REVIEW ARTICLE



The role of biofactors in the prevention and treatment of age-related diseases

Jan Frank¹ | Klaus Kisters² | Ovidiu Alin Stirban³ | Rima Obeid⁴ | Stefan Lorkowski^{5,6} | Maria Wallert^{5,6} | Sarah Egert⁷ | Maren C. Podszun¹ | Gunter P. Eckert⁸ | Jacqueline A. Pettersen^{9,10} | Sascha Venturelli¹ | Hans-Georg Classen¹ | Jana Golombek¹¹

¹University of Hohenheim, Institute of Nutritional Sciences, Stuttgart, Germany

²Medical Clinic I, St. Anna-Hospital & ESH Excellence Centre, Herne, Germany

³Schön Klinik Nürnberg Fürth, Fürth, Germany

⁴Department of Clinical Chemistry and Laboratory Medicine, Saarland University Hospital, Homburg/Saar, Germany

⁵Institute of Nutritional Sciences, Friedrich Schiller University Jena, Jena, Germany

⁶Competence Cluster for Nutrition and Cardiovascular Health (nutriCARD) Halle-Jena-Leipzig, Germany

⁷University of Hohenheim, Institute of Nutritional Medicine, Stuttgart, Germany

⁸Department of Nutritional Sciences, Laboratory for Nutrition in Prevention and Therapy, Justus-Liebig-University of Giessen, Giessen, Germany

⁹Northern Medical Program, University of Northern British Columbia, Prince George, Canada

¹⁰Division of Neurology, Department of Medicine, University of British Columbia, Vancouver, Canada

¹¹Wörwag Pharma GmbH & Co. KG, Böblingen, Germany

Correspondence

Jan Frank, University of Hohenheim, Institute of Nutritional Sciences, 70599 Stuttgart, Germany. Email: jan.frank@nutres.de

Abstract

The present demographic changes toward an aging society caused a rise in the number of senior citizens and the incidence and burden of age-related diseases (such as cardiovascular diseases [CVD], cancer, nonalcoholic fatty liver disease [NAFLD], diabetes mellitus, and dementia), of which nearly half is attributable to the population \geq 60 years of age. Deficiencies in individual nutrients have been associated with increased risks for age-related diseases and high intakes and/or blood concentrations with risk reduction. Nutrition in general and the

Abbreviations: 25(OH)D, 25-hydroxyvitamin D; AD, Alzheimer's disease; AHA, American Heart Association; ALA, α-linolenic acid; ALT, alanine amino transferase; AST, aspartate aminotransferase; CHD, coronary heart disease; CVD, cardiovascular diseases; DGE, German Nutrition Society; DHA, docosahexaenoic acid; EC, epicatechin; ECG, epicatechin gallate; EFSA, European Food Safety Authority; EGC, epigallocatechin; EGCG, epigallocatechin gallate; ElOVL6, fatty acid elongase 6; EPA, eicosapentanoic acid; FDA, U.S. Food and Drug Administration; FFA4, free fatty acid receptor 4; GABA_A, γ -aminobutyric acid type A; LDL, low-density lipoprotein; LPS, lipopolysaccharide; MCP-1, monocyte chemoattractant protein-1; MMSE, mini-mental state examination; NAFLD, nonalcoholic fatty liver disease; NAS, NASH Clinical Research Network scoring system; NASH, nonalcoholic steatohepatitis; NF-κB, nuclear factor kappa-light-chain-enhancer of activated B cells; NMDA, *N*-methyl-D-aspartate; omega-3 PUFA, omega-3 polyunsaturated fatty acids; PCSK 9, proprotein convertase subtilisin/kexin type 9; RCT, randomized controlled trial; SNP, single nucleotide polymorphism; SOD1, superoxide dismutase 1; SREBP-1, sterol regulatory element-binding protein-1; UL, upper intake level; VLDL, very low-density lipoprotein; α T, α -tocopherol.

This is an open access article under the terms of the Creative Commons Attribution-NonCommercial-NoDerivs License, which permits use and distribution in any medium, provided the original work is properly cited, the use is non-commercial and no modifications or adaptations are made. © 2021 The Authors. *BioFactors* published by Wiley Periodicals LLC on behalf of International Union of Biochemistry and Molecular Biology. dietary intake of essential and nonessential biofactors is a major determinant of human health, the risk to develop age-related diseases, and ultimately of mortality in the older population. These biofactors can be a cost-effective strategy to prevent or, in some cases, even treat age-related diseases. Examples reviewed herein include omega-3 fatty acids and dietary fiber for the prevention of CVD, α -tocopherol (vitamin E) for the treatment of biopsy-proven nonalcoholic steatohepatitis, vitamin D for the prevention of neurodegenerative diseases, thiamine and α -lipoic acid for the treatment of diabetic neuropathy, and the role of folate in cancer epigenetics. This list of potentially helpful biofactors in the prevention and treatment of age-related diseases, however, is not exhaustive and many more examples exist. Furthermore, since there is currently no generally accepted definition of the term *biofactors*, we here propose a definition that, when adopted by scientists, will enable a harmonization and consistent use of the term in the scientific literature.

K E Y W O R D S

biofactor definition, cancer epigenetics, cardiovascular diseases, diabetes mellitus, micronutrients, neurodegenerative diseases, nonalcoholic fatty liver disease

1 | INTRODUCTION

On a global scale, life expectancy at birth has been increasing throughout the history of humankind, in particular during the last centuries. This has largely been explained by reduced infant and child mortality as a result of fewer deaths caused by infectious diseases. The still continuing increase in longevity, and the accompanying global increase in the number of senior citizens, is primarily a result of reduced mortality at old age (≥ 60 years).¹ The World Health Organization estimated that the mean life expectancy of 60-year-old women and men in 2012 was 21.5 and 18.5 years, respectively,¹ and that the number of persons aged 60 years or older will exceed that of children under the age of 5 years by the year 2020.² According to data from the United Nations, this estimation was correct and as of April 2020, the global population of people aged >60 years of 1.05 billion exceeded that of children under 5 years (678 million) by a factor of 1.55.³ In Europe and Northern America, the number of persons older than 60 years was even five, respectively, four times higher than that of children under 5 years, and about two times higher than that of children under 10 years (Figure 1³).

In high income, but not in low- and middle-income countries, the gain in life expectancy at old age is mainly driven by reductions in the mortality due to noncommunicable diseases, primarily cardiovascular diseases

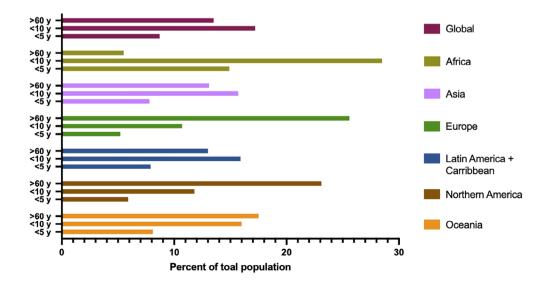


FIGURE 1 Percentage of the total population in 2020 comprised by the age groups <5, <10, and >60 years in different geographic regions and globally. In all regions except Africa, there are presently more people older than 60 years than children under 5 years. In Europe, Northern America and Oceania, the number of seniors (>60 years) even exceeds the number of children under 10 years³ (CVD).^{1,4} Noncommunicable diseases, led by CVD (30%) and cancer (15%), are also the main contributors to disease burden in older persons and, in high-income countries, nearly half (49%) of the total disease burden is attributable to the population \geq 60 years of age.⁵ Noncommunicable diseases, including CVD, cancer, nonalcoholic fatty liver disease (NAFLD), diabetes mellitus, and dementia, are therefore not only major determinants of mortality but also of morbidity and consequently the healthy lifespan of humans.⁴

In the 21st century, human aging will be among the most challenging problems for societies around the world, because, first, it differs from most other healthalterations in that it affects all members of a species and, second, because it is one of the main risk factors for the development of many noncommunicable diseases.^{5,6} The underlying causes for these so-called age-related diseases are manifold, but they do share a number of risk factors. including obesity, low physical activity, and an unbalanced diet. Insufficient intake and deficiencies in individual micronutrients (vitamins and essential minerals) have been associated with increased risks for these agerelated diseases and high intakes and blood concentrations with risk reduction.^{6,7} Not only the provision with essential micronutrients but also the intake and tissue concentrations of nonessential food factors, such as long-chain omega-3-fatty acids, flavonoids, and other phytochemicals, have been associated with the risk of age-related diseases and mortality, with low intake, respectively, status of these biofactors increasing, and high intake/status decreasing risk.⁷⁻¹⁰

About a decade ago, Bruce Ames proposed the "triage theory," which postulates that the organism uses essential micronutrients first for biological functions that are required for short-term survival (i.e., functions for which deficiency symptoms are known) and only after these needs are satisfied, directs micronutrients toward functions (e.g., by targeted tissue distribution) that are required for the prevention of age-related diseases.⁶

In summary, nutrition in general and the dietary intake of essential and nonessential biofactors is a major determinant of human health, the risk to develop age-related diseases, and ultimately of mortality in the older population.

2 | WHAT ARE BIOFACTORS?

Despite the existence of a journal devoted to and named *BioFactors*, there is currently no generally agreed definition of the term. As stated in the scope of this journal: "The word 'biofactors' refers to the many compounds that regulate biological functions. Biological factors comprise many molecules produced or modified by living

organisms and present in many essential systems like the blood, the nervous or immunological systems. A nonexhaustive list of biological factors includes neurotransmitters, cytokines, chemokines, hormones, coagulation factors, transcription factors, signaling molecules, receptor ligands and many more. In the group of biofactors we can accommodate several classical molecules not synthetized in the body such as vitamins, micronutrients or essential trace elements." This text already gives examples for substances that could be classified as biofactors, but it does not clearly define the term. Generally, one may suggest that biofactors could be used synonymously for terms such as bioactives or biofunctionals, which are frequently used to describe compounds that exert a biological function. But then again, neither are these terms clearly characterized, nor is their use in the literature standardized.11

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Here, we propose the following definition for the term *biofactors*: Biofactors are substances required by the body for its normal physiological functioning and/or with health-beneficial and/or disease-preventive biological activities.

