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**This is a pre print version of the following article:**

*Original Citation:*

*Availability:*

This version is available <http://hdl.handle.net/2318/1852279> since 2022-04-05T15:50:39Z

*Published version:*

DOI:10.4153/CMB-2011-119-7

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# MANIFOLDS COVERED BY LINES AND EXTREMAL RAYS

CARLA NOVELLI AND GIANLUCA OCCHETTA

ABSTRACT. Let  $X$  be a smooth complex projective variety and let  $H \in \text{Pic}(X)$  be an ample line bundle. Assume that  $X$  is covered by rational curves with degree one with respect to  $H$  and with anticanonical degree greater than or equal to  $(\dim X - 1)/2$ . We prove that there is a covering family of such curves whose numerical class spans an extremal ray in the cone of curves  $\text{NE}(X)$ .

## INTRODUCTION

Let  $X$  be a smooth complex projective variety which admits a morphism with connected fibers  $\varphi: X \rightarrow Z$  onto a normal variety  $Z$  such that the anticanonical bundle  $-K_X$  is  $\varphi$ -ample,  $\dim X > \dim Z$  and  $\rho_X = \rho_Z + 1$  (*i.e.* an elementary extremal contraction of fiber type).

It is well known, by fundamental results of Mori theory, that through every point of  $X$  there is a rational curve contracted by  $\varphi$ . The numerical classes of these curves lie in an extremal ray of the cone  $\text{NE}(X)$ . By taking a covering family of such curves one obtains a *quasi-unsplit* family of rational curves, *i.e.* a family such that the irreducible components of all the degenerations of curves in the family are numerically proportional to a curve in the family. It is very natural to ask if the converse is also true:

Given a covering quasi-unsplit family  $V$  of rational curves, is there an extremal elementary contraction which contracts all curves in the family or, in other words, does the numerical class of a curve in the family span an extremal ray of  $\text{NE}(X)$ ?

As proved in [8] (see also [10] and [14]) there is always a rational fibration, defined on an open set of  $X$ , whose general fibers are proper, which contracts a general curve in  $V$ . More precisely, a general fiber is an equivalence class with respect to the relation induced by the closure  $\mathcal{V}$  of the family  $V$  in the Chow scheme of  $X$  in the following way: two points  $x$  and  $y$  are equivalent if there exists a connected chain of cycles in  $\mathcal{V}$  which joins  $x$  and  $y$ .

By a careful study of this fibration and of its indeterminacy locus, a partial answer to this question has been given in [6, Theorem 2]; namely, if the dimension of a general equivalence class is greater than or equal to the dimension of the variety minus three then the numerical class of a general curve in the family spans an extremal ray of  $\text{NE}(X)$ .

Before the results in [6] a special but very natural situation in which the question arises has been studied in [5]. In that paper manifolds covered by rational curves

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2010 *Mathematics Subject Classification.* 14J40, 14E30, 14C99.

*Key words and phrases.* Rational curves, extremal rays.

of degree one with respect to an ample line bundle  $H$  were considered, and it was proved that a covering family of such curves (we will call them lines, by abuse) of anticanonical degree greater than or equal to  $\frac{\dim X + 2}{2}$  spans an extremal ray (see also [4, Theorem 2.4]).

Recently, in [15, Theorem 7.3], the extremality of a covering family  $V$  of lines was proved under the weaker assumption that the anticanonical degree of such curves, denoted by abuse of notation by  $-K_X \cdot V$ , is greater than or equal to  $\frac{\dim X + 1}{2}$ .

The goal of the present paper is to prove the following

**Theorem.** *Let  $(X, H)$  be a polarized manifold with a dominating family of rational curves  $V$  such that  $H \cdot V = 1$ . If  $-K_X \cdot V \geq \frac{\dim X - 1}{2}$ , then  $[V]$  spans an extremal ray of  $\text{NE}(X)$ .*

The main idea is, as in [15], to combine the ideas and techniques of [5], especially taking into consideration a suitable adjoint divisor  $K_X + mH$  and studying its nefness, with those of [6], in particular regarding the existence of special curves in the indeterminacy locus of the rational fibration associated to  $V$ .

## 1. BACKGROUND MATERIAL

Let  $X$  be a smooth projective variety defined over the field of complex numbers. A contraction  $\varphi: X \rightarrow Z$  is a proper surjective map with connected fibers onto a normal variety  $Z$ .

If the canonical bundle  $K_X$  is not nef, then the negative part of the cone  $\text{NE}(X)$  of effective 1-cycles is locally polyhedral, by the Cone Theorem. By the Contraction Theorem, to every face in this part of the cone is associated a contraction.

Unless otherwise stated, we will reserve the name *extremal face* for a face contained in  $\overline{\text{NE}}(X) \cap \{a \in N_1(X) \mid K_X \cdot a < 0\}$ , and we will call *extremal contraction* the contraction of such a face.

An extremal contraction associated to an extremal face of dimension one, *i.e.* to an extremal ray, is called an *elementary contraction*; an extremal ray  $\tau$  is called *numerically effective*, and the associated contraction is said to be of *fiber type*, if  $\dim Z < \dim X$ ; otherwise the ray is called *non nef* and the contraction is *birational*. If the codimension of the exceptional locus of an elementary birational contraction is equal to one, the ray and the contraction are called *divisorial*, otherwise they are called *small*.

A Cartier divisor which is the pull-back of an ample divisor  $A$  on  $Z$  is called a *supporting divisor* of the contraction  $\varphi$ .

If the anticanonical bundle of  $X$  is ample,  $X$  is called a Fano manifold. For a Fano manifold, the *index*, denoted by  $r_X$ , is defined as the largest natural number  $r$  such that  $-K_X = rH$  for some (ample) divisor  $H$  on  $X$ .

Throughout the paper, unless otherwise stated, we will use the word *curve* to denote an irreducible curve.

**Definition 1.1.** A *family of rational curves* is an irreducible component  $V \subset \text{Ratcurves}^n(X)$  (see [14, Definition 2.11]). Given a rational curve we will call a *family of deformations* of that curve any irreducible component of  $\text{Ratcurves}^n(X)$  containing the point parametrizing that curve. We will say that  $V$  is *unsplit* if it is proper. We define  $\text{Locus}(V)$  to be the set of points of  $X$  through which there is a curve among those parametrized by  $V$ ; we say that  $V$  is a *covering family* if

$\text{Locus}(V) = X$  and that  $V$  is a *dominating family* if  $\overline{\text{Locus}(V)} = X$ .

We denote by  $V_x$  the subscheme of  $V$  parametrizing rational curves passing through  $x \in \text{Locus}(V)$  and by  $\text{Locus}(V_x)$  the set of points of  $X$  through which there is a curve among those parametrized by  $V_x$ .

By abuse of notation, given a line bundle  $L \in \text{Pic}(X)$ , we will denote by  $L \cdot V$  the intersection number  $L \cdot C_V$ , with  $C_V$  any curve among those parametrized by  $V$ .

**Proposition 1.2.** ([14, IV.2.6]) *Let  $V$  be an unsplit family of rational curves on  $X$ . Then*

- (a)  $\dim \text{Locus}(V) + \dim \text{Locus}(V_x) \geq \dim X - K_X \cdot V - 1$ ;
- (b) *every irreducible component of  $\text{Locus}(V_x)$  has dimension  $\geq -K_X \cdot V - 1$ .*

This last proposition, in case  $V$  is the unsplit family of deformations of a rational curve of minimal anticanonical degree in an extremal face of  $\text{NE}(X)$ , gives the *fiber locus inequality*:

**Proposition 1.3.** ([12, Theorem 0.4], [19, Theorem 1.1]) *Let  $\varphi$  be a Fano–Mori contraction of  $X$ . Denote by  $E$  the exceptional locus of  $\varphi$  and by  $F$  an irreducible component of a non-trivial fiber of  $\varphi$ . Then*

$$\dim E + \dim F \geq \dim X + \ell - 1,$$

where  $\ell := \min\{-K_X \cdot C \mid C \text{ is a rational curve in } F\}$ . If  $\varphi$  is the contraction of an extremal ray  $\tau$ , then  $\ell(\tau) := \ell$  is called the *length of the ray*.

