



# AperTO - Archivio Istituzionale Open Access dell'Università di Torino

## Therapeutic impact of regional lymphadenectomy in canine stage II cutaneous mast cell tumours

| This is the author's manuscript  |  |  |
|--|--|--|
| Original Citation:   |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
| Availability:  |  |  |
| This version is available http://hdl.handle.net/2318/1686948since 2019-01-16T22:29:08Z   |  |  |
|  |  |  |
|  |  |  |
| Published version:   |  |  |
| DOI:10.1111/vco.12425  |  |  |
| Terms of use:  |  |  |
| Open Access<br>Anyone can freely access the full text of works made available as "Open Access". Works made available<br>under a Creative Commons license can be used according to the terms and conditions of said license. Use<br>of all other works requires consent of the right holder (author or publisher) if not exempted from copyright<br>protection by the applicable law. |  |  |

(Article begins on next page)

- 1 ORIGINAL ARTICLE
- 2
- 3 Therapeutic impact of regional lymphadenectomy in canine stage II cutaneous mast cell
  4 tumours
- 5
- 6 Laura Marconato1| Gerry Polton2| Damiano Stefanello3| Emanuela Morello4|, Roberta Ferrari3|

7 Joaquim Henriques5| Giovanni Tortorella6| Silvia L. Benali6| Raffaella Bergottini6| Maria E.

- 8 Vasconi7| Maurizio Annoni8| Silvia Sabattini9
- 9
- 10 1 Centro Oncologico Veterinario, Bologna, Italy
- 11 2 North Downs Specialist Referrals, Surrey, UK
- 12 3 Dipartimento di Medicina Veterinaria, Università degli Studi di Milano, Milan, Italy
- 13 4 Department of Veterinary Sciences, University of Torino, Turin, Italy
- 14 5 OneVet Group, Hospital Veterinário Berna Lisbon, Portugal
- 15 6 Laboratorio La Vallonea, Passirano di Rho, Milan, Italy
- 16 7 Centro Veterinario Torinese, Turin, Italy
- 17 8 Clinica Veterinaria Tibaldi, Milan, Italy
- 18 9 Department of Veterinary Medical Sciences, University of Bologna, Bologna, Italy
- 19
- 20
- 21 Correspondence
- 22 Dr Laura Marconato, Centro Oncologicotime Veterinario, Sasso Marconi, Bologna, Italy.
- 23 Email: lauramarconato@yahoo.it
- 24

# 25 ABSTRACT

- 26 Lymph node (LN) metastasis in canine cutaneous mast cell tumours (cMCTs) is a well-known
- 27 negative prognostic factor. The role of lymphadenectomy in the treatment of stage II disease
- 28 remains controversial because of its uncertain therapeutic benefit. Aim of this retrospective
- 29 study was to investigate the impact of lymphadenectomy on tumour control and survival for dogs
- 30 with stage II cMCTs. Dogs with firstly occurring, histologically confirmed cMCT with LN
- 31 metastasis undergoing resection of the primary tumour and medical treatment thereafter were

32 retrospectively enrolled. Dogs were classified into two groups: LN sampling (LNS; diagnosis of 33 metastasis obtained by cytology) and regional LN dissection (LND; diagnosis obtained by histo-34 pathology). To determine the therapeutic value of lymphadenectomy, the characteristics of 35 recurrence (local, nodal and distant) and survival were compared between groups. Evaluated 36 outcome variables included signalment, anatomic location, diameter, ulceration, substage, 37 surgical margins, Patnaik grading, Kiupel grading and medical treatment. Overall, 152 dogs were 38 included: 81 underwent LND as part of primary surgery and 71 LNS. The median follow-up 39 was 409 days for LND group and 620 days for LNS group. On univariable analysis, the risk of 40 developing local, nodal or distant relapse was significantly higher in the LNS group compared 41 with LND (P < 0.001) On multivariable analysis, the risk of tumour progression and tumour-42 related death were 5.47 and 3.61 times higher in the LNS group, respectively (P< 0.001). 43 Regional lymphadenectomy may have therapeutic value and improve prognosis in dogs with stage II cMCTs undergoing surgical removal of the primary tumour and medical treatment. 44 45 46 **KEYWORDS** 47 48 dog, lymphadenectomy, lymph node metastasis, mast cell tumour, prognosis, stage II 49 50 **INTRODUCTION** 51 52 The benefit of surgical extirpation of metastatic lymph nodes (LNs) in the surgical management 53 of dogs with solid cancer is unclear. Approximately, 20% of dogs with cutaneous mast cell 54 tumours 55 (cMCTs) have nodal metastasis (stage II) at initial diagnosis.1 LN metastasis has been associated 56 with decreased survival time (ST) in several studies. 2–3 Given the prognostic significance of 57 nodal metastases, assessment of the regional LNs by cytology and/or histology is a fundamental 58 diagnostic step in dogs with cMCT.3,5,6 pre-metastatic lesions. 59 Currently, the primary standard treatment for dogs with stage II cMCT comprises surgical 60 excision of the primary tumour with or with-out radiation therapy (RT) and adjuvant medical 61 treatment. 7–9 62 In this context, it has to be emphasized that the role of elective lymphadenectomy has historically

been related to surgical staging for recognizing the true disease extent by detecting overt metastasis as well as pre-metastatic lesions.3 However, the benefits of lymphadenectomy may extend beyond merely staging the burden of disease. If cancer amorbidity is a function of the burden of disease in the primary tumour site and the locoregional LNs, successful removal of the primary tumour and metastatic LN would be expected to confer a significant survival advantage.

