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# FANO VARIETIES WITH SMALL NON-KLT LOCUS

MAURO C. BELTRAMETTI, ANDREAS HÖRING, AND CARLA NOVELLI

**ABSTRACT.** Let  $X$  be a Fano variety of index  $k$ . Suppose that the non-klt locus  $\text{Nklt}(X)$  is not empty. We prove that  $\dim \text{Nklt}(X) \geq k - 1$  and equality holds if and only if  $\text{Nklt}(X)$  is a linear  $\mathbb{P}^{k-1}$ . In this case  $X$  has lc singularities and is a generalised cone with  $\text{Nklt}(X)$  as vertex.

If  $X$  has lc singularities and  $\dim \text{Nklt}(X) = k$  we describe the non-klt locus  $\text{Nklt}(X)$  and the global geometry of  $X$ . Moreover, we construct examples to show that all the classification results are effective.

## 1. INTRODUCTION

Let  $X$  be a Fano variety, i.e.  $X$  is a normal projective complex variety such that the anticanonical divisor  $-K_X$  is Cartier and ample. While Fano varieties with mild singularities (terminal or canonical) have been studied by many authors, the goal of this paper is to study Fano varieties whose non-klt locus  $\text{Nklt}(X)$  is not empty. A cone over a smooth variety  $Y$  with trivial anticanonical divisor provides a simple example of such a variety. Using methods from the minimal model program, Ishii [Ish91, Ish94] characterised cones as the only Fano varieties having a *finite* non-klt locus:

**1.1. Theorem.** [Ish91] *Let  $X$  be a Fano variety of dimension  $n$  such that  $\text{Nklt}(X)$  is not empty and finite. Then  $X$  is a cone over a variety  $Y$  of dimension  $n - 1$  such that  $Y$  has canonical singularities and  $K_Y$  is trivial.*

Recall now that the index of a Fano variety  $X$  is defined as

$$\sup\{m \in \mathbb{N} \mid \exists H \in \text{Pic}(X) \text{ s.t. } -K_X \simeq mH\}.$$

Making stronger assumptions on the singularities of  $X$ , the first-named author and Sommese established a basic relation between the index and the dimension of the non-klt locus:

**1.2. Theorem.** [BS87] *Let  $X$  be a Fano variety of index  $k$  that is Cohen–Macaulay and such that  $-K_X \simeq kH$ , with  $H$  a very ample Cartier divisor on  $X$ . Suppose that the non-klt locus  $\text{Nklt}(X)$  is not empty. Then we have*

$$\dim \text{Nklt}(X) \geq k - 1,$$

*and equality holds if and only if  $\text{Nklt}(X)$  is a linear  $\mathbb{P}^{k-1}$  and  $X$  is a generalised cone with  $\text{Nklt}(X)$  as vertex.*

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Unfortunately the Cohen–Macaulay condition excludes an important number of examples: cones over Calabi–Yau manifolds are Cohen–Macaulay, but a cone over an abelian variety is not. Our first result generalises Theorem 1.1 and Theorem 1.2:

**1.3. Theorem.** *Let  $X$  be a Fano variety of index  $k$  and such that  $-K_X \simeq kH$ , with  $H$  a Cartier divisor on  $X$ . Suppose that the non-klt locus  $\text{Nklt}(X)$  is not empty. Then we have*

$$\dim \text{Nklt}(X) \geq k - 1,$$

*and equality holds if and only if  $(\text{Nklt}(X), \mathcal{O}_{\text{Nklt}(X)}(H)) \cong (\mathbb{P}^{k-1}, \mathcal{O}_{\mathbb{P}^{k-1}}(1))$ . In this case  $X$  has lc singularities and is a generalised cone with  $\text{Nklt}(X)$  as vertex.*

A remarkable feature that is common to the Theorems 1.1, 1.2 and 1.3 is that the property  $\dim \text{Nklt}(X) = k - 1$  implies that the singularities of  $X$  are log-canonical. If  $\dim \text{Nklt}(X) = k$  this is no longer the case (cf. Example 2.2), so for the rest of the paper we will make the additional assumption that  $X$  is a Fano variety with lc singularities. Since we assume  $K_X$  to be Cartier the non-klt locus coincides with the locus of irrational singularities [KM98, Cor.5.24], so we can study  $\text{Nklt}(X)$  both in terms of discrepancies and using cohomological methods. Note also that Theorem 1.3 naturally separates into a local part, i.e. the description of the non-klt locus  $\text{Nklt}(X)$ , and a global part, i.e. the description of the geometry of  $X$ . For the local part we use a subadjunction argument to describe the low-dimensional lc centres:

**1.4. Theorem.** *Let  $X$  be a Fano variety of index  $k$  with lc singularities and such that  $-K_X \simeq kH$ , with  $H$  a Cartier divisor on  $X$ . If  $\dim \text{Nklt}(X) = k$  we have*

$$(\text{Nklt}(X), \mathcal{O}_{\text{Nklt}(X)}(H)) \cong (\mathbb{P}^k, \mathcal{O}_{\mathbb{P}^k}(1))$$

*or*

$$(\text{Nklt}(X), \mathcal{O}_{\text{Nklt}(X)}(H)) \cong (Q^k, \mathcal{O}_{Q^k}(1)),$$

*where  $Q^k \subset \mathbb{P}^{k+1}$  is a (possibly reducible) hyperquadric.*

This local result gives some interesting global information:

**1.5. Corollary.** *Let  $X$  be a Fano variety of index  $k$  with lc singularities and such that  $\dim \text{Nklt}(X) = k$ . Then  $X$  is rationally chain-connected.*

Indeed by a result of Broustet and Pacienza [BP11, Thm.1.2] the variety  $X$  is rationally chain-connected modulo the non-klt locus. Since  $\text{Nklt}(X)$  is rationally chain-connected the statement follows.

We continue the study of the case  $\dim \text{Nklt}(X) = k$  by considering a terminal modification  $X' \rightarrow X$  (cf. Definition 1.10). If  $\text{Nklt}(X)$  is a linear  $\mathbb{P}^1$  the variety  $X'$  can be rationally connected and have a very rich birational geometry, cf. [Ish91, Ish94]. If  $\text{Nklt}(X)$  is a conic the variety  $X'$  is never rationally connected and we obtain a precise classification:

**1.6. Theorem.** *Let  $X$  be a Fano variety (of index 1) and dimension  $n \geq 3$  with lc singularities. Suppose that  $\text{Nklt}(X)$  is a curve and  $-K_X \cdot \text{Nklt}(X) = 2$ . Let  $\mu : X' \rightarrow X$  be a terminal modification. Then the base of the MRC-fibration  $X' \dashrightarrow Z$  has dimension  $n - 2$  and the general fibre  $F$  polarised by  $\mathcal{O}_F(-\mu^* K_X)$  is a linear  $\mathbb{P}^2$ , a quadric, a Veronese surface or a ruled surface.*

In fact we know much more. The base  $Z$  has an effective canonical divisor, moreover we can construct birational models of  $X$  which have a very simple fibre space structure:

**1.7. Proposition.** *Let  $X$  be a Fano variety of dimension  $n$  and index  $k$  with lc singularities such that  $\dim \text{Nklt}(X) = k$ . Suppose that the base of the MRC-fibration  $X' \dashrightarrow Z$  has dimension  $n - k - 1$ . Then there exists a normal projective variety  $\Gamma$  admitting a birational morphism  $p: \Gamma \rightarrow X$  and an equidimensional fibration  $q: \Gamma \rightarrow \mathcal{H}$  onto a projective manifold  $\mathcal{H}$  such that one of the following holds:*

- a) *the fibration  $q$  is a projective bundle;*
- b) *the fibration  $q$  is a quadric fibration;*
- c) *the general  $q$ -fibre is a Veronese surface.*

In Section 6 we show that all the classification results in this paper are effective, i.e. there exist examples realising all the cases in Theorem 1.6 and Proposition 1.7. The condition  $\dim Z = n - k - 1$  may seem rather ad-hoc, but we prove that it is satisfied if  $\text{Nklt}(X)$  is a quadric and  $k = 1$  or  $k \geq n - 3$  (cf. Proposition 5.4). Actually we prove that if  $X$  admits a ladder (in the sense of Fujita, cf. Definition 5.2), then  $H^{n-k-1}(X', \mathcal{O}_{X'}) \neq 0$ . We expect that any Fano variety with lc singularities admits a ladder, but this depends on the difficult non-vanishing conjecture [Kaw00, Conj.2.1].

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### Notation

We work over the complex numbers, topological notions always refer to the Zariski topology. For general definitions we refer to [Har77]. The dimension of an algebraic variety is defined as the maximum of the dimension of its irreducible components.

On a normal variety we will denote by  $\simeq$  the linear equivalence of Cartier divisors, while  $\sim_{\mathbb{Q}}$  (resp.  $\equiv$ ) will be used for the  $\mathbb{Q}$ -linear (resp. numerical) equivalence of  $\mathbb{Q}$ -Cartier  $\mathbb{Q}$ -divisors.

We will frequently use the terminology and results of the minimal model program (MMP) as explained in [KM98, Deb01, Kol13]. In particular klt stands for “Kawamata log terminal”, dlt for “divisorial log terminal”, plt for “purely log terminal”, and lc for “log canonical” singularities.

