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Flavin Bioorthogonal Photocatalysis Towards Platinum Substrates

Juan Gurruchaga-Pereda,^{a,b} Virginia Martínez-Martínez,^c Elixabete Rezabal,^d Xabier Lopez,^{a,d} Claudio Garino,^e Fabrizio Mancin,^f Aitziber L. Cortajarena,^{b,g} Luca Salassa^{a,g*}

^a Donostia International Physics Center, Paseo Manuel de Lardizabal 4, Donostia, 20018, Spain

^b CIC biomaGUNE, Paseo de Miramón 182, Donostia, 20014, Spain

^c Departamento de Química Física, Universidad del País Vasco, UPV/EHU, Apartado 644, Bilbao, 48080, Spain

^d Kimika Fakultatea, Euskal Herriko Unibertsitatea, UPV/EHU, Donostia, 20080, Spain

^e Department of Chemistry, University of Turin, via Pietro Giuria 7, Turin, 10125, Italy

^f Dipartimento di Scienze Chimiche, Università di Padova, via Marzolo 1, Padova, 35131, Italy

^g Ikerbasque, Basque Foundation for Science, Bilbao, 48011, Spain

ABSTRACT

Catalytic reactions that use metal complexes as substrates, rather than catalysts, are nearly unknown. We recently demonstrated that certain flavins (FLs) are potent redox photocatalysts capable of converting Pt^{IV} anticancer prodrug complexes into Pt^{II} drugs in the biological environment. Herein, we investigate the mechanism of these artificial photocatalytic reactions employing four different free flavins, namely riboflavin (**Rf**), flavin mononucleotide (**FMN**), tetra-O-acetyl riboflavin (**TARF**) and lumiflavin (**Lf**), and the flavoprotein **miniSOG** (mini Singlet Oxygen Generator) together with a panel of Pt^{IV} substrates conveniently selected. NMR, steady-state and time-resolved optical spectroscopy studies highlight that light activation of FLs in the presence of NADH as electron donor (pH 7–7.5) eventually leads to the generation of the reduced FLH⁻ species which catalyzes the Pt^{IV}-to- Pt^{II} conversion with turnover frequency (TOF) values ranging between 1.3 and 30 min⁻¹, and turnover number (TON) values reaching 500. Comparable catalytic efficiency is also found for reactions performed in cell culture medium. Density functional theory suggests that activation via reduction of the Pt^{IV} complexes may be influenced by H-bonding interactions between the FL catalyst and the metal substrate and confirm that both the isoalloxazine and ribityl moieties of the FLs determine the catalytic efficiency of the process. The FMN-containing **miniSOG** is a less effective catalyst (TOFs < 5.6 min⁻¹) since the formation of the doubly reduced FMNH⁻ competes with an electron transfer reaction involving the protein matrix which quenches the FMN excited state to give a singly-reduced FMN^{•-}.

KEYWORDS

Photocatalysis, flavins, bioorthogonal catalysis, platinum prodrugs, chemotherapy, photoactivation

INTRODUCTION

In catalysis, coordination and organometallic complexes typically act as catalysts to kinetically favor the conversion of organic substrates into added-value products. Recently, we subverted such a paradigm to conceive new approaches for the activation of metal-based anticancer prodrugs (Figure 1).¹⁻⁴ We demonstrated that flavins (FLs) can perform under light-irradiation as catalysts to prompt the transformation of transition metal substrates into their biologically active counterparts. In the presence of electron donors, flavin co-factors and certain flavoproteins are able to photoconvert Pt^{IV} precursors into cisplatin or carboplatin within biological environments, switching on the antiproliferative activity of the Pt^{II} drugs *in vitro*. This unconventional approach expands the substrate scope and versatility of bioorthogonal catalytic reactions currently available in drug development,^{1,5,6} potentially creating new uses for a myriad of inorganic biological agents that have been developed over the last decades as chemotherapeutics and antibacterial agents. The term bioorthogonal here refers to the capacity of these catalysts to attain multiple substrate turnovers and high selectivity towards the Pt^{IV}-to-Pt^{II} conversion in a complex biological environment.

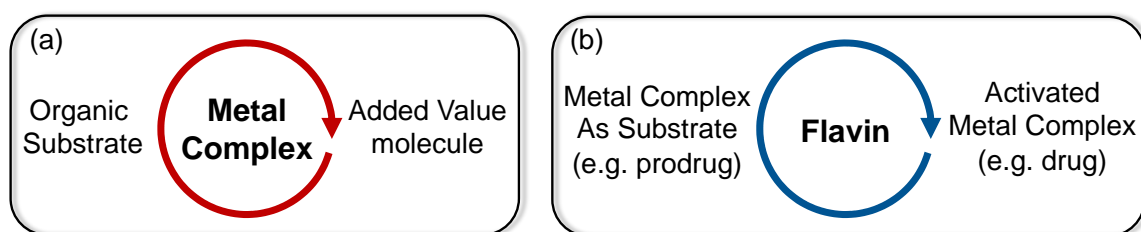


Figure 1. (a) Metal-based catalysis and (b) catalysis towards metal substrates.

Our proof-of-concept studies on flavins, Megger's enantioselective synthesis of [Ru(bpy)₃]²⁺ via organocatalysis,⁷ and the recent aromatic amination of cyclometallated Ru and Rh octahedral complexes reported by Leonori⁸ are, to the best of our knowledge, unique examples of catalytic reactions that use metal complexes as substrates. This uncharted territory may offer intriguing opportunities to expand synthetic inorganic chemistry and foster new catalysis-based applications for coordination and organometallic compounds.

In view of such a perspective, this study aims at expanding the boundaries of catalysis towards metal substrates by providing a detailed understanding of the mechanism through which flavins (photo)catalyze the activation of Pt^{IV} complexes. Herein, we gather novel insights in the catalytic mechanism and rationalize how changes in the structure of flavin catalysts and Pt^{IV} substrates significantly affect reaction outcomes.

This work also reports fundamental information on the redox chemistry of flavins towards transition metals. For instance, flavoenzymes such as mercuric reductase regulates Hg resistance in several organisms by promoting the conversion of highly toxic Hg^{II} species to less dangerous Hg⁰.⁹ Hence, findings described in this manuscript may have potential implications in the biochemistry and in the cell homeostasis of metals.