Yet, to the authors' knowledge, the therapeuticcal role of metastatic LN dissection has received 68 69 relatively little attention and only one retrospective study has suggested a favourable impact of 70 lymphadenectomy on tumour-specific survival (TSS) time in dogs with stage II cMCTs.10 In a 71 later study by Weishaar et al, dogs with extensive nodal involvement (HN2/HN3) had shorter 72 disease-free interval and ST when compared with dogs with a less advanced nodal involvement 73 (HN0/HN1) when evaluated with Gehan-Breslow- Wilcoxon test.3 However, in that study, the 74 population of included dogs was small and medical treatment was not administered to all 75 patients, thereby biasing the outcome results.

The aim of the current retrospective study was to explore the impact of lymphadenectomy ontumour control and TSS for dogs with stage II cMCTs.

78

### 79 MATERIALS AND METHOD

### 80 Case selection

Members of SIONCOV (Italian Society of Veterinary Oncology) were invited to review their records for dogs with treatment-naive, firstly occurring, histologically confirmed cMCT with regional LN metastasis, confirmed either by cytology or histology. For the purpose of this study, stage II refers to dogs with LN metastasis regardless of the dimension of the primary cMCT, to avoid the confusions and ambiguities in the classification of World Health Organization stage III disease. No time limits were defined for case enrolment and no minimum follow-up time was established.

To be eligible for recruitment, dogs had to undergo wide surgical excision of the primary cMCT and medical treatment (consisting of cytotoxic chemotherapy, tyrosine kinase inhibitors [TKIs] or both) thereafter. Wide surgical excision was defined as a lateral margin of 2 to 3 cm and a deep margin of one facial plane, depending on tumour size and location. Information on clinical stage was obtained by means of the following: haematological and

92 biochemical analysis; cytological evaluation of the cutaneous nodule and regional LN; thoracic

94 radiographs; abdominal ultrasound, and fine-needle aspirates of liver and spleen.

95 The regional LN was defined as the closest LN in the expected lymphatic drainage, and was96 identified either by palpation or by ultrasound.

97 Dogs were classified into two groups: LN sampling (LNS; diagnosis of regional LN metastasis 98 was made by cytology with no subsequent lymphadenectomy) and LN dissection (LND; dogs 99 undergoing both excision of the cMCT and regional lymphadenectomy and thus whose diagnosis 100 was obtained by histopathology). Decisions regarding whether to perform LNS or LND were 101 made according to each clinician's discretion.

102 Dogs were enrolled in the LNS group if LN cytology yielded a certain diagnosis of metastasis 103 according to Krick's criteria,5 whereas enrolment in the LND group was possible only following 104 histopathological confirmation of early (HN2) or overt (HN3) nodal metastasis according 105 toWeishaar et al.3 Dogs with concurrent multiple or subcutaneous MCTs, and those with stage 106 IV disease were excluded from the study. Dogs with nodal pre-metastatic disease on histology 107 (HN1 based on Weishaar et al) 3 were also excluded. Background information recorded for each 108 dog included: signalment; primary tumour description (location, size, presence of ulceration); 109 clinical substage; site of nodal involvement; LN clinical characteristics (normal size and 110 consistency or abnormal [increased in size or with a firm consistency compared with the 111 contralateral];mobile or fixed); histopathological evaluation of surgical margins (clean, clean but 112 close [presence of neoplastic cells within 1 mm from the surgical margin], incomplete); 113 histologic grade of the primary cMCT according to Patnaik and Kiupel classification 114 systems11,12;

115 Ki67-index (expressed in percentage by counting a total of 1000 cells in 10 high power field)13; 116 Kit-pattern14; c-Kit mutational status; date of surgery; medical treatment (cytotoxic 117 chemotherapy, TKIs or both); use of post-operative RT; local recurrence (defined as the 118 cytological evidence of a recurrent cMCT within 2 cm from previous scar); nodal relapse 119 (defined for the LNS group as nodal progressive disease with a more than 20% increase in size 120 or presence of new metastatic LNs, and for the LND group as presence of new metastatic LNs); 121 distant relapse (defined as the occurrence of visceral metastasis); date of death or last follow-up 122 examination, and cause of death. To determine the therapeutic value of lymphadenectomy, the 123 characteristics of relapse (local, nodal and distant) and the survival impact were compared 124 between the LNS and LND groups. While under medical treatment, dogs were monitored every 2 to 4 weeks. Afterwards, dogs were followed-up every 1 to 3 months, depending on clinicians'discretion and owners' compliance.

127

### 128 |Statistical analysis

Descriptive statistics were used in the analysis of dogs and tumour characteristics. When appropriate, data sets were tested for normality by use of the D'Agostino and Pearson omnibus normality test. Values were expressed as mean  $\pm$  SD in case of normal distribution, or as median with a range in case of non-normal distribution. The distribution of demographic features and possible outcome variables between the LNS and LND groups where assessed with Student's

134 t test (numerical, parametric variables), the Mann-Whitney U test (numerical, non-parametric

135 variables) or the  $\chi^2$  test (categorical variables). The considered variables included breed 136 (predisposition to biologically aggressive MCTs, i.e., Shar pei, Labrador retriever and Golden

retriever),15 age, body weight, sex, anatomic location of the primary cMCT (head and neck,
trunk [including tail], limbs [excluding digital tumours], inguinal region [including perineal and
scrotal], mammary region and digits), macroscopic tumour diameter, ulceration, substage,

surgical margins, Patnaik grading (P-G1, P-G2 or P-G3), Kiupel grading (K-LG or K-HG),
Ki67-index, Kit staining pattern, c-Kit mutational status, medical treatment (cytotoxic
chemotherapy, TKI or both) and the use of post-operative RT. For age, weight and tumour
diameter, the median was used as cut-off value.

