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**Refining the selection of patients with metastatic colorectal cancer for treatment with temozolomide using proteomic analysis of O6-methylguanine-DNA-methyltransferase**

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1 **Title: Refining the selection of patients with metastatic colorectal cancer for treatment with**  
2 **temozolomide using proteomic analysis of MGMT**

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4 **Running title: Temozolomide: proteomic MGMT selection**

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6 Sarit Schwartz<sup>a</sup>, MD, Chris Szeto<sup>b</sup>, PhD, Yuan Tian<sup>a</sup>, PhD, Fabiola Cecchi<sup>a</sup>, PhD, Salvatore Corallo<sup>c</sup>, MD, Maria  
7 Alessandra Calegari<sup>d</sup>, MD, Maria Di Bartolomeo<sup>c</sup>, MD, Federica Morano<sup>c</sup>, MD, Alessandra Raimondi<sup>c</sup>, MD,  
8 Giovanni Fucà<sup>c</sup>, MD, Antonia Martinetti<sup>c</sup>, MD, Ivana De Pascalis<sup>e</sup>, PhD, Maurizio Martini<sup>e</sup>, MD, Antonino  
9 Belfiore<sup>f</sup>, PhD, Massimo Milione<sup>f</sup>, MD, Armando Orlandi<sup>d</sup>, MD, Ludovic Barault<sup>g,h</sup>, PhD, Carlo Barone<sup>d</sup>, Prof,  
10 Filippo De Braud<sup>c,i</sup>, Prof, Federica Di Nicolantonio<sup>g,h</sup>, PhD, Steve Benz<sup>b</sup>, PhD, Todd Hembrough<sup>a\*</sup>, PhD, Filippo  
11 Pietrantonio<sup>c,i\*</sup>, MD,

12

13 <sup>a</sup> NantOmics, LLC, Rockville, MD, United States; <sup>b</sup> NantOmics, LLC, Santa Cruz, CA, United States; <sup>c</sup> Medical  
14 Oncology Department, Fondazione IRCCS Istituto Nazionale dei Tumori, Milan, Italy; <sup>d</sup> Medical Oncology  
15 Unit, Fondazione Policlinico Universitario Agostino Gemelli, Rome, Italy; <sup>e</sup> Institute of Pathology, Università  
16 Cattolica del Sacro Cuore, Rome, Italy; <sup>f</sup> Department of Pathology and Laboratory Medicine, Fondazione  
17 IRCCS Istituto Nazionale dei Tumori, Milan, Italy; <sup>g</sup>Department of Oncology, c/o Candiolo Cancer Institute  
18 IRCC, University of Turin, Candiolo (TO), Italy; <sup>h</sup> Candiolo Cancer Institute, FPO - IRCCS, Candiolo (TO), Italy;  
19 <sup>i</sup>Department of Oncology and Hemato-oncology, University of Milan, Milan, Italy

20

21 **\*Corresponding authors:** Filippo Pietrantonio, MD, Medical Oncology Department, Fondazione IRCCS

22 Istituto Nazionale dei Tumori, Via Venezian 1, Milan, Italy, email:

23 filippo.pietrantonio@istitutotumori.mi.it, phone: +39 0223903807;

24 Todd Hembrough, PhD, President, Proteomics, NantOmics, LLC, 9600 Medical Center Drive, Rockville, MD

25 20850, email: Todd.Hembrough@nantomics.com, phone: 301-502-3655

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1 **HIGHLIGHTS**

- 2 • 10% O6-methylguanine-DNA-methyltransferase (MGMT)-methylated metastatic  
3 colorectal cancers respond to temozolomide (TMZ).  
4
- 5 • 50/50/35% responses for MGMT selection with mass spectrometry/methyl-  
6 BEAMing/RNA-seq.
- 7
- 8 • Mass spectrometry: 100% sensitivity/50% specificity/80% accuracy for response to  
9 TMZ.
- 10 • Low/negative MGMT protein expression was significantly associated with longer  
11 progression-free survival.
- 12
- 13 • Quantitative proteomic MGMT analysis could refine patients' selection for TMZ.
- 14
- 15

16 **ABSTRACT**

17 **Background** The repair enzyme O6-methyl-guanine-DNA-methyl-transferase (MGMT) is a validated  
18 predictor of benefit from temozolomide (TMZ) in glioblastoma. However, only 10% of patients with *MGMT*-  
19 methylated metastatic colorectal cancer (mCRC) respond to TMZ.

20 **Methods** Archived tumour samples (N=41) from 3 phase II TMZ trials carried out in *MGMT* methylated  
21 mCRC (assessed by methylation-specific polymerase-chain-reaction) were stratified by MGMT status as  
22 assessed by 3 different methods: mass spectrometry, PCR/methylBEAMing, and RNA-seq. The performance  
23 of each method was assessed in relation to overall response rate, progression-free survival (PFS) and  
24 overall survival (OS).

25 **Results** Overall, 9 of 41 patients responded to TMZ. Overall response rates were 50% (9/18), 50% (6/12)  
26 and 35% (8/23) among patients determined likely to respond to TMZ by mass spectrometry,  
27 methylBEAMing and RNA-seq, respectively. Low/negative MGMT protein expressors by mass spectrometry  
28 had longer PFS than high MGMT expressors (3.7 versus (vs) 1.8 months;HR=0.50,  $p=0.014$ ). Results for OS  
29 were similar but statistically non-significant (8.7 vs 7.4 months; HR=0.55,  $p=0.077$ ). No significant  
30 association between survival and MGMT status by methyl-BEAMing or RNA-seq could be demonstrated as

1 comparable subgroups survival could not be confirmed/excluded. Specifically, the association of high vs low  
2 MethBEAMing MGMT hypermethylation with survival was HR=0.783, p=0.46 for PFS and 0.591, p=0.126  
3 for OS, while association of low vs high RNA-seq MGMT level with survival was HR=0.697, p=0.159 for PFS  
4 and HR=0.697, p=0.266 for OS.

5 **Conclusions** Quantitative proteomic analysis of MGMT may be useful for refining the selection of patients  
6 eligible for salvage treatment with single-agent TMZ.

