

Simplifying UAV-based photogrammetry in forestry: how to generate accurate Digital Terrain Model and assess flight mission settings

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Abstract: In forestry, aerial photogrammetry by means of Unmanned Aerial Systems (UAS) could bridge the gap between detailed fieldwork and broad-range satellite imagery-based analysis. However, optical sensors are only poorly capable of penetrating the tree canopy, causing raw image-based point clouds unable to reliably collect and classify ground points in woodlands which is essential for further data processing. In this work, we propose a novel method to overcome this issue and generate accurate Digital Terrain Model (DTM) in forested environments by processing the point cloud. We also developed a highly realistic custom simulator that allows controlled experimentation with repeatability guaranteed. With this tool, we performed an exhaustive evaluation of the survey and sensor settings and their impact on the 3D reconstruction. Overall, we found that a high frontal overlap (95%), a nadir camera angle (90°) and low flight altitudes (less than 100m) results in the best configuration for forest environments. We validated the presented method for DTM generation in simulated and real-world survey missions with both fixed-wing and multicopter UAS, showing how the problem of structural forest parameters estimation can be better addressed. Finally, we applied our method for automatic detection of selective logging.

Keywords: Aerial photogrammetry; digital terrain model; structural forest parameters; unmanned aerial systems

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1. Introduction

The use of Unmanned Aerial Systems (UAS) as remote sensing for environmental monitoring and precision forestry has grown considerably during last years and become world widespread [1–3]. It has emerged as a promising alternative to satellite imagery and fieldwork. Satellite imagery and vegetation indices obtained from these are useful for land and forest monitoring at the regional level, but not at a predial scale. Fieldwork provides highly detailed information, but it does not scale in terms of cost-area ratio and carries potential risks for the personnel involved. Contrariwise, UAS present several advantages such as the possibility of performing precise full-coverage forest maps in a short time, arbitrary revisit lapse, high spatial resolution, cloudiness independence, low cost, and easy operation compare with its counterparts [4].

Regarding the sensory system, it can be differentiated between two types of UAS depending on whether they use laser scanner or imaging camera as main sensor. At present, airborne laser scanning (ALS) is considered the most accurate method for estimating forest structure due to the detection of both the canopy and the ground. Despite that, ALS systems have considerable power requirements, and they are expensive compared to cameras-based systems and only cost-effective in large scale applications [5,6]. On the other hand, aerial photogrammetry using standard cameras has a better trade-off between cost and performance with respect to forest structure analysis, and it is lightweight

36 and energy efficient [6]. Aerial photogrammetry is attractive for forestry due its ability to
37 use uncalibrated cameras paired with unstable or handheld platforms, enabling the use
38 of low-cost and off-the-shelf equipment, as mentioned in [7]. Aerial photogrammetry by
39 means of UAS has been employed for tree health monitoring [8–10], 3D model recon-
40 struction [11], species classification [12] and biodiversity assessments [13]. A review of
41 recent advances in forest applications using UAS can be found in [14]. For comparison
42 between laser scanners methods and aerial photogrammetry refer to [15–17].

43 The photogrammetry workflow can be roughly divided in five main steps: 1)
44 imagery acquisition; 2) keypoint identification between images; 3) camera parameters
45 estimation and sparse point cloud generation using Structure from Motion (SfM); 4)
46 creation of a dense 3D point cloud using multi view stereo (MVS) matching algorithms;
47 and finally 5) post-processing of the 3D cloud.

48 For step 1) a survey mission needs to be prepared, that involves the generation
49 of a flight plan covering the area of interest where airborne images will be acquired.
50 Depending on the chosen platform type and the expected reconstruction precision,
51 mission settings are chosen. This is usually a manual process which ultimately falls into
52 the hands of expert UAS pilots since there are no standardized protocols yet.

53 Steps 2), 3) and 4) are generally solved using dedicated software, such as the open
54 source system OpenDroneMap (ODM)¹ or the commercial software Agisoft Metashape².
55 ODM used together with WebODM (a web-based interface to ODM) allows to perform
56 automatic reconstructions on a server where is hosted. It can be used by non-experts
57 users. In contrast, Agisoft Metashape processes images in a semi-automatic sequen-
58 tial approach. This scheme has the advantage that it is easy to detect the source of
59 reconstruction errors, but it requires technical knowledge to obtain the expected results.

60 Subsequent post-processing steps of the 3D cloud typically involve the estimation
61 of a Digital Surface Model (DSM) and an orthomosaic. In forestry, it is also required to
62 generate a Canopy Height Model (CHM), normalizing the DSM, by means of translating
63 from height above sea level to height above ground, based on a generated or preexisting
64 Digital Terrain Model (DTM). When the DSM is correctly normalized, structural forest
65 parameters such as tree height, coverage percentage, timber volume or biomass can be
66 accurately estimated. Tree height is obtained directly from the CHM, whereas coverage
67 percentage can be obtained by image classification of canopy versus ground areas and
68 measuring relative area occupied by the former.

69 When there is no preexisting DTM, it is necessary to realize a ground segmentation,
70 by classifying reconstructed 3D points as belonging to ground or to the canopy. For this
71 purpose, techniques typically employed for LiDAR point clouds can be used [18]. ODM
72 performs this segmentation as part of its 3D cloud generation process using the Simple
73 Morphological Filter (SMRF) [19]. On the other hand, Agisoft PhotoScan uses a progres-
74 sive densification filter algorithm based on triangulation of lowest points. However,
75 since both approaches assume that there are detectable ground points throughout the
76 surveyed area (and this can not be granted when employing photogrammetry), canopy
77 points are commonly misclassified as ground points. This drawback limits their use in
78 forest environments.

79 In [7], a review of the state-of-the-art in aerial photogrammetry in forest applica-
80 tions is presented. Authors conclude that it presents a highly accessible and versatile
81 solution to the acquisition of very high-resolution 3D data and mention four of the
82 main challenges for forest applications: *i) Reproducibility*, *ii) Availability of accurate Digital*
83 *Terrain Models (DTMs)*, *iii) Lack of acquisition and processing protocols* and finally *iv) Image*
84 *matching issues*. Reproducibility is challenging due to variation in illumination, atmo-
85 spheric, and seasonal conditions, so does not guarantee the same results on different
86 survey missions. This is also related to lack of acquisition and processing protocols,

¹ <https://www.opendronemap.org>

² <https://www.agisoft.com>

87 since these variations make it hard to find the best sensor and flight settings, currently
88 undertaken based on the surveyor's experience. The generation of accurate DTM is
89 crucial to obtain good results in structural forest parameters estimation. One challenge
90 of low-cost UAS application is the need for a bare-earth surface. Photogrammetry has
91 limitations related to cameras' poor capability of penetrating the tree canopy and the
92 minimum number of images observing the same ground points, which is not always
93 possible in dense forest environments. Because of this, some works propose to use highly
94 accurate DTMs generated by ALS-based systems to normalize the photogrammetry data
95 [20], but this makes the survey process longer and tedious. Recent lightweight LiDAR
96 products have been available for UAS payloads. However, these products often have
97 costs in the range of USD 50-100k, and are therefore too expensive for most operational
98 UAS deployments [21]

99 Finally, the matching process results particularly challenging in forested areas due to
100 the uniform texture of the canopy, self-repeating patterns and potential trees movements.
101 This may cause incomplete or noisy 3D point clouds as a result. To overcome these
102 issues, it has been suggested to increase the overlap between images and to use a
103 higher flight altitude, since this increases the number of features per image and reduces
104 distortions [22,23]. However, this approach either imposes the use of faster camera or
105 slower flight speed (if the overlap is increased) or reduced effective resolution (due to
106 higher flight altitude).

107 There are some works that study the impact of flight and camera settings on
108 the 3D reconstruction quality. The relationship between overlap and the ground area
109 represented by an image pixel, which is meant as Ground Sample Distance (GSD) is
110 studied in [22]. Nevertheless, the generated point cloud density is used as a metric of
111 quality without evaluating the cloud precision. Similarly, [24] analyze the influence
112 of flight altitude, image overlap, and sensor resolution on forest reconstructions. The
113 number of detectable features in several images or *tie points* is used as a metric for this
114 analysis, resulting in an incomplete evaluation method since this is not really a guarantee
115 for a high reconstruction quality. Moreover, in both works, the error in the reconstructed
116 3D point cloud is not measured and ground control points are not included.

117 Another key parameter affecting reconstruction is the camera angle. This factor is
118 of particular importance when observing irregular 3D surfaces such as trees. In this line,
119 [25] study reconstruction quality when the camera angle is at 45° , using a terrestrial laser
120 to generate point clouds to compare results obtained from images. Authors also tested
121 different flight patterns in order to improve reconstruction precision and successfully
122 estimate some of the tree's radius. Nevertheless, authors mention that further work
123 is needed to complete the study of relevant mission settings and their impact on the
124 reconstruction.

125 A deeper study on the use of oblique images for 3D canopy reconstruction is
126 presented in [26]. Authors also demonstrate an improvement in accuracy on crown
127 cover estimation percentage and maximum canopy height. To analyze their results,
128 authors built ground truth data from a terrestrial laser scanner. Similar work with
129 oblique images in high-relief landscapes is addressed in [27]. There does not seem to
130 be a consensus in the state-of-the-art regarding which camera angle produces the best
131 result. In fact, from the various related articles, angles in the range from 10° to 60° are
132 recommended [27].

133 In order to face the aforementioned challenges and perform controlled experimen-
134 tation of flight and camera settings, an alternative is to use forest simulators. There are
135 some examples of simulator based analysis of photogrammetry in the literature. One such
136 example is the *SyB3R* benchmark where a 3D synthetic scenario is generated [28]. This
137 tool post-processes the captured images to make them more realistic, adding distortion
138 and simulated camera noise. However, this simulator is not flexible and permits only
139 predefined scenarios not including forestry. Another simulator that is widely used in

140 robotics is *Gazebo* [29], but this tool is designed to simulate the physics of a robot motion
141 and is not really focused on realistic world scenarios, even less on forests.

