

AperTO - Archivio Istituzionale Open Access dell'Università di Torino

## Manifolds polarized by vector bundles

**This is a pre print version of the following article:**

*Original Citation:*

*Availability:*

This version is available <http://hdl.handle.net/2318/1852302> since 2022-04-05T17:52:34Z

*Published version:*

DOI:10.1007/s10231-006-0005-2

*Terms of use:*

Open Access

Anyone can freely access the full text of works made available as "Open Access". Works made available under a Creative Commons license can be used according to the terms and conditions of said license. Use of all other works requires consent of the right holder (author or publisher) if not exempted from copyright protection by the applicable law.

(Article begins on next page)

# MANIFOLDS POLARIZED BY VECTOR BUNDLES.

MARCO ANDREATTA AND CARLA NOVELLI

ABSTRACT. Let  $X$  be a complex projective manifold of dimension  $n$  and let  $\mathcal{E}$  be an ample vector bundle of rank  $r$ . Let also  $\tau = \tau(X, \mathcal{E}) = \min\{t \in \mathbb{R} : K_X + t \det \mathcal{E} \text{ is nef}\}$  be the nef value of the pair  $(X, \mathcal{E})$ . In the paper we classify the pairs  $(X, \mathcal{E})$  such that  $\tau(X, \mathcal{E}) \geq \frac{n-2}{r}$ .

## 1. INTRODUCTION.

Let  $X$  be a smooth complex projective variety of dimension  $n$  and let  $\mathcal{E}$  be an ample vector bundle of rank  $r$  on  $X$ . We assume  $n \geq 3$ , the case of curves and surfaces being well known. The pair  $(X, \mathcal{E})$  is usually called a *polarized variety* (i.e.  $X$  is a variety with a polarization given by  $\mathcal{E}$ ); the name comes from the case  $r = 1$  and  $\mathcal{E}$  very ample, i.e.  $\mathcal{E}$  is a hyperplane in a given embedding of  $X$ .

We want to classify polarized varieties, or better find suitable assumptions under which it is possible to give a classification of the pairs  $(X, \mathcal{E})$ . For instance a famous theorem of S. Mori ([13]) says that if  $\mathcal{E} = TX$  then  $X$  is the projective space; and this is true even more generally when  $\mathcal{E}$  is just a subsheaf of the tangent bundle ([5]).

For this purpose, in the spirit of Mori theory, one can define the following numerical invariant:

$$\tau = \tau(X, \mathcal{E}) = \min\{t \in \mathbb{R} : K_X + t \det \mathcal{E} \text{ is nef}\}.$$

Assume first of all that  $\tau$  is a positive number; equivalently we are assuming that  $X$  is not minimal in the sense of the Minimal Model Program or of the Mori theory: i.e.  $K_X$  is not nef.

$\tau$  is called the *nef value* (or the threshold value) of the pair  $(X, \mathcal{E})$  and it has some very nice features which we recall now (for further details we refer to [11, Theorem 4.1.1]).

First of all, by the Kawamata's rationality theorem,  $\tau$  is a rational number. Moreover in the Mori-Kleiman cone  $\overline{NE}(X) \subset N_1(X)$  the divisor  $K_X + \tau \det \mathcal{E}$  defines a face  $F(\mathcal{E}) := \{C \in \overline{NE}(X) : (K_X + \tau \det \mathcal{E}).C = 0\}$  which stays in the polyhedral part of the cone,  $\overline{NE}(X)_{K_X < 0}$ , and which is therefore generated by a finite number of extremal rays  $R_i = \mathbb{R}[C_i]$  where  $C_i$  is a rational curve. Recall that the *length* of an extremal ray  $R \subset \overline{NE}(X)_{K_X < 0}$  is the integer defined as  $l(R) = \min\{-K_X.C : [C] \in R\}$ . By a theorem of Mori  $l(R) \leq n + 1$ .

Secondly, by the Kawamata-Shokurov base point free theorem, a high multiple of the divisor  $K_X + \tau \det \mathcal{E}$  is spanned by global sections and therefore it defines a map  $\varphi : X \rightarrow Z$  into a normal projective variety with connected fibers. The map

---

*Key words and phrases.* ample vector bundle – extremal rays – adjunction theory; 14J60, 14J40, 14E30.

$\varphi$  is called the *nef value morphism* (relative to  $(X, \mathcal{E})$ ). Note that by construction  $-K_X$  is  $\varphi$ -ample, therefore  $\varphi$  is a Fano-Mori contraction (see [4]) and it contracts all curves in  $F$ .

The program is then the following. Find suitable assumption on  $\tau(X, \mathcal{E})$  under which it is possible to describe the face  $F(\mathcal{E}) \subset N_1(X)$  and (or) the nef value morphism  $\varphi_{\mathcal{E}} : X \rightarrow Z$ . Subsequently, with the use of the Minimal Model Program, classify under this assumption the pairs  $(X, \mathcal{E})$ .

In this paper we successfully develop the above program for all  $\tau \geq \frac{n-2}{r}$ .