RESULTS AND DISCUSSION

Catalysis studies

We employed five flavins and four Pt^{IV} prodrug complexes to investigate the catalyst and substrate scope for the reduction of anticancer metal complexes (Figure 2). We selected riboflavin (**Rf**), riboflavin-5'-phosphate (**FMN**), 2',3',4',5'-tetraacetylriboflavin (**TARF**) and lumiflavin (**Lf**) to assess the role of the ribityl side chain in the catalytic transformation of metal substrates. As shown in Figure S1 and in previous studies,¹⁰ the photostability of flavin derivatives strongly depends on this

fragment (**TARF** ~ **Lf** > **Rf** ~ **FMN**). The flavoprotein **miniSOG** (mini Singlet Oxygen Generator)¹¹ was included in our panel of catalysts to gauge the impact of an amino acid scaffold around the flavin catalytic core on the catalysis. **MiniSOG** is a small FMN-containing fluorescent protein developed as CLEM (correlative light and electron microscopy) tag¹¹ and investigated as photosensitizing agent,^{12,13} including for photodynamic therapy.^{14,15} We opted for *cis,cis,trans*-[Pt(NH₃)₂(Cl₂)(O₂CCH₂CH₂CO₂)₂]²⁻ (**1**), *cis,cis,trans*-[Pt(NH₃)₂(O₄C₆H₆)(O₂CCH₂CH₂CO₂)₂]²⁻ (**2**), *cis,cis,trans*-[Pt(NH₃)₂(Cl₂)(O₂CCH₃)₂] (**3**), *cis,cis,trans*-[Pt(NH₃)₂(O₄C₆H₆)(O₂CCH₃)₂] (**4**) as Pt^{IV} substrates because they are structurally similar, and yet present features that could influence the catalytic process. We envisaged that differences in the equatorial (chlorido *versus* cyclobutane dicarboxylato) and axial (succinato *versus* acetato) ligands of the complexes could affect the catalysis. Moreover, these complexes are of relevance in medicinal inorganic chemistry since they are either prodrugs of cisplatin (**1** and **3**) or carboplatin (**2** and **4**), two anticancer drugs clinically approved worldwide.^{16,17}

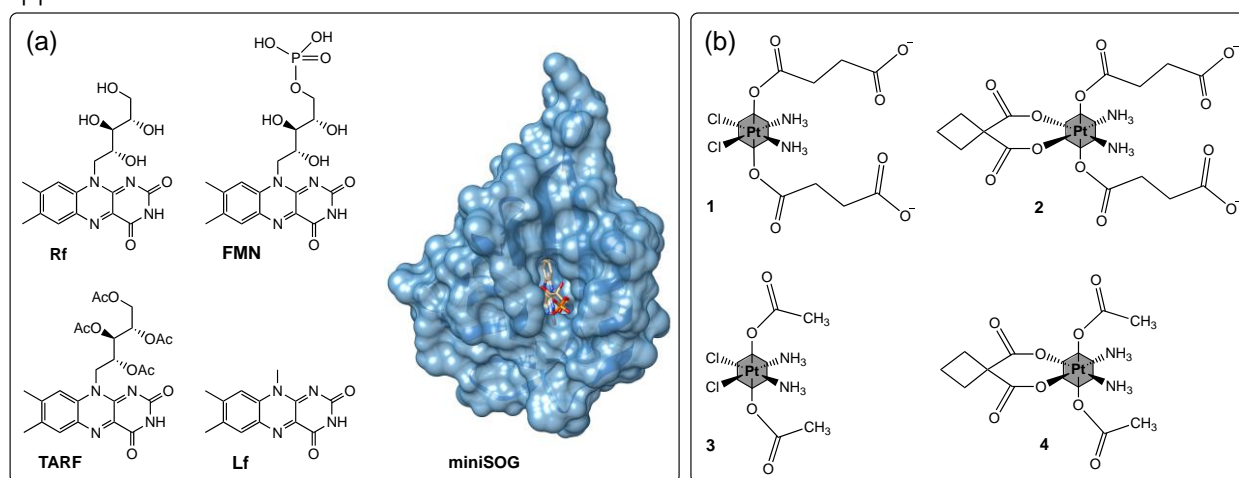


Figure 2. Structures of (a) catalysts and (b) substrates employed in this work. Catalysts: riboflavin (**Rf**), riboflavin-5'-phosphate (**FMN**), 2',3',4',5'-tetraacetylriboflavin (**TARF**), lumiflavin (**Lf**), mini Singlet Oxygen Generator (**miniSOG**). Substrates: *cis,cis,trans*-[Pt(NH₃)₂(Cl₂)(O₂CCH₂CH₂CO₂)₂]²⁻ (**1**), *cis,cis,trans*-[Pt(NH₃)₂(O₄C₆H₆)(O₂CCH₂CH₂CO₂)₂]²⁻ (**2**), *cis,cis,trans*-[Pt(NH₃)₂(Cl₂)(O₂CCH₃)₂] (**3**), *cis,cis,trans*-[Pt(NH₃)₂(O₄C₆H₆)(O₂CCH₃)₂] (**4**).

Unless otherwise stated, all photocatalysis experiments were performed in phosphate buffer (PB, 18 mM pH 7) using 25 μM catalyst (5% loading), 500 μM substrate (**1–4**) and 1 mM NADH (nicotinamide adenine dinucleotide) as electron donor. The choice of NADH was motivated by its participation in numerous biochemical redox reactions carried out by flavoproteins.¹⁸ Nevertheless, biological electron donors such as ascorbate can also be employed, whereas glutathione (GSH) is not efficacious in these reactions (Figure S2 and S3). Samples were irradiated with a 460-nm LED light source (6 mW·cm⁻²) in the presence of O₂. We evaluated reaction progression by ¹H NMR, monitoring the appearance and disappearance of diagnostic peaks corresponding to the coordinated and free succinato or acetato ligands of **1–4**. The release of such ligands corresponds to the formation of biologically active Pt^{II} species.²

The stability of complexes **1–4** (500 μM) was initially tested in PB (18 mM) buffer with NADH (1 mM) over 48 h in the dark. We observed no decomposition of the Pt^{IV} substrates in the absence of FLs (Figure S4). When flavins (25 μM) were added to the PB buffered solution, conversion of **1–4** slowly occurred reaching 40 to 100 % after 16 h, except in the case of samples containing **miniSOG** that showed barely any change after 48 h (Figure S5–S9). Experiments performed using **Rf** and **1** (Figure S10) revealed that the dark conversion of the substrate was faster at higher concentrations of **Rf** (50–150 μM) and NADH (2.5 and 5 mM).

Dark reactivity of the different catalysts towards the substrates did not follow any specific trend. Complexes **2** and **4** partially afforded (5–40%) free cyclobutane dicarboxylato (CBDA) ligand as

reaction product in the presence of **FMN**, **TARF** and **Lf**. In addition, the photostability of metal substrates **1–4** was tested in the presence of 1 mM NADH (18 mM PB, pH 7) under 460-nm light irradiation. Complexes **1** and **3** underwent approximately 20% conversion over 3 h, while carboplatin derivatives did not show any light-induced reactivity (Figure S11). Under light irradiation, solutions containing **1–4** and catalytic amounts of **Rf** did not present any significant substrate conversion in the absence of NADH (Figure S12), confirming the need of an electron donor for the catalysis to occur.