7

8 **Key words:** Colorectal cancer, MGMT, Temozolomide, Biomarker, Molecular diagnostics

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## 12 **INTRODUCTION**

13 Alkylating agents such as temozolomide (TMZ) are used to treat tumors since their ability to alkylate DNA  
14 causes DNA damage leading to tumour cell death. The repair enzyme O6-methyl-guanine-DNA-methyl-  
15 transferase (MGMT) is involved in response to DNA damage caused by alkylating agents[1,2]. *MGMT* gene  
16 expression is epigenetically downregulated by hypermethylation of the promoter of CpG dinucleotides. This  
17 transcriptional silencing leads to absence of MGMT protein, thus impeding repair of chemotherapy-induced  
18 O6-alkylguanine adducts and potentially enhancing tumour susceptibility to alkylating drugs[2,3]. *MGMT*  
19 methylation status has been validated as predictor of benefit from TMZ in glioblastoma patients[2,4-6].  
20 *MGMT* silencing occurs in around 38% of colorectal carcinomas[7]. *MGMT* status as qualitatively assessed  
21 by methylation-specific polymerase-chain-reaction (MSP) was used to select patients with refractory  
22 metastatic colorectal cancer (mCRC) for 5 clinical trials of alkylating agents[8-13]. However, the activity of  
23 TMZ in heavily pre-treated mCRC patients selected by MSP is limited, with overall response rates about 3-  
24 16%. In attempts to improve the selection of mCRC patients, the predictive value of *MGMT* “hyper”-  
25 methylation as quantitatively assessed by digital PCR/methyl-BEAMing (MB) was demonstrated[14,15]. This  
26 analysis corroborated reported discrepancies between MGMT protein expression by

1 immunohistochemistry and alterations of matching genes in mCRC[16] and in other solid tumours[17,18].  
2 Protein quantitation by mass spectrometry (MS) is widely considered the gold standard for biomarker  
3 measurement in biological samples[19-21]. MS-based assays can objectively quantify MGMT protein in  
4 formalin-fixed paraffin-embedded (FFPE) tumour tissues in an antibody-independent manner. Just as  
5 quantitative methylation overcomes the limitations of MSP (eg, subjectivity of eye reading of the gel, lack  
6 of automation), MS-based protein quantitation avoids challenges inherent in immunohistochemical  
7 detection of MGMT protein such as high inter-observer variability and lack of standard antibody types and  
8 scoring methods.

9 We hypothesized that tumour protein expression of MGMT as measured by MS would be a biomarker of  
10 resistance to TMZ and correlate with MGMT status by MB and by RNA sequencing (RNA-seq). We tested  
11 our hypothesis in the archived tumour samples of patients with refractory mCRC enrolled in 3 trials of  
12 TMZ[10,12,13] and we used predictive modelling to test which MGMT assessment method or their  
13 combination would most accurately identify patients responsible to TMZ.

14

## 15 **MATERIALS AND METHODS**

### 16 **Patients and samples**

17 This was a pooled analysis of archived tumour samples and clinical data from patients of 3 clinical trials of  
18 TMZ in refractory mCRC (EudraCT 2012-002766-13, INT 20/13 #1, INT 20/13 #2 )[10,12,13]. Patients met  
19 the following inclusion criteria: histologically confirmed mCRC; *MGMT* gene promoter methylation  
20 detected by MSP; at least one measurable lesion as defined by Response Evaluation Criteria in Solid  
21 Tumours (RECIST) version 1.1[22]; disease progression during or after treatment with standard  
22 chemotherapy and/or EGFR inhibitor therapy; and Eastern Cooperative Oncology Group (ECOG)  
23 performance status  $\leq 2$ . Patients received a standard TMZ regimen (150 mg/m<sup>2</sup>/day for 5 consecutive days  
24 every 28 days) or a dose-dense regimen (75 mg/m<sup>2</sup>/day, 21 days on/7 days off). Radiological assessments  
25 were conducted approximately every 8 weeks.

26 The present *post hoc* analysis included patients with an available archived tumour sample and treatment

1 outcome data permitting evaluation of objective response rate (ORR), progression-free survival (PFS) and  
2 overall survival (OS). All samples and clinical data were anonymized and this study was approved by the  
3 ethics committee at Fondazione IRCCS Istituto Nazionale dei Tumori of Milan in accordance with the  
4 declaration of Helsinki. All patients had provided written informed consent to research use of their  
5 anonymized data.

#### 6 **Quantitative MGMT assessment**

7 MGMT status was assessed by 3 methods: gene promoter methylation by MB, protein expression by MS,  
8 and messenger RNA (mRNA) expression by RNA-seq. Methylation status was performed at IRCC Candiolo,  
9 Turin, Italy as previously described.[14] Briefly, extracted and amplified DNA products from PCR were  
10 diluted and re-amplified with emulsion PCR. Following emulsion breaking and hybridization, fluorescence  
11 was assessed via flow cytometry; the percentage of methylation was calculated as the ratio of the  
12 fluorescence from the methylated probe over the sum of methylated and unmethylated probe signals.  
13 MGMT hyper-methylated status was defined as >63% cut-off[15].

14 MGMT protein was quantified with an MS assay as previously described[23]. Briefly, tumour areas of  
15 archived formalin-fixed, paraffin-embedded tissue sections were marked by a pathologist and  
16 microdissected using a non-contact laser method. The captured tumour cells were solubilized to tryptic  
17 peptides and the total protein concentration of each tryptic peptide mixture was measured. Each sample  
18 was subjected to triplicate proteomic analysis using stable isotope-labeled internal standard peptides for  
19 quantitation of analytical targets. Proteomic expression analysis was performed with a TSQ Quantiva™  
20 triple quadrupole mass spectrometer (Thermo Scientific, San Jose, CA)[24]. Data analysis was performed  
21 using Pinpoint™ (Version 1.3; Thermo Scientific, San Jose, CA) and Pinnacle software (Optys Tech  
22 Corporation, PA).

23 Patients were stratified into groups of “low” and “high” MGMT protein expression using a pre-specified  
24 threshold for MGMT of 200 attomoles per microgram (amol/μg) of total protein, based on the proteomic  
25 assay’s limit of quantitation, which is determined from analyses of assay performance with respect to  
26 sensitivity and reproducibility.

1 RNA-seq was conducted by NantOmics as follows: RNA-Seq libraries were prepared for the tumour sample  
2 using KAPA Stranded RNA-Seq with RiboErase kit and sequenced on the Illumina sequencing platform. The  
3 resulting reads were aligned to refseq build 73 using BowTie2 v2.2.6, then processed by RSEM v.1.2.25[25]  
4 to estimate transcripts per kilobase million (TPM) and fragments per kilobase of exon per million fragments  
5 mapped (FPKM) for each isoform. Gene-level TPM and FPKM estimates are made using a weighted-average  
6 of the isoform estimates, weighted by an RSEM-estimated percentage of each isoform's expression among  
7 all isoforms in the sample.