If  $r = 1$  the program has a classical start up, it was carried out in modern time by A.J. Sommese and T. Fujita and with different generalizations by many others including the first author; for a complete survey we refer the reader to [6].

If  $1 = \tau(\geq \frac{n-2}{r})$  the program was developed by many authors in the following series of papers: [16], [21], [9], [17], [1], [12] and [2].

Building on the above quoted papers, in [14] M. Ohno classified the pairs for  $\tau \geq \frac{n-1}{r}$  and  $\tau \geq 1$ . After the paper was written we found a preprint of Ohno, [15], where he also consider the case  $\frac{n-1}{r} > \tau \geq \frac{n-2}{r}$  and  $\tau \geq 1$ . Note that in our paper the assumption  $\tau \geq 1$  is not needed, the proofs are different and in general much shorter.

## 2. NOTATIONS, PRELIMINARIES AND A STARTING POINT.

We use the standard notation from algebraic geometry. In particular we use the language of the minimal model program and it is compatible with that of [11] to which we refer. We just recall the following two facts that we will use in the proofs. Let, as in the introduction,  $R \subset \overline{NE}(X)_{K_X < 0}$  be an extremal ray,  $l(R)$  its length and  $\varphi_R : X \rightarrow Z$  the Fano-Mori contraction which contracts all curves in  $R$ . Let then  $E = E(\varphi)$  be the exceptional locus of  $\varphi_R$  (if  $\varphi_R$  is of fiber type then  $E := X$ ); let  $S$  be an irreducible component of a (non trivial) fiber  $F$ .

**Proposition 2.1.** [19] *The following formula holds*

$$\dim S + \dim E \geq \dim X + l(R) - 1.$$

**Proposition 2.2.** [11, Proposition 5.1.6], [1, Proposition 1.4.1] *If  $\varphi_R$  is divisorial (i.e. it is birational with exceptional locus of dimension  $n - 1$ ) then the exceptional locus is a prime divisor.*

*If  $\varphi_R$  is of fiber type (i.e.  $\dim X > \dim Z$ ) and  $\dim Z \leq 2$  then it is equidimensional and  $Z$  is smooth.*

Our starting point will be the following result.

**Theorem 2.3.** *In the above notation let  $R = R_i$  for any extremal ray in the face  $F(\mathcal{E})$  and let  $C \subset X$  be any rational curve such that  $l(R) = -K_X \cdot C$  and  $[C] \in R$ . Then*

$$\tau(X, \mathcal{E}) \leq \frac{l(R)}{r} \left( \leq \frac{n+1}{r} \right).$$

Moreover

1) equality holds if and only if  $\det \mathcal{E} \cdot C = r$ , and if  $V$  is a family of rational curves (i.e. a closed irreducible component  $V \subset \text{Hom}(\mathbb{P}^1, X)$ ) which contains  $f : \mathbb{P}^1 \rightarrow C \subset X$  then it is unsplit (i.e. its image in Chow is proper).

2) If equality holds and  $X$  is rationally chain connected with respect to  $V$  (i.e. for all  $x_1, x_2 \in X$  there exists a chain of rational curves parametrized by morphisms from  $V$  which joins  $x_1$  and  $x_2$ ), which is equivalent to assume  $\rho(X) = 1$ , then there exists a (uniquely defined) line bundle  $L$  over  $X$  such that  $\deg f^*L = 1$  and  $\mathcal{E} \cong \oplus^r L$ .

*Proof.* Assume by contradiction that  $\tau(X, \mathcal{E}) > \frac{l(R)}{r}$ . Then

$$0 = (K_X + \tau \det \mathcal{E}).C > K_X.C + \frac{l(R)}{r} \det \mathcal{E}.C = K_X.C \left( 1 - \frac{\det \mathcal{E}.C}{r} \right).$$

This implies that  $\det \mathcal{E}.C < r$  which is a contradiction since  $\mathcal{E}$  is ample.

In the same way one proves that equality holds iff  $\det \mathcal{E}.C = r$ .

The rest of the theorem follows from [5, Proposition 1.2].  $\square$

*Remark 2.4.* The assumption that the base field is the complex number is used in the proof of 2). It would be nice to have a proof of it over an arbitrary algebraically closed field.

Note also that part 2) will be used to reduce the general case to the case  $r = 1$ .