Table 1 and Figure 3 summarize catalysis results obtained for the different flavin catalysts and metal substrates under the conditions described above (see also, Figure S13–17).

Table 1. Turnover frequencies (TOFs, min⁻¹), turnover numbers (TONs) and conversion (Conv.) percentages for the flavin-catalyzed photoactivation of **1–4** in the presence of NADH.

Complex	TOF (min ⁻¹)	TON	Conv. [%]
Rf			
1	17.3 ± 0.6	20	100
2	8.1 ± 0.4	20	100
3	20.3 ± 2.0	20	100
4	10.0 ± 0.3	20	100
FMN			
1	19.3 ± 1.7	20	100
2	13.4 ± 0.9	17.9 ± 0.2	89.9 ± 0.9
3	23.2 ± 1.7	20	100
4	15.5 ± 1.4	20	100
TARF			
1	24.1 ± 5.1	20	100
2	13.7 ± 0.2	18.5 ± 0.2	92.4 ± 1.0
3	26.0 ± 1.1	20	100
4	22.7 ± 5.6	20	100
Lf			
1	9.2 ± 0.1	20	100
2	2.6 ± 1.6	20	100
3	13.3 ± 0.5	20	100
4	7.9 ± 1.0	20	100
miniSOG			
1	3.7 ± 0.2	20	100
2	1.3 ± 0.3	14.7 ± 0.7	73.4 ± 3.8
3	5.6 ± 0.3	18.7 ± 1.1	93.7 ± 5.5
4	2.6 ± 0.4	17.5 ± 0.6	87.7 ± 3.1

Photocatalytic reactions reached full conversion of **1–4** and turnover numbers (TONs) of 20 upon few minutes of light irradiation, the only exception being **2** with **FMN** and **TARF** and **2–4** with **miniSOG**. TOF values for free **FMN** were 4-6 times higher compared to FMN-embedded in **miniSOG**, except in the case of **2** that was 10-fold higher. Notably, TONs reached significantly higher values when lower catalyst loading (0.2%, 1 μM) and longer irradiation periods were employed. In the case of **Rf**, we observed TONs of 500 for **1** and **3** and of 423 and 468 for **2** and **4** respectively, upon approximately 2 h of light irradiation (Figure S18).

In general, cisplatin prodrugs **1** and **3** were more efficiently converted compared to their carboplatin analogues **2** and **4**, affording higher turnover frequency values (TOF, min⁻¹). The preference for cisplatin prodrugs was confirmed in substrate competitive experiments in which the conversion of couples of substrates was simultaneously tested (Figure S19 and S20).

Complex **3** showed the highest TOF for all flavin catalysts. The catalytic activity of **Lf** resulted in significantly lower TOFs compared to other flavins, reasonably suggesting that the ribityl chain plays an important role in the catalytic process (*vide infra*). Overall, **miniSOG** was the least efficient catalyst, with TOF values ranging 1.3–5.6 min⁻¹, and showed similar reactivity as **Lf** towards substrate **2**. On average, the protein scaffold of **miniSOG** lowered reaction rates 6.4 times compared to free **FMN** which can be explained by the reduced substrate accessibility to the FMN when embedded in the protein.

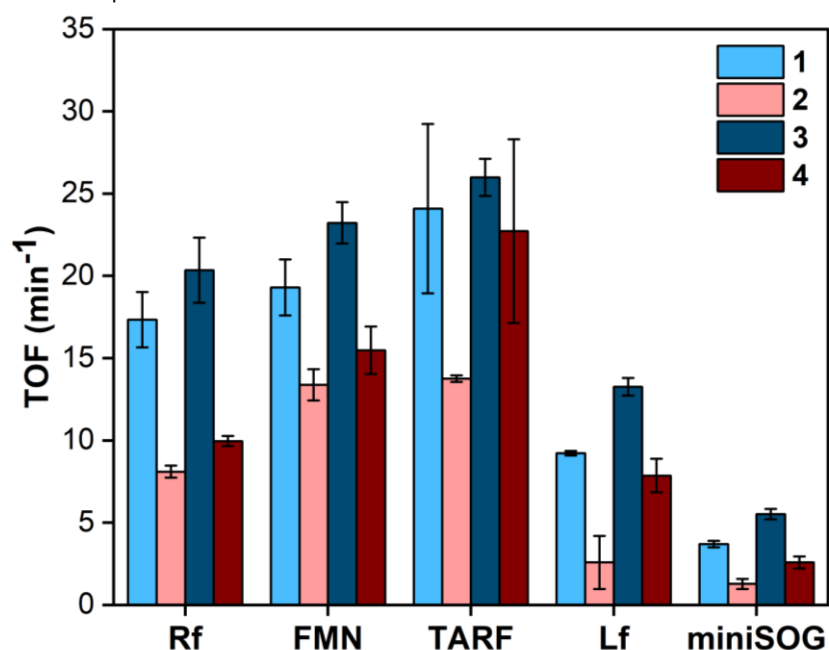


Figure 3. Turnover frequency values (TOF, min⁻¹) for the flavin-catalyzed photoactivation of **1–4**.

Catalysis in cell culture medium

The application of catalysis towards metal substrates in the context of medicinal inorganic chemistry relies on the capability of flavins to convert the Pt^{IV} prodrugs in the biological environment (i.e. generate selectively Pt^{II} species in cells and/or their surroundings).^{2,3} Therefore, we studied how the flavin catalysts performed the activation of Pt^{IV} substrates in cell culture medium including fetal bovine serum (FBS). Such milieu contains a variety of chemicals and biologicals molecules can interfere with the catalysis.

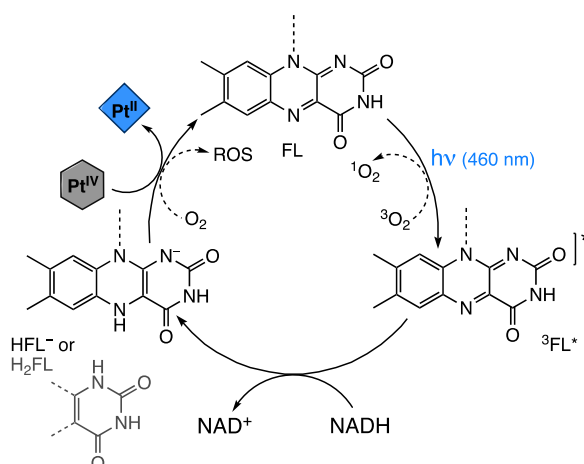
Substrates **1–4** were stable in medium containing 1 mM NADH for over 24 h in the dark (Figure S21). Upon addition of **Rf** (25 μM) the substrates were instead slowly transformed into their reaction products without the need of light excitation. Reactions were slow, and less than 40% conversion was observed in the first 8 h (Figure S22). The behavior of the other flavin catalysts was studied using **1** and **4**, because of their structural differences. In the dark, **FMN** and **Lf** performed similarly to **Rf**, promoting only partial substrate conversion at 8 h and full conversion at 24 h (Figure S23 and S24). **TARF** instead achieved full transformation of **1** and **4** within 1 h in the dark (Figure S25). Conversely, **miniSOG** did not show the capacity to carry out the activation of the substrates (24 h) in the absence of light (Figure S26), which is a potential advantage for controlling the effects associated with the activation of Pt^{IV} prodrugs in cells.