8 As a predetermined threshold for mRNA expression that correlates with response to TMZ has not been  
9 established, mRNA expression levels of all TCGA samples of colon and rectal cancers were plotted to find a  
10 natural break in the expression pattern that would match with the proteomic cutoff of 200 amol/ $\mu$ g. The  
11 distribution of MGMT TPM appeared bimodal with a natural break at  $3.5 \log_2(\text{TPM}+1)$ . This threshold was  
12 highly associated with the proteomic threshold (Fisher's exact test  $p < 0.0008$ ) and was determined to be the  
13 optimal value for agreement between RNA-seq and proteomic values in Youden analysis. Expression levels  
14 of MGMT mRNA below this cutoff were considered indicative of likely response to TMZ.

15 IHC analysis for MGMT was performed and scored as previously described [12].

## 16 **Geneset analysis**

17 In attempts to annotate the MGMT observed in this cohort with functional biological pathways or  
18 ontologies, genes significantly associated with either MGMT protein subgroups or MGMT mRNA expression  
19 were analyzed using gene-set enrichment analysis (GSEA). A total of 5 curated geneset databases were  
20 used in the analysis: KEGG, GO Molecular Functions, GO Biological Processes, BioCarta, & Wikipathways.  
21 Genes associated with MGMT protein levels were identified by two-sample t-tests in gene expression  
22 between MGMT high ( $>200$  amol/ $\mu$ g) vs. MGMT low ( $<200$  amol/ $\mu$ g) subgroups. As no genes were  
23 significant after Bonferroni correction (adjusting for 19,270 hypotheses), a minimum p-value of 0.01 was  
24 used. Genes associated with MGMT mRNA expression were identified by correlation analysis, wherein the  
25 minimum R value for significance was 0.82 (one-sided,  $\alpha = 2.6e-6$ ,  $\beta = 0.99$ ).

## 1 **Statistical analysis**

2 The performance of each MGMT assay was assessed using 3 patient endpoints: ORR according to RECIST  
3 version 1.1, PFS and OS. Cox proportional hazard modelling and the Mantel-Cox log-rank were used for  
4 survival comparisons. The Fisher's exact test was used to assess the relationship between MGMT status and  
5 patient response and the correlation between MGMT assessment methods.

## 6 **Predictive modelling**

7 The ability of the 3 MGMT assays (MS, MB and RNAseq) to predict patient response to TMZ was tested  
8 using leave-pair-out cross-validation. A predictive model was built using all samples except 2, and the  
9 model's performance was tested in one unseen positive sample and one unseen negative sample. This was  
10 repeated for all possible combinations of positive and negative samples. The average performance over all  
11 unseen test sets was the reported accuracy for a given predictive model.

## 12 **RESULTS**

### 13 **Patients and samples**

14 Tumour samples from 41 TMZ-treated patients were available for analysis. These patients had a median  
15 age of 69 years and had received a median of 3 chemotherapeutic regimens prior to TMZ. Most patients  
16 had an ECOG status of 0 or 1 (85%); and at least 2 metastatic sites (56%), with liver as the most frequent. As  
17 expected in mCRC, all patients eventually progressed on TMZ. Twenty-six patients (63%) had progressive  
18 disease, 9 (22%) partial response, 6 (15%) stable disease (Table 1).

### 19 **MGMT status**

20 All 41 archived samples were evaluable by MS and IHC; 35 were analyzed by MB and 39 were of sufficient  
21 quality for MGMT assessment by RNA-seq (Figure 1). Of patients assessed by MS-based proteomics, 18  
22 (44%) had "low" tumour expression of MGMT protein (<200 amol/ $\mu$ g of tumour protein) and were  
23 therefore considered likely to respond to TMZ. The remainder (n=23) were "high" protein expressors prone  
24 to TMZ resistance. As expected in this population of patients enriched for the study of exceptional  
25 responders, low MGMT protein expression was relatively frequent (44%); by comparison, the prevalence of  
26 low MGMT expression among all samples of CRC analyzed in the authors' clinical laboratory during the past



1 year (n=104) was 14% (Table 2). Among MGMT “low” subgroup, no significant association with specific  
2 clinico-pathological features was observed when comparing responders versus non responders to TMZ  
3 (data not shown).  
4 MGMT promoter methylation above the previously validated 63% cutoff was observed in 12 (34%) patients.  
5 In the 35 tumours analyzed by MB and MS, the agreement rate between methods was 77%; p=0.004. Using  
6 the experimental cutpoint for mRNA expression fit to the data ( $\leq 3.5 \log_2[\text{TPM}+1]$ ), low mRNA expression  
7 was observed in the majority of samples (n=23; 59%) (Table 2). In the 39 patients analyzed for MS and RNA-  
8 seq, the agreement rate was 77%; p=0.0008.  
9 IHC for MGMT was scored as negative in 4 (10%) samples, weakly positive in 8 (20%) and intense positive in  
10 the remaining 29 (70%). Even if IHC and MS analyses results showed a significant correlation (p=0.0003 by  
11 Chi-square test), 7 patients classified as MGMT negative by means of MS had intense MGMT expression by  
12 means of IHC.

### 13 **Response and survival**

14 Quantitative proteomics retrospectively identified 9 of 9 RECIST-defined responders to TMZ; all 9  
15 responders had low MGMT protein levels by MS. Other 9 patients with low MGMT protein expression did  
16 not have RECIST-defined response on TMZ (ORR of low MGMT protein: 50%). None of the patients with  
17 high MGMT protein responded to TMZ (ORR of high MGMT protein: 0%; p=0.0001) (Table 2; Figure 2A).  
18 Positive MGMT methylation status by MB retrospectively identified 6 of 8 responders to TMZ; other 6  
19 patients with positive MGMT status by MB were non-responders (ORR of MGMT hypermethylation: 50%).  
20 Two patients with negative methylation status responded to TMZ (ORR: 9%; p=0.011) (Table 2; Figure 2B).  
21 Patients with low mRNA-expressing tumours by RNA-seq had a non-significantly higher ORR than higher  
22 mRNA expressors (35% vs 6%; p=0.115) (Table 2; Supplementary Figure 1).  
23 In survival analyses, patients with low MGMT protein levels (<200 amol/ $\mu\text{g}$ ) had longer median PFS (mPFS)  
24 than patients with high MGMT levels (3.7 vs 1.8 months; p=0.014) (Figure 3A). MGMT levels remained a  
25 statistically significant predictor of PFS when paired with other prognostic factors in Cox proportional  
26 hazards models; no other variable tested was more explanatory than MGMT protein level (Table 3).

1 Differences in OS by MGMT protein level were similar to PFS differences but did not reach statistical  
2 significance (8.7 vs 7.4 months, HR=0.55,  $p=0.077$ ) (Figure 3B). There were no statistically significant  
3 differences in PFS or OS among patients stratified by MB or RNA-seq (Figure 3C, 3D, 3E and 3F).