### 3. CLASSIFICATION OF $(X, \mathcal{E})$ WITH $\tau(\mathcal{E}) \geq \frac{n-2}{r}$ .

**Proposition 3.1.** *If  $\frac{n+1}{r} \leq \tau$  then  $(X, \mathcal{E}) = (\mathbb{P}^n, \oplus^r \mathcal{O}_{\mathbb{P}^n}(1))$ .*

*Proof.* Now and in the rest of the paper we will let  $R$  be any ray in the face  $F(\mathcal{E}) := \{C \in \overline{NE}(X) : (K_X + \tau \det \mathcal{E}).C = 0\}$ . By theorem 2.3 we have that  $l(R) = n + 1$ . Then we have, by [7], that  $X = \mathbb{P}^n$  and, by theorem 2.3, that  $\mathcal{E} = \oplus^r L$  for a line bundle  $L$  over  $X$ . Therefore  $\tau(X, L) = n + 1$  and we reduce our proposition to the known case  $r = 1$ .  $\square$

**Proposition 3.2.** *Assume  $\frac{n}{r} \leq \tau < \frac{n+1}{r}$  and let  $a := \det \mathcal{E}.C - r$ . Then the pair  $(X, \mathcal{E})$  is one of the following.*

- 1)  $X = \mathbb{P}^n$ ,  $a \geq 1$  and  $an \leq r$ . If  $r \leq n$  then  $\mathcal{E}$  is either  $T\mathbb{P}^n$  or  $\mathcal{O}_{\mathbb{P}^n}(2) \oplus (\oplus^{(r-1)} \mathcal{O}_{\mathbb{P}^n}(1))$ .
- 2)  $X = \mathbb{Q}^n$  and  $\mathcal{E} = \oplus^r \mathcal{O}_{\mathbb{Q}^n}(1)$ .
- 3)  $X$  is a scroll over a smooth curve  $R$  (i.e.  $X$  is the projectivization of a rank  $n$  vector bundle on a smooth curve  $R$ ,  $\pi : \mathbb{P}(F) \rightarrow R$ , and  $\mathcal{E}|_F = \oplus^r \mathcal{O}_{\mathbb{P}^{n-1}}(1)$  for every fiber  $F$  of  $\pi$ ).

*Proof.* By theorem 2.3 we have that  $l(R) \geq n$ .

If  $l(R) = n + 1$  then by [7] we have that  $X = \mathbb{P}^n$ . Moreover  $\frac{n}{r} \leq \tau = \frac{-K_X.C}{\det \mathcal{E}.C} = \frac{n+1}{r+a} < \frac{n+1}{r}$  gives the bounds on  $a$ .

If  $r \leq n$  then  $a = 1, r = n$  and thus  $\tau = 1$  and the theorem follows from [17].

If  $l(R) = n$  and  $\rho(X) = 1$  by theorem 2.3 we have that  $\mathcal{E} = \oplus^r L$  for a line bundle  $L$  over  $X$  such that  $\deg f^*L = 1$ . Therefore  $\tau(X, L) = n$  and we reduce our proposition to the known case  $r = 1$ . This gives the case 2) of the proposition.

Let  $l(R) = n$  and  $\rho(X) > 1$ ; by propositions 2.1 and 2.2 the map  $\varphi_R : X \rightarrow Z$  is onto a smooth curve. If  $F$  is a general fiber the pair  $(F, \mathcal{E}|_F)$  is  $(\mathbb{P}^{n-1}, \oplus^r \mathcal{O}_{\mathbb{P}^{n-1}}(1))$  by proposition 3.1. Using the same argument as in section (3.3) of [9] we see that this is true for every fiber  $F$ .  $\square$

**Proposition 3.3.** *Assume  $\frac{n-1}{r} \leq \tau < \frac{n}{r}$  and let  $a := \det \mathcal{E}.C - r$ . Then the pair  $(X, \mathcal{E})$  is one of the following.*

a)  $\rho(X) = 1$  and

1)  $X = \mathbb{P}^n$ ,  $a$  is a positive integer and  $\frac{n-1}{2}a \leq r < na$ . In particular if  $r \leq n-1$  (for instance if  $\tau \geq 1$ ) then either  $a = 1, r \geq \frac{n-1}{2}$  and  $\mathcal{E} = \mathcal{O}_{\mathbb{P}^n}(2) \oplus (\oplus^{(r-1)} \mathcal{O}_{\mathbb{P}^n}(1))$  or  $a = 2, r = n-1, \tau = 1$  and the possible  $\mathcal{E}$  are described in [18].

2)  $X$  is a Fano manifold,  $-K_X.C = n$  for every minimal (rational) curve,  $a \geq 1$  and  $a(n-1) \leq r, \tau \leq \frac{1}{a}$ . In particular if  $r \leq n$  then  $X = \mathbb{Q}^n$  and  $\mathcal{E}$  is uniform with splitting type  $(2, 1, \dots, 1)$  (and, for  $r = n-1$ , it is described by [18] and [20]).

3) there exists an ample line bundle  $L$  over  $X$  such that  $-K_X = L^{\otimes(n-1)}$  (i.e.  $X$  is a del Pezzo manifold) and  $\mathcal{E} \cong L^{\oplus r}$ .

b)  $\rho(X) > 1$  and

4)  $X$  is a scroll over a smooth curve  $R$  (i.e.  $X$  is the projectivization of a rank  $n$  vector bundle on a smooth curve  $R, \pi : \mathbb{P}(F) \rightarrow R$ ),  $a \geq 1$  and  $a(n-1) \leq r$ . If  $r \leq (n-1)$  then for every fiber  $F$  of  $\pi$  the pair  $(F, \mathcal{E}|_F)$  is as in 1) of proposition 3.2.

