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Comprehensive two-dimensional gas chromatography coupled with time of flight mass spectrometry featuring tandem ionization: Challenges and opportunities for accurate fingerprinting studies

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Comprehensive two-dimensional gas chromatography coupled with time of 1 flight mass spectrometry featuring tandem ionization: challenges and 2 opportunities for accurate fingerprinting studies 3 4 Chiara Cordero^{1*} Alessandro Guglielmetti¹, Carlo Bicchi¹, Erica Liberto¹, Lucie Baroux², Philippe Merle², 5 Qingping Tao³ and Stephen E. Reichenbach^{3,4} 6 7 ¹Dipartimento di Scienza e Tecnologia del Farmaco, Università degli Studi di Torino, Turin, Italy 8 ²Analytical Innovation, Corporate R&D Division, Firmenich S.A. Geneva, Switzerland 9 ³GC Image LLC, Lincoln, NE, USA 10 11 ⁴Computer Science and Engineering Department, University of Nebraska – Lincoln, NE, USA 12 13 14 *Corresponding author: Dr. Chiara Cordero - Dipartimento di Scienza e Tecnologia del Farmaco, Università di Torino, Via Pietro 15 Giuria 9, I-10125 Torino, Italy – e-mail: chiara.cordero@unito.it; phone: +39 011 6707172; fax: +39 011 16 17 2367662 18 19

20 Abstract

21 The capture of volatiles patterns from food gives access to a high level of information related to 22 the role of several functional variables (origin, processing, shelf-life etc.) on sample composition and 23 quality. This analytical process is a type of *fingerprinting* that captures signals revealing a sample's 24 unique traits in order to make effective comparisons. When the focus is on food volatilome, 25 comprehensive two-dimensional gas chromatography combined with time-of-flight mass spectrometry 26 (GC×GC-TOF MS) is undoubtedly the most effective technique for comprehensive fingerprinting studies. 27 TOF MS combined with Electron Ionization (EI) gives access to characteristic fragmentation patterns that 28 enables high confident analyte identification.

A recently patented ion source, featuring variable-energy EI, when operated at low energies (10 eV, 12 eV, 14 eV), claims enhanced intensity of structure-indicating ions while minimizing the inherent loss of sensitivity traditionally experienced at low EI energies. The acquisition, done by multiplexing between two ionization energies in a single analytical run, generates tandem data streams with complementary natures in terms of both MS pattern signature and relative response.

This study explores the potentials of combined untargeted/targeted (*UT*) fingerprinting based on template matching (i.e., *UT fingerprinting* work-flow) with tandem signals. As a challenging bench-test, the complex volatile fractions of high quality cocoas are analyzed and exploited for discrimination.

The quality of the spectra at 70 eV is confirmed by similarity match factors above the acceptability threshold, fixed at 950, while spectral differences between hard (70 eV) and soft (12 eV, 14 eV) ionization are evaluated in terms of spectral profiles (similarity match factor) and signal-to-noise ratio (SNR). Tandem signals are processed independently and after their fusion in a single stream (summed signal) by the *UT fingerprinting* work-flow. Signal characteristics and 2D-peak indicators (SNR, detectable 2D peaks, spectral peak intensities) are computed and evaluated to define the best strategy.

43 Classification performance, directed to discriminate raw from roasted cocoa from four different 44 origins, is validated by cross-comparing supervised pattern recognition results (Linear Discriminant Analysis and Partial Least Squares Discriminant Analysis) on the most discriminant 2D-peak features as 45 they are revealed by single ionization channels or from fused data streams. Cross-matching untargeted 46 and targeted data provides additional validation. Classification results indicate the potential for superior 47 48 performances of UT fingerprinting with fused data streams (summed signals), while signal characteristics 49 at low ionization energies not only offer additional elements to better discriminate isomeric analytes but 50 also the chance to achieve wider dynamic range of exploration.

51 Key-words

- 52 UT fingerprinting, template matching, tandem ionization, comprehensive two-dimensional gas
- 53 chromatography, fused data streams

55 **1. Introduction**

The capture of volatiles patterns from food is a process that, although challenging, gives access to a high level of information related to important variables such as sample composition, origin, processing, shelf-life, and product quality. This process is a type of *fingerprinting* in that it records analytical signals as a sample's distinctive traits, e.g., to make comparisons [1–3]. Therefore, analytical fingerprinting should utilize technologies or platforms that are capable of informing about analytes identities and relative abundances (or quantities) while providing their complete resolution to effectively exploit sample chemical dimensionality [4].

Analytical platforms that combine multidimensional chromatography (MDC) with mass spectrometric detection deliver on these requirements and, if the focus of the research is food volatilome, comprehensive two-dimensional gas chromatography combined with time-of-flight mass spectrometry (GC×GC-TOF MS) is undoubtedly the most effective technique [2,3,5,6]. GC×GC-TOFMS yields highly resolved 2D patterns of volatiles that are distinctive signatures encoding fundamental information about individual samples, particularly analytes identities and relative amounts.

69 MS with Electron Ionization (EI) produces characteristic fragmentation patterns that, thanks to 70 the availability of general or dedicated commercial databases [7,8], when combined with relative retention data (i.e., linear retention indexes I_{s}^{T}), enable reliable identifications of targeted compounds. 71 TOF MS with low mass resolution is the most common detector adopted in combination with GC×GC [5], 72 73 although qMS with advanced high efficiency sources has gained popularity for its compatibility with 74 routine applications [9,10]. Within the available GC×GC-MS solutions, recent studies, dealing with food 75 sensometabolome or characteristic odorants in natural extracts [11-13], discussed the advantages 76 provided by high-resolution MS (HRMS) that produces exact masses, specific fragments, or mass defects 77 data even when co-elution issues affect chromatographic resolution and therefore quality of the 78 spectral data.

In such systems, soft ionization techniques have the potential to help solving identification ambiguities in those cases where EI produces very similar fragmentation patterns, as for structural isomers. In general, soft ionization preserves information about molecular ions while minimizing associated structural fragmentation [14]. Most of the available soft-ionization techniques, i.e., chemical ionization (CI), field ionization (FI), and photoionization (PI), require dedicated instrumentation and/or ion sources, e.g., to switch from standard EI to CI acquisition. However, recent instrumental solutions perform variable-energy EI feature tandem ionization across single analytical runs. A recently patented ion source, featuring variable-energy EI, also referred to as Tandem lonization (TI) [Select eV[™] - US patent number 9,786,480], claims enhanced intensity of structureindicating ions and minimize the inherent loss of sensitivity traditionally experienced at low-energy EI. The ion source applies a high potential difference to accelerate the electrons away from the filament, but then reduces their energy before they arrive in the ion chamber [15]. The acquisition is done by time-switching between two ionization energies in a single analytical run so that two data streams are generated and acquired simultaneously.

