62.5	38.9	0.8	1.2	37.3	57.3

 $a\hat{y} = Population mean.$ 

Table 7. Proportions of maker assisted BC<sub>3</sub>F<sub>2</sub> and doubled-haploid (DH) lines selected for resistance to maize lethal necrosis (MLN) using single nucleotide polymorphism (SNP) markers.

	Total no. of lines	No. of lines selected for phenotypic	Proportion of lines selected for
Population	genotyped	resistance to MLN	resistance to MLN
BC <sub>3</sub> F <sub>2</sub> lines	62	14	22.58%
DH lines	1010	334	33.07%

 $b'y_i = i^{th}$  mean of selected observation.

 $<sup>^{</sup>c}S$  = Selection differential.

 $<sup>^{</sup>d}H^{2}$  = Broadsense heritability estimate.

ei = Selection intensity

 $<sup>^{</sup>f}EGG = Expected genetic gain.$ 

 $<sup>^{</sup>g}$ PEG = Percent expected genetic gain.

populations was significant ( $p \le 0.001$ ). Mean differences between the responses of BC<sub>3</sub>F<sub>2</sub> and DH populations was 0.8 for MLN severity, with a standard error difference (SED) of 0.1 and confidence interval (CI) of 0.5 (lower) and 1.0 (upper). The difference for mean AUDPC values between the two populations was 46.4, with an SED of 1.5 and CI of 43.5 (lower) and 49.3 (upper).

The significant difference (p  $\leq$  0.001) observed between means and variances of MLN severity and AUDPC values in both populations strongly suggested that means and variances of the two groups of populations were not equal. Mean difference between the two populations was related to standard deviations of the two populations in detecting population equality. If mean difference between the two populations is greater than the standard deviation (SD) of the population, then the two populations are not considered equal (Ott and Longnecker 2010). In the current study, mean differences for MLN severity and AUDPC values were greater than the SD differences between the two populations. This confirms that the two populations had different mean performances for resistance to MLN infections.

Unequal mean performances, observed in this study, between BC<sub>3</sub>F<sub>2</sub> and DH populations for response to MLN infections could be attributable to the efficiency and superiority of DH method in breeding for resistance to MLN in maize. The DH population being homozygous at 100% loci, has enhanced additive genetic variance (2× additive variance). At such a high level of additive variance, the level of success is greater than that via MABC method. The BC<sub>3</sub>F<sub>2</sub> populations were generated using foreground selection for two SNP markers on chromosome 3, whereas DH populations were selected using six SNP markers on chromosome 6. The difference in the number of QTL targeted per population might have caused the variation in MLN response in favor of populations containing many QTL for resistance to MLN. Unlike DH populations, which were 100% homozygous, the BC<sub>3</sub>F<sub>2</sub> population still had donor parent's genetic complement = 6.5%. Therefore, the proportions of donor and recurrent parents were 0.065 and 0.935, respectively. This donor proportion might still contain some undesirable chromosomal segments, which might have resulted in the under performance of the BC<sub>3</sub>F<sub>2</sub> populations for resistance to MLN, as a result of interactions between QTL from different genomic components (epistasis). Therefore, further selfing of the BC<sub>3</sub>F<sub>2</sub> populations to advanced levels (e.g., BC<sub>4</sub>F<sub>7</sub>) could allow a significant decrease in heterozygosity; hence minimizing epistatic effects (QTL  $\times$  QTL interactions). In addition, the BC<sub>3</sub>F<sub>2</sub> and DH lines used in the present study were from different genetic backgrounds. These might have contributed to their differential performances under MLN conditions. The population size for the BC<sub>3</sub>F<sub>2</sub> used was comparatively smaller than that for the DH populations; hence the low population size might have affected selection within the BC<sub>3</sub>F<sub>2</sub> populations.

# 3.5 Genetic gains for resistance to MLN from populations developed using marker-assisted backcross (MABC) and doubled haploid (DH) methods

For both BC<sub>3</sub>F<sub>2</sub> and DH populations, which were developed using markerassisted selection, response to selection per cycle and expected genetic gains for resistance to MLN are presented in Table 6. The response to selection for marker-assisted BC<sub>3</sub>F<sub>2</sub> populations was 1.6 and 23.2 for MLN severity and AUDPC, respectively. In marker-assisted DH populations, response to selection was 1.7 for MLN severity and 31.1 for AUDPC. Generally, DH populations had an expected genetic gain of 2.2% higher for MLN severity and 14.7% higher for AUDPC than for theBC<sub>3</sub>F<sub>2</sub> populations. A total of 14 superior lines were identified for resistance to MLN in BC<sub>3</sub>F<sub>2</sub> populations. Proportionally, 22.58% of the total 62 BC3F2 lines were selected for resistance to MLN under artificial inoculations. Some of the selected BC<sub>3</sub>F<sub>2</sub> lines were BCL2, BCL11, BCL28, and BCL32. Similarly, 1010 DH lines were phenotyped under artificial MLN infection and 334 DH lines, representing 33.07% of the total population, showed resistance to MLN (Table 7). Some of the selected resistant DH lines were DH4, DH90, DH103 and DH121 (data not shown).

Overall, relative genetic gains were 2.3% and 14.6% higher for MLN severity and AUDPC values in DH populations, respectively, than in BC<sub>3</sub>F<sub>2</sub> populations. The higher relative genetic gains observed for the DH population could be attributable to the high heritability estimates and reduced genetic variances for the MLN resistance observed in the population. Elsewhere, a comparative study of genetic gains (Rutkoski et al. (2015) from genomic selection and phenotypic selection for resistance to stem rust of wheat showed that genomic selection led to significantly lower genetic variance. Proportionally, many DH lines were selected per population compared to BC<sub>3</sub>F<sub>2</sub> population. The reason for this variability could be that DH technique is more accurate in selection for fixed alleles than BC method. In the current study, many more lines were screened for DH populations than for BC populations and this might have increased the chances of obtaining lines fixed for the resistance alleles for MLN.

#### 4. Conclusions

The DH lines were superior to  $BC_3F_2$  lines and had minimal average MLN severity and AUDPC values. The DH lines had comparatively higher heritability estimates for resistance to MLN than  $BC_3F_2$  lines. Additionally, DH lines had higher genetic gain for reduction of MLN severity and AUDPC values compared to  $BC_3F_2$ . The findings of the present study indicate that



the DH method is an appropriate technique for breeding for resistance to MLN.

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# Availability of data and material

The datasets generated during and/or analysed during the current study are available from the corresponding author on reasonable request.

# Conflicts of interest/Competing interests

The authors declare that they have no conflict of interest.

#### **Disclosure statement**

No potential conflict of interest was reported by the author(s).

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