5)  $X$  is a hyperquadric fibration over a smooth curve  $R$  (i.e.  $X$  is a section of a divisor of relative degree 2 in a  $(n+1)$ -dimensional scroll over  $R$ ) and for every smooth fiber  $F$  the pair  $(F, \mathcal{E}|_F)$  is as in 2) of proposition 3.2.

6)  $X$  is a  $\mathbb{P}^{n-2}$ -bundle over a smooth surface  $S$ , locally trivial in the complex topology, and  $\mathcal{E}|_F = \oplus^r \mathcal{O}_{\mathbb{P}^{n-2}}(1)$  for every fiber  $F$  of  $\pi$  (see also the following remark).

Or

7)  $X$  is the blow-up of  $\mathbb{P}^3$  in one point,  $\pi : Bl_x \mathbb{P}^3 \rightarrow \mathbb{P}^3$ , and  $\mathcal{E} = \oplus^r (\pi^*(\mathcal{O}_{\mathbb{P}^3}(2)) - [\pi^{-1}(x)])$  (this is actually a particular case of 6)).

8) there exist a smooth variety  $X'$  and a morphism  $\varphi : X \rightarrow X'$  expressing  $X$  as blow-up of  $X'$  at a finite set of points  $B$  and an ample vector bundle  $\mathcal{E}'$  on  $X'$  such that  $\mathcal{E} \otimes ([\varphi^{-1}(B)]) = \varphi^* \mathcal{E}'$  and  $K_{X'} + \tau \det \mathcal{E}'$  is ample.

Moreover  $\mathcal{E}|_E = \oplus^r \mathcal{O}_{\mathbb{P}^{n-1}}(1)$ , where  $E$  is any irreducible component of the exceptional locus of  $\varphi$ .

The pair  $(X', \mathcal{E}')$  is called the first reduction of  $(X, \mathcal{E})$ .

*Proof.* By theorem 2.3 we have that  $l(R) \geq n-1$ .

If  $l(R) = n+1$  then by [7] we have that  $X = \mathbb{P}^n$ . If  $a = 0$  we can apply theorem 2.3 and  $\mathcal{E} = \oplus^r \mathcal{O}_{\mathbb{P}^n}(1)$  which is a contradiction.

Since  $r+a = \det \mathcal{E}.C = \frac{l(R)}{\tau} = \frac{n+1}{\tau}$  we have that  $\frac{n-1}{2}a \leq r < na$ . In particular if  $r \leq n-1$  then  $a = 1, 2$ . If  $a = 1$  then  $r \geq \frac{n-1}{2}$  and  $\mathcal{E}$  is uniform with splitting type  $(2, 1, \dots, 1)$ , therefore  $\mathcal{E} = \mathcal{O}_{\mathbb{P}^n}(2) \oplus (\oplus^{(r-1)} \mathcal{O}_{\mathbb{P}^n}(1))$ . If  $a = 2$  then  $r = n-1, \tau = 1$  and the possible  $\mathcal{E}$  are described in [18].

If  $l(R) = n$  and  $\rho(X) = 1$  we can assume again by theorem 2.3 that  $a \geq 1$ . Moreover  $r+a = \frac{n}{\tau} \leq \frac{nr}{n-1}$  implies  $a(n-1) \leq r, \tau \leq \frac{1}{a}$ . If  $r \leq n$  then  $a = 1$  and therefore  $-K_X.C = n$  and  $\det \mathcal{E}.C = n$  or  $\det \mathcal{E}.C = n+1$ . In the first case  $\tau = 1, r = n-1$  and we conclude using [18] and [20]. In the second, since  $n$  and  $n+1$  are relatively prime, we can find an ample line bundle  $H$  such that  $H.C = 1$  and therefore such that  $\tau(X, H) = n$ . We can now apply the known case  $r = 1$ .

If  $l(R) = n-1$  and  $\rho(X) = 1$ , then we are in the assumption of theorem 2.3. Then  $\mathcal{E} \cong L^{\oplus r}$  for a line bundle  $L$  over  $X$  such that  $\deg f^*L = 1$  and we are in the case 3) of the proposition.

If  $l(R) = n$  and  $\rho(X) > 1$  then, as in the proof of proposition 3.2, it is straightforward to see that the map  $\varphi$  gives  $X$  the structure of a scroll over a smooth curve. The rest of point 4) follows from proposition 3.2 applied to the pair  $(F, \mathcal{E}|_F)$ .