142 The main contributions of this paper are:

- 143 1. The development of a highly realistic simulator based on Unity [30] video engine,
144 which allows to generate synthetic forest images during a simulated UAS flight
145 and perform controlled experimentation with repeatability guaranteed. Using this
146 tool, a detailed and extensive evaluation of the impact of the flight and camera
147 settings on forest 3D reconstruction is presented.
- 148 2. A novel method to generate accurate DTM in forest environments using only the
149 UAS point cloud obtained from SfM reducing highly the cost compared with the
150 LiDAR oriented approaches. In contrast to them, it considers the gaps typically
151 found in image-based point clouds during points classification. Based on this
152 method, the estimation of structural forest parameters and the automatic detection
153 of selective logging are proposed.

154 .

155 2. Materials and Methods

156 2.1. Forest Simulator

157 We develop a high realistic forest simulator to enable controlled experimentation of
158 realistic survey missions. It is based on the Unity graphics engine [30], which is a multi-
159 platform framework and includes a terrain generation module based on a user-supplied
160 height map, including ground textures and objects such as trees (either manually or
161 randomly placed). Figure 1 shows the three different tree models included in Unity. Each
162 tree can be customized by changing its size, color and orientation. Including custom
163 tree models, modifying the scene lighting as well as setting camera parameters are also
164 possible.



Figure 1. Three different types of tree used in the simulation, from left to right: palm trees, planifolia and conifers.

165 A series of image acquisition poses are generated to simulate a survey mission,
166 following a predefined flight path, which involves setting the camera altitude and angle.
167 The poses are generated using the desired frontal and lateral overlap parameters, just as
168 during a real survey planning. For each position, a simulated camera is placed in the
169 appropriate location and an image is acquired.

170 For the simulation of a GPS sensor, the center of the environment is geo-referenced
171 by a given coordinate system (typically UTM). In this way, the pose of all acquired
172 images will be in reference to the scene coordinate system. Finally, these poses are
173 perturbed using random white noise. The simulator also supports ground control points,
174 by manually placing markers on the ground as done in real-world survey missions.

175 After acquisition is complete, the simulator generates an image sequence where the
 176 GPS poses are recorded in the EXIF label. This allows the same reconstruction process to
 177 be followed that would be done for images acquired with a real camera on a real survey
 178 mission.

179 As ground-truth information the simulator also generates the terrain mesh (as a
 180 point cloud), the CHM and a segmentation of *ground* and *non-ground* points in this map
 181 (see Figure 2). The simulator can also be used to report the crown size and highest point
 182 of individual trees.

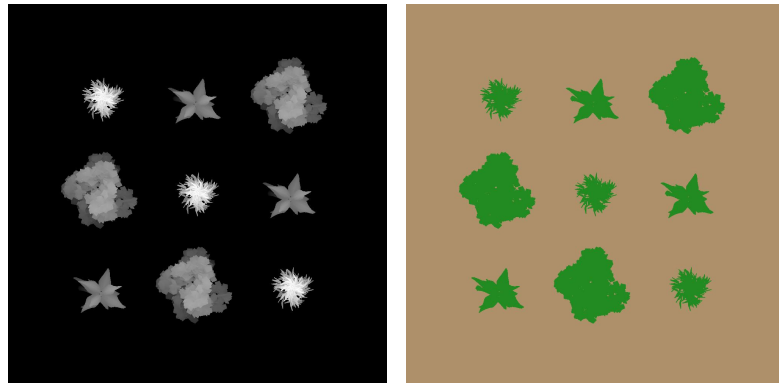


Figure 2. Exported information from the simulator. In the left is shown the height maps and in the right a segmentation of trees and floor for the 9Tree Scenario.

183 Two different scenarios were considered: *Simple Trees* (Figure 3 left) and *Yosemite*
 184 (Figure 3 right). The first scenario contains just nine trees of three different types spread
 185 over a square shaped region with flat terrain (see Figure 2). The second scenario aims
 186 to be a realistic representation of Yosemite National Park [31] by means of a real DTM
 187 obtained from LiDAR. Different tree types were randomly placed, followed by manual
 188 filling in some areas. Thus, the scenario results in a mix of both dense and sparsely
 189 forested areas.



Figure 3. Both synthetic scenarios used in this work: Simple Trees in the left and Yosemite in the right.

190 In this way, we are able to simulate survey missions with different settings over
 191 synthetic scenarios in which the position of each tree (and each leaf) is perfectly known.

192 2.2. Survey Mission Settings

193 We characterize two different types of survey mission settings regarding to: sensor
194 and flight; and consider four metrics to evaluate the resulting reconstruction: flight time,
195 image processing time, point cloud precision and ground-sample distance (GSD).

196 Since these variables are related in non-trivial ways, we present an analysis of these
197 relations. Figure 4 outlines the relations between camera parameters and the rest of the
198 survey settings and reconstruction metrics: arrows represent one parameter impacting
199 in the value of another one, round nodes represent the four mentioned metrics.

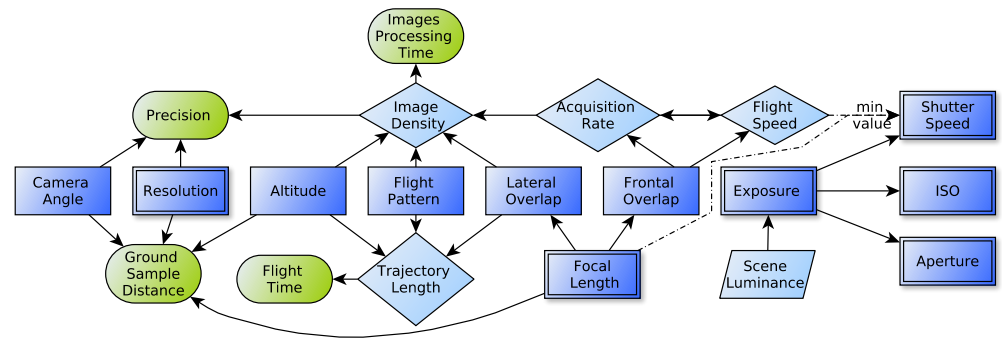


Figure 4. Square nodes denote user settable parameters (simple rectangles represent flight-related parameters while double border rectangles correspond to sensor related parameter), round nodes denote evaluation metrics, rhombuses denote *inferred* parameters and slanted rectangles denote non-controllable parameters. The continues line denotes that the node affects the final value, while the dash line represent a minor limit. These simplified scheme shows the most relevant relations between the settable (rectangles) and the control (rounded nodes) parameter that are relevant for these work.

200 We can further distinguish between user settings (those that must be explicitly
201 chosen before the mission, represented with rectangles in the figure) and *inferred* settings
202 (those indirectly affected by user settings, represented with rhombuses). Flight-related
203 user settings are *image overlap* (%), *flight altitude* (m), *flight speed* (m/s), *flight pattern* (single
204 or double grid) and *camera angle* ($^{\circ}$). Sensor related settings are: *image resolution* (Mpx),
205 *focal length* (mm), sensor sensitivity (ISO speed), *shutter speed* (ms) and *aperture* (f number).
206 The inferred parameters in our model are: *image acquisition rate* (Hz), *image density* ($1/m^2$)
207 and *trajectory length* (m). From these two groups is possible to estimate the value of the
208 control parameters group (rounded green nodes): *precision* (m), *image processing time*
209 (h), *ground sample distance* (cm/px) and *flight time* (s). These last group will be used to
210 evaluate the reconstruction process. Finally, we can also identify some variables that are
211 not under user control whatsoever: *scene luminance* and *maximum acquisition rate*.

212 In general, there is no single configuration that guarantees a good reconstruction.
213 To find the optimal parameter configuration, it is necessary to understand and quantify
214 their effects. In the following sections we describe these variables and metrics in greater
215 detail, discuss how they are related between each other and give some hints towards
216 good starting points for configuring values.

217 To evaluate the impact of the survey mission settings described below we used
218 the simulated scenario *Simple Trees* and processed the resulting images using ODM.
219 For each configuration we repeated three times the cloud generation process and then
220 we estimated the mean of three relevant parameters: 1) distance between centers of
221 bounding box (BB) of the original and generated cloud; 2) points number and 3) BB size.
222 We also used this parameters to detect wrong reconstruction when some thresholds are
223 reach. In particular, if the BB was bigger than 100m in any direction, or the BB was 150%
224 bigger or 50% smaller than expected, the reconstruction was rejected.

2.2.1. Overlap

One of the most important mission settings is the *image overlap*: the percentage of the image that intersects with other images taken nearby. The importance of this parameter lays in the fact that in order to create 3D geometry from images, at least two views of a portion of the surface are needed. Thus, the higher the image overlap, the greater chance of establishing correspondences. Furthermore, correspondences are used to set restrictions to the underlying SfM optimization and thus a large number of these usually results in increased reconstruction accuracy.

Image overlap is directly proportional to the number of *images per area*: to achieve high overlap, more images are needed over the same distance, resulting in a denser distribution. This also implies that the *image processing time* will be higher. In other words, this parameter is a direct trade-off between reconstruction precision and efficiency. We can further distinguish *frontal* (o_f) and *lateral* overlap (o_l):

$$o_f = \left(1 - \frac{d_f f}{H w}\right) 100 \quad (1) \quad o_l = \left(1 - \frac{d_l f}{H w}\right) 100 \quad (2)$$

where d_f is the (longitudinal) distance between consecutive pictures (m), d_l is the (lateral) distance between flight lines (m) (see Figure 5), f is the focal length (mm), H is the distance from the camera sensor to the ground (m) and w is the sensor width (mm).

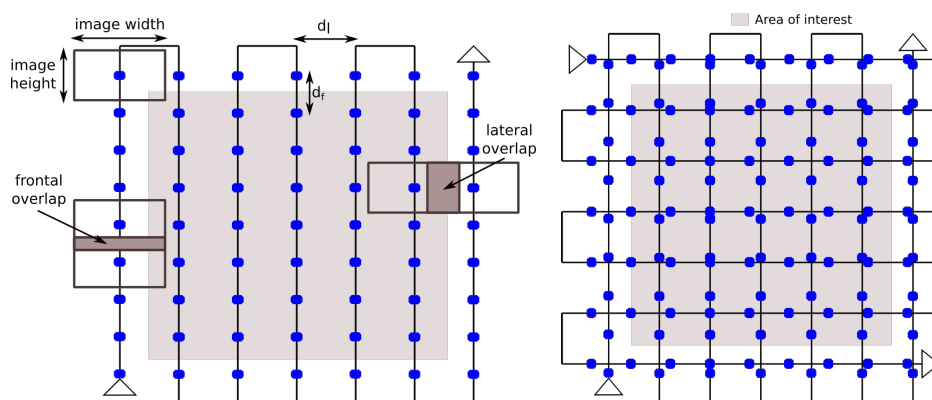


Figure 5. Two flight mission plans, in the left a simple grid and in the right a double grid plan. Blue dots represent the places where pictures are planned to be captured. Also frontal and lateral overlap are shown.

