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1 **PROGNOSTIC FACTORS FOR MORTALITY AFTER HIP**
2 **FRACTURE: OPERATION WITHIN 48 HOURS IS MANDATORY**

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33 **Keywords:** "femoral fractures", "elderly", "mortality", "surgery delay".

34

35 **Abstract**

36 The aim of this study was to assess if surgery delay and other variables are associated to an
37 increased mortality rate after surgical treatment of hip fractures in the elderly. Patients treated for a
38 proximal femoral fracture between 2005 and 2012 at our Orthopaedic Department were included in
39 this study. A logistic regression was performed to evaluate the relationship between mortality rate at
40 different follow-up (30 days, six months and one year) and different patients' or treatment variables.
41 1558 consecutive patients were enrolled in this study (mean age 80.3 years, 75.8% female). The
42 mortality rate was 4% at 30-day, 14.1% at six-month, and 18.8% at one year after surgery. The
43 logistic regression revealed an increased mortality at all the end-points in patients affected by more
44 than two co-morbidities (respectively $OR_{30\text{-day}}=2.003$, $OR_{6\text{-month}}=1.8654$ and $OR_{1\text{-year}}=1.5965$). Male
45 gender was associated to an increased six-month ($OR=1.7158$) and one-year ($OR=1.9362$)
46 mortality. Patients younger than 74 years old had a decreased mortality at all end-points ($OR_{30\text{-}}$
47 $day=0.0703$, $OR_{6\text{-month}}=0.2191$ and $OR_{1\text{-year}}=0.2486$). In this study the surgery delay influenced
48 mortality at one-year follow-up: operating within 48 hours was associated to a decreased mortality
49 rate ($OR=0.7341$; $p=0.0392$). Additionally the patients that were operated within 72 hours were
50 specifically analyzed in order to understand if the option of 'operating within day 3' was acceptable.
51 In the logistic regression, operating between 48 and 72 hours was not reported as a risk factor for
52 mortality, both compared to early surgery (within 48 hours) and to late surgery (after 72 hours).
53 This study showed that age, gender and number of co-morbidities influenced both early and late
54 mortality in patients affected by proximal hip fractures. Early surgery influenced late mortality,
55 with a decreased risk in patients operated within 48 hours. The option of operating within day 3 is
56 not a valid alternative.

57

58 **Introduction**

59 The incidence of hip fractures in the elderly is high (over 120/100.000 inhabitants per year in the
60 USA and in the EU), and it has been estimated an overall 29.8% increase between 2000 and 2009
61 [1]. The rate of hospitalization of these patients has been reported of about 93%, with women older
62 than 75 years old accounting for 60% of all proximal femoral fractures [2]. In literature the
63 mortality rate of hip fractures in elderly patients is reported as high as 20 to 40% within one year
64 after surgery, despite the recent anesthesiologist and surgical advancements [3].

65 Given the severe limitations due to prolonged immobilization and poor return to deambulation in
66 case of non-surgical treatment, for almost all patients, a surgical management is required to reduce
67 the immobilization time and to improve the quality of life. Several study focused on the prognostic
68 factors that could affect the mortality rate: age, gender, co-morbidity, post-operative complications,
69 surgical treatment and delayed post-operative mobilization [4]. There is still a debate on literature
70 about the potential effect of surgical delay on mortality rate after hip fractures. The paper published
71 by Zuckerman et al in 1995, is a milestone on this topic: they reported the mortality rate of 367 hip
72 fractures and concluded that an operative delay of more than 48 hours was a predictor of mortality
73 within one year from surgery in elderly patients affected by hip fracture [5]. Other authors
74 subsequently confirmed that a delay between two and four calendar days is associated with an
75 increased mortality in patients affected by a hip fracture [3, 6-14]. Additionally, different studies
76 underlined the importance on medical conditions, patient age and sex despite the surgery delay as
77 predictors of mortality [4, 15-23]. Unfortunately it is often difficult to reduce the surgery delay,
78 both because of patient's conditions and for lack of resources. Today however, there is still a lack of
79 definitive data on the association between surgical delay and increased mortality rate.