Let  $l(R) = n - 1$  and  $\rho(X) > 1$ ; if  $\varphi_R : X \rightarrow Z$  is of fiber type then by propositions 2.1 and 2.2 it is onto either a smooth curve or a smooth surface. If  $F$  is a general fiber the pair  $(F, \mathcal{E}|_F)$  is  $(\mathbb{Q}^{n-1}, \oplus^r \mathcal{O}_{\mathbb{Q}^{n-1}}(1))$  by proposition 3.2 in the first case and  $(\mathbb{P}^{n-2}, \oplus^r \mathcal{O}_{\mathbb{P}^{n-2}}(1))$  by proposition 3.1 in the second. In the first case, using the same arguments as in section (3.3) of [9], we see that this is true for every fiber  $F$  and then that  $\varphi_R : X \rightarrow Z$  is a hyperquadric fibration. Also in the second case, using this time the argument in 2.2 of [1], one can see that this is true for every fiber  $F$  and then that  $\varphi_R : X \rightarrow Z$  is a  $\mathbb{P}^{n-2}$ -bundle, locally trivial in the complex topology.

We are therefore left with the case  $l(R) = n - 1$ ,  $\rho(X) > 1$  and  $\varphi_R : X \rightarrow Z$  birational (if the last assumption holds it is usually said that the ray  $R$  is not nef). Theorem 1.1 of [3] says that if  $\varphi_R : X \rightarrow Z$  then  $Z$  is smooth and  $\varphi_R$  is the blow-up of  $Z$  at a point. Moreover, if  $E$  denotes the exceptional locus of  $\varphi_R$ , by proposition 3.1  $(E, \mathcal{E}|_E) = (\mathbb{P}^{n-1}, \oplus^r \mathcal{O}_{\mathbb{P}^{n-1}}(1))$ .

Also the same proof as the one of lemma 4.2 in [3] proves that if a ray  $R$  of the face  $F(\mathcal{E}) := \{C \in \overline{NE(X)} : (K_X + \tau \det \mathcal{E}) \cdot C = 0\}$  with  $\tau \geq \frac{n-1}{r}$  is non nef then all rays in the face are non nef with the only exception given by the blow-up of  $\mathbb{P}^3$  in one point,  $\pi : Bl_x \mathbb{P}^3 \rightarrow \mathbb{P}^3$ , and  $\mathcal{E} = \oplus^r (\pi^*(\mathcal{O}_{\mathbb{P}^3}(2)) - [\pi^{-1}(x)])$ .

All this leads to the case 7) and to the last case of the proposition.  $\square$

*Remark 3.4.* In part 2) of the proposition  $X$  should be the quadric also if  $r > n$ .

*Remark 3.5.* In part 6) of the proposition  $X$  is not necessarily a scroll, as example 2.3 of [1] shows. The example in particular says also that the assumption  $\rho(X) = 1$  is necessary in part 2) of theorem 2.3.

**Proposition 3.6.** *Assume  $\frac{n-2}{r} \leq \tau < \frac{n-1}{r}$  and let  $a := \det \mathcal{E} \cdot C - r$ .*

*Then either the pair  $(X, \mathcal{E})$  is one of the following:*

- a)  $\rho(X) = 1$  and
  - 1)  $X = \mathbb{P}^n$ ,  $a$  is a positive integer and  $\frac{n-2}{3}a \leq r < \frac{n-1}{2}a$ . In particular if  $r \leq n - 2$  (for instance if  $\tau \geq 1$ ), then  $a = 1, 2, 3$  and  $\mathcal{E}$  is a decomposable bundle.
  - 2)  $X$  is a Fano manifold,  $-K_X \cdot C = n$  for every minimal rational curve,  $a$  is a positive integer and  $\frac{n-2}{2}a \leq r < (n-1)a$ .
  - 3)  $X$  is a Fano manifold,  $-K_X \cdot C = n - 1$  for every minimal rational curve,  $a$  is a positive integer and  $(n-2)a \leq r$ . If  $r \leq n - 2$  (for instance if  $\tau \geq 1$ ) then  $K_X + \det \mathcal{E} = 0$ .
  - 4) There exists an ample line bundle  $L$  over  $X$  such that  $-K_X = L^{\otimes(n-2)}$  (i.e.  $X$  is a Mukai manifold) and  $\mathcal{E} \cong \oplus^r L$ .
- b)  $\rho(X) > 1$  and
  - 5)  $X$  is a scroll over a smooth curve  $R$ ,  $\frac{n-2}{2}a \leq r < (n-1)a$  and for every fiber  $F$  the pair  $(F, \mathcal{E}|_F)$  is as in 1) of proposition 3.3. In particular if  $r \leq n - 2$  (for instance if  $\tau \geq 1$ ) then either  $\mathcal{E}|_F = \mathcal{O}_{\mathbb{P}^{n-1}}(2) \oplus (\oplus^{(r-1)} \mathcal{O}_{\mathbb{P}^{n-1}}(1))$  or  $r = n - 2$ ,  $\tau = 1$  and the possible  $\mathcal{E}|_F$  are described in [18].
  - 6)  $X$  is a Fano fibration over a smooth curve  $R$  and  $r \geq a(n-2)$ . In particular if  $r \leq n - 2$  (for instance if  $\tau \geq 1$ ) then for the general fiber  $F$  the pair  $(F, \mathcal{E}_F)$  is as in 2) of proposition 3.3.
  - 7)  $X$  is a fibration over a smooth curve  $R$ ; for the general fiber  $F$  we have  $\mathcal{E}|_F = \oplus^r L$ , where  $(n-2)L = -K_F$  (i.e.  $F$  is a del Pezzo manifold).