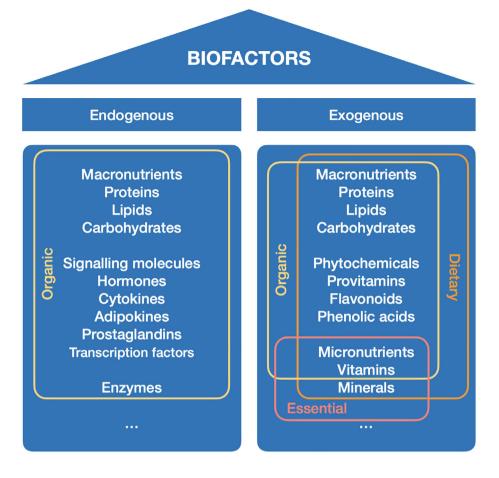
It is noteworthy, however, that the scientific evidence required from human trials to support health-beneficial or disease-preventive effects of biofactors is high and difficult to obtain.

Based on this definition, biofactors can be subdivided into further and partly overlapping categories, such as endogenous (produced in the organism) versus exogenous (taken in from external sources), essential (with known deficiency symptoms) versus nonessential (health beneficial), organic (e.g., macronutrients and vitamins) versus inorganic (minerals), and more (Figure 2). Even these subcategories can be further subdivided.

Essential biofactors are those that the organism cannot produce or not produce in sufficient quantity and which therefore need to be supplied from external sources. An important class of exogenous biofactors are dietary biofactors, which include vitamins and pro-vitamins, minerals, enzymes, peptides, amino acids, fatty acids, phytochemicals and more, which are the focus of the present review.

3 | **OBJECTIVES**

This review aims to synthesize the role of selected dietary biofactors in the prevention of the most important agerelated diseases, to identify new trends, and to point toward promising future research topics. The review does not intend to provide an exhaustive overview over all available literature on the health benefits of all dietary biofactors.



Biofactors

FIGURE 2 Biofactors are substances required by the human body for its normal physiological functioning and/or with health-beneficial and/or disease-preventive biological activities and can be subclassified into endogenous (produced in the organism) or exogenous (taken in from external sources) biofactors; further subdivisions are possible and some examples are shown

4 | ROLE OF BIOFACTORS IN CANCER AND EPIGENETICS

4.1 | Pathophysiology and burden of cancer

According to the International Agency for Research on Cancer, the number of new cancer cases and cancer deaths will globally rise.¹² Cancer shows a remarkable diversity and primary tumorigenesis, tumor progression and metastasis have a multifactorial and complex pathophysiology.¹³ In particular, epigenetic changes seem to impact the initiation, development, and progression of different cancer types. Moreover, it is likely that these epigentic alterations are not limited to cancer cells but are also present in the altered cells of the tumor-associated stroma and influence the tumor microenvironment.^{13,14} Different epigenetic mechanisms can be distinguished and include changes in DNA methylation, modifications of histone proteins, alterations of microRNA regulation, and interactions thereof.^{14,15} Because epigenetic changes are highly dynamic and reversible, they have attracted considerable research interest, especially as possible novel targets for cancer treatment.

The methylation and demethylation of the DNA are one of the best-characterized epigenetic mechanisms.14,15 DNA methyltransferases can modulate the chromatin structure and thereby impact transcriptional activation or repression by the addition of a methyl group to the fifth carbon of a cytosine residue. Methylation of DNA occurs mainly at cytosine- and guanine-rich areas of promotor regions, the so-called CpG islands.¹⁶ In general, methylated promotor regions are less accessible and transcription is repressed, whereas gene expression can take place in unmethylated promotor regions. Interestingly, unlike normal cells, cancer cells show highly variable CpG methylation and progressive changes during tumorigenesis and cancer onset but also throughout tumor progression and metastasis.^{15,17} Especially genes for cell cycle regulation and tumor suppressors display hypermethylated promotor regions and subsequently a diminished level of transcription in tumor cells. Therefore, biofactors that modulate DNA cytosine methylation affect epigenetic transcription-regulation and may thereby also influence susceptibility, genesis, and treatment of cancer. In this context, a number of dietary biofactors that regulate DNA methylation in tumor cells have been characterized and are currently under investigation for possible therapeutic applications.^{18–20}

4.2 | Folate

The term folate (also known as vitamin B_9) describes a group of heterocyclic compounds based on pteroic acid linked by a methylene bridge to a *p*-aminobenzoyl group and conjugated with one or more L-glutamate units.²¹ Whereas natural folate forms are usually polyglutamylated and a mixture of reduced folates, folic acid is the synthetic monoglutamate form with a fully oxidized pteridine ring.^{22,23} While folic acid occurs in the human diet mostly in supplements and fortified foods, natural folates can be found, among others, in leafy vegetables, beans, liver, and yeast.²⁴ Noteworthy, the synthetic folic acid shows a superior stability and much greater bioavailability than natural food folates. Folate and other B vitamins, namely vitamins B₂ (riboflavin), B_6 (pyridoxine respectively its active form pyridoxal-5'phosphate), and B₁₂ (cobalamin), have essential functions in the human C1 metabolism. Folate is a cofactor in the biosynthesis of DNA and RNA and required for methylation processes, such as the remethylation of homocysteine to methionine, which is an important step for the methylation of DNA. Deficiency of folate causes a hyperhomocysteinemia that affects various biomolecules and cellular processes, including epigenetic mechanisms, such as methylation of the DNA and histone proteins and modifications of noncoding RNA.²⁵ Collectively, B vitamins, including folate, are critical factors for nucleotide synthesis, cell proliferation, and epigenetic regulation.²⁶

Insufficient intakes and deficiencies in folate and vitamins B_6 and B_{12} are common in the elderly polulation.²⁷ Inadequate intake and deficiency of folate are associated with increased risks for cancer, including, but not limited to, pancreatic, bladder, and cervix cancer.^{28–30} A metaanalysis on the effects of folic acid supplementation (0.5– 40 mg/day) on overall and site-specific cancer incidence evaluated data for 50,000 individuals and found no substantial increase or decrease in cancer incidence during the first 5 years of treatment.³¹ On the other hand, a meta-analysis of six RCT found an increased risk for prostate cancer after folic acid supplementation, indicating that further prospective studies are needed.^{28,32}

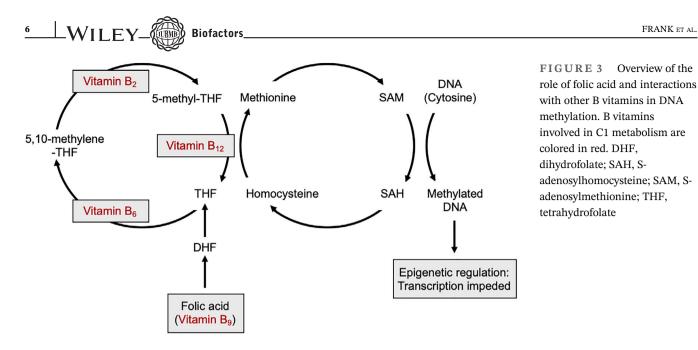
On the cellular level, folate can modulate overall and/or gene-specific DNA methylation. But again, animal and human trials showed conflicting results. Despite the role of folate as a methyl donor, a folate-deficient diet does not necessarily correlate with DNA hypomethylation and conversely folate supplementation does not always induce hypermethylation.^{33,34} These inconsistent findings demonstrate the complexity of the interplay between folate, diet, epigenetic regulation of DNA methylation, and the resulting effects on cancer. In order to ______Biof

better understand these apparently contradictory findings, several aspects and confounding factors need to be considered. Studies that analyzed folate supplementation used diverse dosages and varied in observation periods. The dose, in particular, is an important factor. A doseresponse meta-analysis of prospective studies discovered a potential J-shaped correlation between folate intake and breast cancer risk. While a daily intake of 200– 320 µg folate was associated with a lower breast cancer risk, a daily intake of >400 µg significantly increased the risk.³⁵ Studies that focused on gene-specific methylation, instead of global methylation, observed more consistent and reproducible findings.³⁶

It should also be taken into account that other factors influence the role of folate in the human C1 metabolism and as a methyl donor, respectively.33 The generation of S-adenosylmethionine, a key molecule for DNA methylation, requires not only folate but also the vitamins B_2 (riboflavinbe), B_6 (pyridoxal 5'-phosphate), and B_{12} (cobalamin). Vitamin B₆ is required for the reaction of tetrahydrofolate to 5,10-methylene-tetrahydrofolate, vitamin B₂ for the generation of 5-methyl-tetrahydrofolate, and B₁₂ for the remethylation of homocysteine to methionine (Figure 3). Deficiency of one or more of these B vitamins consequently affects DNA methylation irrespective of folate status.²⁴ Due to these close metabolic interactions of the B vitamins, folate-mediated health effects cannot be viewed independently from an adequate supply of these cofactors.

Moreover, animal studies suggest that the consequences of folate supplementation on DNA methylation may also be strongly affected by the different stages of the life cycle.³⁴ In addition, folate-mediated effects may be influenced by the folate diet of the parent generation and in particular the maternal nutritional status. Thus, the accurate timing of folate supplementation might also be an important but also highly complex factor in the human diet.

Nutrition is an important contributor to epigenetic changes and thereby modulates the susceptibility to diseases, including cancer. Undoubtedly, folate has an impact on the epigenetic regulation via DNA methylation. Alterations in the cellular methylation status play an evident role in cancer onset, progression, and the tumor microenvironment. Currently, further nutritional and clinical studies are needed to clarify the optimum folate intake, possible further cofactors, and timing of administration in order to maximize folate-mediated health effects, including therapeutical epigenetic modulation of DNA methylation. Although folate is biochemically well characterized, it is so far difficult to evaluate its potential as epigenetic modifier for cancer prevention and therapy.



THE ROLE OF BIOFACTORS 5 IN CVD

5.1 | Pathophysiology and burden of CVD

CVD are disorders of the heart and arterial vessels and are categorized as coronary heart disease (CHD), cerebrovascular disease, and peripheral arterial disease, respectively.³⁷ The extensive morphological changes of the vascular wall during atherosclerosis are a major cause of CVD. The initial event in atherosclerosis is endothelial dysfunction, which is caused by different types of pathological stimuli that trigger inflammatory processes in the arterial wall and eventually lead to thickening, adaptive enlarging, and stiffening of the arterial wall.

