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# Several species of Penicillium isolated from chestnut flour processing are pathogenic on fresh chestnuts and produce mycotoxins

This is the author's manuscript
Original Citation:
Availability:
This version is available http://hdl.handle.net/2318/1680295 since 2018-11-02T13:51:05Z
Published version:
DOI:10.1016/j.fm.2018.07.003
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1	Several species of <i>Penicillium</i> isolated from chestnut flour processing are pathogenic on fresh
2	chestnuts and produce mycotoxins
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### 13 Abstract:

A collection of 124 isolates of *Penicillium* spp. was created by monitoring fresh chestnuts, dried 14 chestnuts, chestnut granulates, chestnut flour, and indoor the chestnut mills. Sequencing of ITS 15 region, β-tubulin and calmodulin, macro-morphology and secondary metabolite production permitted 16 to determine 20 species of Penicillium. In fresh chestnuts, P. bialowiezense was dominant, while P. 17 crustosum was more frequent in the other sources. Pathogenicity test on chestnut showed that around 18 70% isolates were virulent. P. corylophilum and P. yezoense were not pathogenic, while the other 18 19 species had at least one virulent isolate. P. expansum and P. crustosum were the most virulent. The 20 isolates were characterized for their ability to produce 14 toxic metabolites in vivo: 59% were able to 21 produce at least one mycotoxin. P. expansum was able to produce patulin, chaetoglobosin A and 22 roquefortine C. Mycophenolic acid was produced by P. bialowiezense. Cyclopenins and viridicatins 23 were produced by most P. crustosum, P. polonicum, P. solitum and P. discolor. Some isolates of P. 24 crustosum were also able to produce roquefortine C or penitrem A. Information about the occurrence 25 of *Penicillium* spp. and their mycotoxins will help to set up chestnut management procedures, to 26 control the fungal growth and the mycotoxin production. 27

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*Keywords: Penicillium crustosum*, mycotoxins, *Castanea sativa*, indoor, pathogenicity, polyphasic
identification.

#### 31 **1. Introduction**

32 Chestnut is the most popular nut-bearing tree in several European and Asian countries, with new productions in United States, Australia, New Zealand, and Chile. Italy is the second sweet chestnut 33 (Castanea sativa Mill.) producer in Europe, with 52,000 tons and a cultivated area of 21,500 ha in 34 2014 (FAOSTAT, 2014; Livre Blanc Châtaigne, 2012). The industrial preparation of chestnut flour, 35 dried chestnuts and marrons glacés represents 20% of the total production. Moulds contaminate 36 37 chestnut before harvest, but also during transportation, storage and processing. Fungal spoilage can be responsible for significant economic losses. Moreover, a number of fungi isolated from chestnuts 38 are well-known mycotoxin producers. The occurrence of toxigenic Fusarium spp., Penicillium spp. 39 40 and Aspergillus spp. and their associated mycotoxin contaminations on chestnuts and derived commercial products have been reported in different countries (Abdel-Gawad and Zohri, 1993; 41 Bertuzzi et al., 2015; Jermini et. al., 2006; Overy et al., 2003; Pietri et al., 2012; Prencipe et al., 2018; 42 43 Rodrigues et al., 2013; Wells and Payne, 1975).

Penicillium spp. are ubiquitously causing decay on fruit (Washington et al., 1997), as well as 44 45 contaminant in post-harvest and indoor (Nielsen, 2003). The most frequent Penicillium species reported in nuts belong to the series Camemberti and Solita, except for P. nordicum (Frisvad and 46 Samson, 2004). A few papers reported the species of Penicillium isolated from chestnuts. Overy et 47 48 al. (2003) stated that P. crustosum, P. glabrum-clade and P. discolor were the dominant species in fresh chestnuts. Sieber et al. (2007) predominantly isolated P. expansum and P. crustosum, while 49 Donis-Gonzales and collegues (2016) stated that P. expansum, P. griseofulvum and P. chrysogenum 50 were the main species. *Penicillium* spp. (about 10<sup>4</sup> CFU/g) have been reported also on dried chestnuts 51 52 and chestnut flour (Pietri et al., 2012).

53 Mycotoxins are secondary metabolites produced by moulds which show toxic, mutagenic and 54 teratogenic effects, including potential immunosuppressive activity and carcinogenic effects 55 (Milicevic et al., 2010). Because of their long-term chronic or cumulative effects on human health, 56 maximum levels in foodstuffs have been established for some of these molecules in Europe. The

occurrence of ochratoxin A (OTA), penitrem A (PenA), chaetoglobosin A (ChA) and C, 57 58 deoxynivalenol and zearalenone in fresh chestnuts has been reported by Donis-Gonzales et al. (2009) and Overy et al. (2003). Pietri et al. (2012) and Bertuzzi et al. (2015) reported the presence of 59 aflatoxins (AFs), OTA, citrinin, roquefortine C (RoqC) and mycophenolic acid (MPA) in industrial 60 chestnut products. For chestnuts, limits have been established only for AFs, and they are specified by 61 Commission Regulation (EU) No. 165/2010. Furthermore, several studies have reported the 62 63 production by *Penicillium* species of different secondary metabolites, such as mycotoxins, alkaloids, antibiotics and allergens, with negative effects on human health (Barkai-Golan, 2008). Patulin (PAT) 64 and OTA levels, which are produced by Penicillium spp., are regulated on certain foodstuffs, but not 65 on chestnuts. 66

*Penicillium* species are identified through a polyphasic approach, where the traditional methods of
identification, i.e. micro and macro-morphological analyses (colony diameter and colour, growth rate,
texture of conidia), are combined with molecular and secondary metabolite analysis (Visagie et al.,
2014).

Information about the occurrence of *Penicillium* spp. and their food-borne mycotoxins is incomplete, underlining the need to set up chestnut management procedures from the orchard to the commercial product, since these species could represent a serious human health risk and cause significant economic losses.