**Definition 1.4.** We define a *Chow family of rational curves*  $\mathcal{W}$  to be an irreducible component of  $\text{Chow}(X)$  parametrizing rational and connected 1-cycles.

We define  $\text{Locus}(\mathcal{W})$  to be the set of points of  $X$  through which there is a cycle among those parametrized by  $\mathcal{W}$ ; notice that  $\text{Locus}(\mathcal{W})$  is a closed subset of  $X$  ([14, II.2.3]). We say that  $\mathcal{W}$  is a *covering family* if  $\text{Locus}(\mathcal{W}) = X$ .

**Definition 1.5.** If  $V$  is a family of rational curves, the closure of the image of  $V$  in  $\text{Chow}(X)$ , denoted by  $\mathcal{V}$ , is called the *Chow family associated to  $V$* .

*Remark 1.6.* If  $V$  is proper, *i.e.* if the family is unsplit, then  $V$  corresponds to the normalization of the associated Chow family  $\mathcal{V}$ .

**Definition 1.7.** Let  $\mathcal{V}$  be the Chow family associated to a family of rational curves  $V$ . We say that  $V$  (and also  $\mathcal{V}$ ) is *quasi-unsplit* if every component of any reducible cycle in  $\mathcal{V}$  is numerically proportional to  $V$ .

**Definition 1.8.** Let  $\mathcal{W}$  be a Chow family of rational curves on  $X$  and  $Z \subset X$ . We define  $\text{Locus}(\mathcal{W})_Z$  to be the set of points  $x \in X$  such that there exists a cycle  $\Gamma$  among those parametrized by  $\mathcal{W}$  with  $\Gamma \cap Z \neq \emptyset$  and  $x \in \Gamma$ .

We define  $\text{ChLocus}(\mathcal{W})_Z$  to be the set of points  $x \in X$  such that there exists a chain of cycles among those parametrized by  $\mathcal{W}$  connecting  $x$  and  $Z$ . Notice that, a priori  $\text{ChLocus}(\mathcal{W})_Z$  is a countable union of closed subsets of  $X$ .

**Notation:** If  $T \subset X$  we will denote by  $N_1(T, X) \subset N_1(X)$  the vector subspace generated by numerical classes of curves in  $T$ ; we will denote by  $\text{NE}(T, X) \subset \text{NE}(X)$  the subcone generated by numerical classes of curves in  $T$ .

The notation  $\langle \dots \rangle$  will denote a linear subspace, while the notation  $\langle \dots \rangle_c$  will denote a subcone.

**Lemma 1.9.** ([14, Proposition IV.3.13.3], [1, Lemma 4.1]) *Let  $T \subset X$  be a closed subset and let  $\mathcal{W}$  be a Chow family of rational curves. Then every curve contained in  $\text{ChLocus}(\mathcal{W})_T$  is numerically equivalent to a linear combination with rational coefficients of a curve contained in  $T$  and irreducible components of cycles among those parametrized by  $\mathcal{W}$  which intersect  $T$ .*

**Lemma 1.10.** (Cf. [5, Proof of Lemma 1.4.5], [17, Lemma 1]) *Let  $T \subset X$  be a closed subset and let  $V$  be a quasi-unsplit family of rational curves. Then every curve contained in  $\text{ChLocus}(\mathcal{V})_T$  is numerically equivalent to a linear combination with rational coefficients*

$$\lambda C_T + \mu C_V,$$

where  $C_T$  is a curve in  $T$ ,  $C_V$  is a curve among those parametrized by  $V$  and  $\lambda \geq 0$ .

**Corollary 1.11.** (Cf. [9, Corollary 2.2 and Remark 2.4]) *Let  $\Sigma$  be an extremal face of  $\text{NE}(X)$  and denote by  $F$  a fiber of the contraction associated to  $\Sigma$ . Let  $V$  be a quasi-unsplit family numerically independent from curves whose numerical class is in  $\Sigma$ . Then*

$$\text{NE}(\text{ChLocus}(\mathcal{V})_F, X) = \langle \Sigma, [V] \rangle_c,$$

i.e. the numerical class in  $X$  of a curve in  $\text{ChLocus}(\mathcal{V})_F$  is in the subcone of  $\text{NE}(X)$  generated by  $\Sigma$  and  $[V]$ .

**Lemma 1.12.** *Let  $D$  be an effective divisor on  $X$  and  $L$  a nef divisor. If  $(L+D)|_D$  is nef then  $L+D$  is nef.*

*Proof.* Assume that  $\gamma$  is an effective curve on  $X$  such that  $(L+D) \cdot \gamma < 0$ . By the nefness of  $L$  we have  $D \cdot \gamma < 0$ , hence  $\gamma \subset D$ . But  $L+D$  is nef on  $D$ , a contradiction.  $\square$

## 2. RATIONALLY CONNECTED FIBRATIONS

Let  $X$  be a smooth complex projective variety and let  $\mathcal{W}$  be a covering Chow family of rational curves.

**Definition 2.1.** The family  $\mathcal{W}$  defines a relation of rational connectedness with respect to  $\mathcal{W}$ , which we shall call *rc( $\mathcal{W}$ )-relation* for short, in the following way:  $x$  and  $y$  are in *rc( $\mathcal{W}$ )-relation* if there exists a chain of cycles among those parametrized by  $\mathcal{W}$  which joins  $x$  and  $y$ .

To the *rc( $\mathcal{W}$ )-relation* we can associate a fibration, at least on an open subset ([7], [14, IV.4.16]); we will call it *rc( $\mathcal{W}$ )-fibration*.

In the notation of [6], by [10, Theorem 5.9] there exists a closed irreducible subset of  $\text{Chow}(X)$  such that, denoting by  $Y$  its normalization and by  $Z \subset Y \times X$  the restriction of the universal family, we have a commutative diagram

$$(2.1.1) \quad \begin{array}{ccc} Z & \xrightarrow{e} & X \\ p \downarrow & \swarrow q & \\ Y & & \end{array}$$

where  $p$  is the projection onto the first factor and  $e$  is a birational morphism whose exceptional locus  $E$  does not dominate  $Y$ . Moreover, a general fiber of  $q$  is irreducible and is a *rc( $\mathcal{W}$ )-equivalence class*.

Let  $B$  be the image of  $E$  in  $X$ ; note that  $\dim B \leq \dim X - 2$ , as  $X$  is smooth.

If we consider a (covering) Chow family  $\mathcal{V}$ , associated to a quasi-unsplit dominating family  $V$ , then by [6, Proposition 1, (ii)]  $B$  is the union of all  $\text{rc}(\mathcal{V})$ -equivalence classes of dimension greater than  $\dim X - \dim Y$ .

Moreover we have the following

**Lemma 2.2.** *Let  $V$  be a quasi-unsplit dominating family of rational curves on a smooth complex projective variety  $X$ . Let  $B$  be the indeterminacy locus of the  $\text{rc}(\mathcal{V})$ -fibration  $q: X \dashrightarrow Y$ , let  $D$  be a very ample divisor on  $q(X \setminus B)$  and let  $\widehat{D} := \overline{q^{-1}D}$ . Then*

- (1)  $\widehat{D} \cdot V = 0$ ;
- (2) if  $C \not\subset B$  is a curve not numerically proportional to  $[V]$ , then  $\widehat{D} \cdot C > 0$ ;
- (3) if  $\widehat{D} \cdot C > 0$  for every curve  $C \subset B$  not numerically proportional to  $[V]$ , then  $[V]$  spans an extremal ray of  $\text{NE}(X)$ .