Experimental data demonstrated that variable-energy EI was successful to distinguish and identify large isomeric species in unresolved complex mixture (UCM) of motor oil samples [14]. The authors combined data from tandem signals, acquired at 70 eV and 14 eV, together with rationalized 2D retention patterns of aliphatic and aromatic hydrocarbons in the range between $C_{12}-C_{36}$, to achieve an almost complete chemical characterization of samples.

Dubois et al. [16] explored the composition of light volatile organic compounds (VOCs) mixtures from human blood and tested the beneficial effect of low ionization energies (12, 14 and 16 eV) on analyte fragmentations and on the presence of structurally meaningful ions including molecular ions. The authors confirmed previous evidence of the additional confidence in peak identification, especially for closely eluting isomers, often observed in the profiling of the headspace of blood.

A recent paper by Freye et al. [17] moved a step ahead and provided a proof of concept on tandem ionization at 14 eV and 70 eV, discussing the complementary nature of tandem data streams with respect to data processing opportunities. The authors applied a tile-based Fisher ratio analysis and designed a discovery-based investigation by spiking diesel fuel samples with a mixture of twelve analytes at a nominal concentration of 50 ppm. They were successful in detecting eleven of twelve exogenous analytes by processing the data after fusion of tandem signals.

109 In this study, we explore, for the first time, the potentials and limitations of pattern recognition 110 approaches based on template matching (i.e., UT fingerprinting work-flow [18,19]) applied to tandem 111 signals provided by hard and soft ionization. In particular, this work begins to develop a work-flow that exploits information from hard and soft ionization data streams while keeping the advantages of 112 comprehensively mapping the distributions of known and unknown compounds across samples with 113 114 great confidence. As a challenging test case, high quality cocoa from different origins and in two stages 115 of processing are considered. The cocoa volatile metabolome, with its high chemical dimensionality [4], 116 poses several challenges for both detailed profiling and comprehensive fingerprinting.

118 **2. Experimental**

119 **2.1** Chemicals and cocoa samples

The internal standard (IS) α-thujone for chromatographic areas/volumes normalization was from
 Sigma Aldrich (Milan, Italy) and dissolved in diethyl phthalate (Sigma Aldrich 99% of purity) at a
 concentration of 100 mg/L.

123 The mixture of *n*-alkanes (*n*-C9 to *n*-C25) for calibrating linear retention indices (I_{s}^{T}) in the first 124 dimension was from Sigma-Aldrich. The I_{s}^{T} solution was prepared in cyclohexane at a concentration of 125 100 mg/L.

126 Cocoa samples were provided by Gobino srl (Turin, Italy). Samples were selected on the basis of 127 their specific sensory profile from high-quality productions of different geographic origins. Roasting 128 conditions (time and temperature) were set to achieve optimal flavor. The list of samples, together with 129 their origin, supplier, and harvest year are reported in **Table 1**.

130

131 **2.2** Headspace Solid Phase Microextraction devices and sampling conditions

The divinylbenzene/carboxen/polydimethylsiloxane 1 cm SPME fiber was from Supelco (Bellefonte, PA, USA) and used for HS-SPME sampling. The standard in-fiber procedure [20] was adopted to preload the IS (α -thujone) onto the fiber before sampling. A 5.0 μ L solution of IS (α -thujone at 100 mg L⁻¹ in diethyl phthalate) was placed into a 20 mL glass vial and subjected to HS-SPME at 50°C for 5 min. After the IS loading step, the SPME device was exposed to 500 mg of cocoa in a headspace glass vials (20 mL) for 30 min at 50°C. Extracted analytes were recovered by thermal desorption of the fiber into the S/SL injection port of the GC system at 250°C for 5 min.

139

140 **2.3 GC×GC-TOF MS featuring Tandem Ionization: instrument set-up and conditions**

GC×GC analyses were performed on an Agilent 7890B GC unit coupled with a Bench TOF-Select[™] system (Markes International, Llantrisant, UK) featuring Tandem EI. For the purposes of this study, hard ionization at 70 eV was set for identity confirmation while lower electron ionization energies were explored in the range 12-16 eV to find optimal conditions for tandem acquisitions. The ion source and transfer line were set at 270°C. The MS optimization option was set to operate in Tandem Ionization with a mass range between 40 and 300 m/z; data acquisition frequency was 50 Hz per channel; filament voltage was set at 1.60 V.

The system was equipped with a two-stage KT 2004 loop thermal modulator (Zoex Corporation,
 Houston, TX) cooled with liquid nitrogen controlled by Optimode[™] V.2 (SRA Instruments, Cernusco sul

Naviglio, MI, Italy). The hot jet pulse time was set at 250 ms, modulation period was 4 s, and cold-jet
total flow was progressively reduced with a linear function from 40% of Mass Flow Controller (MFC) at
initial conditions to 8% at the end of the run.

153

154 2.4 GC×GC columns and settings

The column set was configured as follows: ¹D SolGel-Wax column (100% polyethylene glycol; 30 m × 0.25 mm d_c, 0.25 µm d_f) from SGE Analytical Science (Ringwood, Australia) coupled with a ²D OV1701 column (86% polydimethylsiloxane, 7% phenyl, 7% cyanopropyl; 2 m × 0.1 mm d_c, 0.10 µm d_f), from J&W (Agilent, Little Falls, DE, USA). SPME thermal desorption into the GC injector port was under the following conditions: split/splitless injector in split mode at 250°C, split ratio 1:20. The carrier gas was helium at a constant flow of 1.3 mL/min. The oven temperature program was from 40°C (2 min) to 240°C at 3.5°C/min (10 min).

162 The *n*-alkanes liquid sample solution for I_s^r determination was analyzed under the following 163 conditions: split/splitless injector in split mode, split ratio 1:50, injector temperature 250°C, and 164 injection volume 1 µL.

165

166 **2.5 Data acquisition and 2D data processing**

167Data were acquired by TOF-DS software (Markes International, Llantrisant, UK) and processed168using GC Image GC×GC Software, ver 2.8 (GC Image, LLC, Lincoln NE, USA).