Under light irradiation, all FLs were dramatically efficient in converting **1** and **4**. For all catalysts, the Pt^{IV} prodrugs were fully activated within 1 min, except in the case of **miniSOG** which required more than 6–10 min (Figure S27 and S28). The quantification of reaction efficiencies was problematic in cell culture medium due to the crowded spectrum and signal overlapping. However, ¹H spectra evidenced that catalytic reactions were slightly faster than in buffer solutions, suggesting that catalysts were not noticeably inactivated in a more stringent biological environment and that they still recognized Pt^{IV} substrates despite the presence of numerous other

chemical and biological reactants. Therefore, these results highlighted the bioorthogonal selectivity of flavin-mediated catalysis towards Pt substrates and indicated that other electron donors present in the medium likely contributed to the catalysis as well.

Catalytic mechanism

A plausible assumption for the mechanism of the Pt^{IV}-to-Pt^{II} photocatalytic conversion of **1–4** implicates the formation of the triplet excited state (³FL*) of the flavin photocatalyst through intersystem crossing after exciting its singlet state. ³FL* is a strong oxidant capable of extracting two electrons from donors, such as NADH, to afford H₂FL or HFL⁻, depending on the pH of the solution.¹⁸ Such reduced flavin forms are the active catalytic species that prompt the effective and bioorthogonal transformation of **1–4** (Scheme 1). In the absence of light, the formation of H₂FL/HFL⁻ still takes place but is significantly less efficient. Indeed, comparative experiments under O₂-free conditions showed that the consumption of NADH by **FMN** is much slower in the dark than under irradiation (Figure S29–S31). Furthermore, reduced flavins are readily oxidized by O₂ under aerobic conditions to ultimately give H₂O₂.¹⁹ Thus, the conversion of Pt^{IV} substrates is much slower in the absence of light, being H₂FL/HFL⁻ present at lower concentrations.



Scheme 1. Proposed mechanism for the catalytic conversion of substrates **1–4** by flavin catalysts.

To validate this mechanistic hypothesis, we studied in detail the reactivity of H₂FL/HFL⁻ towards **1** under oxygen-free conditions. N₂-purged solution of **FMN** and NADH (1:1, 15 μM in PB buffer, pH 7.4) were irradiated at 460 nm for few seconds to obtain **HFMN⁻** as confirmed by the appearance of its characteristic absorption spectrum and the concomitant disappearance of **FMN** bands at 300–500 nm (Figure 4a).²⁰

At pH above 7.0, HFL⁻ is the most abundant species for the catalysts tested in this work.¹⁸ Nevertheless, experiments at different pHs, run using **1** and **3** and **FMN** indicated that both H₂FL and HFL⁻ could perform the catalysis with similar efficiency (Figure S14 and S32).

Of note, work by Hollmann^{21,22} demonstrated that light irradiation of flavin catalysts could boost reaction rates for the aerobic oxidation of reduced nicotinamide cofactors. Correspondingly, our results showed that use of NADH and visible light was effective in generating doubly reduced free flavins in oxygen free atmosphere. Compared to other established procedures,²³ this approach is advantageous since it avoids the use of high concentrations of strong reductants (e.g. sodium dithionite or borohydride) or the need of long photoreduction reactions with oxalate and UV light. We obtained proof that **HFMN⁻** is the catalytic active species by monitoring the evolution of its UV-Vis spectrum upon addition of **1** (54 μM, final concentration) under anaerobic conditions. As shown in Figure 4a, **HFMN⁻** was promptly re-oxidized and the absorption features of **FMN** restored once the Pt^{IV} substrate was added. Under similar conditions (i.e. no O₂, 3.3 mM **FMN** and NADH, PB pH 7.4), ¹H NMR resonances of **FMN** disappeared in the presence of NADH upon light irradiation

(Figure 4b), consistently with the conversion to **HFMN**⁻, and reemerged only after successive additions of **1** (4 mM, final concentration). The presence of the singlet signal correspondent to free succinate confirmed the conversion of the Pt^{IV} substrate. Once an excess of substrate was added (Figure 4b), signals relative to unreacted **1** became clearly visible again. As previously reported by Gschwind and coworkers,^{24,25} line broadening due to proton exchange prevented direct detection of ¹H NMR signals relative to reduced flavin species in aqueous solutions. Equivalent results were obtained by UV-Vis for **TARF** and **Lf** using **1** as substrate (Figure S33 and S34).