In brief, key events contributing to the progression of atherosclerosis include macrophage proliferation, monocyte infiltration and differentiation, immigration and proliferation of smooth muscle cells, and lipid accumulation (mostly in macrophages [foam cell formation]). Following further propagation, excessive lipid accumulation and proliferation of lipid-loaded macrophages, and deposition of cholesterol and fibrotic material result in fatty streak formation, elasticity loss and vascular lumen reduction with parallel widening of the arterial diameter (wall thickening).³⁸ Consequently, plaque rupture and thrombus formation can cause vascular occlusion, causing acute events such as deep vein thrombosis, pulmonary embolism, myocardial infarction, and stroke, or an occlusion of the artery itself.

In 2016, 85% of CVD-related deaths were due to the acute complications myocardial infarction and stroke.³⁷ Overall, CVD are still the leading cause of all global deaths (31%), thus causing a significant global burden.

An unbalanced diet is one of the leading causes for CVD,³⁹ causing an estimated 50%–70% of cardiovascular and cardiometabolic disease-related deaths.⁴⁰ Hence, most of the cardiovascular complications could be prevented by a healthy lifestyle that avoids or contributes significantly to minimizing risk factors, such as tobacco use, unbalanced diet, obesity, physical inactivity, and excessive alcohol consumption.³⁷

5.2 **Biofactors and CVD**

While conventional medication, such as low-density lipoprotein (LDL) cholesterol-lowering drugs (e.g., cholesterol synthesis inhibitors, cholesterol absorption inhibitors, and proprotein convertase subtilisin/ kexin type 9 [PCSK9] inhibitors) and antihypertensive drugs (e.g., calcium channel blockers, angiotensin converting enzyme inhibitors, angiotensin II receptor blockers, and beta blockers) remain the first choice in the treatment of CVD, supplementing phytochemicals and micronutrients are an attractive and potentially costeffective approach for their prevention and adjuvant therapy. In this context, micronutrient deficiencies, as reported for vitamin D, have been considered as cardiovascular risk factors.⁴¹ For this reason, dietary supplements have been propagated globally to prevent or even cure CVD and remain popular in the United States and Europe, despite the fact that numerous studies failed to show benefits from dietary supplements.⁴²

From 2012 to 2017, more than 1400 published studies investigated the effects of vitamins and minerals on CVD. A recent meta-analysis analyzed 179 randomized controlled trials (RCTs) with respect to the effects of selected individual supplements as well as multinutrient

supplements on fatal and nonfatal events from CVD and coronary heart disease and their complications myocardial infarction and stroke and found no effect on the event rate of CVD for multivitamins, vitamin D, calcium, and vitamin C, whereas folic acid significantly decreased the event rate of stroke and total CVD, and other vitamin B-complexes decreased stroke with numbers needed to treat of 167, 111, and 250, respectively.⁴² It is noteworthy that calcium and magnesium are the most popular supplemented minerals in Germany,⁴³ Europe,⁴⁴ and the United States.⁴⁵

5.3 | Calcium

In addition to a lack of benefit from calcium supplementation, the question has arisen whether (high dose) supplementation of calcium may even increase CVD risk.⁴⁶ The German Nutrition Society (DGE) and the U.S. Food and Drug Administration (FDA) recommend a daily intake of 1000 mg calcium for adults to maintain optimum bone mineralization^{47,48} and the European Food Safety Authority (EFSA) and the FDA set a tolerable upper intake level (UL) for adults, which is not associated with any harm, at 2500 mg/day.⁴⁹ The source of calcium (diet vs. supplements) may be of relevance, as supplementation of more than 1000 mg/day calcium was reported to increase cardiovascular mortality due to incident calcification of coronary arteries, whereas no adverse effects were observed for calcium-rich diets (dairy products, green vegetables, calcium-rich mineral water).50

The above-mentioned meta-analysis found no significant effect of calcium supplementation on coronary and cardiovascular events or mortality but observed trends toward an 1.69-fold increase in risk for myocardial infarction (p = 0.08) and 1.29-fold increase in risk for stroke (p = 0.09).⁴² As dietary supplementation with calcium did not prevent CVD and due to potential adverse effects, individual calcium status and requirements should be assessed prior to supplementing calcium.⁴⁶ However, as long as calcium intake from the diet or supplements does not exceed the UL of 2500 mg, there is no evidence that the mineral may positively or negatively alter the cardiovascular risk in healthy adults.⁵¹

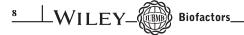
5.4 | Magnesium

Magnesium is the fourth most abundant mineral in humans and the second most abundant intracellular cation. A daily intake of 400 mg magnesium (e.g., from avocado, banana, dairy products, and nuts) is recommended.^{47,48} Magnesium ions interact with several hundred enzymes, especially those involved in energy metabolism, such as phosphate transfer reactions with ATP,⁵² and are therefore essential for many cellular functions.⁵³ Magnesium also affects processes involved in blood pressure regulation; it dose-dependently blocks calcium channels,⁵⁴ antagonizes *N*-methyl-D-aspartate (NMDA)-receptor signaling, stimulates γ -aminobutyric acid type A (GABA_A)-receptors, and inhibits glutamate release from presynaptic neurons by antagonizing Ca^{2+.55}

Meta-analyses of clinical trials on the effects of magnesium therapy and blood pressure reported varying results, depending on the inclusion and exclusion criteria. A meta-analysis of 34 trials involving 2028 participants found that magnesium supplementation at a median dose of 368 mg daily for a median duration of 3 months significantly reduced systolic blood pressure by 2.0 mm Hg and diastolic blood pressure by 1.8 mm Hg. These effects were accompanied by an increase in serum magnesium of 0.05 mmol/L.56 In 20 studies on 1220 participants, dose-dependent reductions of 4.3 mm Hg systolic and 2.3 mm Hg diastolic blood pressure for each 240 mg/day increase in magnesium intake (range 240–960 mg/day) were observed.⁵⁷ Overall, these metaanalyses suggest that oral magnesium may be helpful in lowering high blood pressure.58

In a monocentric, controlled, double-blinded study, 79 patients with severe congestive heart failure received either magnesium-orotate (6 g for 1 month, 3 g for 11 months, n = 40) or placebo (n = 39) and survival rate was 75.7% in the verum versus 51.6% in the placebo group (p < 0.05) after 1 year.⁵⁹ These data were confirmed in a study with similar design⁶⁰ and are in accordance with the role of orotic acid as magnesium-fixing agent.⁶¹

In agreement, one meta-analysis reported that high serum magnesium concentrations were associated with lower risk of CVD; each 0.2 mmol mg/L increment lowered the risk by 30%.62 Another meta-analysis of 450,000 individuals revealed a decreased risk for cardiovascular mortality with high magnesium intake (women: relative risk [RR] 0.84 [95% CI: 0.77; 0.92]; men: RR: 0.92 [95% CI: 0.86; 0.98]).63 The risk of CVD mortality was reduced by 24%-25% per 100 mg/day increment of dietary magnesium intake in women. However, an overall dose-response relationship was not found.⁶³ In contrast, another recent meta-analysis found no correlation between magnesium intake and prevention of CVD.⁴² Despite the positive effects of dietary and supplemental magnesium on blood pressure, its impact on CVD risk is less clear and requires further research.



5.5 | Vitamin D

Vitamin D insufficiency is common and estimated to affect over 1 billion people worldwide.⁶⁴ Food is a poor source of vitamin D and even though the vitamin is generated in the skin by UV-B-induced conversion of its precursor 7-dehydrocholesterol, there is insufficient sunlight intensity for several months of the year in nonequatorial regions to produce adequate amounts of vitamin D. Not only does the ability to synthesize vitamin D in the skin diminish with aging, but vitamin D deficiency has also been proposed to accelerate aging and increase the risk of age-related diseases.^{7,65} Supplementation with vitamin D may therefore be an important strategy to maintain sufficient status and potentially mitigate the risks for age-related diseases.

Vitamin D is a precursor of the steroid hormone calcitriol and important for bone and mineral physiology.^{64,66} As mentioned above, vitamin D is unique in that it is mainly obtained from sunlight-induced biosynthesis in the skin, while dietary intake contributes only little to vitamin D status.^{64,67} Serum 25-hydroxyvitamin D (25 (OH)D) concentrations are used to assess vitamin D status, with the recommended target blood concentrations ranging from 50 to 75 nmol/L (20–30 ng/ml).^{64,66,67} The recommended upper limit (UL) for vitamin D is 100 µg/ day (4000 IU/day) for all adults.⁶⁸

Both the high prevalence of vitamin D deficiency in the general population and the identification of the vitamin D receptor throughout the cardiovascular system raised interest in the potential cardiovascular effects of vitamin D.69,70 Evidence from experimental studies showed beneficial effects of vitamin D on heart and vessels, while in animal models (e.g., mice, rats, pigs), vitamin D intoxication (doses beyond 100,000 IU/kg bodyweight and day, by far exceeding the UL in humans) led to hypercalcemia and vascular calcification.⁷¹ It is that both hypervitaminosis and hypsuggested ovitaminosis D can contribute to the development of vascular calcification via multiple complex mechanisms, indicating a biphasic impact of vitamin D on the vasculature.71

Epidemiological studies show that 25(OH)D concentrations are inversely associated with an increased CVD risk itself but also with established cardiovascular risk factors, such as arterial hypertension, and underlying pathological processes, such as endothelial dysfunction and atherosclerosis.⁷⁰ In contrast, RCT conducted so far have not convincingly demonstrated a positive effect of vitamin D supplementation on CVD risk or events or markers of vascular function.^{70,72} For example, two recent meta-analyses reported that vitamin D supplementation had no significant effect on arterial blood pressure,^{73,74} even in those participants with low baseline 25(OH)D concentrations or with elevated baseline blood pressure.⁷⁴ Similarly, meta-analyses of cardiovascular outcomes show no effect of vitamin D supplementation on myocardial infarction or stroke⁷⁵ and no beneficial effects on left ventricular function and exercise tolerance in the treatment of chronic heart failure.⁷⁶

Potential explanations for the differences between evidence from epidemiological studies and intervention trials may lie in differences in reference ranges or the possibility that low vitamin D concentrations in CVD are only an epiphenomenon. While we wait for new data from a large number of ongoing intervention studies, the current conclusion is that vitamin D is a strong marker for CVD risk factors or for CVD itself.⁷⁰ More data from individuals with 25(OH)D deficiency (<25 nmol/L) or sensitive high-risk individuals are needed. The effects of vitamin D supplementation on CVD at doses that achieve 25(OH)D concentrations exceeding 100 nmol/L over the long term are currently not fully known and therefore caution is advised in this regard.^{66,77}