A monitoring was carried out on fresh chestnuts from orchards, on dried chestnuts, chestnut granulates and chestnut flour, taken during processing, and during an indoor monitoring inside the chestnut mills. This study aimed to determine the species of *Penicillium* through molecular and macromorphological analyses. The isolates were also characterized for their virulence on chestnuts and for their ability to produce 14 toxic metabolites *in vivo*.

80

#### 81 **2. Material and methods**

#### 83 2.1 Fungal strains and sampling

One hundred and twenty-four strains of Penicillium spp. were isolated during 2015 from different 84 sources: i) fresh chestnuts from three chestnut orchards; ii) samples of dried chestnuts, chestnut 85 granulates and chestnut flour, taken during processing of chestnuts from three countries; iii) 86 moreover, an indoor monitoring was performed inside the production mills. Sampling on fresh 87 chestnuts was conducted on fruits harvested in orchards located in three villages (Ormea, Perlo and 88 89 Viola) located in Piedmont, north-west Italy, with five replicates (50 chestnuts per orchard). Sampling during processing was conducted on each chestnut processing phase (dried chestnuts, chestnut 90 granulates and chestnut flour) from three different countries (Parenti, Calabria, Italy; Tropoje, Scutari, 91 92 Albania; Ourense, Galicia, Spain) with three replicates (60 g per processing phase and country). The surfaces of fresh chestnuts, dried chestnuts, and chestnut granulates were disinfected with 1% sodium 93 hypochlorite, washed in sterile deionized water and air dried, as described by Rodrigues et al. (2012). 94 95 Fresh chestnuts (four fragments per chestnut) were then plated onto Potato Dextrose Agar (PDA, Merck, Germany). Fungi were recovered from dried chestnuts, chestnut granulates and chestnut flour 96 97 using dilution plate technique. Briefly, 20 g each sample, were homogenized in distilled water for 5 min at 300 rpm by using a stomacher, and three homogenates were taken and plated, after serial 98 dilution, onto PDA in triplicate. For indoor sampling, 20 Rose Bengal Chloramphenicol agar (Fluka, 99 100 Germany) Petri dishes were placed, as spore traps, in the processing mills areas for 24 h. Fungal growth was observed after 3 to 7 days of incubation at 26 °C, and representative colonies from each 101 morphotype and source were re-isolated and maintained as monosporic cultures in Yeast Extract 102 Sucrose Agar tubes (Visagie et al., 2014) for identification, pathogenicity tests and chemical analyses. 103 All the isolates are listed in Table 1. 104

105

106 2.2 Molecular identification

Genomic DNA was extracted from representative monosporic isolates grown for 7 days in CzapekDox broth (Fluka) at 26 °C in the dark using Omega E.Z.N.A Fungal DNA Mini Kit (VWR, USA),

according to the manufacturer's instructions. Species were assigned by analysing the internal 109 transcribed spacers of the rDNA region (ITS) using ITS1 and ITS4 primers (White et al. 1990), the 110 β-tubulin gene (BenA) using Bt2a and Bt2b primers (Glass and Donaldson, 1995), and the calmodulin 111 gene (CaM) using CMD5 and CMD6 primers (Hong et al., 2006). PCR was carried out in a total 112 volume of 25 µL which contained: 2 µL buffer 10x, 0.8 µL MgCl<sub>2</sub>, 1 µL dNTPs (10 mM), 1 µL each 113 primer (10 mM), 0.2 µL of Taq Platinum Pfx DNA polymerase (Invitrogen, USA) and 40 ng of 114 115 template DNA. Thermal cycling programs were performed according to Visagie et al. (2014). The PCR products were run on a 1% agarose gel with 1 µL GelRed<sup>™</sup> (VWR) at 100V/cm for 30 minutes, 116 and compared with a positive control, that is the P. griseofulvum strain PG3 from the Agroinnova 117 118 collection (Banani et al., 2016). Get Pilot Wide range Ladder (Qiagen, Germany) was used as molecular marker. The amplified DNA fragments were purified for both genes using QIAquick<sup>®</sup> 119 PCR purification Kit (Qiagen) and sequenced in both directions by Macrogen, Inc. (The Netherlands). 120 121 The consensus sequences were assembled using DNA Baser program (Heracle Biosoft S.R.L., Romania). After cutting the trimmed regions and manual correction, a dataset of 465 bp for the ITS 122 region, 369 bp for the  $\beta$ -tubulin gene and 400 bp for the calmodulin gene was obtained. All the 123 124 obtained sequences were compared with those deposited in the reference database using the BLAST program, including verified RefSeq sequence in public datasets from the National Centre for 125 Biotechnology Information (NCBI) to identify the isolates. Furthermore the sequences were 126 compared to references accession number of the current accepted *Penicillium* species reported by the 127 International Commission of *Penicillium* and *Aspergillus* (ICPA). Representative sequences of each 128 species were deposited in GenBank with the accession numbers listed in Table 2. 129

Additionally, the consensus sequences were aligned using CLUSTALW through Molecular Evolutionary Genetics Analysis (MEGA6) software, version 6.0, and concatenated by using Sequence Matrix Species identifier version 1.8. For phylogeny the best fit model was determined using MEGA, based on the lowest Bayesian Information Criterion (BIC) by using the 3 genes combined dataset. The phylogenetic tree was built through MEGA using the Maximum Likelihood

(ML) methods using the K2+G model with 1,000 bootstrap replicates. The Bio-Neighbour-Joining
(BioNJ) option, and the heuristic search with the Nearest-Neighbour-Interchange (NNI) options were
used to calculate the initial tree for the ML analyses. All the reference sequences from ICPA used for
phylogeny are reported in Suppl. Table 1.