8)  $X$  is a  $\mathbb{P}^{n-2}$ -fibration over a smooth surface  $S$  and  $r \geq n - 2$ . In particular if  $r \leq n - 2$  (for instance if  $\tau \geq 1$ ) then  $X$  is a  $\mathbb{P}^{n-2}$ -bundle and for every fiber  $F$  either  $\mathcal{E}|_F = T\mathbb{P}^{n-2}$  or  $\mathcal{E}|_F = \mathcal{O}_{\mathbb{P}^{n-2}}(2) \oplus (\oplus^{(r-1)} \mathcal{O}_{\mathbb{P}^{n-2}}(1))$ .

9)  $X$  is a hyperquadric fibration over a smooth surface  $S$  and for the general fiber  $F$  the pair  $(F, \mathcal{E}|_F) = (\mathbb{Q}^{n-2}, \oplus^r \mathcal{O}_{\mathbb{Q}^{n-2}}(1))$ .

10)  $X$  is a fibration over a threefold  $T$  with at most isolated rational and Gorenstein singularities and for all fibers  $F$  over a smooth point the pair  $(F, \mathcal{E}|_F) = (\mathbb{P}^{n-3}, \oplus^r \mathcal{O}_{\mathbb{P}^{n-3}}(1))$ .

Or

11)  $f_R$  is the blow up of a smooth variety either in a point or along a smooth curve with exceptional locus  $E$ . In the first case if  $r \leq n - 2$  (for instance if  $\tau \geq 1$ ) then  $r = n - 2$  and  $\mathcal{E}|_E = \mathcal{O}_{\mathbb{P}^{n-1}}(2) \oplus (\oplus^{(r-1)} \mathcal{O}_{\mathbb{P}^{n-1}}(1))$ . In the second case,  $(F, \mathcal{E}|_F) = (\mathbb{P}^{n-2}, \oplus^r \mathcal{O}_{\mathbb{P}^{n-2}}(1))$  for all fibers  $F \subset E$ .

12)  $f_R$  is a divisorial contraction whose exceptional locus,  $E$ , satisfies one of the following:

i)  $(E, E_E; \mathcal{E}|_E) = (\mathbb{P}^{n-1}, \mathcal{O}_{\mathbb{P}^{n-1}}(-2); \oplus^r \mathcal{O}_{\mathbb{P}^{n-1}}(1))$ ;

ii)  $(E, E_E; \mathcal{E}|_E) = (\mathbb{Q}^{n-1}, \mathcal{O}_{\mathbb{Q}^{n-1}}(-1); \oplus^r \mathcal{O}_{\mathbb{Q}^{n-1}}(1))$ .

*Proof.* By theorem 2.3 we have that  $l(R) \geq n - 2$ .

If  $l(R) = n + 1$  then by [7] we have that  $X = \mathbb{P}^n$  and the rest is straightforward.

Assume first that  $\rho(X) = 1$ .

If  $l(R) = n$  then  $\tau = \frac{n}{r+a}$  and this implies  $\frac{n-2}{2}a \leq r < a(n-1)$ .

If  $l(R) = n - 1$  then  $\tau = \frac{n-1}{r+a}$  and this implies  $(n-2)a \leq r$  and  $a > 0$ . If  $r \leq (n-2)$  then  $a = 1$ ,  $r = n - 2$ ,  $\tau = 1$  and therefore  $K_X + \det \mathcal{E} = 0$ .

If  $l(R) = n - 2$  then we are in the assumption of theorem 2.3. In particular  $\mathcal{E} \cong L^{\oplus r}$  for a line bundle  $L$  over  $X$  such that  $\deg f^*L = 1$  and we are in the case 4) of the proposition.

Assume then that  $\rho(X) > 1$  and let  $\varphi := \varphi_R : X \rightarrow Z$  be the map associated to the ray  $R$ . Since  $\rho(X) > 1$  then  $\dim Z > 0$ .

If  $l(R) = n$  then, as in the proof of proposition 3.2, it is straightforward to see that the map  $\varphi$  gives  $X$  the structure of a scroll over a smooth curve and that the pair  $(F, \mathcal{E}|_F)$  is as in 1) of proposition 3.3.

Let  $l(R) = n - 1$  and assume  $\varphi$  is of fiber type; then by propositions 2.1 and 2.2 it is onto either a smooth curve or a smooth surface. In the first case if  $F$  is a general fiber the pair  $(F, \mathcal{E}|_F)$  is as in 2) of proposition 3.3. In the second case if  $F$  is a general fiber then  $F = \mathbb{P}^{n-2}$  and the pair  $(F, \mathcal{E}|_F)$  is as in 1) of proposition 3.2. In particular if  $r \leq n - 2$  then  $r = n - 2$  and  $\mathcal{E}|_F = T\mathbb{P}^{n-2}$  or  $\mathcal{O}_{\mathbb{P}^{n-2}}(2) \oplus (\oplus^{r-1} \mathcal{O}_{\mathbb{P}^{n-2}}(1))$ . Using this time the argument in 2.2 of [1], one can see that this is true for every fiber  $F$  and then that  $\varphi_R : X \rightarrow Z$  is a  $\mathbb{P}^{n-2}$ -bundle, locally trivial in the complex topology.