144 Time to local recurrence (TLR) was calculated from the date of surgery to the date of local 145 recurrence. Time to nodal relapse (TNR) was calculated from the date of surgery to the date of 146 nodal recurrence for LND or nodal progression for LNS. Time to distant relapse (TDR) was 147 calculated from the date of surgery to the date of diagnosis of visceral metastases. Time to 148 progression (TTP) was calculated from the date of surgery to the first occurrence of one or more 149 of local recurrence, nodal or distant relapse. Dogs with no recurrence or disease progression at 150 the date of the last visit or death were censored. TSS was calculated from the date of surgery to 151 the date of death or to the date of the last visit if death did not occur. Only dogs deceased for 152 MCT-related causes were considered as events. Survival plots were generated according to the Kaplan-Meier product-limit method. Survival estimates were presented as medians with the 153 154 corresponding 95% confidence intervals (95% CIs). The influence of potential prognostic

- 155 variables on tumour progression and TSS was investigated with univariable and multivariable
- 156 Cox's regression analyses. Data were analysed by use of commercial software programs
- 157 (SPSS Statistics v.19, IBM, Somers, New York, and Prism v.5.0, GraphPad, San Diego,
  158 California). P-values ≤0.05 were considered significant.
- 159
- 160 RESULTS
- 161

### 162 **Patient and tumour characteristics**

163

A total of 152 dogs fulfilled the inclusion criteria. Among these dogs, 81 underwent LND as part of primary surgery, and 71 underwent LNS. There was good balance between groups regarding demographic features and possible outcome variables (Table 1). Only medical treatment differed among groups: cytotoxic chemotherapy was more often administered to dogs in the LND group,

and TKI to dogs in the LNS group (P < 0.001). Among dogs undergoing LND, there were 22

169 (27.3%) mixed breed dogs, 11 (13.7%) Labrador retrievers, 6 (7.5%) Boxers, 6 (7.4%) French

170 bulldogs, 6 (7.4%) Golden retrievers, 3 (3.7%) Maltese terriers, 3 (3.7%) Shar peis, 3 (3.7%)

Bernese mountain dogs, 2 (2.5%) Brittany spaniels, 2 (2.5%) Pugs, 2 (2.5%) Pit bull terriers, 2
(2.5%) Dogo Argentino and one (1.2%) each of the following: Chihuahua, Alaskan malamute,

Pomeranian, English setter, Gordon setter, grand bleu de Gascogne, Bull mastiff, Jack Russell terrier, Dachshund, Dalmatian, Poodle, Cane corso and Great Dane. Mean age was 8.3 \_ 3.0 years (range, 3-16 years) and median weight was 24.3 kg (range,2.5-58.7 kg). There were 48 female dogs (of which 39 were spayed) and 33 males (of which 6 were castrated).

The tumours were located on limbs (n = 33; 40.7%), head and neck (n = 20; 24.7%), digits (n = 11; 13.6%), inguinal region (n = 7; 8.6%), mammary region (n = 6; 7.5%), and trunk (n = 4; 4.9%). Tumour diameter ranged from 0.5 to 18 cm (median, 2.5 cm); 53 (65.4%) cMCTs were not ulcerated, while 28 (34.6%) were ulcerated. Seventy-six (93.8%) dogs were asymptomatic at presentation (substage a), whereas the remaining 5 (6.2%) dogs had signs of systemic effects of cMCT (vomiting, diarrhoea, pruritus and regionaledema; substage b).Based on the Patnaik grading system, there were 2 (2.5%) P-G1cMCTs; 58 (71.6%) P-G2 cMCTs and 21 (25.9%) P-

184 G3 cMCTs. Basedon the Kiupel grading system, there were 53 (65.5%) K-LG cMCTs and

185 27 (33.3%) K-HG cMCTs. The Kiupel grade was not available for one (1.2%) dog.
186 Histopathological evaluation revealed clean surgical margins in 47 (58.1%) cMCTs, clean but
187 close margins in 1 (1.2%) case, and incomplete margins in 33 (40.7%) cases. Ki67
188 immunohistochemical labeling was available for 30 (37.0%) cases. Ki67 counts ranged from 1%
189 to 65% with a median of 7%. Kit immunolabelling was available for 28 (34.6%) cases.
190 Perimembranous kit labeling (Pattern 1) was observed in 8 cMCTs, focal/stippled kit

191 labeling (Pattern 2) was present in 9; and diffuse cytoplasmic kit labeling (Pattern 3) was found

in 11. Mutational analysis was available for 43 (53.1%) cMCTs: 12 cMCTs were mutated (10
had an ITD on exon 11 and 2 had an ITD on exon 8), while the remaining 31 were wild