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#### 140 *2.3 Macro-morphology characterization*

A macro-morphological identification of all the isolates was performed according to Visagie et al. (2014). The isolates were 3-point inoculated with a spore suspension on Yeast Extract Sucrose Agar (YES agar), Czapek Yeast Autolysate agar (CYA) and Malt extract agar (MEA), and they were observed for growth (mean diameter) and colony characters (colony texture, texture and colour of mycelium, colony reverse colours, presence and colour of the soluble pigments and exudates) after 7 days of incubation at 25 °C in the dark. The colour standards and nomenclature by Robert Ridgway (1912) were used for morphological description.

148

### 149 2.4 Pathogenicity assay

150 In order to evaluate the pathogenicity of the Penicillium spp. isolates on chestnuts, the nut surface was disinfected with a 10% solution of sodium hypochlorite, washed by immersion in sterile 151 152 deionized water and air dried. The nuts were wounded (3 injuries of 1 cm each) and inoculated with a spore suspension of each isolate  $(1 \times 10^5 \text{ cfu/mL})$ , except for the species *P. crustosum*, as 16 isolates 153 were selected out of 40 strains. The chestnuts were kept in 15 cm boxes for 7 days at 26±1 °C in the 154 155 dark. Control chestnuts were prepared with sterile deionized water. The symptoms were observed, and a disease index (D.I) was calculated on a scale ranging from 0 to 100: non-pathogenic (NP) = no 156 symptoms; slightly virulent (SV) = 1-30% infected area; moderately virulent (MV) = 31-50% infected 157 area; highly virulent (HV) = 51-100% infected area. White mycelial growth, which turned into 158 different shades of green and blue, depending on the inoculated species, was observed on 159 symptomatic chestnuts, as a result of conidia production. Dried pulp and chalky tissues were observed 160

in symptomatic nuts. No symptoms were observed in the controls. The experiment was performedwith six replicates for each strain. The pathogenicity assay was performed twice.

163

# 164 *2.5 Mycotoxin production on chestnuts*

165 *Penicillium* metabolites were extracted from the chestnuts used in the pathogenicity tests for 70 166 isolates, with at least one isolate per species (Table 2). The chestnuts were divided into three 167 subsamples for each isolate and extracted twice by means of solid-liquid extraction with 10 mL of 168 ethyl acetate. The samples were shaken for 1 min and the organic phases were then collected in a 169 flask. The extract was evaporated to dryness in a rotary evaporator at 35 °C. The residue was dissolved 170 in 500  $\mu$ L of H<sub>2</sub>O:CH<sub>3</sub>CN 1:1 for HPLC-DAD analysis.

The HPLC apparatus was an Agilent 1100 series equipped with a G1379 degasser, a G1313A 171 autosampler, a G1316A column thermostat set at 30 °C, a G1315B UV diode array detector set at 172 173 230, 276, 300 and 330 nm, a G1311 quaternary pump and an Agilent Chemstation G2170AA Windows XP operating system (Agilent<sup>®</sup>, Germany). A Luna C18 analytical column (150x4.6 mm 174 i.d., 3 µm, Phenomenex<sup>®</sup>, USA), preceded by a guard column (4x3mm i.d.) with the same stationary 175 176 phase, was used for the HPLC procedure. The mobile phases consisted of water acidified with formic acid 0.05 % (A) and acetonitrile (B), at a flow rate of 0.800 mL/min in gradient mode, 0-5 min: 5% 177 of B, 5-45 min: from 5 to 50% B, 45-60 min: from 50 to 80% B, 60-70 min: from 80 to 100% B. 178 Twenty µL of the samples was injected. UV spectra were collected, by means of DAD, every 0.4 s, 179 from 190 to 700 nm, with a resolution of 2 nm. Authentic mycophenolic acid (MPA), meleagrine 180 (MEL), andrastin A (AndA), roquefortine C (RoqC), patulin (PAT), chaetoglobosin A (ChA), 181 cyclopenin (CPN), cyclopenol (CPL), viridicatin (VIR), viridicatol (VOL), penitrem A (PenA), 182 cyclopiazonic acid (CPA), verrucosidin (VER) and penicillic acid (PA) standards were used for the 183 identification by comparing their retention times with the UV spectra. 184

185

#### 186 **3. Results**

187

# 188 *3.1 Molecular identification*

One hundred and twenty-four isolates of *Penicillium* spp. were collected: 29 from fresh chestnuts in orchards, 32 during processing of chestnuts (dried chestnuts and chestnut granulates), 41 from flour and 22 from indoor sampling inside the production mills (Table 2). A total of 20 species, divided into 2 subgenera and 8 sections, were identified according to the classification reported by Houbraken and Samson (2011), Visagie et al. (2014) and Houbraken et al. (2016). For every species, one sequence of the ITS region, one of  $\beta$ -tubulin gene and one of calmodulin gene were deposited in GenBank with the accession numbers listed in Table 2.

The ITS region was able to identify the isolates at species level only for 6 out of 20 species (Suppl. Table 2). Seventeen out of 20 species were confirmed through the analysis of the β-tubulin gene (Suppl. Table 3). Uncertain identification was obtained for the following isolates belonging to three series: Cas10, Cas40, Cal3F and CalC (series *Camemberti*); 3B1, 3B4, 3B5, 3B6, F3A, Cas13, Cas9 and 3B30 (series *Camemberti* – ex series *Solita* (Frisvad and Samson, 2004)); XA, XC, XO and XP (series *Viridicata*).

The calmodulin gene assigned univocal results for one out of three uncertain identifications: the isolates of *P. viridicatum* (XA, XC, XO and XP) (Suppl. Table 4). The isolates associated to series *Camemberti* and series *Camemberti* – ex series *Solita* (Frisvad and Samson, 2004) remained ambiguous, with 100% homology with *P.commune*, *P. camemberti*, *P. caseifulvum* and *P. palitans* for series *Camemberti*, and *P. solitum* and *P. discolor* for series *Camemberti* – ex series *Solita* (Frisvad and Samson, 2004) (Suppl. Table 4).