*Proof.* See [6, Proof of Proposition 1]. □

**Corollary 2.3.** [6, Proposition 3]. *Let  $V$  be a quasi-unsplit dominating family of rational curves on a smooth complex projective variety  $X$ ; denote by  $B$  the indeterminacy locus of the  $\text{rc}(\mathcal{V})$ -fibration and by  $f_V$  the dimension of the general  $\text{rc}(\mathcal{V})$ -equivalence class.*

*If  $[V]$  does not span an extremal ray of  $\text{NE}(X)$ , then  $B$  is not empty. In particular there exist  $\text{rc}(\mathcal{V})$ -equivalence classes of dimension  $\geq f_V + 1$ .*

We now give a lower bound on the dimension of  $\text{ChLocus}(\mathcal{V})_S$ , depending on the position of the subvariety  $S$  with respect to the indeterminacy locus of the  $\text{rc}(\mathcal{V})$ -fibration.

**Lemma 2.4.** *Let  $V$  be a quasi-unsplit dominating family of rational curves on a smooth complex projective variety  $X$ ; denote by  $B$  the indeterminacy locus of the  $\text{rc}(\mathcal{V})$ -fibration and by  $f_V$  the dimension of the general  $\text{rc}(\mathcal{V})$ -equivalence class.*

*Let  $S \subset X$  be an irreducible subvariety such that  $[V] \notin \text{NE}(S, X)$ . Then there exists an irreducible  $X_S$  contained in  $\text{ChLocus}(\mathcal{V})_S$  such that*

- (1) if  $S \not\subset B$ , then  $\dim X_S \geq \dim S + f_V$ ;
- (2) if  $S \subset B$ , then  $\dim X_S \geq \dim S + f_V + 1$ .

*Moreover,  $X_S$  is not  $\text{rc}(\mathcal{V})$ -connected.*

*Proof.* We refer to diagram (2.1.1). Given any  $T \subset Z$  we will set  $Z_T := p^{-1}(p(T))$ . Let  $S' \subset Z$  be an irreducible component of  $e^{-1}(S)$  which dominates  $S$  via  $e$ .

By our assumptions on  $\text{NE}(S, X)$  we have that  $S'$  meets any fiber of  $p|_{Z_{S'}}$  in points so, up to replace  $Z_{S'}$  with  $S' \times_{p(S')} Z_{S'}$ , we can assume that  $S'$  is a section of  $p|_{Z_{S'}}$ . Let  $Z'$  be an irreducible component of  $Z_{S'}$  which contains  $S'$ . We have

$$(2.4.1) \quad \dim Z' \geq \dim p(S') + f_V = \dim S' + f_V \geq \dim S + f_V.$$

Moreover, notice that  $S = e(S') \subset e(Z') \subset e(Z_{S'}) \subset \text{ChLocus}(\mathcal{V})_S$ .

Assume that  $S \not\subset B$ . Then  $Z' \not\subset E$ , hence the map  $e|_{Z'}: Z' \rightarrow X$  is generically finite. Therefore, in view of (2.4.1),  $\dim e(Z') = \dim Z' \geq \dim S + f_V$ ; moreover, since  $S \subset e(Z')$  we have that  $e(Z')$  is not  $\text{rc}(\mathcal{V})$ -connected.

Assume now that  $S \subset B$ . Assertion (2) will follow once we prove that the general fiber  $G$  of  $e|_{\overline{Z}}$  has dimension strictly smaller than the general fiber of  $e|_{S'}$  for at

least one irreducible component  $\bar{Z}$  of  $Z_{S'}$  which dominates  $p(S')$ . In fact, recalling also (2.4.1), in this case we will have

$$\dim e(\bar{Z}) = \dim \bar{Z} - \dim G > (\dim S' + f_V) - (\dim S' - \dim S) = f_V + \dim S.$$

**Claim.** Let  $G$  be an irreducible component of a fiber of  $e|_{Z_{S'}}$ , let  $z \in G$  be any point and let  $z' := p^{-1}(p(z)) \cap S'$  be the intersection of the fiber of  $p$  containing  $z$  with  $S'$ ; then there exists an irreducible component  $F$  of the fiber  $F'$  of  $e|_{S'}$  containing  $z'$  such that  $p(G) \subseteq p(F)$ .

To prove the claim, recall that, since  $e(Z_G) \subset \text{ChLocus}(\mathcal{V})_{e(z)}$ , the image via  $e$  of any curve in  $Z_G \cap S'$  – which is irreducible, being a section over  $p(G)$  – must be a point, otherwise it would be a curve contained in  $S \cap \text{ChLocus}(\mathcal{V})_{e(z)}$ , which is a contradiction, since curves in  $S$  are numerically independent from  $[V]$ .

Therefore  $Z_G \cap S'$  is contained in a fiber  $F'$  of  $e|_{S'}$ . To prove the claim we take as  $F$  the irreducible component of  $F'$  containing  $Z_G \cap S'$ .

Let  $S^1 \subset S'$  be the proper closed subset on which  $e|_{S'}$  is not equidimensional and let  $S^2 \subset S'$  be the proper closed subset of points in which the fiber of  $e|_{S'}$  is not locally irreducible. Recalling that  $p|_{S'}$  is a finite map we see that  $p(S^1 \cup S^2)$  is a proper closed subset of  $p(S')$ .

Let  $y \in p(S') \setminus p(S^1 \cup S^2)$  be a general point; in particular there is only one irreducible component  $F$  of the fiber  $F'$  of  $e|_{S'}$  passing through  $z' = p^{-1}(y) \cap S'$  and  $\dim F = \dim S' - \dim S$ .

Notice that  $\dim e(Z_F) > f_V$ , otherwise a one parameter family of fibers of  $p$  meeting  $F$  would have the same image in  $X$  (Cf. [6, End of proof of Proposition 1], where  $e(Z_F) = \text{Locus}(V_{e(F)})$ ).

This implies that, for an irreducible component  $\bar{Z}_F$  of  $Z_F$  we have  $\dim e(\bar{Z}_F) > f_V$ . Taking as  $\bar{Z}$  an irreducible component of  $Z_{S'}$  containing  $\bar{Z}_F$  we have that, for every point  $z \in p^{-1}(y) \cap \bar{Z}$  and any irreducible component  $G$  of the fiber of  $e|_{\bar{Z}}$  passing through  $z$  we have  $p(G) \subseteq p(F)$ , hence  $\dim G < \dim F = \dim S' - \dim S$ ; the same inequality then holds for the general fiber by semicontinuity of the local dimension. Noticing that  $S$  is contained in  $\text{ChLocus}_{e(\bar{Z})}(\mathcal{V})$  the last assertion follows.  $\square$

*Remark 2.5.* Both the bounds in Lemma (2.4) are sharp. An example for the second one is given by [6, Example 2]: in that example  $B \simeq \mathbb{P}^2 \times \mathbb{P}^1$ ; taking as  $S$  a fiber of the projection onto  $\mathbb{P}^2$  we have equality in (2).