80 Our primary aim in this study was to analyze the relationship between surgical delay and both early
81 (30-days) and late (1 year) mortality, in order to assess if delayed surgery could be a negative

82 prognostic factor in elderly affected by a proximal femoral fracture. The second goal of this study
83 was to analyze the role of other prognostic factors, such as age, gender, medical co-morbidities and
84 other surgery-related variables.

85

86 **Materials and methods**

87 We retrospectively reviewed our hospital database and electronic medical records of all the patients
88 affected by proximal femoral fractures who were admitted to our center between January 2005 and
89 December 2012. We collected patient's records (age, gender, co-morbidities and ongoing therapy)
90 and data regarding the surgery (bilateral concomitant or subsequent fracture, fracture's morphology
91 and treatment, surgery delay, post-operative protocol and failure of the implant). Patient's
92 survivorship was assessed according to the National Population Registry that was checked in April 2014 for
93 all patients. Exclusion criteria were pathological femoral fractures, peri-prosthetic fractures and distal
94 femoral fracture. Furthermore, patients with incomplete information on the registries were excluded
95 from the study. Patients were divided into younger or older than 74 years old, based on the widely
96 used definition of elderly [24]. The surgery delay was grouped into three main groups: within 48
97 hours, within 72 hours and after 72 hours. Fracture morphology was divided into intra or extra-
98 capsular fracture and we grouped the different treatments into synthesis (intramedullary nail,
99 cannulated screws, sliding screw-plate devices) and replacement (total or partial hip replacement).
100 Finally we grouped the post-operative protocols into patients with full weight-bearing protocol and
101 those with no or partial weight bearing for the first postoperative month.

102 Statistical analyses

103 All the data were collected into an Excel ® (Microsoft, Redmond, WA) spreadsheet, and
104 descriptive statistical analysis was used for averages and standard deviations (SD). The MedCalc®

105 (MedCalc Software, Ostend, Belgium) was used for statistical analysis investigating the cumulative
106 survivorship that was calculated using the Kaplan-Meier method, and logistic regression of the
107 single variables.

108 The variables were divided into three main groups: patient's variables (age, gender, co-morbidities
109 and ongoing anti-coagulant therapy), treatment's variables (bilateral fracture, type of treatment,
110 surgery delay) and post-operative's variables (failure and post-operative protocol).

111 T-test and Chi-squared test were used to analyze any differences both in parametric and non-
112 parametric data. All the variables were tested with a simple regression to assess any correlation to
113 the three main outcomes: thirty-day mortality, six-month mortality and one-year mortality. Only the
114 variables with a $p < 0.1$ at the simple regression test were retested into a logistic multiple regression,
115 to exclude confounding variables. The relative odds ratios (OR) was considered statistical
116 significant with $p < 0.05$, and relative confidential intervals (CI) was reported.

117 **Results**

118 1734 proximal femoral fractures were admitted to our hospital for a hip fracture between 1st January
119 2005 and 31th December 2012. However, after exclusion of pathologic fractures, distal femoral
120 fractures, peri-prosthetic fractures and patients with incomplete information on the registries, 1558
121 proximal femoral fractures in 1448 patients (55 bilateral) were included in the study (123 excluded
122 from the study, 53 lost to follow-up because of incomplete information 3.1% of total). Of the 176
123 patients excluded from the study, 29 (1.7%) did no undergo surgery because of severe co-
124 morbidities. Considering the small proportion of these patients, our results cannot be affected by
125 their exclusion.

126 The mean age of included patients was 80.3 years (SD +/- 11.9, range 32-101), with 80.5% of
127 patients older than 75 years old. There were 1098 women (75.8%) and 350 men (24.2%).