Moreover, the phylogenetic analysis based on the 3 concatenated genes, and performed in order to classified the strains, confirmed the results obtained by BLAST search, by giving univocal identification for eighteen species out of twenty (Fig.1). For each identified species the strains clustered together with reference strains with high bootstrap values (>80%), with the exception of the strains belonging to series *Camemberti* and series *Camemberti* – ex serie *Solita* (Fig. 1 and Suppl. Fig. 1). In particular, one group clustered with *P. caseifulvum*, *P. commune* and *P. camemberti*(bootstrap 98), while a second group clustered with *P. solitum* and *P. discolor* (bootstrap 97) (Suppl.
Fig. 1).

Further macro-morphological identification and secondary metabolite production, together withmolecular analysis, permitted to determine the species.

By considering the isolation sources, isolates from fresh chestnuts were found to belong to eight
species (Table 2): *Penicillium* sp. (series *Camemberti*), *P. crustosum*, *P. expansum*, *P. glabrum*, *P. glabrum*, *P. manginii*, *P. pancosmium* and *P. yezoense*, with *P. bialowiezense* as the dominant species (10/30).

221 Twelve species were found during chestnuts processing (dried chestnuts and chestnut granulates): *P*.

222 brevicompactum, P. citrinum, Penicillium sp. (series Camemberti), P. expansum, P. glabrum, P.

223 glandicola, P. palitans, P. polonicum, P. solitum, Penicillium viridicatum and P. yezoense, with P.

crustosum as the dominant species (11/32).

225 The isolates from chestnut flour belonged to 9 species (Table 2): *Penicillium* sp. (series *Camemberti*),

226 P. corylophilum, Penicillium sp. (series Camemberti – ex series Solita (Frisvad and Samson, 2004)),

*P. expansum, P. glabrum, P. polonicum, P. verrucosum* and the majority of isolates (24/41) were *P. expansum, P. glabrum, P. polonicum, P. verrucosum* and the majority of isolates (24/41) were *P. expansion*.

228 *crustosum*.

229 Indoor sampling was mainly represented by Penicillium sp. (series Camemberti – ex series Solita

230 (Frisvad and Samson 2004)), P. bialowiezense, P. brevicompactum, P. chrysogenum, P. expansum,

231 *P. glandicola* and *P. polonicum*, with *P. crustosum* as dominant species (14/22).

232

233 3.2 Morphological identification

After molecular identification, the strains were examined to establish their macro-morphological characteristics in order to confirm the species on the basis of phenotypic criteria.

236 The isolates showed uniform characteristics, with typical morphology and growth rate on the analysed

237 media, similar to those reported in literature (Table 3, Fig. 2). Members of the P. glabrum-clade

showed variability in shade and intensity of the reverse colours on CYA and YES media, and thecolours ranged from pale to vivid orange or to a pinkish colour.

The morphology of Cas10, Cas40, Cal3F and CalC strains belonging to *Penicillium* series *Camemberti* permitted the assignation to the species *P. commune*, with a velutinous to fasciculate texture, cream colour to beige reverse on CYA and cream colour to yellow reverse on YES (Table 3, Fig. 2). These isolates showed visible differences from *P. palitans* (Fig. 2).

The 3B1, 3B4, 3B5, 3B6, F3A, Cas13, Cas9 and 3B30 strains belonging to *Penicillium* series *Camemberti* – ex series *Solita* (Frisvad and Samson, 2004) were identified as *P. discolor*, with a velutinous to fasciculate texture, and were cream yellow reverse on CYA, bright strong yellow reverse on YES, and deep primuline yellow colour on MEA (Table 3, Fig.2). These strains were morphologically different from *P. solitum* (Fig. 2).

249

#### 250 3.3 *Pathogenicity*

According to the observed symptoms (Figure 2), the pathogenicity test divided the strains into four categories (Table 4): 35 % were highly virulent (HV), 22% moderately virulent (MV), 15% slightly virulent (SV), and 28% non-pathogenic (NP). The symptoms typical of each isolated species are shown in Figure 2. The results of the 96 analysed strains are reported in Table 1. Glabrum pancosmium solitum

256 The non-pathogenic strains included all the isolates of *P. corylophilum* and *P. yezoense*, and 3 out of

257 4 isolates of P. glandicola. P. bialowiezense, P. brevicompactum, P. crustosum, P.glabrum, P.

258 pancosmium and P. palitans included both non-pathogenic and pathogenic strains. On the contrary,

all the strains of *P. citrinum P. commune*, *P. chrysogenum P. discolor*, *P. expansum*, *P. manginii P.* 

- 260 *nordicum, P. polonicum, P. solitum, P. verrucosum* and *P. viridicatum* were pathogenic.
- 261 By considering the most frequently isolated species, *P. bialowiezense* strains were non-pathogenic

262 (5/12), moderately virulent (4/12) or highly virulent (3/12). All the strains belonging to the *P*.

263 crustosum species were pathogenic (3 SV, 2 MV, 8 HV), except for one (Cas17). P. glabrum strains

were predominantly non-pathogenic (11/15), except for two SV (E2 and B3), one MV (E3) and one

HV (E7). The *P. expansum* strains were all highly virulent, except for one MV (POX1).

266

## 267 3.4 *Mycotoxin production on chestnuts*

The mycotoxins detected on the inoculated chestnuts are reported in Table 1. The produced 268 metabolites differed based on the Penicillium species. All the P. expansum isolates were able to 269 produce PAT; four isolates (POX1, POX2, X5 and PF1) produced ChA and 3D, X5 and PF1 produced 270 RoqC. Cyclopenins (CPN and CPL) and viridicatins (VIR and VOL) were produced by most of the 271 isolates belonging to P. crustosum, P. polonicum, P. solitum and P. discolor. Some isolates of P. 272 273 crustosum were also able to produce RoqC and/or PenA. The isolates of P. discolour were also able to produce ChA, while the isolates of P. polonicum could also produce VER. MPA was produced by 274 three P. bialowiezense isolates. One isolate of P. viridicatum was producer of PA, while CPA was 275 276 produced by two strains of P. commune. P. glandicola was able to produce MEL and AndA. None of the analysed metabolites was detected for any of the isolates of P. chrysogenum, P. brevicompactum, 277 278 P. palitans, P. verrucosum, P. nordicum, P. glabrum, P. pancosmium, P. manginii or P. citrinum.