### 3. BLOWING-DOWN

In this section we consider the following situation, which will show up in the proof of Theorem (4.3):

**Lemma 3.1.** *Let  $(X, H)$  be a polarized manifold with a dominating family of rational curves  $V$  such that  $H \cdot V = 1$ . Denote by  $f_V$  the dimension of the general  $rc(\mathcal{V})$ -equivalence class and assume that there exists an extremal face  $\Sigma$  in  $\text{NE}(X)$  whose associate contraction  $\sigma: X \rightarrow X'$  is a smooth blow-up along a disjoint union of subvarieties  $T_i$  of dimension  $\leq f_V$  such that  $E_i \cdot V = 0$  for every exceptional divisor  $E_i$  and  $H \cdot l_i = 1$  if  $l_i$  is a line in a fiber of  $\sigma$ . Finally denote by  $V'$  a family of deformation of  $\sigma(C)$ , with  $C$  a general curve parametrized by  $V$ . Then*

- (1)  $-K_{X'} \cdot V' = -K_X \cdot V$ ;
- (2) there exists an ample line bundle  $H'$  on  $X'$  such that  $H' \cdot V' = 1$ ;

- (3) if  $C'$  is a curve parametrized by  $V'$  such that  $T_i \cap C' \neq \emptyset$ , then  $C' \subset T_i$ ;
- (4)  $\rho_{X'} > 1$ ;
- (5) if  $[V']$  spans an extremal ray of  $\text{NE}(X')$ , then  $[V]$  spans an extremal ray of  $\text{NE}(X)$ .

*Proof.* It is enough to prove the statement in case  $\dim \Sigma = 1$ , i.e.  $\sigma: X \rightarrow X'$  is the blow-up of  $X'$  along a smooth subvariety  $T$  associated to the extremal ray  $\Sigma$ . In fact, if  $\dim \Sigma > 1$ , the contraction of  $\Sigma$  factors through elementary contractions, each one satisfying the assumptions in the statement.

Denote by  $E$  the exceptional locus of  $\sigma$ . Since  $E \cdot V = 0$  the first assertion in the statement follows from the canonical bundle formula for blow-ups.

Moreover, the fact that  $E \cdot V = 0$  also implies that any  $\text{rc}(\mathcal{V})$ -equivalence class meeting  $E$  is actually contained in  $E$ . Therefore, if  $F$  is a non-trivial fiber of  $\sigma$ , then  $\text{ChLocus}(\mathcal{V})_F \subseteq E$ . By Lemma (2.4)

$$\dim \text{ChLocus}(\mathcal{V})_F \geq f_V + \dim F \geq \dim X - 1,$$

hence  $E = \text{ChLocus}(\mathcal{V})_F$  and  $\dim T = f_V$ ; in particular, applying Corollary (1.11) we get that  $\text{NE}(E, X) = \langle [V], \Sigma \rangle_c$ .

The line bundle  $(H + E)|_E$  is nef and it is trivial only on  $\Sigma$ , since  $(H + E) \cdot \Sigma = 0$  and  $(H + E) \cdot V = 1$ . Then  $H + E$  is nef by Lemma (1.12).

Notice also that  $H + E$  is trivial only on  $\Sigma$ . Indeed, let  $\gamma$  be an effective curve on  $X$  such that  $(H + E) \cdot \gamma = 0$ . Due to the ampleness of  $H$  we have  $E \cdot \gamma < 0$ , hence  $\gamma \subset E$ . This implies that  $[\gamma] \in \Sigma$ . Therefore  $H + E = \sigma^* H'$ , with  $H'$  an ample line bundle on  $X'$ . By the projection formula  $H' \cdot V' = 1$ , hence part (2) in the statement is proved.

Now, let  $C'$  be a curve parametrized by  $V'$  meeting  $T$  and assume by contradiction that  $C'$  is not contained in  $T$ ; denote by  $\tilde{C}'$  its strict transform. Then

$$1 = H' \cdot C' = \sigma^* H' \cdot \tilde{C}' = (H + E) \cdot \tilde{C}' \geq 2,$$

which is a contradiction. It follows that every curve parametrized by  $V'$  which meets  $T$  is contained in it; so we get part (3) in the statement.

As to part (4), assume by contradiction that  $\rho_{X'} = 1$ . This implies that  $X'$  is  $\text{rc}(\mathcal{V}')$ -connected, but this is impossible as, in view of part (3), we cannot join points of  $T$  and points outside of  $T$  with curves parametrized by  $V'$ .

Finally, to prove part (5) assume that  $[V']$  spans an extremal ray of  $X'$  and let  $B$  be the indeterminacy locus of the  $\text{rc}(\mathcal{V})$ -fibration. We claim that  $E \cap B = \emptyset$ .

Assume by contradiction that this is not the case; then  $E$  meets (and hence contains) an  $\text{rc}(\mathcal{V})$ -equivalence class  $G$  of dimension  $\dim G \geq f_V + 1$ . Take a fiber  $F$  of  $\sigma$  meeting  $G$ . Then  $\dim F + \dim G > \dim E$ . On the other hand,  $\dim(F \cap G) = 0$  as  $[V] \notin \Sigma$ . So we get a contradiction.

Let  $A$  be a supporting divisor of the contraction associated to  $[V']$ . The pull-back  $\sigma^* A$  defines a two-dimensional face  $\Pi$  of  $\overline{\text{NE}}(X)$  containing  $\Sigma$  and  $[V]$ . Let  $\hat{D}$  be as in Lemma (2.2); by the same lemma  $\hat{D} \cdot \Sigma > 0$  and  $\hat{D} \cdot V = 0$ .

Assume that  $\Pi$  is not spanned by  $\Sigma$  and  $[V]$ ; in this case there exists a class  $c \in \overline{\text{NE}}(X)$  belonging to  $\Pi$  such that  $E \cdot c > 0$  and  $\hat{D} \cdot c < 0$ .

Let  $\{C_n\}$  be a sequence of effective one cycles such that the limit of  $\mathbb{R}_+[C_n]$  is  $\mathbb{R}_+c$ ;



by continuity, for some  $n_0$  we have  $E \cdot C_n > 0$  and  $\widehat{D} \cdot C_n < 0$  for  $n \geq n_0$ , hence  $C_n \subset B$ , and  $E \cap C_n \neq \emptyset$  for  $n \geq n_0$ , contradicting  $E \cap B = \emptyset$ .  $\square$

#### 4. MAIN THEOREM

First of all we consider polarized manifolds  $(X, H)$  with a quasi-unsplit dominating family of rational curves  $V$  proving that if, for  $m$  large enough, the adjoint divisor  $K_X + mH$  defines an extremal face containing  $[V]$ , then  $[V]$  spans an extremal ray of  $X$ .

**Proposition 4.1.** *Let  $(X, H)$  be a polarized manifold which admits a quasi-unsplit dominating family of rational curves  $V$ ; denote by  $f_V$  the dimension of a general  $\text{rc}(\mathcal{V})$ -equivalence class.*

*If, for some integer  $m$  such that  $m + f_V \geq \dim X - 3$ , the divisor  $K_X + mH$  is nef and it is trivial on  $[V]$ , then  $[V]$  spans an extremal ray of  $\text{NE}(X)$ .*

*Proof.* Assume by contradiction that  $[V]$  does not span an extremal ray in  $\text{NE}(X)$ . This implies that  $K_X + mH$  defines an extremal face  $\Sigma$  of dimension at least two, containing  $[V]$ . By [15, Lemma 7.2] there exists an extremal ray  $\vartheta \in \Sigma$  whose exceptional locus is contained in the indeterminacy locus  $B$  of the  $\text{rc}(\mathcal{V})$ -fibration. Since  $(K_X + mH) \cdot \vartheta = 0$ , the length  $\ell(\vartheta)$  is greater than or equal to  $m$ .