#### 4 **Geneset analysis**

5 RNA-seq identified 48 genes that were strong indicators of MGMT protein subgroups, and a further 30  
6 genes that were significantly correlated with MGMT mRNA expression. In GSEA, neither of these gene sets  
7 were significant drivers of DNA damage response or other pathways associated with response to TMZ.

#### 8 **Predictive modelling**

9 Predictive models of TMZ response were built using each of the MGMT assays (MS, MB and RNA-seq) and  
10 for combinations. These models were run using their established cutoffs as well as raw, continuous MGMT  
11 values with various experimental cutoffs. Ten datasets and 14 classification algorithms combined into 140  
12 different modelling strategies; evaluating the predictive performance of these strategies in unseen samples  
13 required building an additional 2,772 unique predictive sub-models. The best modelling strategy included  
14 all three MGMT assessment methods (MB, MS and RNA-seq) with their established cutoffs. This model was  
15 87% accurate in predicting TMZ response in unseen samples and performed better than that using MGMT  
16 protein quantity alone (80% accurate) (Figure 4). For each of the 3 assessment methods, experimental  
17 MGMT cutoffs (optimized using leave-out pair cross validation) did not perform better than the predefined  
18 cutoffs (Figure 5).

19

#### 20 **DISCUSSION**

21 In this *post-hoc* pooled analysis of 3 phase II trials in refractory mCRC patients receiving TMZ, a proteomic  
22 test for MGMT protein had a 100% sensitivity and a 50% specificity when using clinical response as the gold  
23 standard. Although the sample size was too small to reach definitive conclusions, the proteomic test  
24 seemed to outperform both digital MB and RNA-seq in predicting response to TMZ. MGMT protein  
25 expression below a predefined threshold was significantly associated with longer mPFS, independently  
26 from other prognostic variables. Regarding MB and RNA-seq tests, no significant association with survival

1 could be demonstrated since a comparable survival of subgroups could not be confirmed or excluded,  
2 possibly for the limited study power. Patients with high MGMT protein expression had similar PFS to that  
3 reported for mCRC patients in clinical trials of TMZ. Therefore, the disappointing results of such trials may  
4 reflect the limited ability of standard *MGMT* assessment methods (e.g. MSP) to select the optimal  
5 candidates for TMZ.

6 In order to develop a hypothetical MGMT assay with maximal accuracy in identifying responders to TMZ, a  
7 predictive model was built using 3 different MGMT assays and their combinations. A combination of all 3  
8 MGMT assessment methods was 87% accurate versus 80% accuracy using the proteomic test alone.

9 Modelling confirmed that the thresholds for MGMT expression and MGMT methylation used to stratify  
10 patients in this study were more robust than other exploratory thresholds. These results point to the  
11 potential clinical value of MGMT protein quantitation, either alone or in combination with other methods.

12 MS technique is also valuable for two reasons: first, it seems to outperform IHC since that the sensitivity  
13 of IHC may be not sufficient to categorize MGMT negativity. Second, MS may allow the selective  
14 detection of the active form of MGMT protein. In fact, the alkylated inactive form of MGMT is rapidly  
15 cleared by ubiquitine-mediated proteasomal degradation following conformational changes.

16 Concerning the discrepancies between RNA and MS, most of the discordant cases demonstrated a silencing  
17 at the RNA level while protein was found at high level. This could be explained by a slow turn-over of the  
18 protein in absence of DNA damage. In fact, in absence of DNA alkylation, the cells might switch off the  
19 transcription of MGMT, which will not affect the protein level already available. Additional process of  
20 transcription regulation might also be involved, such as deregulation of UBR1, a protein ligase E3 acting  
21 proved to affect MGMT transcription level [REF Leng Cancer Res 2015].

22 The importance of identifying potential responders to TMZ is emphasized by recently published findings of  
23 impairment of DNA mismatch repair or hypermutated status after the emergence of acquired resistance to  
24 TMZ in mCRC patients, thus becoming potentially eligible for immune-checkpoint inhibitors.[26] Studies in  
25 microsatellite stable mCRC are investigating the optimal duration of TMZ therapy prior to tumor mutational  
26 burden (TMB) testing, as well as “priming” treatment with TMZ followed, at the time of TMB-high-

1 associated disease progression, by sequential PD-1 blockade (ARETHUSA trial, NCT03519412) or short-term  
2 induction treatment with TMZ followed, in absence of disease progression, by its combination with CTLA-4  
3 plus PD-1 blockade (MAYA trial). In parallel, our recent work suggested a potential synergy between TMZ  
4 and other active agents commonly used in mCRC, such as irinotecan (TEMIRI regimen), with novel  
5 translational data regarding molecular selection at both gene and protein level[27].

6 Methylation-mediated silencing of MGMT has been reported in 38% of mCRC[7] and the frequency of low  
7 MGMT protein expression in our study is similarly encouraging. Of 104 samples of CRC analyzed in our  
8 clinical laboratory during the past year, 15 (14%) underexpressed MGMT protein, thus likely to respond to  
9 TMZ, and this percentage is similar to response rates to alkylating agents in refractory mCRC[8-13].

10 In this study, about a half of patients with low MGMT expression failed to respond to TMZ, suggesting a  
11 role for other factors such as DNA damage repair, cell cycle, and immune profile. Indeed, other  
12 transcriptional and post-transcriptional processes might be involved in *MGMT* expression in CRC[28,29]. An  
13 analysis of 70 genes with known involvement in DNA damage repair and immune-mediated response failed  
14 to find differential gene expression in MGMT subgroups. Future studies may identify genetic signatures  
15 that could further refine predictions of response to TMZ.

16 This study has some clear limitations. The absence of a control group treated without TMZ leaves open the  
17 possibility that the investigated biomarkers may be prognostic rather than predictive. Moreover, there is  
18 evidence that MGMT status may change during the course of disease[8], limiting the reliability of data from  
19 tumour tissue obtained at the time of diagnosis. Finally, the absence of prospective validation of our results  
20 limits their current use outside a research setting. Of note, a phase II trial (NCT02414009) led by our Group  
21 is currently enrolling patients with *MGMT*-methylated – as assessed by MSP – and *RAS* mutated mCRC, who  
22 failed a previous oxaliplatin-based treatment, randomly allocated to either second-line FOLFIRI regimen or  
23 capecitabine plus TMZ (CAPTEM regimen). This trial has almost concluded its target enrollment and will  
24 give us the chance to validate the potential predictive utility of our MGMT-centered panel of biomarkers.

1 Despite these limitations, the results of this preliminary study support the ability of a proteomic MGMT  
2 assay to refine the selection of TMZ responders and suggest that quantitated MGMT protein may be a  
3 useful biomarker in clinical settings.