279

#### 280 4. Discussion

281 Fungal contamination of chestnuts was monitored from harvest to storage in previous studies (Overy et al., 2003; Rodrigues et al., 2012). Some authors reported the presence of *Penicillium* spp. in fresh 282 chestnuts or in commercial products (Wells and Payne, 1975; Rodrigues et al., 2013), while Bertuzzi 283 284 et al. (2015) focused on the occurrence of some Penicillium-toxins. The occurrence of different species of *Penicillium* and their mycotoxin production in chestnuts was investigated for the first time 285 in this work. Penicillium were isolated from different sources, from chestnut orchard and throughout 286 the flour processing phases, including the indoor environment of chestnut processing. One hundred 287 and twenty-four Penicillium isolates were collected from fresh chestnuts, dried chestnuts, chestnut 288 granulates, chestnut flour and indoor sampling inside the production mills. The isolates were then 289

characterized through biological, molecular and chemical tools, focusing on the pathogenicity onchestnuts and on the potential production of mycotoxins, noxious to human health.

As reported by Visagie and colleagues (2014), the identification of the species requires a 292 293 multidisciplinary approach that considers the morphological characteristics, and which includes molecular analyses in combination with secondary metabolite production in order to avoid species 294 misidentification. The  $\beta$ -tubulin gene has been recommended as a specific barcode for species 295 identification (Samson et al. 2010; Visagie et al. 2014), and 108 out of 124 isolates were 296 297 unambiguously identified through the BenA sequences. The remaining 16 isolates were determined through the analysis of calmodulin gene sequences and the colony morphology. Furthermore, the 298 299 molecular phylogeny obtained by the concatenated datasets confirmed species identification, and highlighted an intraspecific variability for some species i.e. P. bialowiezense, P. commune and P. 300 glabrum, as observed in the phylogenetic studies of Barreto et al. (2011), Houbraken et al. (2012) and 301 302 Visagie et al. (2014).

Twenty species divided into 2 subgenera (*Aspergilloides* and *Penicillium*) and 8 sections (*Aspergilloides, Brevicompacta, Chrysogena, Citrina, Exicauilis, Fasciculata, Penicillium* and *Robsamsonia*) were identified on the basis of the accepted taxonomy reported in Houbraken and Samson (2011), Visagie et al. (2014) and Houbraken et al. (2016). Depending on the source of isolation, we found 8 species from orchard sampling, 12 species from chestnut processing, 9 species from flour and 8 species from indoor sampling, similarly to the number of species reported by Filtenborg et al. (2004) in food commodities.

The *Penicillium* genus includes over 200 species with different eco-physiological adaptations, including tolerance to cold and to low water activity (Filtenborg et. al, 2004; Pitt and Hocking 2009; Rosso and Robinson 2001). In our study, we were able to isolate different *Penicillium* species from both fresh and dry chestnut products. The found species partially confirm previous monitoring performed on fresh chestnuts, commercial products and the indoor environment (Donis-Gonzales et

al., 2016; Magan 2006; Overy et al., 2003; Pietri et al., 2012; Sieber et al., 2007). *P. crustosum, P. glabrum* and *P. bialowiezense* were the predominant species and they represented 57% of the isolates.
In agreement with Overy et al. (2003), *P. crustosum* was the predominant species, with 44 isolates
from all the sources out of 124, thus confirming *P. crustosum* as a ubiquitous species that is able to
survive in different environmental conditions (Domsch et al., 2007; Scholtz and Korsten, 2016).

*P. glabrum* was the second most frequent species. As reported by several authors, this species has a
worldwide distribution and has been isolated from various foods, including fresh chestnuts, but also
from soil and indoor environment (Frisvad and Samson, 2004; Houbraken et al., 2014; Samson et al.,
2004; Spadaro et al., 2010). Other species, although less frequently, were isolated, including*P. expansum*, *P. palitans*, *P. chrysogenum* and *P. discolor*, which have been associated with nuts
(Mujica and Vergara, 1945; Frisvad and Samson, 2004; Donis-Gonzalez et al., 2016).

Fruit decay and economic losses caused by different *Penicillium* species, i.e. *P. expansum*, *P.* 326 327 digitatum, P. italicum in apple and citrus fruit (Prusky et al., 2014) and P. expansum, P. griseofulvum and P. chrysogenum in chestnut (Donis-Gonzalez et al., 2016), are well known. Our study highlights 328 329 the virulence potential of the isolated Penicillium spp., with around 70% of pathogenic strains, 330 including the environmental strains, similarly to what previously reported by Louw and Korsten (2014) for apples and pears. Lingling et al. (2013) reported in pathogenicity tests that 60% of isolates 331 332 of Penicillium spp. were pathogenic on Castanea mollissima. P. expansum and P. crustosum were the most virulent species. Both species are important post-harvest pathogens that are particularly 333 virulent and show a high adaptability to the environment (Louw and Korsten 2014; Scholtz and 334 335 Korsten, 2016). To the best of our knowledge, this is the first report of P. bialowiezense, P. brevicompactum, P. citrinum, P. commune, P. glandicola, P. manginii, P. pancosmium, P. 336 polonicum, P. solitum, P. viridicatum, P. verrucosum as agents of moulds on Castanea sativa. P. 337 *nordicum* and *P. palitans* were previously reported on nuts, but not as pathogenic on *C. sativa*. 338 Fifty-nine percent of the analysed strains (41/70) were able to produce at least one mycotoxin on 339

340 chestnuts. Fourteen secondary metabolites, associated with the isolated species, were evaluated on

the inoculated chestnuts, even though none of these compounds has an established legislative 341 342 threshold for chestnuts, according to the European legislation (Commission Regulation (EU) No. 165/2010). As reported by Frisvad and Samson (2004), different secondary metabolites are produced 343 by different *Penicillium* spp. and they can often be used as markers to differentiate the species. In 344 particular, mycophenolic acid is mainly produced by *P. bialowiezense* and *P. brevicompactum*, while 345 patulin is mainly produced by P. expansum, and cyclopiazonic acid by P. commune. Other 346 347 metabolites, such as roquefortine C, andrastin A, cyclopenine and cyclopenol, are produced by different species. Toxic effects on human health have been reported for all these molecules (Barkai-348 349 Golan, 2008).