Let  $F$  be a non-trivial fiber of the contraction associated to  $\vartheta$ ; since this contraction is small, being  $\dim B \leq \dim X - 2$ , then  $\dim F \geq m + 1$  by Proposition (1.3).

By part (2) of Lemma (2.4), the dimension of  $\text{ChLocus}(\mathcal{V})_F$  is

$$\dim \text{ChLocus}(\mathcal{V})_F \geq \dim F + f_V + 1.$$

As the  $\text{rc}(\mathcal{V})$ -equivalence classes are either contained in  $B$  or have empty intersection with it,  $\text{ChLocus}(\mathcal{V})_F \subset B$ . Therefore we get

$$\dim X - 2 \geq \dim B \geq \dim \text{ChLocus}(\mathcal{V})_F \geq f_V + m + 2 \geq \dim X - 1,$$

which is a contradiction.  $\square$

As the last preparatory step, we consider the following special case.

**Lemma 4.2.** *Let  $V$  be a quasi-unsplit dominating family of rational curves on a smooth complex projective variety  $X$ . Denote by  $f_V$  the dimension of a general  $\text{rc}(\mathcal{V})$ -equivalence class. Assume that there exists an extremal ray  $\vartheta$ , independent from  $[V]$ , whose associated contraction has a fiber  $F$  such that  $\dim F + f_V \geq \dim X$ . Then  $\dim F + f_V = \dim X$  and  $\text{NE}(X) = \langle [V], \vartheta \rangle_c$ . In particular  $\rho_X = 2$ .*

*Proof.* By part (1) of Lemma (2.4) we have

$$\dim X \geq \dim \text{ChLocus}(\mathcal{V})_F \geq f_V + \dim F,$$

hence  $\dim F + f_V = \dim X$  and  $\text{ChLocus}(\mathcal{V})_F = X$ ; so the assertion follows by Corollary (1.11).  $\square$

**Theorem 4.3.** *Let  $(X, H)$  be a polarized manifold with a dominating family of rational curves  $V$  such that  $H \cdot V = 1$ . If  $-K_X \cdot V \geq \frac{\dim X - 1}{2}$ , then  $[V]$  spans an extremal ray of  $\text{NE}(X)$ .*

*Proof.* Let  $B$  be the indeterminacy locus of the  $\text{rc}(\mathcal{V})$ -fibration  $q: X \dashrightarrow Y$ , let  $D$  be a very ample divisor on  $q(X \setminus B)$  and let  $\widehat{D} := \overline{q^{-1}D}$ . Denote by  $m$  the anticanonical degree of  $V$  and by  $f_V$  the dimension of a general  $\text{rc}(\mathcal{V})$ -equivalence class. Notice that, since  $V$  is a dominating family, we have  $m \geq 2$ .

By Proposition (1.2)  $\dim \text{Locus}(V_x) \geq -K_X \cdot V - 1 = m - 1$ ; since a general fiber of the  $\text{rc}(\mathcal{V})$ -fibration contains  $\text{Locus}(V_x)$  for every point  $x$  in it, we have  $f_V \geq m - 1$ .

If  $K_X + mH$  is nef, then the assertion follows by Proposition (4.1); therefore we can assume that  $K_X + mH$  is not nef.

Let  $\vartheta$  be an extremal ray such that  $(K_X + mH) \cdot \vartheta < 0$  and let  $\varphi_\vartheta$  be the associated contraction. Notice that  $\vartheta$  has length  $\ell(\vartheta) \geq m + 1$ , hence every non-trivial fiber of  $\varphi_\vartheta$  has dimension  $\geq m$  by Proposition (1.3). On the other hand, by Lemma (4.2) we can confine to assume that all fibers of  $\varphi_\vartheta$  have dimension  $\leq m + 1$ .

In particular this implies that, denoted by  $C_\vartheta$  a minimal degree curve whose numerical class belongs to  $\vartheta$ , we have  $H \cdot C_\vartheta = 1$ . Indeed, if this were not the case, we would have  $\ell(\vartheta) \geq 2m + 1$ , hence every non-trivial fiber of  $\varphi_\vartheta$  would have dimension  $\geq 2m > m + 1$ , by Proposition (1.3) and the fact that  $m \geq 2$ .

If the Picard number of  $X$  is one the theorem is clearly true, so we can assume that  $\rho_X \geq 2$ . Now we split up the proof in two cases, according to the value of  $\rho_X$ : first we consider the case  $\rho_X = 2$  and then the general one.

**Case (a)**  $\rho_X = 2$ .

The proof is based on different arguments, depending on the dimension of the fibers of the contraction associated to the extremal ray  $\vartheta$ .

**Case (a1)** The contraction  $\varphi_\vartheta$  admits an  $(m + 1)$ -dimensional fiber  $F$ .

Consider  $X_F := \text{ChLocus}(\mathcal{V})_F$ . We have, by Corollary (1.11), that  $\text{NE}(X_F, X) = \langle [V], \vartheta \rangle_c$  and, by Lemma (2.4), that

$$\dim X_F \geq \dim F + f_V \geq (m + 1) + (m - 1) \geq \dim X - 1.$$

If  $X_F = X$ , then the statement is proved. So we can assume that an irreducible component  $\overline{X}_F$  of  $X_F$  is a divisor and thus that  $f_V = m - 1$ . Notice that  $\overline{X}_F \cdot V = 0$ , otherwise we would have  $X_F = X$ .

Consider now the intersection number of  $X_F$  with curves whose numerical class belongs to  $\vartheta$ ; since  $\rho_X = 2$  and  $\overline{X}_F \cdot V = 0$  we cannot have also  $\overline{X}_F \cdot \vartheta = 0$ .

Let us show that we cannot have  $\overline{X}_F \cdot \vartheta < 0$ , too.

Assume by contradiction that this is the case. Then  $\text{Exc}(\vartheta) \subset \overline{X}_F$ , so  $\varphi_\vartheta$  is divisorial by Proposition (1.3). By the same proposition, recalling that we are assuming that all the fibers of  $\varphi_\vartheta$  have dimension  $\leq m + 1$ , every non-trivial fiber has dimension  $m + 1$ .

Then  $\varphi_\vartheta$  is the blow-up of a smooth variety  $X'$  along a smooth center  $T$  by [2, Theorem 4.1 (iii)]. The dimension of the center is

$$\dim T = (n - 1) - (m + 1) \leq m - 1 = f_V.$$

We can thus apply part (4) of Lemma (3.1) and we get  $\rho_X = \rho_{X'} + 1 > 2$ , reaching a contradiction.

Therefore  $\overline{X}_F \cdot \vartheta > 0$ , hence  $(\overline{X}_F)|_{\overline{X}_F}$  is nef and thus, by Lemma (1.12),  $\overline{X}_F$  is nef. As  $\overline{X}_F \cdot V = 0$  and  $\rho_X = 2$ ,  $\overline{X}_F$  is the supporting divisor of an elementary contraction of  $X$  whose associated extremal ray is spanned by  $[V]$ .

**Case (a2)** The contraction  $\varphi_\vartheta$  is equidimensional with  $m$ -dimensional fibers.

By Proposition (1.3),  $\varphi_\vartheta$  is of fiber type and  $\ell(\vartheta) = m + 1$ . Hence, by [11, Lemma 2.12],  $X$  is a projective bundle over a smooth variety  $Y$ , i.e.  $X = \mathbb{P}_Y(\mathcal{E})$ , where  $\mathcal{E} = (\varphi_\vartheta)_* H$ .

Notice that  $Y$  has Picard number one and is covered by rational curves – the images of the curves parametrized by  $V$  – therefore  $Y$  is a Fano manifold.