**1.8. Definition.** *Let  $X$  be a normal variety. The canonical modification of  $X$  is the unique projective birational morphism  $\mu: X' \rightarrow X$  from a normal variety  $X'$  with canonical singularities such that  $K_{X'}$  is  $\mu$ -ample.*

**1.9. Remarks.** The existence of the canonical modification is a consequence of [BCHM10], cf. the forthcoming book [Kol13]. If  $K_X$  is  $\mathbb{Q}$ -Cartier we have

$$K_{X'} \sim_{\mathbb{Q}} \mu^* K_X - E,$$

where  $E$  is an effective  $\mathbb{Q}$ -divisor whose support is the exceptional locus of  $\mu$ .

Let us recall that a normal variety is Gorenstein if it is Cohen–Macaulay and the canonical divisor is Cartier. Since canonical singularities are Cohen–Macaulay the

first condition is empty for  $X'$ , so the Gorenstein locus of  $X'$  is the open subset where  $K_{X'}$  is Cartier.

An important advantage of canonical models is their uniqueness, but their singularities can be rather complicated. One can improve the singularities by losing uniqueness:

**1.10. Definition.** [Kol13] *Let  $X$  be a normal variety. A terminal modification of  $X$  is a projective birational morphism  $\mu: X' \rightarrow X$  from a normal variety  $X'$  with terminal singularities such that  $K_{X'}$  is  $\mu$ -nef.*

We will use the standard definitions and results of adjunction theory from [Fuj90, BS95], except the following generalised version of the nefvalue of a Mori contraction:

**1.11. Definition.** *Let  $X$  be a normal quasi-projective variety with lc singularities, and let  $H$  be a nef and big Cartier divisor on  $X$ . Let  $\varphi: X \rightarrow Y$  be the contraction of a  $K_X$ -negative extremal ray  $R$  such that  $H \cdot R > 0$ . The nefvalue  $r := r(\varphi, H)$  is the positive number such that  $(K_X + rH) \cdot R = 0$ .*

Let us recall a well-known consequence of the cone theorem:

**1.12. Lemma.** *Let  $X$  be a normal projective variety with klt singularities such that*

$$-K_X \sim_{\mathbb{Q}} N + E,$$

*where  $N$  is a nef  $\mathbb{Q}$ -Cartier  $\mathbb{Q}$ -divisor and  $E$  is a non-zero effective  $\mathbb{Q}$ -divisor. Suppose also that for every curve  $C \subset X$  such that  $N \cdot C = 0$  we have  $K_X \cdot C \geq 0$ . Then there exists a  $K_X$ -negative extremal ray  $R$  such that  $E \cdot R > 0$  and  $N \cdot R > 0$ .*

*Proof.* Arguing by contradiction we suppose that  $-E \cdot R \geq 0$  for all  $K_X$ -negative extremal rays. If  $C \subset X$  is a curve such that  $K_X \cdot C \geq 0$ , then

$$-E \cdot C = K_X \cdot C + N \cdot C \geq 0.$$

By the cone theorem this implies that the antieffective divisor  $-E$  is nef, a contradiction. The property  $N \cdot R > 0$  is trivial since  $N$  is positive on all  $K_X$ -negative curves.  $\square$

## 2. PROOF OF THEOREM 1.3

Before we can prove Theorem 1.3 we need a technical lemma which replaces an inaccurate statement<sup>1</sup> in [And95, Thm.2.1(II,i)].

**2.1. Lemma.** *Let  $X$  be a normal quasi-projective variety with canonical singularities, and let  $H$  be a nef and big Cartier divisor on  $X$ . Let  $\varphi: X \rightarrow Y$  be a birational projective morphism with connected fibres onto a normal variety  $Y$  such that  $H$  is  $\varphi$ -ample and  $K_X + rH$  is  $\varphi$ -numerically trivial for some  $r > 0$ . Fix a point  $y \in Y$  and suppose that all the irreducible components of  $\varphi^{-1}(y)$  have dimension at most  $\lfloor r \rfloor$ . Suppose that there exists an irreducible component  $F \subset \varphi^{-1}(y)$  that meets the Gorenstein locus of  $X$ . Then we have  $\lfloor r \rfloor = r$ .*

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<sup>1</sup>The statement is  $\dim F \geq r$ , but the proof only yields  $\dim F \geq \lfloor r \rfloor$ .

*Proof.* The statement is local on the base, so we can assume that  $Y$  is affine. In particular every  $\varphi$ -generated line bundle is globally generated.

By [AW93, Thm.,p.740] we know that  $H$  is  $\varphi$ -globally generated, moreover by [And95, Thm.2.1(II,ii)] all the irreducible components of  $\varphi^{-1}(y)$  are isomorphic to  $\mathbb{P}^{\lfloor r \rfloor}$  and  $H|_F$  is a hyperplane divisor.

We will proceed by induction on  $\lfloor r \rfloor$ . For the case  $\lfloor r \rfloor = 1$  note that by [Ish91, Lemma 1] we have  $K_X \cdot F \geq -1$ . Since  $H \cdot F = 1$  we obtain that  $r \leq 1$ . For the induction step choose  $X' \in |H|$  a general divisor, and consider the induced birational morphism  $\varphi|_{X'}: X' \rightarrow \varphi(X')$ . Since  $H$  is  $\varphi$ -globally generated the variety  $X'$  is normal with canonical singularities, moreover the fibre  $\varphi|_{X'}^{-1}(y)$  has pure dimension  $\lfloor r \rfloor - 1$  and  $F \cap X'$  meets the Gorenstein locus of  $X'$ . By adjunction we know that  $K_{X'} + (r - 1)H|_{X'}$  is  $\varphi|_{X'}$ -numerically trivial, so by the induction hypothesis we have  $\lfloor r - 1 \rfloor = r - 1$ .  $\square$

*Proof of Theorem 1.3.* Let  $\mu: X' \rightarrow X$  be the canonical modification of  $X$  (cf. Definition 1.8). Then we have

$$K_{X'} = \mu^* K_X + \sum_{E_i \text{ } \mu\text{-exc.}} a_i E_i,$$

and  $a_i \leq -1$  for all  $i$ . Set  $E := -\sum_{E_i \text{ } \mu\text{-exc.}} a_i E_i$ , then  $E$  is an effective divisor mapping onto  $\text{Nklt}(X)$ . Since  $K_X$  is Cartier, the non-Gorenstein locus of  $X'$  is contained in  $E$ .

By Lemma 1.12 there exists a  $K_{X'}$ -negative extremal ray  $R$  on  $X'$  such that  $E \cdot R > 0$  and  $\mu^* H \cdot R > 0$ . Let  $\varphi: X' \rightarrow Y$  be the corresponding Mori contraction, and let  $F$  be an irreducible component of a positive-dimensional  $\varphi$ -fibre. Since  $E$  is  $\varphi$ -ample, the intersection  $E \cap F$  is non-trivial. Since  $E$  is  $\mathbb{Q}$ -Cartier we have

$$\dim(F \cap E) \geq \dim F - 1,$$

and equality holds if and only if  $F \not\subset E$ . Since  $K_X$  is  $\mu$ -ample and  $\varphi$ -antiample the morphism

$$(F \cap E) \rightarrow \mu(F \cap E)$$

is finite, thus we have

$$(1) \quad \dim \text{Nklt}(X) = \dim \mu(E) \geq \dim \mu(F \cap E) = \dim(F \cap E) \geq \dim F - 1,$$

and equality holds if and only if  $\dim F = \dim \text{Nklt}(X) + 1$  and  $F \not\subset E$ .

Since  $-K_{X'} \sim_{\mathbb{Q}} k\mu^* H + E$  we see that the nefvalue  $r := r(\varphi, H)$  (cf. Definition 1.11) is strictly larger than  $k$ . By [And95, Thm.2.1(I,i)] this implies that  $\dim F \geq r - 1 > k - 1$ . By (1) we get  $\dim \text{Nklt}(X) > k - 2$ . Since  $\dim \text{Nklt}(X)$  is an integer the inequality in the statement follows.

Suppose now that we are in the boundary case  $\dim \text{Nklt}(X) = k - 1$ . Arguing by contradiction we suppose that the extremal contraction  $\varphi$  is birational. By [And95, Thm.2.1(II,i)] we then have  $\dim F \geq \lfloor r \rfloor \geq k$ . Thus equality holds in (1) and the variety  $F$  is not contained in  $E$ . Therefore we satisfy the conditions of Lemma 2.1 and get  $\lfloor r \rfloor = r$ , so  $r = k$  by (1). However by construction we have  $r > k$ , a contradiction.