Frontal overlap depends on the *image acquisition rate*, since higher o_f implies that a greater number of images are acquired during the same time interval (assuming the flight speed remains constant). Thus, the combination of sensor capabilities and minimum flight speed will usually impose a higher bound on o_f . Increasing *lateral overlap* will reduce d_l . This means that a larger number of passes will be needed to achieve a tighter grid. The longer flight trajectory will result in higher *flight time* required (again, assuming constant flight speed). In conclusion, increasing o_l will bear the same benefits of larger o_f value but at the expense of longer survey missions, which will usually be limited by the flight autonomy of the UAS.

An alternative approach to increase o_f which does not impose a higher *acquisition rate* is to modify the *flight pattern* from a simple to a double grid (see Figure 5). This, of course, also negatively impacts *flight time*. For very irregular surfaces, such as the case of forest canopy, a high *image overlap* of at least 80% is usually recommended. As a rule of thumb, each point should be visible in at least 4 to 5 images [7]. In this work, we tested values between 75% and 95% of both lateral and frontal overlap.

257 2.2.2. Flight Altitude

258 *Flight altitude* is also a trade-off between *flight time* and the reconstruction *precision*.
 259 If the image overlap is fixed, flying at a higher altitude will allow higher d_f and d_l . In
 260 other words, images will be taken further apart in time and space. Furthermore, as d_l is
 261 increased, the flight trajectory will be shorter (less passes are needed) and flight time
 262 will be reduced. However, this all comes at the expense of reduced GSD (each pixel will
 263 represent a larger ground area) and point-cloud precision (image correspondences will
 264 be less accurately established).

265 Again, for the case of forestry applications, a relatively low altitude (relative to
 266 canopy) is recommended to maintain sufficient reconstruction detail. If this is not
 267 possible for the complete area, surveys performed at different flight altitudes may be
 268 combined in a single reconstruction. In this work, we evaluated different flight altitudes
 269 between 50m and 200m.

270 2.2.3. Flight Pattern

271 The *flight pattern* determines the path the UAV will follow. For forestry applications
 272 the most used ones are simple or double grid in a squared or rectangular area (see
 273 Figure 5). Simple grid is less time consuming but double grid is more accurate since it
 274 has more images and from different sides. Thus, depending on the application it will
 275 be adequate to use one or the other. When generation of 2D maps is the main interest,
 276 the surface is mostly flat or the area to be cover is extensive the simple grid is usually
 277 used. For 3D models or when the surface has height variations (buildings, rugged
 278 terrains like precipices) and the surface to be covered is small the double grid will give
 279 better results. In general in order to improve the 3D models generation the *flight pattern*
 280 include a combination of different *camera angles* (in general nadir and another value) and
 281 sometimes even different *flight altitudes*. In this work, we tested both single and double
 282 grid patterns combining with different altitudes and camera angles.

283 2.2.4. Camera Angle

284 *Camera angle* is the tilt angle of the camera with respect to the ground, for example a
 285 camera pointing down correspond to 90° , while a camera pointing foward to 0° . *Camera*
 286 *angle* is an important parameter in the mission setting as adding oblique images allows
 287 observing new parts of the environment, such as the side of trees, generating richer
 288 reconstructions. But if the angle is too low, it may be difficult to match the images, as
 289 the area shared between them could be reduced due to occlusion. Also, if the horizon is
 290 observed, it will give a wrong reconstruction or directly an error in the SfM process, as
 291 the system will try to include this faraway areas in the reconstruction. In this work, we
 292 tested four angle values between 60° and 90° , using both a simple and double grid flight
 293 pattern.

294 2.3. Ground Sample Distance

295 The *ground sample distance* (GSD) is related to the detail obtained during the recon-
 296 struction in terms of the area represented by each image pixel:

$$297 \text{GSD} = \frac{p}{f} H \cos(\theta)^{-1} \quad (3)$$

298 where p is the sensor pixel size and θ is the angle between the nadir direction and the
 299 sensor line-of-sight. The factor $\cos(\theta)^{-1}$ only has an effect when the camera direction is
 not nadir [32].

300 2.4. Reconstruction Error

301 To measure the reconstruction error we consider the point cloud detail when com-
 302 pared to a reference mesh. For simulated survey missions we use the ground-truth mesh
 303 as a reference and obtain different metrics to compare it with the one obtained from the

304 reconstruction. For real-world missions, this could also be performed if a ground laser
 305 scan were available, but this is not usually the case. For this reason, in real-world survey
 306 missions we manually placed ground-control points from which we can later obtain a
 307 similar reconstruction error by computing the corresponding root-mean squared error
 308 (RMSE) between their known and reconstructed locations:

$$\text{RMSE}(P_1, P_2) = \sqrt{\frac{1}{P} \sum_{p_1 \in P_1, p_2 \in P_2} \|p_1 - p_2\|^2} \quad (4)$$

309 where P_1, P_2 are the two sets of corresponding real and estimated coordinates of GCPs,
 310 and $P = |P_1| = |P_2|$.

311 2.4.1. Point Cloud Comparison

312 To evaluate the precision of a given simulated reconstruction we compare it with
 313 the one exported from the simulator using METRO [33]. This method estimates the
 314 distance between two surfaces, using one as pivot, by computing the euclidean distance
 315 of each point (of the other) and the closest point of the pivot. Given a point p and a mesh
 316 M the distance point-mesh is defined as:

$$e(p, M) = \min_{\tilde{p} \in M} d(p, \tilde{p}) \quad (5)$$

317 where d is the euclidean distance between two points. We can now define the root mean
 318 squared (RMSE) error between two meshes M_1 and M_2 as:

$$\text{RMSE}(M_1, M_2) = \sqrt{\frac{1}{|M_1|} \sum_{p \in M_1} e(p, M_2)^2} \quad (6)$$

319 2.5. Digital Terrain Model Generation

320 The DTM describing all ground points in the environment is a key input to estimate
 321 canopy height and other forest structural parameters. In this section we propose a novel
 322 method to generate accurate DTM using only the point cloud. First, we perform an
 323 initial classification based on the Simple Morphological Filter (implemented in the ODM
 324 tool) [19], which assigns each point to either *ground* or *non-ground* class. To improve
 325 on this initial result, we then compute the best-fitting plane for all *ground* points and
 326 then reclassify all points by considering their distance to the plane. Finally, to close
 327 gaps in the ground point cloud, we also estimate a plane for each gap and generate
 328 points uniformly over this surface. In Figure 6 we show the scheme corresponding to
 329 the workflow described above. The SMRF [19] algorithm used by ODM to classified the
 330 cloud points utilize four parameters: *slope* (slope rise over run), *window* (max windows
 331 size), *elevation threshold* and *scalar* (elevation constants used to classified the cloud points
 332 as ground or not ground). ODM by default uses parameter settings which are not ideally
 333 suitable for forest reconstructions. In [19], an optimized parameter set is proposed for an
 334 area with low altitude and dense vegetation. Thus, for these experiments we tried both
 335 the default and optimized parameters, as well as up to eighty random combinations.
 336 To evaluate the results, we compared the final ground map to that the ground truth,
 337 obtained from the simulator.

338 The DTM is then constructed with the PDAL Library [34] using as input all *ground*
 339 points. After this, the CHM can finally be obtained by subtracting the height of the
 340 ground at each location to the z coordinate of every *non-ground* point in the DSM. The
 341 DSM is calculated similarly to the DTM, but using all the cloud points.

342 In the following sections, we describe the point-cloud re-classification and gap
 343 closing in more detail because they are the key steps of the method to obtain accurate
 344 DTM.

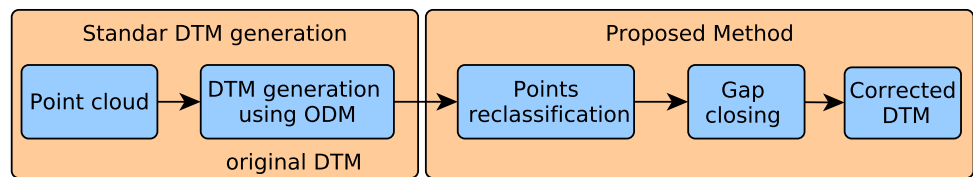


Figure 6. Workflow scheme for the digital terrain model generation using the proposed method.

345 2.5.1. Points Reclassification

346 From the already classified ground cloud, we first partition the points using only
 347 their X and Y coordinates (see below). Then, for each partition, we estimate the best-
 348 fitting plane using a RANSAC (Random sample consensus) scheme for robustness to
 349 outliers. Finally, we reclassify all points above the plane by some distance threshold as
 350 not *ground* and all points closer as *ground*. The pseudo-code for this step is presented in
 351 Algorithm 1.

Algorithm 1 Reclassification of Ground Points

```

1: procedure GROUNDRECLASSIFICATION(GroundCloud)
2:   partitions = PARTITION(GroundCloud)
3:   for i=1:#partitions do
4:     plane = RANSAC(partitions[i])
5:     for j=1:(#point  $\in$  partitions[i]) do
6:       if HEIGHT(point[j]) > HEIGHT(plane) + threshold then
7:         GroundCloud = GroundCloud - point[j]
  
```

352 To partition the cloud points, we considered four alternatives: *i) Plane*, which
 353 considers the entire cloud as a single partition and use only one plane to adjust it; *ii)*
 354 *Uniform Division*, an iterative method that divides the cloud in four uniform sections, and
 355 continues recursively until either the area covered by each subdivision or the number of
 356 points contained in it are below a given threshold; *iii) Median Division*, similar to the
 357 uniform division, but considering the median of the points number in each area instead.
 358 The main idea is to have partitions with the same points number and *iv) Surrounded*,
 359 which attempts to find good planes in the areas without points (generally corresponding
 360 to dense tree areas), using the border of them to estimate the best plane. The first step is
 361 to find all the areas without points, and create a partition for each one containing all the
 362 surrounded points. After this, all the remaining points of the cloud are assigned to the
 363 same partition.