Let  $l(R) = n - 2$  and assume  $\varphi$  is of fiber type; then, by propositions 2.1 and 2.2,  $\varphi$  is onto either a smooth curve or a smooth surface or a threefold. If  $F$  is a general fiber the pair  $(F, \mathcal{E}|_F)$  is a del Pezzo manifold  $(F, L)$  with  $\mathcal{E}|_F = \oplus^r L$  by 3) of proposition 3.3 in the first case, and  $(\mathbb{Q}^{n-2}, \oplus^r \mathcal{O}_{\mathbb{Q}^{n-2}}(1))$  by 2) of proposition 3.2 in the second case. If  $\dim Z = 3$  then it is well known that  $Z$  has rational and Gorenstein singularities. Moreover in our case they are also isolated: to prove this take a general hyperplane section  $S$  in  $Z$  and consider the map  $\varphi|_{\varphi^{-1}(S)} : \varphi^{-1}(S) \rightarrow S$ . By proposition 1.3 of [1] this map is elementary and therefore, by proposition

2.2,  $S$  is smooth, thus  $Z$  has isolated singularities. For the general fiber  $F$  the pair  $(F, \mathcal{E}|_F)$  is  $(\mathbb{P}^{n-3}, \oplus^r \mathcal{O}_{\mathbb{P}^{n-3}}(1))$  by proposition 3.1. As in the proof of [2, Theorem 5.1], the same holds for all fibers  $F$  over smooth points.

We are left with the case  $l(R) = n - 1, n - 2, \rho(X) > 1$  and  $\varphi_R : X \rightarrow Z$  birational. In the first case Theorem 1.1 of [3] says that  $Z$  is smooth and  $\varphi_R$  is the blow-up of  $Z$  at a point. Moreover, if  $E$  denotes the exceptional locus of  $\varphi_R$ , by adjunction  $\det \mathcal{E}|_E = \mathcal{O}_{\mathbb{P}^{n-1}}(r + a)$ ; in particular, if  $r \leq n - 2$ , then  $r = n - 2$  and  $\mathcal{E}|_E = \mathcal{O}_{\mathbb{P}^{n-1}}(2) \oplus (\oplus^{(r-1)} \mathcal{O}_{\mathbb{P}^{n-1}}(1))$ .

In the second case Theorem 5.3 of [3] says that  $\varphi_R$  is divisorial and, if  $E$  denotes the exceptional locus of  $\varphi_R$ : either  $\varphi_R(E)$  is a point,  $(E, -E_E) = (\mathbb{P}^{n-1}, \mathcal{O}_{\mathbb{P}^{n-1}}(2))$ , by adjunction  $\det \mathcal{E}|_E = \mathcal{O}_{\mathbb{P}^{n-1}}(r)$ , therefore  $\mathcal{E}|_E = \oplus^r \mathcal{O}_{\mathbb{P}^{n-1}}(1)$  by theorem 2.3; or  $\varphi_R(E)$  is a point,  $(E, -E_E) = (\mathbb{Q}^{n-1}, \mathcal{O}_{\mathbb{Q}^{n-1}}(1))$ , where  $\mathbb{Q}^{n-1}$  is a possibly singular hyperquadric, by adjunction  $\det \mathcal{E}|_E = \mathcal{O}_{\mathbb{Q}^{n-1}}(r)$ , therefore  $\mathcal{E}|_E = \oplus^r \mathcal{O}_{\mathbb{Q}^{n-1}}(1)$  by theorem 2.3; or  $Z$  is smooth and  $\varphi_R$  is the blow-up along a smooth curve  $\varphi_R(E) \subset Z$ , and for all fibers  $F \subset E$  by adjunction  $\det \mathcal{E}|_F = \mathcal{O}_{\mathbb{P}^{n-2}}(r)$ , therefore  $(F, \mathcal{E}|_F) = (\mathbb{P}^{n-2}, \oplus^r \mathcal{O}_{\mathbb{P}^{n-2}}(1))$ .

*Remark 3.7.* Assume that  $K_X + \tau \det \mathcal{E}$  is big (and nef by the definition of  $\tau$ ). Then all rays in the face  $F$  defined by  $K_X + \tau \det \mathcal{E}$  are not nef. If  $\tau \geq \frac{n-2}{r}$  then they are described in proposition 3.3 7),8) and proposition 3.6 11),12). If moreover  $\dim X \geq 4$  the exceptional loci of the rays in the face  $F$  are disjoint and therefore the map  $\Phi$  associated to  $K_X + \tau \det \mathcal{E}$  contracts them to different points and disjoint curves. The last statement follows from Theorem 2.4 in [10]. This allows to define the second reduction of the pair  $(X, \mathcal{E})$  in the spirit of section 7. of [6].