128 43.1% of patients have any co-morbidity, as reported in Tab.1, and 7.6% of patients were under
129 anticoagulant therapy. Regarding the fracture morphology and its treatment, 52.8% had an intra-
130 capsular (medial) fracture, while 47.2% had an extra-capsular (lateral) fracture. Table 2 reports the
131 different surgical treatments and its grouping into two main subgroups: synthesis and replacement.

132 13.3% of patients underwent surgery within 24 hours, 33.2% within 48 hours and 36.1% after 96
133 hours. The most frequent reason for delaying (in 265 patients, 17.1%) was a medical co-morbidity
134 that required treatment prior to surgery.

135 After surgery 55.1% of patients were allowed to walk with complete weight-bearing, while 30.7%
136 were restricted to partial weight-bearing and 14.2% of patients were not allowed to walk for the first
137 30 post-operative days. The patients in whom a partial or total hip replacement was performed were
138 allowed to weight-bearing from day 1, while some of the patients in which a synthesis was
139 performed were under restricted or no weight-bearing because of fracture complexity. A revision of
140 the implant was needed in thirty patients (1.9%), and it was mainly due to a peri-prosthetic fracture
141 (8 patients, 26.7% of revision), or peri-prosthetic infection (5 patients, 16.7% of revision).

142 Statistical analysis of mortality rate and prognostic factors

143 The 30-day mortality rate was 4%, while the six-month mortality rate was 14.1% and it increased to
144 18.8% at one year after surgery. Fig 1 shows the different mortality rates occurring in relation to the
145 surgery delays.

146 The cumulative survivorship, calculated with the Kaplan-Meier method, was 96% at 30 days after
147 surgery (SD +/-0.005), and it decreased to 85.9% at six months (SD +/- 0.008) and 81.1% one year
148 after surgery (SD +/- 0.009) (Fig. 2). Tab. 3 reports the mortality rate occurring at 30 days, six
149 months and one year after surgery in correlation to different variables.

150 According to both simple and multiple logistic regression models, age, co-morbidities and type of
151 surgical treatment had a significant impact on 30-days mortality. Specifically younger age and
152 performing a synthesis were associated to a lower mortality risk (respectively $p= 0.0087$ and
153 $p=0.002$) with respect to older age and hip replacement surgery. On the contrary, being affected by
154 more than 2 co-morbidities was associated to an increased mortality risk mortality ($p=0.048$). Data
155 were different both for six-month and one-year mortality, with gender, age and co-morbidities
156 having a significant impact on mortality rate at both the end-points. Specifically male gender and
157 having more than two co-morbidities were associated to a higher six-month mortality (respectively
158 $OR= 1.7158-p=0.0011$ and $OR= 1.8654-p=0.028$), while younger age was associated to a lower
159 mortality ($p<0.001$). Similarly male gender and having more than two co-morbidities were
160 correlated to a higher one-year mortality (respectively $OR= 1.9362-p<0.001$ and $OR= 1.5965-$
161 $p=0.0171$); on the contrary, younger age was correlated to a lower mortality ($p<0.001$). No
162 statistically significant correlation was found between post-operative protocol (full, partial or no
163 weight-bearing) and mortality rate.

164 The surgery delay had a significant impact on one-year mortality, with patients operated within 48
165 hours having a lower mortality risk ($p= 0.0392$). Analyses did not reveal any correlation between
166 ongoing anticoagulant therapy or post-operative variables and mortality at all three end-points.
167 Tab.4 summarizes the results of both simple and multiple regressions at the different end-points.

168 In order to find out the cutoff for acceptable surgery delay, the mortality of patients operated within
169 48 hours and 72 hours were compared, using again the linear and logistic regression. As shown in
170 Tab. 5, the timing for surgery was evaluated twice; in a first analysis we included only patients
171 operated within 72 hours, comparing those who underwent surgery within 48 hours to those who
172 were operated in day 3 (between 48 and 72 hours). In the second part we evaluated only patients
173 who underwent surgery after 48 hours, comparing those operated in day 3 with those patients who

174 were admitted to surgery after 72 hours. As shown in the table, in both cases the surgery delay was
175 not correlated to the mortality risk. With this result, in association to the one obtained from the first
176 analysis, being operated within 48 hours resulted the only timing correlated to a lower mortality
177 risk.