364 2.5.2. Gap Closing

365 To fill all gaps remaining in the point cloud of *ground* points, we apply a gap closing
 366 algorithm. We follow a similar approach as for reclassification by closing each gap
 367 by means of a plane. From the ground points of the reclassified cloud, and using the
 368 surrounded method we separate the cloud in several partitions. Each gap has associated
 369 one partition, and using RANSAC we estimate the best plane for each one. After
 370 this, equidistant points are generated over the plane and added to the ground cloud.
 371 Algorithm 2 describes this step of the method.

372 2.6. Structural Parameter Estimation from SfM

373 In this work we focus on two main forest structural parameters than can be obtained
 374 using SfM techniques: tree coverage and height. While computing tree height can be
 375 generalized to obtaining the CHM, tree coverage can be estimated by the ratio of forested
 376 to total area:

Algorithm 2 Ground Extension

```

1: procedure GROUNDEXTENSION(GroundCloud)
2:   partitions = PARTITION(GroundCloud)
3:   for i=1:#partitions do
4:     plane = RANSAC(partition[i])
5:     points = CREATENEWPOINTS(plane)
6:     GroundCloud = GroundCloud + points

```

$$\text{coverage} = \frac{(\#TreePixels)}{(\#GroundPixels) + (\#TreePixels)} * 100 \quad (7)$$

377 where (#TreePixels) is obtained directly from regions of the CHM with non-zero height
378 (considering a threshold). While the CHM could be used to obtain individual tree height,
379 it is generally more useful to a height based segmentation of the CHM, considering that
380 forested areas usually shows sectors with markedly distinct height (due to different
381 successional stage, dominant species, soil condition or management).

382 *2.7. Selective Logging Detection*

383 Selective logging detection results from comparing reconstruction of the same
384 surveyed area at different times. First, the CHM corresponding to each survey is
385 computed as explained in previous sections. The ground-referenced point clouds are
386 then aligned and the difference is computed, using the oldest as a reference. Algorithm
387 3 describes the procedure.

Algorithm 3 Changes Detection

```

1: procedure CHANGESDETECTION(Clouda, Cloudb)
2:   (cgeClouda, cgeCloudb) = CORRECTGROUNDEST(Clouda, Cloudb)
3:   (alCgeClouda) = ALIGNCLOUDS(cgeClouda, cgeCloudb)
4:   (CHM1, CHM2) = CHM(alCgeClouda, cgeCloudb)
5:   diffMap = DIFF(CHM1, CHM2)
6:   binDiff = BINCLASCHANGE(diffMap)
7:   binDiff = MORPHFILTER (binDiff)
8:   binDiffContours = CONTOURS(binDiff)
9:   binDiffContours = MINAREAFILTER(binDiffContours)

```

388 The first step is to use the correction in the ground estimation described previously
389 with the function CORRECTGROUNDEST for both clouds, to then align them using
390 ALIGNCLOUDS. After this, both CHM maps are generated with the CHM function,
391 to then calculate the difference between them. The function BINCLASCHANGE uses a
392 threshold to classified every point as changed or not. This threshold is defined as a third
393 of the altitude of the highest point since this value exceeds the noise and at the same
394 time detects changes in the trees; however, the user can change it manually. The function
395 MORPHFILTER applies two morphological filters (first erosion and then dilatation) in
396 order to eliminate noise, and the same filters in the inverse order, to eliminate little holds
397 in the big forested areas. Finally, the contours are calculated with CONTOURS and then a
398 minimal area filter is used to eliminate small areas with MINAREAFILTER.

399 *2.8. Fieldwork*

400 Finally, we performed a series of real-world experiments both for the ground seg-
401 mentation step and structural parameters estimation. These experiments were carried
402 out in forested environments located in different protected areas in Argentina and using
403 different UAVs. In Nahuel Huapi National Park (Neuquén Province) where surveyed
404 an area of 200 x 200 meters using an DJI Mavic 2 Pro UAV. In Ciudad Universitaria-

405 Costanera Norte Ecological Reserve in Buenos Aires City, we used a DJI Phantom III
 406 Standard to made a 3D model in an area of around 20 hectares. In Ciervo de los Pantanos
 407 National Park (Buenos Aires Province) we used a custom built fixed-wing aerial platform
 408 based on the commercial Skywalker 1900 fuselage.

409 3. Results and discussion

410 The first set of experiments are focused on establishing certain aspects regarding
 411 the survey mission setup with simulator 3.1. The second set of experiments are aimed
 412 towards experimental validation of the DTM generation method 3.2. Third, forest
 413 structural parameters estimation is presented 3.3. Finally, selective logging detection
 414 process using the proposed DTM generation method is also validated 3.4.

415 3.1. Survey Mission Settings Analysis

416 In this section we evaluate the impact of some of the main survey mission settings
 417 over the SfM reconstruction process. For this experiment we use the simulated scenario
 418 *Simple Trees* and processed the resulting images using ODM.

419 3.1.1. Overlap

420 As previously mentioned, image overlap is strongly related to reconstruction quality
 421 since it mostly determines the number of correspondences that can be established
 422 between adjacent images (the higher the overlap, the higher the matches). We initially
 423 tested values between 75% and 95% of both lateral and frontal overlap to determine
 424 a usable range of values. We then focused on overlap values in the range between
 425 between 85% and 95%, considering also that values are usually recommended for SfM
 426 reconstruction of forests. Results of these experiments are presented in Table 1.

Param	N Img	N Points	FD(m)	RMSE (m)
F 75% L 75%	12	0.6M	378.12	8.32
F 85% L 85%	24	1.2M	466.70	4.37
F 85% L 88%	30	1.5M	569.12	3.58
F 85% L 92%	48	2.4M	874.64	4.10
F 85% L 95%	72	3.7M	1261.83	2.62
F 88% L 85%	28	1.4M	451.15	2.19
F 88% L 88%	35	1.8M	549.67	2.30
F 88% L 92%	56	2.9M	843.52	2.59
F 88% L 95%	84	3.6M	1215.16	2.47
F 92% L 85%	40	1.5M	451.15	2.02
F 92% L 88%	50	1.9M	549.67	1.85
F 92% L 92%	80	2.9M	843.52	1.68
F 92% L 95%	120	4.1M	1215.16	1.61
F 95% L 85%	64	3.3M	466.70	1.29
F 95% L 88%	80	3.7M	569.12	1.95
F 95% L 92%	128	5.8M	874.64	1.77
F 95% L 95%	192	9.4M	1261.83	1.32

Table 1: Quality metrics for different overlaps: **N Img** (number of images), **N Points** (number of points), **FD** (flight distance) and **RMSE** (Root Square Mean Error). In blue, is shown the best five results for each metric, and in dark gray a good option for the trade-off between precision and overlap is remark.

427 When analyzing the results of these experiments we can see a direct relation between
 428 image overlap and the number of images and, thus, the number of generated 3D points.
 429 Moreover, lateral overlap has greater impact over these variables since it results in a
 430 tighter flight path with more passes over the survey area. As expected, values lower
 431 than 85% do not give usable results.

432 We can also analyze the effect of varying either frontal or lateral overlap leaving the
 433 other parameter fixed (see Figure 7). We can see that when lateral overlap is increased
 434 and frontal overlap is left fixed, flight distance increases as previously mentioned.
 435 Conversely, when frontal overlap is increased and lateral overlap is left fixed, flight distance
 436 remains mostly constant. Finally, we can observe that precision mostly increases
 437 depending on frontal overlap, whereas increasing lateral overlap doesn't have a big
 438 impact of precision.

439 From all of these combinations we found that using 85% lateral overlap gives good
 440 results overall. In particular, combining this value with 95% overlap gives the best
 441 trade off in terms of accuracy and number of points/images and flight distance. These
 442 results are in line with previous analysis, in particular with those obtained in [24], where
 443 the authors also analyzed separately the effects of both forward and lateral overlap,
 444 although using a video footage.

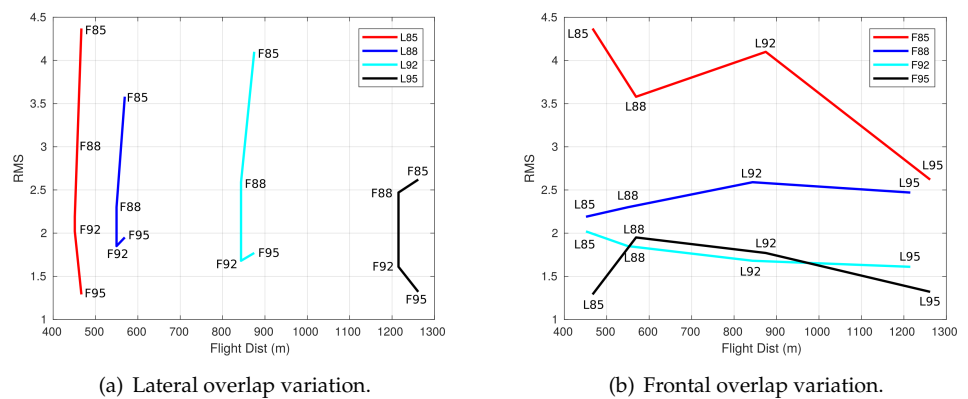


Figure 7. Flight distance versus the RMSE error, for different values of frontal and lateral overlap

445 3.1.2. Camera Angle and Flight Pattern

446 In this experiment we analyzed the effect of different camera angles on the recon-
 447 struction. We tested four angle values between 60° and 90° , using both a simple (see
 448 Table 2) and double grid (see Table 3), using a flight altitude of 100m with a frontal and
 449 lateral overlap of 95% and 85%, respectively. We did not consider angles lower than 60°
 450 since in general too much sky would be visible in the pictures and this brings problems
 451 for the image processing pipeline.

452 When comparing single grid flights with different camera angles, the first thing to
 453 note is the increment of points in the clouds for bigger angles. This can be attributed to
 454 the fact that although for small angles the observed surface is grater, the overlap between
 455 images is reduced. Nevertheless, regarding reconstruction precision, we do not find
 456 significant differences when the camera angle change.

Angle	N Img	N Points	RMSE (m)
$\alpha = 60^\circ$	64	2.1M	1.91
$\alpha = 70^\circ$	64	2.7M	1.90
$\alpha = 80^\circ$	64	3.1M	1.97
$\alpha = 90^\circ$	64	3.3M	1.51

Table 2: Quality metrics for different camera angles. Blue values represent the best result.