By the canonical bundle formula for projective bundles we have

$$K_X + (m + 1)H = \varphi_\partial^*(K_Y + \det \mathcal{E}).$$

In particular, if  $C_V$  is a curve among those parametrized by  $V$ , by the projection formula we can compute

$$(K_Y + \det \mathcal{E}) \cdot (\varphi_\partial)_*(C_V) = (K_X + (m + 1)H) \cdot C_V = 1.$$

It follows that  $(K_Y + \det \mathcal{E}) \cdot \varphi_\partial(C_V) = 1$  and that  $K_Y + \det \mathcal{E}$  is the ample generator of  $\text{Pic}(Y)$ . The ampleness of  $\mathcal{E}$  implies that  $\det \mathcal{E} \cdot \varphi_\partial(C_V) \geq m + 1$ ; therefore  $-K_Y \cdot \varphi_\partial(C_V) \geq m$ , hence the index  $r_Y$  of  $Y$  is greater than or equal to  $m$ .

If  $r_Y = m$ , denoted by  $l$  a rational curve of minimal degree in  $Y$ , then  $\det \mathcal{E} \cdot l = m + 1$ ; moreover, the splitting type of  $\mathcal{E}$ , which is ample and of rank  $m + 1$ , on rational curves of minimal degree is uniform of type  $(1, \dots, 1)$ .

We can thus apply [3, Proposition 1.2], so we obtain that  $X \simeq \mathbb{P}^m \times Y$ . It follows that the curves of  $V$  are contained in the fibers of the first projection and that  $[V]$  spans an extremal ray.

Therefore we are left with  $r_Y \geq m + 1$ . Recalling that  $\dim Y = \dim X - m \leq m + 1$ , by the Kobayashi–Ochiai Theorem ([13]) we get that  $Y$  is a projective space or a hyperquadric.

Assume by contradiction that  $[V]$  does not span an extremal ray of  $X$ .

By part (3) of Lemma (2.2) there exists a curve  $C \subset B$ , whose numerical class is not proportional to  $[V]$ , such that  $\widehat{D} \cdot C \leq 0$ . Actually, since  $\rho_X = 2$  and  $\widehat{D} \cdot V = 0$ , we have  $\widehat{D} \cdot C < 0$ .

By part (2) of Lemma (2.4), there exists  $X_C \subset \text{ChLocus}(\mathcal{V})_C$  which is not  $\text{rc}(\mathcal{V})$ -connected such that  $\dim X_C \geq f_V + \dim C + 1 \geq m + 1$ .

By Lemma (1.10)  $\widehat{D}$  has non positive intersection number with every curve in  $X_C$  and it is trivial only on curves which are numerically proportional to  $[V]$ .

Since  $\widehat{D} \cdot \vartheta > 0$ , we have that  $\varphi_\partial$  does not contract curves in  $X_C$ , hence  $\dim Y \geq \dim X_C \geq m + 1$  and so  $\dim Y = \dim X_C = m + 1$ .

Since  $X_C$  is not  $\text{rc}(\mathcal{V})$ -connected, for every point  $c$  of  $X_C$ , the intersection  $X_c$  of the  $\text{rc}(\mathcal{V})$ -equivalence class containing  $c$  with  $X_C$  has dimension  $= m$ . In particular  $X_C$  is the union of a one parameter family of  $\text{rc}(\mathcal{V})$ -connected subvarieties  $X_c$ .

We claim that there exists a line  $l$  in  $Y$  which is not contained in  $\varphi_\partial(X_c)$  for any  $c \in C$ . Notice that, since  $\varphi_\partial$  does not contract curves in  $X_C$ , through a general point  $y$  in  $Y$  there is a finite number of such subvarieties.

If  $Y \simeq \mathbb{P}^{m+1}$ , a line joining  $y$  with a point outside the union of these subvarieties has the required property.

Assume now that  $Y \simeq \mathbb{Q}^{m+1}$ ; for any  $y \in \mathbb{Q}^{m+1}$  the locus of the lines through  $y$  is a quadric cone  $\mathbb{Q}_y^m$  with vertex  $y$ . Therefore, if every line through  $y$  is contained in  $\varphi_\partial(X_c)$  for some  $c \in C$ , then  $\mathbb{Q}_y^m$  is an irreducible component of  $\varphi_\partial(X_c)$ ; since  $X_c$  moves in a one-dimensional family, for the general point  $y \in \mathbb{Q}^{m+1}$ , the general line through  $y$  has the required property.

The splitting type of  $\mathcal{E}$  on this line is one of the following:  $(2, 1, \dots, 1)$  if  $Y \simeq \mathbb{Q}^{m+1}$  and either  $(3, 1, \dots, 1)$  or  $(2, 2, 1, \dots, 1)$  if  $Y \simeq \mathbb{P}^{m+1}$ . Recalling that  $m \geq 2$  we have that, among the summands of  $\mathcal{E}_l$  there is at least one  $\mathcal{O}_{\mathbb{P}^1}(1)$ .

Consider  $\mathbb{P}_l(\mathcal{E}|_l)$ ; its cone of curves is generated by the class of a line in a fiber of the projection onto  $l$  and the class of a minimal section  $C_0$ . By the discussion above we have that  $H \cdot C_0 = 1$ . Moreover,  $\varphi_\delta^*(K_Y + \det \mathcal{E}) \cdot C_0 = 1$ , hence  $[C_0] = [V]$ ; in particular  $\widehat{D}$  is nef on  $\mathbb{P}_l(\mathcal{E}|_l)$ .

Consider an irreducible curve in  $\mathbb{P}_l(\mathcal{E}|_l) \cap X_C$ ; by our choice of  $l$ , this curve is not contained in a  $\text{rc}(\mathcal{V})$ -equivalence class contained in  $X_C$ , so it is negative with respect to  $\widehat{D}$ , a contradiction. The case  $\rho_X = 2$  is thus completed.

**Case (b)**  $\rho_X > 2$ .

Notice that, in view of Corollary (2.3), we can confine to assume that  $B \neq \emptyset$ ; moreover, by part (3) of Lemma (2.2), we can also assume the existence of a curve  $C \subset B$  such that  $[C]$  is not proportional to  $[V]$  and  $\widehat{D} \cdot C \leq 0$ .

We claim that  $K_X + (m+1)H$  is nef.

Assume by contradiction that  $K_X + (m+1)H$  is not nef. Let  $\tau$  be a ray such that  $(K_X + (m+1)H) \cdot \tau < 0$ , denote by  $C_\tau$  a rational curve of minimal anticanonical degree in  $\tau$  and by  $\varphi_\tau$  the contraction associated to  $\tau$ .

Notice that  $\tau$  has length  $\ell(\tau) \geq m+2$ , hence every non-trivial fiber of  $\varphi_\tau$  has dimension  $\geq m+1$  by Proposition (1.3).

On the other hand  $\varphi_\tau$  cannot have fibers of dimension  $> m+1$ , otherwise, by Lemma (4.2), we would have  $\rho_X = 2$ . Therefore every non-trivial fiber of  $\varphi_\tau$  has dimension  $m+1$ .

In view of Proposition (1.3), we thus get that  $\varphi_\tau$  is of fiber type and that the length of  $\tau$  is  $\ell(\tau) = m+2$ ; this last fact gives  $H \cdot C_\tau = 1$ . Let us consider  $W_\tau$  to be a minimal degree covering family of curves whose numerical class belongs to  $\tau$ .