Thus the contraction  $\varphi$  is of fibre type; moreover all the irreducible components of each  $\varphi$ -fibre have dimension exactly  $k$ . By [And95, Thm.2.1(I,ii)] this implies

that  $X' \rightarrow Y$  is a  $\mathbb{P}^k$ -bundle. Let now  $F \cong \mathbb{P}^k$  be a general  $\varphi$ -fibre. Then  $F$  is contained in the smooth locus of  $X'$ , in particular all the divisors  $E_i$  are Cartier in a neighborhood of  $F$ . By adjunction we see that

$$\mathcal{O}_F(\sum a_i E_i) \simeq \mathcal{O}_{\mathbb{P}^k}(-1).$$

Since the left hand side is a sum of antieffective Cartier divisors, we see that (up to renumbering) we have  $a_1 = -1$  and  $E_1 \cap F$  is a hyperplane. Moreover we have  $E_i \cap F = \emptyset$  for all  $i \geq 2$ . In particular for every  $i \geq 2$  we have  $E_i \simeq \varphi^* D_i$  with  $D_i$  a Weil divisor on  $Y$ . However if we take  $y \in D_i$  a point, then  $\varphi^{-1}(y) \subset E_i$  and  $-K_{X'}|_F$  is ample, so  $F \rightarrow \mu(F) \subset \mu(E_i)$  is finite. Since we assumed that  $\dim \text{Nklt}(X) = \dim \mu(E) = k - 1$  this yields  $E_i = 0$  for all  $i \geq 2$ . Thus we have

$$K_{X'} = \mu^* K_X - E_1.$$

One easily sees that the pair  $(X', E_1)$  is lc, so  $X$  has lc singularities. The generalised cone structure is given by the maps  $\mu$  and  $\varphi$ .  $\square$

**2.2. Example.** Let  $Y \subset \mathbb{P}^3$  be a cone over a smooth quartic curve in  $\mathbb{P}^2$ , then  $K_Y$  is trivial and the vertex is the unique irrational point on  $Y$ . Clearly  $Y$  does not have lc singularities, so if  $X$  is the cone over  $Y$ , then  $X$  is a Fano variety of index one such that  $\text{Nklt}(X)$  has dimension one and  $X$  is not lc.

### 3. DESCRIPTION OF THE NON-KLT LOCUS

Let  $X$  be a normal quasi-projective variety, and let  $\Delta$  be an effective boundary divisor on  $X$  such that  $K_X + \Delta$  is  $\mathbb{Q}$ -Cartier and the pair  $(X, \Delta)$  is lc. Let  $\text{Nklt}(X, \Delta)$  be the non-klt locus. Recall that a subvariety  $W \subset X$  is an lc centre of the pair  $(X, \Delta)$  if there exists a birational morphism  $\mu: X' \rightarrow X$  and an effective divisor  $E \subset X'$  of discrepancy  $-1$  such that  $\mu(E) = W$ . Recall also that the intersection  $W_1 \cap W_2$  of two lc centres  $W_i$  is a union of lc centres [Kaw97, Prop.1.5]. In particular, given a point  $x \in \text{Nklt}(X, \Delta)$ , there exists a unique lc centre  $W$  passing through  $x$  that is minimal with respect to the inclusion. By [Kaw97, Thm.1.6] the lc centre  $W$  is normal in the point  $x$ . If  $W$  is minimal (in every point  $x \in W$ ), the Kawamata subadjunction formula holds [Kaw98, FG12]: there exists an effective  $\mathbb{Q}$ -divisor  $\Delta_W$  on  $W$  such that the pair  $(W, \Delta_W)$  is klt and

$$(2) \quad K_W + \Delta_W \sim_{\mathbb{Q}} (K_X + \Delta)|_W.$$

The following weak form of the subadjunction formula for non-minimal lc centres is well-known to experts, we nevertheless include a proof for lack of reference:

**3.1. Lemma.** *Let  $(X, \Delta)$  be a projective lc pair, and let  $W \subset X$  be an lc centre. Let  $\nu: W^n \rightarrow W$  be the normalisation. Then there exists an effective divisor  $\Delta_{W^n}$  on  $W^n$  such that*

$$K_{W^n} + \Delta_{W^n} \sim_{\mathbb{Q}} \nu^*(K_X + \Delta)|_W.$$

*Suppose that  $Z \subset W$  is an lc centre such that  $\dim Z = \dim W - 1$ . Then we have a set-theoretical inclusion*

$$\nu^{-1}(Z) \subset \Delta_{W^n}.$$

*Proof.* Let  $\mu: (X^m, \Delta^m) \rightarrow (X, \Delta)$  be a dlt-model, i.e.  $\mu$  is birational, the pair  $(X^m, \Delta^m)$  is dlt and  $K_{X^m} + \Delta^m \sim_{\mathbb{Q}} \mu^*(K_X + \Delta)$  [KK10, Thm.3.1]. Let  $S \subset X^m$  be an lc centre of  $(X^m, \Delta^m)$  that dominates  $W$  and that is minimal with respect to the inclusion. By [Kol11, Thm.1] there exists an effective divisor  $\Delta_S$  such that  $(S, \Delta_S)$  is dlt and  $K_S + \Delta_S \sim_{\mathbb{Q}} \mu_S^*(K_X + \Delta)|_W$ , where  $\mu_S: S \rightarrow W$  is the restriction of  $\mu$  to  $S$ . Moreover  $(S, \Delta_S)$  is klt on the generic fibre of  $\mu_S$ . The variety  $S$  being normal, the morphism  $\mu_S$  factors through the normalisation  $\nu$ , and we denote by  $\mu_S^n: S \rightarrow W'$  and  $\tau: W' \rightarrow W^n$  the Stein factorisation.

Moreover,

$$(3) \quad K_S + \Delta_S \sim_{\mathbb{Q}} (\mu_S^n)^* \circ \tau^* \circ \nu^*(K_X + \Delta)|_W$$

implies that  $\mu_S^n$  is an lc-trivial fibration in the sense of [Amb04, Defn.2.1] and we denote by  $\Delta_{W'}$  the discriminant divisor. Up to replacing  $\mu_S^n$  by a birationally equivalent fibration we know by inversion of adjunction [Amb04, Thm.3.1] that the pair  $(W', \Delta_{W'})$  is lc.

Using the terminology of [Amb05] (see in particular Definition 3.2 and Theorem 3.3) the moduli b-divisor of the lc-trivial fibration is  $b$ -nef and good, in particular it has non-negative Kodaira dimension. Thus there exists an effective divisor  $E$  such that

$$K_S + \Delta_S \sim_{\mathbb{Q}} (\mu_S^n)^*(K_{W'} + \Delta_{W'} + E).$$

By the proof of [FG12, Lemma 1.1] there exists an effective divisor  $\Delta_{W^n}$  such that

$$K_{W'} + \Delta_{W'} + E \sim_{\mathbb{Q}} \tau^*(K_{W^n} + \Delta_{W^n}).$$

Recalling (3) we derive

$$K_{W^n} + \Delta_{W^n} \sim_{\mathbb{Q}} \nu^*(K_X + \Delta)|_W.$$

Suppose now that  $Z \subset W$  is an lc centre such that  $\dim Z = \dim W - 1$ . Then we know by [Kol11, Cor.11] that every irreducible component of  $(\nu \circ \tau)^{-1}(Z)$  is an lc centre of the pair  $(W', \Delta_{W'})$ . Since  $(\nu \circ \tau)^{-1}(Z)$  is a divisor in  $W'$  we have a set-theoretical inclusion

$$(4) \quad (\nu \circ \tau)^{-1}(Z) \subset \Delta_{W'}.$$

Since the pair  $(W^n, \Delta_{W^n})$  is not klt in the points where the pair  $(W', \Delta_{W'} + E)$  is not klt (cf. [Kwc92, Prop.20.3]), the inclusion (4) implies a set-theoretical inclusion  $\nu^{-1}(Z) \subset \Delta_{W^n}$ .  $\square$

For the description of the non-klt locus we start with a refinement of the local part of Theorem 1.3 in the log-canonical case:

**3.2. Lemma.** *Let  $(X, \Delta)$  be a projective lc pair such that  $-(K_X + \Delta) \sim_{\mathbb{Q}} kH$ , with  $H$  an ample Cartier divisor and  $k \geq 1$ . Let  $W \subset X$  be an lc centre. Then we have*

$$\dim W \geq k - 1,$$

*and equality holds if and only if  $[k] = k$  and  $(W, H|_W) \cong (\mathbb{P}^{k-1}, \mathcal{O}_{\mathbb{P}^{k-1}}(1))$ .*

*Proof.* The statement is trivial if  $k = 1$ , so suppose  $k > 1$ . It is sufficient to prove the statement for  $W \subset X$  a minimal centre. By the subadjunction formula (2) there exists a divisor  $\Delta_W$  on  $W$  such that  $(W, \Delta_W)$  is klt and

$$K_W + \Delta_W \sim_{\mathbb{Q}} (K_X + \Delta)|_W \sim_{\mathbb{Q}} -kH|_W.$$



If  $\dim W > 0$  we can apply [AD12, Thm.2.5] to see that the log Fano variety  $(W, \Delta_W)$  has dimension at least  $k - 1$  and equality holds if and only if  $(W, H|_W) \cong (\mathbb{P}^{k-1}, \mathcal{O}_{\mathbb{P}^{k-1}}(1))$ . Thus we are left to exclude the possibility that  $\dim W = 0$ : since  $-H - (K_X + \Delta) \sim_{\mathbb{Q}} (k - 1)H$  is ample, the restriction map

$$H^0(X, \mathcal{O}_X(-H)) \rightarrow H^0(W, \mathcal{O}_W(-H))$$

is surjective by [Fuj11, Thm.2.2]. If  $W$  is a point, the space  $H^0(W, \mathcal{O}_W(-H))$  is not zero, which is impossible since the antiample divisor  $-H$  has no global sections on  $X$ .  $\square$

The following proposition is the key step in the proof of Theorem 1.4:

**3.3. Proposition.** *Let  $(X, \Delta)$  be a projective lc pair of dimension  $n \geq 3$  such that  $-(K_X + \Delta) \sim_{\mathbb{Q}} kH$ , with  $H$  an ample Cartier divisor and  $k \in \mathbb{N}$ . Let  $W$  be an lc centre of  $(X, \Delta)$  of dimension  $k$ .*

*If  $W$  is minimal, then  $(W, H|_W) \cong (\mathbb{P}^k, \mathcal{O}_{\mathbb{P}^k}(1))$  or  $(W, H|_W) \cong (Q^k, \mathcal{O}_Q(1))$ , with  $Q^k \subset \mathbb{P}^{k+1}$  an integral quadric.*

*If  $W$  is not minimal, then  $(W, H|_W) \cong (\mathbb{P}^k, \mathcal{O}_{\mathbb{P}^k}(1))$  and  $W$  contains exactly one lc centre  $Z \subsetneq W$ .*

*Proof.* If  $W$  is minimal we know by the subadjunction formula (2) that there exists an effective divisor  $\Delta_W$  on  $W$  such that  $(W, \Delta_W)$  is log Fano of index  $k$  and dimension  $k$ . The statement then follows from [AD12, Thm.2.5].