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12 **Conflict of interest:** Sarit Schwartz is a former employee of NantOmics. Chris Szeto, Fabiola Cecchi, Yuan  
13 Tian, Steve Benz and Todd Hembrough are employees of NantOmics. Filippo Pietrantonio has received  
14 consultant/advisory board fees from Roche, Amgen, Eli Lilly, Sanofi, Merck-Serono and Bayer. Maria Di  
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1 **Availability of data and material:** Data supporting the results of this article are available from the  
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10 **FIGURE LEGENDS**

11 **Figure 1.** Consort diagram of the translational study. Archived FFPE tissue sections were obtained from  
12 patients who had received TMZ in one of 3 Phase II clinical trials. 41 samples were evaluable by proteomics,  
13 35 were analyzed by digital MB and 39 were analyzed by RNA-seq.

14 **Figure 2.** Percent change in sum of longest RECIST diameters (from baseline) among TMZ-treated patients  
15 (n=41) by (A) MGMT protein status and (n=35) (B) MGMT promoter hypermethylation status.

16 **Figure 3.** PFS (A) and OS (B) of TMZ-treated patients with metastatic colorectal cancer, by MGMT protein  
17 expression level, PFS (C) and OS (D) stratified by MGMT methylation status and PFS (E) and OS (F), by  
18 MGMT mRNA level (RNA-seq).

19 **Figure 4.** Average accuracy of predictive models per leave-pair-out cross-validation. Two classification  
20 strategies were employed: predefined cutoffs and exploratory cutoffs determined as optimum in a training  
21 set. Predefined and exploratory cutoffs were assessed in the exact same training and testing sets for direct  
22 comparison.

23 **Figure 5.** Average predictive accuracy in unseen samples for 58 predictive modelling strategies, by MGMT  
24 assessment method group. Groups are ordered left-to-right by average accuracy. Groups labeled  
25 “predefined” are discretized by their predefined cutoffs prior to predictive modelling. Each point  
26 represents a different predictive modelling strategy (i.e., combination of MGMT assessment method group  
27 and classification algorithm). Univariate datasets were analyzed using only Youden analysis and predefined  
28 cutoffs. Multivariate datasets were used as input for all other classification algorithms shown. Although



1 prediction strategies that use all three MGMT assessment methods outperformed the univariate proteomic  
 2 cutoff, the accuracy in the proteomic data is the most robust (lowest data dispersion) in this small cohort.

3 **Supplementary Figure 1**

4 Percent change in sum of longest RECIST diameters (from baseline) among TMZ-treated patients (n=39) by  
 5 MGMT RNA level.

**Table 1.** Characteristics of TMZ-treated patients with metastatic colorectal cancer (n=41)

Characteristic	No (%)
<b>Sex</b>	
M	20 (49)
F	21 (51)
<b>Age</b>	
Median (range)	69 (48-85)
<b>Clinical Trial</b>	
INT 20/13 #1	13 (27)
INT 20/13 #2	11 (32)
EudraCT 2012-002766-13	17 (41)
<b>ECOG performance status</b>	
0	15 (37)
1	20 (49)
2	6 (14)
<b>RAS and BRAF mutational status</b>	
Wild type (RAS and BRAF)	18 (44)
KRAS mutated	19 (46)
BRAF mutated	4 (10)
<b>Primary tumor location</b>	
Right-sided colon	18 (44)
Left-sided colon	20 (49)
Rectum	3 (7)
<b>No. of metastatic sites</b>	
1 metastatic site	18 (44)
≥ 2 metastatic sites	23 (56)
<b>Sites of metastases</b>	
Liver	32 (78)
Lung	24 (58)
Peritoneum	6 (15)
<b>No. of previous treatments</b>	
Median (range)	3 (2-5)
<b>Objective best response rate (RECIST)</b>	
PR	9 (22)
SD	6 (15)
PD	26 (63)

*Abbreviations:* TMZ, temozolomide; M, male; F, female; No, number; RECIST, Response Evaluation Criteria in Solid Tumors; ECOG, Eastern Cooperative Oncology Group; PR, partial response; SD, stable

disease; PD, progressive disease.

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**Table 2.** Overall response rate (ORR) of TMZ-treated patients by MGMT status as assessed by 3 methods: mass spectrometry-based proteomics, methylBEAMing, and RNA-seq.

Assessment method/status	N (%)	ORR	p*
MGMT protein (N=41)			
<200 amol/ug	18 (44)	50%	0.0001
≥200 amol/ug	23 (56)	0%	
MGMT hypermethylation (N=35)			
>63%	12 (34)	50%	0.011
≤63%	23 (66)	9%	
MGMT RNA-seq (N=39)			
<3.5 log <sub>2</sub> [TPM+1]	23 (59)	35%	0.115
>3.5 log <sub>2</sub> [TPM+1]	16 (41)	6%	

*Abbreviations:* MGMT, O6-methylguanine-DNA-methyltransferase; TPM, transcripts per million; N, number; ORR, overall response rate.

p\* two-tailed Fisher's exact test

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**Table 3: Analyzing potential confounders to MGMT association with PFS:** the p-values are associated with the explanatory coefficient for each potential confounder in the presence of MS MGMT status in a bivariate Cox-proportional hazard model for PFS. Neutrophil/lymphocyte at baseline and LDH were explored as continuous variables. Age is defined as the years elapsed between birth and date at histological diagnosis. The table is sorted in order of likelihood to be a confounder.

	<b>Confounder p-value</b>	<b>MGMT adjusted p-value</b>
<i>BRAF</i> mutation	0.137	0.018
<i>KRAS</i> mutation	0.203	0.020
Gender	0.424	0.032
ECOG	0.202	0.038
n° of previous treatment	0.910	0.014
LDH baseline level	0.017	0.005
n° metastatic sites	0.083	0.004
Neutrophil/lymphocyte at baseline	0.149	0.046
Peritoneal disease	0.219	0.012
Primary tumor location	0.381	0.026
Site of the archived tissue	0.631	0.019
Age	0.855	0.022

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*Abbreviations:* MGMT, O6-methylguanine-DNA-methyltransferase; ECOG, Eastern Cooperative Oncology Group; n°, number.