The isolation of different *Penicillium* species from all the investigated samples and their mycotoxin production are cause of concern because of their effects on human health. The environmental conditions, together with the storage and processing of the material, probably could promote the fungal growth, thus indicating a contamination throughout the chestnut production chain.

To the best of our knowledge, this is the first study focusing on *Penicillium* spp. on fresh chestnuts 354 355 and the chestnut flour processing phases, which revealed on a relatively limited number of samples, a high diversity in species of *Penicillium* spp., as well as a great virulence and mycotoxin production 356 potential. Further studies are needed to analyse the whole production chain in order to follow chestnut 357 358 production from the chestnut orchard throughout the processing phases, to understand the critical points of contamination. Information about the occurrence of *Penicillium* spp. and their food-borne 359 mycotoxins will help to set up chestnut management procedures from the orchard to the commercial 360 361 product, with the aim of controlling the fungal growth and managing the mycotoxin production.

362

#### 363 Acknowledgments

The Authors wish to thank the Piedmont Region for its financial support with the "AFLACHEST" project (PSR FEASR 2007/2013, European Fund for Rural Development, Measure 124, Action 1) and the CRT Foundation for its financial support with the "INNOCHEST – Innovative technologies to guarantee the quality and safety of Piedmontese chestnuts" project. The Authors gratefully
acknowledge the chestnut growers, Molino Zanone and Azienda Bertone, for providing the samples,
and Dr. Cecilia Contessa, for her help in the microbiological analyses.

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# 466 Tables

467 Table 1 - Strain name, source of isolation, molecular identification, virulence results and secondary
468 metabolite production of the *Penicillium* spp. strains isolated in this study.

Strain	Source	Species	Virulence	Secondary metabolites	
Cas26	Ι	P. chrysogenum	HV	n.d.	
Cas11	Ι	P. bialowiezense	NP	-	
Cas31	С	P. bialowiezense	NP	-	
Cas30	С	P. bialowiezense	HV	n.d.	
Cas29	Ι	P. bialowiezense	MV	n.d.	
Cas15	С	P. bialowiezense	NP	-	
Cas25	С	P. bialowiezense	HV	n.d.	
E4	С	P. bialowiezense	MV	n.d.	
E5	С	P. bialowiezense	SV	n.d.	
B1	С	P. bialowiezense	HV	MPA	
C1	С	P. bialowiezense	MV	MPA	
C5	С	P. bialowiezense	MV	MPA	
DIV1	С	P. bialowiezense	NP	-	
Cas18	Ι	P.brevicompactum	HV	n.d.	
CalB	PP	P.brevicompactum	NP	-	
3C	Ι	P. glandicola	MV	MEL, AndA	
4.3	Ι	P. glandicola	NP	-	
X7	PP	P. glandicola	NP	-	
X10	PP	P. glandicola	NP	-	
3D	Ι	P. expansum	HV	PAT, RoqC	
PACT	С	P. expansum	HV	PAT	
PCAS	С	P. expansum	HV	PAT	
POX1	С	P. expansum	MV	PAT, ChA	
POX2	С	P. expansum	HV	V PAT, ChA	
X5	PP	P. expansum	HV	PAT, ChA, Roqc	
PF1	F	P. expansum	HV	PAT, ChA, Roqc	
4A	С	P. crustosum	HV	CPN, CPL, VIR, VOL, PenA	
Cas12	Ι	P. crustosum	HV	CPN, CPL, VIR, VOL, RoqC	
Cas14	Ι	P. crustosum	HV	CPN, CPL, VIR, VOL, RoqC, PenA	
Cas28	Ι	P. crustosum	MV	CPN, CPL	
Cas34	Ι	P. crustosum	HV	CPN, CPL, VIR, VOL	
Cas17	Ι	P. crustosum	NP	-	
3.3	Ι	P. crustosum	HV	n.d.	
5A	С	P.crustosum	MV	CPN, CPL, VIR, VOL, RoqC, PenA	
3.2	Ι	P. crustosum	SV	CPN, CPL, VIR, VOL, PenA	
Cal51	F	P. crustosum	SV	CPN, CPL, VIR, VOL, RoqC, PenA	
Cal52	F	P. crustosum	-	-	
Cal53	F	P. crustosum	-	-	
Cal54	F	P. crustosum	-	-	
Cal55	F	P. crustosum	-	-	