194 types. The following metastatic ipsilateral LNs were removed: popliteal (n = 31; 38.4%),

submandibular (n = 20; 24.7%), superficial cervical (n = 13; 16.0%), inguinal (n = 13; 16.0%)

and axillary (n = 4, 4.9%). Sixteen (20%) had normal size and consistency, while 65 (80%) were

abnormal; 69 (85%) were mobile and 12 (15%) were fixed. Based on the Weishaar study, 28
(34.6%) LNs were classified as HN2 and 53 (65.4%) as HN3. Among dogs undergoing LNS,

there were 18 (25.4%) mixed breed dogs, 15 (21.1%) Labrador retrievers, 6 (8.5%) Boxers, 6
(8.5%) Golden retrievers, 4 (5.6%) American Staffordshire terriers, 2 (2.8%) Dobermanns,

2 (2.8%) Shih tzus, 2 (2.8%) Pinschers, 2 (2.8%) Pit bull terriers and one each of the following:
Irish setter, German shepherd dog, Australian terrier, Beagle, West Highland white terrier,
Dogue de Bordeaux, Cane corso, Bernese Mountain dog, Yorkshire terrier, Rottweiler,

Griffon, Shar pei, Fila San Miguel, and Cavalier King Charles spaniel. Mean age was  $8.9 \pm 3.0$ years (range, 1-14 years), and median weight was 28 kg (range, 4.5-53 kg). There were 36 female dogs (of which 27 were spayed) and 35 males (of which 15 were castrated). The tumours were located on limbs (n = 23; 32.5%), head and neck (n = 15; 21.1%), inguinal region (n = 14; 19.7%), trunk and tail (n = 12; 16.9%), digits (n = 4; 5.6%) and mammary region (n = 3;

19.7%), trunk and tail (II = 12, 10.9%), digits (II = 4, 3.0%) and manimary region (II = 5,

4.2%). Tumour diameter ranged from 1 to 7 cm (median, 3 cm); 44 (62.0%) cMCTs were not
ulcerated, while 27 (38.0%) were ulcerated. Sixty-two (87.3%) dogs were asymptomatic at
presentation, whereas the remaining 9 (12.7%) dogs had signs of systemic effects

of cMCT. Based on the Patnaik grading system, there were 3 (4.2%) P-G1 MCTs, 47 (66.2%) P-

213 G2 cMCTs and 21 (29.6%) P-G3 cMCTs. Based on the Kiupel grading system, there were 39

214 (54.9%) K-LG cMCTs and 30 (42.3%) K-HG cMCTs. The Kiupel grade was not available for

215 two (2.8%) dogs. The surgical margin status was available for 60 (84.5%) cMCTs.

Histopathological evaluation revealed clean surgical margins in 22 (36.7%) cMCTs, clean but
close margins in 6 (10%) cases, and incomplete margins in 32 (53.3%) cases.

Ki67 immunohistochemical labeling was available for 15 (21.1%) cases. Ki67 counts ranged
from 1% to 99% with a median of 13%. Kit immunolabelling was available for 26 (36.6%)

220 cases. Kit Pattern 1 was observed in 3 cMCTs, Kit Pattern 2 was present in 18 and Kit Pattern

- 221 3 was found in 5. Mutational analysis was available for 30 (42.3%) cMCTs: 12 cMCTs were 222 mutated (11 had an ITD on exon 11 and 1 had an ITD on exon 8), while the remaining 18 were 223 wild types. Based on Krick's criteria, all dogs had a cytological diagnosis of certain LN 224 metastasis. Metastatic ipsilateral LNs included the inguinal (n = 21; 29.6%), popliteal (n = 16;225 22.5%), superficial cervical (n = 14; 19.7%), submandibular (n = 10; 14.1%), axillary (n = 7; 226 9.9%), retropharyngeal (n = 2; 2.8%), and medial iliac (n = 1; 1.4%) LN. Eight (11%) had 227 normal size and consistency, while 63 (89%) were abnormal; 53 (75%) were mobile and 18 228 (25%) were fixed.
- 229

# 230 **Treatment and outcome**

231

232 Severe complications following lymphadenectomy were not reported for any of the 81 dogs 233 undergoing LND. All dogs received adjuvant medical therapy, consisting of cytotoxic 234 chemotherapy (vinblastine and prednisone: n = 52; vinblastine, prednisone and lomustine: n = 1; 235 vinblastine, cyclophosphamide, prednisone: n = 2; chlorambucil: n = 1), TKI (n = 17) or both 236 concurrently (n = 8). Twelve (14.8%) dogs also received RT to the tumour and nodal bed. The 237 median follow-up time was 409 days (95% CI, 298-657). Twelve (14.8%) dogs experienced 238 local recurrence after a median of 199 days (range, 29-1499); incomplete surgical margins had 239 been diagnosed in 8 (67%) of these cases. Fourteen (17.3%) dogs experienced nodal relapse after 240 a median of 193 days (range, 28-592) and 9 (11.1%) developed distant relapse after a median of 241 218 days (range, 52-2152). Overall median TLR, TNR and TDR were not reached. Mean TTP 242 was 1461 days. At the end of the study, 50 (61.7%) dogs were alive, and 31 had died because of 243 cancer-related (n = 21; 25.9%) or unrelated causes (n = 10; 12.3%). Median TSS was 2213 days 244 (95% CI, 1410-3015, Table 2). There was no significant difference in TLR, TNR, TDR and TSS 245 between dogs diagnosed with HN2 and HN3 LN status. All dogs in the LNS group received 246 adjuvant medical therapy, consisting of cytotoxic chemotherapy (vinblastine and prednisone:

n = 22; vinblastine, prednisone and lomustine: n = 3; paclitaxel: n = 1), TKI (n = 20) or both (n = 248 25). Twelve (16.9%) also received RT. Both the primary cMCT and the metastatic LN were included in the treatment field. The median follow-up time was 620 days (95% CI, 59-1207).