457 For the double grid case (see Table 3) we repeated the experiment, considering that
 458 now the angle may change between either orientation of flight lines (thus we will name
 459 each angle α_1 and α_2). In general we can see a similar behavior than for a simple grid:
 460 higher angles lead to higher precision and more 3D points. Regarding reconstruction

461 precision, for both overlap cases, the configuration $\alpha_1 = 90^\circ$ and $\alpha_2 = 60^\circ$ show the
 462 best results, especially for the lower image overlap case, where the number of 3D point
 463 is smaller. This result reinforce that obtained by [26,27], showing the relevance of
 464 incorporate oblique images to make 3D reconstructions in forest landscapes.

Angles	N Img	N Points	RMSE (m)
DG F95% L85%			
$a_1 = 60^\circ \wedge a_2 = 60^\circ$	128	4.4M	1.75
$a_1 = 70^\circ \wedge a_2 = 70^\circ$	128	5.6M	1.45
$a_1 = 80^\circ \wedge a_2 = 80^\circ$	128	6.3M	1.83
$a_1 = 90^\circ \wedge a_2 = 60^\circ$	128	5.6M	0.91
$a_1 = 90^\circ \wedge a_2 = 70^\circ$	128	6.2M	0.99
$a_1 = 90^\circ \wedge a_2 = 80^\circ$	128	6.6M	0.93
$a_1 = 90^\circ \wedge a_2 = 90^\circ$	128	6.7M	0.94
DG F90% L80%			
$a_1 = 60^\circ \wedge a_2 = 60^\circ$	48	1.7M	2.1
$a_1 = 70^\circ \wedge a_2 = 70^\circ$	48	2.1M	2.67
$a_1 = 80^\circ \wedge a_2 = 80^\circ$	48	2.4M	2.57
$a_1 = 90^\circ \wedge a_2 = 60^\circ$	48	2.1M	1.27
$a_1 = 90^\circ \wedge a_2 = 70^\circ$	48	2.3M	2.32
$a_1 = 90^\circ \wedge a_2 = 80^\circ$	48	2.3M	2.58
$a_1 = 90^\circ \wedge a_2 = 90^\circ$	48	2.5M	2.63

Table 3: Quality metrics for different camera angles in a double grid setup. Blue values represent the best result for each configuration.

465 3.1.3. Flight Altitude

466 For the single grid case (Table 4), as expected higher altitudes result in a smaller
 467 number of images and 3D points. This in turn shorten flight distance but also reduces
 468 precision.

469 For the double grid case, we tested combinations of different altitudes for flight
 470 lines in each orientation (Table 5). In this case, while there's also a decrease in the number
 471 of images, 3D points and flight distance as altitude increases, we can see that precision
 472 not always decrease, in particular the configuration corresponding to the best precision
 473 for this experiment was $h_1 = 50\text{m}$ and $h_2 = 150\text{m}$.

474 3.2. DTM generation and ground segmentation

475 We performed a series of experiments to evaluate our DTM generation method and
 476 the ground segmentation required to ultimately obtain a CHM. To establish a baseline,
 477 we first perform a segmentation using ODM over the ground-truth point-cloud obtained
 478 from the simulator. We then attempt to improve this result by considering the different
 479 segmentation strategies.

Param	N Img	N Points	FD(m)	RMSE (m)
$h_1 = 50$	96	3.3M	669.81	2.13
$h_1 = 100$	24	1.2M	341.39	2.20
$h_1 = 150$	12	0.3M	246.32	3.07

Table 4: Quality metrics for different altitudes for simple and double grid options (FD: flight distance) with a frontal and lateral overlap of 90% and 80%, respectively.

Param	N Img	N Points	FD(m)	RMSE (m)
$h_1 = 50 \wedge h_2 = 50$	192	18.5M	1440.53	1.79
$h_1 = 50 \wedge h_2 = 100$	121	5.8M	1123.82	1.98
$h_1 = 50 \wedge h_2 = 150$	109	5.4M	1058.19	1.42
$h_1 = 50 \wedge h_2 = 200$	105	5.3M	1075.30	2.02
$h_1 = 100 \wedge h_2 = 100$	49	2.2M	714.99	2.14
$h_1 = 100 \wedge h_2 = 150$	37	1.5M	647.18	2.20
$h_1 = 100 \wedge h_2 = 200$	33	1.3M	671.16	2.00
$h_1 = 150 \wedge h_2 = 150$	25	0.5M	603.62	2.73
$h_1 = 150 \wedge h_2 = 200$	21	0.4M	592.75	2.66

Table 5: Quality metrics for different altitudes for simple and double grid options (FD: flight distance) with a frontal and lateral overlap of 90% and 80%, respectively.

Ranking	Slope	Win	Th	Scalar	Mean	RMSE
Best	0.18	17	0.5	1.5	1.257	2.653
Default	0.15	18	0.5	1.25	1.328	2.705
Optimized	0.05	11	0.15	2.3	1.648	2.965

Table 6: RMSE error for different settings of the ODM Ground Segmentation. The Optimized corresponds to the values recommended in [19]. **Win** stand for Windows and **Th** for Threshold.

480 3.2.1. ODM classification

481 In first instance, we compared different parameters settings in the ODM algorithm.
 482 Table 6 shows the results of default, optimized [19] and the best option among the eighty
 483 random combinations evaluated.

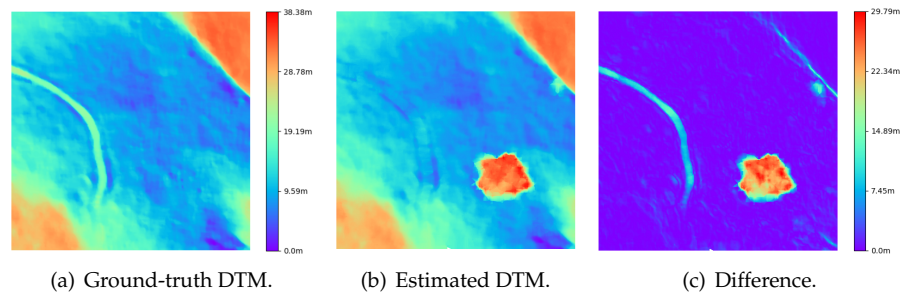


Figure 8. In the left and the middle are shown the DTM ground truth and the one obtained with the best set of parameters of Table 6, respectively. In the right, the error (calculated as the difference between the other two maps) in the DTM estimation.

484 In Figure 8 we also compare the ground-truth DTM to the one obtained by the
 485 aforementioned ground classification method (with the best set of parameters). When
 486 observing the difference (Figure 8(c)) between ground-truth (Figure 8(a)) and estimated
 487 (Figure 8(b)) we can identify three areas with large errors, which correspond to an open
 488 ditch on the left, a large group of trees in the middle and a small slope and a tree in the
 489 top right part.

490 3.2.2. Point Reclassification

491 In this section we evaluate the improvement of our point reclassification method
 492 over the initial result obtained directly from ODM. We tested the four cloud segmentation
 493 strategies, described in section 2.5.1. In Figure 9 we show the partitions generated as a

494 result of applying each strategy. The reclassification process is then performed in each
 495 partition and the final DTM is generated.

496 The Median method present the best results since it eliminates the wrong classified
 497 area corresponding to the dense trees, without affecting the rest of the scenario. Nev-
 498 ertheless, none of the methods show improvement related with the other two areas of
 499 error (the open ditch on the left and the slope on the right). The method of one plane
 500 also removed these point, but since only one plane was used and the terrain was not
 501 flat, the corners were wrongly classified as not ground, because the difference with the
 502 plane was too big. Something similar happened with surrounded method, since also
 503 use one plane for all the terrain. Finally, the uniform method was not able to remove the
 504 point of the dense tree area, since this area was too large and different partitions were
 505 set, making it impossible to estimate a good plane.

506 From each DTM we measure the error as in the previous section (figure 10). White
 507 areas corresponds to extend zones that were reclassified as not ground, and the interpo-
 508 lation of ODM can not fulfill those areas. It is desired to have them in wrong classified
 509 areas in the original DTM, but not in well classified zones. This areas will be fulfill again
 510 in the ground extension process.

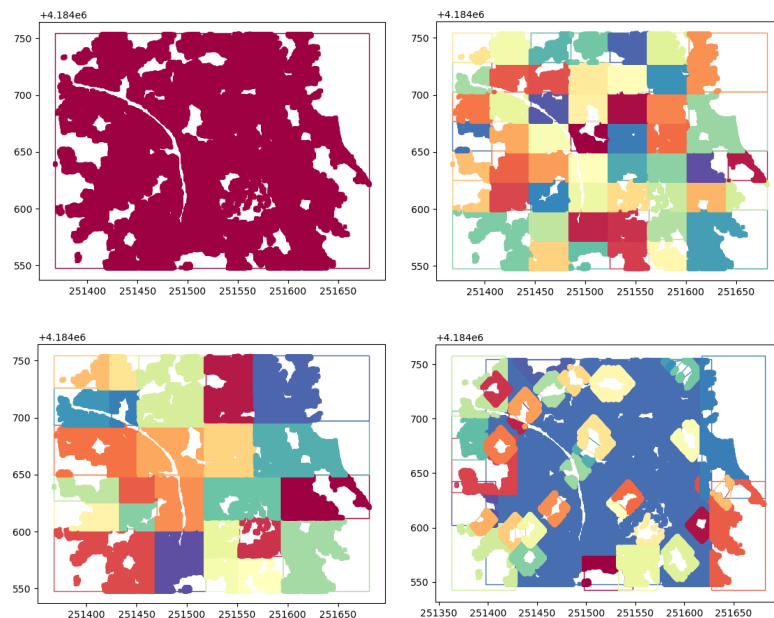


Figure 9. Partition used in ground points reclassification: top left *One Plane*, top right *Uniform*, bottom left *Median* and bottom right *Surrounded*.

Method	Mean Error	RMSE	Max Error	Cov %
<i>One Plane</i>	1.289	3.292	20.755	87.51
<i>Uniform</i>	1.273	3.803	29.670	99.83
<i>Median</i>	0.746	1.701	17.454	98.79
<i>Surrounded</i>	0.986	2.629	23.345	97.67

Table 7: Comparison, using *METRO*, between the different partitioning methods and the original mesh. **Cov %** is the coverage percentage of the original model. The *Median* method shows the better performance in all the metrics.