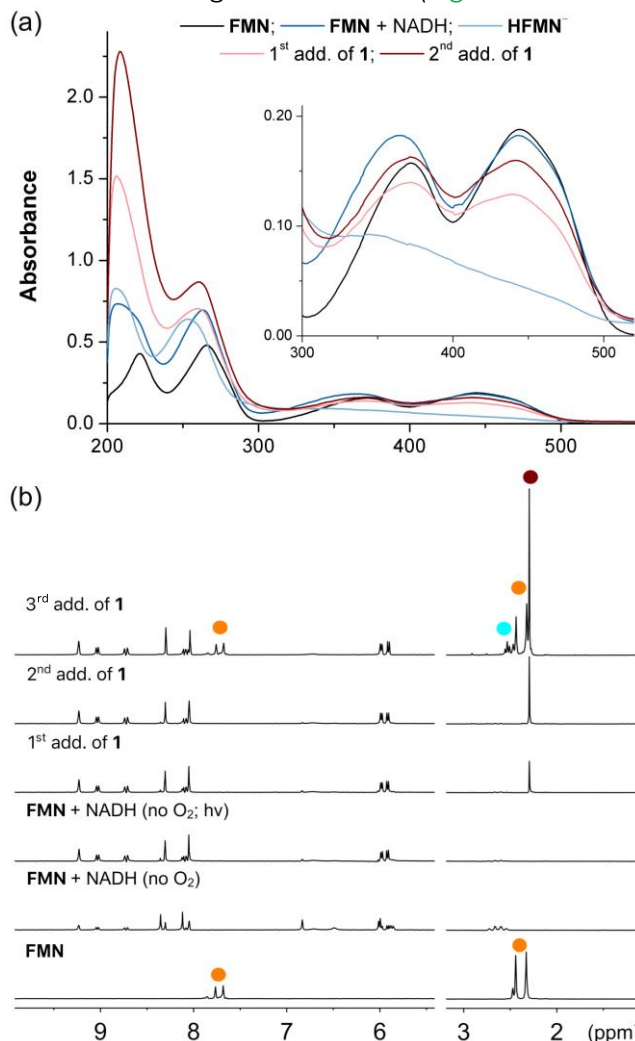


Figure 4. (a) UV-Vis and (b) NMR characterization of the key catalytic species **HFMN**⁻ and its reactivity towards **1**. **HFMN**⁻ (a: 15 μ M; b: 3.3 mM) generated using 1 mol equiv. of NADH upon 460-nm light irradiation ($6 \text{ mW}\cdot\text{cm}^{-2}$, a: 40 s; b: 360 s) in the absence of O_2 (18 mM PB, pH 7.4). Final concentrations of **1** were 54 μ M and 4 mM for UV-Vis and NMR experiments, respectively. ¹H NMR signal labelling: ● **FMN**; ● free $^-\text{O}_2\text{CCH}_2\text{CH}_2\text{CO}_2^-$; ● **1** ($\text{Pt-OCOCH}_2\text{CH}_2\text{CO}_2^-$).

Time-resolved optical spectroscopy proved that generation of **HFL**⁻ occurred via initial reductive quenching of the ³FL* excited state by NADH. In first instance, fluorescence emission lifetime measurements performed on **FMN** ($\lambda_{\text{exc}} = 445 \text{ nm}$, $\lambda_{\text{em}} = 540 \text{ nm}$, Figure S35) indicated that its singlet excited state decay ($\tau_{\text{Fluo}} = 4.7 \text{ ns}$) was not altered by the presence of NADH (1:20) or NADH and **1** (1:20:20), therefore ruling out the involvement of such state in the catalytic process. However, the case of ³**FMN*** excited state was different, as demonstrated by flash photolysis experiments (Figure 5, Figure S36). Previous work²⁶ showed that the evolution of the triplet state of **Rf** in the presence of quenchers can be monitored by the decay of its characteristic triplet-triplet absorption band. For this reason, we measured the transient absorption spectrum of **FMN** ($\lambda_{\text{exc}} = 445 \text{ nm}$) in a de-aerated solution and determined the triplet lifetime in the presence of NADH and **1**. Consistently with the literature, the spectrum of ³**FMN*** displayed an intense and negative

contribution in the 420–480 nm range, corresponding to the ground state bleaching associated with the $S_0 \rightarrow S_1$ transition. The positive band at around 600–720 nm was attributed to the absorption of the T_1 state. The assignment of the triplet-state absorption was also confirmed by its fast quenching in the presence of O_2 . The **FMN** triplet lifetime in a de-aerated solution (τ_0^T) was 14 μs (Figure 5) long enough for an efficient quenching by O_2 , which indeed reduced τ^T (lifetime in aerated solution) to 4 μs in air-saturated samples (Figure S36). In the presence of an NADH excess (1:20), we observed that τ_0^T decreased as well, from 14 μs to 2.3 μs , confirming the reductive quenching of ${}^3\text{FMN}^*$ by this electron donor. Nevertheless, no further significant changes were observed on τ_0^T once **1** (1:20:20) was added to the solution containing both **FMN** and NADH. This result indicated that the Pt^{IV} conversion process does not involve ${}^3\text{FMN}^*$, but rather the ground-state **HFMN**⁻ species in agreement with UV-Vis and NMR data (Figure 4). Similar findings were obtained tracking the triplet excited state decay in air-saturated solutions (Figure S36).

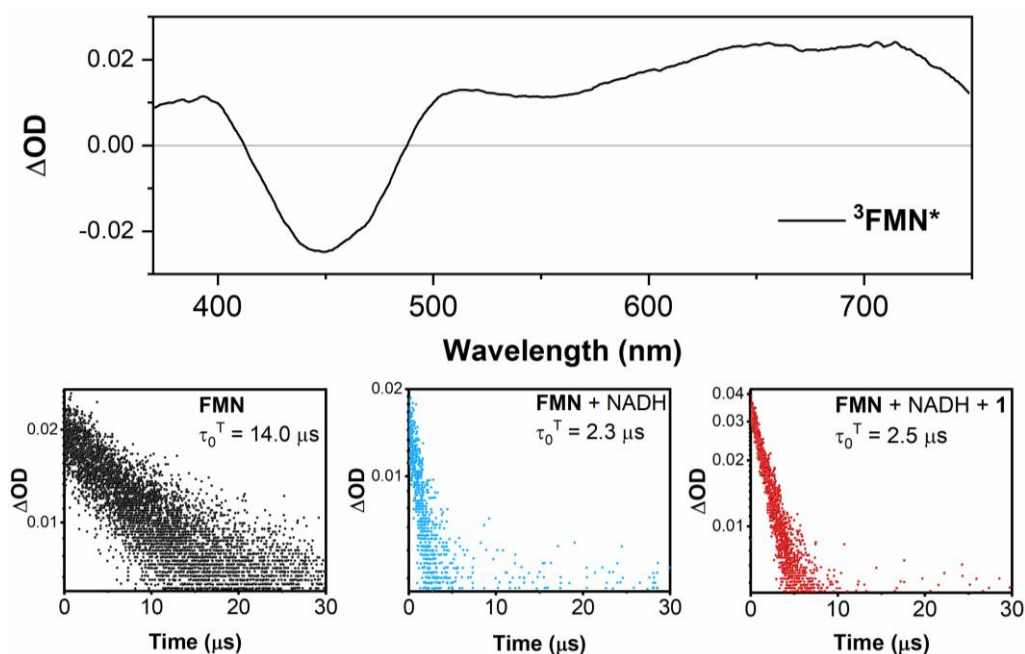


Figure 5. Transient absorption spectrum (λ_{exc} 445 nm) of ${}^3\text{FMN}^*$ and decays (τ_0^T) of the triplet-triplet absorption at 700 nm for N_2 -deaerated solutions of **FMN** (20 μM), (b) **FMN** (20 μM) and NADH (400 μM), and (c) **FMN** (20 μM), NADH (400 μM) and **1** (400 μM).

Considering that reduction potentials for **1–4** are more negative (Figure S37) than FLs, an outer sphere reduction of the substrates would be a neat uphill reaction. For this reason, formation of substrate- $\text{H}_2\text{FL}/\text{HFL}^-$ intermediates, and possibly a ligand-bridged inner sphere mechanism, might promote the flavin-mediated reduction of Pt^{IV} complexes.²⁷ These adducts could trigger the conversion of **1–4** in to their Pt^{II} counterparts at a less negative potential.¹² Furthermore, specific catalyst-substrate interactions can explain the bioorthogonal selectivity of these reactions.