169

170 3. Results and Discussion

171 In this study, the cocoa volatile metabolome was used as challenging bench test to evaluate 172 potentials and opportunities provided by tandem hard and soft electron ionization in terms of detailed 173 and informative profiling and accurate fingerprinting with template matching algorithms. Based on the 174 outcomes of previous studies aimed at capturing diagnostic fingerprints from high-quality cocoa of 175 different geographical and botanical origin [21-24], it was clear that GC×GC-MS can be employed to 176 deeply explore the multiple chemical dimensions encrypted on the volatile metabolome. Within this 177 fraction are several chemical classes, including informative homologues that are formed through known and unknown chemical and enzymatic pathways during post-harvest and industrial processing. 178 179 Therefore, the possibility to map and collect informative 2D patterns, i.e., characteristic guali-180 quantitative distributions of analytes in the multidimensional analytical space, is fundamental. The 181 hyphenation of GC×GC with TOF MS featuring tandem ionization adds a further dimension at the

detection level, providing additional information while opening new opportunities at the dataelaboration level [17].

The next subsection describes some preliminary steps designed to evaluate tandem ionization detection reliability and to better understand the complementary nature of tandem signals at 70 eV and lower energies for cocoa application. Spectral quality at 70 eV and spectral similarity/dissimilarity are computed to set optimal parameters for tandem acquisition.

Once tandem ionization acquisition parameters are defined, samples are run in a single analytical batch and 2D data processed by UT fingerprinting [18,19]. A schematic diagram of the UT fingerprinting workflow is illustrated in **Supplementary Figure 1**. Informational features (untargeted and targeted peaks) covering the entire cocoa volatile metabolome, are then computed and some statistical descriptors (2D peaks detection thresholds, signal levels, and Signal-to-Noise Ratio (SNR)) are evaluated. Finally, classification is run on untargeted 2D-peak features from single ionization channels and on fused data streams; then, results are discussed and cross-validated with targeted peak features.

- 195
- 196

3.1 Tandem ionization: spectral quality at 70 eV and cocoa volatiles information dimensions

As a preliminary step, the reliability of 70 eV spectra acquired featuring tandem ionization at 50 Hz per channel was evaluated. Quality matches were calculated by matching candidate spectra at 70 eV with those collected in commercial databases (NIST 2014 and Wiley 7n) and in in-house databases established from regular single quadrupole measurement, with the positive identification threshold set at 950 of Direct Match Factor (DMF). Linear retention indices I_s^{T} (± 20 units tolerance) also were considered for identification. In case of co-elutions, spectral deconvolution by the AMDIS algorithm [8] and/or manual inspection with spectral subtraction were performed before identification.

Supplementary Table 1 lists all 193 targeted analytes plus the IS together with their retention times (${}^{1}t_{R}$ min, ${}^{2}t_{R}$ sec), experimentally determined I^{T}_{s} values, and NIST Identity Search algorithm Match Factors: DMF and Reverse Match Factor (RMF), obtained by considering the Peak Apex average spectrum.

Figure 1 illustrates, as a bar chart of the summed DMF and RMF values (ordered by DMF) for the 192 targeted analytes. On average, DMF achieved 930 similarity and RMF achieved 960. The latter excludes from the computation those m/z fragments that are not present in the reference spectra. Peaks with Peak Apex DMF below 950 were affected by co-elution issues. In those cases, by deconvolution and/or manual spectral subtraction, matches above 950 were obtained (data not shown) confirming that tandem ionization acquisition does not preclude confident identification while adding further information in the soft ionization data stream. Further comments on this aspect are reported in*Section 3.2.*

216

217 Insert Figure 1 here

218

219 Within the 193 targeted analytes are several informative chemicals known for their role in the 220 description of cocoa aroma (key-aroma compounds and potent odorants), post-harvest practices, and 221 technological impacts. Within the list of sensory active compounds [25,26] concurring in the definition 222 of cocoa aroma blueprint, twenty were successfully identified: 2-methyl-butanal, ethyl 2-223 methylbutanoate, 2-heptanol, dimethyl trisulfide, 2,3,5-trimethylpyrazine, acetic acid, 2-ethyl-3,6-224 dimethyl-pyrazine, 2-ethyl-3,5-dimethyl-pyrazine, 2,3-diethyl-5-methylpyrazine, linalool, 2-methyl 225 propanoic acid, ethyl 2-methylpropanoate, butanoic acid, phenyl acetaldehyde, 3-methyl butanoic acid, 226 1-phenyl ethanol, 2-phenyl ethyl acetate, phenylethyl alcohol, δ -2-decenolactone, and 4-hydroxy-2,5-227 dimethyl-3(2h)-furanone. Their signature (quali-quantitative distribution) informs about cocoa flavor, 228 imparting characteristic notes as: earthy, roasted, rancid, sour, sweaty, malty, cocoa, buttery, flowery, 229 honey-like, fruity, green, fatty, sulfury, and phenolic.

230 Furthermore, important technological markers [21] and origin tracers [18,26] also were 231 identified: 2,3-butanedione, 2,3-pentanedione, dimethyl disulfide, methyl pyrazine, 3-hydroxy-2-232 butanone, 1-hydroxy-2-propanone, 2,5-dimethylpyrazine, 2,3-octanedione, 2,6-dimethylpyrazine, 233 ethylpyrazine, 2,3-dimethyl pyrazine, 2-ethyl-6-methylpyrazine, 2-ethyl-5-methylpyrazine, 1-acetyloxy-2-234 propanone, furfural, tetramethylpyrazine, 2-furan methanol, and (e)-2-phenyl-2-butenal. The residual 235 150 targeted analytes complete the characteristic volatiles signatures, carrying information about 236 additional variables (covering most of the key steps impacting on cocoa chemical composition along the 237 production chain) although their specific roles are not yet validated.

Such a comprehensive chemical characterization of the sample volatilome is greatly attractive, especially for those studies of interactions of multiple variables. Therefore, some key-performance parameters have to be evaluated for their impact on fingerprinting effectiveness: specificity, sensitivity, and dynamic range of the response are fundamental since tandem ionization could provide additional advantages if properly set.