- 250 Thirty-one (43.7%) dogs experienced local recurrence; 19 (61%) of them had been removed with
- incomplete surgical margins; 51 (71.8%) dogs developed nodal relapse and 23 (32.4%) distant
- 252 relapse. Overall median TLR, TNR and TDR were 511, 170 and 1045 days, respectively. Median 253 TTP was 170 days. At the end of the study, 16 (22.5%) dogs were alive, and 55 had died because 254 of cancer-related (n = 45; 63.4%) or unrelated causes (n = 10; 14.1%). Median TSS was 360 255 days (95% CI, 181-539, Table 2). The risk of developing local recurrence, nodal relapse or 256 distant relapse was significantly higher in the LNS group compared with the LND group (P <257 (0.001). Overall, the risk of tumour progression was significantly higher in the LNS group (HR = 4.26, P < 0.001, Table 2). The risk of tumour-related death was also significantly higher (HR = 258 259 3.63, P < 0.001; Table 2, Figures 1 and 2).
- 260

#### 261 Analysis of prognostic variables

262

263 On univariable analysis, variables significantly associated with an increased risk of tumour progression were: age >9 years, head and neck location, tumour diameter >3 cm, substage b, P-264 265 G3, K-HG, enlarged/firm LN, fixed LN, lack of lymphadenectomy and TKI administration 266 (Table 3). Variables significantly associated with TSS were: age >9 years, lack of neutering, 267 head and neck location, tumour diameter >3 cm, substage b, P-G3, K-HG, enlarged/firm LN, 268 fixed LN, lack of lymphadenectomy and TKI administration (Table 4). On multivariable 269 analysis, age >9 years, head and neck location, enlarged/firm LN and lack of lymphadenectomy 270 were still significantly associated with tumour progression, whereas the variables associated 271 with tumour-related death were head and neck location, K-HG and lack of lymphadenectomy. 272 The lack of lymphadenectomy was the variable associated with the highest risk for tumour 273 progression and the second after K-HG for tumour-related death (Tables 5 and 6).

274

#### 275 DISCUSSION

In the current study, a significant improvement in tumour control and TSS was observed in dogsthat underwent regional LND during primary surgery for stage II cMCTs. Notably, the beneficial

278 effects of LND were most pronounced among dogs younger than 9 years, with cMCTs arising in 279 anatomic locations different than head and neck, smaller than 3 cm, of K-LG, and with no 280 enlarged/firm regional LN. Most of these results are similar to previous reports.1,12,16,17 281 Intuitively, it would appear that the explanation for these observations is that the patients who 282 experienced greatest benefit were those (1) with sufficient life ahead for a life-expectancy benefit 283 to be measured and (2) with a less aggressive manifestation of disease. Previously identified 284 prognostic markers, Kiupel grade and gross enlargement and firmness of the regional LN, 285 remained prognostically significant; the negative impact of these observations was not removed 286 by the application of LND. Nevertheless, this is the first study including the extent of node 287 involvement (sampled vs removed) as a death-related risk factor for cMCT. Lymphadenectomy 288 is increasingly employed in veterinary oncology for improved accuracy of clinical stage 289 evaluation. It is accepted that LND is the superior technique for the diagnosis of LN metastases. 290 The limits of cytology in over- or under-staging disease by obtaining false positive or false 291 negative results, respectively, have been well documented.18 Even though the sensitivity and 292 specificity of cytological examination for the detection of LN metastasis in dogs with solid 293 tumours (including cMCTs) have been reported to be as high as 100% and 96%, respectively,6 in 294 the specific case of cMCT, cytological diagnostic accuracy is hampered by an inability to 295 accurately differentiate malignant from reactive mast cells in LN aspirates, possibly leading to 296 false positive results.19 In order to avoid this, in the current study strict criteria were applied to 297 May-Grünwald-Giemsa-stained LN cytological smears to identify nodal metastatic disease. 298 Criteria for the definition of LN metastasis included replacement of lymphoid cells by mast cells, 299 and/or the presence of aggregated, poorly differentiated mast cells with pleomorphism, 300 anisocytosis, anisokaryosis, and/or decreased or variable granulation, and/or greater than five 301 aggregates of more than three mast cells, according to Krick's criteria.5 Additionally, cases were 302 only included in the LNS group if the LN was interpreted as "certainly" metastatic according to 303 Krick's criteria.5 Besides staging, our results have documented that LND is also important for 304 survival. Nodal metastasis indicates aggressive tumour biology, but also may represent a source 305 of subsequent metastasis, as hypothesized by the Halstedian theory.20 In the LNS group, dogs

had a significantly higher local recurrence rate (43.7% vs 14.8% in the LND group), a significant
increase in nodal relapse (71.8% vs 17.3% in the LND group) and distant metastasis (32.4% vs
11.1% in the LND group). While it is difficult to clinically determine the tumour origin from