Density functional theory (DFT) calculations indicated that such a scenario is reasonable. Indeed, we optimized a number of substrate- $\text{H}_2\text{Rf}/\text{HRf}^-$ adducts stabilized by hydrogen bonding interactions between the ligands of the Pt complexes and the isoalloxazine and ribityl moieties of FLs (Figure 6, Figure S38 and S39). Consistently with the occurrence of reduction and ligand elimination reactions, optimized geometries displayed electronic structures in which the HOMO (highest occupied molecular orbital) is centered on $\text{H}_2\text{Rf}/\text{HRf}^-$, while the LUMO (lowest unoccupied molecular orbital) and LUMO+1 are σ -antibonding orbitals localized on **1–4** (Figure S40–42). All optimized structures showed interactions of the Pt-bound ligands with the ribityl chain confirming that lack of this fragment was consistent with a decrease in catalytic activity as observed for **Lf** towards all substrates (Table 1 and Figure 3). Furthermore, DFT highlighted that H-bonding

between **TARF** and the substrates were still possible, despite the acetylated ribityl chain of this catalyst. In particular, we could optimized adduct geometries in which the NH_3 ligand of **1–4** formed H-bonds with the $\text{C}=\text{O}(2'\text{C})$ of the ribityl and/or the $\text{N}(5)$ of the isoalloxazine unit of **TARF** (Figure S43).

The overall lower conversion efficiency for the carboplatin derivatives **2** and **4** compared to their cisplatin counterparts **1** and **3** can instead be ascribed to the intrinsic thermodynamic stability of the Pt complexes. In fact, the corresponding axial and equatorial ligand exchange energy, calculated with different DFT functionals (Figure S44), indicated that **1** and **3** are less stable than **2** and **4**, respectively. The same holds true for succinato versus acetato ligands, having **3** lower stability than **1** and **4** than **2**. These trends are also in good agreement with reduction potentials of the complexes (Figure S37).

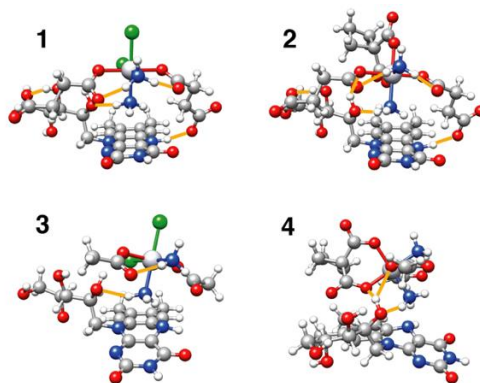


Figure 6. DFT-optimized (pbe0/def2-SVP) structures of adducts between **1–4** and RfH^- (H-bond contacts highlighted with orange lines).

In order to further rationalize the lower stability of cisplatin derivatives with respect to carboplatin ones, we also analyzed the DFT atomic charge of the Pt atom in **1–4** and their corresponding Pt^{II} drugs. Irrespective of the functional or the atomic charge evaluation method used, the Pt atom showed a significant lower positive charge in cisplatin than in carboplatin, as well as in their corresponding Pt^{IV} prodrugs (Figure S37). Therefore, the electrostatic interaction between the Pt center and its equatorial ligands is weaker in the case of cisplatin derivatives compared to carboplatin complexes, and consequently **1** and **3** are more easily converted than **2** and **4**. On the other hand, since succinato ligands bear a doubly negative charge at neutral pH ($\text{pK}_{\text{a}1} = 4.2$ and $\text{pK}_{\text{a}2} = 5.6$), whereas acetato ligands ($\text{pK}_{\text{a}} = 5.5$) are only singly negatively charged, the Pt-acetato bond is weaker than the Pt-succinato one, and therefore easier to activate. These stability trends for **1–4** can be traced back to the stabilization of the Pt-ligand bonds, and provide an overall good explanation for the different prodrug conversion efficiencies found in this work.

MiniSOG has an FMN embedded in the protein structure and showed a more intricate photochemistry. Upon light irradiation and in the absence of electron donors and O_2 , **miniSOG** generated the $\text{FMN}^{\bullet-}$ radical (semiquinone) that displayed a broad absorption peak at ca. 600 nm (Figure S46). This process was previously ascribed to the oxidation of certain amino acids and is known to compete with the capacity of **miniSOG** to generate $^1\text{O}_2$ with high yields.^{28–30} Upon exposing irradiated solutions of **miniSOG** to the oxygen-containing ambient atmosphere, the spectral features of $\text{FMN}^{\bullet-}$ disappeared and the absorbance at 450 nm was almost completely recovered (Figure S46). Conversely, **1** poorly reacted with the radical when incubated with **miniSOG**. This effect was observed by UV-Vis and ^1H NMR experiments (Figure S47 and S48), in which stoichiometric quantities of light-irradiated **miniSOG** in the absence of O_2 could transform **1** much slower than under the standard aerobic catalysis conditions.

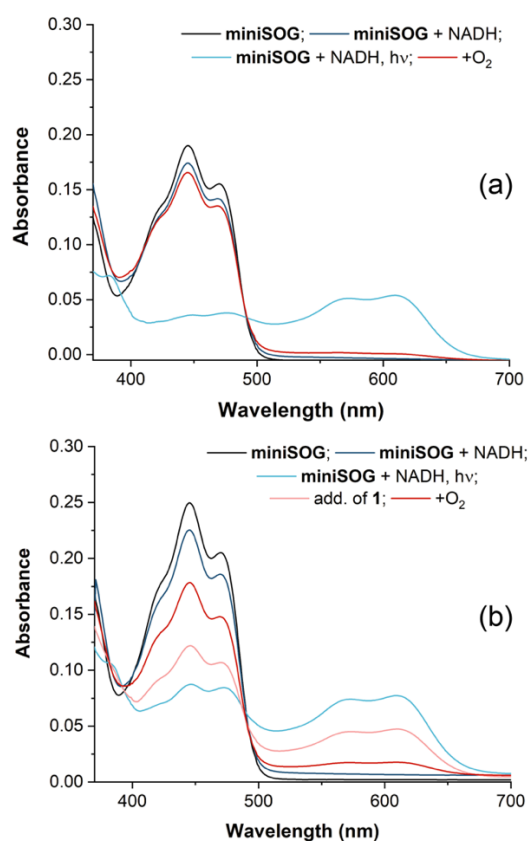


Figure 7. UV-Vis monitoring of light-irradiated **miniSOG** under anaerobic conditions upon addition of electron acceptors: (a) O₂ and (b) **1** (120 μM). Solutions of **miniSOG** (15 μM, 18 mM PB, pH 7.4) were irradiated (6 mW·cm⁻², 180 s) in the presence of 1 mol equiv. of NADH and in the absence of O₂.