Suppose now that  $W$  is not minimal. Then  $W$  contains another lc centre  $Z \subsetneq W$  and by Lemma 3.2 we know that  $Z$  has dimension  $k - 1$ . Denote by  $\nu: W^n \rightarrow W$  the normalisation. By Lemma 3.1 there exists an effective divisor  $\Delta_{W^n}$  such that

$$(5) \quad K_{W^n} + \Delta_{W^n} \sim_{\mathbb{Q}} \nu^*(K_X + \Delta)|_W \sim_{\mathbb{Q}} -k\nu^*H|_W.$$

Thus the pair  $(W^n, \Delta_{W^n})$  is log Fano of dimension  $k$  and index  $k$ , moreover by the last part of Lemma 3.1 we have a set-theoretical inclusion

$$\nu^{-1}(Z) \subset \Delta_{W^n}.$$

In particular  $\Delta_{W^n}$  is not empty, so by [AD12, Thm.2.5] we obtain  $W^n \cong \mathbb{P}^k$  and  $\mathcal{O}_{W^n}(\nu^*H) \simeq \mathcal{O}_{\mathbb{P}^k}(1)$ . By (5) this implies  $\mathcal{O}_{W^n}(\Delta_{W^n}) \simeq \mathcal{O}_{\mathbb{P}^k}(1)$ , so we see that  $\nu^{-1}(Z) = \Delta_{W^n}$  and  $\nu^{-1}(Z)$  is a hyperplane. Note that this already implies that  $Z$  is unique.

Therefore it remains to prove that  $W$  is normal. Note first that  $W$  is minimal, hence normal, in the complement of  $Z$ . Thus it is sufficient to prove that  $W$  is normal in every point  $x \in Z$ . By Lemma 3.2 we have  $Z \cong \mathbb{P}^{k-1}$ , so we get a finite map

$$\nu|_{\nu^{-1}(Z)}: \nu^{-1}(Z) \cong \mathbb{P}^{k-1} \rightarrow Z \cong \mathbb{P}^{k-1}.$$

Since  $\mathcal{O}_{\nu^{-1}(Z)}(\nu^*H) \simeq \mathcal{O}_{\mathbb{P}^{k-1}}(1)$  this map has degree one, so it is an isomorphism. This proves that the normalisation  $\nu$  is an injection on points. By a result of Ambro [Amb11, Thm.1.1] we know that  $W$  is semi-normal, so  $\nu$  is an isomorphism.  $\square$

*Proof of Theorem 1.4.* By Nadel's vanishing theorem (see e.g. [Fuj11, Thm.3.2]) we have  $H^1(X, \mathcal{I}_{\text{Nklt}(X)}) = 0$ , so the map

$$H^0(X, \mathcal{O}_X) \rightarrow H^0(\text{Nklt}(X), \mathcal{O}_{\text{Nklt}(X)})$$

is surjective. In particular the non-klt locus  $\text{Nklt}(X)$  is connected. If  $\text{Nklt}(X)$  is irreducible we conclude by Proposition 3.3.

Suppose from now on that  $\text{Nklt}(X)$  is reducible. If  $W_1$  (resp.  $W_2$ ) is an irreducible component of  $\text{Nklt}(X)$ , hence an lc centre, of dimension  $r_1$  (resp.  $r_2$ ), the intersection  $W_1 \cap W_2$  is either empty or a union of lc centres of dimension at most  $\min(r_1, r_2) - 1$ . By the connectedness of  $\text{Nklt}(X)$  we can reduce to consider the second case. Then Lemma 3.2 implies that every irreducible component of  $\text{Nklt}(X)$  has dimension  $k$ ; moreover two components meet along a set of dimension  $k - 1$ . By Lemma 3.3 every irreducible component  $W_i$  is isomorphic to  $\mathbb{P}^k$  and contains exactly one lc centre, so we see that  $\text{Nklt}(X)$  has exactly two irreducible components. These two irreducible components meet along an lc centre of dimension  $k - 1$ , so by Lemma 3.2 the intersection  $W_1 \cap W_2$  is a linear  $\mathbb{P}^{k-1}$ . Thus  $\text{Nklt}(X) = W_1 \cup W_2$  is a reducible quadric of dimension  $k$ .  $\square$

#### 4. DESCRIPTION OF THE GEOMETRY OF THE FANO VARIETY

We start by classifying certain weak log Fano varieties that will appear as the fibres of the MRC-fibration:

**4.1. Proposition.** *Let  $X$  be a normal projective variety of dimension  $k + 1 \geq 2$  with terminal singularities, and let  $\Delta$  be a non-zero effective Weil  $\mathbb{Q}$ -Cartier divisor on  $X$  such that the pair  $(X, \Delta)$  is lc, and*

$$-(K_X + \Delta) \sim_{\mathbb{Q}} kH$$

*with  $H$  a nef and big Cartier divisor on  $X$ . Suppose also that for every curve  $C \subset X$  such that  $H \cdot C = 0$  we have  $K_X \cdot C \geq 0$ . Then  $(X, \mathcal{O}_X(H))$  is one of the following quasi-polarised varieties:*

- a)  $(\mathbb{P}^{k+1}, \mathcal{O}_{\mathbb{P}^{k+1}}(1))$  and  $\Delta$  is a quadric; or
- b)  $(Q^{k+1}, \mathcal{O}_{Q^{k+1}}(1))$  and  $\Delta$  is a quadric; or
- c) a generalised cone of dimension  $k + 1$  over the Veronese surface  $(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(2))$  and  $\Delta$  is the generalised cone of dimension  $k$  over  $(\mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1}(2))$ ; or
- d) a scroll  $\mathbb{P}(\mathcal{O}_{\mathbb{P}^1}(a) \oplus \mathcal{O}_{\mathbb{P}^1}(1) \oplus \mathcal{O}_{\mathbb{P}^1}^{\oplus k-1})$  where  $a \in \mathbb{N}_{>0}$  and  $\Delta$  is the union of  $\mathbb{P}(\mathcal{O}_{\mathbb{P}^1}(1) \oplus \mathcal{O}_{\mathbb{P}^1}^{\oplus k-1})$  and a  $\mathbb{P}^k$ ; or
- e) a scroll  $\mathbb{P}(\mathcal{O}_{\mathbb{P}^1}(a) \oplus \mathcal{O}_{\mathbb{P}^1}(1)^{\oplus 2} \oplus \mathcal{O}_{\mathbb{P}^1}^{\oplus k-2})$  where  $a \in \mathbb{N}_{>0}$  and  $\Delta = \mathbb{P}(\mathcal{O}_{\mathbb{P}^1}(1)^{\oplus 2} \oplus \mathcal{O}_{\mathbb{P}^1}^{\oplus k-2})$ ; or
- f) a scroll  $\mathbb{P}(\mathcal{O}_{\mathbb{P}^1}(a) \oplus \mathcal{O}_{\mathbb{P}^1}(2) \oplus \mathcal{O}_{\mathbb{P}^1}^{\oplus k-1})$  where  $a \in \mathbb{N}_{>0}$  and  $\Delta = \mathbb{P}(\mathcal{O}_{\mathbb{P}^1}(2) \oplus \mathcal{O}_{\mathbb{P}^1}^{\oplus k-1})$ ; or
- g) a scroll  $\mathbb{P}(V)$  over an elliptic curve and  $\Delta = \mathbb{P}(W)$  where  $V \rightarrow W$  is a quotient bundle of rank  $k$  such that  $\det W \simeq \mathcal{O}_W$ .

*Proof.* By Lemma 1.12 there exists a  $K_X$ -negative extremal ray  $R$  such that  $\Delta \cdot R > 0$  and  $H \cdot R > 0$ . Thus if  $\varphi: X \rightarrow Y$  denotes the contraction of this extremal ray, the nefvalue  $r := r(\varphi, H)$  (cf. Definition 1.11) is strictly larger than  $k$ . Arguing by contradiction we suppose that the extremal contraction  $\varphi$  is birational. Let  $F$  be a non-trivial  $\varphi$ -fibre, then by [And95, Thm.2.1(II,i)] we have  $\dim F \geq \lfloor r \rfloor \geq k = \dim X - 1$ . Thus  $\varphi$  contracts the divisor  $F$  onto a point, in particular  $F$  meets the Gorenstein locus of  $X$ . By Lemma 2.1 this implies that  $r = \lfloor r \rfloor = k$ , a

contradiction. Thus  $\varphi$  is of fibre type and we can apply [BS95, Sect.7.2, 7.3] to see that  $X$  is isomorphic to one of the following varieties:

- a)  $(\mathbb{P}^{k+1}, \mathcal{O}_{\mathbb{P}^{k+1}}(1))$ ; or
- b)  $(Q^{k+1}, \mathcal{O}_{Q^{k+1}}(1))$ ; or
- c) a generalised cone over  $(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(2))$ ; or
- d) a scroll over a curve  $C$ .