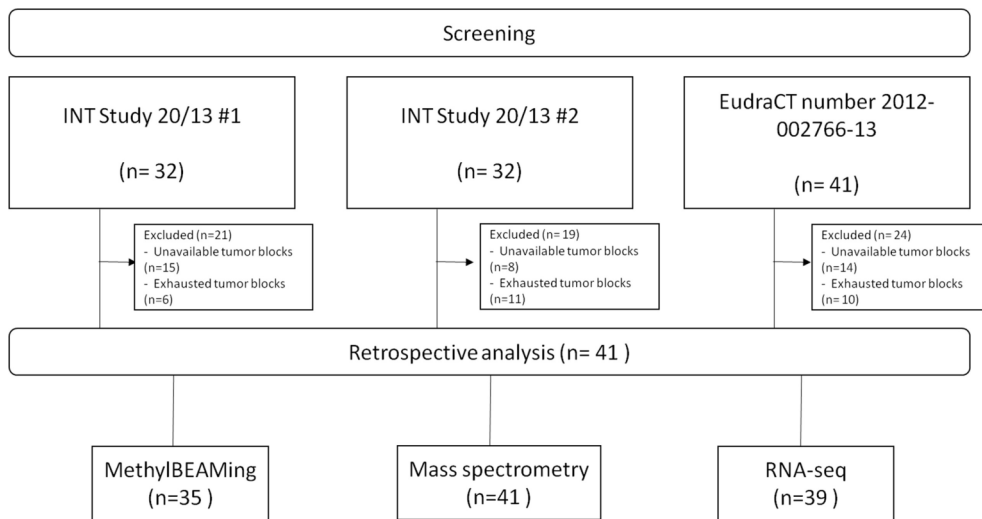
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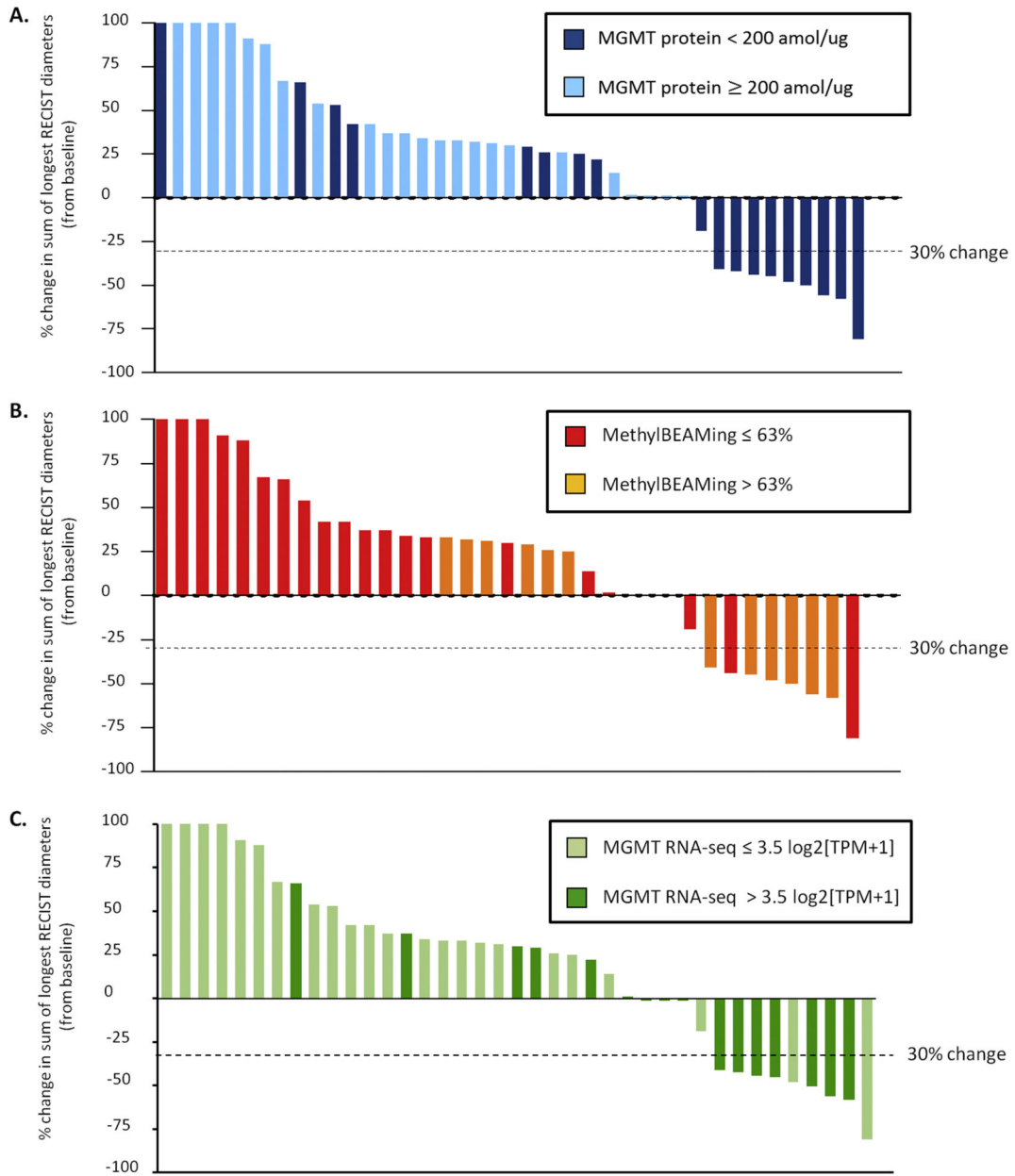
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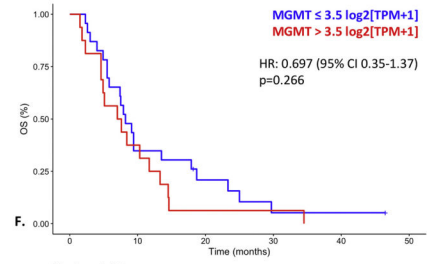
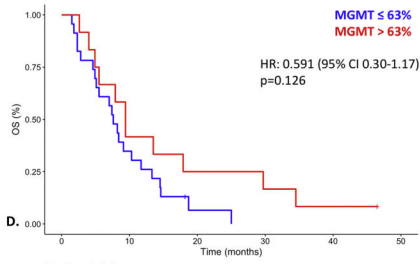
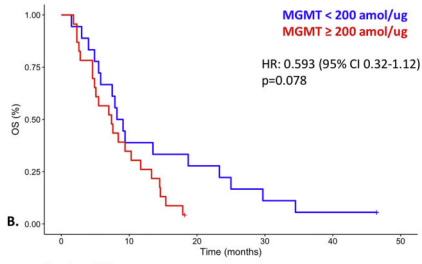
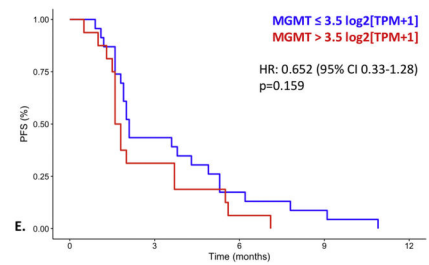
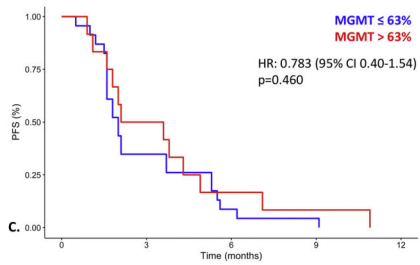
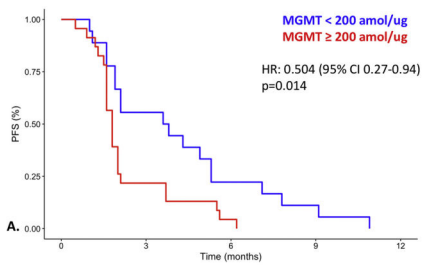
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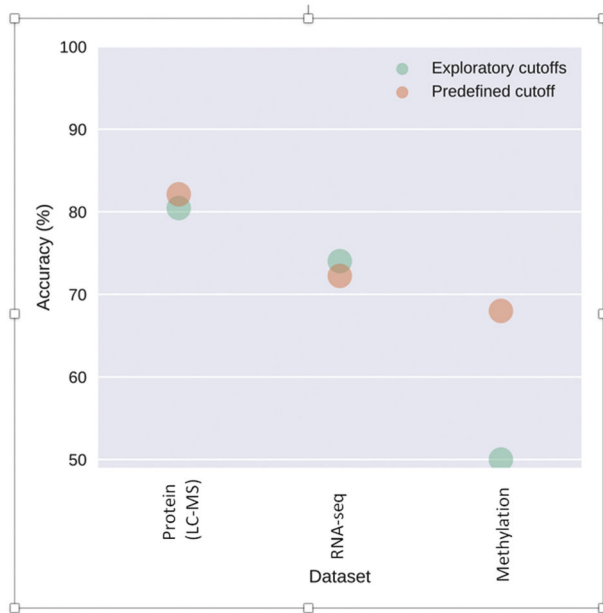
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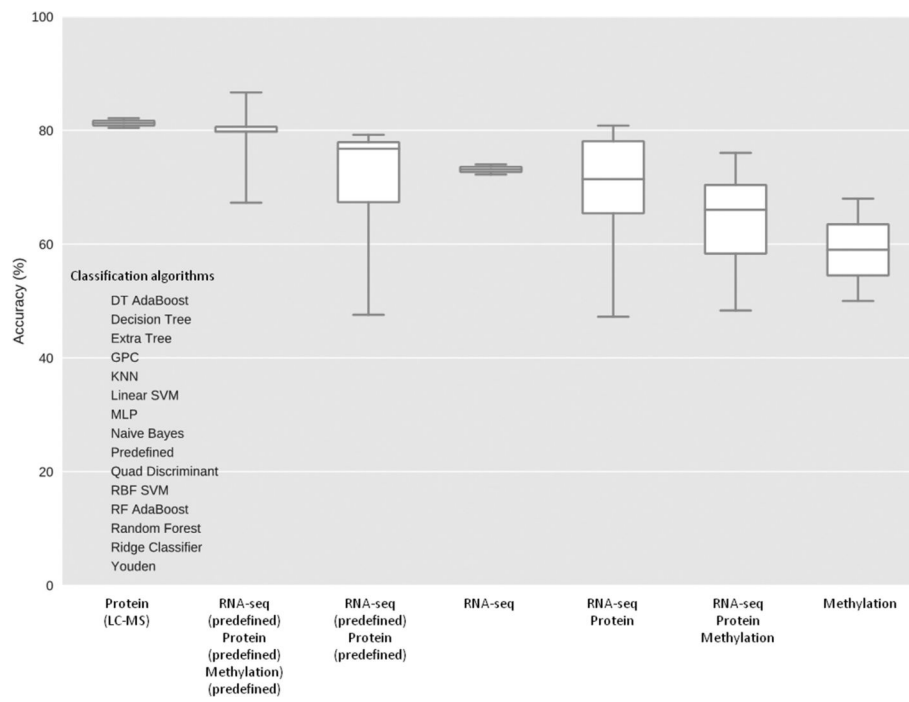