511 Using Metro we compared these DTM estimation with the ground truth, and
 512 the results are shown in Table 7. Again, Median partitioning method has the best
 513 performance.

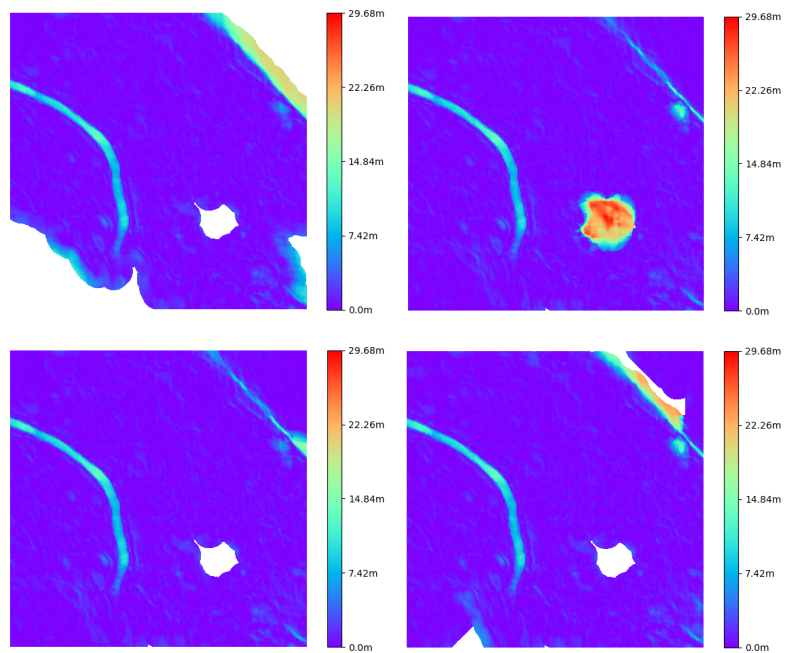


Figure 10. DTMs errors after reclassified as not ground some points (white areas) using the proposed methods: top left *One Plane*, top right *Uniform*, bottom left *Median* and bottom right *Surrounding*.

514 3.2.3. Ground Extension

515 For each of the reclassification results obtained in previous section, we then apply
 516 the ground extension method proposed in 2.5.2. As an example, in Figure 11 we show
 517 how points are added in gaps for one of these cases.

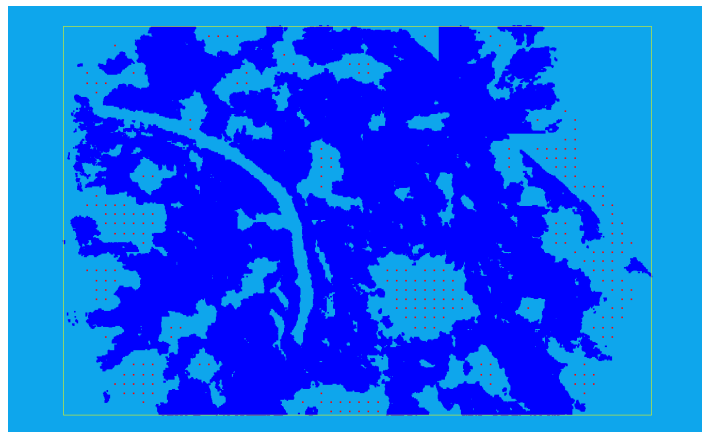


Figure 11. Added points (red) in the cloud for a distance of 5m.

518 In Table 8 we show the new results after applying the ground extension step. Ob-
 519 serving these results, we realize that the *Surrounded* method shows the best performance.

520 Finally, in Figure 12 we compare the final DTM obtained via ODM and the improved
 521 one after applying the reclassification and ground extension methods with the *surrounded*
 522 strategy. We can here see that our approach considerably improves the result, which
 523 corresponds to an RMSE improvement from 4.287 to 1.843.

524 3.2.4. DTM in real-world cases

525 For the study area in Nahuel Huapi National Park, the resulting orthomosaic is
 526 presented in Figure 13(a) and the DTM obtained via ODM with the default configuration

Method	Mean Error	RMSE	Max Error	Cov %
<i>One Plane</i>	0.921	2.074	26.885	100
<i>Uniform</i>	0.828	1.868	21.661	100
<i>Median</i>	0.812	1.865	23.694	100
<i>Surrounded</i>	0.789	1.843	29.159	100
<i>ODM</i>	1.458	4.287	30.012	99.84

Table 8: Comparison, using *METRO*, between the different partitioning methods and the original mesh. The *Surrounded* method shows the better performance in all the metrics, and shows a bigger improvement in comparison with the default method used by ODM.

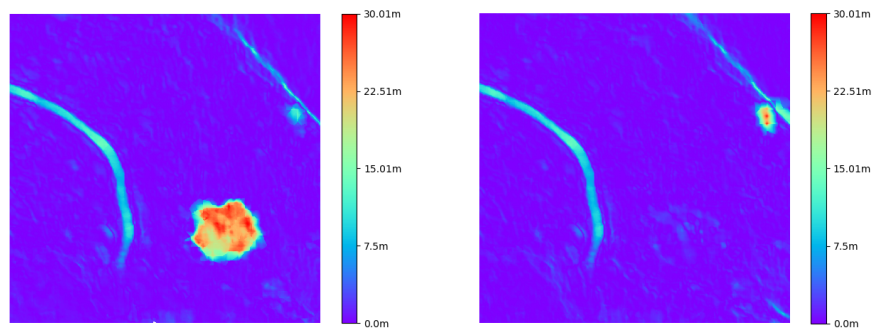


Figure 12. Differences within the original method used for ODM (left), and our proposed method (right) to estimate the ground.

527 is presented in Figure 13(b). We can observe that on the right of the image there are
 528 some trees (red areas) that were classified as ground and that there are also gaps (white
 529 areas) in the map. These issues occur since most of the surface is covered by trees and
 530 the default classification method is unable to correctly detect the ground. Both of these
 531 problems are solved when using the Algorithm 2 (see Figure 13(c)), where a mostly flat
 terrain is detected.

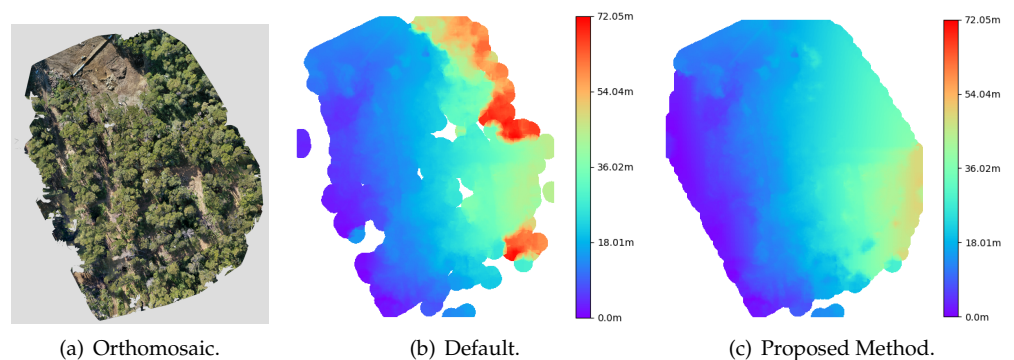


Figure 13. Original orthomosaic of the relevated area (left), DTM with the *Default* algorithm of ODM (middle) and DTM corrected with our method.

532
 533 Figure 14 shows the results obtained for Ciudad Universitaria-Costanera Norte
 534 Ecological Reserve. Orthomosaic is in the left side, where is possible to observe this area.
 535 The middle image correspond to the default DTM, where again some of the trees are
 536 wrongly classified as ground and also there are some gaps. When running Algorithm
 537 2 (right) with the same parameters as in the other reconstructions, the gaps are well
 538 estimated and disappear. Nevertheless, some areas are wrongly fulfilled assuming a flat
 539 terrain, during the ground extension. This can be easily corrected by delimiting the
 540 border of the reconstruction.

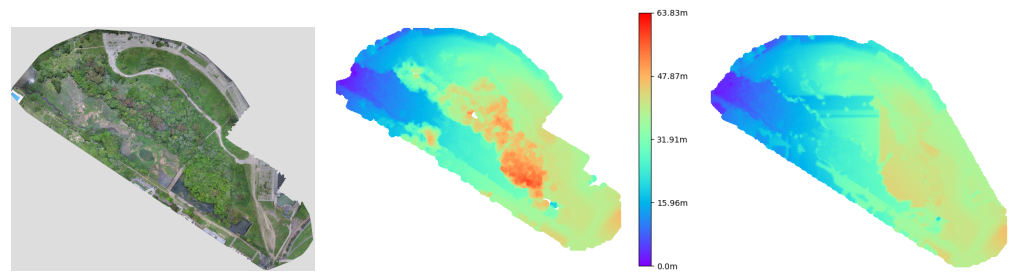


Figure 14. Original orthomosaic of the relevated area (left), DTM estimated with the *Default* algorithm of ODM (middle) and DTM corrected with our method.

541 3.3. Structural Parameters

542 In this section we present our results when estimating the structural parameters of
543 interest: tree coverage and canopy height.

544 3.3.1. Coverage

545 Once ground segmentation is performed, estimating tree coverage is trivial since it
546 reduces to computing the percentage of pixels classified as ground with respect to the
547 total area in question. We can use the Yosemite simulated scenario again to compare
548 tree coverage results to ground-truth information. When using ODM, the resulting
549 coverage is 36.56%. With our approach coverage is 40.86%. Compared to the ground-
550 truth value of 46.80% we can indeed observe our method results in an improvement.
551 This measurement shows the importance of precise ground segmentation as it directly
552 impacts coverage computation. Figure 15 shows the tree segmentations obtained for
553 each method and the difference to ground truth segmentation.