309 which systemic metastasis derives, including the primary cancer vs the metastatic LN, the 310 survival benefit observed in dogs undergoing LND cannot be ignored, suggesting that tumour 311 biology, including metastatic capability, differs between the primary site and the LNs.21,22 It is 312 certainly plausible that improved loco-regional control translates into a lower risk of distant 313 spread, ultimately leading to a survival benefit. Also, it is interesting to note that the 314 histopathological LN status (HN2 vs HN3) did not show any significant difference in terms of 315 outcome, suggesting that both classifications have the potential to behave aggressively, thereby 316 requiring an additional medical intervention. Patients with advanced mast cell neoplasia are 317 known to suffer paraneoplastic, systemic consequences of their disease, even in the absence of 318 detectable metastasis. In patients without detectable metastasis, morbidity and overall disease 319 burden are correlated.23 Therefore, a simple explanation for the observed outcome findings lies 320 in the fact that LND removes an additional burden of cancer from the patient. Thus a potential

321 driver for paraneoplastic morbidity consequences is also removed.

322 However, if the explanation for the observed findings was as simple as that given above, one 323 would expect an improvement in overall survival and TNR following lymphadenectomy, but one 324 would not intuitively expect an improvement in TLR and TDR. It is accepted that the observed 325 differences in time to recurrence outcomes may have arisen due to an inherent bias or to chance. 326 However, considering the possibility that the observed results are a true effect, this study 327 provides evidence for a model of disease progression whereby metastatic foci in loco-regional 328 LNs present a threat of bidirectional disease progression. In other words, the metastatic local LN 329 can either act as a reservoir for neoplastic mast cells, which can then relocate to the primary

tumour site or to other distant site or it can exert a biological effect, which favours the development of neoplasia at those sites. Indeed, in humans with solid cancer, local reseeding from neoplastic cells located in the LNs is a well-known phenomenon, and is driven by

chemoattractants released during the post-surgery local wound healing processes.24 The same
 may hold true for dogs with cMCTs. The results of this study indicate prognostic benefits of
 regional

LND of metastatic LNs for dogs with surgically removed cMCT. However, the data should be interpreted with caution. Every effort was made to minimize potential bias by accounting for all known prognostic variables associated with both the tumours and patients; however, selection bias regarding dogs' recruitment cannot be ruled outbecause of the retrospective nature of this 340 study. Decisions regarding whether to perform LND were made according to each clinician's 341 discretion, rather than random allocation or well-defined criteria. It is utterly plausible that 342 unknown owner and clinician perceptions orpreferences may have impacted the treatment 343 decision. Also, while all dogs received some form of systemic treatment, protocols were not 344 standardized, rather the choice was left to the primary clinician. Any confounding effect of 345 adjuvant therapy choice could also have influenced outcome. It must be noted that cytotoxic 346 chemotherapy was more often offered to dogs in the LND group and TKI therapy to dogs in the 347 LNS group. This may reflect a clinical bias; veterinarians managing dogs in the study generally 348 perceived TKI therapy to offer a higher probability of a durable response than cytotoxic 349 chemotherapy to dogs with more malignant disease or in which the goal of treatment was to 350 stabilize the disease by administering a cytostatic drug. By contrast, dogs with less malignant, 351 down-staged disease were considered better candidates for treatment comprising a finite course 352 of vinblastine and prednisolone. This latter treatment was regarded to confer a lower risk, lower 353 cost, shorter treatment duration and a good chance of a very good outcome for that patient group. 354 Furthermore, although this study recruited cases regardless of the location of the loco-regional 355 draining node, inadvertently, it primarily evaluated dogs with readily accessible LNs. This means 356 that caution must be exercised in applying the conclusions of this study to dogs that were poorly 357 represented. The morbidity associated with removal of an intra-cavitary LN would be expected 358 to be greater than that forremoval of a peripheral LN. This increase in morbidity might offset 359 some of the survival advantage supposedly achieved and may create other problems not 360 highlighted in this study. Our study raises several important questions for the management

361 of dogs with stage II cMCTs. First, should LND of metastatic LNs become a standard 362 component of surgical management of cMCTs? Given the outcome advantages and the lack of 363 morbidity observed in this study, we believe the answer to this question is a qualified yes. In this 364 study cohort, sufficient patients enjoyed a survival benefit that a statistically significant 365 improvement was noted for the LND group as a whole. However, it should be noted that a 366 proportion of individual patients did not enjoy a survival benefit. Further studies to define 367 optimal application of LND recommendation would be useful. Future studies might explore 368 whether medical treatment is necessary for this whole population of dogs, as there is no clear 369 consensus regarding systemic treatment for stage II cMCTs in terms of the need for, and choice

370 of, adjuvant cytotoxic chemotherapy regimen, as highlighted in a recent Letter to the Editor in 371 this journal.25 In some patient groups, consider older patients and those with a lesser metastatic

372 burden, it is conceivable that the survival advantage of LND is sufficient to achieve the full 373 remainder of that patient's life expectancy, meaning that adjuvant medical therapy would no 374 longer confer a survival advantage.Second, should LND be performed systematically, regardless 375 of the nodal disease status? Undoubtedly accurate surgical staging, including LND, recognizes 376 the true extent of disease by detection of occult node metastases (HN1). It remains to be explored 377 whether lymphadenectomy of HN1 nodes further improves prognosis as compared with surgical 378 excision of the primary cMCT only. Last, the regional LN does not necessarily represent the 379 sentinel LN, which is by definition the first node that receives direct lymphatic drainage from the 380 tumour rather than the closest node to the primary tumour.26,27 Different methods of 381 identification of the sentinel LN have been used, including radioisotope injection, vital blue dye, 382 or lymphangiography. For LNs not obviously metastatic, sentinel LNs techniques rather than 383 anatomic sampling should be applied to accurately reflect the metastatic status. It could be 384 suggested that if sentinel LN mapping had been used to drive LN extirpation, the difference 385 between outcomes for the two patient groups might have been even greater.