In the cases a)-c) we are obviously finished, so suppose that  $X \cong \mathbb{P}(V)$  with  $V$  a vector bundle of rank  $k+1$ . Note that  $\Delta$  has exactly one irreducible component  $\Delta_1$  that surjects onto  $C$ . Since  $\Delta_1 \rightarrow C$  is flat and every fibre is a hyperplane in  $\mathbb{P}^k$ , we see that  $\Delta_1 \cong \mathbb{P}(W)$  with  $V \rightarrow W$  a quotient bundle of rank  $k$ . Set  $\Delta' := \Delta - \Delta_1$ . By the adjunction formula

$$-(K_{\Delta_1} + (\Delta_1 \cap \Delta')) \simeq -kH|_{\Delta_1}$$

and by the canonical bundle formula

$$K_{\Delta_1} = \varphi|_{\Delta_1}^*(K_C + \det W) - kH|_{\Delta_1}$$

thus we obtain  $-(\Delta_1 \cap \Delta') = \varphi|_{\Delta_1}^*(K_C + \det W)$ . Since  $\det W$  is nef, this implies that  $K_C$  is antinef. If  $C$  is a rational curve we obtain the cases d)-f). If  $C$  is an elliptic curve, the divisors  $-(\Delta_1 \cap \Delta')$  and  $\det W$  must be trivial. Thus we obtain the case g).  $\square$

**4.2. Proposition.** *Let  $X$  be a Fano variety of dimension  $n$  and index  $k$  with lc singularities such that  $-K_X \simeq kH$ , with  $H$  an ample Cartier divisor on  $X$ . Assume that  $\dim \text{Nklt}(X) = k$ . Let  $\mu: X' \rightarrow X$  be a terminal modification of  $X$ , and write*

$$K_{X'} \simeq \mu^* K_X - E,$$

*where  $E$  is an effective, reduced,  $\mu$ -exceptional Weil divisor. Suppose that the base of the MRC-fibration  $X' \dashrightarrow Z$  has dimension  $n-k-1$ , and let  $F$  be a general fibre. Then the log-pair  $(F, F \cap E)$  quasi-polarised by the nef and big divisor  $(\mu^* H)|_F$  is isomorphic to one of the varieties a)-f) in Proposition 4.1. Moreover this statement is effective, i.e. there exist examples realising all these cases.*

*Proof.* Since  $X$  has lc singularities and  $K_{X'} + E \sim_{\mathbb{Q}} \mu^* K_X$ , the pair  $(X', E)$  is lc. By hypothesis  $\dim Z = n-k-1$ , so the general fibre  $F$  is a  $(k+1)$ -dimensional variety with terminal singularities. Moreover the pair  $(F, E|_F)$  is lc and  $E|_F \neq 0$  since otherwise  $X$  is not rationally connected modulo the non-klt locus, in contradiction to [BP11, Thm.1.2]. By adjunction we have  $K_F + E|_F \sim_{\mathbb{Q}} -k(\mu^* H)|_F$ , moreover any curve  $C \subset F$  such that  $(\mu^* H)|_F \cdot C = 0$  is  $\mu$ -exceptional, so  $K_F \cdot C = K_{X'} \cdot C \geq 0$ . Thus Proposition 4.1 applies. Case g) is excluded since  $F$  is rationally connected.

The statement is effective, the examples corresponding to the varieties a)-f) are: Examples 6.2, 6.3, 6.4, 6.5 and 6.6 (twice).  $\square$

**Remark.** An analogous statement of Proposition 4.2 should hold if we replace a terminal modification by the canonical modification. However this would make it necessary to prove Proposition 4.1 and thus [BS95, Sect.7.2, 7.3] for varieties with canonical singularities, a rather tedious exercise.

The following lemma is an analogue of classical descriptions of projective bundles as in [BS95, Prop.3.2.1]:

**4.3. Lemma.** *Let  $Z$  be a projective manifold, and let  $X$  be a normal projective variety admitting an equidimensional fibration  $\varphi: X \rightarrow Z$  of relative dimension  $k$ . Assume that there exists an ample Cartier divisor  $H$  on  $X$  such that the general polarised fibre  $(F, \mathcal{O}_F(H))$  is isomorphic to the quadric  $(Q^k, \mathcal{O}_{Q^k}(1))$ . Then  $X \rightarrow Z$  is a quadric fibration<sup>2</sup>, i.e. there exists a Cartier divisor  $M$  on  $Z$  such that  $K_X + kH \sim_{\mathbb{Q}} \varphi^*M$ .*

*Proof.* Let  $C \subset Z$  be a complete intersection of  $\dim Z - 1$  general hyperplane sections. The preimage  $X_C := \varphi^{-1}(C)$  is a normal projective variety and the fibration  $\varphi_C: X_C \rightarrow C$  satisfies the conditions of [Hör13, Lemma 2.6]. Thus we know that  $X_C$  has canonical singularities, and there exists a Cartier divisor  $M_C$  on  $C$  such that

$$K_{X_C} + kH|_{X_C} \sim_{\mathbb{Q}} \varphi_C^*M_C.$$

Using the canonical modification of  $X$  we see that there exists a closed (maybe empty) subset  $Z' \subset Z$  of codimension at least two in  $Z$  such that  $X_0 := \varphi^{-1}(Z \setminus Z')$  has canonical singularities, and  $(K_X - kH)|_{X_0}$  is nef with respect to the equidimensional fibration  $\varphi_0: X_0 \rightarrow Z_0 := Z \setminus Z'$ . It follows from Zariski's lemma [BHPVdV04, Lemma 8.2] that

$$(K_X + kH)|_{X_0} \sim_{\mathbb{Q}} \varphi_0^*M_0$$

where  $M_0$  is a Cartier divisor on  $Z_0$ . Since  $Z$  is smooth the divisor  $M_0$  extends to a Cartier divisor  $M$  on  $Z$ . Since  $X \setminus X_0$  has codimension at least two in  $X$ , the isomorphism above extends to  $K_X + kH \sim_{\mathbb{Q}} \varphi^*M$ .  $\square$

*Proof of Proposition 1.7.* By hypothesis the base of the MRC-fibration  $X' \dashrightarrow Z$  has dimension  $n - k - 1$ . Thus Proposition 4.2 applies, and the general fibre  $F$  is given by the cases a)-f) of Proposition 4.1.

If we are in case a), let  $\mathcal{H}$  be a desingularisation of the unique component of the cycle space  $\mathcal{C}(X)$  such that the general point parametrises  $\mu(F)$ , and let  $q: \Gamma \rightarrow \mathcal{H}$  be the normalisation of the pull-back of the universal family. By construction the natural morphism  $p: \Gamma \rightarrow X$  is birational and  $p^*H$  is  $q$ -ample and its restriction to the general fibre is the hyperplane divisor. By [AD12, Prop.4.10], [HN13, Prop.3.5] the fibration  $q$  is a projective bundle. If we are in the cases d)-f) the MRC-fibration factors generically through an almost holomorphic fibration  $X' \dashrightarrow W$  such that the general fibre is a linear  $\mathbb{P}^{k-1}$ , so we use the same argument to construct a  $\mathbb{P}^{k-1}$ -bundle  $\Gamma \rightarrow \mathcal{H}$  that dominates  $X$ .

If we are in case b) let  $\mathcal{H}$  be a desingularisation of the unique component of the cycle space  $\mathcal{C}(X)$  such that the general point parametrises  $\mu(F)$ , and let  $q: \Gamma \rightarrow \mathcal{H}$  be the normalisation of the pull-back of the universal family. Then  $q$  is a quadric fibration by Lemma 4.3.

If we are in case c) and  $k = 1$  the general fibre of the MRC-fibration is the Veronese surface. We repeat the construction above to obtain  $\mathcal{H}$  and  $\Gamma \rightarrow \mathcal{H}$ . If  $k \geq 2$  the  $(k + 1)$ -dimensional cone over the Veronese surface is dominated by the projective bundle  $\mathbb{P}(\mathcal{O}_{\mathbb{P}^2}(2) \oplus \mathcal{O}_{\mathbb{P}^2}^{\oplus k-1})$ . Thus we can repeat the construction of case a) to obtain a  $\mathbb{P}^{k-1}$ -bundle  $\Gamma \rightarrow \mathcal{H}$  that dominates  $X$ .  $\square$

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<sup>2</sup>We use the definition of an adjunction theoretic quadric fibration [BS95, 3.3.1] which is a-priori weaker than supposing that all the fibres are quadrics.