In the presence of NADH, electron transfer from the protein scaffold was not shut down, and the FMN^{•-} radical could still be detected (Figure 7a and b). Nevertheless, UV-Vis spectra revealed that doubly-reduced FMN was also obtained, as indicated by the marked absorbance decrease at 400–500 nm. Exposure of such solution to either O₂ or **1** (120 μM) caused the regeneration of FMN indicating that HFMN⁻ was likely the major active catalytic species towards the Pt substrates for **miniSOG** as well. So, quenching of FMN excited state by photooxidation of amino acid residues competed with the formation of the active catalysts, in a similar fashion to what has been observed for ¹O₂ sensitization. Compared to other FLs tested herein, the lower conversions and TOF values of **miniSOG** should also be ascribed to the reduced substrate accessibility of its FMN.

As shown here for **miniSOG** and for other FL catalysts in Figure S49, O₂ functioned as electron sink to deactivate doubly and singly-reduced FLs. This behavior is not surprising considering the biochemistry of flavins and flavoproteins. However, it suggests that the role of O₂ in the catalytic conversion of **1–4** ought to be evaluated for a thorough comprehension of the catalytic mechanism. In addition, FLs such as the one tested in this work are well known singlet-oxygen (¹O₂) photosensitizers.^{13,31}

Monitoring the photoactivation kinetics of **1–4** in aerated solutions, we observed an induction period for all FL catalysts (Figure S50) at short light irradiation times. These findings are consistent with the presence of competitive catalytic cycles that involve the transformation of O₂ in ¹O₂ and reactive oxygen species (ROS). Under anaerobic conditions, conversion of substrates readily occurs. Conversely, as shown for **1** and **FMN** (Figure S51), the reaction does not reach completion and kinetics is significantly slower when air is bubbled into the solution.

FL catalysts can interact with O₂ in the ³FL* excited state to give ¹O₂ or once reduced to HFL⁻ to generate ROS such as O₂^{•-} and H₂O₂ (Scheme 1). We confirmed the occurrence of both pathways by means of indirect optical methods previously validated for **FMN** and **miniSOG**.^{12,29} That is, we

employed uric acid and hydroethidine as molecular probes for the formation of $^1\text{O}_2$ and ROS, respectively. We observed that **FMN** and **miniSOG** solutions irradiated in the presence of an excess of NADH and **1** showed indeed production of both (Figure S52–S54). As described previously,^{12,29} free **FMN** is a better $^1\text{O}_2$ photosensitizer than FMN embedded in the **miniSOG** scaffold, in agreement with the self-quenching of its triplet excited state by the protein matrix in the latter case. However, **miniSOG** generation of ROS was almost comparable to **FMN**, reasonably because $\text{O}_2^{\bullet-}$ is readily formed by photoinitiated electron-transfer reactions involving the protein (e.g. from $\text{FMN}^{\bullet-}$). Control experiments run in the absence of NADH and **1** did not show a significant decrease in the generation of $^1\text{O}_2$ and ROS, suggesting that O_2 was immediately converted by flavins in such photoproducts, before the catalysis towards Pt substrates took place.

CONCLUSIONS

This work expands the scope of flavin-mediated photocatalysis towards platinum substrates, providing new fundamental mechanistic details. The reduced $\text{H}_2\text{FL}/\text{HFL}^-$ species has been identified by optical spectroscopy and NMR under anaerobic conditions as the active catalyst. H-bond interactions between $\text{H}_2\text{FL}/\text{HFL}^-$ and the Pt^{IV} substrates may be crucial in promoting the conversion to Pt^{II} drugs, possibly via a ligand-bridged inner sphere reduction mechanism. The ribityl chain could stabilize these putative intermediates and increase the catalytic efficiency of the reactions. For this reason, **Lf** showed the lowest TOFs among the free FLs tested. Self-quenching of the **miniSOG** excited states by protein amino acids reduces the efficiency of this catalyst, as similarly observed for its $^1\text{O}_2$ photosensitization capacity. Structural modifications of the ribityl group in free FLs and site mutagenesis in **miniSOG** are worth investigating in the future to boost their catalytic activity and widen the repertoire of this new chemistry towards different metals.

EXPERIMENTAL SECTION

Materials

Riboflavin (**Rf**), riboflavin 5'-monophosphate sodium salt hydrate (**FMN**), potassium phosphate monobasic, potassium phosphate dibasic, β -nicotinamide adenine dinucleotide, reduced disodium salt hydrate, sodium dithionite, RPMI-1640 medium were purchased from Sigma-Adrich, potassium tetrachloroplatinate(II) from Precious Metals Online. All chemicals were used as received without additional purification. The fetal bovine serum (10%) added to the RPMI-1640 medium was purchased from Invitrogen.

Preparation of substrates and catalysts. Complexes *cis,cis,trans*- $[\text{Pt}(\text{NH}_3)_2(\text{Cl}_2)(\text{O}_2\text{CCH}_2\text{CH}_2\text{CO}_2)_2]^{2-}$ (**1**), *cis,cis,trans*- $[\text{Pt}(\text{NH}_3)_2(\text{O}_4\text{C}_6\text{H}_6)(\text{O}_2\text{CCH}_2\text{CH}_2\text{CO}_2)_2]^{2-}$ (**2**), *cis,cis,trans*- $[\text{Pt}(\text{NH}_3)_2(\text{Cl}_2)(\text{O}_2\text{CCH}_3)_2]$ (**3**), *cis,cis,trans*- $[\text{Pt}(\text{NH}_3)_2(\text{O}_4\text{C}_6\text{H}_6)(\text{O}_2\text{CCH}_3)_2]$ (**4**) were synthesized and characterized as previously reported.^{32–34} Tetra-O-acetyl riboflavin (**TARF**) and lumiflavin (**Lf**) were prepared following the procedure reported by I. Jhulki *et al.*³⁵ **MiniSOG** was prepared and purified as previously reported by us.³

Methods

Nuclear magnetic Resonance (NMR). ^1H NMR spectra of the various samples were recorded on a Fourier TM Bruker 300 NMR and on an AVANCE III Bruker 500 NMR spectrometer using standard pulse programs. Chemical shifts were reported in parts-per-million (δ , ppm) and referenced to the residual solvent peak.