In conclusion, the present study indicates a potential therapeutic value of metastatic regional lymphadenectomy in the context of surgical removal of cMCT and the administration of adjuvant systemic medical treatment. This finding was demonstrated by the evidence of a lower local recurrence, nodal relapse rate and distant metastatic rate with LND vs LNS. The authors propose that the need to secure locoregional control of solid tumours will assume increasing importance as systemic therapies improve and the incidence of death from distant spread reduces.

393

## 394 ACKNOWLEDGEMENTS

We thank all the clinicians who treated some of the dogs in this study, including Drs Maria
Amati, Ombretta Capitani, Carmit Chalfon, Alfredo Dentini, Paola Mesto and Nicola Simone.

397

#### **398 Conflict of interest**

399 The authors have no conflict of interest to declare.

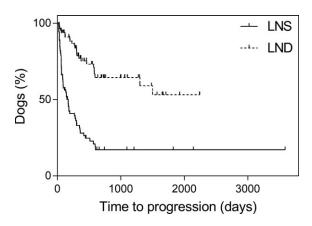
400

| 4 | 0 | 1 |
|---|---|---|
|   |   |   |

- 402 TABLE 1 Distributions of variables potentially associated with prognosis in 152 dogs with stage II403 cutaneous mast cell tumour treated by surgical
- 404 excision of the primary tumour and systemic medical therapy with or without concurrent 405 lymphadenectomy
- 406
- 407 TABLE 2 Time to progression, survival time and evaluation of the risk of developing tumour progression
- 408 and tumour-related death in 152 dogs with stage II cutaneous mast cell tumour treated by surgical
- 409 excision of the primary tumour and systemic medical therapy with or without
- 410 concurrent lymphadenectomy
- 411

414

- 412 TABLE 3 Univariable Cox regression analysis of variables potentially associated with increased risk of 413 tumour progression in 152 dogs with stage II cutaneous mast cell tumours
- 415 TABLE 4 Univariable Cox regression analysis of variables potentially associated with increased risk of 416 tumour-related death in 152 dogs with stage II cutaneous mast cell tumours
- 417
- TABLE 5 Multivariable Cox regression analysis of variables potentially associated with increased risk of
   tumour progression in 152 dogs with stage II cutaneous mast cell tumours
- 420
- TABLE 6 Multivariable Cox regression analysis of variables potentially associated with increased risk of
   tumour-related death in152 dogs with stage II cutaneous mast cell tumours
- 423



- 424 425
- FIGURE 1 Time to progression for dogs with stage II cutaneous mast cell tumour treated by surgical excision of the
  primary tumour, systemic medical treatment and metastatic lymph node sampling (LNS) or dissection (LND). In the
  LND group, dogs had a significantly longer time to progression (median, not reached vs 170 days, respectively; P <</li>
  0.001)
- 430

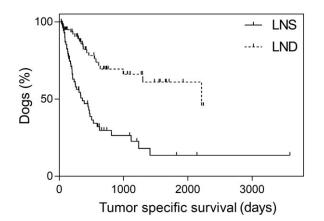


FIGURE 2 Tumour-specific survival (TSS) for dogs with stage II cutaneous mast cell tumour treated by surgical excision of the primary tumour, systemic medical treatment and metastatic lymph node sampling (LNS) or dissection (LND). In the LND group, dogs had a significantly longer survival time (median, 2213 days vs 360 days, respectively; P < 0.001)

436

431

#### 437 REFERENCES

438 1. Stefanello D, Buracco P, Sabattini S, et al. Comparison of 2- and 3-category histologic
439 gradingsystems for predicting the presence of metastasis at the time of initial evaluation in dogs
440 with cutaneous mast cell tumors: 386 cases (2009-2014). J Am Vet Med Assoc. 2015;246:765441 769.

442 2. Pizzoni S, Sabattini S, Stefanello D, et al. Features and prognostic impact of distant metastases
443 in 45 dogs with de novo stage IV cutaneous mast cell tumours: a prospective study. Vet Comp
444 Oncol. 2017;16:28-36. https://doi.org/10.1111/vco.12306.

- 3. Weishaar KM, Thamm DH, Worley DR, Kamstock DA. Correlation of nodal mast cells with
  clinical outcome in dogs with mast cell tumour and a proposed classification system for the
  evaluation of node metastasis. J Comp Pathol. 2014;151:329-338.
- 448 4. Murphy S, Sparkes AH, Blunden AS, Brearley MJ, Smith KC. Effects of stage and number of
  449 tumours on prognosis of dogs with cutaneous mast cell tumours. Vet Rec. 2006;158:287-291.
- 450 5. Krick EL, Billings AP, Shofer FS, Watanabe S, Sorenmo KU. Cytological lymph node451 evaluation in dogs with mast cell tumours: association with grade and survival. Vet Comp Oncol.
- 452 2009;7:130-138.
- 453 6. Langenbach A, McManus PM, Hendrick MJ, Shofer FS, Sorenmo KU. Sensitivity and
- 454 specificity of methods of assessing the regional lymph nodes for evidence of metastasis in dogs
- 455 and cats with solid tumors. J Am Vet Med Assoc. 2001;218:1424-1428.