Strain	Source	Species	Virulence	Secondary metabolites
Cal56	F	P. crustosum	-	-
Cal57	F	P. crustosum	-	-
Cal58	F	P. crustosum	-	-
Cal59	F	P. crustosum	-	-
Cal60	F	P. crustosum	-	-
Cal61	F	P. crustosum	-	-
Cal62	F	P. crustosum	-	-
Cal63	F	P. crustosum	-	-
Cal64	F	P. crustosum	HV	CPN, CPL, VIR, VOL, RoqC, PenA
Cal65	F	P. crustosum	-	-
Cal69	F	P. crustosum	-	-
Cal70	F	P. crustosum	-	-
Cal5f	F	P. crustosum	-	-
Cal6f	F	P. crustosum	-	-
Cal7f	F	P. crustosum	-	-
Cal9f	F	P. crustosum	-	-
Cal12f	F	P. crustosum	HV	CPN, CPL, VIR, VOL, RoqC, PenA
X1	PP	P. crustosum	HV	CPN, CPL, VIR, VOL, RoqC, PenA
X4	PP	P. crustosum	HV	CPN, CPL, VIR, VOL, RoqC, PenA
XF1	F	P. crustosum	-	-
XF2	F	P. crustosum	-	-
PLX1	PP	P. crustosum	-	-
PLX2	PP	P. crustosum	-	-
PLX3	PP	P. crustosum	-	-
PLX4	PP	P. crustosum	-	-
XM	PP	P. crustosum	-	-
XG	PP	P. crustosum	-	-
SP1	PP	P. crustosum	-	-
SP4	PP	P. crustosum	SV	CPN, CPL, VIR, VOL, RoqC, PenA
SP5	PP	P. crustosum	HV	CPN, CPL, VIR, VOL, RoqC
Cas10	С	P. commune	HV	n.d.
Cas40	С	P. commune	MV	n.d.
Cal3f	F	P. commune	SV	CPA
CalC	PP	P. commune	MV	CPA
X2	PP	P. palitans	NP	-
SP2	PP	P. palitans	NP	-
SP3	PP	P. palitans	MV	n.d.
XL	PP	P. palitans	SV	n.d.
XF	PP	P. solitum	HV	CPN, CPL, VIR, VOL
3B1	I	P. discolor	SV	CPN, CPL, VIR, VOL, ChA
3B4	I	P. discolor	MV	CPN, CPL, VIR, VOL, ChA
3B5	I	P. discolor	HV	CPN, CPL, VIR, VOL, ChA
3B6	I —	P. discolor	HV	CPN, CPL, VIR, VOL, ChA
F3A	F	P. discolor	HV	CPN, CPL, ChA
Cas13	I	P. discolor	SV	ChA
Cas9	Ι	P. discolor	HV	CPN, CPL, VIR, VOL, ChA

Strain	Source	Species	Virulence	Secondary metabolites	
3B30	Ι	P. discolor	MV	n.d.	
4.4	Ι	P. polonicum	HV	n.d.	
MO4	F	P. polonicum	SV	CPN, CPL, VIR, VOL, VER	
X3	PP	P. polonicum	HV	CPN	
X6	PP	P. polonicum	MV	CPN, CPL, VIR, VOL, VER	
X9	PP	P. polonicum	MV	CPN, CPL, VER	
XE	PP	P. polonicum	SV	CPN, CPL, VIR, VOL	
XA	PP	P. viridicatum	HV	PA	
XC	PP	P. viridicatum	MV	n.d.	
XO	PP	P. viridicatum	MV	n.d.	
XP	PP	P. viridicatum	SV	n.d.	
F6	F	P. verrucosum	MV	n.d.	
F1B	F	P. verrucosum	SV	n.d.	
F8	F	P. nordicum	SV	n.d.	
F1A	F	P. corylophilum	NP	-	
Cas33	С	P. yezoense	NP	-	
XB	PP	P. yezoense	NP	-	
E1	С	P. glabrum	NP	-	
E2	С	P. glabrum	SV	n.d.	
E3	С	P. glabrum	MV	n.d.	
E7	С	P. glabrum	HV	n.d.	
B3	С	P. glabrum	SV	n.d.	
Cal66	F	P. glabrum	NP	-	
Cal67	F	P. glabrum	NP	-	
Cal68	F	P. glabrum	NP	-	
Callf	F	P. glabrum	NP	-	
Cal2f	F	P. glabrum	NP	-	
Cal4f	F	P. glabrum	NP	-	
Cal8f	F	P. glabrum	NP	-	
Cal10f	F	P. glabrum	NP	-	
Cal11f	F	P. glabrum	NP	-	
XD	PP	P. glabrum	NP	-	
CP2	С	P.pancosmium	HV	n.d.	
CP3	С	P.pancosmium	HV	n.d.	
FP10	С	P.pancosmium	MV	n.d.	
FP20	С	P.pancosmium	NP	-	
Yell	С	P.manginii	HV	n.d.	
CalA	PP	P. citrinum	MV	n.d.	

Source: C: chestnut; F: flour; I: indoors; PP: processing phases. Virulence: NP: non-pathogenic, SV: slightly
virulent; MV: moderately virulent; HV: highly virulent; -: not tested. Secondary metabolites: MPA:
mycophenolic acid; MEL: meleagrin; AndA: andrastin A; RoqC: roquefortine C; PAT: patulin; CHA:
chaetoglobosin A; CIN: cyclopenin; COL: cyclopenol; VIR: viridicatin; VOL: viridicatol; PenA: penitrem A;

474 CPA: cyclopiazonic acid; VER: verrucosidin; PA: penicillic acid; -: not tested; n.d.: not detected.

**Table 2** – Number of isolates per source of isolation and species, number of samples (n) and accession numbers of the ITS region,  $\beta$ -tubulin and calmodulin

476 genes for one strain per species (reported in parentheses) found in this study.