## 5. THE BASE OF THE MRC-FIBRATION

Proposition 1.7 gives a rather precise description of the Fano variety  $X$ , but the condition on the MRC-fibration seems rather restrictive. In this section we will give strong evidence that this condition is always satisfied when  $\text{Nklt}(X)$  is a quadric, in particular we prove Theorem 1.6.

The following lemma generalises a part of [Ume81, Thm.2] to arbitrary dimension:

**5.1. Lemma.** *Let  $Y$  be a projective scheme of pure dimension  $m \geq 2$  such that the dualising sheaf is trivial. Suppose that there exists an irreducible component  $Y_1 \subset Y$  having two points  $\{p_1, p_2\}$  which are not contained in any other component of  $Y$  such that  $Y_1$  is normal near  $\{p_1, p_2\}$ , and that  $\{p_1, p_2\}$  are isolated non-klt points. Let  $\mu: Y' \rightarrow Y$  be the birational morphism defined by the canonical modification of  $Y_1$  in the points  $p_1$  and  $p_2$ . If  $Y$  is log-canonical in  $p_1$  and  $p_2$ , then  $h^{m-1}(Y', \mathcal{O}_{Y'}) \geq 1$ .*

*Proof.* The image of the  $\mu$ -exceptional locus equals  $\{p_1, p_2\}$ , so the higher direct image sheaves  $R^j \mu_* \mathcal{O}_{Y'}$  have support in a finite set. Our goal is to prove that we have

$$(6) \quad h^0(Y, R^{m-1} \mu_* \mathcal{O}_{Y'}) \geq 2.$$

Assuming this for the time being, let us see how to conclude by a Leray spectral sequence computation: since the sheaves  $R^j \mu_* \mathcal{O}_{Y'}$  have no higher cohomology for  $j > 0$  we see that

$$H^0(Y, R^{m-1} \mu_* \mathcal{O}_{Y'}) = E_2^{0, m-1} = E_m^{0, m-1}$$

and

$$\mathbb{C} \cong H^0(Y, \omega_Y) \cong H^m(Y, \mathcal{O}_Y) = E_2^{m, 0} = E_m^{m, 0}.$$

By (6) the first space has dimension at least two. Thus the kernel of the map

$$d_m : E_m^{0, m-1} \rightarrow E_m^{m, 0}$$

has dimension at least one, hence  $\dim E_{m+1}^{0, m-1} = \dim E_\infty^{0, m-1} > 0$ . Since the map  $H^{m-1}(Y', \mathcal{O}_{Y'}) \rightarrow E_\infty^{0, m-1}$  is surjective the statement follows.

For the proof of (6) note first that the claim is local in a neighbourhood of the points  $\{p_1, p_2\}$ . Thus we can suppose without loss of generality that  $Y$  is a normal variety with trivial canonical divisor such that  $\text{Nklt}(Y) = \{p_1, p_2\}$  and  $\mu: Y' \rightarrow Y$  is the canonical modification. Since  $Y'$  has canonical, hence rational singularities, we can replace it with a desingularisation, which for simplicity's sake we denote by the same letter. Since  $K_Y$  is Cartier we can write

$$K_{Y'} \simeq \mu^* K_Y - E + F,$$

where  $E$  is a reduced divisor mapping surjectively onto  $\text{Nklt}(Y)$  and  $F$  is an effective divisor such that  $E$  and  $F$  have no common components. In particular  $\omega_E \simeq \mathcal{O}_E(F)$  is effective. By Kovács' vanishing theorem [Kov11, Cor.6.6] we have  $R^j \mu_* \mathcal{O}_{Y'}(-E) = 0$  for all  $j > 0$ , hence

$$R^j \mu_* \mathcal{O}_{Y'} \simeq R^j(\mu|_E)_* \mathcal{O}_E$$

for all  $j > 0$ . By duality (in the sense of [Har77, III, Sect.7, Defn., p.241]) we have

$$H^{m-1}(E, \mathcal{O}_E) \cong H^0(E, \omega_E).$$

We have seen that  $\omega_E$  is effective. Since  $E$  has at least two connected components the inequality (6) follows.  $\square$

**5.2. Definition.** Let  $X$  be a Fano variety of dimension  $n$  and index  $k$  with lc singularities, and let  $H$  be a Cartier divisor such that  $-K_X \simeq kH$ . We say that  $X$  admits a ladder if there exist  $k$  general divisors  $D_1, \dots, D_k$  in  $|H|$  such that for all  $i \in \{1, \dots, k\}$  the intersection

$$Z_i := D_1 \cap \dots \cap D_i$$

is a normal variety of dimension  $n - k$  with lc singularities such that

$$\text{Nklt}(Z_i) = \text{Nklt}(X) \cap D_1 \cap \dots \cap D_i.$$

**5.3. Proposition.** Let  $X$  be a Fano variety of dimension  $n$  and index  $k$  with lc singularities, and let  $H$  be a Cartier divisor such that  $-K_X \simeq kH$ . Suppose that  $(\text{Nklt}(X), \mathcal{O}_{\text{Nklt}(X)}(H))$  is a quadric of dimension  $k$ . If  $k > 1$  suppose also that  $X$  admits a ladder. Then we have

$$h^{n-k-1}(X', \mathcal{O}_{X'}) \neq 0,$$

where  $X' \rightarrow X$  is the canonical modification. Moreover the base of the MRC-fibration  $X' \dashrightarrow Z$  has dimension  $n - k - 1 > 0$ .

*Proof.* Note first that by the Nadel vanishing theorem the restriction map

$$(7) \quad H^0(X, \mathcal{O}_X(H)) \rightarrow H^0(\text{Nklt}(X), \mathcal{O}_{\text{Nklt}(X)}(H))$$

is surjective. Since  $\mathcal{O}_{\text{Nklt}(X)}(H)$  is globally generated, this implies that  $|H|$  is globally generated near  $\text{Nklt}(X)$ . In particular if  $Y \in |H|$  is a general divisor, then we can apply the adjunction formula [BS95, Lemma 1.7.6] to see that  $\omega_Y \simeq \mathcal{O}_Y((k-1)H)$ . Moreover the intersection

$$Y \cap \text{Nklt}(X)$$

is a quadric of dimension  $k-1$  and  $Y \cap \text{Nklt}(X)$  is a connected component of the non-klt locus of  $Y^3$ . Let  $\mu: X' \rightarrow X$  be the canonical modification, then the strict transform  $Y'$  of  $Y$  coincides with the total transform, hence we have  $Y' \simeq \mu^*H$ . Moreover the birational map  $\mu|_{Y'}: Y' \rightarrow Y$  is the canonical modification of  $Y$  along  $Y \cap \text{Nklt}(X)$ .

Since  $\mu^*H$  is nef and big, we have

$$H^j(X', \mathcal{O}_{X'}(-\mu^*H)) = 0$$

for all  $j \leq n-1$  by [KM98, Thm.2.70]. Since  $n-k-1 \leq n-2$  we obtain

$$H^{n-k-1}(X', \mathcal{O}_{X'}) \rightarrow H^{n-k-1}(Y', \mathcal{O}_{Y'}).$$

We will now conclude by induction: if  $k=1$ , then  $\omega_Y$  is trivial and  $\mu|_{Y'}: Y' \rightarrow Y$  satisfies the conditions of Lemma 5.1. Thus we have  $H^{n-2}(Y', \mathcal{O}_{Y'}) \neq 0$ . If  $k \geq 2$ , then by hypothesis the Fano variety  $X$  admits a ladder  $D_1 = Y, D_2, \dots, D_k$ , so  $Y$  has lc singularities,  $\text{Nklt}(Y)$  is a quadric and the divisors

$$D_i \cap Y \in |H|_Y$$

define a ladder on  $Y$ . Thus the induction hypothesis applies to  $Y$ .

<sup>3</sup>The divisor  $Y$  may have several irreducible components, but by Bertini's theorem there exists a unique irreducible component that intersects  $\text{Nklt}(X)$ . Note that this component is normal near  $\text{Nklt}(X)$  so it makes sense to speak of the non-klt locus.

This also implies that the base of the MRC-fibration has dimension at least  $n-k-1$ . In order to see that equality holds let us first deal with the case  $k=1$ . As above let  $Y \in |H|$  be a general divisor, and let  $Y_1 \subset Y$  be the unique irreducible component that meets  $\text{Nklt}(X)$ . Let  $Y'_1$  (resp.  $Y'$ ) be the strict transform under the canonical modification  $\mu$ . Since  $\text{Nklt}(X) \cap Y = \text{Nklt}(X) \cap Y_1$  is not empty and  $\omega_Y \simeq \mathcal{O}_Y$  we see that  $\omega_{Y'}$  is antieffective. Moreover we have a map  $\omega_{Y'_1} \rightarrow \omega_{Y'} \otimes \mathcal{O}_{Y_1}$  that is an isomorphism in the generic point of  $Y'_1$ , so  $\omega_{Y'_1}$  is antieffective. Thus we see that  $Y'_1$  is uniruled. Since  $Y'_1$  is general (note that by (7) we have  $h^0(X, \mathcal{O}_X(H)) \geq 3$ ), it is not contracted by the MRC-fibration  $X' \dashrightarrow Z$ . Since  $Z$  is not uniruled [GHS03], we obtain  $\dim Z < \dim Y'_1 = n-1$ .