Since spectral quality at 70 eV was confirmed as satisfactory, providing proofs on adequate method reliability at the detection level, the successive step was the selection of tandem acquisition ionization energies capable of providing complementary information at spectral level [14,17,27]In this perspective, spectral similarity/dissimilarity between different ionization energies was considered to define the best conditions. The next section focuses, for a selection of informative chemicals, on spectral differences at 12 and 14 eV and discusses dissimilarity between hard and soft ionization spectra, including some considerations about SNR values and consequent method sensitivity and dynamic range.

250

3.2 Tandem ionization: spectral dissimilarity and complementary nature of tandem signals

The effect of different ionization voltages on spectral profiles was evaluated by recording spectra at 12 and 14 eV. Lower energies (10 eV) were excluded because of a dramatic drop in signal intensities. **Table 2** lists DMF and RMF values for a series of targeted analytes representative of different chemical classes or series of homologues, for spectral comparisons between: a) 70 eV *vs.* database (Wiley 7n or NIST 2014); b) 12 eV *vs.* 14 eV; c) 12 eV *vs.* 70 eV; d) 14 eV *vs.* 70 eV.

257 Results indicate, as expected, that on average, the spectral dissimilarity between 12 eV and 70 258 eV is higher compared to that between 14 and 70 eV. The average DMF was 779 at 12 eV and 830 at 14 259 eV. Interestingly, several analytes spectra at 12 and 14 eV are characterized by the same fragments 260 although with different relative abundance; this is true for those analytes that reported identical values 261 for DMF and RMF: 2,3-pentanedione, 3-penten-2-one, limonene, benzaldehyde, 2-furan methanol, and 262 benzyl alcohol. The same situation is evident also between spectra at 12 and 14 eV vs. 70 eV for 2,3pentanedione, 3-penten-2-one, benzaldehyde, 2-furan methanol, benzyl alcohol, y-octalactone, 1h-263 264 pyrrole-2-carboxaldehyde, and γ-nonalactone.

Within the analytes that showed the most dissimilar patterns (lower DMF values), nonanal and limonene are illustrated in **Figure 2**. For nonanal (**Fig. 2A**), lower ionization energies revealed the molecular ion (i.e, 142 m/z) that was not present at 70 eV. Additionally, on the spectrum at 12 eV, the base peak was 98 m/z while at 14 eV and 70 eV, the most abundant fragment was 57 m/z. For limonene (**Fig. 2B**), a terpenoid derivative, lower ionization energies produced higher relative abundances for fragments with higher m/z ratios (i.e., 93, 107 and 121 m/z) and the molecular ion (i.e., 136 m/z) is enhanced.

272

273 Insert Figure 2 here

274

Lower ionization energies produce fewer fragments, therefore resulting in lower spectral/signal
 intensities. However, for those analytes that showed reduced fragmentation at lower eV, the resulting
 signals are enhanced and consequently SNR may be improved compared to higher energies.

278 Table 2 reports the SNR values for a selection of targets registered from tandem signals at 70 279 and 12 eV from a roasted Ecuador cocoa. Streker aldehydes (2-, and 3- methylbutanal), furan derivatives 280 (furfural and 2-furan methanol), and benzaldehyde have higher relative intensities at 12 eV. This 281 interesting pattern, also seen for other analytes (data not shown), evidences the complementary nature 282 of tandem ionization signals and, in this case as quantitative indicator, suggests that lower ionization 283 energies may be beneficial for fingerprinting sensitivity extending the dynamic range of detection. For 284 analytes where 70 eV produces higher SNRs, detector saturation may therefore be a limiting factor and, 285 in these cases, the tandem signal at lower eV may compensate for this.

In this perspective, where the complementary nature of tandem signals has been established by comparing several analytes features, it is of interest to test the effectiveness of comprehensive chromatographic fingerprinting conducted on tandem signals independently or after their fusion in single data streams. The next section evaluates informational features over the entire volatile metabolome (untargeted and targeted peaks) through some statistical descriptors: 2D peaks detection thresholds, signal levels, and SNR.

292

293 **3.3 Tandem signals informational features**

294 Cocoa samples from four different origins and two processing stages (raw and roasted) analyzed in 295 duplicate are considered here for the processing. Each of the 16 runs (4×2×2) produced a chromatogram 296 for each ionization level (12eV and 70eV), resulting in 32 directly acquired chromatograms. To assess the 297 possibility of increasing performance by combining the data for the two ionization levels (i.e., data 298 fusion), an additional 16 chromatograms were created by adding the two directly acquired 299 chromatograms for each run. So, in total, 48 chromatograms from 16 runs on eight samples were 300 analyzed.

301

302 3.3.1 2D-peak detection

An important step in the *UT fingerprinting* workflow [18,19] is to establish a set of reliable peaks that can be used for alignment, in order to obtain consistent features across a set chromatograms, even in the presence of retention-times variations. In this step, a relatively high SNR peak-detection threshold of 100 was applied as the acceptable limit to the ratio of the total intensity count (TIC) of the apex spectrum to the standard deviation of background noise TIC. Then, composite chromatograms were computed as the sums of the sets of aligned chromatograms for 12 eV, 70 eV, and summed data. These three composite chromatograms are shown in **Figure 3**. Note that adding the chromatograms to create a composite not only yields a single chromatogram to which all compounds in all samples contribute,but also attenuates random-noise variations, thereby increasing SNR.

312

313 Insert Figure 3 here

314

With the threshold SNR \ge 100, 335 2D-peaks (blobs) were detected in the composite of 12 eV chromatograms, 491 blobs were detected in the composite of 70 eV chromatograms, and 498 blobs were detected in the composite of summed chromatograms. These results, shown in the top row of **Table 3**, indicate that more high-SNR 2D-peaks are produced by 70 eV ionization than by 12 eV ionization. The number of detected high-SNR 2D-peaks was largest in the composite of summed chromatograms.

321 When analyzing individual chromatograms (e.g., to be matched with a UT template), a relatively low 322 SNR peak-detection threshold may be appropriate so as not to miss compound peaks even at the cost of 323 false detections. The lower part of Table 3 shows the number of 2D-peaks (or blobs) detected in each of 324 the individual chromatograms with the SNR \geq 20, as well as the averages by ionization energy and 325 sample source region. With the low SNR threshold, about 70% more 2D-peaks were detected, on 326 average, in the 12 eV chromatograms than in the 70 eV chromatograms, with an average of 777 2D-327 peaks detected with 12 eV and 451 2D-peaks detected with 70 eV. However, as shown by the example 328 chromatograms in Figure 4, many of the additional 2D-peaks are in noisy regions and appear to be false 329 detections (Fig. 4A). In the summed chromatograms (Fig. 4C), more 2D-peaks are detected than with 70 330 eV, but fewer than with 12 eV. As detailed below, the average signal intensities in the 12 eV 331 chromatograms are lower than in the 70 eV chromatograms.