Since  $B$  is not empty, there are  $\text{rc}(\mathcal{V})$ -equivalence classes of dimension  $\geq f_V + 1 \geq m$ ; let  $G$  be one of these classes. Notice that since  $\varphi_\tau$  is equidimensional with  $(m+1)$ -dimensional fibers, we have  $f_W = m+1$ . By part (1) of Lemma (2.4) we have

$$\dim \text{ChLocus}(W_\tau)_G \geq \dim G + f_W = 2m+1 \geq \dim X,$$

so by Lemma (1.9) we deduce  $\rho_X = 2$ , a contradiction which proves the nefness of  $K_X + (m+1)H$ .

Recall now that the extremal ray  $\vartheta$  which we fixed at the beginning of the proof has length  $\ell(\vartheta) \geq m+1$  and is generated by a curve  $C_\vartheta$  such that  $H \cdot \vartheta = 1$ , therefore  $(K_X + (m+1)H) \cdot \vartheta = 0$  and  $K_X + (m+1)H$  is not ample.

Let  $\Sigma$  be the extremal face contracted by  $K_X + (m+1)H$ . We now consider separately two cases, depending on the existence in  $\Sigma$  of a fiber type extremal ray.

**Case (b1)** There exists a fiber type extremal ray  $\varrho$  in  $\Sigma$ .

Let  $\varphi_\varrho$  be the contraction associated with  $\varrho$  and denote by  $W_\varrho$  a minimal degree covering family of curves whose numerical class belongs to  $\varrho$ .

By part (2) of Lemma (2.4), there exists an irreducible  $X_C \subset \text{ChLocus}(\mathcal{V})_C$  such that  $\dim X_C \geq f_V + 2$ .

According to Lemma (1.10), every curve in  $X_C$  can be written as  $\alpha[C] + \beta[V]$  with  $\alpha \geq 0$ ; in particular, since  $\widehat{D} \cdot V = 0$  by Lemma (2.2), it follows that  $\widehat{D}$  is not positive on any curve contained in  $X_C$ . By the same lemma  $\widehat{D} \cdot W_\varrho > 0$ , hence  $[W_\varrho] \notin \text{NE}(X_C, X)$ . Therefore part (1) of Lemma (2.4) gives

$$\dim \text{ChLocus}(W_\varrho)_{X_C} \geq \dim X_C + f_{W_\varrho} \geq f_V + 2 + m \geq \dim X,$$

where  $f_{W_\varrho}$  is the dimension of the general  $\text{rc}(\mathcal{W}_\varrho)$ -equivalence class. Therefore, by applying twice Lemma (1.10), we get that the class of every curve in  $X$  can be written as

$$(4.3.1) \quad \lambda(\alpha[C] + \beta[V]) + \mu[W_\varrho]$$

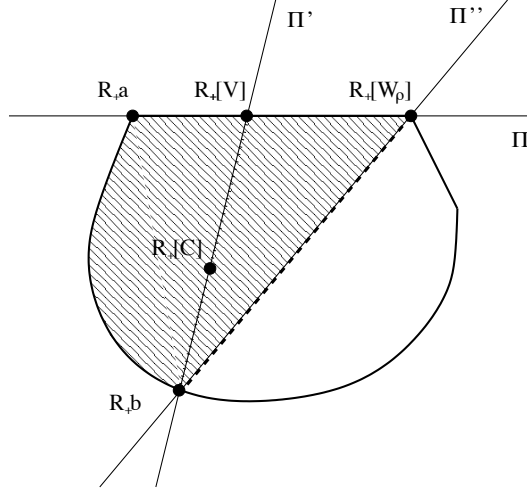
with  $\alpha, \lambda \geq 0$  and  $\alpha[C] + \beta[V] \in \text{NE}(X_C, X)$ .

This has some very important consequences: first of all, since we are assuming  $\rho_X > 2$ , this implies that  $\rho_X = 3$ ; in particular  $[C]$  is not contained in the plane  $\Pi$  in  $N_1(X)$  spanned by  $[W_\varrho]$  and  $[V]$ . Moreover the intersection of  $\Pi$  with  $\text{NE}(X)$  is a face of  $\text{NE}(X)$ .

We have to prove that  $\Pi \cap \overline{\text{NE}}(X) = \langle [V], [W_\varrho] \rangle_c$ . If this is not the case, then there exists a class  $a$  such that  $\Pi \cap \overline{\text{NE}}(X) = \langle a, [W_\varrho] \rangle_c$  and  $\widehat{D} \cdot a < 0$ .

Denote by  $b \in N_1(X)$  a class, not proportional to  $[V]$ , lying in the intersection of  $\partial \overline{\text{NE}}(X)$  with the plane  $\Pi' = N_1(X_C, X)$  and by  $\Pi''$  the plane spanned by  $[W_\varrho]$  and  $b$ .

Formula (4.3.1), translated in geometric terms, says that  $\text{NE}(X)$  is contained in the intersection of half-spaces determined by  $\Pi$  and by  $\Pi''$  as in the figure below, which shows a cross-section of  $\overline{\text{NE}}(X)$ .



Let  $\{C_n\}$  be a sequence of effective one cycles such that the limit of  $\mathbb{R}_+[C_n]$  is  $\mathbb{R}_+a$ ; by continuity, for some  $n_0$  we have  $\widehat{D} \cdot C_n < 0$  for  $n \geq n_0$ , hence  $C_n \subset B$  for  $n \geq n_0$ , and all the above arguments apply to  $C_n$ , for  $n \geq n_0$ . In particular, defining  $b_n$  and  $\Pi''_n$  as above, we get that, for  $n \geq n_0$ ,  $\text{NE}(X)$  is contained in the intersection of half-spaces determined by  $\Pi$  and by  $\Pi''_n$ . Since  $\Pi''_n \rightarrow \Pi$  as  $\mathbb{R}_+[C_n] \rightarrow \mathbb{R}_+a$  and  $\rho_X = 3$  we get a contradiction.

**Case (b2)** Every ray in  $\Sigma$  is birational.

Let  $\eta$  be any ray in  $\Sigma$ . By Proposition (1.3), for every non-trivial fiber of its associated contraction  $\varphi_\eta$  we have  $\dim F \geq \ell(\eta) \geq m+1$ . Recalling that, by Lemma (4.2), we can assume  $\dim F \leq m+1$ , we have  $\dim F = m+1 = \ell(\eta)$ . This also implies that, if  $C_\eta$  is a minimal degree curve whose numerical class is contained in  $\eta$  we have  $H \cdot C_\eta = 1$ .

By Proposition (1.3),  $\varphi_\eta$  is a divisorial contraction, hence, by [2, Theorem 4.1

(iii)], is the blow-up of a smooth variety along a smooth center  $T$  of dimension  $(n-1) - (m+1) \leq m-1$ .

Let  $E$  be the exceptional divisor of  $\varphi_\eta$ . By part (2) of Lemma (2.4), there exists an irreducible  $X_C \subset \text{ChLocus}(\mathcal{V})_C$  with  $\dim X_C \geq f_V + 2$ .

By Lemma (1.10)  $\widehat{D}$  has non positive intersection number with every curve in  $X_C$ . If  $E \cap X_C \neq \emptyset$ , then there is a fiber  $F$  of  $\varphi_\eta$  meeting  $X_C$ . Counting dimensions, we find that  $\dim(F \cap X_C) \geq 1$ , which is a contradiction as  $\widehat{D} \cdot \eta > 0$ . So  $E \cap X_C = \emptyset$ , whence  $E \cdot V = 0$ .

Therefore  $E$  contains  $\text{rc}(\mathcal{V})$ -equivalence classes and  $\dim T \geq f_V$ , since  $\varphi_\eta$  is finite-to-one on  $\text{rc}(\mathcal{V})$ -equivalence classes. Recalling that  $f_V \geq m-1$  we derive  $\dim T = f_V = m-1$ .