5.6 | Omega-3 fatty acids

The three most relevant omega-3 polyunsaturated fatty acids (PUFA) are α -linolenic acid (ALA), eicosapentanoic acid (EPA), and docosahexaenoic acid (DHA). While ALA is predominantly found in plant oils such as flax-seed oil, DHA and EPA are found in fish and other seafood. ALA is an essential fatty acid, while EPA and DHA can be synthesized, even though at a low conversion capacity, from ALA.⁷⁸

Despite the disappointing results of several intervention trials, the beneficial effects of omega-3 PUFA are still of interest. The use of DHA and EPA supplements increased more than nine-fold from 1999 to 2012,45 most likely due to a statement of the advisory board of the American Heart Association (AHA) in 2002 referring to two RCTs, which revealed that fatal cardiac events were reduced upon DHA and EPA supplementation. At that time, supplementation of 1 g/day DHA plus EPA has been recommended for patients with documented CHD or increased blood triacylglycerols.⁷⁹ In the following years, several RCT failed to show consistent beneficial effects of omega-3 PUFA on primary and secondary cardiovascular events. Therefore, the AHA advisory board reconsidered its recommendation in 2017.⁸⁰ In summary, no recommendation can be made for the primary prevention of CHD, since data from RCT without prior CHD are still on demand. For primary prevention of CVD with omega-3 PUFA, the studies show inconsistent results. Furthermore, supplementation of DHA/EPA for patients

at high cardiovascular risk is no longer recommended by the majority of the members of the advisory board of the AHA.⁸⁰ In contrast, treatment with omega-3 PUFA supplements may be more reasonable for the secondary prevention of fatal CHD, although no reduction in the incidence of recurrent nonfatal myocardial infarction can be expected. In addition, no benefits of omega-3 PUFA supplementation were found for the primary or secondary prevention of stroke or heart failure.

Promising results from two large RCT, the Vitamin D and Omega-3 Trial (VITAL) study and the Reduction of Cardiovascular Events with Icosapent Ethyl-Intervention Trial (REDUCE-IT) study, have rekindled the interest in omega-3 PUFA in the prevention of CVD. The VITAL study, with 25,871 healthy middle-aged men and women enrolled, is one of the largest trials on this issue. In this study, supplementation with omega-3 PUFA at a dose of 1 g/day was not effective in the primary prevention of CVD with respect to the expanded composite end-point of cardiovascular events (0.93 [95% CI: 0.82-1.04]), total stroke (1.04 [95% CI: 0.83-1.31]), and death from cardiovascular causes (0.96 [95% CI: 0.76-1.21]), but was effective for total myocardial infarction (0.72 [95% CI: 0.59-0.90]) compared to placebo over 5.3 years.⁸¹ These results are in line with the conclusions from previously published studies.80,82

In the REDUCE-IT trial, the efficacy of a total daily dose of 4 g/day icosapent ethyl, a highly purified EPA ethyl ester known to reduce plasma triacylglycerol concentrations, was investigated to reduce cardiovascular events in 8179 patients with established CVD or with diabetes mellitus and other risk factors, who had been receiving statin therapy and who had elevated fasting triacylglycerol concentrations.⁸³ The primary composite endpoint (cardiovascular death, nonfatal myocardial infarction, nonfatal stroke, coronary revascularization, or unstable angina) occurred in 17.2% and 22.0% of icosapent ethyl-treated patients versus placebo, respectively (RR 0.75; [95% CI: 0.68–0.83]; p < 0.001). Therefore, this study presents the potential of omega-3 PUFA for therapy of CVD. However, serious issues of the REDUCE-IT trial are currently discussed: (i) as placebo, mineral oil was used which affects statin absorption and may in turn influence the rate of cardiovascular events in the placebo group over time; (ii) hospitalization for atrial fibrillation or flutter and serious bleeding events significantly increased under icosapent ethyl supplementation; and (iii) the different baseline concentrations of plasma C-reactive protein between the treatment groups at baseline.

In summary, omega-3 PUFA supplementation may reduce secondary but not primary cardiovascular events. However, the effects of DHA/EPA supplementation on CVD seem to be more pronounced in older studies, which may be explained, at least in part, by the higher fish consumption nowadays, due to an increasing knowledge about health-promoting effects of omega-3 PUFA. Some RCT may have been underpowered, thus explaining the lack of effect of omega-3 PUFA on the primary endpoints.⁸⁰ Further clarification on the potential of omega-3 PUFA to prevent CVD was expected from the Statin Residual Risk Reduction with EpaNova in High CV Risk Patients with Hypertriglyceridemia (STRENGTH) trial which investigated the effect of the marine omega-3 PUFA on cardiovascular events in statin-treated patients with hypertriglyceridemia, low HDL cholesterol and high risk for CVD (ClinicalTrials. gov identifier: NCT02104817). However, the STRENGTH trial was recently terminated because cardiovascular events were similar between the omega-3 PUFA treatment group and the placebo group. Further, compared with placebo, omega-3 PUFA treatment was associated with more atrial fibrillation and gastrointestinal adverse events.⁸⁴ The reason for the neutral finding of the STRENGTH trial and the positive effects observed in the REDUCE-IT trial is likely due to the different composition of the omega-3 PUFA intervention used. Like the positive Japan EPA Lipid Intervention Study (JELIS),⁸⁵ the REDUCE-IT trial studied a purified formulation of high-dose EPA, whereas the STRENGTH trial used a combination of EPA and DHA. It is thus possible that DHA may counteract the benefits of EPA.

5.7 Quercetin

Ouercetin (3,3',4',5,7-pentahydroxyflavone) is one of the predominant flavonoids, ubiquitously found in (edible) plants and one of the most potent in vitro antioxidants of plant origin.⁸⁶ The richest food sources for quercetin are onions, asparagus, broccoli, kale, unpeeled apples, and blueberries. Red wine and tea (Camellia sinensis) can also contain quercetin in substantial amounts.87 In some countries, quercetin is available as a dietary supplement with recommended daily doses of supplemental quercetin of 200-1200 mg.88 The mean dietary intake in Western populations is estimated to be approximately 10-30 mg/ day.^{89–91} In epidemiological studies, regular consumption of flavonoids in general and quercetin in particular has been associated with a decreased risk of CVD.92 This beneficial effect is supported by data from animal models and some clinical trials that have demonstrated effects of quercetin supplementation on a number of CVD risk factors, risk markers, and physiological pathways.93-98 \perp WILEY_

For example, it was shown that supra-nutritional doses of quercetin significantly reduced systolic blood pressure⁹⁶⁻⁹⁸ and plasma concentrations of oxidized low-density lipoprotein⁹⁸ in overweight to obese patients with metabolic syndrome traits. In addition, two recent meta-analyses of placebo-controlled trials (the majority of which involved normotensive or prehypertensive individuals) showed significant reductions in both systolic and diastolic blood pressure after quercetin supplementation.^{99,100} Overall, the quercetin-induced reductions in blood pressure (range 3-5 mmHg) are similar to those experienced following current recommended lifestyle modifications to reduce elevated blood pressure (e.g., reducing sodium intake and body weight, increasing physical activity).¹⁰¹ The mechanisms by which quercetin exerts these effects are not completely understood, although they most likely arise from modulation of cell signaling and gene expression in different cell types. Hypotheses tested in different experimental and clinical trials include lowering of oxidative stress, interference with the renin-angiotensin system, and improvement of endothelial function.¹⁰²

In addition, anti-inflammatory effects of quercetin have been described in cell culture and animal studies.^{94,95,103,104} Human intervention trials that investigated the effects of quercetin on biomarkers of systemic inflammation and endothelial activation (e.g., soluble adhesion molecules) showed inconsistent results.^{105–107} Potential protective effects of quercetin may depend on the health status of the study population (e.g., effects in healthy young subjects are unlikely or small and are therefore difficult to observe), the quercetin dosage, the supplementation period, and concentrations of inflammatory markers at baseline.

The effects of quercetin on lipid profiles have been summarized in four meta-analyses of RCTs with inconclusive results.^{100,108-110} For example, Sahebkar¹⁰⁹ found a significant decrease in triacylglycerols at pharmacologic doses (>500 mg/day), but no significant effects on LDL-cholesterol and HDL-cholesterol. The proposed lipid-lowering mechanisms of quercetin include an increase in fecal cholesterol and bile-acid excretion and inhibition of de novo triacylglycerol synthesis leading to reduced VLDL-triacylglycerol concentrations.¹⁰⁹ In the meta-analysis of Menezes et al.,¹¹⁰ supplementation with flavonols (primarily quercetin) was associated with a decrease in triacylglycerols as well as total and LDL-cholesterol. However, subgroup analyses revealed no significant effects in healthy individuals or in those with normal baseline concentrations for any of the blood lipids.

As summarized above, there is striking evidence that quercetin mediates cardioprotective effects in humans, especially in subjects with CVD risk factors.

5.8 | Dietary fiber

Different types of dietary fiber, β -glucan in particular, have been studied thoroughly for their total and LDLcholesterol-lowering effects.¹¹¹⁻¹¹³ A meta-analysis of 14 observational studies involving 400,492 participants and 14,427 patients with CHD indicates a protection against CHD by higher whole-grain intake (highest vs. lowest intake RR: 0.787 [95% CI: 0.743, 0.833]).¹¹⁴ Subgroup analyses revealed inverse associations of whole-grain intake with CVD risk (RR: 0.762 [95% CI: 0.693; 0.838]) but not with myocardial infarction.¹¹⁴ Similar findings have been reported in meta-analyses of 185 observational studies and 58 RCT.¹¹⁵ The metaanalysis of the observational studies confirmed the beneficial effects of a daily intake of 25-29 g dietary fiber compared to a diet low in fiber, as higher fiber intake was associated with decreased all-cause mortality (RR: 0.85 [0.79–0.91]), cardiovascular mortality (RR: 0.69 [95% CI 0.60; 0.81]), incidence of CHD (RR: 0.76 [95% CI: 0.69; 0.83]), stroke (RR: 0.78 [95% CI: 0.69; 0.88]), and stroke mortality (RR: 0.80 [95% CI: 0.56; 1.14]). The metaanalysis of RCT revealed significantly lower concentrations of total cholesterol for high-fiber consumers (MD - 0.15 [95% CI: -0.22; -0.07]).¹¹⁵ A daily intake of 25-29 g fiber was found to be the minimum for significant beneficial effects on CVD and might be even greater with a fiber intake of >30 g/day. Intervention studies showing effects of fiber in general and β -glucan in particular for primary or secondary prevention of cardiovascular events are still on demand. Although the cholesterol-lowering effects of β-glucan are widely accepted (health claim ID 1236 and 1299),¹¹⁶ large-scale intervention trials and meta-analyses¹¹⁷ are still on demand to obtain sufficient evidence for recommending β -glucan intake for the prevention of CVD events.