332

333 Insert Figure 4 here

334

With respect to the cocoa volatiles patterns, on average, a few more 2D-peaks were detected from the roasted samples than the raw samples. However, although more 2D-peaks were detected in the roasted samples from Colombia and Ecuador, more 2D-peaks were detected in the raw samples from Mexico and Sao Tome. The Sao Tome samples yielded the most 2D-peaks, indicating a higher chemical complexity, followed by samples from Mexico, Colombia, and Ecuador, with about 16% more 2D-peaks in the Sao Tome samples than those from Ecuador. Differences of volatiles signatures are in line with previous studies [21] where it was confirmed the pre-eminent role of botanical/geographical origin over 342 processing in the chemical dimensionality of samples. Roasting on cocoa triggers several chemical 343 reactions that result in more "quantitative" changes on volatiles signatures rather than "qualitative" 344 differences.

345

346 3.3.2 Signal intensity

347 Signal levels were analyzed in each of the peak-regions derived from the composite of summed 348 chromatograms (shown in Figure 3C). Signal levels were substantially greater in the 70 eV 349 chromatograms than in the 12 eV chromatograms. In the individual chromatograms, on average, the 350 peak-region TIC apexes in the 12 eV chromatograms were only about 30% of the same peak-region TIC 351 apexes in the 70 eV chromatograms. The peak-region TIC apex in the summed chromatograms averaged 352 126% of the peak-region apex TIC with 70 eV. On average, the peak-region TIC volumes in the 12 eV 353 chromatograms were only 40% of the same peak-region volumes in the 70 eV chromatograms. The 354 peak-region TIC volumes in the summed chromatograms averaged 124% of the peak-region volume with 355 70 eV. It appears that the ratios of the 12 eV to 70 eV peak-region volumes (40%) are larger than the 356 ratios of the 12 eV to 70 eV apexes (30%) because lower intensity spectra are relatively less different 357 between the two ionization energies (so, the off-apex spectra are less different than are the apex 358 spectra). Spectral differences are discussed below.

359

360 3.3.3 Spectral peak intensities

From each peak-region in the composite of summed chromatograms, two spectral channels were selected to evaluate spectral intensities: (1) the channel of the base peak (i.e., the m/z of the largest intensity component in apex spectrum), and (2) a large-mass candidate for the molecular ion (selected as the largest m/z with relative intensity of at least 10% of the base peak intensity, with the additional constraint to filter isotopes that the unit mass interval just below did not have a larger intensity peak).

366 Just as for the TIC intensities, the base peak intensities were substantially greater in the 70 eV 367 chromatograms than in the 12 eV chromatograms. On average, peak-region apex base-peak intensities in the 12 eV chromatograms were only 29% of the peak-region apex base-peak intensities in the 70 eV 368 369 chromatograms. The peak-region apex base-peak intensities in summed chromatograms averaged 128% 370 of the peak-region apex base-peak intensities with 70 eV. On average, peak-region base-peak volumes in 371 the 12 eV chromatograms were only 17% of the peak-region base-peak volumes in the 70 eV 372 chromatograms. The peak-region base-peak volume in the summed chromatograms averaged 116% of 373 the peak-region base-peak volume with 70 eV.

374 Similarly, the large-mass-peak intensities were substantially greater in the 70 eV chromatograms 375 than in the 12eV chromatograms, but the difference was smaller than for the base-peak intensities. On 376 average, peak-region apex large-mass-peak intensities in the 12 eV chromatograms were 60% of the 377 peak-region apex large-mass-peak intensity in the 70 eV chromatograms. The peak-region apex large-378 mass-peak intensities in summed chromatograms averaged 158% of the peak-region apex large-mass-379 peak intensities with 70eV. On average, peak-region large-mass-peak volumes in the 12 eV 380 chromatograms were only 43% of the peak-region large-mass-peak volumes in the 70 eV 381 chromatograms. The peak-region large-mass-peak volume in the summed chromatograms averaged 382 142% of the peak-region large-mass-peak volume with 70 eV.

This is an interesting result if we consider the higher informative power of large-mass-peaks in a spectrum. This characteristic relates to the specificity of lower ionization energies and so provides foundation for the adoption of low eV data stream for effective fingerprinting as well as for the added value it brings when summed to the 70 eV channel.

387

388 3.3.4 Signal-to-noise: SNR and VNR

On average, the TIC background noise for 12 eV was approximately 33% of the noise for 70 eV and the noise in the summed chromatograms was about 105% of the noise for 70eV. With the lower signal and noise levels for 12 eV, the average peak SNR (TIC apex intensity to noise standard deviation) for 12 eV was about 86% of the SNR with70 eV. However, the average volume-to-noise ratio (VNR) with 12 eV was about 134% of the VNR with 70 eV. The SNR of the summed chromatograms was about 115% of the SNR with 70eV and the VNR of the summed chromatograms about 117% of the VNR with 70 eV.

The spectral background noise was fairly consistent at most m/z levels, but, at some m/z levels, especially smaller m/z levels, the raw spectral background noise was substantially larger with 70 eV than with 12 eV, as illustrated in **Supplementary Figure 2**. However, baseline correction can detect and attenuate large baseline spectral values, as illustrated in **Supplementary Figure 3**.

399

400 **3.4** Classification performance

401 3.4.1 Fisher Discriminant Ratios of Individual Features in Individual Peak-Regions

The potential of individual features for classification is indicated by the Fisher Discriminant Ratio (FDR), the ratio of the scatter between classes to the scatter within classes. Classification was part of the automated work-flow applied on single and summed data streams within the Image Investigator[™] (GC Image). For the 498 peak-regions extracted from untargeted analysis of the composite of summed 406 chromatograms, various features could be used for discrimination. Seven computed peak-region 407 features were analyzed: volume (summed response for all modulated peaks included in a defined peak-408 region), percent response (volume to total chromatogram response), apex response (highest modulation 409 response), base-peak apex response (response related to most intense m/z fragment from highest 400 modulation), base-peak volume (peak-region volume related to most intense m/z fragment), large-mass 411 apex response (response related to largest m/z fragment from highest modulation), and large-mass 412 volume (peak-region volume related to most intense m/z fragment).