Catalysis experiments. Unless otherwise specified, all reactions were carried out in air at 298 K and pH 7.0 using 25 μM catalyst, 500 μM substrate (**1–4**) and 1 mM NADH. Light irradiation experiments were performed employing an LED light source ($\lambda_{\text{max}} = 460 \text{ nm}$, $6 \text{ mW}\cdot\text{cm}^{-2}$).⁴ Turnover frequency (TOF), turnover number (TON) and % conversion for the catalytic reactions were determined by quantifying the amount of converted **1–4** via ^1H NMR. Integration of the free succinato and acetato ligand signals (singlets at 2.25–2.35 ppm and at approx. 1.80 ppm respectively) were used for monitoring the reaction progress. TOF values were obtained at substrate conversions of 25–35%.

UV-Vis absorption spectroscopy (UV-Vis). All spectra were acquired in optical quartz cuvettes in aqueous solutions or buffers using a JASCO V-730 spectrophotometer.

Fluorescence emission and lifetimes. The emission spectrum of **FMN** (20 μM) was recorded on a spectrofluorimeter Edinburgh Instruments (FL920 model) with a 450 W xenon flash lamp as the excitation source. Fluorescence radiative decay curves were recorded with a time-correlated single-photon counting technique (Edinburgh Instruments, model FL920) at $\lambda_{\text{em}} = 540 \text{ nm}$ after excitation at $\lambda_{\text{exc}} = 445 \text{ nm}$ by means of a titanium supercontinuum wavelength tunable-laser with 150 ps fwhm pulses using a microchannel plate detector (Hamamatsu C4878) with picosecond time resolution. Fluorescence lifetimes were obtained after deconvolution of the instrumental response signal from the recorded decay curves by means of an iterative method. The goodness of the exponential fit was controlled by statistical parameters (χ^2 and analysis of the residuals). Measurements were performed on air-saturated solutions of (a) **FMN** (20 μM), (b) **FMN** (20 μM) and NADH (400 μM), and (c) **FMN** (20 μM), NADH (400 μM) and **1** (400 μM).

Transient absorption and triplet lifetimes. Nanosecond transient absorption measurements were recorded on a LP980 laser flash photolysis spectrometer (Edinburgh Instruments, Livingston, U.K.). Samples were excited by a nanosecond pulsed laser (Nd:YAG laser/OPO, LOTIS TII 2134) at the absorption maxima (445 nm) operating at 1 Hz and with a pulse width of 7 ns at a 10 mJ excitation power. Samples with an optical absorbance of 0.3 at the excitation wavelength were either deaerated with nitrogen for ca. 10 min or aerated for ca. 10 min with air. Transient spectra were recorded on ICCD detector (DH320T TE cooled, Andor Technology). The decay of triplet–triplet absorption in the presence and absence of oxygen (nitrogen and air saturated solutions) were collected at 700 nm on a single detector (PMT R928P) and oscilloscope. Triplet lifetimes in absence and presence of oxygen (τ_0^{T} and τ^{T}) were obtained from the slope of the recorded decay curves by means of an iterative method by LP900 software. The goodness of the exponential fit was controlled by statistical parameters (χ^2). Solutions of (a) **FMN** (20 μM), (b) **FMN** (20 μM) and NADH (400 μM), and (c) **FMN** (20 μM), NADH (400 μM) and **1** (400 μM) were employed for in this set of experiments.

Singlet oxygen and ROS production. Quantification of $^1\text{O}_2$ and ROS was achieved using methods previously established for **FMN** and **miniSOG**.^{12,29} Indirect measurement of $^1\text{O}_2$ was performed using uric acid (UA) as probe³⁶ and monitoring the changes of its absorbance at 292 nm over light irradiation time. We exposed to 460-nm light ($6 \text{ mW}\cdot\text{cm}^{-2}$) optically-matched solutions containing UA (50 μM) and (a) **FMN/miniSOG** (5 μM), (b) **FMN/miniSOG** (5 μM) and NADH (30 μM), and (c) **FMN/miniSOG** (5 μM), NADH (30 μM) and **1** (15 μM).

Photooxidation of hydroethidine (HE) was instead used to evaluate the production of other ROS (particularly $\text{O}_2^{\bullet-}$) since the transformation of this probe does not occur upon interaction with $^1\text{O}_2$.^{29,37} Also in this case, HE (50 μM) solutions containing (a) **FMN/miniSOG** (5 μM), (b) **FMN/miniSOG** (5 μM) and NADH (30 μM), and (c) **FMN/miniSOG** (5 μM), NADH (30 μM) and **1** (15

μM) were irradiated at 460 nm and their fluorescence intensity collected at different time points ($\lambda_{\text{ex}} = 525 \text{ nm}$, $\lambda_{\text{em}} = 550\text{--}800 \text{ nm}$) as described previously.²⁹

Electrochemistry. Cyclic voltammetry experiments were performed using a Metrohm Autolab 302N potentiostat. The electrochemical cell was a single-compartment cell equipped with a standard three-electrode set-up: a glassy carbon working electrode ($\varnothing = 1 \text{ mm}$), a Pt-wire counter electrode and a saturated calomel electrode (SCE) as reference. All measurements were carried out in deoxygenated condition under argon atmosphere, employing a 0.05 M phosphate buffer (pH 7.4) containing 0.15 M NaCl. Solutions for metal complexes were $5.0 \cdot 10^{-4} \text{ M}$. **FMN** and **Rf** were measured at $2.7 \cdot 10^{-4}$ and $2.0 \cdot 10^{-4} \text{ M}$ respectively, while **TARF** and **Lf** voltammogram were obtained using saturated solutions due to poor solubility. The working electrode was polished with alumina, rinsed with distilled water and dried before each potential sweep to ensure reproducible surface for all experiments.

Computational methods. All calculations were performed with Gaussian 16, Revision B01.³⁸ Geometry optimizations of substrate-riboflavin adducts were run at the DFT level using the pbe0/def2-SVP combination.^{39,40} Solvent was introduced by means of the polarized continuum model (PCM) with water as implicit solvent, and dispersion interactions were taken into account using Grimme's dispersion correction with Becke and Johnson's damping.⁴¹ The frequencies were then used to evaluate the zero-point vibrational energy (ZPVE) and the thermal ($T = 298 \text{ K}$) vibrational corrections to the enthalpies and Gibbs free energies within the harmonic oscillator approximation. To calculate the entropy, the different contributions to the partition function were evaluated using the standard statistical mechanics expressions in the canonical ensemble and the harmonic oscillator and rigid rotor approximation. Energy calculations for the relative stability of substrates **1–4** were performed using the def2-TVP basis set⁴⁰ and three different functionals, namely pbe0, wb97xd⁴² and m062x.⁴³ We calculated atomic charges using the same combination of functionals and basis set together with the nbo⁴⁴ and chelpg⁴⁵ methods.

AUTHOR INFORMATION

Corresponding Author

E-mail*: lsalassa@dipc.org

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