6 | ROLE OF BIOFACTORS IN NONALCOHOLIC FATTY LIVER DISEASES

6.1 | Pathophysiology and burden of nonalcoholic fatty liver disease

The hallmark of nonalcoholic liver disease (NAFLD) is steatosis, the accumulation of lipids in hepatocytes, for reasons other than the consumption of alcohol or steatogenic medication. NAFLD is not a single disease but rather a spectrum of liver diseases ranging from steatosis to nonalcoholic steatohepatitis (NASH) to fibrosis. In 2017, almost a quarter of the global population were estimated to have NAFLD¹¹⁸ and it is predicted that this number will increase to a third of the global population by 2030.¹¹⁹ Of NAFLD patients who undergo a liver biopsy, 7%–30% show signs of the more severe form NASH.¹²⁰ NASH can progress to cirrhosis and hepatocellular carcinoma^{121,122} and is becoming the leading cause of liver transplantation in the United States¹²³ and Europe.¹²⁴ NAFLD is associated with an increase in mortality with the major cause of death being CVD.¹²⁵

Clinically, NAFLD is most commonly diagnosed due to abnormally elevated liver enzymes (alanine aminotransferase [ALT], aspartate aminotransferase [AST]) that have no viral, hereditary or drug-induced origin and are not related to alcohol consumption. NAFLD can be diagnosed by imaging studies, but for a definite diagnosis of NASH as well as for disease staging, a liver biopsy is required. Biopsies are graded with the NASH Clinical Research Network scoring system (NAS), a histopathological system containing scores for steatosis, lobular inflammation and hepatocyte ballooning (abnormal enlargement of hepatocytes).¹²⁶ NAFLD is linked to the metabolic syndrome and disease development is strongly associated with obesity, insulin resistance, type 2 diabetes mellitus, and dyslipidemia,¹²⁷ although up to 15% of patients with NAFLD have normal bodyweight.¹²⁸

Multiple factors contribute to NAFLD pathogenesis including environmental, gut microbiota, insulin resistance, and genetics.¹²⁹ NAFLD pathogenesis is incompletely understood and while steatosis is generally viewed as benign, NASH involves hepatocyte injury, inflammation, fibrogenesis and increased production of species. 129-131 reactive Lifestyle interventions (e.g., reducing energy intake and increasing physical activity) remain the best course of action for the treatment of NAFLD, but prolonged dietary and behavioral changes are difficult to sustain. For the treatment of NASH, there are currently no approved drugs available and safe and efficient treatments are urgently needed and offer an exciting opportunity for the study of biofactors.

6.2 | Vitamin E

Vitamin E is a family of eight different lipid-soluble substances, namely α -, β -, γ -, and δ -tocopherol, and -tocotrienol.¹³² Vitamin E acts as chain breaking antioxidant, terminating lipid peroxidation. α -Tocopherol (α T), the main vitamin E congener found in the human body,¹³³ has been extensively investigated in preclinical and clinical studies for the treatment of NAFLD.

In rats fed a high-fat diet, αT supplementation (250 mg/kg bodyweight/day, oral gavage, 4 weeks) decreased high-fat diet induced hepatic steatosis and circulating ALT. αT further reduced the oxidative stress marker

malondialdehyde, S-nitrosylation of proteins as well as TNF α , a proinflammatory cytokine.¹³⁴ In line with the assumption that vitamin E is a potent hepatic antioxidant, rats presupplemented with α T showed lower markers of liver damage (ALT, AST) compared to their non-supplemented controls after carbon tetrachloride exposure, a potent inducer of hepatic oxidative stress.¹³⁵ Steatosis was also reduced by α T (250 mg/kg/diet, 6 weeks) in guinea pigs fed a high-fat diet and hepatic triacylglycerols were similar to animals fed a normal control diet.¹³⁶

Besides targeting steatosis, aT has also been investigated in models of more advanced forms of NAFLD. In a dietary NASH model in guinea pigs, αT (1125 mg/kg diet for 8 weeks) supplementation was able to reduce hepatocyte ballooning while not affecting inflammation or fibrosis.¹³⁷ Integrity of the gut barrier and translocation of bacterial products are believed to be associated with the progression of steatosis to NASH.¹³⁸ An animal model recapitulating this are ob/ob mice, which already develop hepatic steatosis under standard feeding conditions,¹³⁹ and, upon a single injection of lipopolysaccharide (LPS), present hepatic oxidative stress and increased serum TNF α and ALT.¹⁴⁰ Mice that received α T and γ T supplementation (500 mg/kg diet, 5 weeks) prior to LPS injection were protected against the LPS-induced increase in oxidative stress, TNFa and ALT.¹⁴⁰ By quenching LPSinduced oxidative stress, vitamin E may be able to reduce the proinflammatory action of LPS.

Overall, the majority of animal models indicate an improvement of NAFLD through a reduction of oxidative stress, however nonantioxidant functions, cannot be excluded.

In adult NASH patients without diabetes mellitus (PIVENS trial), treatment with 800 IU/day of αT for 2 years (n = 84) was associated with improvement in histological features in 43% of patients (p = 0.001vs. placebo) and resolution of NASH in 36% (p = 0.05).¹⁴¹ The PIVENS trial also showed a significant reduction of steatosis through a yet unidentified mechanism. A resolution of NASH (33% of patients, p = 0.04 vs. placebo) and reduction of steatosis (p = 0.02) was also observed in adult, biopsy-confirmed NASH patients with type 2 diabetes mellitus who received 400 IU αT b.i.d. for 18 months.¹⁴² In children and adolescents with biopsyproven NAFLD, 800 IU/day αT (*n* = 58, TONIC trial) compared to placebo (n = 58) for 96 weeks, had no effect on ALT at the end of the study but significantly reduced it at earlier timepoints (weeks 24 and 48), indicating that early benefits were overtaken by general improvement in both groups, likely induced by lifestyle modification. In the subgroup of patients who had NASH or borderline NASH at baseline, αT was associated with significant histological improvement compared to placebo.¹⁴³

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Recent work has begun to unravel some of the mechanisms underlying the improvements in NAFLD, especially hepatic steatosis, under vitamin E supplementation. NAFLD does not appear to be a disease of vitamin E deficiency, but rather of aberrant subcellular distribution in which αT is trapped within lipid droplets and unavailable at other sites within the cell.¹⁴⁴ How this may affect vitamin E metabolism and in particular the activities of long-chain metabolites, which have unique functions,¹⁴⁵ biological especially in regard to inflammation,¹⁴⁶ remains to be elucidated. It was further shown that αT supplementation decreases hepatic lipid peroxidation in patients with NAFLD, indicating, in agreement with the animal models, that the decrease of oxidative stress plays a major role in the improvement of NAFLD by αT .¹⁴⁷ Lipid peroxidation might be a direct driver of hepatic steatosis through upregulation of steatogenic pathways. A decrease of one of the pathways. de novo lipogenesis, after 4 weeks of supplementation with αT (200-800 IU/day αT) was associated with improvements of hepatic steatosis after 24 weeks of treatment. Inhibition of de novo lipogenesis by αT was also observed in vitro, where it was dependent on the antioxidant function of αT , highlighting once again the interplay between oxidative stress and steatosis.¹⁴⁸ A meta-analysis of eight clinical trials (including 465 NAFLD patients) showed an overall benefit of vitamin E-supplementation on histological parameters of NAFLD that appear to be especially pronounced in patients with NASH.¹⁴⁹ None of the clinical trials reported any adverse events attributed to treatment.141-143

In summary, there is good preclinical and clinical evidence for a benefit of αT supplementation in NAFLD and the 2018 practice guidelines of the American Association of Liver Disease recommends 800 IU/day aT for the treatment of biopsy-proven, nondiabetic NASH patients.¹⁵⁰ Furthermore, up to now, αT is the only therapeutic intervention that has been shown to improve transplant-free survival and reduce mortality in NASH patients.¹⁵¹

6.3 Green tea

Green tea (Camellia sinensis) is rich in flavonoids from the subclass flavan-3-ols (catechins), including epicatechin (EC), epigallocatechin (EGC), epicatechin gallate (ECG), and epigallocatechin gallate (EGCG).¹⁵² Green tea catechins are believed to be hepatoprotective through antioxidant, anti-inflammatory, and hypolipidemic properties.¹⁵³ Feeding ob/ob mice a diet containing green tea extract (1 or 2% wt:wt) dose dependently reduced hepatic triacylglycerols, serum ALT and AST, and bodyweight.¹⁵⁴ In mice fed a high-fat diet either alone or with 3.2 g/kg diet EGCG for 16 weeks, the EGCG group showed a significant reduction in bodyweight, ALT and liver steatosis. Animals treated with EGCG excreted more triacylglycerols with their feces, which may be a partial explanation for the observed benefit.¹⁵⁵ In mice with high-fat diet-induced fatty liver, injections of EGCG (10, 20, 40 mg/kg bodyweight/day, control saline) for 4 weeks while sustaining the high-fat diet showed a dose dependent reduction in hepatic triacylglycerols, liver enzymes, reduced hepatocyte ballooning and improved insulin sensitivity.¹⁵⁶ EGCG has beneficial effects both as a preventive and treatment strategy in mouse models of NAFLD.

Clinically, only one study has investigated green tea extracts in patients with biopsy-proven NASH. Patients (n = 38) receiving 600 mg green tea catechins for 6 months showed reduced BMI and improved insulin resistance, but no changes in ALT and AST compared to controls.¹⁵⁷ This trial is limited by the small sample size, lack of placebo or randomization and significant differences of studied parameters (ALT and AST) between treatment group and controls at baseline. Large-scale clinical trials on green tea extracts or isolated catechins are currently not pursued due to toxicological concerns. Although, in healthy men, high doses of green tea polyphenols (714 mg/day, including 50 mg EGCG for 3 weeks) did not affect safety parameters, including ALT and ALT.¹⁵⁸ Preclinical models, on the other hand, demonstrated hepatotoxicity^{159,160} and a retrospective analysis of high-dose green tea supplementation (>800 mg EGCG 12 months) showed increases of ALT and AST compared to placebo.¹⁶¹ The EFSA has currently not set a safe intake level for EGCG, but cautions that \geq 800 mg EGCG/day may be associated with significant increases in ALT.¹⁶² Although the preclinical models suggest a benefit, toxicological concerns need to be addressed first before continuing research on the benefit of green tea or components in the treatment of NAFLD.