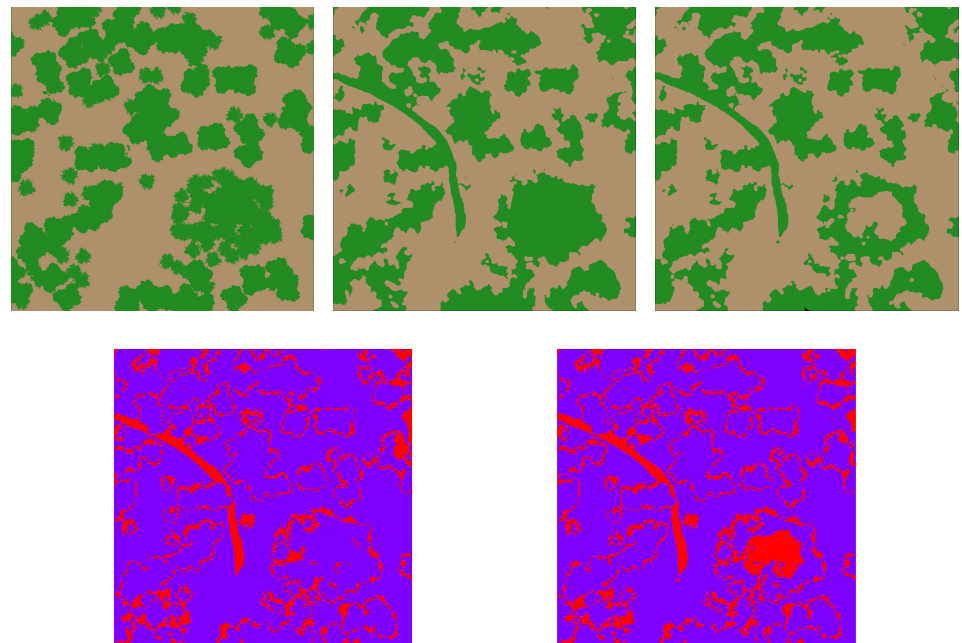


Figure 15. Top left: Expected segmentation from the real mesh. Top middle: segmentation using the ground estimation correction. Top right: segmentation with the default ground estimation. Bottom: difference between the expected segmentation and, at left, the one with the ground estimation correction and at right, with the default estimation.

554 On the other hand, we also compute tree coverage for real-world experiments.
555 Since in this case we do not have ground-truth information only qualitative analysis is

556 performed. In Figure 16 we overlay the segmentation result over the orthomosaics of
 557 *Villa La Angostura* and *REC�* experiments. In general we can observe that most of the
 558 forested areas are correctly classified as not ground. The coverage percentage for *Villa La*
 559 *Angostura* is estimated as 45.53% and 17.60% for *REC�*.

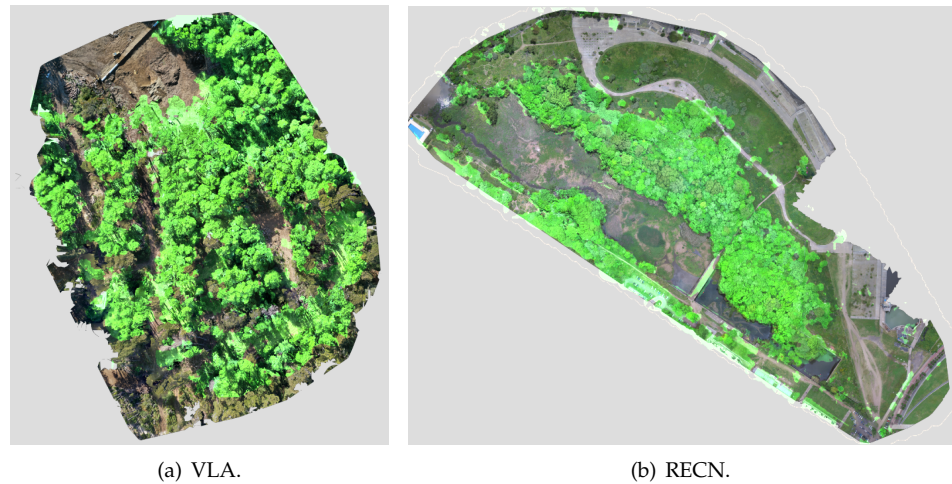


Figure 16. Overlay between orthomosaics and the areas covered by trees (fluor green) for the *Villa La Angostura* and *REC�* experiments.

560 3.3.2. Tree Height

561 A second result we can obtain from the CHM is tree height. As previously men-
 562 tioned, as detecting individual trees is not really feasible for very densely forested areas,
 563 we can resort to obtain a height segmentation from the CHM for the purpose of isolating
 564 potentially different forest types.

565 In Figure 17 we show the regions with similar tree height for a reconstruction
 566 of the *Ciervo de los Pantanos* national park in Buenos Aires, Argentina. To build this
 567 segmentation map we extended ODM software to allow specifying different height
 568 intervals to be mapped to a class (based on knowledge of available forest types in the
 569 area).

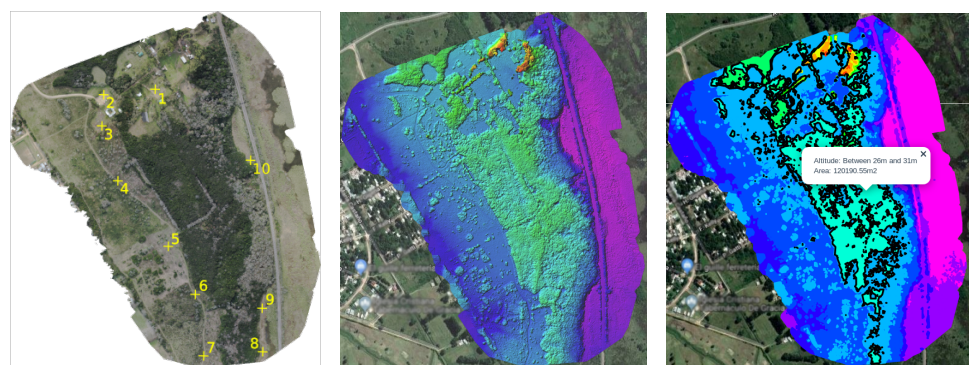


Figure 17. National Park *Ciervo de los Pantanos*. Left: Orthomosaic generated, where yellow marks represents the CGPs. Medium: corresponding height map. Right: segmentation stratified by heights.

570 3.4. Selective Logging Detection

571 In this section we evaluate the ability of our system to detect changes between two
 572 survey missions of the same area at different times. In the context of forestry, this feature
 573 has multiple applications such as selective logging detection. We performed two sets of

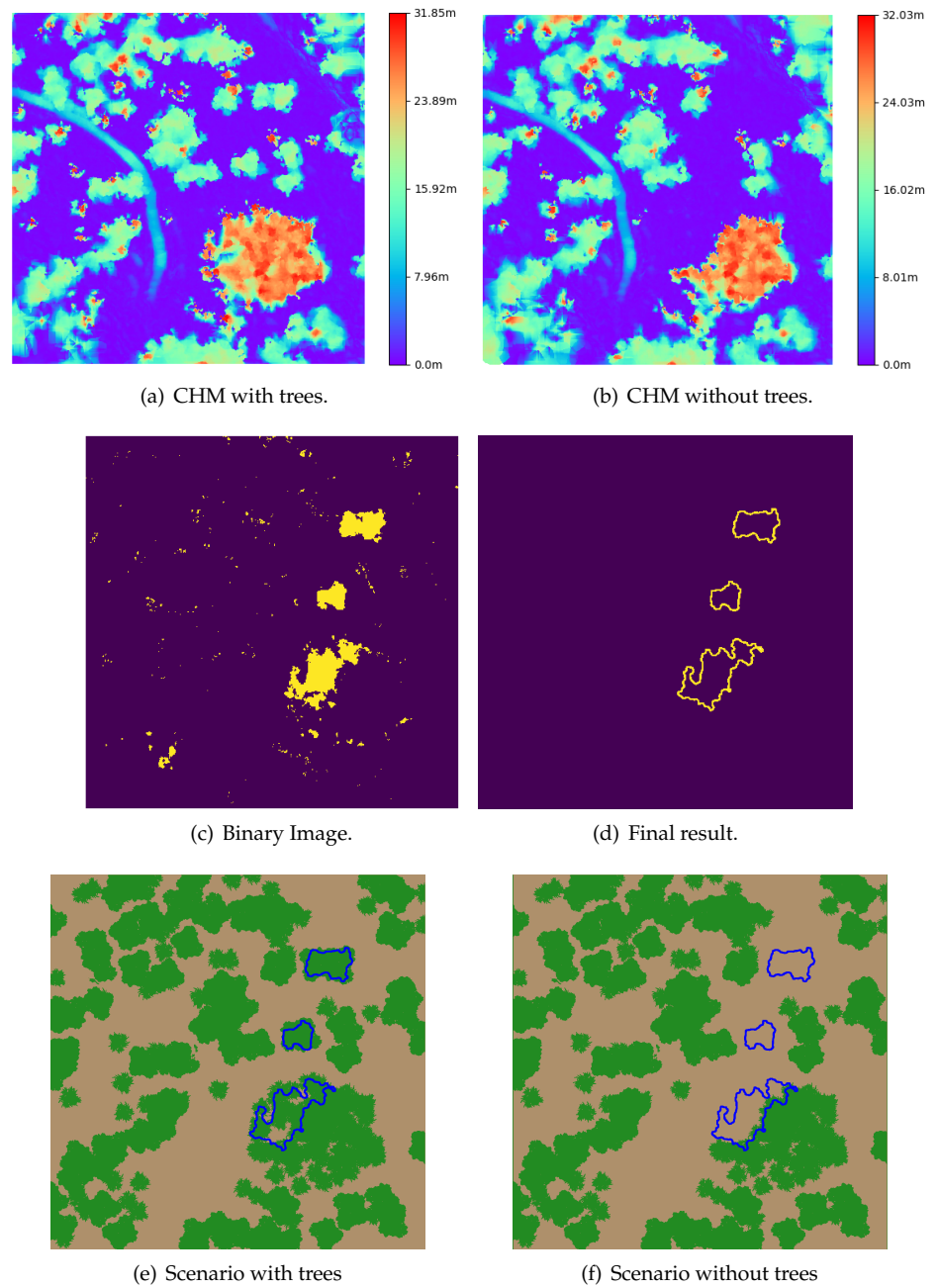


Figure 18. Change detection process for *Yosemite*.

574 experiments: first in the *Yosemite* simulated environment and then on real-world scenario
 575 of a construction site inside a forested area.

576 3.4.1. Simulation

577 In this experiment we generated an initial tree population in the *Yosemite* simulated
 578 environment and then a second one with some of these trees removed from three different
 579 areas of the map. We performed two simulated survey missions and then attempted to
 580 detect the differences.