178

179 **Discussion**

180 This study has some limitations. Firstly it is an observational study, so it has not the accuracy that
181 could be achieved with a randomized controlled trial. Besides there is no a priori protocol for
182 determining the inclusion criteria for surgery, but they depended from clinicians. Finally we did not
183 analyze the causes for surgery delay, so we actually are not able to determine if delays beyond 48 or
184 72 hours could be mainly associated to pre-existing medical co-morbidities that need to be assessed
185 before surgery. However this bias is partially compensated by the logistic regression we performed,
186 considering also the number of co-morbidities. Given these limitations, this study gathered some
187 interesting findings.

188 1558 patients affected by hip fracture with an average age of 80.3 (SD +/- 11.9), and 77.6% of
189 female patients were enrolled in this study. The co-morbidities were defined as described by
190 Zuckerman et al [5] who included diabetes mellitus, cardiac disease, cerebro-vascular accident,
191 renal disease, Parkinson disease and chronic obstructive pulmonary disease. Using this
192 classification, 87.1% patients reported no or one co-morbidity, 10% two co-morbidities and only
193 2.9% three or more co-morbidities. These data are lower compared to those described by
194 Zuckerman, and one explanation could be that we excluded ongoing anticoagulation therapy as co-
195 morbidity itself, analyzing this factor as isolated. Besides, this data are a little bit different
196 compared to those described by Dettoni et al in a similar population; this is probably due to a more

197 detailed analysis of co-morbidities in our population, resulting in more groups and less patients for
198 each of them [25]. In this study the average mortality rate was 4% within 30 days, 14.1% at six
199 months and 18.8% at one year after surgery. These mortality rates were similar to values reported
200 in literature, ranging between 14% and 22% [5, 26, 27]. The cumulative Kapan Meyer survivorship
201 in this study was 96% at thirty days, 85.9% at six months and 81.1% one year after surgery,
202 comparable to values reported in literature [28].

203 Different studies in literature reported on predictors of mortality after proximal femoral fractures,
204 identifying advanced age, male gender, pre-existing medical conditions and higher American
205 Society of Anesthesiologists (ASA) grade as main negative prognostic factors [4, 29-31]. In our
206 study male gender was identified as an important risk factor for higher mortality, accounting for an
207 OR of 1.9362 one year after surgery. On the contrary the influence of co-morbidities on mortality
208 rate was significant thirty days after surgery, and the OR decreased from 2.0030 at 30 days to
209 1.5965 at one year after surgery. This data underlined the burden of having more than two co-
210 morbidities on mortality, especially in the early postoperative period. As previously reported in
211 literature we confirmed the association between younger age and lower mortality at all the end-
212 points; however the lower mortality risk is seen thirty days after surgery, with an OR equal to
213 0.0703 [29].

214 At the analysis of the correlation between treatment and mortality rate, we found an association
215 between synthesis and a lower thirty-day mortality risk compared to hip replacement. This can be
216 explained by the less invasiveness of the nailing compared to a partial or total hip replacement.
217 Given this difference in mortality between treatment groups, a similar difference could be expected
218 for the weight bearing status (as it is directly correlated to the treatment adopted: all replacement are
219 allowed to full weight bearing, while partial or no weight-bearing is often advised in the synthesis

220 group); nonetheless, no statistically significant correlation between post-operative protocol and
221 mortality rate was found.

222 Finally, the use of anticoagulant therapy reported no correlation to an increased mortality, and it
223 could be explained by the small percentage of patients under anticoagulant therapy (7.6%).