For all of these features, most peak-regions have relatively small FDR, i.e., are weak indicators of class differences. As shown in **Table 4**, the average FDR for different features ranged from 0.31 for apex response with 12 eV to 0.54 for percent response with 12 eV. The median FDR was far below the mean, ranging from 0.02 for volume with 12 eV to 0.32 for percent response with 12 eV. For five of the seven computed features, the average FDR with 70 eV was larger than with 12 eV, whereas only one feature had a larger average FDR with 12 eV (and the average FDRs were nearly identical for each feature). The average FDR for the summed chromatograms was about the same as with 70 eV.

420 Although there can be useful information in many weakly indicative features, most of the potential 421 for classification exists within a relatively small number of features. The maximum FDR ranged from 2.92 422 for the large-mass peak intensity with 12 eV to 14.01 for the percent response with 70 eV. For six of the 423 seven features, the maximum FDR with 70 eV was larger than with 12 eV and about the same as with 424 the summed chromatograms. The average of the top-ten FDRs for each feature ranged from 3.01 for the 425 large-mass peak intensity with 12 eV to 5.51 for the percent response with 70 eV. For six of the seven 426 features, the top-ten FDR average was greater with 70 eV than with 12 eV. The top-ten FDR average for 427 the summed chromatograms was larger than with 70 eV for four of the seven features.

As the basis for classification, percent response is clearly the most promising of the seven features, producing the largest FDR (14.01 with 70 eV), the largest top-ten FDR average (5.51 with 70 eV), and largest mean FDR (0.54 with 12 eV). However, base-peak volume was the best performing feature with 12 eV for maximum FDR and top-ten FDR average (7.80 and 4.96, respectively).

Percent response also has some intrinsic advantages being a peak feature that refers to normalized data therefore enabling consistent comparative analysis even without external standard/internal standard normalization of analytes responses. On the other side, base-peak volume is more sensitive to "true" quantitative response variations across chromatograms and has the advantage of informing about single analyte fluctuations in more detail.

438 3.4.2 Linear Discriminant Analysis on untargeted features from tandem signals

439 As anticipated by the FDR analysis, percent response provided the best basis for classification by 440 linear discriminant analysis (LDA), and was the only feature to support 100% classification accuracy in 441 leave-one-out trials with the chromatograms from raw and roasted samples. The next best classification 442 accuracy was 93.75% for both base-peak and large-mass volume with 12eV. The LDA scores with 443 inferential Gaussian distributions for the leave-one-out trials are shown in Supplementary Figure 4. In 444 the cross-validation experiments, replicates were left out together to prevent bias. The Fisher ratio for 445 the LDA scores were 2.99 for the summed chromatograms, 2.75 with 70 eV, and 2.71 with 12 eV, which 446 is not a very large range, but does indicate that better discrimination can be achieved with the 447 fused/summed data.

448 Concerning cocoa analysis, the roasted samples had much more variable LDA scores than did the raw 449 samples (as seen in Supplementary Figure 4). Within the roasted samples, the LDA scores of samples 450 from Mexico were the most different from the raw samples scores (leftmost in Supplementary Figure 4) 451 and the LDA scores of the samples from Ecuador were the closest to the raw samples scores. Many of 452 the same peak-regions were significant (large score-weighted standard deviations) with 12 eV, 70 eV, 453 and the summed chromatograms, with six features in the top-10 for all three classification schemes and 454 four other features in the top-10 for two of the three classification schemes. The top-ten peak-regions 455 for LDA classification with summed chromatograms are listed in **Table 5.** Of the other four peak-regions 456 that appeared in the top-ten of only one scheme, all were in the top-40 for the other two schemes. 457 However, two of the top-10 features with both 70 eV and summed chromatograms were not highly 458 significant with the 12 eV data: peak-regions #68 and #102 ranked 138 and 177 among 12 eV features.

459

460 **3.5** Untargeted-Targeted UT fingerprinting: results validation

461 Results obtained by untargeted fingerprinting on tandem signals elaborated separately or after their 462 summation in a derived data stream were validated against the targeted approach. This step enables 463 objective evidence on discrimination performances of tandem data after fusion taking as benchmark results obtained through the well-established work-flow based on template matching of targeted 464 465 analytes guided by analyst supervision [28,29]. Supervision was here necessary for those analytes that 466 were affected by co-elution issues (section 3.1); therein, deconvolution and/or manual spectral 467 subtraction were performed to achieve confident identification while informative fragments were 468 selected to isolate analytes response from low-resolved peak-regions. The data matrix for the targeted 469 analytes was obtained by collecting percent responses (the 2D-peak/2D peak-region feature connoted

by a higher information potential – section 3.3.1) for the 193 reliable analytes at 70 and 12 eV ionization
energies.

Partial Least Squares – Discriminant Analysis (PLS-DA) was adopted at this stage and, to define informative variables, Variable Importance on the Projections (VIPs) was used to rank targeted analytes on the basis of their power to discriminate between raw and roasted cocoa samples. **Figure 5** shows the first 20 target analytes ranked for their relevance in the discrimination and deriving from the elaboration of 70 and 12 eV data streams independently. In parentheses are the LDA ranking obtained from the untargeted processing of the summed signals (from **Table 5**).

478

479 Insert Figure 5 here

480

481 Notably, the targeted approach validates the information power of those analytes that were 482 selected by LDA on untargeted features distribution on summed signals (Table 5): the top-10 most 483 relevant variables were included in the list of the first top-20 targets with the highest informative power. 484 In addition, within the top-20 analytes highly ranked after PLS-DA on targetes, 3-hydroxy-2-butanone, 485 2,3-pentanedione, 1-hydroxy-2-propanone, 2,3-dimethyl pyrazine, tetramethylpyrazine, and 2-furan 486 methanol have their informative power cross-validated between tandem data streams. At 12 eV, within 487 the top-20 additional analytes, additional targets are evidenced: 5-methyl-2(5H)-furanone, dodecanal, 488 ethyl 2-methylbutanoate, and 2,6-dimethylpyrazine while at 70 eV those with high relevance are 2-489 hydroxy-3-pentanone, methyl 2-hydroxypropanoate, dimethyl disulfide, and 2-methyl pyrazine.