	Orchard (n=3)	Processing phases (n=11)	Flour (n=4)	Indoors (n=6)	No. of isolates per species	ITS accession number	β-tubulin accession number	Calmodulin accession number
P. bialowiezense	10	-	-	2	12	MG821357 (B1)	MF100873	MF100893
P. brevicompactum	-	1	-	1	2	MG821358 (Cas18)	MF100870	MF100890
P. chrysogenum	-	-	-	1	1	MG821359 (Cas26)	MF100859	MF100879
P. citrinum	-	1	-	-	1	MG821360 (CalA)	MF100868	MF100888
P. commune	2	1	1	-	4	MG821361 (CalC)	MF100877	MF100897
P. corylophilum	-	-	1	-	1	MG821362 (F1A)	MF100865	MF100885
P. crustosum	2	11	24	7	44	MG821363 (5A)	MF100874	MF100894
P. discolor	-	-	1	7	8	MG821364 (3B4)	MF100876	MF100896
P. expansum	4	1	1	1	7	MG821365 (PCAS)	MF100860	MF100880
P. glabrum	5	1	9	-	15	MG821366 (XD)	MF100875	MF100895
P. glandicola	-	2	-	2	4	MG821367 (3C)	MF100862	MF100882
P. manginii	1	-	-	-	1	MG821368 (YELL)	MF100867	MF100887
P. nordicum	-	-	1	-	1	MG821369 (F8)	MF100864	MF100884
P. palitans	-	4	-	-	4	MG821370 (SP2)	MF100871	MF100891
P. pancosmium	4	-	-	-	4	MG821371 (CP2)	MF100866	MF100886
P. polonicum	-	4	1	1	6	MG821372 (MO4)	MF100858	MF100878
P. solitum	-	1	-	-	1	MG821373 (XF)	MF100861	MF100881
P. viridicatum	-	4	-	-	4	MG821375 (XA)	MF100872	MF100892
P. yezoense	1	1	-	-	2	MG821376 (Cas33)	MF100869	MF100889
P. verrucosum	-	-	2	-	2	MG821374 (F1B)	MF100863	MF100883
Total	29	32	41	22	124			

	Culture characteristics	YES*	MEA*	CYA*479
P. bialowiezense	Velutinous	2.8 ±0.2	1.6 ±0.1	1.2 ±0.1
P. brevicompactum	Velutinous	1.9 ±0.1	2.1 ±0.1	1.3 ±0.1
P. chrysogenum	Floccose to velutinous	3.6 ±0.1	2.1 ±0.1	2.8 ±0.2
P. citrinum	Velutinous	2.3 ±0.1	1.2 ±0.1	1.8 ±0.1
P. commune	Velutinous to fasciculate	3.2 ±0.1	2.3 ±0.2	2.9 ±0.2
P. corylophilum	Velutinous	1.9 ±0.1	1.6 ±0.2	1.6 ±0.1
P. crustosum	Velutinous to crustose	3.7 ±0.2	3.1 ±0.1	3.0 ±0.1
P. discolor	Velutinous to fasciculate	3.5 ±0.3	3.0 ±0.2	2.8 ±0.1
P. expansum	Velutinous to fasciculate	3.6 ±0.3	2.8 ±0.1	3.3 ±0.3
P. glabrum	Velutinous	3.0 ±0.1	3.0 ±0.3	2.8 ±0.1
P. glandicola	Fasciculate	2.8 ±0.1	2.1 ±0.2	1.1 ±0.2
P. manginii	Velutinous to floccose	3.0 ±0.1	2.5 ±0.1	2.8 ±0.1
P. nordicum	Velutinous to floccose	2.5 ±0.4	2.0 ±0.2	2.0 ±0.1
P. palitans	Velutinous	3.2 ±0.2	2.4 ±0.1	1.4 ±0.1
P. pancosmium	Velutinous to floccose	2.9 ±0.1	2.7 ±0.2	2.3 ±0.3
P. polonicum	Velutinous	2.7 ±0.2	2.2 ±0.1	2.4 ±0.4
P. solitum	Velutinous	3.2 ±0.2	2.7 ±0.2	2.7 ±0.2
P. viridicatum	Velutinous to fasciculate	2.8 ±0.1	2.9 ±0.1	2.7 ±0.2
P. yezoense	Velutinous	3.7 ±0.3	3.4 ±0.1	3.4 ±0.5
P. verrucosum	Velutinous to floccose	2.7 ±0.1	1.4 ±0.1	1.4 ±0.2

**Table 3** – Average colony diameter of the *Penicillium* species isolated in this study.

481 \*Colony diameters of strains grown on YES, MEA and CYA incubated at 25 °C for 7 days.

	NP	SV	MV	HV	Number of isolates
P. bialowiezense	5	-	4	3	12
P. brevicompactum	1	-	-	1	2
P. chrysogenum	-	-	-	1	1
P. citrinum	-	-	1	-	1
P. commune	-	1	2	1	4
P. corylophilum	1	-	-	-	1
P. crustosum	1	3	2	10	16
P. discolor	-	2	2	4	8
P. expansum	-	-	1	6	7
P. glabrum	11	2	1	1	15
P. glandicola	3	-	1		4
P. manginii	-	-	-	1	1
P. nordicum	-	1	-	-	1
P. palitans	2	1	1		4
P. pancosmium	1		1	2	4
P. polonicum	-	2	2	2	6
P. solitum	-	-	-	1	1
P. viridicatum	-	1	2	1	4
P. yezoense	2	-	-	-	2
P. verrucosum	-	1	1	-	2
	27	14	21	32	96

**Table 4** – *In vivo* pathogenicity assay for the *Penicillium* spp. strains isolated in this study.

*In vivo* severity of symptoms: non-pathogenic (NP) = no symptoms; slightly virulent (SV) = 1-30% infected area; 485 moderately virulent (MV) = 31-50% infected; highly virulent (HV) = 51-100% infected area.

487 Figure 1 - Best scoring Maximum Likelihood tree based on the concatenated ITS region, β-tubulin and calmodulin

sequences datasets. The numbers at major nodes indicate the bootstrap value from 1000 bootstrapped datasets. Branches
with bootstrap values lower than 80% are not shown. Phylogeny was rooted by *Fusarium equiseti*. Evolutionary analyses
were conducted using MEGA version 6.



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**Figure 2** – Colonies morphology (top and reverse) of the *Penicillium* species isolated in this study after 7 days of incubation at 25 °C in the dark on YES (a), MEA (b) and CYA (c). d. Results of the pathogenicity assay of the *Penicillium* 

495 species isolated in this study inoculated on chestnut after 7 days at 26±1°C in the dark.