□

## REFERENCES

- [1] M. ANDREATTA, E. BALLICO AND J.A. WIŚNIEWSKI, *Vector bundles and adjunction*, Internat. J. Math., **3**, (1992), 331–340.
- [2] M. ANDREATTA AND M. MELLA, *Contractions on a manifold polarized by an ample vector bundle*, Transactions of the A.M.S., **349**, (1997), 4669–4683.
- [3] M. ANDREATTA AND G. OCCHETTA, *Special rays in the Mori cone of a projective variety*, Nagoya Math. J., **168**, (2002), 127–137.
- [4] M. ANDREATTA AND J.A. WIŚNIEWSKI, *On contractions of smooth varieties*, J. Algebraic Geometry, **7**, (1998), 253–312.
- [5] M. ANDREATTA AND J.A. WIŚNIEWSKI, *On manifolds whose tangent bundle contains an ample subbundle*, Invent. Math., **146**, (2001), 209–217.
- [6] M.C. BELTRAMETTI AND A.J. SOMMESE, *The adjunction theory of complex projective varieties*, volume 16 of Exp. Math., de Gruyter, Berlin, 1995.
- [7] K. CHO, Y. MIYAOKA AND N.I. SHEPHERD BARRON, *Characterization of projective space and applications to complex symplectic manifolds*, Higher dimensional birational geometry, Kyoto, 1997, Adv. Stud. Pure Math., **35**, Math. Soc. Japan, Tokyo (2002), 1–88.
- [8] T. FUJITA, *On polarized manifolds whose adjoint bundles are not semipositive*, Algebraic Geometry, Sendai, 1985, Adv. Stud. Pure Math., **10**, North-Holland, Amsterdam (1987), 167–178.
- [9] T. FUJITA, *On adjoint bundle of ample vector bundles*, Proc. of the Conference in Alg. Geometry, Bayreuth (1990).
- [10] T. FUJITA, *On Kodaira energy and reduction of polarized manifolds*, Manuscripta Math., **76**, (1992) 59–84.
- [11] Y. KAWAMATA, K. MATSUDA AND K. MATSUKI, *Introduction to the Minimal Model Problem*, Algebraic Geometry, Sendai, 1985, Adv. Stud. Pure Math., **10**, North-Holland, Amsterdam (1987) 283–360.



- [12] H. MAEDA, *Nefness of adjoint bundles for ample vector bundles*, Le Matematiche (Catania), **50**, (1995) 73–82.
- [13] S. MORI, *Projective manifolds with ample tangent bundle*, Ann. of Math. **110**, (1979) 593–606.
- [14] M. OHNO, *On nef values of determinants of ample vector bundles*, Free resolutions of coordinate rings of projective varieties and related topics, Kyoto, 1998, Surikaiseikikenkyusho Kokyuroku **1078**, (1999) 75–85.
- [15] M. OHNO, *Classification of generalized polarized manifolds by their nef values*, e-print ArXiv Math. AG/0503119.
- [16] T. PETERNELL, *A characterization of  $\mathbb{P}^n$  by vector bundles*, Math. Z., **205**, (1990) 487–490.
- [17] T. PETERNELL, *Ample vector bundles on Fano manifolds*, Internat. J. Math., **2**, (1991) 311–322.
- [18] T. PETERNELL, M. SZUREK AND J.A. WIŚNIEWSKI, *Fano manifolds and vector bundles*, Math. Ann., **294**, (1992) 151–165.
- [19] J.A. WIŚNIEWSKI, *On contractions of extremal rays of Fano manifolds*, J. Reine Angew. Math., **417**, (1991) 141–157.
- [20] J.A. WIŚNIEWSKI, *A report on Fano manifolds of middle index and  $b_2 \geq 2$* , Projective geometry with applications, 19–26, Lecture Notes in Pure and Appl. Math., **166**, Dekker, New York, 1994.
- [21] Y.G. YE AND Q. ZHANG, *On ample vector bundle whose adjunction bundles are not numerically effective*, Duke Math. Journal, **60**, (1990) 671–687.

MARCO ANDREATTA DIPARTIMENTO DI MATEMATICA, UNIVERSITÀ DEGLI STUDI DI TRENTO, VIA SOMMARIVE, 14, I-38050 POVO (TN), ITALY, E-MAIL: ANDREATT@SCIENCE.UNITN.IT, FAX: +39 0461 881624, AND CARLA NOVELLI DIPARTIMENTO DI MATEMATICA, UNIVERSITÀ DEGLI STUDI DI TRENTO, VIA SOMMARIVE, 14, I-38050 POVO (TN), ITALY, E-MAIL: NOVELLI@SCIENCE.UNITN.IT, FAX: +39 0461 881624,