If  $k > 1$  then by hypothesis we can consider the complete intersection  $Z_{n-k}$  defined in Definition 5.2. Then  $K_{Z_{n-k}}$  is trivial and by what precedes its strict transform  $Z'_{n-k} \subset X'$  is uniruled. As in the case  $k=1$  we obtain  $\dim Z < \dim Z_{n-k} = n-k$ .  $\square$

Theorem 1.6 is now an immediate consequence:

*Proof of Theorem 1.6.* The dimension of the base of the MRC-fibration is a birational invariant for varieties with canonical singularities [HM07], so by Proposition 5.3 we have  $\dim Z = n-2$ . Thus we can conclude with Proposition 4.2.  $\square$

For Fano varieties with high index we can verify the ladder condition in Proposition 5.3:

**5.4. Proposition.** *Let  $X$  be a Fano variety of dimension  $n$  and index  $k \geq n-3$  with lc singularities, and let  $H$  be a Cartier divisor such that  $-K_X \simeq kH$ . Suppose that  $\dim \text{Nklt}(X) = k$ . Let  $Y \in |H|$  be a general divisor. Then  $Y$  is a normal variety with lc singularities such that*

$$\text{Nklt}(Y) = \text{Nklt}(X) \cap Y.$$

*Proof.* By the Nadel vanishing theorem the restriction map

$$H^0(X, \mathcal{O}_X(H)) \rightarrow H^0(\text{Nklt}(X), \mathcal{O}_{\text{Nklt}(X)}(H))$$

is surjective. By Theorem 1.4 we know that  $\mathcal{O}_{\text{Nklt}(X)}(H)$  is globally generated, so we have

$$(8) \quad \text{Bs}|H| \cap \text{Nklt}(X) = \emptyset.$$

We claim that the pair  $(X \setminus \text{Nklt}(X), Y \setminus \text{Nklt}(X))$  is plt. Assuming this for the time being, let us see how to conclude. Since the pair  $(X \setminus \text{Nklt}(X), Y \setminus \text{Nklt}(X))$  is plt we know by inversion of adjunction [Kol97, Thm.7.5.1] that  $Y \setminus \text{Nklt}(X)$  has canonical singularities. Since  $H|_{X \setminus \text{Bs}|H|}$  is a free linear system, a general  $Y \in |H|$  is normal by [BS95, Thm.1.7.1] and  $(X \setminus \text{Bs}|H|, Y \setminus \text{Bs}|H|)$  is an lc pair. Using the adjunction formula [BS95, Lemma 1.7.6] we see that for a general  $Y \in |H|$  we have  $-K_Y \simeq (k-1)H|_Y$ . By inversion of adjunction  $Y$  has lc singularities [Kaw07, Thm. p.130] and

$$\text{Nklt}(Y) = \text{Nklt}(X) \cap Y.$$

*Proof of the claim.* We will deal with the case  $k = n-3$ , the other cases being simpler. We follow the argument of [Flo13, Thm.1.1]: note that  $X \setminus \text{Nklt}(X)$  has canonical singularities. We argue by contradiction and suppose that the pair

$(X \setminus \text{Nklt}(X), Y \setminus \text{Nklt}(X))$  is not plt. Then there exists a  $0 < c \leq 1$  and an irreducible variety  $W \subset \text{Bs}|H|$  such that the pair  $(X \setminus \text{Nklt}(X), c(Y \setminus \text{Nklt}(X)))$  is properly lc and  $W$  a minimal lc centre. By [Fuj11, Thm.2.2] the restriction map

$$H^0(X, \mathcal{O}_X(H)) \rightarrow H^0(W, \mathcal{O}_W(H))$$

is surjective. Since  $W$  is contained in the base locus this implies that  $H^0(W, \mathcal{O}_W(H)) = 0$ . By Kawamata subadjunction (2) there exists an effective divisor  $\Delta_W$  such that  $(W, \Delta_W)$  is klt and

$$(K_W + \Delta_W) \sim_{\mathbb{Q}} (K_X + cY)|_W \sim_{\mathbb{Q}} -(n-3-c)H|_W.$$

If  $\dim W \leq 2$  we apply [Kaw00, Prop.4.1] to see that  $h^0(W, \mathcal{O}_W(H)) \neq 0$ , a contradiction. If  $\dim W \geq 3$  and  $n-3-c > \dim W - 3$ , then  $(W, B_W)$  is log Fano of index at least  $n-3-c$ . By [Kaw00, Theorem 5.1] this implies  $h^0(W, \mathcal{O}_W(H)) \neq 0$ , a contradiction. Thus we are left with the case when  $\dim W \geq 3$  and  $\dim W \geq n-c$ . Since  $c \leq 1$  this implies  $\dim W = n-1$ . Since the centre  $W$  is minimal we know by [Flo13, Lemma 2.7] that  $c < 1/2$ . Thus we have  $\dim W \geq n-1/2$ , a contradiction.  $\square$

## 6. EXAMPLES

In [BS87, §2] the first-named author and Sommese observed that for a Fano threefold of index one with  $\dim \text{Nklt}(X) = 1$ , the non-klt locus consists of rational curves. They also ask how far  $X$  can deviate from being a generalised cone with  $\text{Nklt}(X)$  as its vertex. In this section we answer this question: all the classification results of Section 4 are effective, so in many cases  $X$  is far from being a generalised cone.

**6.1. Example.** Let  $Y$  be a projective manifold with trivial canonical divisor, and let  $L$  be a very ample line bundle on  $Y$ . Set

$$X' := \mathbb{P}(\mathcal{O}_Y^{\oplus k} \oplus L),$$

then we have

$$K_{X'} = -k\zeta - (\zeta - \varphi^*L),$$

where  $\zeta$  is the tautological divisor on  $X'$ . We have  $\zeta - \varphi^*L = E$  where  $E \cong Y \times \mathbb{P}^{k-1}$  is the divisor defined by the quotient

$$\mathcal{O}_Y^{\oplus k} \oplus L \rightarrow \mathcal{O}_Y^{\oplus k}.$$

The divisor  $\zeta$  is globally generated and defines a birational morphism  $\mu: X' \rightarrow X$  that contracts  $E$  onto a  $\mathbb{P}^{k-1}$ . Using the canonical bundle formula above we see that  $X$  is a Fano variety of index  $k$  with lc singularities, moreover the non-klt locus is a linear  $\mathbb{P}^{k-1}$ .

**6.2. Example.** Let  $Y$  be a projective manifold admitting a fibration  $\psi: Y \rightarrow \mathbb{P}^1$  such that  $\mathcal{O}_Y(-K_Y) \simeq \psi^*\mathcal{O}_{\mathbb{P}^1}(1)$ . Then set  $X' := \mathbb{P}(A \oplus \psi^*\mathcal{O}_{\mathbb{P}^1}(1) \oplus \mathcal{O}_Y^{\oplus k-1})$  where  $A$  is a very ample line bundle on  $Y$ . Let  $\zeta$  be the tautological divisor on  $X'$ , then  $\zeta$  is globally generated and defines a birational morphism  $\mu: X' \rightarrow X$  contracting the divisor  $E$  corresponding to the quotient

$$A \oplus \psi^*\mathcal{O}_{\mathbb{P}^1}(1) \oplus \mathcal{O}_Y^{\oplus k-1} \rightarrow \psi^*\mathcal{O}_{\mathbb{P}^1}(1) \oplus \mathcal{O}_Y^{\oplus k-1} \simeq \psi^*(\mathcal{O}_{\mathbb{P}^1}(1) \oplus \mathcal{O}_{\mathbb{P}^1}^{\oplus k-1})$$

onto a  $\mathbb{P}^k$ . Using the canonical bundle formula one easily sees that  $X$  is Fano of index  $k$  with lc singularities and  $(\text{Nklt}(X), \mathcal{O}_{\text{Nklt}(X)}(H)) \cong (\mathbb{P}^k, \mathcal{O}_{\mathbb{P}^k}(1))$ , where  $-K_X \simeq kH$ .



**6.3. Example.** Let  $X$  be a Fano variety of index  $m$  constructed as in Example 6.1, and let  $-K_X \simeq mH$  with  $H$  a Cartier divisor on  $X$ . Set  $k = m - 1$ . By the Nadel vanishing theorem the restriction map

$$H^0(X, \mathcal{O}_X(2H)) \rightarrow H^0(\mathrm{Nklt}(X), \mathcal{O}_{\mathrm{Nklt}(X)}(2H))$$

is surjective. Fix now a quadric  $Q \subset \mathbb{P}^k \cong \mathrm{Nklt}(X)$  and fix a general divisor  $B$  in the linear system  $|2H|$  such that  $B \cap \mathrm{Nklt}(X) = Q$ . Denote by

$$\pi: \tilde{X} \rightarrow X$$

the cyclic covering of degree two branched along  $B^4$ . By the ramification formula we see that  $-K_{\tilde{X}} = \pi^*kH$ , so  $\tilde{X}$  is a Fano variety of index  $k$ . Using again the ramification formula we know by [KM98, Prop.5.20] that  $\tilde{X}$  has lc singularities if and only if the pair  $(X, \frac{1}{2}B)$  is lc. Since  $B$  is general this is clear in the complement of  $\mathrm{Nklt}(X)$ , moreover by inversion of adjunction [Hac12, Thm.0.1] the pair  $(X, \frac{1}{2}B)$  is lc near  $\mathrm{Nklt}(X)$  if and only if  $(\mathbb{P}^k, \frac{1}{2}Q)$  is lc. Since  $Q$  is a quadric this is easily checked. The restriction of  $\pi$  to  $\mathrm{Nklt}(\tilde{X}) \cong \mathbb{P}^k$  induces a two-to-one cover

$$\mathrm{Nklt}(\tilde{X}) \rightarrow \mathrm{Nklt}(X)$$

that ramifies exactly along  $Q = B \cap \mathrm{Nklt}(X)$ . Since  $Q$  is a quadric in  $\mathbb{P}^k$ , we see that  $\mathrm{Nklt}(\tilde{X})$  is a quadric, the singularities depending on the singularities of  $Q$ . Let  $X' \rightarrow Y$  be the projective bundle dominating  $X$  (cf. Example 6.1). Then  $X' \times_X \tilde{X} \rightarrow Y$  is a quadric bundle dominating  $\tilde{X}$ .