Silymarin 6.4

Milk thistle (Silybum marianum) has been used as a medicinal plant for centuries with the first recorded description by the Greek physician and botanist Dioscsorides (40-90 BC).¹⁶³ In a survey of patients with chronic liver disease, milk thistle was the most commonly consumed dietary supplement.¹⁶⁴ Silymarin is a standardized extract of milk thistle seeds that consists of a mixture of flavonolignans, including silvchristin and silydianin, and two groups of diastereoisomeric flavonolignans, silybin (A and B) and isosilybin (A and B).¹⁶⁵

In mice fed a high-fat diet for 27 weeks, daily administration of silymarin by oral gavage (30 mg/kg bodyweight) for 4 weeks, while maintaining the high-fat diet, significantly reduced steatosis and histological severity compared to vehicle control. Changes were accompanied by a reduction of gene expression of acetyl-carboxylase-1 and fatty acid synthase involved in de novo lipogenesis, as well as superoxide dismutase 1 (SOD1) and catalase, which are upregulated under conditions of oxidative stress.¹⁶⁶

One model of NASH comprises feeding of a methioninecholine deficient diet, leading to hepatic steatosis and inflammation through a reduction of β -oxidation and very low-density lipoprotein (VLDL) synthesis.¹⁶⁷ Rats fed a control diet or a high-fat methionine-choline deficient diet with and without 400 mg/kg silybin-phospholipid complex for 7 or 14 weeks showed significant improvement of NASHrelated parameters. Compared to the control diet, the methionine-choline deficient diet significantly increased ALT and induced hepatic lobular inflammation (14 weeks), which were both reversed by the inclusion of silvbin in the diet. Animals receiving silvbin further had increased hepatic glutathione concentrations, reduced 4-hydroxynonenal and malondialdehyde protein adducts, and improved mitochondrial function, indicating reduced hepatic oxidative stress.¹⁶⁸ Taken together, silymarin may act as a hepatic antioxidant, decrease hepatic lipid accumulation, and reduce hepatic inflammation in animal models of NAFLD.

Clinically, 12 months supplementation with 600 mg/ day silymarin (n = 30) reduced fasting glucose and glycated hemoglobin (HbA1c) as well as circulating malondialdehyde in diabetic patients with liver cirrhosis compared to patients who did not receive silymarin (n = 30).¹⁶⁹ In multiple clinical trials, silvmarin was administered in combination with other compounds, for example, vitamin E,¹⁷⁰ which hampers the ability to asses potential benefits by silvmarin. A meta-analysis of five clinical trials with silymarin monotherapy (210-1120 mg/ day; 8-24 weeks) found a significant reduction of ALT.¹⁷¹ In contrast, high-dose silvmarin supplementation (700 mg three times per day, n = 49) for 48 weeks was well tolerated and significantly improved fibrosis but did not reduce NAS score, ALT, or AST in patients with biopsy-proven NASH compared to placebo (n = 50).¹⁷²

One of the challenges for silymarin as a treatment is the low bioavailability and extensive first-pass metabolism of its constituents, resulting in rapid excretion in bile and urine.¹⁷³ Strategies that successfully improved bioavailability are complexation with phospholipids or β -cyclodextrins.¹⁷³ The preclinical data for silymarin are promising and benefits seem to be mainly mediated through a reduction of oxidative stress and inflammation.

6.5 | Omega-3 fatty acids

Omega-3 fatty acid supplementation in NAFLD is believed to be beneficial through three mechanisms: (i) activation of peroxisome proliferator-activated receptors and hepatic β-oxidation of fatty acids; (ii) inhibition of sterol regulatory element-binding protein-1 (SREBP-1) and carbohydrate-responsive element-binding protein transcriptional activity and thereby de novo lipogenesis; and (iii) reduction of inflammation by inhibiting nuclear factor kappa-light-chain-enhancer of activated B cells (NF- κ B) and reduction of arachidonic acid-derived eicosanoids.⁷⁸

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In mice, feeding of DHA or EPA in a high-fat, highcholesterol diet improved hepatic triacylglycerol and ALT concentrations, with EPA having a stronger effect on triacylglycerols than DHA. EPA and DHA both suppressed high-fat diet-induced SREBP-1 mRNA expression and reduced the expression of downstream genes fatty acid synthase, stearoyl-coenzyme A desaturase and fatty acid elongase 6 (ElOVL6). EPA and DHA further reduced the expression of inflammatory markers TNF α , CD68 and monocyte chemoattractant protein-1 (MCP-1) induced by the high-fat diet. In summary, DHA and EPA seem to be able to suppress the synthesis of lipids and inflammation in the liver.

Children with NAFLD (n = 20), treated for 18 months with DHA (250 mg/day), showed a significant reduction of ALT and AST, steatosis, histological severity, a reduction in NF- κ B nuclear translocation, and a corresponding reduction of proinflammatory cytokines TNF α , IL-6 and IL-1 β , as well as an increased protein expression of free fatty acid receptor 4 (FFA4), though the study is limited by lack of controls.¹⁷⁴ In a randomized, placebo-controlled and blinded trial in NAFLD patients (n = 103), 15–18 months of EPA + DHA (4 g/day) supplementation did not improve liver fat or fibrosis.¹⁷⁵ Similarly, consuming a combination of ALA + EPA + DHA (0.315 g/day) for 6 months did not improve liver histology in NASH patients (n = 100) compared to placebo.¹⁷⁶

A meta-analysis of seven omega-3 fatty acid supplementation trials in NAFLD patients, with supplementation ranging from 0.83 to 6.4 g/day and treatment duration from 6 to 18 months, showed a significant reduction of ALT, decrease of circulating triacylglycerols and increase of HDL compared to placebo.¹⁷⁷ Although preclinical models and initial studies showed promising results, two large well-controlled studies did not report any benefit of omega-3 fatty acids in the treatment of NAFLD, whereas NAFLD-associated markers were improved according to one meta-analysis.

7 | ROLE OF BIOFACTORS IN NEURODEGENERATIVE DISEASES

7.1 | Pathophysiology and burden of neurodegenerative diseases

Population aging is a worldwide trend with dramatic consequences on public health and the incidence of neurodegenerative diseases, including Alzheimer's disease (AD). AD contributes greatly to the burden of disability experienced at old age. To date, more than 47 million people worldwide suffer from dementia and this number is estimated to triple by 2050, with dramatic personal, social, and economic consequences.¹⁷⁸ Decline of cognition and loss of synapses are well-documented concomitants of normal aging.¹⁷⁹ It has been postulated that AD results from multiple age-associated processes that gradually erode brain structure and function, making it vulnerable to degeneration. The vulnerable aged brain, with normal age-associated changes, may be affected by an additional precipitating event that transforms it from normal aging to AD, resulting in accelerated neuronal and synaptic loss and cognitive decline.¹⁷⁹

Interventions with approved drugs, if started early enough, may at best slow down the fatal pathophysiological alterations leading to the manifestation of clinical AD. Currently, there is no disease-curing treatment available.¹⁸⁰ New therapeutics that were in the focus of drug discovery programs failed in clinical trials so far.¹⁸¹⁻¹⁸⁷ Thus, there is increasing interest in strategies based on physical activity and nutrition to support healthy brain aging and to prevent AD.¹⁸⁸⁻¹⁹¹

While being no cure, sufficient intakes of certain micronutrients (such as B vitamins and vitamin D) and secondary plant metabolites may prevent or delay disease onset. B vitamins have also been implicated in vascular disease, which frequently co-occurs with or even precedes AD.¹⁹²

7.2 | (Poly)phenols

Phenolics and polyphenols are secondary plant metabolites characterized by the presence of one, respectively, two or more phenolic rings in their chemical structure and are summarized under the term (poly)phenol.¹¹ In the human diet, several hundreds of different (poly)phenolic compounds have been identified,¹⁹³ with flavonoids being the most abundant group among dietary (poly) phenols.⁹⁰

Experimental and epidemiological evidence indicates that a higher intake of (poly)phenols may reduce the risk for AD.^{194,195} In rodent models of aging and in human

trials, (poly)phenol-rich berry and grape products reduced age-related cognitive impairment and oxidative stress.^{196–198} Today, the most-accepted theory of how (poly)phenols and especially their metabolites¹⁹⁹ may exert their neuroprotective effects, is the induction of mild stress enabling neuronal cells to better deal with the stress they are exposed to. Thus, (poly)phenols are proposed to induce survival mechanisms similar to calorie restriction and physical activity, including the stimulation of longevity signaling, which involves sirtuins and mitochondrial biogenesis.^{200,201}

The Mediterranean diet, a (poly)phenol-rich dietary pattern, significantly reduces the risk of AD.²⁰²⁻²⁰⁶ An important component of the Mediterranean diet is the high consumption of extra virgin olive oil,²⁰⁷ that seems to improve cognitive function.^{208–210} Extra virgin olive oil a variety of (poly)phenols, contains including secoiridoids, phenolic alcohols, and lignans, as well as flavonoids that appear to have neuroprotective properties during aging.^{211–213} Hydroxytyrosol and oleuropein are two of the most important antioxidants in olives²¹⁴ that are neuroprotective.²¹⁵⁻²¹⁹ Olive (poly)phenols have therefore been proposed as promising agents to combat age-related neurodegeneration.²²⁰ Accordingly, the secoiridoid ligstroside was recently identified as a novel protective agent in models of early AD and brain aging.²²¹

Numerous preclinical studies have investigated the effects of plant extracts or isolated (poly)phenols in cellular and animal models of AD. For a comprehensive summary, the reader is referred to recently published reviews.^{194,222-224} Beside the well-documented large-scale clinical studies on the Ginkgo biloba extract Egb761,225 only a few randomized, placebo controlled trials have been published.^{226–233} The majority of (poly)phenol-rich plant extracts were tested in open-label trials.²³⁴⁻²³⁷ Moreover, most studies tested effects of (poly)phenol-rich extracts over a relative short time on only a small number of participants and the studied plant material was often not well characterized, with some exceptions such as human studies on Egb761R or Cistanche tubulosa glycosides (Memoregain^R).^{225,235} Only a few studies reported effects of single (poly)phenolic compounds, such as resveratrol^{238,239} or curcumin.^{230,240} Treatment with resveratrol in combination with piperine increased cerebral blood flow, but not cognitive function in one RCT.²³²

In summary, (poly)phenols are abundant in the human diet and experimental and epidemiological evidence suggests that their high intake may be beneficial in the prevention of AD. The leading theory of how (poly) phenols may exert their neuroprotective effect is the induction of mild stress enabling neuronal cells to better deal with the stress they are exposed to.