**Supplementary Table 1.** The 50 most differentially expressed genes in three different subgroupings: responders versus non-responders, MGMT high versus low by RNA-seq predefined cutoff, and MGMT high versus low by MS predefined cutoff. None of these genes were significantly differentially expressed after multiple-hypothesis correction, but were used as the basis for GSEA to annotate function.

Rank	Response geneset	RNA-seq geneset	MS geneset
1	KIR3DS1	TNN	FRG2C
2	FFAR3	KCND3	MGMT
3	FOXL2	HAPLN4	IRS2
4	CALB1	SLC2A9	GJC3
5	SSX3	MAN1C1	RTBDN
6	CRB2	TRABD2B	RLN2
7	GABRB2	KDM8	KCNB1
8	OR5AR1	UGT2B17	PER1
9	HSPA4L	EXOC3L4	TMEM156
10	CAPN14	PAOX	MROH8
11	NPPC	IGSF23	ZNF556
12	TFF3	FRG2C	DUSP1
13	URGCP-MRPS24	SLC16A11	CHN2
14	MAGEA12	RXRA	KLF9
15	PRLH	SLITRK3	NDST3
16	ODF4	ADH6	ERN1
17	MCHR1	GNAO1	RAD9B
18	KRT74	LILRB5	SHD
19	PKD1L1	PDE6G	NR112
20	GOLGA8J	GREM2	ADAMTS17
21	IL36B	DCANP1	ATP6V0D2
22	ARSH	SHC2	AFMID
23	TRIM69	ABCG2	CYP4F12
24	FCAMR	SLC16A2	ZNF43
25	PAX6	ADRA1A	IL23R
26	KRT18	FITM1	TEF
27	KRTAP12-4	GOLGA6A	TNN
28	OR5C1	PNPLA7	TSC22D3
29	PSG11	HAGH	XKR5
30	APELA	RUNDC3B	TMEM170B
31	GJC3	IL6R	KLC3
32	SGPP2	DTX1	STAC2
33	RPS10-NUDT3	CTNNA3	TREH

34	LBHD1	KIAA0408	CHPT1
35	CALCB	RTN4RL2	FSD1
36	SDR9C7	GPD1	ALKBH7
37	HES6	OXER1	IGF2BP1
38	PSG4	LCAT	SMO
39	P3H2	SLC7A9	TDRD6
40	TMEM184A	ENPP7	PLCG2
41	PSG1	TEF	NPHS1
42	BARHL2	TM6SF2	TBC1D32
43	FGF11	SPDYC	C8orf44
44	UTS2	AASS	FCAR
45	LY6D	FBP1	FAM228A
46	XG	C8orf46	C1QTNF4
47	SSX1	ADH1C	PDLIM3
48	FAM156B	ECHDC2	ANKRD60
49	TXNL4A	OSGIN1	PARD6G
50	GPR87	CYP1A2	NANOG

**Supplementary Table 2.** Significant GSEA results using top 50 differentially expressed genes between RNA-seq-based MGMT subgroups.