**6.4. Example.** Let  $Y$  be a projective manifold with trivial canonical divisor. Let  $L$  be a very ample line bundle on  $Y$  and set

$$B' := \mathbb{P}(L \oplus \mathcal{O}_Y^{\oplus 2}).$$

Denote by  $\eta$  the tautological divisor on  $B'$ , then  $2\eta$  is globally generated and defines a birational morphism  $\nu: B' \rightarrow B$  onto a normal projective variety contracting the divisor  $D$  corresponding to the quotient

$$L \oplus \mathcal{O}_Y^{\oplus 2} \rightarrow \mathcal{O}_Y^{\oplus 2}$$

onto a  $\mathbb{P}^1$ . Using the canonical bundle formula for  $B'$  we see that

$$K_{B'} \simeq -2\eta - D \simeq \nu^*K_B - D,$$

so  $B$  has lc singularities and  $(\mathrm{Nklt}(B), \mathcal{O}_{\mathrm{Nklt}(B)}(-K_B)) \cong (\mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1}(2))$ . For some integer  $k \geq 2$  we set

$$X' := \mathbb{P}(\mathcal{O}_{B'}(2\eta) \oplus \mathcal{O}_{B'}^{\oplus k-1}),$$

and denote by  $\zeta$  the tautological divisor on  $\varphi: X' \rightarrow B'$ . The divisor  $\zeta$  is globally generated and defines a birational morphism  $\mu: X' \rightarrow X$ . If we restrict  $\mu$  to  $\varphi^{-1}(\mathbb{P}^2)$  where  $\mathbb{P}^2$  is a fibre of  $B' \rightarrow Y$ , the image is a generalised cone of dimension  $k + 1$  over the Veronese surface  $(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(2))$ . If we restrict  $\mu$  furthermore to  $\varphi^{-1}(\mathbb{P}^2 \cap D)$ , the image is the generalised cone of dimension  $k$  over the line  $\mathbb{P}^2 \cap D$ , but polarised by  $\mathcal{O}_{\mathbb{P}^2}(2)$ , so we get a quadric  $Q$  of dimension  $k$  such that the singular locus has dimension  $k - 2$ . Since  $D \simeq \mathbb{P}(\mathcal{O}_Y^{\oplus 2})$ , a straightforward computation shows that

$$\zeta^{k+1} \cdot \varphi^*D = 0 \text{ in } H^{2k+4}(X', \mathbb{R}),$$

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<sup>4</sup>Note that by the generality assumption the divisor  $B$  is reduced even if  $Q$  is not reduced. In particular  $\tilde{X}$  is irreducible.

so the divisor  $E := \varphi^*D$  is contracted by  $\mu$  onto the  $k$ -dimensional quadric  $Q$ . Using the canonical bundle formula we see that

$$K_{X'} = -k\zeta - E = \mu^*K_X - E,$$

so  $X$  is a Fano variety of index  $k$  having lc singularities and  $\text{Nklt}(X) \cong Q$ . Note also that  $\mu$  factors through a birational morphism  $p: \Gamma \rightarrow X$  where  $\Gamma$  is a normal projective variety admitting a locally trivial fibration  $q: \Gamma \rightarrow Z$  such that the polarised fibre is the generalised cone of dimension  $k+1$  over the Veronese surface  $(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(2))$ .

**6.5. Example.** For some  $k \geq 3$ , let  $Y \subset \mathbb{P}^{k-1}$  be a smooth hypersurface of degree  $k$ , so  $Y$  is a Calabi–Yau manifold. We set

$$B' := \mathbb{P}(\mathcal{O}_Y(1) \oplus \mathcal{O}_Y),$$

and denote by  $\eta$  the tautological divisor on  $B'$ . Then  $\eta$  is globally generated and defines a birational morphism  $\nu: B' \rightarrow B$  where  $B$  is the cone over  $Y$ . We have  $K_{B'} \simeq \nu^*K_B - D$ , where  $D$  is the divisor corresponding to the quotient

$$\mathcal{O}_Y(1) \oplus \mathcal{O}_Y \rightarrow \mathcal{O}_Y.$$

Note that  $B$  is a hypersurface of degree  $k$  in  $\mathbb{P}^k$ , so  $B$  is Cohen–Macaulay and has exactly one non-klt point, the vertex of the cone. The anticanonical sheaf  $\mathcal{O}_B(-K_B) \simeq \mathcal{O}_B(1)$  is globally generated and  $h^0(B, \mathcal{O}_B(-K_B)) = k+1$ . We define  $W^*$  to be the vector bundle of rank  $k$  that is the kernel of the evaluation map  $\mathcal{O}_B^{\oplus k+1} \rightarrow \mathcal{O}_B(-K_B)$ . Dualizing we obtain an exact sequence

$$0 \rightarrow \mathcal{O}_B(K_B) \rightarrow \mathcal{O}_B^{\oplus k+1} \rightarrow W \rightarrow 0,$$

so  $W$  is globally generated. Moreover by a singular version of Kodaira vanishing [KSS10, Cor.6.6] we have  $H^1(B, \mathcal{O}_B(K_B)) = 0$ , so we get  $h^0(B, W) = k+1$ .

Set now  $W' := \nu^*W$  and let  $A$  be the pull-back of a very ample Cartier divisor on  $B$ . We set

$$X' := \mathbb{P}(\mathcal{O}_{B'}(A) \oplus W'),$$

and denote by  $\zeta$  the tautological divisor on  $\varphi: X' \rightarrow B'$ . The divisor  $\zeta$  is globally generated and defines a birational morphism  $\mu: X' \rightarrow X$ . Denote by  $E \subset X'$  the divisor defined by the quotient

$$\mathcal{O}_{B'}(A) \oplus W' \rightarrow W'.$$

Then by the canonical bundle formula

$$K_{X'} \simeq \varphi^*(K_{B'} + A + \det W') - (k+1)\zeta = -k\zeta - (\zeta - \varphi^*A) + \varphi^*(K_{B'} + \det W').$$

By construction we have  $\zeta - \varphi^*A \simeq E$  and  $K_{B'} + \det W' \simeq -D$ , so we get

$$K_{X'} \simeq -k\zeta - E - \varphi^*D.$$

Since  $D$  is contracted by  $\nu$ , the restriction of  $\mathcal{O}_{B'}(A) \oplus W'$  to  $D$  is isomorphic to  $\mathcal{O}_D^{\oplus k+1}$ , so  $\varphi^*D$  is contracted by  $\mu$  onto a  $\mathbb{P}^k$ . The divisor  $E$  is isomorphic to  $\mathbb{P}(W')$  and by construction  $h^0(B', W') = k+1$ , so  $E$  is also contracted onto a  $\mathbb{P}^k$ . Thus  $X$  is a Fano variety of index  $k$  with lc singularities such that  $\text{Nklt}(X)$  is a reducible quadric.

**6.6. Example.** Let  $Y$  be a projective manifold with trivial canonical divisor and set  $B := Y \times \mathbb{P}^1$ . Let  $A$  be a very ample Cartier divisor on  $B$  and set

$$X' := \mathbb{P}(\mathcal{O}_B(A) \oplus \mathcal{O}_B(-K_B) \oplus \mathcal{O}_B^{\oplus k-1}).$$

Denote by  $\zeta$  the tautological divisor on  $\varphi: X' \rightarrow B$ . The divisor  $\zeta$  is globally generated and defines a birational morphism  $\mu: X' \rightarrow X$ . Moreover if  $E \subset X'$  is the divisor defined by the quotient

$$\mathcal{O}_B(A) \oplus \mathcal{O}_B(-K_B) \oplus \mathcal{O}_B^{\oplus k-1} \rightarrow \mathcal{O}_B(-K_B) \oplus \mathcal{O}_B^{\oplus k-1},$$

then  $E$  is contracted by  $\mu$  onto a quadric  $Q$  of dimension  $k$  that is singular along a subvariety of dimension  $k - 2$ . By the canonical bundle formula we see that

$$K_{X'} = -k\zeta - E = \mu^*K_X - E,$$

so  $X$  is a Fano variety of index  $k$  having lc singularities and  $\text{Nklt}(X) \cong Q$ .

Analogously, if we set

$$X' := \mathbb{P}(\mathcal{O}_B(A) \oplus \mathcal{O}_B(-\frac{1}{2}K_B)^{\oplus 2} \oplus \mathcal{O}_B^{\oplus k-2}),$$

the same properties hold; in this case the quadric is singular along a subvariety of dimension  $k - 3$ .

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