490 The cross-validation of fingerprinting results confirms, once again, the complementary nature of 491 tandem signals: whichever is the data stream (12 or 70 eV) treated as such or as sum of signals, or the 492 approach (untargeted/unsupervised or targeted/supervised) there is univocal identification of 493 discriminant features (untargeted peaks or known analytes) even in such a complex context where 494 confounding variables play a great role (origin and post-harvest practices above all). The advantages of 495 supervised elaborations, as in the case of targeted analysis, are evident for those analytes where co-496 elution occur; in these cases, single analyte response has to be isolated from co-eluents to achieve 497 adequate specificity. The differential response between tandem signals extends dynamic range of the 498 detector resulting in a larger group of candidates, when tandem signals are combined, to be screened 499 for their information power.

500

501 4. Conclusions

502 The present study gives foundations for a full exploitation of the complementary nature of tandem 503 signals obtained by adopting variable EI energies for UT fingerprinting. The cross-comparison of several 504 2D peak features and signal characteristics demonstrates that signal fusion (i.e., summed signals) 505 enables effective untargeted fingerprinting leading also to a good discrimination potential of the 506 methodology even in very complex samples. The targeted fingerprinting, driven by analyte supervision 507 during data pre-processing, better exploits the complementary nature of tandem signals due to their 508 differential informative content. In addition, multiplexing tandem ionization during a single analytical 509 run, does not impact on confident analytes identification while offering additional elements to better 510 discriminate isomeric analytes. The improved SNR registered for some analytes at lower ionization 511 energies, is an interesting performance characteristic of the method that can achieve a wider dynamic 512 range of exploration.

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- 516

517 **Compliance with ethical standards Notes**

518 Prof. Stephen E. Reichenbach and Dr. Qingping Tao have a financial interest in GC Image, LLC.

519 Lucie Baroux and Philippe Merle are employees of Firmenich S.A. Geneva, Switzerland.

520

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621 **Figure Captions** 622 Figure 1: bar chart showing the sum of NIST Identity Search algorithm Match Factors: Direct Match 623 Factor (DMF – blue bars) and Reverse Match Factor (RMF – orange bars) for the 192 targeted analytes. 624 Ordering follows descending order of DMF values. 625 626 Figure 2: Spectral profiles for nonanal (2A) and limonene (2B) at 70 eV, 12 eV and 14 eV. Spectral 627 comparisons are between 12 eV and 14 eV (2A-I and 2B-I; between 12 eV and 70 eV (2A-II and 2B-II) and 628 between 14 eV and 70 eV (2A-III and 2B-III). Green text below spectra refers DMF and RMF values. 629 630 Figure 3: composite 2D chromatograms obtained by summing single ionization energies channels (12 eV 631 and 70 eV) runs (**3A** and **3B**) or both channels (12 eV + 70 eV) after alignment. 2D-peaks connoted by a 632 cyan rounded shapes are those positively matched in all-but-one chromatogram of the set, e.g., reliable 633 peaks while red rounded shapes indicate 2D-peaks detected in just few chromatograms. 634 635 Figure 4: single channel chromatograms from Ecuador samples acquired at 12 eV (4A) at 70 eV (4B) or 636 after single data stream fusion (4C). Effect of SNR variable threshold on 2D-peaks detection. See text for 637 details. 638 639 Figure 5: histograms reporting the first twenty most informative analytes (Variable Importance for 640 Projections VIPs) revealed by PLS-DA on targeted peaks (roasted vs. raw cocoa). Analytes are reported 641 together with their ranking (in parentheses Sum#n) as resulted by classification analysis of untargeted 642 features on fused data streams. Blue bars refer to 70 eV data while red bars are from 12 eV data, 643 644

- 645 **Table Captions:**
- **Table 1:** Cocoa samples under study, together with their origin, supplier, and harvest year.
- 647
- 648 **Table 2**: Direct and Reverse Match Factor (DMF and RMF) values for a series of targeted analytes
- 649 representing different functionalities. Data refers of spectral similarity between 70 eV vs. database
- 650 (Wiley 7n or NIST 2014); b) 12 eV vs. 14 eV; c) 12 eV vs. 70 eV; d) 14 eV vs. 70 eV. Signal-to-noise ratio
- 651 (SNR) values are those corresponding to peak-apex and recorded at 12 and 70 eV. Their ratio (12 eV / 70
- eV) is also reported to facilitate comparisons.
- 653
- **Table 3:** number of detected 2D-peaks (blobs) above a certain SNR threshold from composite
- 655 chromatograms (SNR ≥ 100 for 70 eV, 12 eV, and summed signals) and from single analytical runs (SNR≥
- 656 20 for 70 eV, 12 eV, and summed signals.
- 657
- Table 4: average Fisher Discriminant Ratio (FDR) values for different peak-region features at 12 and 70
 eV and on summed signals. Q1 is the spectral base-peak quantifier ion and Q2 is the large-mass-peak
 quantifier ion.
- 661
- Table 5: list of the ten most discriminant 2D-peak regions as indicated by LDA analysis of the summed
 chromatograms. Data is reported together with unique 2D-peak regions identification numbering (#n),
 compound name, retention times, and ordinal significance rank within the classification scenarios
 (Summed, 70 eV, and 12 eV).

Figure 1 color and BW











A. 12eV Composite

B. 70eV Composite

C. Summed Composite





A. 12eV Composite

B. 70eV Composite

C. Summed Composite



A. 12eV Chromatogram

B. 70eV Chromatogram

C. Summed Chromatogram





A. 12eV Chromatogram

B. 70eV Chromatogram

C. Summed Chromatogram





12 eV Targeted - VIPs (1 Comp / 95% conf. interval)

Table 1

Origin	Commercial description	Supplier - Trader	Harvest year
Mexico	Chontalpa Cacao fermentado seco calidad Baluarte	"Mercados alternativos y solidarios para productos del campo S. de RL. de CV" Calle Exterior Manzana 17 Lote 18 Colonia Fracc. Lomas de Ocuiltzapotlan localidad Villa de Ocuiltzapotlan referencia Tabasco Mexico http://www.lacoperacha.org.mx	2016
Colombia	Fino de Aroma Colombia Premium 1	Newchem Srl, Via M.F. Quintiliano 30 20138 Milan, Italy http://www.newchem.it	2016
Sao Tomè	Superior Cacau Fino, good fermented	Satocao LDA -Morro Peixe, Distrito de Lobata São Tomé e Príncipe - CP 762 http://www.satocao.com	2016
Ecuador	Ecuador ASS (Arriba Superior Selecto)	Domori S.r.l Via Pinerolo 72-74 10060 None (Torino), Italy	2016