<b>Term</b>	<b>Overlap</b>	<b>P-value</b>	<b>Adjusted P-value</b>	<b>Genes</b>	<b>Database</b>
Glycolysis / Gluconeogenesis_Homo sapiens_hsa0...	3/67	0.000629	0.018797	ADH1C;FBP1;ADH6	KEGG_2016
Retinol metabolism_Homo sapiens_hsa00830	3/65	0.000576	0.018797	ADH1C;UGT2B17;ADH6	KEGG_2016
Drug metabolism - cytochrome P450_Homo sapiens...	3/69	0.000686	0.018797	ADH1C;UGT2B17;ADH6	KEGG_2016
Metabolism of xenobiotics by cytochrome P450_H...	3/73	0.000808	0.018797	ADH1C;UGT2B17;ADH6	KEGG_2016
Chemical carcinogenesis_Homo sapiens_hsa05204	3/82	0.001133	0.021076	ADH1C;UGT2B17;ADH6	KEGG_2016
Glycerophospholipid metabolism_Homo sapiens_hs...	3/95	0.001731	0.026832	PNPLA7;GPD1;LCAT	KEGG_2016
alcohol dehydrogenase (NAD) activity (GO:0004022)	2/8	0.00017	0.029557	ADH1C;ADH6	GO_Molecular_Function_2015
Tyrosine metabolism_Homo sapiens_hsa00350	2/35	0.003457	0.045934	ADH1C;ADH6	KEGG_2016

**Supplementary Table 3.** Genes significantly associated with continuous MGMT RNA-seq expression values

Gene	Correlation*
HAGH	0.857435
BHMT2	0.854641
SLC16A2	0.847256
KDM8	0.846308
SAT2	0.838964
SLC2A9	0.83796
HSD17B13	0.832825
RXRA	0.83108
ACOT2	0.828849

\* Significance was defined as having a correlation coefficient > 0.823, which corresponds to a p-value <  $2.6 \times 10^{-6}$  (*i.e.* Bonferroni adjustment of 0.05 threshold for testing 19270 hypotheses within 39 samples at 99% power to detect).

Only one pathway in KEGG (hsa\_04919) was found to be enriched for these genes; a thyroid hormone signaling pathway with 2/118 genes overlapping (adjusted p=0.03), however, this result was not considered sufficiently strong or related to mention with regards to temozolomide response prediction.

**Supplementary Table 4.** Observed accuracies and corresponding p-values of predictive models (given the background distribution). Leave-pair-out cross-validation was used so that the expected accuracy from random classifications was fixed at 50% even when the ratio of responders to non-responders differed (as between datasets). 1000 random classifications for each cross-validation fold were performed to define background distributions for random classification.

<b>Dataset</b>	<b>Algorithm</b>	<b>Accuracy</b>	<b>p-value</b>
<b>MS</b>	<b>Predefined</b>	0.821212	5.46E-59
	<b>Youden</b>	0.804545	3.11E-53
<b>RNA-seq</b>	<b>Predefined</b>	0.722403	6.71E-28
	<b>Youden</b>	0.74026	3.23E-32
<b>MB</b>	<b>Predefined</b>	0.68	2.70E-16
	<b>Youden</b>	0.5	4.90E-01

*p-values of the observed accuracies given the background distribution*

**Supplementary Table 5.** Values of probability of achieving the observed accuracies of the predictive modelling strategies, considering the three different MGMT assessment methods.

<b>Dataset</b>	<b>Algorithm</b>	<b>Accuracy</b>	<b>p-value</b>
Exp(subgroups) + Prot(subgroups) + Meth(subgroups)	KNN	0.866667	5.45E-63
	Quad Discriminant	0.833333	2.03E-52
	DT AdaBoost	0.814583	6.53E-47
	RBF SVM	0.80625	1.45E-44
	Naive Bayes	0.802083	2.05E-43
	Decision Tree	0.797917	2.79E-42
	Extra Tree	0.797917	2.79E-42
	Linear SVM	0.797917	2.79E-42
	RF AdaBoost	0.797917	2.79E-42
	Ridge Classifier	0.797917	2.79E-42
	MLP	0.79375	3.66E-41
	Random Forest	0.716667	2.79E-23
	GPC	0.672917	1.70E-15
Exp(subgroups) + Prot(subgroups)	Quad Discriminant	0.792208	3.74E-47
	DT AdaBoost	0.782468	3.39E-44
	MLP	0.782468	3.39E-44
	Ridge Classifier	0.782468	3.39E-44
	Naive Bayes	0.772727	2.44E-41
	KNN	0.771104	7.15E-41
	Decision Tree	0.767857	6.02E-40
	Extra Tree	0.767857	6.02E-40
	Random Forest	0.753247	6.39E-36
	RF AdaBoost	0.673701	6.56E-18
	GPC	0.477273	1.31E-01
	Linear SVM	0.475649	1.14E-01
	RBF SVM	0.475649	1.14E-01
Exp + Prot	Random Forest	0.808442	2.66E-52
	DT AdaBoost	0.795455	3.68E-48
	Naive Bayes	0.788961	3.71E-46
	RF AdaBoost	0.780844	1.03E-43
	KNN	0.779221	3.12E-43
	Decision Tree	0.777597	9.36E-43
	MLP	0.727273	2.58E-29
	RBF SVM	0.701299	2.07E-23
	GPC	0.689935	4.75E-21
	Extra Tree	0.654221	1.67E-14
	Quad Discriminant	0.618506	2.83E-09
	Linear SVM	0.491883	3.43E-01

	Ridge Classifier	0.472403	8.64E-02
Exp + Prot + Meth	Linear SVM	0.760417	8.93E-33
	Naive Bayes	0.71875	1.08E-23
	KNN	0.714583	7.17E-23
	Ridge Classifier	0.704167	6.99E-21
	RF AdaBoost	0.689583	2.93E-18
	Quad Discriminant	0.68125	7.57E-17
	RBF SVM	0.629167	2.05E-09
	MLP	0.61875	3.24E-08
	Random Forest	0.604167	1.07E-06
	DT AdaBoost	0.583333	7.53E-05
	Decision Tree	0.50625	3.92E-01
	Extra Tree	0.485417	2.50E-01
	GPC	0.483333	2.21E-01

Legend: Exp, *MGMT* gene expression with RNA-seq; prot, *MGMT* protein expression level assessed with MS; Meth, *MGMT* methylation assessed with MB