224 The core of this study was the association between surgery delay and mortality. Previous published
225 studies analyzed singularly the early (30-day), intermediate (6-month) or late (1-year) mortality [4,
226 5, 29-31], with few exceptions analyzing both early and late mortality [3, 12, 17, 18]. In this study
227 we did analyze all three endpoints, to better underline how the considered variables differently
228 affected the mortality rate, finding a statistical significant increased late mortality in patients who
229 underwent surgery after 48 hours from admission. These results confirm the data from Simunovic et
230 al [6], who reported in their meta-analysis a decreased risk of late mortality in patients who
231 underwent early surgery, while there was no statistical significant different at the short or medium
232 term.

233 The association between surgery delay and increased mortality is still controversial in Literature.
234 Several studies concluded that delaying surgery more than 48 hours increases mortality [3, 6-14].
235 On the contrary other studies demonstrated that medical conditions, patient age and sex are more
236 important in influencing mortality compared to surgery delay [4, 15-23]. In this study the mortality
237 rate was lower in patients who underwent surgery within 48 hours compared at all three end-points
238 (ie: 30 days, six months and one year mortality) to patients operated after 48 hours, as shown in Tab
239 3. Specifically the thirty-day and one-year mortality rate was 2.6% and 15.4% in patients operated
240 within 48 hours and 5.1% and 21% for those operated after 72 hours. When a cutoff of 72 hours
241 was tested in the first regression analysis, no statistically significant differences were detected.
242 When comparing the mortality rate in patients operated between 48 and 72 hours to patients

243 operated within 48 hours and to patients operated after 72 hours, no statistically significant
244 differences were detected.

245 These results confirmed that operating within 48 hours reduces mortality at one year follow-up.
246 These data support the assumption of the Literature that the operation must be carried out within
247 two calendar days from admission in order to reduce the mortality risk. Additionally, the data
248 suggest that operating on day three is not an affordable alternative to the 48 hours delay. The 72
249 hours cutoff is not a valid option in femoral fracture treatment, since only the 48 hours cutoff
250 significantly decreased the one year mortality rate.

251 **Conclusion**

252 This study did confirm the correlation between patient's variable, such as male gender, co-
253 morbidities or age, and an increased or decreased mortality risk. Co-morbidities and gender were
254 identified as associated to a higher mortality rate. Particularly, being affected by more than two co-
255 morbidities was associated to a higher mortality rate at all the endpoints, underlying its overall
256 importance on early mortality. On the contrary, male patients had an increased mortality risk at six
257 months and one year after surgery. Age, treatment type and timing of surgery were associated to a
258 lower mortality rate. Specifically patients younger than 74 years old were correlated to a lower
259 mortality rate at all the endpoints, and osteosynthesis was associated to a reduced early (30 days)
260 mortality.

261 Regarding surgery delay, the findings of this paper confirmed that the one year mortality rate was
262 significantly lower in patients who underwent surgery within 48 hours, while no other cut-off (i.e.
263 Being operated between 48 and 72 hours) was significantly associated to a higher or lower mortality
264 risk.

265 This study confirmed that surgery must be performed within 48 hours to reduce the mortality risk,
266 while the option of operating on day 3 (between 48 and 72 hours from admission) is not an
267 acceptable alternative.

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350 **Tables**

351 Tab. 1 Co-morbidities affecting patients prior to hospital admission.

352 Tab. 2 Analysis of surgical treatments.

353 Tab.3 Mortality rates within 30 days, 6 months and one year in relation to different variable.

354 Tab.4 The relation of 30-day, 6-month and one-year mortality rate to particular prognostic factors in
355 logistic regression models. (OR=Odds Ratio, CI= Confidence Interval, in brackets the significant
356 variables. Significant results are underlined.).

357 Tab.5 The relation of 30-day, 6-month and one-year mortality rate to particular prognostic factors in
358 logistic regression models in a selected population operated after 48 hours. (OR=Odds Ratio, CI=
359 Confidence Interval, in brackets the significant variables, N/A= not applicable. Significant results
360 are underlined.)

361

362 **Figures**

363 Fig 1 Mortality rates occurring in relation to the surgery delays.

364 Fig. 2 Survivorship represented using the Kaplan-Meier method. Time is expressed in months