Tal	bl	е	2
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				70 eV	vs. lib	12 vs. 2	L4 eV	12 vs.	70 eV	14 vs.7	70 eV	SI	NR	Ratio
Compound name	$^{1}t_{R}$ min	$^{2}t_{R}$ sec	I_{s}^{T}	DMF	RMF	DMF	RMF	DMF	RMF	DMF	RMF	70 eV	12 eV	(12/70)
3-Methyl-butanal	8.40	1.32	911	963	963	827	833	696	694	798	805	2309	4300	1.86
2-Methyl-butanal	8.40	1.34	911	970	981	883	902	878	909	839	868	3791	5920	1.56
2,3-Pentanedione	12.40	1.24	1050	973	995	914	914	810	810	817	822	512	280	0.55
Hexanal	13.33	1.82	1077	992	993	881	880	786	789	868	872	237	69	0.29
β-Pinene	14.27	3.20	1104	974	979	918	924	693	694	796	796	43	31	0.72
3-Penten-2-one	15.20	1.52	1128	983	989	850	850	682	684	835	836	765	384	0.50
Limonene	17.80	1.04	1197	985	986	926	926	717	724	773	786	277	150	0.54
Hexyl acetate	21.07	0.92	1280	982	985	922	928	737	745	911	912	529	142	0.27
Octanal	21.60	0.80	1293	990	990	848	853	805	840	793	820	50	18	0.36
Nonanal	25.60	1.16	1397	974	989	824	838	778	783	776	808	658	455	0.69
Furfural	27.93	1.78	1459	985	990	876	877	803	805	863	883	529	590	1.12
Benzaldehyde	30.60	0.58	1533	974	984	964	964	837	837	717	723	2296	2640	1.15
2(E)-Nonenal	30.80	1.74	1538	975	976	922	931	805	840	832	837	419	197	0.47
Linalool	31.20	1.36	1550	978	988	919	923	771	776	851	853	605	394	0.65
1-Octanol	31.67	0.62	1563	996	996	887	889	815	829	864	889	294	105	0.36
2-Furan methanol	34.60	1.30	1648	984	989	897	897	735	735	813	813	1350	2100	1.56
Benzyl alcohol	41.53	1.26	1862	994	995	901	901	783	787	789	789	713	450	0.63
γ-octalactone	43.33	3.04	1920	990	992	941	945	857	857	890	890	29	16	0.55
H-Pyrrole-2-carboxaldehyde	46.13	0.84	2017	860	869	924	930	780	780	924	927	120	113	0.94
y-Nonalactone	46.40	0.90	2026	877	978	939	949	817	817	857	862	204	95	0.47

Table 3

	70eV Composite			12eV Composite			Summed Composite			
Detected 2D-peaks in composite chromatograms with SNR ≥ 100	491			335			498			
Detected 2D-peaks in		70eV			12eV			Summed		
individual chromatograms with SNR ≥ 20	Run 1	Run 2	Avg.	Run 1	Run 2	Avg.	Run 1	Run 2	Avg.	
Colombia Raw	456	357	407	747	811	779	497	445	471	
Ecuador Raw	364	321	343	706	761	734	471	383	427	
Mexico Raw	516	505	511	793	780	787	614	622	618	
Sao Tome Raw	497	527	512	796	813	805	584	633	609	
Average Raw		443			776			531		
Colombia Roasted	394	495	445	860	765	813	453	557	505	
Ecuador Roasted	405	417	411	714	786	750	521	492	507	
Mexico Roasted	470	479	475	761	761	761	510	571	541	
Sao Tome Roasted	527	484	506	801	784	793	624	545	585	
Average Roasted		459			779			534		

Table 4

Feature	Mean	Median	Max	Mean 10
Apex Response 12eV	0.31	0.09	6.08	3.34
Apex Response 70eV	0.40	0.17	8.12	3.74
Apex Response Sum	0.41	0.18	7.29	3.86
Volume 12eV	0.19	0.02	6.02	3.59
Volume 70eV	0.53	0.20	13.08	4.83
Volume Sum	0.53	0.18	12.16	5.30
% Resp. 12eV	0.54	0.32	6.31	3.90
% Resp. 70 eV	0.42	0.14	14.01	5.51
% Resp. Sum	0.40	0.15	12.75	5.23
Base Peak Apex Response 12eV	0.32	0.09	5.63	3.43
Base Peak Apex Response 70eV	0.38	0.16	8.83	3.96
Base Peak Apex Response Sum	0.37	0.14	8.73	3.81
Base Peak Volume 12eV	0.37	0.09	7.80	4.96
Base Peak Volume 70eV	0.42	0.14	7.52	4.37
Base Peak Volume Sum	0.41	0.13	7.77	4.41
Large-mass Apex Response 12eV	0.29	0.08	2.92	3.01
Large-mass Apex Response 70eV	0.30	0.09	5.58	3.27
Large-mass Apex Response Sum	0.30	0.08	4.95	3.07
Large-mass Volume 12eV	0.34	0.08	6.31	3.87
Large-mass Volume 70eV	0.34	0.08	6.42	4.00
Large-mass Volume Sum	0.35	0.08	6.31	4.42

Table 5

			Sign	Rank	
Area ID	Compound name	$^{1}t_{R}$ min - $^{2}t_{R}$ sec	Sum	70eV	12eV
37	Phenylacetaldehyde	(33.98, 1.33)	1	1	2
14	2-Methylbutanal	(8.18, 1.36)	2	2	1
38	2-Acetylpyrrole	(43.99, 0.91)	3	3	4
26	2,3,5-Trimethylpyrazine	(25.43, 1.68)	4	4	6
4	Phenyl ethyl alcohol	(42.28, 1.05)	5	5	3
134	Dimethyl sulfide	(6.08, 2.80)	6	7	5
68	(E)-2-Phenyl-2-butenal	(43.03, 1.53)	7	6	138
52	3-Penten-2-one	(14.60, 1.52)	8	11	10
86	5-Methylfurfural	(31.58, 1.64)	9	9	29
102	3-hydroxy-Butanoic acid	(32.03, 1.25)	10	8	177