7.3 | B vitamins

In observational studies, hyperhomocysteinemia (plasma homocysteine concentrations >12 μ mol/L) and low concentrations of B vitamins (folate, vitamins B₆ and B₁₂) are associated with dementia.^{241–245} Nevertheless, homocysteine-lowering did not show any benefits in trials with dementia patients.²⁴⁶

The VITAmins TO Prevent Stroke (VITATOPS) trial is a double-blind, placebo-controlled trial with over 8000 patients with stroke or transient ischemic attack.²⁴⁷ Patients received either a placebo or B-vitamins (folic acid, 2 mg; vitamin B₆, 25 mg; vitamin B₁₂, 0.5 mg per day) for 3.4 years. The primary outcome was diagnosis of cognitive impairment after the first stroke event. Cognitive decline, mean homocysteine concentrations, and mini-mental state examination (MMSE) scores at final follow-up were recorded as secondary outcomes. Patients who received B-vitamins showed a reduction in mean homocysteine compared with the placebo group $(10.2 \ \mu mol/L \text{ vs. } 14.2 \ \mu mol/L; p < 0.001)$. No change was detected from baseline in the mean MMSE scores and no differences between vitamins and placebo in the incidence of cognitive impairment (5.51% vs. 5.47%; RR: 1.01 [95% CI: 0.69–1.48]) or cognitive decline (9.1% vs. 10.3%; RR: 0.89 [95% CI: 0.67-1.18]).

In the Newcastle 85+ study, red blood cell folate and homocysteine, but not plasma vitamin B₁₂, were associated with better global cognition in the very old at baseline, but were not predictive of the rate of decline over 5 years.²⁴⁸ High-dose B-vitamins (5 mg folate, and 50 mg vitamin B_6 , and 1 mg vitamin B_{12} vs. placebo) were associated with modest cognitive benefit in a study including kidney transplant recipients with hyperhomocysteinemia at baseline.²⁴⁹ Pooled mean homocysteine was higher among patients with AD compared with controls (difference 1.04 µmol/L [95% CI: 0.44-1.63]). However, confounding and reverse causality (dementia \rightarrow deficiency) are possible in all studies including patients with diagnosed dementia. RCT of supplemental folate and vitamins B₆ and B₁₂ and cognitive decline reported conflicting results. Two trials that found an improvement of cognitive function by lowering homocysteine have included subjects with elevated homocysteine.^{250,251} A meta-analysis suggests that vitamins B_6 (pyridoxal 5'phosphate), B₁₂ (cobalamin), and folate may not be modifiable risk factors for slowing cognitive decline among community-dwelling older individuals²⁵², which is supported by a RCT study concluding that vitamin B12 and folic acid supplementation did not reduce cognitive decline in older people with MCI and elevated serum homocysteine.²⁵³ However, the authors of a 6-year observational, retrospective study, concluded that the

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assessment of B vitamin status among elderly adults can contribute to an economic and practical approach to the prevention and management of cognitive decline.²⁵⁴ The heterogeneity of the results thus might be due to factors related to the study design, such as selection of the participants and comorbidities.

7.4 | Vitamin D

There are a number of key processes that support a role of vitamin D in brain functioning: (i) The enzyme 25-hydroxyvitamin D₃ 1- α -hydroxylase (cytochrome P₄₅₀ 27B1), which catalyzes the conversion of vitamin D from its immediate precursor 25(OH)D to its active form 1,25-dihydroxyvitamin D (1,25(OH)D or calcitriol), is present in neurons and glial cells in the brain in areas important for cognition, including the hippocampus and frontal cortex. (ii) Vitamin D receptors mediating the effects of calcitriol are co-localized with $1-\alpha$ -hydroxylase, suggesting that vitamin D may have important effects on these cerebral functions.²⁵⁵ (iii) Vitamin D also increases the concentrations of acetylcholine²⁵⁶ and hippocampal densities,²⁵⁷ decreases proinflammatory neuron cytokines,²⁵⁸ enhances neuroprotection²⁵⁹ and augments clearance of amyloid-beta,²⁶⁰ all of which are processes importantly implicated in age-related cognitive decline and dementia.

Vitamin D supplementation studies have demonstrated an improvement in cognition and markers of pathology in rodent models of AD^{261,262} and aging.²⁶³ For example, using a mouse model of AD, in which mice spontaneously develop amyloid plaques and associated memory impairment within the first 3-4 months of life, Yu and colleagues²⁶² randomized mice to one of three different diets for 5 months, starting immediately after weaning: control group, vitamin D-deficient, or vitamin D enriched. Mice fed the vitamin D-enriched diet had significantly fewer amyloid plaques and amyloid-beta peptides, lower levels of inflammation, and significantly increased expression of nerve growth factor. The vitamin D-supplemented mice outperformed the others on the Morris Water Maze task (which assesses spatial working and memory and is a test of hippocampal function) on all measures. In a second study, amyloid-treated rats were fed a normal diet, a vitamin D-deficient diet, or a vitamin D-supplemented diet and were compared to control rats.²⁶¹ Long-term potentiation, which is a generally accepted cellular model of learning and memory, was then assessed in the CA3-CA1 pathway of the hippocampus and the findings suggested that vitamin D may help enhance or restore synaptic plasticity. In a third study, middle-aged rats were fed a low, medium or high vitamin \perp WILEY_

D diet and 5 months later underwent testing of learning and memory in the Morris Water Maze.²⁶³ The high-dose group outperformed the other groups on maze reversal, a particularly challenging task that detects more subtle changes in memory and executive functioning. Longterm potentiation was also enhanced in this group and hippocampal gene expression microarrays identified upregulation of pathways pertaining to synaptic transmission, cell communication and G protein function. The findings provide support for a causal relationship between vitamin D and cognitive function and that vitamin D may improve the likelihood of successful brain aging.

Evidence from human observational studies has been mostly positive, but somewhat more mixed.²⁶⁴ Several cross-sectional studies have shown significantly lower vitamin D status in AD versus age-matched controls. A meta-analysis demonstrated that on average, individuals with AD had vitamin D concentrations that were 6-15 nmol/L lower²⁶⁵ and that lower vitamin D status was associated with worse global cognition.^{265,266} The cognitive domains most closely associated with vitamin D concentrations in cross-sectional analyses are executive function²⁶⁷⁻²⁶⁹ and visual (nonverbal) memory.^{270,271} For verbal memory, on the other hand, studies reported a mild positive association,²⁶⁸ no association,²⁶⁵ or even a negative association²⁷² with vitamin D concentrations. A meta-analysis²⁷³ combining five longitudinal studies (N = 19,000) demonstrated a significantly increased risk 1.54 (1.19-1.99) of developing dementia with baseline vitamin D concentrations <25 nmol/L. The odds of developing age-related cognitive decline was also increased, OR = 1.26(1.09-1.23) with lower concentrations of vitamin D at baseline in another meta-analysis.²⁶⁶ There have been few RCT, with most being limited by methodological issues (see references^{264,274} for further discussion). In an attempt to address many of these limitations, Pettersen randomized healthy adults (N = 82) to high dose (4000 IU) or low dose (400 IU) daily vitamin D3. After 18 weeks, visual (nonverbal) memory improved in the high-dose group, particularly among the subgroup of individuals with low vitamin D (<75 nmol/L) at baseline.274

Mendelian randomization studies have provided further support for a causal relationship between vitamin D status and cognition as well as risk for AD. For example, in a large genome-wide association study, the SUN-LIGHT Consortium found four single nucleotide polymorphisms (SNPs) to be strongly associated with vitamin D concentrations (i.e., their presence is associated with lower vitamin D) in a cohort of 33,996 persons and a significantly increased risk of developing AD.²⁷⁵ Similarly, in another large cohort, The International Genomics of Alzheimer's Project (n = 17,008 AD cases and 37,154 controls), all seven 25(OH)D-increasing alleles were inversely associated with AD.²⁷⁶ A combined score of all seven SNP showed that each standard deviationincrement in 25(OH)D concentrations (about 20-30 nmol/L) is associated with a 14% reduction in the risk of AD (p = 0.002). Using data from the Baltimore Study of Aging (n = 1207), Mendelian randomization analyses suggested a causal relationship between lower serum 25(OH)D and poorer executive functioning and psychomotor speed among aging adults.²⁷⁷ These studies, combined with the limited RCT and observational studies to date, suggest that lower or insufficient vitamin D status may be a contributor or even a causal factor to agerelated cognitive decline and dementia, while adequate status may be neuroprotective.

In summary, there is accumulating evidence that vitamin D status is related to successful aging and prevention of neurodegenerative conditions, such as age-related cognitive decline and dementia. Low serum 25(OH)D concentrations (i.e., <75 nmol/L) should be corrected; however, the optimal concentration to best mitigate risk of cognitive decline and dementia is not currently known. Well-designed long-term randomized trials investigating this question are required.