581 Figure 18 shows the change detection process step by step: Figure 18(a) and 18(b)
 582 show the resulting CHM for each mission. This cover a variety of different situations
 583 common on forests. The difference between both CHMs is computed and a binary map is
 584 generated (see Figure 18(c)) based on a user-defined threshold. Finally, a morphological

585 filter and contours are computed (selecting only those of a given minimum size), which
586 gives the final result of detected changes (see Figure 18(d)). In this case we can observe
587 that the three groups of removed trees are correctly detected. This can be confirmed by
588 overlapping these contours over the scenarios with and without trees (see Figure 18(e)
589 and Figure 18(f)).

590 3.4.2. Real World Experiments

591 To study the performance of our selective logging detection method in real-world
592 conditions a second set of experiments were performed on an area where selective
593 logging was authorized. Due to the size of the area and safety constraints within the
594 construction site, these experiments were carried out with the *DJI Mavic 2 Pro* commercial
595 multi-rotor.

596 Three flights were performed at different times over two separate areas (of approxi-
597 mately 150x200 meters each) in Nahuel Huapi National Park, near Villa La Angostura.
598 Due to technical difficulties it was not possible to use GCPs for all the flights. This issue
599 had the effect of higher drift in the absolute position of the clouds. Nevertheless, since
600 we perform point cloud alignment this issue is easily overcome. Of course, for larger
601 areas, GCPs would be necessary to avoid warping of the point cloud.

602 For the first area (Figure 20(a) to 20(c)), after the initial flight, three trees of approxi-
603 mately 30m in height were cut down and, for before the final flight a quite larger area of
604 trees was removed. The changes detected in the canopy structure after the second and
605 third flight were correctly identified (marked in blue), as seen in Figures 20(b) and 20(c).

606 For the second experiment (Figures 20(d) to 20(f)), the section of trees removed is
607 smaller and thus allows to see the performance on a more challenging case. In Figures
608 20(e) and 20(f) we show the detection results which again reflects the areas where
609 selective logging was performed. This example also demonstrates that recognizing
610 these changes could be quite difficult for a human, but an automated approach can be
611 successful.

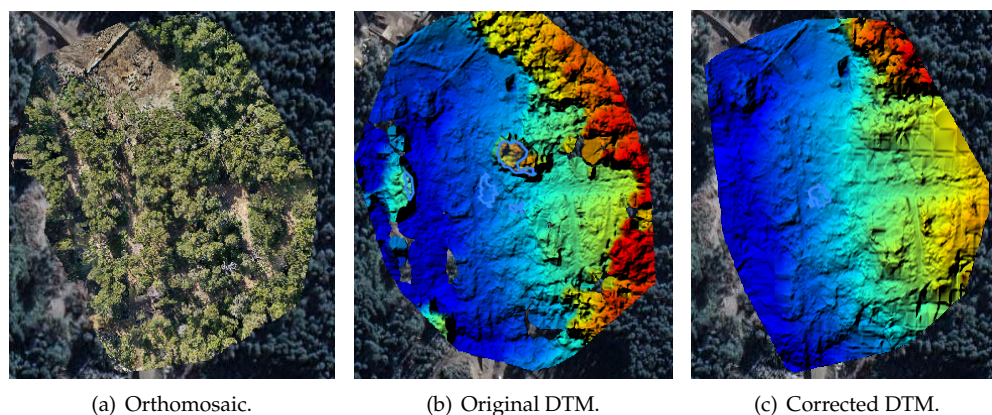


Figure 19. COMPLETE THIS

612 4. Conclusions

613 In this work we approached the problem of forest structural parameters estimation
614 using aerial photogrammetry. We analyzed two relevant issues: the selection of survey
615 mission and sensor settings and the generation of DTM using camera sensor in forests.
616 For the first one an exhaustive analysis is presented using a custom-built highly realistic
617 simulator that allows experimental repeatability. For the second issue we propose a new
618 method to generate the DTM in forested environments using only the point cloud from
619 SfM. Finally, we tested our method in real-world cases using different UAVs in natural
620 forest areas.

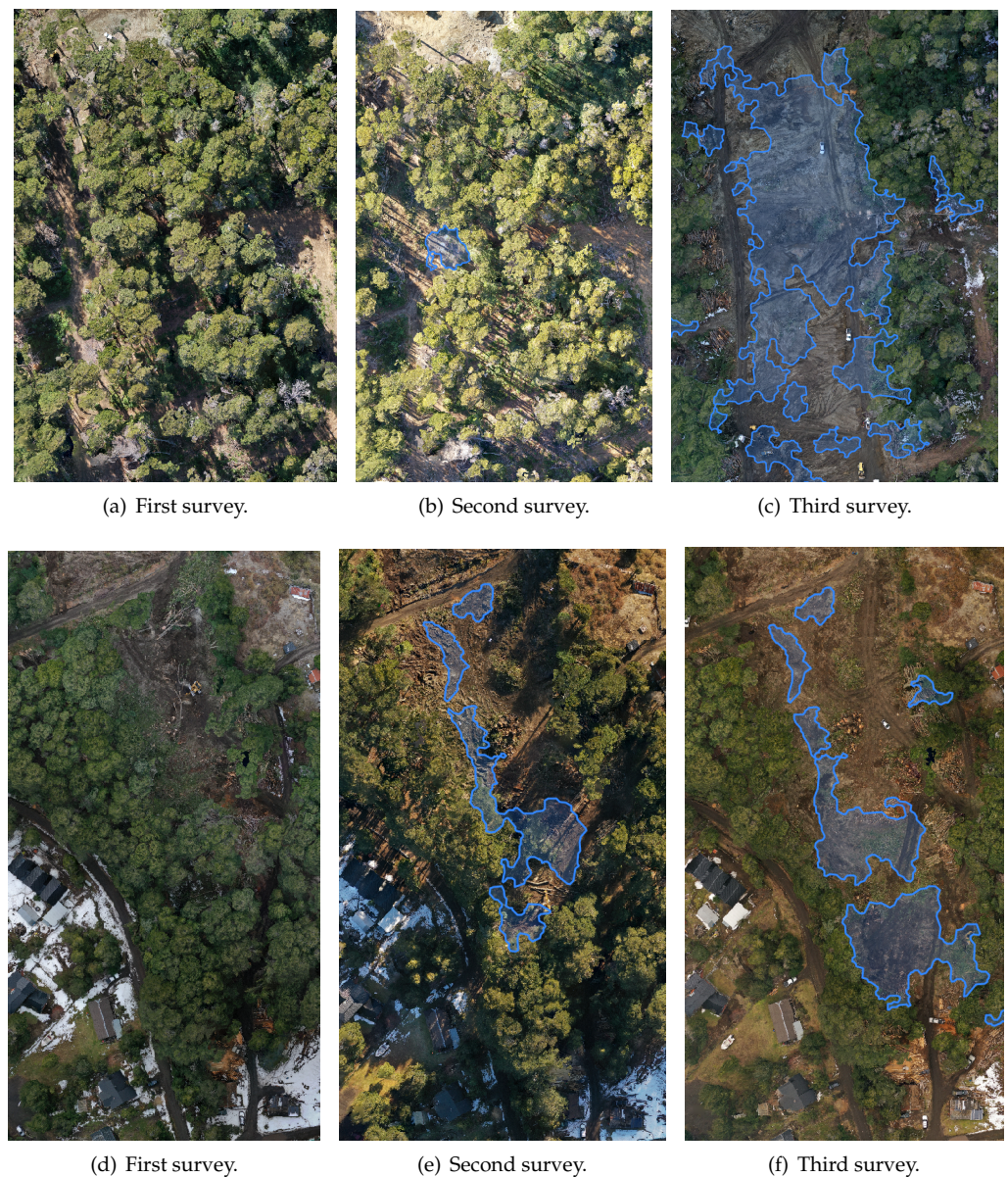


Figure 20. Orthomosaics obtained from two flights (first flight, top row, second flight, bottom row). Leftmost image is the reference orthomosaic and middle and rightmost ones are from the two following flights over the same areas, after different trees were cut down. Areas detected by our detection system are highlighted in blue.

621 In first place we studied the impact of flight settings in the final 3D reconstruction
 622 quality. We tested different configurations of image overlap, camera angle, flight pattern
 623 and altitude. The use of a simulator allowed us to realized hundreds of experiments
 624 and to count with a ground-truth to compare the obtained results. For the overlap we
 625 found that it is beneficial to use the highest possible value of frontal overlap, taking into
 626 account hardware capabilities (image rate) and the required processing time. Moreover
 627 a lower value of lateral overlap is also preferred as it results in lower number of images
 628 but with similar precision in the reconstruction. Frontal and lateral overlap of 95% and
 629 85% respectively have shown to give the best results for forests. For the camera angle
 630 in single grid configuration a nadir angle shows better results than when using smaller
 631 angles, as the number of points is increased while RMSE is improved. In the double
 632 grid case, a combination of 90° and 60° angles for each flight allowed us to achieve

633 the best results for two different overlap configurations. In relation to altitude in the
634 simple grid case, we found that higher altitudes results in a smaller number of points
635 and images, but also reduced precision. In the double grid case we found that most
636 precise reconstruction corresponds to the uses of two specific flight altitudes of $h_1 = 50\text{m}$
637 and $h_2 = 150\text{m}$.

638 We also tested the proposed ground segmentation method for forested areas and
639 we were able to reduce the RMSE considerably. This improvement was also reflected in
640 the coverage percentage estimation, where the error has halved. We also performed qual-
641 itative analysis using real-world experiments with good results for both tree coverage
642 and height.

643 The capability to generate the DTM in forested environments using only the point
644 cloud from SfM is the key for UAS-based photogrammetry in cases where there isn't
645 another source available, or is not possible to use LiDAR due to their high cost or opera-
646 tional difficulty. Therefore, the presented method can make UAS-based photogrammetry
647 an available tool to a larger set of stakeholders, like local forest management agencies,
648 citizen science and research projects with limited resources.

649 To summarize we can conclude that UAS-based photogrammetry in forests envi-
650 ronments is challenging but still possible and allows to obtain useful results if a proper
651 settings for mission and sensor are considered and an accurate DTM is generated. As
652 demonstrated in this work, it can be used for structural forest parameters estimation
653 and selective logging detection, becoming in a powerful tool for forest inventory and
654 management. In this way we save tedious fieldwork, low-resolution results from satellite
655 imagery and expensive alternatives like airborne LiDAR systems.

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