Assume that  $\dim \Sigma \geq 2$  and let  $E_1, E_2$  be the exceptional loci of two different extremal rays  $\eta_1, \eta_2$  in  $\Sigma$ ; since the fibers of the contractions  $\varphi_{\eta_1}$  and  $\varphi_{\eta_2}$  have dimension  $m+1$  and  $2(m+1) > \dim X$  we have that  $E_1 \cap E_2 = \emptyset$ .

Therefore the contraction  $\sigma: X \rightarrow X'$  of the face  $\Sigma$  verifies the assumptions of Lemma (3.1), hence there exists an ample line bundle  $H'$  on  $X'$  and an unsplit dominating family  $V'$  on  $X'$  such that  $H' \cdot V' = 1$  and  $-K_{X'} \cdot V' = -K_X \cdot V \geq \frac{\dim X' - 1}{2}$ .

Denote by  $f_{V'}$  the dimension of the general  $\text{rc}(\mathcal{V}')$ -equivalence class. Since a general fiber of the  $\text{rc}(\mathcal{V}')$ -fibration contains  $\text{Locus}(V'_{x'})$ , we have  $f_{V'} \geq \dim \text{Locus}(V'_{x'}) - 1 \geq m-1$ .

Consider the adjoint divisor  $K_{X'} + mH'$ ; if it is nef, or an extremal ray  $\vartheta'$  such that  $(K_{X'} + mH') \cdot \vartheta' < 0$  has a fiber of dimension  $\geq m+2$ , then  $[V']$  spans an extremal ray by Proposition (4.1) or by Lemma (4.2), so  $[V]$  spans an extremal ray by Lemma (3.1).

Let us show that the remaining case does not happen.

Assume that there is an extremal ray  $\vartheta'$  such that  $(K_{X'} + mH') \cdot \vartheta' < 0$  and every fiber of the associated contraction has dimension  $\leq m+1$ . In particular we have  $H' \cdot \vartheta' = 1$ , otherwise we would have  $\ell(\vartheta') \geq 2m+1$ , hence every non-trivial fiber of the associated contraction would have dimension  $\geq 2m > m+1$  by Proposition (1.3). Moreover, we have  $(K_{X'} + (m+1)H') \cdot \vartheta' \leq 0$ , since  $\ell(\vartheta') \geq m+1$ .

On the other hand, recalling that  $\sigma^*H' = H + \sum E_i$  and that  $\sigma^*K_{X'} = K_X - \sum(m+1)E_i$ , we have

$$\sigma^*(K_{X'} + (m+1)H') = K_X + (m+1)H,$$

so, by the projection formula,  $K_{X'} + (m+1)H'$  is ample on  $X'$ , a contradiction.  $\square$

**Corollary 4.4.** *Let  $(X, H)$  be a polarized manifold of dimension at most five, with a dominating family of rational curves  $V$  such that  $H \cdot V = 1$ . Then  $[V]$  spans an extremal ray of  $\text{NE}(X)$ .*

## 5. AN EXAMPLE

In the paper [5], an application of the results about extremality of families of lines was a relative version of a theorem proved in [18], which was the first step towards a conjecture of Mukai for Fano manifolds.

This conjecture states that, for a Fano manifold  $X$ , denoted by  $\rho_X$  its Picard

number and by  $r_X$  its index, we have

$$\rho_X(r_X - 1) \leq \dim X.$$

More precisely, in [18, Theorem B] it was proved that, if  $r_X \geq \frac{\dim X}{2} + 1$ , then  $\rho_X = 1$  unless  $X \simeq \mathbb{P}^{\dim X/2} \times \mathbb{P}^{\dim X/2}$ , while in [5, Theorem 3.1.1] it was proved that a fiber type contraction  $\varphi: X \rightarrow Y$  supported by  $K_X + mL$  with  $m \geq \frac{\dim X}{2} + 1$  is elementary, unless  $X \simeq \mathbb{P}^{\dim X/2} \times \mathbb{P}^{\dim X/2}$ .

In the last few years some progress has been made towards Mukai conjecture; in particular it was recently proved in [16, Theorem 3] that it holds for a Fano manifold with (pseudo)index greater than or equal to  $\frac{\dim X}{3} + 1$ .

It is therefore natural to ask if the corresponding relative statement is true, namely, given a fiber type contraction  $\varphi: X \rightarrow Y$ , corresponding to an extremal face  $\Sigma$ , supported by  $K_X + mL$  with  $m \geq \frac{\dim X}{3} + 1$  is it possible to find a bound on the dimension of  $\Sigma$ ?

The answer to this question is negative, as we will show with an example in which  $m = \frac{\dim X}{2}$ ; it follows that [5, Theorem 3.1.1] cannot be improved.

**Example 5.1.** *Let  $Z$  be a smooth variety of dimension  $k + 2$ , denote by  $Y$  the product  $Z \times \mathbb{P}^k$  and by  $p_1, p_2$  the projections onto the factors. Let  $\{z_i\}_{i=1, \dots, t}$  be points of  $Z$  and denote by  $F_i$  the fibers of  $p_1$  over  $z_i$ .*

*Let  $\sigma: X \rightarrow Y$  be the blow-up of  $Y$  along the union of  $F_i$ . The canonical bundle of  $X$  is*

$$(5.1.1) \quad K_X = \sigma^* K_Y + (k+1) \sum_{i=1}^t E_i = \sigma^*(p_1^* K_Z + p_2^* K_{\mathbb{P}^k}) + (k+1) \sum_{i=1}^t E_i;$$

*denoting by  $\mathcal{H} := (p_2 \circ \sigma)^* \mathcal{O}_{\mathbb{P}^k}(1)$  and by  $L' := \mathcal{H} - \sum E_i$ , we can rewrite formula (5.1.1) as*

$$K_X + (k+1)L' = \sigma^*(p_1^* K_Z).$$

*It is easy to check that  $L'$  is  $(p_1 \circ \sigma)$ -ample. Let  $A \in \text{Pic}(Z)$  be an ample line bundle such that  $K_Z + (k+1)A$  is ample; then  $L := L' + \sigma^*(p_1^* A)$  is an ample line bundle on  $X$ ; moreover  $L \cdot l = 1$  for a line  $l$  in the strict transform of a fiber  $F$  of  $p_1$  not contained in the center of  $\sigma$ .*

*The contraction  $p_1 \circ \sigma$  is supported by  $K_X + (k+1)L = K_X + \frac{\dim X}{2} L$  and contracts a face of dimension  $t + 1$ .*

*Remark 5.2.* The difference between the relative and the absolute case is given by the existence of minimal horizontal dominating families of rational curves for proper morphisms defined on a open subset of a Fano manifold (for the definition and the references see [1, Remark 6.4]). Such families do not exist in general in the relative case.

#### ACKNOWLEDGEMENTS

We learned of the results about extremality of families of lines in [5] from an interesting series of lectures given by Paltin Ionescu. We thank the referee for many useful suggestions and remarks, which helped to fix some issues in the proofs.

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DIPARTIMENTO DI MATEMATICA “F. CASORATI”,  
 UNIVERSITÀ DI PAVIA,  
 VIA FERRATA 1,  
 I-27100 PAVIA

*Current address:* Dipartimento di Matematica e Applicazioni,  
 Università di Milano - Bicocca,  
 via R. Cozzi 53,  
 I-20126 Milano

*E-mail address:* [carla.novelli@unimib.it](mailto:carla.novelli@unimib.it)

DIPARTIMENTO DI MATEMATICA,  
 UNIVERSITÀ DI TRENTO,  
 VIA SOMMARIVE 14,  
 I-38050 POVO (TN)

*E-mail address:* [gianluca.occhetta@unitn.it](mailto:gianluca.occhetta@unitn.it)