- 456 7. Lejeune A, Skorupski K, Frazier S, et al. Aggressive local therapy combined with systemic
- 457 chemotherapy provides long-term control in grade II stage 2 canine mast cell tumour: 21 cases
- 458 (1999-2012). Vet Comp Oncol. 2015;13:267-280.
- 459 8. Chaffin K, Thrall DE. Results of radiation therapy in 19 dogs with cutaneous mast cell tumor
- and regional lymph node metastasis. Vet Radiol Ultrasound. 2002;43:392-395
- 461 9. Thamm DH, Turek MM, Vail DM. Outcome and prognostic factors following adjuvant
- 462 prednisone/vinblastine chemotherapy for high-risk canine mast cell tumour: 61 cases. J Vet Med
- 463 Sci. 2006;68:581-587.
- 464 10. Baginski H, Davis G, Bastian RP. The prognostic value of lymph node metastasis with grade
- 465 2 MCTs in dogs: 55 cases (2001-2010). J Am Anim Hosp Assoc. 2014;50:89-95.
- 466 11. Patnaik AK, Ehler WJ, MacEwen EG. Canine cutaneous mast cell tumor: morphologic
  467 grading and survival time in 83 dogs. Vet Pathol. 1984;21:469-474.
- 468 12. Kiupel M, Webster JD, Bailey KL, et al. Proposal of a 2-tier histologic grading system for
  469 canine cutaneous mast cell tumors to more accurately predict biological behavior. Vet Pathol.
  470 2011;48:147-155.
- 471 13. Vascellari M, Giantin M, Capello K, et al. Expression of Ki67, BCL-2, and COX-2 in canine
  472 cutaneous mast cell tumors: association with grading and prognosis. Vet Pathol. 2013;50:110473 121.
- 474 14. Kiupel M, Webster JD, Kaneene JB, Miller R, Yuzbasiyan-Gurkan V. The use of KIT and
  475 tryptase expression patterns as prognostic tools for canine cutaneous mast cell tumors. Vet
  476 Pathol. 2004;41(4): 371-377.
- 477 15. Moirano SJ, Lima SF, Hume KR, Brodsky EM. Association of prognostic features and
  478 treatment on survival time of dogs with systemic mastocytosis: a retrospective analysis of 40
- 479 dogs. Vet Comp Oncol. 2018; 16:E194-E201.
- 480 16. Kiupel M, Webster JD, Miller RA, Kaneene JB. Impact of tumour depth, tumour location
- 481 and multiple synchronous masses on the prognosis of canine cutaneous mast cell tumours. J Vet
- 482 Med A Physiol Pathol Clin Med. 2005;52:280-286.
- 483 17. Gieger TL, Théon AP, Werner JA, McEntee MC, Rassnick KM, DeCock HE. Biologic
- 484 behavior and prognostic factors for mast cell tumors of the canine muzzle: 24 cases (1990-2001).
- 485 J Vet Intern Med.2003;17:687-692.

- 486 18. Ku CK, Kass PH, Christopher MM. Cytologic-histologic concordance in the diagnosis of
  487 neoplasia in canine and feline lymph nodes: a retrospective study of 367 cases. Vet Comp Oncol.
  488 2017;15:1206-1217.
- 489 19. Mutz ML, Boudreaux BB, Royal A, et al. Cytologic comparison of the percentage of mast490 cells in lymph node aspirate samples from clinically normal dogs versus dogs with allergic
- 491 dermatologic disease and dogs with cutaneous mast cell tumors. J Am Vet Med Assoc.
- 492 2017;251:421-428.
- 493 20. Halsted WS. The results of radical operations for the cure of carcinoma of the breast. Ann494 Surg. 1907;46:1-19.
- 495 21. Akita H, Doki Y, Yano M, et al. Effects of neoadjuvant chemotherapy on primary tumor and
- 496 lymph node metastasis in esophageal squamous cell carcinoma: additive association with
- 497 prognosis. Dis Esophagus. 2009;22:291-297.
- 498 22. Fruhwirth GO, Diocou S, Blower PJ, Ng T, Mullen GE. A whole-body dual-modality
- 499 radionuclide optical strategy for preclinical imaging of metastasis and heterogeneous treatment
- 500 response in different microenvironments. J Nucl Med. 2014;55:686-694.
- 501 23. Blackwood L, Murphy S, Buracco P, et al. European consensus document on mast cell
  502 tumours in dogs and cats. Vet Comp Oncol. 2012; 10:e1-e29.
- 503 24. Karnoub AE, Weinberg RA. Chemokine networks and breast cancer metastasis. Breast Dis.
  504 2006-2007;26:75-85.
- 505 25. Schulman FY. Is lymph node metastasis of canine grade 2 MCTs justification for adjuvant
  506 therapy? Vet Comp Oncol. 2015;13:151.
- 507 26. Brissot HN, Edery EG. Use of indirect lymphography to identify sentinel lymph node in
- dogs: a pilot study in 30 tumours. Vet Comp Oncol. 2017;15:740-753.
- 509 27. Worley DR. Incorporation of sentinel lymph node mapping in dogs with mast cell tumours:
- 510 20 consecutive procedures. Vet Comp Oncol. 2014;12:215-226.