8 | ROLE OF BIOFACTORS IN DIABETES MELLITUS AND ITS COMPLICATIONS

8.1 | Pathophysiology and burden of diabetes mellitus and its complications

Diabetes mellitus is a chronic disease characterized by an absolute or relative insulin deficiency and among the major health challenges of the modern world that is growing rapidly. It is estimated that worldwide 463 million people were living with diabetes mellitus in 2019.²⁷⁸ Diabetes is accompanied by high mortality and morbidity due to macrovascular complications, such as stroke or myocardial infarction, and microvascular complications, such as neuropathy, nephropathy, and retinopathy.²⁷⁹

Diabetic neuropathy is one of the most frequent chronic complications of diabetes and presents with a high heterogeneity of symptoms, patterns of neurological involvement, progression, risk factors and underlying pathomechanisms. The typical and clinically most relevant form of diabetic neuropathy is a length-dependent distal-symmetrical sensorimotor polyneuropathy, which accounts for about 75% of all diabetic neuropathies.^{280,281} It is estimated that around 50% of patients with diabetes mellitus and a long duration of disease are affected by sensorimotor polyneuropathy.²⁸⁰ The treatment of sensorimotor polyneuropathy relies on three pillars: (i) improvement of glucose control and reduction of further risk factors, (ii) drug therapy aimed at alleviating symptoms, and (iii) treatment with the biofactors benfotiamine or α -lipoic acid to target the underlying pathological mechanisms. This chapter will focus on the latter pathogenic oriented treatment with biofactors that is supposed to not only to treat neural damages that occur with diabetic neuropathy but also to alleviate neuropathic symptoms.

8.2 | Benfotiamine (vitamin B₁-prodrug)

Thiamine, vitamin B₁, is essential for the integrity of cellular processes and high concentrations are found in skeletal muscle, heart, liver, kidney, and brain.²⁸² The active form of thiamine, thiamine diphosphate, is a cofactor for enzymes involved in the synthesis of neurotransmitters, antioxidants, and nucleic acids as well as for enzymes regulating glucose metabolism, such as pyruvate and dehydrogenases and transketolase.²⁸³ oxoglutarate Patients with type 1 and type 2 diabetes mellitus have significantly decreased plasma and serum thiamine concentrations in comparison to healthy controls.^{284,285} Such thiamine deficiency can reinforce hyperglycemic damage and its compensation is able to prevent the activation of pathomechanisms underlying the development of diabetic complications.^{286–288}

Benfotiamine is an S-acyl thiamine derivative with a significantly higher bioavailability than thiamine and is therefore often preferred in clinical settings.²⁸⁹ Benfotiamine has been used for decades in the treatment of diabetic polyneuropathy. Clinical studies are available investigating the effects of benfotiamine alone at different dosages, or in combination with pyridoxine hydrochloride and cyancobalamin on measures of sensorimotor polyneuropathy.^{290–293}

In a randomized, placebo-controlled, double-blind, two-center pilot study, the efficacy of 400 mg/day benfotiamine orally administered for 3 weeks was studied in 40 patients with type 1 or type 2 diabetes mellitus and diabetic polyneuropathy.²⁹¹ In the benfotiamine group, a significant improvement in the Katzenwadel neuropathy score occurred compared to placebo, while no significant change was observed for the tuning fork test. The most pronounced effect was a significant decrease in pain. No side effects attributable to benfotiamine were observed.²⁹¹

In line with these results, a phase III double-blind, placebo-controlled trial randomized 165 type 1 or type 2 diabetes mellitus patients with sensorimotor polyneuropathy to one of three treatments: benfotiamine 200 mg three times per day, benfotiamine 100 mg three times per day or placebo three times per day for 6 weeks.²⁹² The analysis was then performed in the intention-to-treat and per-protocol groups (133/124 patients, respectively). The primary outcome parameter Neuropathy Symptom Score improved significantly in the high benfotiamine-dose group compared to placebo (p = 0.033) in the per-protocol group. In the intention-totreat group, the improvement of Neuropathy Symptom Score was not significantly different (p = 0.055). Even though the Total Symptom Score improvement was more pronounced at the higher benfotiamine dose and increased with treatment duration, this difference was not significant after 6 weeks of treatment. Within the Total Symptom Score, best results were obtained for the symptom pain, whereas paresthesia remained nearly unchanged.292

As benfotiamine is a vitamin B_1 -derivative, its effects have also been studied as part of combination therapies with vitamins B_6 and B_{12} . Similar to the monotherapy, combinations of benfotiamine with B vitamins improved neuropathic symptoms and deficits as well as nerve conduction velocity in patients with diabetic neuropathy compared to placebo.^{290,292,294}

8.3 | α -Lipoic acid

α-Lipoic acid, discovered in 1951, is a molecule of animal and human origin that, due to a chiral center at carbon 6, exists in two enantiomeric forms: R(+)- and S(–)-α-lipoic acid. The R(+)-enantiomer is the naturally occurring and biologically active form and acts as a cofactor for mitochondrial enzymes and thus assists in acylgroup transfer and as a coenzyme in the Krebs cycle. The commercially available α-lipoic acid used in dietary supplements is a racemic mixture and also contains the biologically inactive S(-)-form.²⁹⁵ α-Lipoic acid is instable at low pH and sensitive to heat and light.²⁹⁶

 α -Lipoic acid is a powerful antioxidant, active on both the lipid and aqueous regions of cells.²⁹⁵ α -Lipoic acid exerts its strong antioxidant effects via direct mechanisms, that is, neutralizing reactive oxygen species and restoring diminished levels of endogenous antioxidants, as well as via indirect mechanisms by forming stable complexes with prooxidative divalent metal ions.²⁹⁷ Its bioavailability and good safety profile have been described in detail elsewhere.²⁹⁸ Hyperglycemia-induced overproduction of reactive oxygen species constitutes a major pathogenetic mechanism underlying the development of diabetic complications^{299,300} and increased concentrations of reactive oxygen species in diabetic patients \perp_{WILEY} Biofactors

prior to the development of nerve damage and to an even higher degree in patients with manifest polyneuropathy have been reported.³⁰¹

Clinical studies suggest that α -lipoic acid has an insulin-sensitizing effect in patients with type 2 diabetes mellitus.³⁰² Nevertheless, the main clinical indication for α -lipoic acid is the treatment of diabetic polyneuropathy. There are several RCT and meta-analyses of RCT showing positive effects of α -lipoic acid both given intravenously or orally.^{303–308}

A meta-analysis of four RCT with overall more than 1200 subjects provided evidence that intravenous treatment with α -lipoic acid (600 mg/day) over 3 weeks is safe and significantly improves both neuropathic symptoms and deficits.³⁰³ Oral treatment with α -lipoic acid was investigated in the SYDNEY 2 trial, where doses of 600, 1200, and 1800 mg α -lipoic acid once daily over 5 weeks of treatment (with 1-week placebo run-in) significantly improved neuropathic symptoms compared to placebo. In the group treated with 1200 mg/d, a significant improvement in neuropathic deficits occurred. The dose of 600 mg/day provided, in the opinion of authors, the optimum risk-to-benefit ratio.³⁰⁹ These findings were strengthened by a critical review of the evidence³¹⁰ and an expert opinion concluding that oral α -lipoic acid may improve neuropathic symptoms at a daily dose of 600 mg (number needed to treat, 2.7) over a 5-week treatment period. Higher doses of α -lipoic acid did not prove more effective (number needed to harm, 4.5 and 3 for 1200 and 1800 mg/day, respectively).311

The longest study performed in subjects with diabetic neuropathy was the NATHAN 1 trial. In this multicenter, randomized, double-blind parallel-group trial, 460 diabetic patients with mild-to-moderate diabetic polyneuropathy were randomly assigned to oral treatment with 600 mg α -lipoic acid once daily (n = 233) or placebo (n = 227) for 4 years. The treatment with α -lipoic acid did not alter the primary composite end point (Neuropathy Impairment Score-Lower Limbs and seven neurophysiologic tests) but resulted in a clinically meaningful improvement and prevention of progression of neuropathic impairments and was well tolerated.³¹²

A meta-analysis strengthened the conclusion that neuropathic symptoms can be significantly reduced by α -lipoic acid given either intravenously or orally. The analysis revealed that 600 mg α -lipoic acid given orally once daily had effects on symptoms of diabetic polyneuropathy identical to those of 600 mg/day given intravenously.³⁰⁴ The effectiveness of α -lipoic acid in the treatment of diabetic polyneuropathy was confirmed in four other meta-analyses of randomized controlled trials.^{305–308}

8.4 | Benfotiamine plus α-lipoic acid

The combined application of benfotiamine and α -lipoic acid was investigated in a small pilot trial in nine male type 1 diabetes mellitus patients, in which complicationcausing processes, such as the production of advanced glycation endproducts and hexosamine, were normalized.³¹³ In another trial, 120 patients with painful diabetic polyneuropathy were treated with either 300 mg/day benfotiamine, 600 mg/day α -lipoic acid or the combination thereof for 8 weeks. All three therapies were effective with a significant improvement, compared to baseline, of neuropathic deficits and neuropathic pain. The best results were obtained with the combined therapy. Discontinuation of the treatment during a 12-week follow-up period led to the loss of all improvements.³¹⁴ However, this trial was neither placebo-controlled nor blinded; therefore these results await and require confirmation by RCT.

In summary, α -lipoic acid is a strong antioxidant and the vitamin B₁ prodrug benfotiamine acts via the activation of the transketolase enzyme. Hence, both biofactors interfere with the pathogenesis of diabetic complications and can reduce neuropathic symptoms and deficits in patients with diabetic polyneuropathy.

9 | CONCLUSIONS

Since there is currently no generally accepted definition of the term biofactors, we here propose a definition whose adoption will enable a harmonization and consistent use of the term in the scientific literature.

The present demographic changes, resulting in a rise in the number of senior citizens, will simultaneously result in a large increase in the incidence and burden of age-related disorders. The intake of dietary biofactors can be a cost-effective strategy to prevent or, in some cases, even treat age-related diseases. Examples reviewed herein include the role of folate in cancer epigenetics, omega-3 fatty acids and dietary fiber for the prevention of CVD, α -tocopherol (vitamin E) for the treatment of biopsyproven non-alcoholic steatohepatitis, vitamin D for the prevention of neurodegenerative diseases, and thiamine and α -lipoic acid for the treatment of diabetic neuropathy. This list of potentially helpful biofactors in the prevention and treatment of age-related disorders, however, is not exhaustive and many more examples exist.

While ingesting biofactors from food sources is generally safe and unlikely to reach doses triggering potentially adverse effects, the additional use of food supplements may in certain cases bear the risk of exceeding the tolerable upper intake level. It is therefore recommended that an adequate supply of biofactors should be primarily achieved through intake from a balanced diet. However, in certain cases, such as NAFLD or diabetes mellitus, dietary intake may not suffice to achieve the healthbeneficial effects and therefore the consumption in the form of dietary supplements may be warranted.

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CONFLICT OF INTEREST

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ORCID

Jan Frank b https://orcid.org/0000-0002-7548-5829

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